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Advancements in perovskite solar cells: photophysics behind the photovoltaics

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Solution-processed organic—inorganic perovskite solar cells are hailed as the recent major breakthrough in low-cost photovoltaics. Power conversion efficiencies approaching those of crystalline Si solar cells (exceeding 15%) have been reported. Remarkably, such phenomenal performances were achieved in a matter of 5 years – up from \sim 3.8% back in 2009. Since then, the field has expanded exponentially. In this perspective, we review the basic working mechanisms of perovskite solar cells in relation to their intrinsic properties and fundamental photophysics. The current state-of-the-art and the open questions in this maturing field are also highlighted.

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Broader context

Organic-inorganic perovskite solar cells have recently emerged as the forerunner in the next generation photovoltaic technology. They have been hailed as one of the major scientific breakthroughs in 2013. Power conversion efficiencies (PCEs) exceeding 15% from these cells have been reported, leapfrogging many other technologies. The wide range of efficient perovskite solar cell device architectures demonstrated points towards a remarkable semiconducting material with excellent electrical and optical properties. As the field matures, a clear understanding of the fundamental photophysical processes and the basic working mechanisms in these perovskite solar cells become increasingly important for further improvements of their PCEs. Towards this direction, the review examines the intrinsic physical and electronic properties of this class of organic–inorganic perovskites as well as its meteoric rise as a photovoltaic material – distilling the findings of recent photophysical studies and highlighting the open questions and future directions of the field in the process.

1. Introduction

Solar power is the world's most abundant energy resource. A year's worth of sunlight contains 1.5×10^{18} kW h of energy. By comparison, the known reserves of oil, coal, and gas are 1.75×10^{15} kW h, 1.4×10^{15} kW h, and 5.5×10^{15} kW h, respectively. Thus, a year's worth of sunlight provides more than a hundred times the energy of the world's entire known fossil fuel reserves. Harnessing solar power would yield a never-ending energy supply.¹ The difficulty has always been converting solar energy in an efficient and cost-effective way. Photovoltaic cells are the most promising avenue for directly converting the photons to electricity. For photovoltaic energy to become competitive with fossil fuels and to capture a significant share of the electricity market, it is necessary to reduce the total cost of solar energy. This can be achieved by either reducing the cost of photovoltaic cells or by increasing their power conversion efficiencies.

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The photovoltaic market is currently dominated by crystalline Si solar cells with efficiencies close to 20%. Alternative "third generation" technologies such as organic photovoltaics (OPVs), dye sensitized solar cells (DSCs) and quantum dot solar cells (QDSCs), which are fabricated through solution based processes such as blade coating, screen printing and spraying, promise low cost solar power while allowing the utilization of unconventional substrates. Although the utilization of low temperature processes reduces the energetic costs and the energy payback time, the power conversion efficiencies (PCEs) of these solar cells still lag significantly behind conventional solar cells. The levelized cost of energy (LCOE), which allows for the comparison of various electricity generation sources, depends critically on the efficiency of the solar cells produced.² A more efficient module yields more power per unit area. A significant fraction of a solar cell cost scales proportional to the installation area, including the cost of the glass, inverter costs and installation costs, among others. A more efficient solar cell allows for a reduction in all the costs associated with installation, while requiring much lower numbers of solar panels to be installed. Thus the PCE is a primary driver of cost for solar cells.

Organic-inorganic halide perovskite solar cells have been the most significant development in the field of photovoltaics in the present decade and are the best bet at satisfying the

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need for high efficiencies while allowing for low cost solution based manufacturing. Since the first reports of stable solid state solar cells based on the CH3NH3PbI3 perovskite in mid-2012, the PCEs of the solar cells have already exceeded 15%, leapfrogging every other solution-processed solar cell technology. The wide range of efficient perovskite solar cell device architectures demonstrated points towards a remarkable semiconducting material with excellent electrical and optical properties. Early pioneering work3,4 in the area of organic-inorganic halides has clearly shown that this class of materials can behave as low dimensional electronic systems with tunable properties, allowing for the development of newer perovskite solar materials in addition to CH₃NH₃PbI₃.

This review focuses on the recent developments (i.e., up to Feb 2014) in perovskite solar cells as well as their photophysical properties and charge dynamics. We first review the intrinsic physical and electronic properties of this class of organic-inorganic perovskites, followed by its progress as a photovoltaic material. The review then examines the recent photophysical studies on unraveling the charge dynamics and transport mechanisms in both perovskite thin films as well as in perovskite solar cells. Due to the rapid pace of research in this area, this review does not aim to be comprehensive but will highlight key studies findings. Lastly, we conclude the review with the open questions facing these classes of solar cells and future directions of research.

Intrinsic properties of organicinorganic perovskites

(a) Physical structure

Perovskite is the common nomenclature for compounds with the generic chemical formula - AMX₃. In the cubic unit cell, the A-cation resides at the eight corners of the cube, while the M-cation is located at the body center that is surrounded by 6 X-anions (located at the face centers) in an octahedral $[MX_6]^{4-}$ cluster. Typical inorganic perovskites include CaTiO3 and SrTiO₃. Due to the interplay of the charge, spin and structural properties, this family of materials is known to exhibit a plethora of novel and exciting phenomena such as superconductivity, magnetoresistance, ferroelectricity, magnetoelectricity, anti-ferromagnetism, anti-ferroelectricity, etc.5 In the classes of compounds being discussed here, the A cations are organic (typically CH₃NH₃⁺, C₂H₅NH₃⁺, HC(NH₂)₂⁺), the metal cations (M) are typically divalent metal ions such as Pb2+, Sn2+, Eu^{2+} , Cu^{2+} etc., while the X anions are halides (Cl⁻, Br⁻, I⁻). CH₃NH₃PbI₃ belongs to a large family of organic-inorganic perovskites where the [PbI₆]⁴⁻ octahedral can form threedimensional (3-D), two-dimensional (2-D), one-dimensional (1-D) or zero-dimensional (0-D) networks, possessing the same unit structure - Fig. 1. The absence of any inhomogeneous broadening (arising from the size distribution of the samples) in these 3-D and their low-dimensional counterparts makes these compounds ideal for investigating quantum confinement effects on semiconductor mesoscopic structures. Pioneering work in this class of compounds began as early as 1989 where



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(proton beam writing) for photonic applications. Upon joining NTU in 2005 as a lecturer, he switched to the exciting field of ultrafast optical spectroscopy or femtosecond time-resolved spectroscopy and established the xC-Lab research group - a lab for investigation of exCited-state phenomena. His research focuses on investigating light-matter interactions; energy and charge transfer mechanisms; and probing carrier and quasi-particle dynamics in a broad range of emergent nanoscale and light harvesting systems using femtosecond time-resolved spectroscopy.



Dr Nripan Mathews is an assistant professor at the School of Materials Engineering Nanyang Technological University. He pursued his PhD at a joint Commissariat à l'énergie atomique (CEA) - Centre national de la recherche scientifique (CNRS) - Universite de Pierre et Marie Curie (Paris VI University) laboratory in the area of molecular crystals, studying the signatures

optical excitations within them (2008). He was also a visiting scientist at Prof. Michael Grätzel's laboratory at École Polytechnique Fédérale de Lausanne (EPFL), working on a pan-european project on photoelectrochemical hydrogen production. His research focuses on a wide variety of novel materials (metal oxides, organic semiconductors, graphene, carbon nanotubes, sulfides, and selenides) and novel morphologies (one dimensional structures such as nanowires and nanotubes, thin films as well as two dimensional nanosheets) produced through a range of fabrication procedures. He has focussed primarily on the electronic and optical properties of these materials and how they can be adapted for practical applications.

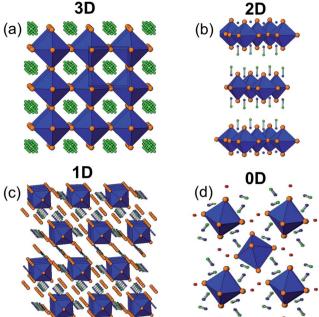


Fig. 1 Crystal structures of (a) $CH_3NH_3PbI_3$, 21 (b) $(CH_3NH_3)_2PbI_4$, 19 (c) $(C_{10}H_{21}NH_3)_2PbI_4$, 20 and (d) $(CH_3NH_3)_4PbI_6 \cdot 2H_2O$, 22 corresponding to 3D, 2D, 1D and 0D networks. The blue polyhedra represent the MX_6 (M=Pb, Sn and Cu; X=I and Br) octahedra with the halogens represented by orange spheres – the building blocks of these compounds. The blue, green and red spheres are the N, C and O, respectively (H atoms were removed for clarity). The ID network extends into the plane of the document.

the focus was on the effects of dimensionality on the excitonic, optical and electronic properties. A,6,7 The optoelectronic properties of layered organic–inorganic perovskites were also extensively studied because of the novel properties exhibited by these crystals which include: high mobilities for thin-film transistors; S,9 strong excitonic properties for light emitting diodes; Io,111 large nonlinearities with ultrafast responses; Io,13 and even polariton emission in 2D perovskite-based microcavities.

In $CH_3NH_3PbI_3$, each $[PbI_6]^{4-}$ octahedron is connected with six neighbours at the iodide – forming a 3-D network (Fig. 1(a)). The countercation $(CH_3NH_3^{+})$ is located at the void of the

network. For the 2-D case¹⁹ *e.g.*, $(CH_3NH_3)_2PbI_4$, each $[PbI_6]^{4-}$ octahedron is connected with four neighbours at the halide – forming a 2-D network layer that is sandwiched between two $CH_3NH_3^+$ layers (also not shown in Fig. 1(b)). A multiple quantum well structure results from the stacking of these sandwiched layers *via* van der Waals interaction – with the 2-D $[PbI_6]^{4-}$ functioning as the quantum well and the $CH_3NH_3^+$ layer as the barrier. For the 1-D case²⁰ (*e.g.*, $(C_{10}H_{21}NH_3)_2PbI_4$), each octahedron is connected at two opposite corners with its neighbour – forming separate infinite chains – Fig. 1(c). Lastly, the quantum dot (0-D) analogue manifests as $(CH_3NH_3)_4PbI_6 \cdot 2H_2O$, where each $[PbI_6]^{4-}$ complex is neutralized by four $CH_3NH_3^+$ ions to form a molecule – Fig. 1(d). A quantum dot array results when these molecules are isolated by H_2O molecules to form a molecular crystal.

(b) Electronic structure

Early studies on the electronic band structures of organicinorganic (3-D and low-dimensional) perovskites can be traced to the studies of: Koutselas *et al.*⁷ using band structure calculations by a semi-empirical method based on the extended Hückel theory and an *ab initio* approach based on the Hartree–Fock theory; T. Umebayashi *et al.*²³ using ultraviolet photoelectron spectroscopy and first principles density functional theory (DFT) band calculations for the room temperature cubic phase; and Chang *et al.*²⁴ using first principles pseudopotential calculations. DFT calculations for the 3-D CH₃NH₃PbI₃ crystal revealed that the valence band maxima comprises the Pb 6p–I 5p σ -antibonding orbital, while the conduction band minima consists of Pb 6p–I 5s σ anti-bonding and Pb 6p–I 5p π anti-bonding orbitals (see Fig. 2 below).²³

Following the attention garnered by perovskite solar cells, renewed interest in the DFT studies of 3-D perovskites began in earnest. In the work of E. Mosconi together with F. De Angelis and their collaborators, 25 they calculated the band structure for $CH_3NH_3PbX_3$ (cubic phase) and the mixed halide $CH_3NH_3PbI_2X$ (tetragonal phase) (X = Cl, Br and I) with the surrounding $CH_3NH_3^+$ counterions, which were ignored in the earlier studies. Nevertheless, the organic component had little influence on the bandgap energy, of which is mainly determined by the $[PbI_4]^{6-}$ network. In addition, the authors highlight that the

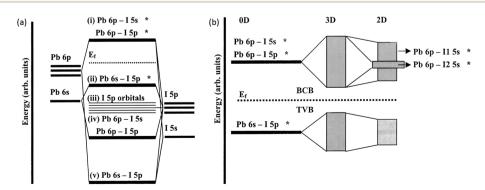


Fig. 2 Bonding diagram of (a) $[Pbl_6]^{4-}$ cluster (0-D), (b) $CH_3NH_3Pbl_3$ (3-D) and (b) $(C_4H_9NH_3)_2Pbl_4$ (2-D) at the top of the valence band and at the bottom of the conduction band. Reproduced with permission from ref. 23 copyright 2003, American Physical Society (APS).

close matching of their calculated bandgaps (where spin-orbit coupling (SOC) effect were not considered) with the experimental data is likely to be fortuitous. These findings are consistent with the studies by T. Baikie *et al.* ²⁶ and Y. Wang *et al.* (low-temperature orthorhombic phase). ²⁷ Investigation on the SOC effect on the electronic band structure in 3-D perovskites (low-temperature orthorhombic phase) was reported by J. Even *et al.*, ²⁸ where they found that the SOC dramatically reduces the energy gap affecting mainly the conduction band.

Having covered the fundamental properties of the large family of organic-inorganic perovskites, we next focus our attention on CH₃NH₃PbI₃ and their photovoltaic applications.

3. Progress in perovskite photovoltaics

Initial studies in the area of perovskite solar cells arose as an evolution of the dye sensitized solar cell29 architecture. DSCs typically consist of a mesoporous n-type TiO2 electrode which has been sensitized by a dye and placed in a liquid electrolyte (typically the I⁻/I₃⁻ redox couple). Since the grafted dyes only form a monolayer, the mesoporous electrode must be 10–15 μm thick to enable complete light absorption. The development of alternative semiconducting sensitizers (either in a thin film or QD form) allows for a reduction in the mesoporous TiO2 layer thickness. Miyasaka and coworkers³⁰ in 2009 demonstrated the first perovskite sensitized solar cells utilizing CH3NH3PbI3 and CH3NH3PbBr3 as light absorbers on TiO2 mesoporous layers with halide electrolytes. A significant efficiency of 3.81% was obtained from CH3NH3PbI3 with photocurrent onset observed from ~800 nm. An impressive photovoltage of 0.96 V was obtained from CH₃NH₃PbBr₃, attributed to the deeper bromide redox couple used in such solar cells. Further improvements³¹

in such liquid electrolyte solar cells were made by N. G. Park and coworkers in 2011 through a careful optimization of the mesoporous layer thickness, perovskite concentration and surface treatment. Surface modification of ${\rm TiO_2}$ with ${\rm Pb(NO_3)_2}$ prior to deposition of perovskites resulted in an efficiency of 6.54% ($J_{\rm SC}=15.82~{\rm mA~cm^{-2}}$, $V_{\rm OC}=0.706~{\rm V}$ and FF = 0.586). Despite the efficiencies achieved in such configurations, the overall instability of the solar cells due to the dissolution of the perovskite in the liquid electrolyte appeared to be a challenge.

A breakthrough in both efficiency and stability was achieved in 2012 through utilization of a solid-state hole transporter 2,2',7,7'tetrakis(N,N-p-dimethoxy-phenylamino)-9,9'-spirobifluorene (spiro-OMeTAD) with CH₃NH₃PbI₃ and CH₃NH₃PbI_{3-x}Cl_x as light absorbers (Fig. 3).32,33 N. G. Park, M. Grätzel and coworkers reported a PCE of 9.7% ($I_{SC} = 17.6 \text{ mA cm}^{-2}$, $V_{OC} = 0.888 \text{ V}$ and FF = 0.62) for $CH_3NH_3PbI_3$ on 0.6 μm TiO_2 layers. The use of spiro-OMeTAD dramatically improved the device stability compared to liquid junction cells with ex situ long-term stability tests conducted for over 500 h, where the devices are stored in air at room temperature without encapsulation.32 H. Snaith and coworkers on the other hand utilized a mixed halide system - $CH_3NH_3PbI_{3-x}Cl_x$ on both TiO_2 and Al_2O_3 mesoporous layers. Incredibly, the highest efficiencies (PCE = 10.9%, J_{SC} = 17.8 mA cm^{-2} , $V_{\text{OC}} = 0.98 \text{ V}$ and FF = 0.63) were obtained for the mesoporous Al₂O₃ devices where they act purely as scaffolds and do not take part in the electrical processes.33 These concurrent reports sparked an explosion of research activities where a variety of device configurations, deposition protocols and material sets have been employed.

(a) Device architectures

A common device configuration for CH₃NH₃PbI₃ based solar cells consists of infiltrating the perovskite within an n-type

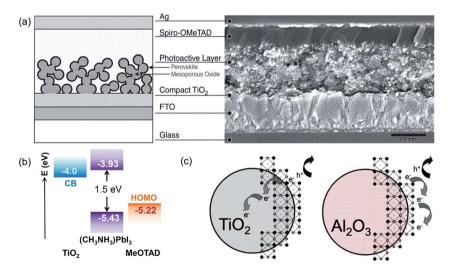


Fig. 3 (a) Schematic illustrating mesoporous perovskite solar cells. The mesoporous oxide can be an electron transporting semiconductor such as TiO_2 , ZnO or an insulating layer such as Al_2O_3 or ZrO_2 (meso-superstructured solar cell – MSSC); (b) relevant energy levels in the mesoporous TiO_2 perovskite solar cells; (c) schematic illustrating the charge transfer and charge transport in a perovskite TiO_2 solar cell and a non-injecting Al_2O_3 based solar cell; (a) and (c) are reproduced from ref. 33 copyright 2012, Science (AAAS) and (b) is reproduced from ref. 32 copyright 2012, Nature Publishing Group.

mesoporous layer (Fig. 3(a)). The solar cell fabrication process commences with the deposition of a compact TiO₂ hole-blocking layer on top of the fluorine doped tin oxide (FTO) substrate. This is typically done through the spray pyrolysis of precursors such as titanium diisopropoxide bis(acetylacetonate) at \sim 450 °C. It is important to ensure that the compact layer is pinholefree and uniform to prevent the recombination between carriers from the perovskite layers and FTO. On top of the compact layer, a mesoporous layer of n-type TiO2 is formed either by screen printing or spincoating a nanoparticle TiO2 paste followed by annealing to remove the polymeric binders. The thickness and porosity of these layers can be modulated by changing the filler and solvent concentrations in the TiO₂ paste. The perovskite films are then deposited on top of the n-type mesoporous layer by spincoating it from a solvent such as γ -butyrolactone (GBL) or *N,N*-dimethylformamide (DMF). This is followed by the deposition of a hole transporting material (HTM) such as spiro-OMeTAD with appropriate dopants to improve conductivity. Finally, a metal electrode is deposited on top of the HTM to complete the solar cell.

Although TiO₂ nanoparticles are most commonly used, 32,34-37 there have also been reports of solar cells employing TiO₂ nanosheets,38 nanorods,39 nanofibers40 as well as other n-type materials such as ZnO.41,42 Despite the wide variety of mesoporous architectures being employed, there has been no clear evidence that the efficiencies of the perovskite solar cells can be effectively increased by mesoporous layer modification. The most significant effect of the mesoporous layer that has been noted is how their thicknesses can affect the power conversion efficiencies. Both in the liquid junction configuration, as well as in its solid state equivalent, it has been demonstrated that lower mesoporous layer thicknesses perform better. 31,32,39 The highest efficiencies in these kinds of configurations have been obtained with a 350 nm thick mesoporous TiO2 layer infiltrated with CH₃NH₃PbI₃.35 The high efficiencies of these solar cells at relatively low TiO₂ thicknesses (in contrast to ~3 μm thickness for solid state DSCs⁴³ and 10-15 μm thickness for DSCs⁴⁴) can be traced to the high optical absorption coefficient ($\sim 10^5 \text{ cm}^{-1}$) of CH₃NH₃PbI₃.^{31,45,46} The exact dependence of the mesoporous layer thickness on the PCE is determined by the nature of the perovskite distribution within the TiO2 layers47 as well as the perovskite overlayer thickness34 (which is in turn dependent on the perovskite solution concentration). The demonstration of good efficiencies39 in rutile TiO2 nanowires, which have been shown to perform poorly in DSCs (due to poor electron transport),48 again indicates that the thickness of the mesoporous layer is a more critical factor. An interesting change in this device configuration stems from the work of Etgar and coworkers who have demonstrated that such mesoporous TiO₂ based solar cells do not require a HTM to function.38,49 The initial study employed anatase TiO2 nanosheets as the mesoporous layer,38 onto which the perovskite layers were spuncoated and followed by the evaporation of a gold electrode. The solar cells so fabricated displayed an efficiency of 5.5% $(J_{SC} = 16.1 \text{ mA cm}^{-2}, V_{OC} = 0.63 \text{ V and FF} = 0.57)$. Further optimization of the perovskite layer thickness through consecutive spincoating resulted in an efficiency of 8%.49 The

successful functioning of such devices indicate that $CH_3NH_3PbI_3$ can act as an effective hole transporter. However, the V_{OC} s of such devices are lower than that produced with spiro-OMeTAD indicating that the lack of the electron selection layer results in increased recombination.

Another efficient solar cell configuration that has been chiefly employed by Snaith and coworkers is the meso-super-structured solar cell (MSSC). This device configuration employs an insulating mesoporous layer on top of the compact ${\rm TiO_2}$ layer as a scaffold to load the perovskite within them. Much of the reports employing this configuration utilize ${\rm Al_2O_3}$ as the mesoporous layer and the mixed perovskite ${\rm CH_3NH_3PbI_{3-x}Cl_x}$ as the absorber. $^{33,50-52}$

However, the high efficiencies observed in this device configuration are not specific to this material combination. Hagfeldt and coworkers have also demonstrated efficient solar cells (PCE = 10.8%, J_{SC} = 17.3 mA cm⁻², V_{OC} = 1.07 V and FF = 0.59) by employing CH₃NH₃PbI₃ deposited on an insulating ZrO₂ mesoporous layer.³⁶ The lack of an n-type mesoporous layer in this device configuration clearly indicates that efficient electron transport occurs within the perovskite itself (Fig. 3 (c)). This also indicates that the perovskite within the mesoporous layer is continuous, in contrast to previous studies which had considered them as isolated quantum dots.30,31 The contrast between both the views could be explained by recent work,47 which indicated that CH3NH3PbI3 exists as two components within the mesoporous TiO2, one component with medium range crystalline order (30 atom%) and another with only local structural coherence (70 atom%). The electron transporting nature of the perovskite is further highlighted in the work of Ball et al.50 By thinning down the Al2O3 scaffold thickness (Fig. 4(a)), the authors were able to form solar cells which appeared more as thin film solar cells. The J_{SC} for such solar cells were 16.9 \pm 1.9 mA cm $^{-2}$ for thin alumina scaffold layers (80 nm) indicating that thick perovskite films could generate sufficient photocurrent. The ability of the perovskites to be employed in such electron transporter-free configuration, as well as to perform efficiently in an HTM-free configuration, 38,49 points towards the ambipolar nature of transport in them. However, it is important to note that the MSSCs do not have the reduced $V_{
m OC}$ s associated with the HTM-free solar cells primarily

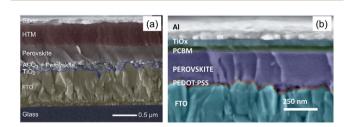


Fig. 4 (a) Cross-sectional SEM view of a "pseudo-thin film" architecture employing a thin ${\rm Al}_2{\rm O}_3$ mesoporous layer with a significantly thick perovskite film over it; (b) cross-sectional view of a planar perovskite solar cell illustrating the typical organic charge transport layers employed. (a) is reproduced from ref. 50 copyright 2013, Royal Society of Chemistry. (b) is reproduced from ref. 53 copyright 2013, Nature Publishing Group.

due to the presence of the hole selective contacts. The effects of carrier accumulation within the perovskite could also be a possible reason for the enhanced $V_{\rm OC}$ s.

The success of the "pseudo-thin film" MSSC solar cells has driven explorations into planar thin film configurations of the perovskite solar cells. Thin film solar cells allow for a simpler processing route. One approach to realize such thin film solar cells is to avoid the use of any mesoporous layer and deposit the films directly on the TiO2 compact layer. The initial report on MSSCs had included results from a planar configuration (FTO/ compact TiO2/CH3NH3PbI3-xClx/spiro-OMeTAD/Ag), but with a relatively low efficiency of 1.8%.33 The difficulties associated with the fabrication of a thin film solar cell stem from the challenges of depositing a homogeneous pin-hole free perovskite layer through solution based processes. Poor coverage results in poor light absorption as well as shunting paths through the light absorber layer which reduces efficiency. Unlike polymeric films which are mostly amorphous when deposited by spincoating, perovskites are crystalline. Even mild heating to remove solvent residues can result in dewetting and roughening of the as deposited perovskite film. Eperon et al. have carefully studied the morphological effects of the underlying substrate, annealing time, temperature and initial perovskite(CH3NH3PbI3-xClx) film thickness. Through control of the various factors involved, the authors achieved an efficiency of 11.4% ($J_{SC} = 20.3 \text{ mA cm}^{-2}$, $V_{OC} = 0.89 \text{ V}$ and FF = 0.64).⁵⁴ A much simpler approach⁵⁵ involved the vapour deposition of the perovskite films onto the TiO2 films which yielded a shortcircuit photocurrent of 21.5 mA cm⁻², an open-circuit voltage of 1.07 V and a fill factor of 0.68, and an efficiency of 15.4%. An interesting vapour-assisted approach to form efficient planar solar cells has also been demonstrated by Yang and coworkers.⁵⁶ A 350 nm thick CH₃NH₃PbI₃ film formed on the compact TiO₂ layer yielded an efficiency of 12.1%, despite the relatively thick HTM layer employed in the devices. A similar planar solar cell approach, which allows for low temperature processing, involves the utilization of ZnO as the blocking layer. The first approach by Kumar et al. employed electrodeposited ZnO on both rigid FTO and flexible ITO on top of which CH3NH3PbI3 was spuncoated.41 The second approach utilized spuncoated films from a solution of 5 nm ZnO nanoparticles suspended in a butanol-chloroform mixture requiring no calcination or sintering. The authors argue that the lack of a constricting mesoporous layer allows for unconstrained CH3NH3PbI3 perovskite crystal growth yielding an impressive efficiency of 15.7% on FTO and 10.2% on flexible ITO substrates.⁵⁷

Another growing area of research involves the use of electron and hole transport layers commonly utilized by the organic photovoltaic community for the implementation of the planar solar cell configuration. The first work in this direction was performed by Chen and coworkers who employed a device architecture consisting of poly(3,4-ethylenedioxythiophene):poly(styrenesulfonic acid) (PEDOT:PSS) as the hole transport layer and C_{60} derivatives including (6,6)-phenyl C61-butyric acid methyl ester (PCBM) and indene- C_{60} bisadduct (ICBA) as the electron transporters in a planar configuration.⁵⁸ As with forming perovskites on top of TiO₂ thin films, the authors faced challenges in forming a

uniform CH₃NH₃PbI₃ coating on top of the PEDOT:PSS layer. This restricted the total thickness of the CH3NH3PbI3 utilized, hence limiting the efficiencies to 3.9%. Sun et al. employed a similar device configuration but succeeded in making a thicker $CH_3NH_3PbI_3$ (110 \pm 5 nm) film through the utilization of a twostep conversion approach. The highest efficiency reported in such a device was 7.41%.45 Snaith and coworkers53 screened a wider material set employed in OPVs, including NiO and V2O5 hole transport layers and poly[(9,9-bis(30-(N,N-dimethylamino)propyl)-2,7-fluorene)-alt-2,7-(9,9-dioctylfluorene)] (PFN) as an electron selective contact with CH3NH3PbI3-xClx. However, the most efficient device configuration still employed PC61BM and PEDOT:PSS as the two previous reports covered above. A ~300 nm thick CH₃NH₃PbI_{3-x}Cl_x layer (Fig. 4(b)) yielded an efficiency of 9.8% while flexible solar cells were also fabricated on ITO coated glass yielding 6.3%. Crucially, the lower efficiencies on the flexible devices were attributed to the smoother surface of the ITO layer which affects the uniformity of the perovskite coating. However, even higher efficiencies have been demonstrated for flexible CH3NH3PbI3-xClx solar cells on ITO by Yang and coworkers (9.2%).59

(b) Deposition processes

Much of the initial work on CH₃NH₃PbI₃ and CH₃NH₃PbI_{3-r}Cl_r solar cells utilized spincoating to deposit the light absorbers from a single precursor solution. CH₃NH₃PbI₃ films were formed by dissolving stoichiometric quantities of CH₃NH₃I and PbI₂ in polar solvents such as GBL or DMF.32,34 CH3NH3PbI3-xClx is typically formed from a solution in DMF where the PbCl2 and CH₃NH₃I are in a molar ratio of 1:3.33,50 With appropriate optimization of the precursor concentration and spincoating conditions, the perovskite can be deposited within the pores of the mesoporous layers or be used to form compact layers for planar solar cells. As described previously, the formation of a uniform perovskite layer for planar devices through spincoating requires the optimization of multiple parameters including postdeposition processes. 45,54,58 Due to wettability differences, the perovskite would need to be separately optimized for each underlying layer. When spincoating on mesoporous layers, conditions similar to the deposition of HTMs within the mesoporous TiO2 layers of a solid state DSC could be expected to occur.60 In such a case, the excess solution on top of the film can act as a reservoir during the spincoating process. The amount of infiltration within the mesoporous layer would depend critically on the solution concentration, spincoating speed and the solvent utilized. The tendency of the perovskite films to crystallise, could lead to rough surface morphologies34 which could introduce shunts into the solar cells.

A significant development for solution based deposition has been the application of the sequential deposition process (originally developed by Mitzi and coworkers⁶¹) for the fabrication of perovskite solar cells by Grätzel and coworkers.³⁵ The process consists of first spincoating PbI₂ on the TiO₂ layer from a solution under appropriate conditions (solution concentration, spincoating speed) to enable infiltration within the mesoporous layer (Fig. 5(a)). Subsequently, the yellow coloured

substrates are dipped in a CH3NH3I solution in 2-propanol solvent. During the dipping, the vellow PbI₂ converts to form the dark brown CH3NH3PbI3 in a few seconds (Fig. 5(b)). It is important to note that the conversion time can vary between the different PbI2 deposition conditions. A 20 minute conversion time has been reported for perovskite solar cells, 40 while the initial work by Mitzi and coworkers indicated that the conversion required 1-3 h.61 It is likely that the PbI2 layer deposited on top of mesoporous substrates has increased roughness that allows the conversion reaction to proceed faster.35 Due to the volume expansion⁵⁶ (\sim 75%) occurring due to the conversion of PbI₂ into CH₃NH₃PbI₃, it can be expected that the mesoporous layer would be better infiltrated through the sequential deposition process. The top view of the sequentially deposited samples reveals a highly crystalline film with complete coverage (Fig. 5(c)).

Apart from the widely utilised solution based deposition processes, vapour deposition has also been employed to form perovskite solar cells. Snaith and coworkers⁵⁵ demonstrated efficient planar solar cells (15.4%) of CH₃NH₃PbI_{3-r}Cl_r formed by dual source evaporation of PbCl₂ and CH₃NH₃I (Fig. 5(e)). The evaporated film was sandwiched between a compact TiO₂ layer and a spuncoated spiro-OMeTAD layer acting as electron and hole transporters, respectively. The vapour-deposited films are extremely uniform, with crystalline features on the length scale of hundreds of nanometres. Another example of vapour deposition was demonstrated by Bolink and coworkers⁶² who deposited thin films of CH3NH3PbI3 from the dual source evaporation of PbI2 and CH3NH3I. The authors employed organic electron and hole transport layers such as PCBM and PEDOT:PSS to form solar cells with 12.04% efficiency. Both the dual source evaporation examples described above required careful optimization to yield the desired perovskite layers and

efficient solar cells. An interesting approach which employs both solution based deposition and vapour phase transformation has been reported by Chen et al.56 In the vapourassisted solution process (VASP), PbI₂ was first deposited from solution onto a compact TiO₂ substrate. Subsequently, the films were exposed to a vapour of CH₃NH₃I at 150 °C in N₂ for 2 h (Fig. 5(d)). The slow rate of conversion resulted in CH₃NH₃PbI₃ films which exhibited micron sized grains with very low surface roughness of ~20 nm. Solar cells made from such films exhibited an efficiency of 12.1%.

In conjunction with these exciting device-centric advancements, fundamental studies into the photoexcited species and their photogeneration and recombination dynamics in perovskites also began in earnest. The next section traces these early studies to the latest findings of the fundamental photophysical mechanisms in this system.

Photophysical mechanisms in CH₃NH₃PbI₃ thin films

The early photophysical studies in this class of organic-inorganic perovskites are mainly centered on the excitonic properties of layered perovskites such as the optical non-linearity around the excitonic resonances⁶³ and the exciton-exciton interactions.64 Coherent transient spectroscopy such as four wave mixing has been used to investigate the exciton and biexciton dynamics65 and temperature dependent TRPL spectroscopy for the exciton recombination dynamics⁶⁶ in these 2D perovskites. Depending on the exciton binding energy $E_{\rm b}$, the fundamental excited species following photoexcitation could exist as bound electron-hole pairs or as free carriers. Hence, E_b have also been extensively investigated for CH3NH3PbI3, the photovoltaic material of choice. Table 1 shows the E_b of selected

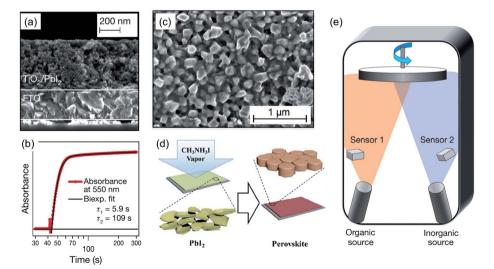


Fig. 5 (a) Cross-sectional SEM of a mesoporous TiO₂ film infiltrated with PbI₂. (b) Change in absorbance at 550 nm of such a film monitored during the transformation from Pbl₂ to CH₃NH₃Pbl₃. (c) Top view of the CH₃NH₃Pbl₃ film obtained using the sequential deposition method. (d) An illustration of the vapour assisted transformation of Pbl₂ to CH₃NH₃Pbl₃ by exposure to CH₃NH₃I vapour. (e) Dual-source thermal evaporation system for depositing the perovskite absorbers; the organic source was methylammonium iodide and the inorganic source PbCl₂. (a-c) are reproduced from ref. 35 copyright 2013, Nature Publishing Group; (d) is reproduced from ref. 56 copyright 2013, American Chemical Society and (e) is reproduced from ref. 55 copyright 2013, Nature Publishing Group.

3-D perovskites with low-dimension perovskites included for comparison. These E_b are estimated using optical absorption⁷ and magneto-absorption spectra^{67,68} as well as from temperature-dependent photoluminescence (PL) intensities. 45,69 The excitons in CH₃NH₃PbI₃ are expected to be of the more delocalized Wannier-type with exciton Bohr radius, $r_{\rm B} \sim 30~{\rm \AA}.^{7,70}$ Larger binding energies for CH₃NH₃PbBr₃ and the mixed halide CH₃NH₃PbI_{3-r}Cl_r system indicate a more tightly bound nature of the excitons resulting from the halogen substitution. In contrast, for 2-D layered perovskites, the excitons are the Frenkel type and their large binding energies are ascribed to dielectric modulation between the organic and inorganic layers and the two dimensionality of the inorganic structure.71 With decreasing dimensionality to 1-D and 0-D, the exciton binding energies increase in accordance with the quantum confinement effects.70

Absorption of photons creates electron-hole pairs in perovskite. Following carrier thermalization, these carriers could either continue to exist as free carriers or form excitons depending on the exciton binding energy. Presently, it is still unclear whether these species at room temperature exist as excitons or free charges - which gave rise to the 3-D perovskite's exceptional properties of long diffusion lengths. This uncertainty stems from the low exciton binding energies $E_{\rm b}$ ranging from 19 meV to 50 meV, which are comparable to the room temperature thermal energies of $k_{\rm B}T\sim 25$ meV. Given the uncertainties and the assumptions involved with the estimation/extraction of the values for $E_{\rm b}$ based on the various methods employed (optical absorption,73,74 magneto-absorption⁶⁸ and temperature dependent PL⁷⁵), it is reasonable from Table 1 that the E_b for $CH_3NH_3PbI_3$ is comparable to the thermal energies of $k_{\rm B}T$ \sim 25 meV at room temperature. As an illustration, even for an $E_b \sim 19$ meV, the faction of the excitons with an energy greater than $E_{\rm b}$ at \sim 300 K can be calculated from statistical physics to yield: ~57% of the photo-generated excitons dissociating spontaneously and \sim 43% remaining as

Table 1 Exciton binding energies $E_{\rm b}$ of the 3-D CH $_3$ NH $_3$ PbI $_3$ and CH $_3$ NH $_3$ PbI $_3$ _ $_x$ Cl $_x$ perovskites and selected low-dimension perovskites

Compound	Dimensionality	E _b (meV)	Method	
CH ₃ NH ₃ PbI ₃	3D	30	Optical absorption ⁷	
		37	Magneto-absorption ⁶⁷	
		45	Temperature	
			dependent PL ⁶⁹	
		50	Magneto-absorption ⁶⁸	
		19 ± 3	Temperature	
			dependent PL ⁴⁵	
CH ₃ NH ₃ PbBr ₃	3D	76	Magneto-absorption ⁶⁸	
		150	Optical absorption ⁷	
$CH_3NH_3PbI_{3-x}Cl_x$	3D	98	Temperature	
			dependent PL ⁷²	
$(C_9H_{19}NH_3)_2PbI_4$	2D	≥330	Temperature	
			dependent PL ⁶⁹	
$(NH_2C(I)=NH_2)_3PbI_5$	1D	≥410	Optical absorption ⁷	
$(CH_3NH_3)_4PbI_6 \cdot 2H_2O$	0D	545	Optical absorption ⁷	

excitons. It is an open question on how the co-existence of free carriers and excitons, whose dynamic populations could vary over their lifetimes, will affect the carrier dynamics in perovskite solar cells.

To the best of our knowledge, there have been no reported studies on the charge dynamics in 3D perovskites until the advent of the 9.7% perovskite solar cells in 2012.32 Despite the rapid progress in organic-inorganic perovskite solar cells, the fundamental photophysical processes driving the high performance of these devices is still severely lacking. The bulk of the research efforts to date are predominantly focused on device development, with limited studies on charge carrier dynamics in the CH₃NH₃PbI₃ perovskite materials. ^{32,33,46,76-78} Nevertheless, efforts into applying ultrafast optical spectroscopy (UOS) techniques to investigate the structure-function relationships in perovskite solar cells are on the rise. UOS techniques are powerful probes of carrier dynamics and charge transfer mechanisms in materials. A clear understanding of the charge generation and transport mechanisms in perovskite solar cells will provide valuable feedback to guide the materials design and device engineering. Here, we first examine the intrinsic charge dynamics in the bare perovskite thin films. The charge transfer processes in a typical perovskite solar cell will be examined in the next section.

(a) Long electron-hole diffusion lengths and hot hole cooling dynamics

In tandem with the developments of increasing perovskite solar cell efficiencies, indications of ambipolar charge transport in perovskites became apparent when efficient perovskite-based devices in a broad range of device architectures are reported. The perovskite material functions well as an absorber in a configuration used by Kim *et al.*³² and Heo *et al.*³⁴ that sandwiches the thin perovskite layer between a mesoporous TiO₂ photoanode and a HTM layer (spiro-OMeTAD). Lee *et al.*³³ demonstrated that the perovskite material can also work effectively as both an absorber and an electron transporter by fabricating solar cells with an insulating Al₂O₃ scaffold instead of the TiO₂ photoanode. Surprisingly, Etgar *et al.*³⁸ fabricated devices with appreciable performance in a configuration without the HTM layer – indicating that the perovskite material can also work as an absorber and a hole transporter.

These reports provide us with a compelling case to devise quenching experiments utilizing femtosecond transient optical spectroscopy (*i.e.*, TAS and time-resolved PL (TRPL) spectroscopy) of CH₃NH₃PbI₃ heterojunctions with selective electron or hole extraction to decouple the electron and hole dynamics in this material.⁴⁶ Our findings revealed clear evidence of balanced and long-range electron–hole diffusion lengths of *at least* 100 nm in solution processed CH₃NH₃PbI₃ (Fig. 6). Concurrently, using the same PL quenching approach, H. J. Snaith and coworkers⁷⁷ also performed diffusion length measurements on CH₃NH₃PbI₃ and the mixed halide CH₃NH₃PbI_{3-x}Cl_x. Their findings of the electron–hole diffusion lengths in CH₃NH₃PbI₃ concur with ours. Amazingly, the mixed halide CH₃NH₃PbI_{3-x}Cl_x possesses diffusion lengths one order longer (*i.e.*, >1 μm) than

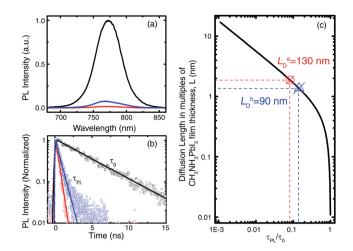


Fig. 6 (A) Time-integrated PL spectra and (B) time-resolved PL decay transients measured at 760 \pm 10 nm for quartz/CH₃NH₃Pbl₃(65 nm) quartz/CH₃NH₃Pbl₃ (65 nm)/PCBM CH₃NH₃Pbl₃(65 nm)/spiro-OMeTAD (blue) films under vacuum after excitation at 600 nm (1 KHz, 150 fs, 1.3 μ J cm⁻²). The solid lines in (B) are the single-exponential fits of the PL decay transients. a.u.: arbitrary units. (C) A plot of exciton diffusion length versus PL lifetime quenching ratios. The diffusion length is scaled in multiples of $CH_3NH_3PbI_3$ layer thickness (L = 65 nm). Reproduced with permission from ref. 46, copyright 2013, Science (AAAS).

CH₃NH₃PbI₃, although no clear reason was identified for this difference. Nevertheless, these two studies clearly show that the electron-hole diffusion lengths in perovskites are much longer than those for most solution processed materials (typically ~10 nm). Most recently, G. Giorgi et al.'s spin-polarized DFT calculations79 determined the effective masses of both the electron and hole in $CH_3NH_3PbI_3$ to be small, (i.e., $m_e^* = 0.23m_0$ and $m_h^* = 0.29$), thus providing further validation of their longrange ambipolar charge transport property. 46,77

In this same work, we also examined the early time relaxation dynamics in the CH₃NH₃PbI₃ system. It is important to

note that the carrier dynamics in the perovskite system are strongly pump fluence dependent due to their large optical absorption coefficients and long charge diffusion lengths. Multi-particle Auger (third order) recombination processes becomes dominant for pump fluence >2.6 μ J cm⁻². In fact, we recently discovered that at a pump fluence >12 µJ cm⁻², amplified spontaneous emission (ASE) prevails and even outcompetes the Auger processes.80 Therefore, careful control of the pump fluence in ultrafast optical spectroscopy of perovskites is absolutely essential for uncovering their intrinsic photophysical properties. Femtosecond TAS measurements with selective 400 nm and 600 nm pump excitation (fluence < 1.3 μJ cm⁻²) and a white-light continuum (WLC) probe uncovered a slow 0.4 ps hot hole cooling process from a deeper valence band level (VB2) to the valence bandedge (VB1) - see Fig. 7. With careful tailoring of the HTM's energy levels, one could efficiently extract these hot hole energies before they cool down to VB1. Potentially, this could be utilized in perovskite solar cells to exceed the theoretical Shockley-Queisser limit.81 Further investigations into this area should be conducted.

(b) Origins of the long electron-hole diffusion lengths

The mechanism of the long electron-hole diffusion lengths in CH₃NH₃PbI_{3-x}Cl_x and CH₃NH₃PbI₃ and their charge carrier mobilities were elucidated by L. M. Herz in collaboration with H. J. Snaith and coworkers78 using transient THz spectroscopy and TRPL spectroscopy. Their findings show that both the monomolecular (first order, i.e., from geminate recombination of excitons and/or from trap- or impurity-assisted recombination) and bimolecular (second order) charge carrier recombination rates are extremely low, with the latter defying the Langevin limit by at least four orders of magnitude. However, the Auger (third order) recombination rates were found to be high $\sim 10^{-29}$ cm⁶ s⁻¹ – comparable to those of strongly confined colloidal quantum dots.82 Comparatively, Auger recombination in highly-doped bulk Si wafers is \sim 2 orders smaller.⁸³ The lower bound values of the charge carrier mobilities for

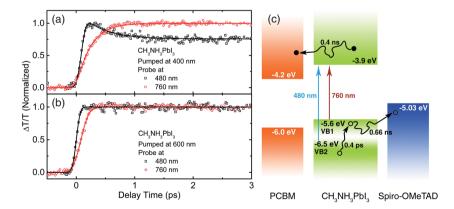


Fig. 7 Normalized bleaching kinetics at 480 and 760 nm in a short time range show the intervalence band hot hole cooling for the CH₃NH₃PbI₃ film (under vacuum) after excitation at (A) 400 nm (1 μ J cm⁻²) and (B) 600 nm (1.3 μ J cm⁻²). (C) A schematic illustrating the hot-hole cooling and charge recombination within CH₃NH₃Pbl₃ and charge separation at the CH₃NH₃Pbl₃/PCBM and CH₃NH₃Pbl₃/spiro-OMeTAD interfaces. The approximate positions of VB1 and VB2 were obtained from the TA measurements. Reproduced with permission from ref. 46, copyright 2013, Science (AAAS).

CH₃NH₃PbI_{3-x}Cl_x and CH₃NH₃PbI₃ were determined to be 11.6 cm² V⁻¹ s⁻¹ and \sim 8 cm² V⁻¹ s⁻¹, respectively, which are extremely high for the solution-processed perovskites. Comparatively, these values are >20 times larger than that of mesoporous TiO₂ and several orders larger than those of typical π -conjugated molecular semiconductors. The origins of the long electron-hole diffusion lengths stem from the novel combination of low charge carrier recombination rates and high charge carrier mobilities in these perovskites.

(c) Summary of photophysical processes in pristine films

A generalized scheme of the dynamic interplay of the various photophysical processes and loss mechanisms in bare perovskite thin films following photoexcitation is shown in Fig. 8. Absorption of photons results in the generation of electronhole pairs that evolve towards the formation of highly delocalized Wannier excitons after thermalization. A fraction of which would dissociate spontaneously back into free carriers. The excitons and free carriers coexist and their dynamic

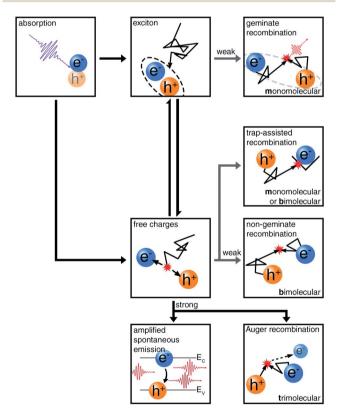


Fig. 8 A schematic of the photophysical processes and loss mechanisms in perovskites following photoexcitation. Efficient (or strong) pathways and suppressed (or weak) pathways are denoted by the black and grey lines, respectively. Monomolecular recombination is charge carrier density independent, while bimolecular and Auger recombination are charge carrier density dependent processes that would typically be present under high intensity photoexcitation. In fact, under even higher photoexcitation densities, amplified spontaneous emission (ASE) will out-compete Auger recombination. However, under solar light intensities (low intensity excitation), these latter processes will be strongly suppressed.

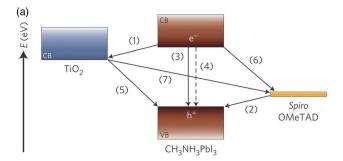
populations continue to vary over their lifetimes. Geminate recombination of the excitons, or the recombination involving an electron and a hole generated from the quenching of a single exciton is inefficient. Likewise, trap-assisted recombination, another monomolecular process, is also suppressed in these CH₃NH₃PbX₃ perovskites. At stronger light intensities, nongeminate recombination originating from the recombination of two free charges (i.e., bimolecular in nature) is extremely low defying the traditional Langevin limit by at least four orders of magnitude. Auger recombination (involving a three particle process) on the other hand is dominant in CH₃NH₃PbX₃ perovskites and surprisingly, ASE even occurs at higher pump excitations - out-competing the Auger processes in CH₃NH₃PbI₃.80 Eventually, in these bare perovskite films without a HTM and in the absence of any charge extraction, the photoexcited species (excitons and free carriers) undergo radiative (luminescence) or non-radiative processes within the perovskite. It is also important to note that under solar light intensities (low intensity excitation), Auger recombination or ASE would be strongly suppressed. With the non-radiative pathways (geminate recombination, trap-assisted recombination and Auger recombination) weak or inactive under solar light intensities, it is therefore understandable that these perovskites make excellent photovoltaic materials.

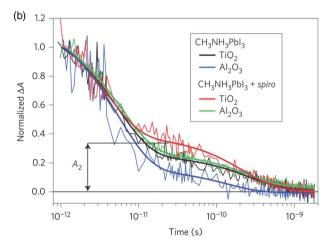
Charge transfer mechanisms in perovskite solar cells

As described previously, various configurations of perovskite solar cells have been explored. These include the configurations where the perovskite is interfaced with mesoporous TiO₂, mesoporous Al₂O₃ (MSSC) as well as other organic electron and hole transport layers. Ultrafast measurements have clearly indicated that CH₃NH₃PbI₃ and CH₃NH₃PbI_{3-r}Cl_r, both have long and balanced electron-hole transport lengths. 46,77 It has also been clearly shown that injection into electron acceptors such as PCBM and hole transporters such as PEDOT:PSS and spiro-OMeTAD is efficient. 46,53,59,77 Thus, planar configurations of perovskite solar cells can be expected to function as thus: under photoexcitation, a mixture of weakly bound excitons and direct electron and hole generation occurs. Due to the crystalline nature of the perovskite as well as low trap densities, the recombination within the perovskites is limited. The long-lived nature of electrons and holes allows them to be collected by electron and hole acceptor layers, before making their way out of the solar cells as photocurrent. The high open circuit voltages noted in such planar configurations also point to a scenario where energetic costs associated with exciton splitting is not prevalent. Light intensity dependent measurements on a PEDOT:PSS/CH₃NH₃PbI_{3-x}Cl_x/PCBM solar cell indicate that the main recombination mechanism is free carrier recombination.59 The meso-superstructured solar cells33,36,50,51 which utilize an insulating mesoporous layer as a scaffold for the perovskite can be expected to work in a similar manner. Here the primary charge separation interface is at the perovskite/hole transporting layer (spiro-OMeTAD) into which hole injection occurs efficiently. The good electron transport properties of the perovskite layer ensure that electrons are collected through the compact TiO2 layer below the insulating mesoporous layer. Thus, a connected perovskite layer within the mesoporous layer is critical. The exact working principle behind solar cells fabricated by depositing the perovskite on a mesoporous TiO2 layer is still not clearly established. The pertinent questions when considering this architecture are: (a) is there injection of electrons from the perovskite into mesoporous TiO₂; (b) is the more efficient path for electron collection through the mesoporous TiO₂ or within the perovskite itself? (c) Which pathway results in higher extraction efficiency in the perovskite solar cell?

N. G. Park in collaboration with M. Grätzel and coworkers32 published the earliest study on the dynamics of the charge separation processes in CH3NH3PbI3/TiO2 solar cells probed using femtosecond transient absorption spectroscopy (fs-TAS). However, clear evidence of efficient electron injection into TiO₂ could not be observed due to the overlapping signals from the stimulated emission from the perovskite. Although the question on whether perovskite can inject electrons into the mesoporous TiO2 has more or less been answered by photoinduced absorption spectroscopy (PIA) from the evidence of a broad absorption feature in the region \sim 1.1 μm attributed to free electrons in the titania from CH₃NH₃PbI_{3-r}Cl_r, 33,85 clear evidence of efficient injection remains elusive. Most recently, J.-E. Moser in collaboration with M. Grätzel and coworkers unravelled the mechanism of the charge transfer processes in perovskite solar cells (Fig. 9(a)) and presented clear evidence of not only efficient electron injection from photoexcited CH₃NH₃PbI₃ into TiO₂, but also efficient hole injection from photoexcited CH3NH3PbI3 into the HTM (spiro-OMeTAD) occurring simultaneously over comparable ultrafast timescales (≤3 ps).84 They had overcome the challenges of a spectral overlap encountered in the earlier study³² by probing in the infrared (1.4 µm) which allows direct observation of only the carrier's population decay within the perovskite itself (Fig. 9(b)).

The second and third questions on whether electron collection is more efficient through the mesoporous TiO2 or within the perovskite itself; and which pathway results in higher extraction efficiency in perovskite solar cells remains open. H. J. Snaith in collaboration with T. N. Murakami and T. Miyasaka and coworkers33 showed using transient photocurrent measurements that the charge collection in the insulating Al₂O₃-based devices is faster than the TiO₂-based devices indicating that the perovskite material itself is more efficient in transporting the negative charge than mesoporous TiO2. However, recent findings by Marchioro et al. using transient absorption spectroscopy showed that the amount of long-lived charges in the TiO₂/CH₃NH₃PbI₃/HTM samples is higher than that in the Al₂O₃/CH₃NH₃PbI₃/HTM samples (Fig. 9(b)) – indicating a more efficient charge separation in the former. Furthermore, charge recombination with oxidized HTM species was also found to be slower on TiO2 films compared to Al2O3 films (Fig. 9(c)). The efficiency of charge extraction in a perovskite solar cell also depends on the ratio between charge recombination and charge separation rates. Nevertheless,





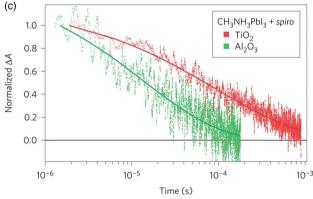


Fig. 9 (a) Schematic diagram of energy levels and electron transfer processes in an HTM/perovskite/TiO₂ cell. (1) Electron injection; (2) hole injection; (3) radiative exciton recombination; (4) non-radiative exciton recombination; (5) back electron transfer at the TiO₂ surface; (6) back charge transfer at the HTM surface; (7) charge recombination at the TiO₂/HTM interface. (b) Transient absorption signals were measured at a probe wavelength of 1.4 µm following the femtosecond laser pulsed excitation at 580 nm: CH₃NH₃Pbl₃ on TiO₂ (black); CH₃NH₃Pbl₃ on Al₂O₃ (blue); CH₃NH₃Pbl₃ and spiro-OMeTAD on TiO₂ (red); CH₃NH₃PbI₃ and spiro-OMeTAD on Al₂O₃ (green). Thick solid lines represent bi-exponential fits of experimental points starting at t = 1 ps. A_2 represents the normalized absorbance change at 25 ps, used as a metric to compare the various samples. (c) Samples with a HTM. Signals mainly reflect the decay of the h⁺ (HTM) population. CH₃NH₃Pbl₃ and spiro-OMeTAD on TiO₂ (red); CH₃NH₃PbI₃ and spiro-OMeTAD on Al₂O₃ (green). Thick lines represent stretched exponential fit of data. Reproduced with permission from ref. 84, copyright 2014, Nature Publishing Group.

Marchioro et al.'s findings showed that it is advantageous to use TiO₂ as the electron acceptor and transporter, together with a HTM in perovskite solar cells - providing only partial answers to question 3.

Impedance spectroscopy measurements have also been applied to perovskite solar cells in a bid to understand the electrical processes occurring in them. Bisquert and coworkers analysed perovskite solar cells on TiO2 and ZrO2 and proposed that carrier accumulation occurs in the perovskite layers.86 This indicates that the working principle of the perovskite solar cells is different from that of a pure DSSC where instantaneous injection from the dye into the TiO₂ occurs and no charge accumulation is observable in the light absorber. Dualeh et al. in their impedance spectroscopy analysis have argued that the observation of the mesoporous TiO₂ chemical capacitance in perovskite solar cells indicates that the electron transport is channeled through the mesoporous TiO2.87 The capacitance of TiO2 in perovskite solar cells has also been observed by Abrusci et al. in their differential capacitance measurements when employing a C₆₀-self assembled monolayer on top of the TiO2 surface.85 Zhu and coworkers also point to a similar working mechanism in solid state DSCs and perovskite solar cells dominated by electron transport within the mesoporous TiO2 layer itself, from intensity modulated photocurrent/photovoltage spectroscopy (IMPS/IMVS) measurements.88 However Bisquert and coworkers have reported a similar impedance spectral shape in planar CH₃NH₃PbI_{3-r}Cl_r and nanostructured CH₃NH₃PbI₃ solar cells. Since there is an absence of the TiO₂ mesoporous layer in one of the devices, they attribute the features in the impedance spectra to charge transport and recombination processes occurring within the perovskite layer solely. The authors have also noted that more complicated impedance spectral patterns can be observed in other cases which necessitates the development of a complete model.

The unclear view of the exact working principles of perovskite-mesoporous TiO2 solar cells could stem from the variations in the solar cell structures studied and their ensuing interfacial charge transfer dynamics. The impact of the degree of coverage of the mesoporous TiO2 by the perovskite is unclear. The presence or absence of a perovskite overlayer on the mesoporous TiO2 will modulate the recombination at the perovskite/TiO₂/HTM interfaces. Additionally the distribution of the perovskite within the TiO2 pores may also play a defining role in what mechanism of electron transport dominates. For example, the charge transport time in CH₃NH₃PbI₃ formed from the sequential deposition process was found to be 3 times longer than that obtained from the single precursor spincoating process.³⁶ From spectroscopic evidence, it is clear that charge injection from perovskite into mesoporous TiO2 is possible;33,84,85 however, the exact ratio between electrons being transported through the mesoporous TiO2 and that transported through the perovskite is unknown. Possibly, spectroscopic measurements in working solar cells at different applied voltages may unravel this question.

Future directions and open questions in the field

In the wake of the rapidly expanding field, several pressing open questions remain. They have been largely swept aside in the initial surge for high efficiencies. As the perovskite photovoltaic field matures, these gaps in our understanding need to be progressively tackled and filled:

(a) Interfacial charge transfer dynamics

For any given material system, factors such as device processing and the ensuing morphology and coverage can strongly affect the carrier dynamics and the device performance. Systematic studies into understanding the morphological effects arising from the single precursor vs. sequential deposition solutionprocessed approach or thermal evaporation approach on the charge dynamics in pristine and heterojunction perovskite films are urgently needed. Given that the 100 nm e-h diffusion lengths estimated from the single step processed CH3NH3PbI3 are minimum values,46 further work is urgently needed to carefully examine the e-h diffusion lengths in sequential deposited films and evaporated films to establish their upper limits. Over the next phase of development in this field, one can look forward to an upsurge of systematic transient spectroscopy studies of various pristine/heterojunction configurations over the entire charge generation to charge extraction timescale, in particular: (i) the dynamic interplay and interactions between the excitons and the free charge population and their effects on the charge separation, recombination and transport; (ii) the interfacial charge dynamics and the mechanism of the charge injection at the perovskite/mesoporous TiO2; perovskite/semiconductor nanostructure; and perovskite/graphene interfaces; and (iii) the exact role of higher lying states (if any) for charge separation. Time-resolved optical pump-terahertz probe spectroscopy would be extremely useful to monitor the early time excitons and free-carrier dynamics and populations in the pristine films and heterojunctions. These studies could be extended with transient microwave photoconductance measurements over longer time scales for correlation with device properties. Double excitation techniques like the pump-push probe spectroscopy can be used to study the higher lying electronic states and their roles in the charge transfer. Field modulation techniques like field assisted pump-probe spectroscopy could also lead to new insights into the interplay of the exciton dissociation and the free carrier dynamics on the charge transfer under device conditions. With the concerted efforts of various research groups, a complete picture and detailed model of the charge transfer processes of perovskite solar cells will be unravelled. Understanding the structure-function relationships in perovskite solar cells through UOS holds the key to the development of optimal solar cells with efficiencies that could surpass the 20% target.

(b) CH₃NH₃PbI₃ vs. CH₃NH₃PbI_{3-x}Cl_x

Highly efficient solar cells have been prepared from CH₃NH₃PbI₃ and CH₃NH₃PbI_{3-x}Cl_x. The precursor solution of the latter compound consists of 3 parts of CH₃NH₃I for 1 part of PbCl₂. Although initial studies had termed the mixed composition as CH3NH3PbI2Cl, the crystallographical and optical absorption properties are identical to that of CH₃NH₃PbI₃,³³ Stranks et al. have shown that⁷⁷ the diffusion lengths measured in CH₃NH₃PbI_{3-r}Cl_r are significantly longer than that in CH₃NH₃PbI₃, although the device performance of both compositions are comparable. In addition, while the charge carrier mobilities in both CH3NH3PbI3-xClx and CH3NH3PbI3 are similar, the bimolecular recombination rates in the mixed halide system are approximately one order lower, suggesting that electronic structure modulation by Cl has a role in reducing the spatial overlap of the electron and holes.⁷⁸ Colella et al. have also proposed that Cl within CH3NH3PbI3 can act as a dopant, improving the transport properties.89 XPS measurements have revealed that the final composition of the CH3NH3PbI3-xClx contains a significantly low amount of Cl (Cl/Cl + I = 2.2%) within them.⁵⁹ XRD analysis of evaporated CH₃NH₃PbI_{3-x}Cl_x films⁵⁵ also revealed impurity peaks of PbI₂, although the precursors were PbCl₂ and CH₃NH₃I. This points to the Cl source (either PbCl2 or CH3NH3Cl) playing a role in the film formation. The development of a preferred orientation in CH₃NH₃PbI_{3-x}Cl_x films³³ in contrast to CH₃NH₃PbI₃ films³⁵ again indicates that the Cl plays a role in film formation. Studies on CH₃NH₃PbI₃ and CH₃NH₃PbI_{3-x}Cl_x films with similar film properties (morphological and crystallographical) are required to reveal the electronic role of Cl within the perovskite.

(c) Hole transporting layers

The hole transporting material of choice for perovskite solar cells is spiro-oMeTAD, which has been well studied due to its popularity in solid-state DSCs. However, the high commercial prices of spiro-oMeTAD (due to synthesis complexity) as well as its tendency to be uncontrollably doped by O2, necessitate the development of alternatives. The wide variety of hole transporting organic layers originally developed for organic thin film transistors as well as light emitting devices could possibly be applied in conjunction with the perovskite system. An early study on alternatives to spiro-oMeTAD for perovskite solar cells had indicated that thiophene based systems may not be suitable.34 Arylamine based hole transporters such as poly-triarylamine,34 N,N-di-p-methoxyphenylamine-substituted pyrene derivatives90 and swivel cruciform thiophene-based molecules91 have shown performances between 11 and 12%. An interesting development⁹² has been the utilisation of the inorganic CuI hole transport layer with CH3NH3PbI3. Although they performed worse than spiro-oMeTAD solar cells due to high recombination, the work does indicate the possibility of applying other inorganic hole transporters such as CuSCN to the perovskite system. An equally intriguing avenue is the application of nanocarbon (e.g. graphite, carbon nanotubes, graphene/polymer composites) based hole transporters. As has been pointed out by Johansson and coworkers, the efficiencies can be primarily determined by recombination at the perovskite-HTM interface.76 The low thicknesses of HTM layers (~100 nm) in well optimised solar cells as well as the utilisation of dopants

point to very low losses within the HTM itself, indicating the crucial nature of the perovskite-HTM interaction.

(d) Newer perovskite compositions

Much of the high efficiency solar cells have utilised CH₃NH₃PbI₃ as the light absorber. Although this composition currently yields the most efficient solar cells, newer perovskite compositions which have tuneable bandgaps would be of interest to tandem solar cell configurations or in power applications that require high voltages. Seok and coworkers have elegantly shown how partial substitution of I with Br can yield colourful solar cells with varying photocurrent onset. One benefit of the partial substitution seems to be improved stability.37 Similarly, Hodes and Cohen have demonstrated CH3NH3PbBr3-xClx solar cells with open circuit voltages as high as 1.5 V.93 Another key effort required is the reduction of the bandgap of the perovskite solar cells for increased spectral response and therefore improved efficiencies. CH3NH3PbI3 based solar cells do not efficiently harvest photons close to its optical absorption onset (600-780 nm), resulting in photocurrents not approaching the theoretical maximum. The development of formamidinium $(HC(NH_2)_2^+)$ lead perovskites⁹⁴⁻⁹⁷ with a lower bandgap (1.48 eV) that allows for high photocurrents is thus a promising development. These high photocurrents allowed Eperon et al. to demonstrate planar heterojunction solar cells with power conversion efficiencies of up to 14.2%.94 The most pressing demand for newer photoactive perovskites is driven by the need to replace Pb. Hodes has estimated that a production capacity of 1000 GW per year from CH₃NH₃PbI₃ solar cells requires less than 10 000 tons of lead - much lower than the 4 million tons per year of lead used for lead-acid batteries currently.98 Thus, although the total amount of lead that is required is low, the risk of leaching Pb into the environment needs to be managed. Sn2+ may serve as a replacement to Pb2+, but its tendency to be easily oxidised is a drawback.99 Computational predictions play a critical role in Pb replacement efforts, due to the large variety of halide perovskites possible.

(e) Towards printability and scalability

The rapid growth in the efficiencies of perovskite solar cells within a short time period has been catalogued previously. 98,100 A target of 20% PCE value has also been identified as attainable in the perovskite solar cells. However, as important as the quest for efficiency is the pursuit of development activities aimed at depositing the perovskite materials through scalable manufacturing techniques such as screen printing. Carnie *et al.* have demonstrated that Al₂O₃ nanoparticles can be mixed directly with perovskite solution and spuncoated to form a composite photoactive layer. Further development of similar process recipes could yield compositions that could be screen printed, blade coated or applied through slot die coating. A fully printable perovskite solar cells albeit with an unconventional device configuration has been demonstrated by Han and coworkers. 101 The device architecture consisted of a screen

printed TiO2 layer, a ZrO2 layer and a carbon black/graphite composite layer onto which CH3NH3PbI3 was dropcast. Such devices displayed an efficiency of 6.64%. A critical barrier in the implementation of a printable perovskite solar cell is the deposition of the electron selective compact layer (typically TiO₂) formed by spray pyrolysis at high temperature). Planar perovskite solar cells employing organic electron and hole transport layers do not suffer from this limitation, but still display lower efficiencies than mesoporous layer based solar cells. 45,53,58,59,62 Approaches to avoid the high temperature processes during the formation of the compact layer includes the utilization of electrodeposited ZnO41 or a spuncoated ZnO nanoparticle layer.57 A similar nanoparticle based approach has been demonstrated by the utilization of a spuncoated graphene flake/ TiO₂ nanoparticle layer as the compact layer - yielding efficiencies of 15.6%.102 Very recently, a new low temperature compact layer TiO₂ deposition recipe has yielded impressive efficiencies of up to 15.9% for a solar cell fabricated at temperatures less than 150 °C.103

Much of the high efficiency reports on perovskite solar cells have been demonstrated on cell areas much less than 1 cm² (Table 2). Malinkiewicz et al. who reported an efficiency of 12.04% (cell area = 0.09 cm²) fabricated an \sim 1 cm² solar cell using the same process which yielded an efficiency of 8.27%.62 The primary difference in the photovoltaic parameters was the FF which reduced from 0.67 to 0.52 when going to the larger area solar cells. The reason for the reduction in the FF is unclear, but could arise from series resistance in the electrode itself. The first perovskite based modules have also been reported (up to 16.8 cm² module area) by Matteocci et al. who employed both P3HT and spiro-OMeTAD as hole transporters yielding efficiencies of 5.1%. 104 Such large scale demonstrations of perovskite solar cells are necessary for

perovskite solar cells to develop into a technology for widespread deployment.

(f) Stability studies

The 3-D methylammonium trihalogenoplumbates (II) crystals (i.e., CH₃NH₃PbCl₃, CH₃NH₃PbBr₃ and CH₃NH₃PbI₃) are known to undergo 1st order phase transitions. 105,106 In particular, CH₃NH₃PbI₃ undergoes a tetragonal I to cubic phase transition at \sim 327 K (or \sim 54 °C), which is close to the device operating temperatures under direct sunlight. This cubic-tetragonal transition results in the methylammonium ions exhibiting a disordered character while the PbX6 octahedron exhibits a displacive character. 106,107 Systematic studies of such effects on the carrier dynamics and on the device properties under operation are warranted. Since the phase transitions involve a volume change, it is pertinent to investigate whether infiltrating the perovskite within mesoporous layers can improve temperature stability. Reports on long term device performance tests are few, with 500 h stabilities under constant illumination being reported by Grätzel and coworkers.35 Snaith and coworkers have reported performance data for 1000 h in MSSCs and have pointed towards UV induced changes in TiO2 based solar cells due to desorption of surface-adsorbed oxygen. 108 Systematic studies on the degradation mechanisms (including the hole transport layers) are required to manage the lifetimes of these solar cells. Newer perovskite compositions which do not undergo phase transitions at device operational temperatures should be pursued as well.

In summary, the field of perovskite solar cells has rapidly grown to become the most efficient solution processed photovoltaics, leapfrogging other 3rd generation photovoltaic technologies. As this field matures, rapid improvements in the

Table 2 Compilation of high efficiency perovskite solar cells reported thus far (1st February 2014)

Report	Device structure	Device parameters	Efficiency	Active area
Sub-150 °C processed meso-superstructured perovskite solar cells with enhanced efficiency, <i>Energy Environ.l Sci.</i> 2014, 7, 1142–1147	$ FTO/TiO_2/mesoporous \\ Al_2O_3/CH_3NH_3PbI_{3-x}Cl_x/ \\ spiro-OMeTAD/Ag $	$J_{\rm sc} = 21.5 \text{ mA cm}^{-2},$ $V_{\rm oc} = 1.02 \text{ V and FF} = 0.71$	15.9%	0.0625 cm ²
Perovskite solar cells with a planar heterojunction structure prepared using room-temperature solution processing techniques. <i>Nat. Photonics</i> 2014, 8 , 133–138	ITO/ZnO/CH ₃ NH ₃ PbI ₃ / spiro-OMeTAD/Ag	$J_{\rm sc} = 20.4 \; { m mA \; cm^{-2}},$ $V_{ m oc} = 1.03 \; { m V} \; { m and \; FF} = 0.749$	15.7%	0.07065 cm ²
Low-temperature processed electron collection layers of graphene/TiO ₂ nanocomposites in thin film perovskite solar cells, <i>Nano Lett.</i> 2014, 14 , 724–730	FTO/graphene-TiO ₂ / mesoporous-Al ₂ O ₃ / CH ₃ NH ₃ PbI _{3-x} Cl _x / spiro-OMeTAD/Au	$J_{\rm sc} = 21.9 \text{ mA cm}^{-2},$ $V_{\rm oc} = 1.04 \text{ V and FF} = 0.73$	15.6%	0.0625 cm ²
Efficient planar heterojunction perovskite solar cells by vapour deposition, <i>Nature</i> 2013, 501 , 395–398	$\label{eq:fto-def} \begin{split} & \text{FTO/TiO}_2/\text{CH}_3\text{NH}_3\text{PbI}_{3-x}\text{Cl}_x/\\ & \text{spiro-OMeTAD/Ag} \end{split}$	$J_{\rm sc} = 21.5 \; { m mA \; cm}^{-2},$ $V_{ m oc} = 1.07 \; { m V} \; { m and \; FF} = 0.67$	15.4%	0.076 cm ²
Sequential deposition as a route to high-performance perovskite-sensitized solar cells, <i>Nature</i> 2013, 499 , 316–319	FTO/TiO ₂ /mesoporous TiO ₂ / CH ₃ NH ₃ PbI ₃ /spiro-OMeTAD/Au	$J_{\rm sc} = 20 {\rm mA cm^{-2}},$ $V_{\rm oc} = 0.993 {\rm V and FF} = 0.73$ $J_{\rm sc} = 21.3 {\rm mA cm^{-2}},$ $V_{\rm oc} = 1.0 {\rm V and FF} = 0.66$	15.0% 14.1% (certified)	0.285 cm ² 0.209 cm ²

power conversion efficiencies will become harder to come by. Thus, a deeper understanding of the fundamental working mechanisms become increasingly important especially if efficiencies exceeding 20% need to be achieved. Concurrently, technological developments in the area of scalable manufacturing techniques, toxicity and stability need to be effectively addressed.

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