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Cationic triangulenes and helicenes: synthesis, chemical stability, optical properties and extended applications of these unusual dyes

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Cationic triangulenes and helicenes are highly stable carbocations with planar and helical conformations respectively. These moieties are effective dyes with original absorption and emission properties. Over the last decade, they have received greater attention and are considered as valuable tools for the development of innovative applications. In this review, the synthesis of these unique compounds is presented together with their core chemical and physical properties. Representative applications spanning from surface sciences to biology and chemistry are presented.

Introduction

Since the pioneering studies of Hofmann, Verguin and Lauth on the preparation of fuchsine and crystal violet, cationic triaryl-methyl organic dyes have been topics of academic and industrial importance.¹ The carbocations are isolable due to the presence of donor groups on the aromatic rings that stabilize the positive charge (*i.e.* the *para* amino groups on the specified derivatives, Fig. 1).² However, steric repulsions enforce a twist of the aromatic rings and the triaryl-methyl carbenium ions adopt therefore three-bladed propeller conformations. A reduction of the efficacy of the delocalization and the electronic stabilization results.

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Jérôme Gouin

Such a drawback was realized as early as 1964 by Martin and Smith who proposed to prepare planarized structures instead.³ Sesquixanthylum **1** was prepared and shown to be more stable than its propeller analogue **2** (Fig. 1). Despite the originality and the obvious benefits associated with the structure, compound **1** remained somewhat confidential until the 1990s when a series of studies on functionalized derivatives of **1** and on nitrogen-containing analogues, compounds **3** to **5** (Fig. 2), were reported.

Compounds **1**, **3**, **4** and **5** are the subject of this review. These moieties are called triangulenes due to their structural triangular geometry and hence the names TOTA⁺, ADOTA⁺, DAOTA⁺ and TATA⁺ that are used to describe them.⁴ The chemistry of structurally (and synthetically) related cationic [4] and [6]helicenes **6** and **7** will also be detailed.⁵ Syntheses will be described. Chemical stability and optical properties will be

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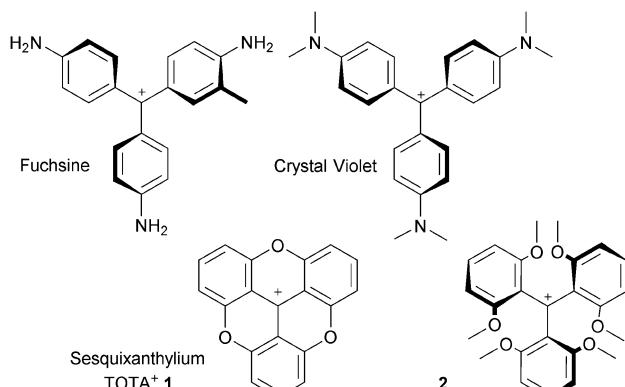


Fig. 1 Fuchsine and crystal violet (CV), TOTA⁺ 1 and its precursor 2.

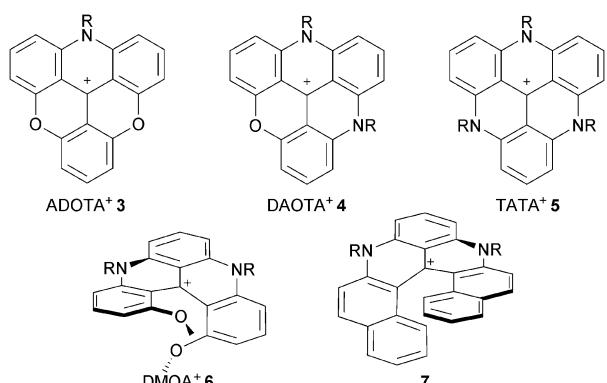


Fig. 2 N-containing cationic triangulenes (3, 4, 5) and helicenes (6, 7).

discussed as these compounds are cationic dyes of tremendous stability under basic conditions. Applications of these core structures and derivatives in the fields of chirality, physical organic chemistry, catalysis, photophysics, optoelectronic and biology will be exemplified.



Jérôme Lacour

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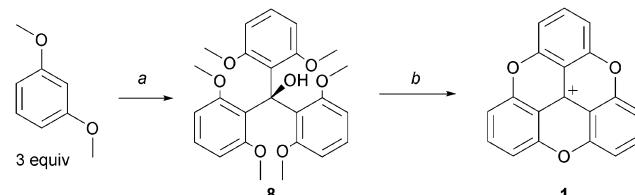
Synthesis

Preamble: on the importance of nucleophilic aromatic substitution reactions (S_NAr)

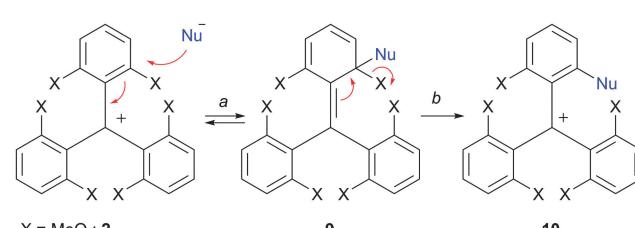
In the seminal publication by Martin and Smith, trioxatriangulenium **1** was prepared in two steps only. First, diethylcarbonate and *ortho*-lithiated 1,3-dimethoxybenzene were reacted together using a 1 : 3 stoichiometry.³ Then, the resulting triaryl carbinol **8** was dissolved in molten pyridinium hydrochloride to generate the desired, fully ring-closed, planar structure **1**. Importantly, these two steps outline the strategy employed in all the procedures used to make compounds of this type that is (i) the construction of an appropriate triarylmethyl carbenium precursor and then (ii) S_NAr to perform ring closures (planarization) and functionalizations at the periphery of the core structures (Scheme 1).

In fact, triaryl carbenium ions substituted by alkoxy or hydroxyl groups undergo facile substitution reactions of the OR or OH moieties in the presence of nucleophiles, as these substituents are effective nucleofuges in this context (Scheme 2, X = OMe typically). The first elemental step, the addition (*a*, **2** to **9**, see Scheme 2), is usually rate-determining. It is rendered possible by the electron-withdrawing ability of the central positive charge. The second elemental step, the elimination of X (*b*, **9** to **10**, see Scheme 2), transforms the transient sp³-hybridized site of attack back to sp² reestablishing the aromaticity of the ring. Importantly for this chemistry, alkoxy substituents X are both sufficiently electron-donating to stabilize the original cationic structures and yet good enough leaving groups. The nucleophiles must be able to add at sterically hindered (*ortho*) positions and be also excellent donating groups to drive the reactions to completion by a better stabilization of the positive charge after the substitution (*e.g.*, **10** must be more stable than **2**).

This method of *ortho* substitution can then lead to ring closures if the introduced nucleophile reacts consecutively with a second (neighboring) X substituent in another sequence of addition/elimination. It can also be applied to substrates possessing



Scheme 1 Historical preparation of **1** via triaryl carbinol **8**. *a*: PhLi (3.5 equiv.), (EtO)₂CO, Et₂O/benzene, reflux, 3 days; *b*: Pyr-HCl, 205 °C, 1 h.



Scheme 2 S_NAr as transformations of choice.



alkoxy groups at *para* positions. Many examples will be shown in the following paragraphs.

Peripheral functionalization of central trioxo cores

After the initial report on **1**,³ the first novel derivatives to be prepared based on a TOTA⁺ skeleton were derivatives with amino donor groups at positions *para* to the formal positive charge, and tris-dialkylamino A₃-TONA⁺ **11** in particular.⁶ Adducts A₁-TONA⁺ **12** and A₂-TONA⁺ **13** with one and two NR₂ groups respectively were reported only later (Fig. 3).⁷

A₃-TONA⁺ **11** was synthesized from 2,4,6,2',4',6',2'',4'',6''-nonamethoxytriphenylcarbenium ion **14** (Scheme 3). Compound **14** was prepared by addition of an excess of *ortho*-lithiated 1,3,5-trimethoxybenzene to diethyl carbonate, the resulting carbinol being converted to **14** in 70% overall yield by acid treatment.⁸ Upon treatment with secondary amines, sequential S_NAr of the three methoxy groups *para* to the central carbon atom was then achieved to provide mono-, bis-, and tris-NR₂ substituted triaryl carbenium ions, **15**, **16** and **17** respectively, in good yields (77–93%). To isolate **15** and **16** selectively, a careful choice of solvent and a control of the reaction time were necessary. With **17** in hand, conversion to yellow-orange A₃-TONA⁺ **11** was

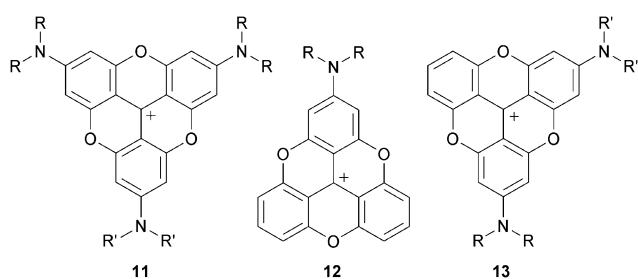
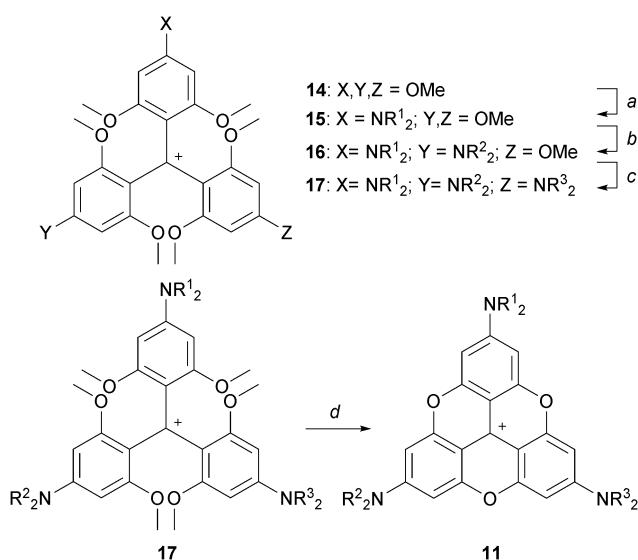
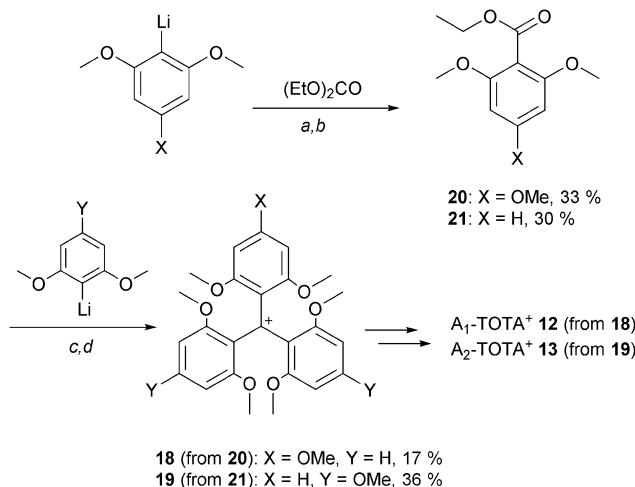


Fig. 3 Structures of A_n-TONA⁺ (n = 3) **11**, (n = 1) **12** and (n = 2) **13**.



Scheme 3 Synthesis of A₃-TONA⁺. *a*: R₁²NH, CH₃CN, 5 min; *b*: R₂²NH, CH₃CN, 20 h; *c*: R₃²NH, CH₃CN or DMF or NMP, up to 9 days; *d*: LiI, NMP, 170 °C, 4 h.



Scheme 4 Hepta- and octamethoxy triaryl carbenium ions. *a*: 1,3,5- (for **20**) or 1,3-dimethoxy benzene (for **21**), n-BuLi, Et₂O/benzene (1:1), 20 °C, 3 h; *b*: diethyl carbonate, Et₂O, 20 °C, 16 h. *c*: 1,3- (for **18**) or 1,3,5-dimethoxy benzene (for **19**), n-BuLi, Et₂O, 20 °C, 48 h; *d*: **20** (for **18**) or **21** (for **19**), Et₂O, 20 °C, 16 h.

achieved by treatment with LiI at 170 °C. A₃-TONA⁺ ions are highly stable carbocations; the precise measurement of their chemical stability will be discussed later in the article.

Mono- and bis-dialkylamino TOTA⁺ **12** and **13** required the synthesis of specific triaryl carbenium precursors **18** and **19** which were, this time, prepared using stepwise protocols.^{3,7} For instance, to make precursor **18**, *ortho*-lithiated 1,3,5-trimethoxybenzene was submitted to a single carbonation reaction yielding ethyl 2,4,6-trimethoxybenzoate **20** in moderate yield; this compound being subjected to an excess of *ortho*-lithiated 1,5-dimethoxy benzene to form **18** (Scheme 4). For **19**, a similar approach was used; the *p*-OMe groups being introduced this time on the nucleophilic fragments rather than on the electrophilic ester building block **21**.

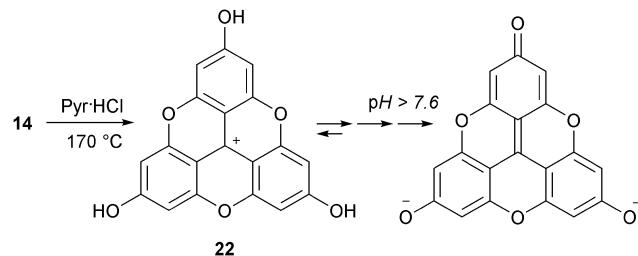
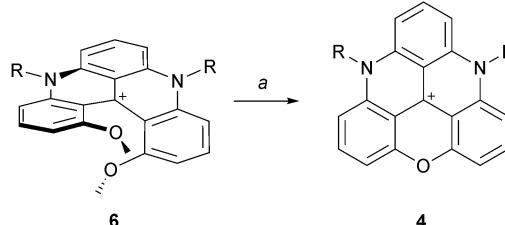
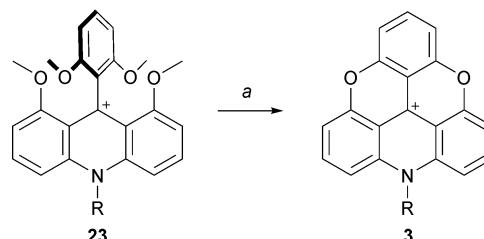
With cations **18** and **19** in hand, substitution of the *p*-OMe group(s) by a secondary amine and subsequent LiI-mediated cyclizations proceeded to afford A₁-TONA⁺ and A₂-TONA⁺ ions respectively, albeit in moderate yields (23% and 35%, with R = decyl for **12** and R = R' = ethyl for **13**). Unlike in the preparation of **11**, a need for collidine as an additive in the LiI-mediated reaction was noted.⁷

In a recent study, precursor **14** was converted into the tris hydroxylated analog H₃-TONA⁺ **22**. In this case, both cyclization of the internal core and hydrolysis of the peripheral MeO groups occurred upon treatment with molten pyridine hydrochloride.^{9,10} In DMSO (6% v/v H₂O), **22** exists in either cationic, neutral, anionic or bis-anionic forms as a function of pH (Scheme 5).¹¹

Construction of nitrogen-containing triangulene cores

An important breakthrough was disclosed in 2000 by Laursen and Krebs with the synthesis of cationic triazatriangulene **5** (TATA⁺) and related derivatives.¹² It was shown that the treatment of **2** with primary alkylamines formed sequentially acridinium ions **23**, dimethoxyquinacridinium ions (DMAQ⁺) **6** and TATA⁺ adducts **5** (Scheme 6). In terms of mechanism, these

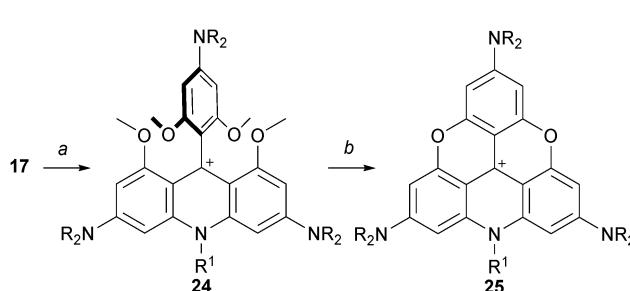


Scheme 5 Formation of $\text{H}_3\text{-TOTA}^+$ 22.Scheme 6 Synthesis of TATA⁺ core 5. a: RNH_2 (5 equiv.), NMP, 25 °C, 15 h; b: RNH_2 (25 equiv.), NMP, 100 °C, 45 min; c: RNH_2 (25 equiv.), NMP, reflux, 10–24 h.

compounds clearly result from $\text{S}_{\text{N}}\text{Ar}$ of the MeO groups by the amines as depicted in Scheme 2. Under appropriate reaction conditions, the addition of one, two or three amino groups can be achieved. The first amino bridge is obtained at room temperature. A moderate (80–110 °C) or a strong heating (>140 °C) are however necessary for the making of 6 and 5 respectively as substitution reactions of MeO groups become less and less facile with each N-atom introduction. Large excesses of amines RNH_2 are also usually necessary. At elevated temperatures and in the case of low boiling point amines, it was mentioned that the addition of additives such as benzoic acid is useful.

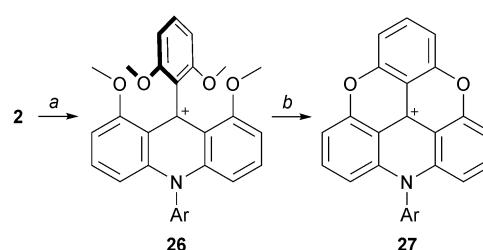
The possibility to isolate acridinium 23 and quinacridinium 6 ions in good yields could then be used for the preparation of ADOTA⁺ 3, DAOTA⁺ 4 and unsymmetrical TATA⁺ ions (Scheme 7).¹³ In fact, treatments of 23 and 6 under conditions used for the preparation of TOTA⁺ (typically molten Pyr-HCl or LiI/DMF) yielded the fully ring-closed azadioxa 3 (80% yield) and diazoa 4 (85% yield) analogs that have interesting properties of their own (*vide infra*). Using with 23 an amine different from the one first introduced afforded C_2 -symmetrical TATA⁺ derivatives after ring closures.^{13,14}

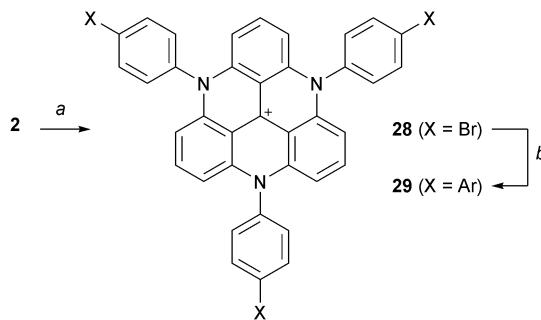
Also, it was shown that an acridinium cation of type 24 can be made from precursor 17 of $\text{A}_3\text{-TOTA}^+$ moieties.¹⁵ 17 reacted (only) once with alkyl amines to generate 24 which could then be converted to $\text{A}_3\text{-ADOTA}^+$ 25 (Scheme 8, 80% yield).

Scheme 7 Preparation of ADOTA⁺ 3 and DAOTA⁺ 4. a: Pyr-HCl, 200 °C, 1 h.

Product 25 is again a particularly stable carbocationic species; this property will be discussed in detail later in this review.

With anilines that are less nucleophilic than alkyl amines, more forcing conditions are necessary for the preparation of the corresponding derivatives. For instance, Krebs used boiling 2,6-lutidine as solvent for the reaction of anilines with 2 to afford the acridinium ions 26, which were then converted into their ADOTA⁺ ions 27 (Scheme 9).¹⁶ Of note, compound 27 derived from 4-bromoaniline could be engaged in palladium-catalyzed Suzuki cross-coupling reactions for further investigation. It was later shown that temperatures as low as 50 °C over 12 hours could be used for the transformation of 2 into 26.¹⁷

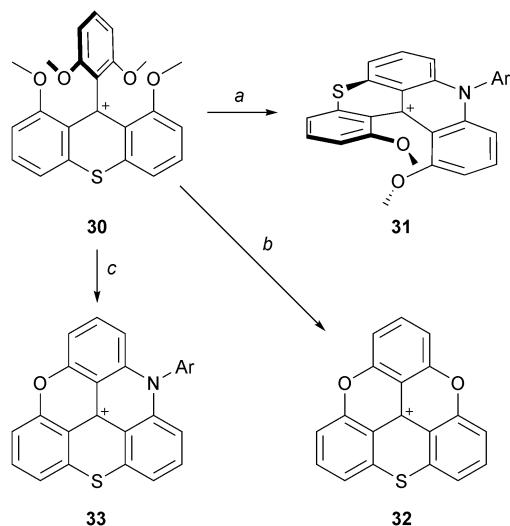
Scheme 9 Preparation of ADOTA⁺ 27 using anilines as nucleophiles. a: ArNH_2 , 2,6-lutidine, reflux, 30 min; b: Pyr-HCl, 180 °C, 1 h.



Scheme 10 Preparation of triaryl TATA⁺ **28** and cross-coupling reactions. *a*: aniline, NaH, 190–210 °C, 20 min; *b*: ArB(OH)₂, PdCl₂(PPh₃)₂, K₂CO₃, toluene/H₂O, reflux, 12 h.

More recently, Laursen and co-workers managed to prepare TATA⁺ ions with three aryl substituents on the N-atoms by treatment at 200 °C (20 min) of mixtures of cation 2, NaH (3.1 equiv.) and the corresponding anilines (Scheme 10).¹⁸ This procedure seems to be limited to arylamines with melting points lower than 200 °C since they are used as solvents. As in the previous case, further functionalization *via* palladium-catalyzed cross coupling reactions was possible.

Finally, the formation of sulfur-bridged analogues was reported (Scheme 11).¹⁹ It was necessary to include the sulfur atom early in the synthesis and tetramethoxyphenylthioxanthenium ion **30** was prepared from 2-methoxybenzoic acid in six steps. **30** was reacted with aniline (neat, 110 °C, 30%) to yield the dimethoxy-thiachromenoacridinium cation **31**. Treatment of **30** at 200 °C in pyridinium hydrochloride yielded cationic *O,O,S*-triangulene **32** (73% yield). For the analog **33** of ADOTA⁺, in sharp contrast with the situation detailed above, only with anilines (neat, 190 °C, 22 h) could the corresponding cationic *N,O,S*-triangulene **33** be afforded (30%).²⁰



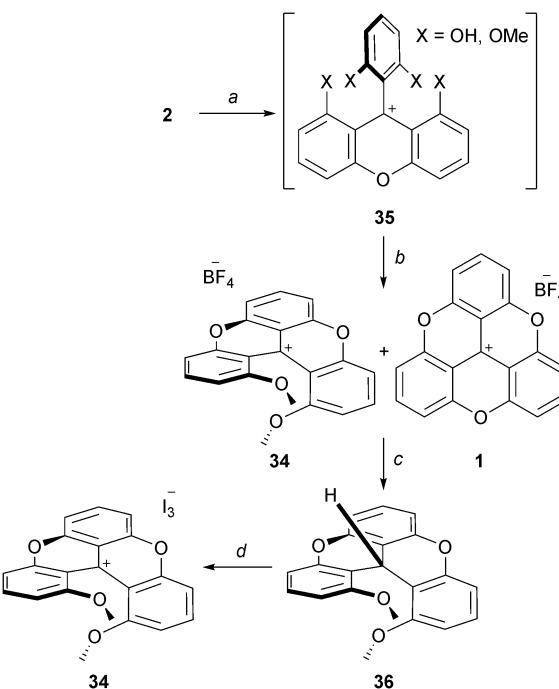
Scheme 11 Sulfur-bridged analogues. *a*: aniline (30 equiv.), PhCO₂H (3 equiv.), 110 °C, 22 h; *b*: Pyr:HCl, 200 °C, 4 h; *c*: anilines (20 equiv.), 190 °C, 22 h.

Cationic [4] and [6]helicenes

As just presented, using slightly modified protocols, it is possible to isolate quinacridinium ion **6** or its thioaza analog **31** in moderate to good yields (*vide supra*). Unlike triangulenes, these compounds adopt a helical conformation due to the steric repulsion between the remaining methoxy groups. As such, they can be considered as cationic [4]helicenes. The characterization of their chiral nature and properties will be the subject of later chapters.

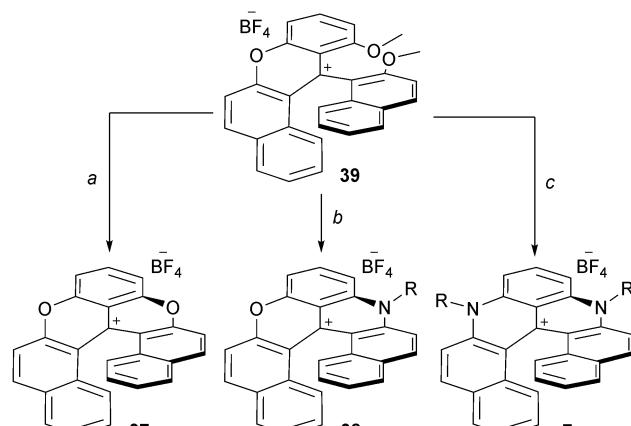
Lacour and co-workers reported the synthesis of 1,13-dimethoxychromenoxanthenium ion **34** (DMCX), the dioxo analog of **6** (Scheme 12).²¹ A stepwise synthesis was necessary as it was found that **34** converted rapidly into TOTA⁺ under rather mild conditions. In fact, **34** had never been isolated in previously reported synthesis of **1** despite being an obvious intermediate. Practically, precursor **2** was treated with BBr₃ (excess) to provide a mixture of mono- and biphenols **35**. Upon ion exchange and heating at 100 °C, **34** was obtained as a mixture with TOTA⁺. To achieve the separation of **34** and **1**, a reduction of the crude with NaBH₄, a chromatography of the neutral adducts and a final oxidation with I₂ were necessary. Starting from **2**, compound **34** was obtained in 15% yield.

Recently, dioxo-, azaoxa- and diaza[6]helicenes, compounds **37**, **38** and **7** respectively, were prepared from a common identical advanced intermediate **39** (Scheme 13).²² This derivative was obtained in five steps (59% overall yield, 10 g scale) from commercially available materials. Rapid treatment of **39** in molten pyridinium hydrochloride afforded **37** in 95% yield. Mixed azaoxa **38** was obtained in a two-step sequence (40% overall yield). First, **39** was reacted with a primary amine at 50 °C followed,



Scheme 12 Preparation of the DMCX ion **34**. *a*: BBr₃, CH₂Cl₂, 0 to 20 °C; *b*: 1: HBF₄ aq, 2: neat, 100 °C; *c*: NaBH₄, EtOH, 20 °C, then separation by chromatography on SiO₂; *d*: I₂, Et₂O, 20 °C.





Scheme 13 Preparation of [6]helicenes **37**, **38** and **7** from **39**. *a*: Pyr-HCl, 224 °C, 2 min; *b*: 1. RNH₂, 50 °C, 30 min, 2. neat, 200 °C, 5 min; *c*: RNH₂, NMP, 170 °C (μW), 5 min.

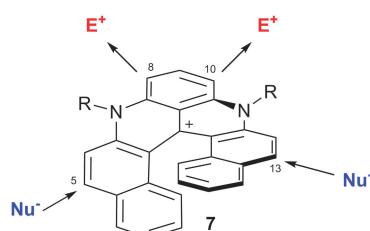


Fig. 4 Post-functionalization of cationic diaza[6]helicene **7**.

after evaporation of the excess of amine, by a thermal treatment at 200 °C. Finally, treatment of **39** with a large excess of RNH₂ (25 equiv., NMP, 170 °C, 5 min, μW) afforded the diaza[6]helicenes **7** (40–50% yield).

Interestingly, and quite unusually in both cationic triangulene and helicene chemistry, regioselective functionalization of compounds of type **7** was possible. Diaza[6]helicenes substituted at positions 5 and 13, or 8 and 10 were readily obtained (Fig. 4). In fact, thanks to the contribution and proximity of the two nitrogen atoms, the more electron-rich benzo group can react with electrophilic reagents while the naphthyl subunits undergo reactions with nucleophilic moieties. Further transformations were possible through cross-coupling chemistry using halogen-containing derivatives (made by reaction of **7** with NBS for instance). All these compounds possess quite unique properties that will be detailed in the following paragraphs.

Core properties

Exceptional stability in basic media

One of the most interesting and intriguing characteristics of triangulenium ions and their related derivatives is their extremely high stability as carbenium species in basic solutions. Many of these moieties are actually so unreactive that their corresponding carbinols cannot be obtained in straight basic aqueous solutions (pH ≤ 14). This “resistance” to the nucleophilic attacks of water and the hydroxide anion to

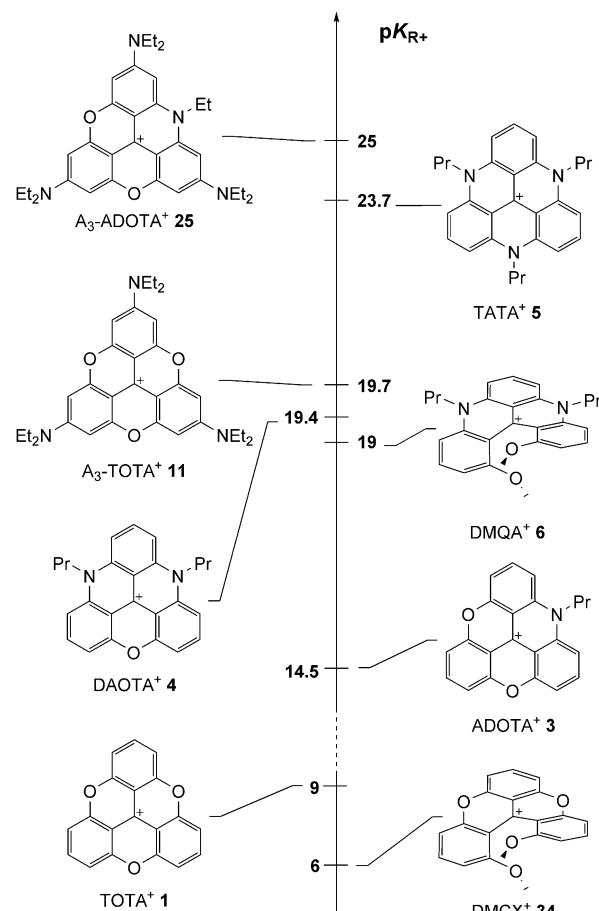
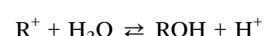


Fig. 5 pK_{R+} values of cationic helicenes and triangulenes.

the central carbon is best expressed by the very large and positive pK_{R+} values that these compounds possess. As a reminder, the pK_{R+} value is defined by the equilibrium between the cationic species and their corresponding carbinols as described in eqn (1).



$$pK_{R^+} = H_X + \log \frac{[R^+]}{[ROH]} \quad (1)$$

The values are displayed in Fig. 5 and they range from 6 (DMCX⁺ **34**) to *ca.* 25 (A₃-ADOTA⁺ **25**). For comparison, Breslow's classical aromatic triphenylcyclopropenyl cation and crystal violet have values of 2.8 and 9.4 respectively.^{23,24} The scale being logarithmic, those compounds are thus 10²² and 10¹⁵ less stable than the A₃-ADOTA⁺ ion respectively. If superior to 14, pK_{R+} values cannot be evaluated in aqueous solutions and particular experimental conditions are required. This is for instance the case for **4**, **5**, **11** and **25**. The studies were typically performed in a DMSO/water/Me₄NOH solvent system, the DMSO:water ratio controlling the strength of the hydroxide ions and the basicity of the medium which is quantified *via* an acidity function H_X.²⁵ The conversion of the carbocation into the corresponding carbinol is usually monitored using UV-Vis spectrometry. As could be expected, the more the nitrogen

atoms present within the triangulenium core or as substituents, the higher the stability of the resulting carbenium ions and their pK_{R^+} values (see Fig. 5).

Absorption and fluorescence

Cationic triangulenenes and related helicenes are effective dyes. Their optical properties are summarized in Table 1. They usually absorb light in the visible region at wavelengths between 450 and 650 nm. In a general fashion, as observed in the spectra of many triaryl carbenium ions, the lowest energy absorption band presents a shoulder. Different explanations have been proposed to rationalize this behavior. For instance, in the D_{3h} symmetric structures (*i.e.* TOTA⁺ **1**, A₃-TOTA⁺ **11** or TATA⁺ **5**), the excited state could be doubly degenerated and the shoulder would then result from two different electronic $\pi \rightarrow \pi^*$ transitions from one single ground state to two close-lying excited states.²⁶ Alternatively, the lowest excited state could possess a degenerated C_{2v} symmetry and undergo a stabilizing Jahn-Teller-type distortion. This would influence the HOMO ground state and, *in fine*, it would be responsible for the lift of its degeneracy.²⁷ Furthermore, the nature of the medium surrounding the dyes has a strong influence on the shape of the band. Depending on the solvent, the dye may exist as an independent solvated ion, as a tight ion pair or even as an ion quadruple. It is then immediately reflected in the absorption spectra (*e.g.* in the case of A₃-TOTA⁺ **11**, Fig. 6).²⁸

Symmetry is definitely essential. D_{3h} -symmetric compounds (*see above*) absorb at higher energies than C_{2v} -symmetric A₁-TOTA⁺ **12**, A₂-TOTA⁺ **13**, ADOTA⁺ **3** and DAOTA⁺ **4**. Similarly, cationic helicenes (of lower symmetry) absorb at higher wavelengths than the corresponding triangulenenes. In terms of electronic influence, introduction of electron donating groups in the *para* position related to the central carbon atom induces an enhancement of the absorption coefficient in the A-TOTA⁺ series.⁷ Also, replacing oxygen by nitrogen atoms in the cationic cores induces a gradual red shift in the absorption spectra. This is particularly

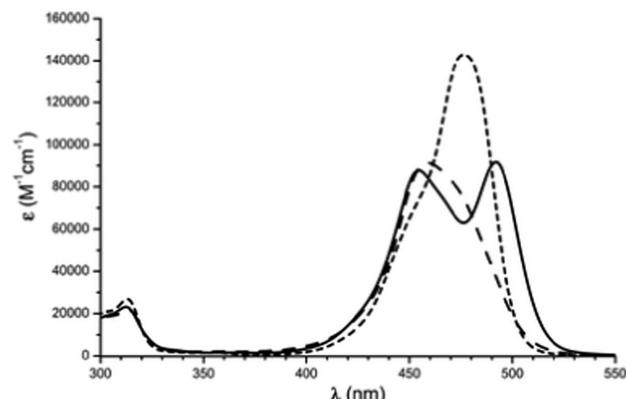


Fig. 6 Absorption spectra of A₃-TOTA⁺ (tris-didecylamino-**11**) in CH₂Cl₂ (dot line), benzene (plain line) and heptanes (dot dashed line). Reproduced from ref. 28 with permission from the European Society for Photobiology, the European Photochemistry Association, and The Royal Society of Chemistry.

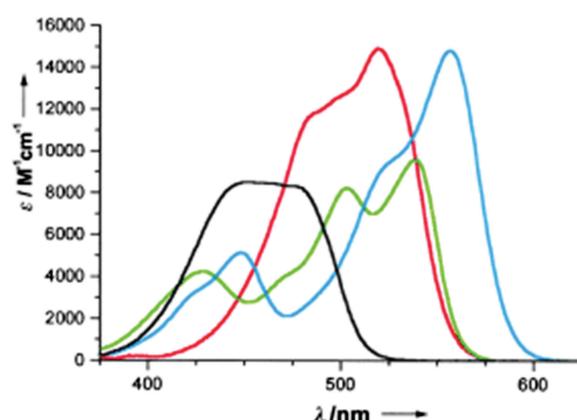


Fig. 7 Absorption spectra in acetonitrile of TOTA⁺ **1** (black), ADOTA⁺ **3** (green), DAOTA⁺ **4** (blue) and TATA⁺ **5** (red). Reproduced from ref. 13.

Table 1 Representative optical properties of triangulenium and helicenium ions

Entry	Compound	Solvent	λ_{max} (nm)	$\varepsilon_{\lambda_{\text{max}}}$ (M ⁻¹ cm ⁻¹)	λ_{flu} (nm)	ϕ (%)	τ (ns)
1 ⁷	TOTA ⁺ 1	CH ₂ Cl ₂	475	8200	520	11	11.7
2 ⁷	A ₁ -TOTA ⁺ 12	CH ₂ Cl ₂	507	41700	529	5.1	4.2
3 ⁷	A ₂ -TOTA ⁺ 13	CH ₂ Cl ₂	513	59700	544	100	4.16
4 ⁷	A ₂ -TOTA ⁺ 13	CH ₃ CN	512	46000	541	44	1.34
5 ⁷	A ₃ -TOTA ⁺ 11	CH ₂ Cl ₂	471	132900	494	67	3.6
6 ⁹	H ₃ -TOTA ⁺ 22	DMSO	416	57000	491	<1	3.2
7 ⁹	H ₃ -TOTA ⁺ 22	H ₂ O	413	38000	477	30	2.9
8 ⁹	H ₃ -TOTA ⁺ 22	DMSO	477	35000	493	62	4.3
9 ⁹	H ₃ -TOTA ⁺ 22	H ₂ O	429	23000	474	5	3.8
10 ⁹	H ₃ -TOTA ⁺ 22	DMSO	485	55000	505	87	4.2
11 ⁹	H ₃ -TOTA ²⁻ 22	DMSO	451	76000	471	65	3.3
12 ⁹	H ₃ -TOTA ²⁻ 22	H ₂ O	425	63000	477	30	2.9
13 ³⁰	ADOTA ⁺ 3	CH ₃ CN	540	9800	555	42	26.8
14 ³⁰	DAOTA ⁺ 4	CH ₃ CN	557	14800	590	46	24.8
15 ³⁰	TATA ⁺ 5	CH ₃ CN	525	18200	557	21	9.4
16 ³³	DMQA ⁺ 6	CH ₂ Cl ₂	617	—	656	20.5	12
17 ³³	DMQA ⁺ 6	CH ₃ CN	617	13800	668	7.6	5.5
18 ³⁴	DMCX ⁺ 34	CH ₂ Cl ₂	580	3100	613	1.5	2.3

well observed in the triangulenium series from TOTA⁺ to DAOTA⁺ (Fig. 7) or in the helicenes from DMCX⁺ **34** to DMQA⁺ **6**. However, as the influence of the symmetry is predominant, D_{3h} -symmetric TATA⁺ absorbs at higher energy than C_{2v} -symmetric DAOTA⁺. It is also worth mentioning that the replacement of a N-atom by a sulfur induces a red shift in the absorption properties as seen for compounds **32** and **33**.¹⁹

Laursen and co-workers went further into details in their analysis of the relation between symmetry and optical properties.²⁹ C_{2v} -symmetric ADOTA⁺ and DAOTA⁺ ions present S₀ to S₁ electronic transitions at wavelengths superior to 500 nm and S₀ to S₂ transitions in the 400–450 nm region. The S₀ to S₁ transition involves an electron movement from the nitrogen atoms toward the central carbon atom whereas the S₀ to S₂ transition is due to electron movement from the oxygen atoms with a lower absorption coefficient due to a lower electron donating ability. Fluorescence anisotropy measurement further showed that the emissions of those two transitions are perpendicular. TOTA⁺ and TATA⁺, which belong to the D_{3h} group point, exhibit on the other hand degenerate transitions (*vide supra*). Absorption and emission spectra are not mirror images, indicating that the



transitions are actually overlapping. Fluorescence anisotropy measurement showed that, most probably, the excited state is C_{2v} -symmetric.

Cationic triangulenes and related helicenes are moderately to highly fluorescent. In the A-TOTA⁺ series, introduction of electron donating groups at the periphery of the cationic core in the *para* position to the formal cationic charge induced an enhancement of the fluorescence quantum yields.⁷ ADOTA⁺ and DAOTA⁺ are particularly effective fluorophores with quantum yields higher than 40% in CH₃CN and fluorescence lifetimes higher than 20 ns.³⁰ As a consequence, applications in bioimaging have been developed and will be detailed later (*vide infra*).

Fluorescence enhancement and fluorescence quenching

Fluorescence can be enhanced on metal surfaces. This has been investigated by Sørensen, Gryczynski and co-workers in the case of ADOTA⁺ adsorbed on silver nanoparticles on a gold film (Ag-SACs).³¹ The metal enhanced fluorescence is 54 times stronger than on glass. On the other hand, Dileesh and Gopidas have shown that the fluorescence of the electron acceptor TOTA⁺ ion is effectively quenched using a variety of electron donating quenchers through a photoinduced electron transfer (PET) mechanism.³² In this case, a reductive quenching occurs as the quencher transfers one electron to the cation. The same authors further investigated the fluorescence quenching of the complete TOTA⁺, ADOTA⁺, DAOTA⁺ to TATA⁺ series.³⁰ In a general fashion, from “electron poor” TOTA⁺ to “electron rich” TATA⁺, the reductive quenching becomes less and less efficient while the oxidative fluorescence quenching is more prompt to occur.¹³

Electrochemistry

A rather rich electro reduction and oxidation chemistry has also been reported. The carbenium ions are in fact readily studied by cyclic voltammetry or other related methods. It is possible to observe up to two one-electron oxidations and two one-electron reductions. Strong differences are observed among the different cations. However, and quite unfortunately, values of the measured potentials diverge quite strongly from one study to the next as different sets of conditions and methods have been used over the past 30 years. As such, only the most recent values measured by cyclic voltammetry *vs.* SCE (Saturated Calomel Electrode) are reported. In general, the first one-electron oxidation and reduction waves appear chemically reversible. The derived potentials are summarized in Fig. 8 for triangulenium **1**,³⁵ **3**,³⁰ **4**,³⁰ **5**,³⁰ **11**⁶ and DMQA⁺ **6**.³⁶ Clearly, the more the nitrogen atoms present in the triangulene core or as substituents, the easier it is to oxidize the corresponding carbenium ions. Similarly to the pK_R values, this can be explained by the better donor ability of N-atoms *vs.* O-atoms. Alternatively, with more oxygen substituents, compounds are reduced readily. For instance, TOTA⁺ and TATA⁺ are reduced at -0.385 and -1.40 V respectively.

Counterion influence in solution and solid states

All the compounds under study are cationic and, not too surprisingly, negative counterions may have a noticeable influence in solution on the photophysical properties of the salts.

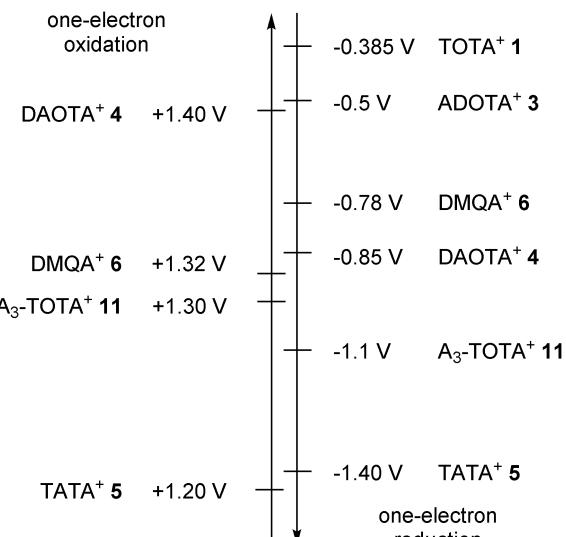


Fig. 8 Oxidation and reduction potentials of cationic helicenes and triangulenes *vs.* SCE.

The equilibrium between tight, loose, dissociated ion pairs and aggregates depends not only on the polarity of the solvent but also on the gegenion.³⁷ Solubility of the salts in either organic solvents and aqueous solutions or their elution behavior on chromatographic phases can also be addressed through the nature of the anion. If the cation is chiral and racemic, then enantiopure counterions can help differentiate the enantiomers in NMR spectroscopy and assist possibly in the resolution *via*, for instance, a selective precipitation of one of the diastereomeric salts (*vide infra*).

Negative counterions may also have an influence on condensed state structures. For instance, in monocrystals of TOTA⁺ salts **1**, monodentate and “small” counterions are localized close to the central carbon atom whereas they are more likely to be positioned at the periphery of TATA⁺ or A₃-TOTA⁺ derivatives **5** and **11**, near the electron-rich N-atoms. For TOTA⁺ specifically,³⁸ strong differences were observed in the X-ray diffraction analyses of salts with monovalent (I⁻, BF₄⁻, AsF₆⁻, PF₆⁻, HNO₃⁻, NO₃⁻, CF₃SO₃⁻) and divalent (Mo₆Cl₁₄²⁻, S₂O₆²⁻) anions. For the first class, a zigzag pattern between cations and anions was noticed while divalent counterions tended to be “sandwiched” between two cations.

Recently, in association with TATA⁺ cations, interesting results were observed with a particular class of counterions, that of planarizable anionic complexes. The complexes are comprised of a small anionic atom (typically chloride) onto which is wrapped an acidic organic ligand (*e.g.*, a BF₂ complex of dipyrrol-1,3-dienone). Those anionic entities can be prepared by the *in situ* reaction of the neutral ligand with a [TATA][Cl] salt for instance. The result is a tight association of a planar cation and a planarized “supramolecular anion” which leads to the formation of charge-by-charge assemblies.³⁹ Both ions stack on top of each other (Fig. 9). In some instances, *via* electrostatic and π - π interactions, a well-ordered gel is produced which acts as an energy trap. Several applications (*i.e.* formation of



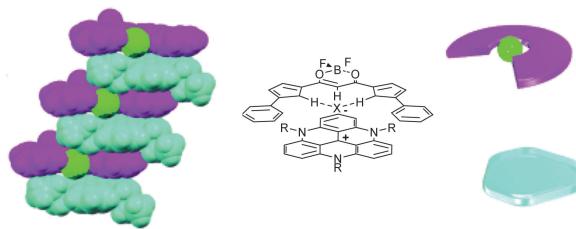


Fig. 9 Solid state structure of a charge-by-charge assembly from the BF_2 complex of dipyrrol-1,3-dienone (purple) planarized with a Cl^- anion (green) and tris-propyl-TATA $^+$ (turquoise-blue). Adapted from ref. 39.

mesophases, preparation of soft materials, charge carrier properties) of these charge-by-charge or charge-segregated assemblies have been reported.⁴⁰

Triangulenes: 2D and 3D structures

Yet, in many other instances, the anions seem to have little effect on the modes of assembly adopted by the carbocation ions, and that of TATA $^+$, A₃-TOTA $^+$ and ADOTA $^+$, 5, 11 and 3 in particular. For instance, X-ray structure analyses showed that salts of 5 adopt smectic-like double layers in the solid state.^{13,41} The distance between the two layers was controlled by the length of the alkyl side chains that are positioned essentially perpendicular to the aromatic cores. The layers were interdigitated due to the positive dispersive interactions between the alkyl chains leading, as a consequence, to a parallel stacking of two TATA $^+$ together (see Fig. 10). Globally, hexagonally ordered bilayers were seen. When dichloromethane solutions of 5 (tris *n*-propyl and *n*-octyl derivatives) were spin-casted, highly-ordered thin films were obtained. A macroscopic homogeneity was obtained over centimeters and the films were composed of highly anisotropic polycrystalline materials. Optoelectronic applications were evidenced thanks to the high fluorescence and efficient exciton transport properties.^{41,42} In the case of tri-aryl-TATA $^+$ 28 and unlike 5, the aryl groups are perpendicular to the triangulene core and this conformation forbids the “classical” multi-lamellar stacking.¹⁸ In the case of A₃-TOTA $^+$ 11 and similarly to TOTA $^+$, the cations stack in columnar structures, the anions being segregated around the amino groups.⁶

Amphiphilic A₃-TOTA $^+$ possessing both short and long alkyl chains were further prepared and studied in 2D structures. PF₆ salts of 39, 40 and 41 formed Langmuir monolayers at the water-air surface (Fig. 11).⁴³ 39 presented a columnar π stack and a clear

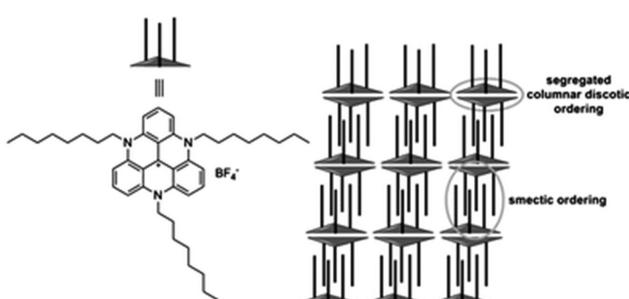


Fig. 10 Parallel stacking of smectic-like double layers of tris-*n*-octyl-TATA $^+$ 5. Adapted from ref. 41.

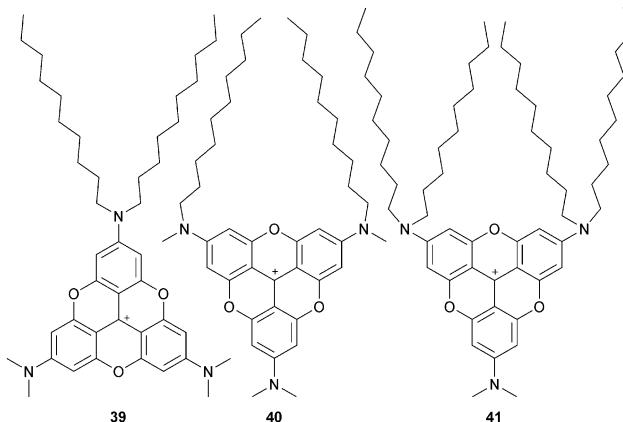


Fig. 11 Amphiphilic A₃-TOTA $^+$ 39, 40 and 41.

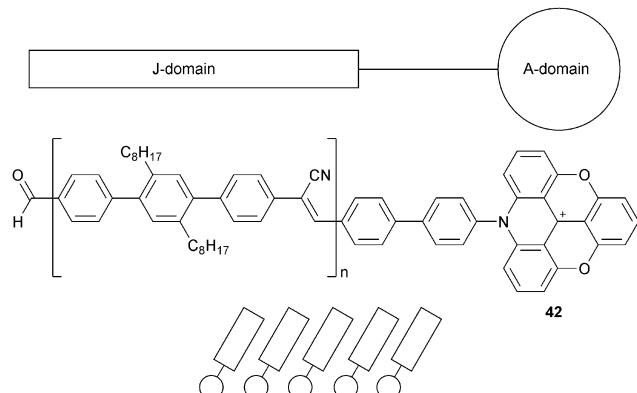
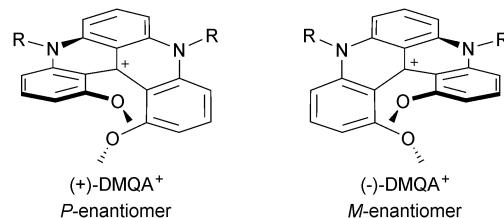
π - π stacking distance of 3.46 Å. Very similar films were obtained with 40, but the π stacking was revealed to be less pronounced. For 41, well-defined 2D structures could not be observed. Langmuir-Blodgett (LB) films were prepared from monolayer Langmuir films of PF₆ salts of 39 and 40.⁴⁴ No difference in terms of stacking distance was noticed compared to Langmuir films. The UV-vis absorption in LB films was blue shifted compared to the dyes in solution whereas, in fluorescence, a red-shift up to 100 nm was observed. It is consistent with a H-type aggregation. Polarized fluorescence indicated that the π - π interactions induced a macroscopic alignment and that the counterions were located between the A₃-TOTA $^+$ layers. Water soluble amphiphilic A₃-TOTA $^+$ were also prepared by integrating sulfonate groups. When mixed (up to 10%) with 1,2-dimyristoyl-sn-glycero-3-phosphocholine (DMPC) and vortexed at 40 °C, the formation of unilamellar vesicles (ULVs) occurred.⁴⁵ Due to the optical properties of A₃-TOTA $^+$ ions (*vide supra*), the highly stable and unimodal size distribution ULVs obtained were fluorescent, making this strategy a new tool for the study of vesicles.

Finally, another type of amphiphiles were prepared based on ADOTA $^+$ cores (called A-domain) linked to poly-2',5'-dioctyl-4,4''-terphenylenecyanovinylene tails (called J-domain). Those JA-entities 42 also led to self-assembled Langmuir films at the water-air interface (Fig. 12).⁴⁶ The J-domain could serve as a light-harvesting antenna (absorbing at 350 nm) able to transfer the energy to the fluorescent A-domain (emitting at 570 nm). In Langmuir-Blodgett (LB) films deposited on glass surfaces, a current-voltage characteristic of a rectifying behaviour was observed. Electron transport applications were further evaluated.⁴⁷

Helicenes and chirality

As discussed previously, due to strong repulsions occurring between the methoxy or benzo extremities, compounds 6, 31, 34, 7, 37 and 38 adopt chiral helical conformations. As such, they can be considered as cationic [4] and [6]helicenes. The left- and right-handed enantiomers are characterized by their *M* and *P* configurations respectively (Fig. 13 with 6 as an example). In this series, DMQA $^+$ salts of type 6 were the first to be resolved using an asymmetric ion pairing strategy.⁴⁸ Associating racemic 6 with enantiopure BINPHAT anions (Fig. 14)⁴⁹ resulted in a selective precipitation of a single



Fig. 12 JA-assemblies based on ADOTA⁺ **42**.Fig. 13 (+)-(*P*) and (-)-(*M*) enantiomers of DMQA⁺ **6**.

diastereomeric salt (d.r. > 49 : 1).⁵⁰ Ion exchange metathesis with HPF₆ then afforded enantiopure (+)- and (-)-[6][PF₆] salts for which *P* and *M* configurations were assigned by vibrational circular dichroism (VCD). Recently, similar resolution and absolute configuration assignment protocols were used for the enantiomeric separation of [6]helicene 7.²²

Two other resolution strategies were developed. Chiral stationary phase (CSP) chromatography was employed to separate the enantiomers. For instance, with **6**, an efficient separation was obtained on an analytical scale using reverse CSP conditions.⁵¹ However, on a preparative scale, it was not practical. Salts **6** were thus transformed into neutral adducts by reduction or alkylation reactions prior to the separation (with hydride or strongly nucleophilic reagents, see section “Neutral Products of Addition”). Then, a larger panel of stationary phases could be used and the separations proceeded well under normal phase conditions. This three-step strategy (reduction, separation, reoxydation) was utilized for the isolation of the enantiomers of dioxo[4]helicene **34**.²¹ Alternatively, in an approach which is classical to helicene chemistry, a chiral auxiliary can be introduced to promote the direct separation. In this context, racemic **6** was reacted with the carbanion of enantiopure (*R*)-methyl *p*-tolyl sulfoxide. The resulting diastereomeric products of addition to the central carbon (*R*,*M*)- and (*R*,*P*)-**43** were easily separated by column chromatography (SiO₂, Et₂O, $\Delta R_f = 0.3$).⁵² Removal of the chiral sulfoxide moiety through a Pummerer fragmentation afforded then the enantiopure cationic helicenes (see section “Neutral adducts of [4] and [6]helicenes”) (Scheme 14).

With enantiopure dioxo **34** and diaza[4]helicenes **6** in hand, a barrier of racemization was measured, **34** and **6** racemizing in the 90–120 and 200–230 °C range of temperatures respectively.

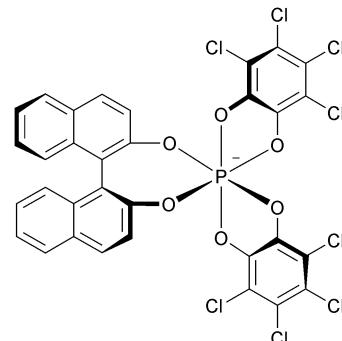
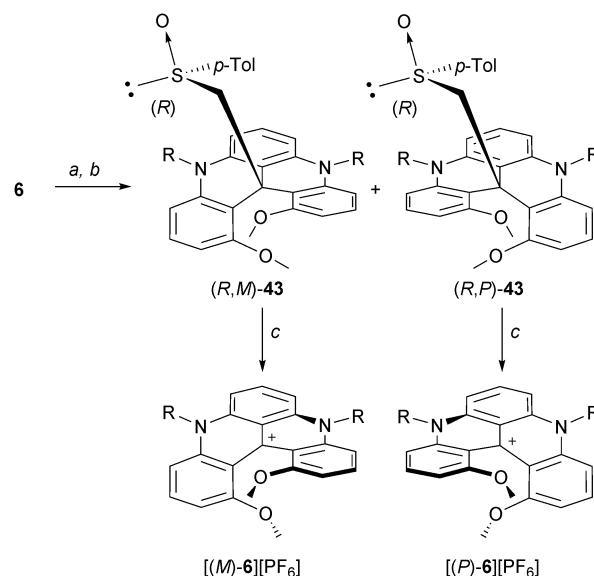


Fig. 14 Structure of the (Δ)-BINPHAT anion.

Scheme 14 Resolution of **6** via a chiral sulfoxide auxiliary approach. *a*: (+)-(R)-methyl *p*-tolyl sulfoxide, LDA, THF, 0 °C; *b*: chromatography (SiO₂); *c*: aq HPF₆, acetone.

For **34**, at 120 °C, kinetic data afforded half-life ($t_{1/2} = 3.6$ min) and ΔG^\ddagger (115.9 kJ mol⁻¹) values while for **6** at 200 °C, $t_{1/2} = 183$ h and $\Delta G^\ddagger = 172.8$ kJ mol⁻¹.⁵³ For diaza[6]helicene **7**, it was not possible to determine these parameters as the compound started to decompose (>190 °C, dibutyl sulfoxide) before the enantiomerization. This indicates that these compounds are highly configurationally stable, in particular in direct comparison with, for instance, classical [6]helicene ($t_{1/2} = 13.4$ min, $\Delta G^\ddagger = 154.3$ kJ mol⁻¹ at 196 °C).

Recently, these experimental values were compared with theoretical ones as the racemization mechanism for **34**, **6** and putative azaoxa[4]helicene **44** was determined *in silico* (B3LYP, 6-31+G*).⁵⁴ In Fig. 15 are reported the calculated ground state and transition state structures. For the *N,N'*-dimethyl diaza[4]helicenium ion of type **6**, the barrier calculated (166.4 kJ mol⁻¹) was in very good agreement with the experimental value. Generally speaking, the enantiomeric stability of the [4]helicene ions depends on the nature of the heteroatoms within the helical framework – the stability increasing with the van der Waals radii and donor

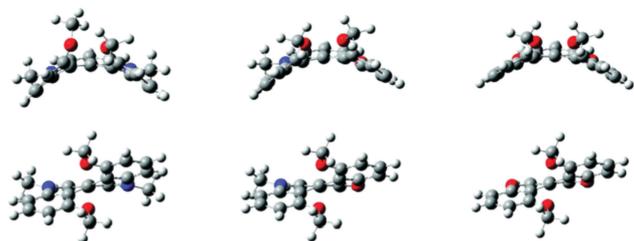


Fig. 15 Calculated transition state (top) and equilibrium (bottom) structures of cationic diaza-, azaoxa- and dioxo[4]helicenes **6**, **44**, **34**. Reproduced from ref. 54.

ability of the bridging atoms. As a consequence, the dioxo **34** ion is less stable than (putative) dicarbo and diaza[4]helicene ions. If the disulfo[4]helicenium ion could be made, it was predicted that it would be the most stable of the series. In the particular case of nitrogen, the resonance effects contribute strongly to the configurational stability.

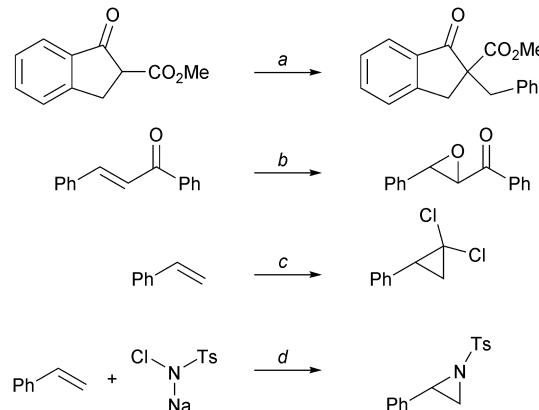
Some of the chiroptical properties of **6**, **34** and **44**, such as the electronic circular dichroism (ECD) and VCD spectra, were furthermore measured. It was shown that VCD is particularly favorable for the determination of the absolute configuration of the enantiomers as the rigidity of the skeletons induces few conformations and hence relatively straightforward calculations of the theoretical spectra. A thorough study on the ECD spectra of **6**, **34** and **44** was also performed detailing the influence of the heteroatoms on the parameters that control the rotational strength of the absorption peaks.^{54,55} In a related study, a series of compounds was screened *in silico* to determine which derivatives would present stronger circular polarized luminescence.⁵⁶

Applications of cationic triangulenes and helicenes

With these moieties possessing rather unusual chemical and physical properties in hand, quite a few applications were conceived spanning several domains of chemistry, from synthesis to biological chemistry through supramolecular, coordination, chirality, *etc.*

Synthetic applications: catalysis and photo-oxidation

For instance, TOTA⁺ ions **5** ($pK_{R^+} > 20$, *vide supra*), which are among the most stable carbenium salts of the series, can be used in phase-transfer catalysis (PTC). PTC is generally popular because it is a reaction method that typically requires simple procedures, mild conditions, inexpensive reagents and solvents, and has the ability to scale up the reaction. Unreactive cationic species are required. The fact that TOTA⁺ ions do not react in strongly basic and nucleophilic reaction mixtures was thus seen as an advantage for a PTC application. Lipophilic salts of $[5][BF_4]$ (Fig. 1, R = Pr, Hex, Dec) performed equally well or better than usual PTC catalysts such as tetrabutylammonium bromide (TBAB) and 18-crown-6.⁵⁷ Alkylation, epoxidation, cyclopropanation and aziridination reactions were all successfully achieved (Scheme 15).



Scheme 15 Phase transfer catalysis. *a*: $[5][BF_4]$ (1–10 mol%), $PhCH_2Br$, 50% KOH aq, 20 °C; *b*: $[5][BF_4]$ (10 mol%), 30% H_2O_2 , 50% KOH aq, triton X-100 (1 mol%), iPr_2O , 20 °C; *c*: KOH powder, $CHCl_3$, $[5][BF_4]$ (2 mol%), CH_2Cl_2 , 40 °C; *d*: $[5][BF_4]$ (10 mol%), I_2 , CH_2Cl_2/H_2O , 20 °C.

In these previous reactions, it was not necessary to pay attention to the presence or the absence of light in the laboratory. This was not the case however for the air oxidation reaction of benzylic primary amines to imines that occurred in the presence of catalytic amounts of acridinium intermediates **23** or $DMQA^+$ **6**.⁵⁸ A slow but effective photo-oxidation of the C–NH₂ bond was observed when a source of light was present (summer sunlight in Geneva was sufficient for instance).

Biological applications: nucleic acid interactions and bioimaging

A series of studies on the interactions of the carbenium ions with nucleic acids were reported, and that of TOTA⁺ **1** and [4]helicene **6** in particular. First, independent reports demonstrated, through principally fluorescence quenching experiments,³² that TOTA⁺ ions interact strongly with G-residues as single nucleotides or within DNA sequences. Under irradiation, the interaction resulted in an electron-transfer from the base to the cation and hence in a photo-induced oxidation reaction.⁵⁹ Precise mechanistic information was afforded through induced circular dichroism (CD) and X-ray diffraction analyses demonstrating that an intercalation of TOTA⁺ in DNA has occurred (Fig. 16). A binding constant of 41 000 M⁻¹ was determined and, not surprisingly, the preferred binding sites in duplex DNA were C–G base pairs. It was suggested that the base radical cation formed after photo-induced oxidation by TOTA⁺ migrates to the 5'-GG-3' sequence *via* a hopping mechanism.⁶⁰ Extension of this chemistry to telomeric sequences, in particular the G-quadruplex, was afforded.⁶¹ However, the interaction between TOTA⁺ and quadruplex DNA was weaker than between duplex DNA and TOTA⁺. Surprisingly, TOTA⁺ mediated photo-oxidation of a guanine rich sequence was more prompt to occur in duplex DNA than in quadruplex DNA, which is against the general observation of the protective capability of the telomeres.

Double-stranded DNA is of course chiral and its interaction with cationic helicenes as single enantiomers in place of achiral triangulene ions was thus deemed interesting.⁶² Three different



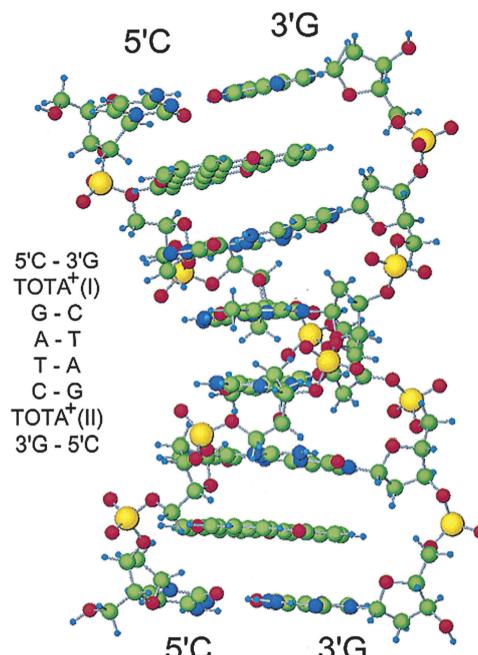


Fig. 16 $[d(CGATCG)]_2\text{-TOTA}^+{}_2$ complex. Reproduced from ref. 60.

ions **6** were utilized (Fig. 1, R = Me, Pr, $\text{CH}_2\text{CH}_2\text{OH}$).⁴⁸ In all instances, fluorescence quantum yields and lifetimes of **6** increased significantly in the presence of right-handed B-DNA and the binding constants were found to be larger for the *M*- over the *P*-enantiomers of **6** by a factor of 1.2 to 2.3. Intercalation is only likely with the less-sterically hindered moiety (R = Me) whereas aggregates and more hindered helicenes interact with the major groove. It was further shown that the racemic **6** aggregated quite effectively in water in comparison with the enantiopure materials leading to the lowest binding values of all.³³

Near infra-red fluorescent dyes (700–1100 nm, NIR) have also gained a large interest in bioimaging over the past decade as safe, noninvasive imaging/contrasting probes. Unfortunately, when such fluorophores possess high molar extinction coefficients, they tend to be characterized by moderate quantum yields and short fluorescence lifetimes. It was thus interesting to study ADOTA⁺ **3** and DAOTA⁺ **4** (red absorption, NIR emission, high ε values, quantum yields *ca.* 60%, fluorescence lifetime >20 ns)³⁰ in the context of *in vitro* and *in vivo* imaging.⁶³ Derivatives with butyric acid side chains **45** and **46** were prepared and functionalized by *trans*-amidation reactions with hexadecyl amine or arginine (Fig. 17, top). Direct labeling of proteins such as avidin, streptavidin and Immunoglobulin G (IgG) could be achieved. Amphiphilic **45** and **46** (R = hexadecyl) were used to detect small lipid vesicles while arginine-modified cations were utilized for intra-cellular localization. Helped by the long fluorescence lifetime (>20 ns), it was possible to perform *in vivo* fluorescence lifetime imaging (FLIM) under time-gated detection (10 ns after excitation). Clearer imaging resulted thanks to a silencing of the background emission (Fig. 17, bottom).

In another study, **45** was conjugated to an *anti*-rabbit Immunoglobulin G (*anti*IgG). As mentioned (*vide supra*), ADOTA⁺

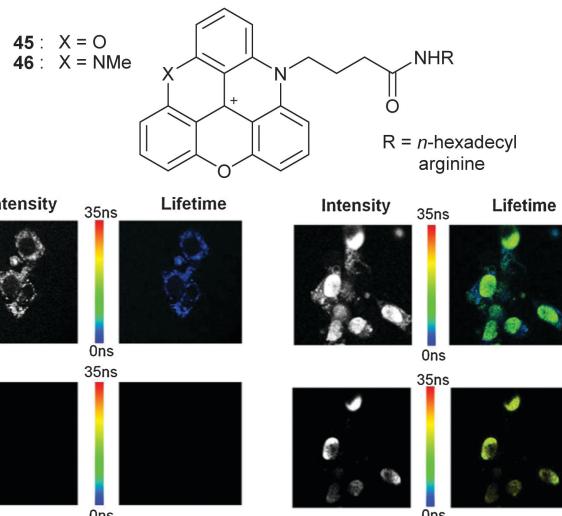


Fig. 17 FLIM of the 4T1 endothelial cell line without (left) and with (right) DAOTA-arginine with immediate detection (top row) or 10 ns gated detection (bottom row). In short, background fluorescence is only observed on the top images. Only DAOTA-arg is detected on the two bottom right pictures. Adapted from ref. 63.

ions possess marked fluorescence anisotropy properties and the formation of a complex between **45** (*anti*IgG) and its target protein rabbit IgG could be monitored by steady-state anisotropy and time-resolved methods.⁶⁴ Fluorescence anisotropy being a ratiometric measurement, this method allowed an accurate detection of the binding values.

Supramolecular assemblies

Tripodal ligands for metallic cations play an important role in biology and chemistry alike. Researchers in this field are often interested in developing multimodal probes that can, for instance, combine optical (fluorescence) and MRI (paramagnetic metal ion) capabilities. In this context, TATA⁺ **5** was appropriately modified for the complexation of lanthanide cations through the incorporation of pyridylidicarbonyl (PDC) chains (Fig. 18).⁶⁵ Complexation of **47** with a Eu(III) cation was evidenced in solution and in the solid-state. In terms of photophysical properties, it was shown that **47** and $[\text{Eu}47]^{4+}$ fluoresced alike. However, the europium-centred emission was weak due to the concurrent energy transfer from pyridylidicarbonyl moieties to the extended aromatic platform and, unfortunately, a sensitization of Eu(III) did not happen upon excitation of the triangulene core.

Neutral products of nucleophilic addition: synthesis and applications

Preamble

In previous parts of this review, care was taken to emphasize the stability of the carbenium ions in (highly) basic media. It was stated that ions **3**, **4**, **5**, **6**, **11**, or **25** undergo only with difficulty addition reactions at the central carbon atom. However, it was briefly mentioned that hydrides²¹ or sulfoxide carbanions⁴⁸ can

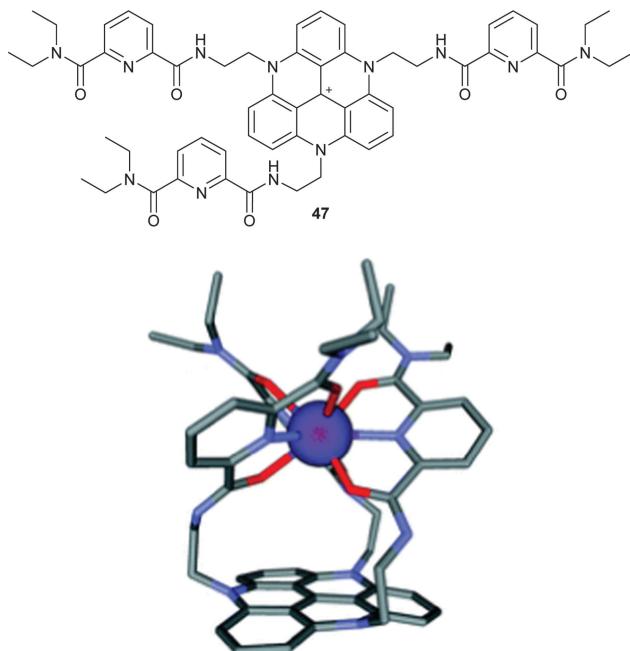


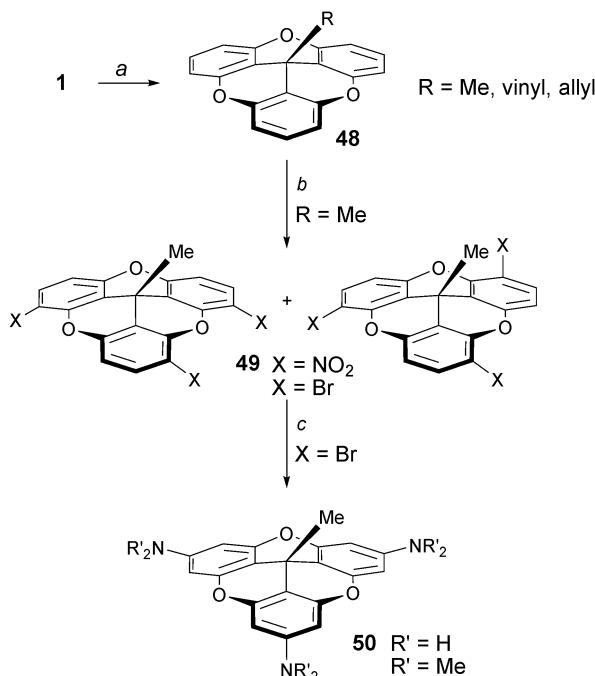
Fig. 18 Structure of **47** (top) and the model of the $[\text{Eu}47]^{4+}$ complex (bottom). Reproduced from ref. 65.

react with some of the carbocations to generate neutral adducts. It is now our purpose to show that this transformation leads to interesting structures of their own; the products being useful for a variety of applications.

First, it is necessary to realize that the additions of nucleophiles to the central carbon of the carbenium derivatives always proceed with a highly noticeable signature which is a loss of color. In fact, transformation of the sp^2 -hybridized centers into sp^3 -carbon atoms interrupts effectively the extended conjugation. The adducts become colorless and are hence denominated *leuco* for that reason.⁶⁶ The change of hybridization also modifies profoundly the geometries. For instance, planar triangulene precursors are transformed into cup-like molecules. Several applications making use of the resulting geometries or of the added appendages will now be detailed.

Cup-like molecules and applications

The first mention of the creation of bowl shaped molecules upon addition of alkyl groups to cationic triangulenes was reported by Siegel and coworkers.⁶⁷ It was shown that TOTA⁺ **1** reacts with RLi or RMgX reagents to provide the corresponding adducts **48** named trioxatricornan (Scheme 16, R = Me, vinyl, allyl). Interestingly and importantly, the new electron-rich derivatives underwent readily electrophilic substitution reactions such as brominations or nitractions. Regioselectivity was not controlled at that stage as 1:1 mixtures of products were obtained. However, treatment of the mixture of brominated isomers **49** with sodium amide or (*in situ* generated) lithium dimethylamide led to an interesting and elegant triple *cine* substitution and the isolation of the tris *para*-amino **50**. An alternative route for the making of derivatives of type **50** was described later taking advantage of the facile synthesis A₃-TOTAs compounds **11** and their reactivity with MeLi.⁶



Scheme 16 Synthesis of trioxatricornan **50**. *a*: MeLi-LiBr, Et₂O, 20 °C, 1 h or CH₂CHMgBr, THF, 20 °C, 7 h or CH₂CH₂MgBr, THF/Et₂O, 0 to 20 °C, 16 h; *b*: HNO₃ or Br₂, CH₃COOH, 80 °C, 30 min; *c*: NaNH₂, liq NH₃, THF, -78 to 20 °C, sealed tube, 19 h or HMMe₂, *n*-BuLi, THF, -78 to 20 °C, sealed tube, 48 h.

Compound **50** (R' = H) was then used for the preparation of macrocyclophane **51** using a three-step protocol involving a protection of the amino groups, alkylations of the N atoms with flexible terminal alkynyl chains and a final cyclotrimerization reaction installing a central benzo group (Fig. 19).⁶⁸ Solution and solid-state structures were investigated and compared with the calculated geometry. The newly formed aryl ring maintained a certain distance with the tricornan unit and a cavity was formed. Important changes were observed upon modifying the linkers between the benzo ring and the tricornan core. For instance, introduction of heteroatoms induced a collapse of the cavity.⁶⁹ In another study, precursor **50** was used for the formation of the tris-catecholamide ligand **52** which underwent facile complexation with ferric ions (Fe³⁺) to form metallo-macrocyclophane complexes.⁷⁰

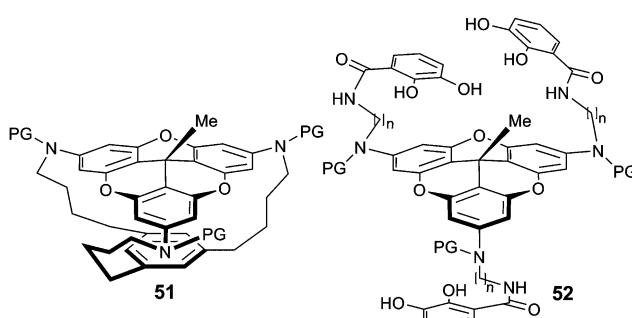
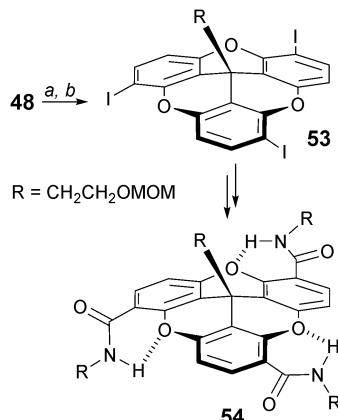


Fig. 19 Macrocyclophanes **51** and **52**.



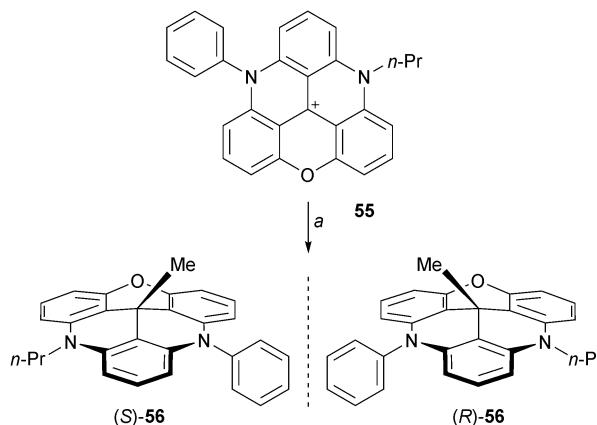


Scheme 17 C_3 -symmetric folded **54**. *a*: TMEDA, *t*-BuLi, Et_2O , -78 to 0 $^\circ\text{C}$, 12 h; *b*: $\text{ICH}_2\text{CH}_2\text{I}$, 0 $^\circ\text{C}$, 12 h.

The regioselective formation of substituted trioxatricornan adducts can also be achieved using an *ortho* lithiation/halogenation route.⁷¹ Treatment of a compound of type **48** ($\text{R} = \text{CH}_2\text{CH}_2\text{OMOM}$) with *t*-BuLi/TMEDA followed by an addition of diiodoethane afforded exclusively chiral (racemic) C_3 -symmetric triiodo **53** (Scheme 17). This compound was further transformed into macromolecules **54** containing folded secondary structures. This is promoted by intramolecular hydrogen bonding interactions between the oxygen atoms of the tricorona core and the hydrogens of the external amide groups.

Another type of chiral cup-like molecule can be prepared using unsymmetrical DAOTA⁺ **55**. This precursor was prepared in three steps from **2** by sequential additions of aniline, propyl amine and a final oxo ring closure (combined yield 28%).⁷² Addition of MeLi proceeded well to form chiral **56** (Scheme 18). The enantiomers were separated by CSP-HPLC and the absolute configuration was determined by VCD.

TATA⁺ cations also reacted with alkynyl and aryllithium reagents to form products of additions at the central carbon. Interestingly, these adducts **57**, and their TATA⁺ precursors, formed hexagonally ordered adlayers on Au(111) surfaces (Fig. 20).⁷³ The triangulene cores adsorbed in a planar fashion. The length of the flexible side



Scheme 18 Chiral diazaoxatricorona **56**. *a*: MeLi (1.3 equiv.), THF, 20 $^\circ\text{C}$, 16 h, 97%.

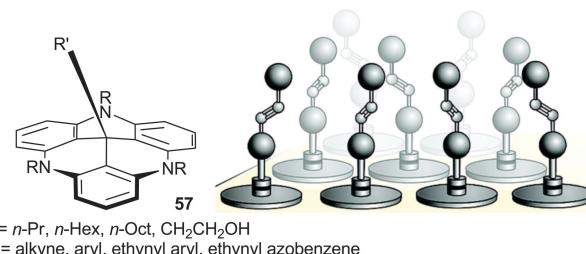


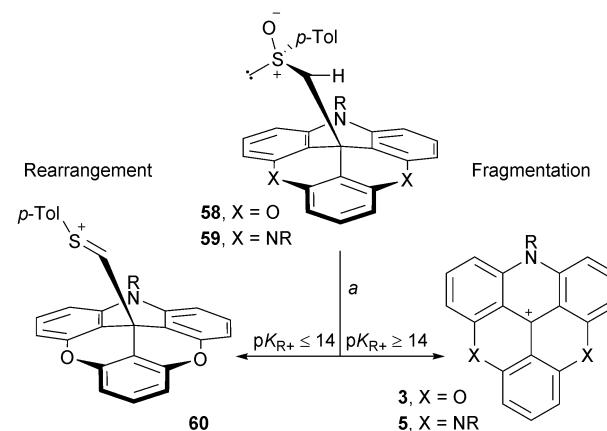
Fig. 20 Representation of the adsorption of functionalized **57** on the Au(111) surface. Adapted from ref. 73.

chain controlled the space between the central units and, as a consequence, the coverage density. The groups introduced during the nucleophilic attacks are orthogonal to the surface. The adlayers were stable, suggesting a strong adsorption. Further studies were reported with, for instance, photo-switchable appendages and evidence for chiral arrangements was noted with tris-octyl-TATA⁺ derivatives.⁷⁴

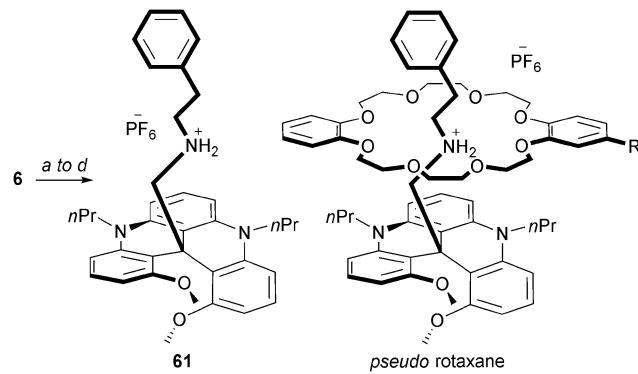
Finally, as previously mentioned in the discussion concerning the chirality of cationic [4] and [6]helicenes, the carbanion from methyl *p*-tolyl sulfoxide is an excellent nucleophile able to react with the carbenium ions of study. In the context of this section, this anion was reacted with ADOTA⁺ and TATA⁺ cations **3** and **5**. Adducts **58** and **59** were isolated and submitted to conditions that favor typically *Pummerer* rearrangements (TFAA, CH_2Cl_2). Interestingly, these two compounds behaved differently. While **59** reacted through a fragmentation pathway only, ADOTA⁺ derivative **58** presented a split reactivity; half-rearrangement (compound **60**) and half-fragmentation towards **3** (Scheme 19).⁷⁵ This (and other experiments) indicated that the driving force towards a *Pummerer* fragmentation pathway is a strong electrofugal character of the cation; a $\text{p}K_{\text{R}^+}$ value higher or equal to 14 being necessary.

Neutral adducts of [4] and [6]helicenes

Rotaxanes and *pseudo*-rotaxanes are captivating objects composed minimally of a thread-like molecule surrounded by a macrocycle. Usually, (*pseudo*)rotaxanes are achiral when either the thread or



Scheme 19 Classical Pummerer rearrangement vs. unusual Pummerer fragmentation reactions. *a*: $(\text{CF}_3\text{C}(\text{O}))_2\text{O}$, CH_2Cl_2 , 20 $^\circ\text{C}$, 20 min.



Scheme 20 Preparation of *pseudo*-rotaxanes. Achiral ring: R = H, chiral ring: R = (3,5-bistrifluoromethyl)phenyl. *a*: NaH, CH₃CN, 20 °C; *b*: LiAlH₄, Et₂O, 20 °C; *c*: PhCHO, MgSO₄, NaBH₄, MeOH, 20 °C; *d*: HPF₆, acetone, 20 °C.

macrocycle (ring) are non-oriented. In contrast, if a dissymmetry is present in both structural elements, the resulting rotaxane becomes inherently chiral. In this context, an ammonium thread-like molecule **61** was prepared by (i) addition of the carbanion of acetonitrile onto DMQA⁺ **6**, (ii) reduction of the nitrile moiety, (iii) formation of a secondary amine by reductive amination and finally (iv) by acidification with HPF₆ (Scheme 20). The resulting chiral thread **61** was mixed with both non-oriented and oriented crown ether rings.⁷⁶ Formation of *pseudo* rotaxane adducts was clearly evidenced by NMR spectroscopy. While a stereocontrol could not be evidenced in the presence of the oriented ring with the PF₆ salt of **61**, a change to a more lipophilic counterion (TRISPHAT⁷⁷) induced a low but definite diastereoselectivity (d.r. 54 : 46).

Using DMQA⁺ cations as electrophilic substrates, it was also possible to tackle another question of “fundamental” stereochemistry. It concerned the possibility to influence the facial selectivity of the addition reaction onto the trigonal center through the helicity of the skeleton only.¹⁷ Using unsymmetrical derivatives of type **6** (two different N–R side-chains), it was demonstrated that the helical framework can be indeed effective in the stereocontrol of the reaction (Fig. 21). Hydride and organolithium nucleophiles afforded adducts with diastereoisomeric ratios up to and higher than 49 : 1. This geometrical distinction of the two diastereotopic faces is not due to a deformation of the helical framework but is linked to a difference in the substituents on two nitrogen atoms. The N-atom linked to the (bulky) aromatic substituent remains sp²-hybridized from the starting cation to the preferred diastereoisomeric product whereas the more flexible N-atom attached to an alkyl group bends to accommodate the strain induced by the developing nucleophilic attack.

Finally, using a two-step reduction/metalation procedure, it was possible to transform chiral carbenium ions **6** into reactive carbanion intermediates.⁷⁸ First, a reduction with NaBH₄ afforded the *leuco* methine products which were reacted with an excess of *n*-BuLi. This umpolung procedure afforded highly nucleophilic carbanions **62** that reacted with “soft” electrophilic reagents such as isothiocyanates and carboxylic acid anhydrides (Scheme 21). Unprecedented thioamide and ketone products of type **63** were

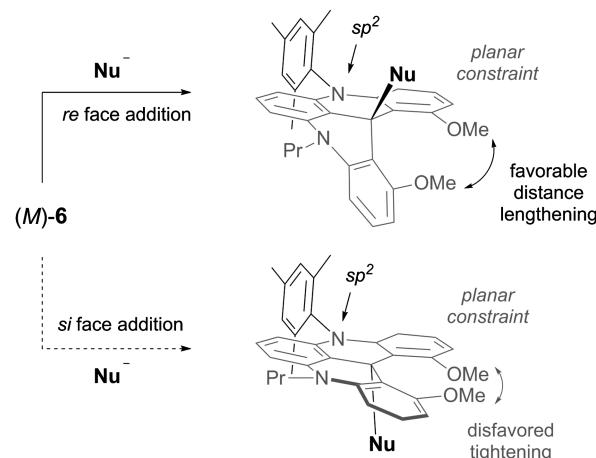
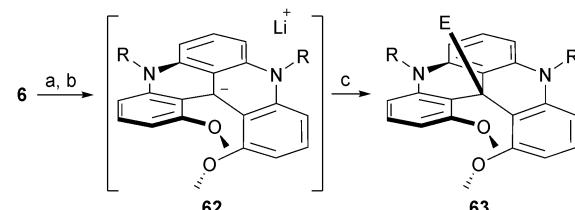


Fig. 21 Nucleophilic attack on the *re* or *si* face of the *M*-enantiomer of **6**.



Scheme 21 Formation of carbanion **62** and trapping with electrophiles. *a*: NaBH₄, EtOH, 25 °C, 1 h; *b*: *n*-BuLi, Et₂O, –78 to 0 °C, 30 min; electrophile (10 equiv.), 0 °C, 20 min.

obtained in good yields and a complete retention of configuration was observed starting with enantiopure (*M*)- or (*P*)-**6**.

Conclusion

Cationic triangulenes and helicenes are highly stable carbocations. They can be stored as carbocations under air and most of them are inert in strongly alkaline aqueous solutions. Triangulenium ions are planar fully conjugated structures whereas the helicene moieties adopt a helical conformation and are chiral as a consequence. Although the first stable triangulene ion (TOT⁺) was reported already in the 1960's, the field gained momentum only in the past decade with the preparation of nitrogen containing analogues; the introduction of the nitrogen atom(s) either within the cationic skeleton or at the periphery increasing the intrinsic stability of the cations even further. Besides, these moieties are colorful dyes and their electronic absorption can be modulated as a function of the heteroatom patterns. Moreover, they are fluorescent molecules with moderate to excellent quantum yields; fluorescence lifetime superior to 20 ns being observed for some of them.

Combinations of the planar or helical conformations together with the (very) high chemical stability and excellent optical properties have led to multiple applications in the recent years. For instance, the planar triangulenes were used as keystones for the preparation of metallo-macrocyclophanes or for the functionalization of surfaces. Their cationic character has been exploited for



charge transport or for phase transfer catalysis. Applications as fluorophores for bioimaging and interactions with DNA were investigated. The chirality of the cationic helicenes was advantageously availed for the enantioselective recognition of B-DNA or for the preparation of chiral supramolecules. As further improvements in triangulene and helicene ion synthesis have been recently reported, the preparation of even more tailored compounds can be expected that will certainly find numerous other (new) applications in the coming years.

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