

## TUTORIAL REVIEW

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## Recent advances in enzymatic and chemical deracemisation of racemic compounds

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Deracemisation of racemic compounds is still the most important strategy to produce optically pure compounds despite many recent advances in asymmetric synthesis. Especially deracemisation approaches that give rise to single enantiomers are preferred, which can be achieved either by invoking organocatalysts, metal complexes or enzymes – leading to dynamic kinetic resolution – or by applying other deracemisation techniques based on stereoinversion or enantioconvergence. In this tutorial review, we will provide an overview of new, recently developed approaches that lead to the important goal of creating organic compounds of single chirality.

## Key learning points

This tutorial review will:

- (1) Explain the importance of deracemisation
- (2) Introduce various strategies for deracemisation
- (3) Provide clear explanations of the mechanisms of deracemisation
- (4) Provide an overview of recent developments and state-of-the-art practical application of newly developed techniques
- (5) Offer a broader view on the general importance of chirality in (synthetic organic) chemistry

## 1 Introduction

The synthesis of enantiomerically pure compounds remains a challenge in modern organic chemistry due to the importance of chirality in biological processes. The majority of biomolecules existing in living organisms are chiral and consist of only one of the two possible enantiomeric forms. As a result, molecular interactions of a racemic substrate with these biomolecules will always be different for one enantiomer than the other. This plays a particularly important role in the pharmaceutical industry, but also in other industrial sectors such as the food, and flavour and fragrance industries. As a result, it is crucial for those industries to produce many of their products (*e.g.* drugs, food additives, vitamins) as single enantiomers.

The first person being able to separate a racemic mixture into the two enantiomers was Louis Pasteur, who as early as in 1849 physically separated tartaric acid crystals of opposite handedness. Ever since, the separation of a racemate into its

two enantiomers – commonly known as resolution – has been the most prominent way to separate two enantiomers in numerous applications. This resolution is often performed through addition of an enantiopure (auxiliary) reagent leading to the preferred formation of one diastereoisomer over the other, which on the basis of different physical properties can then be separated. Removal of the auxiliary compound produces the desired enantiopure product. Another commonly used technique is treatment of a racemate with an enzyme, which recognizes one enantiomer and converts it into a different product, while the other enantiomer remains untouched. At this point, the two enantiomeric forms have different physical properties and can be readily separated. These kinetic resolutions can be carried out in small scale laboratory experiments, but also in large scale industrial processes. The limitation of such types of ‘classic’ kinetic resolutions (KR), however, is that both enantiomers can only be obtained in a maximum theoretical yield of 50%. The wish of the chemical industry to reduce costs and waste in the production of chiral building blocks led chemists to develop novel resolution procedures of racemic mixtures that proceed beyond the 50% yield. This is called deracemisation, which is defined as the conversion of a racemate into one of the corresponding enantiomers in theoretically 100% chemical yield and 100% optical purity without isolation of intermediates.

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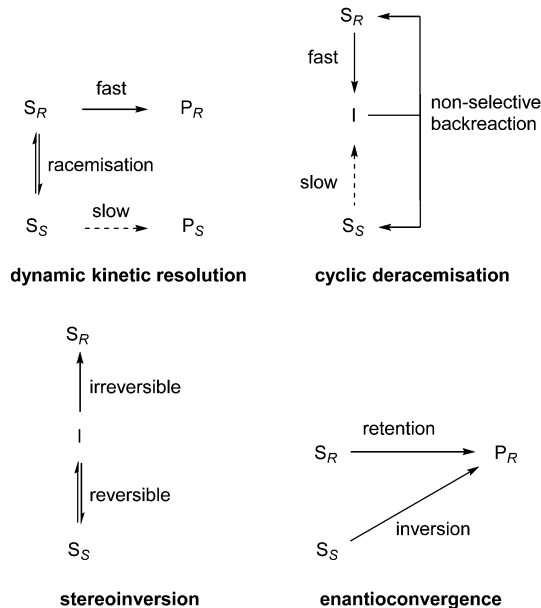
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The existing different deracemisation techniques have been classified by the mechanism of action as proposed by Faber (Scheme 1).<sup>1</sup> Inspired by the fact that deracemisation strategies continue to be discovered, we herewith provide an overview of deracemisation methods, based on the classification by Faber, with a strong focus on new and innovative approaches that have appeared in recent literature.

## 2 Dynamic kinetic resolution (DKR)

Dynamic kinetic resolution (DKR) is the most widely used technique for deracemisation. It is a combination of 'classic' kinetic resolution, in which a racemate ( $S_S$  and  $S_R$ ) is resolved by chemical transformation of one single enantiomer into a product ( $P_R$ ), with *in situ* racemisation of the 'unwanted' enantiomer ( $S_S$ ) of the starting material (Scheme 1). Many examples have been revealed combining metal-catalysed



**Scheme 1** Deracemisation mechanisms proposed by Faber.



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**Niek Vermue**

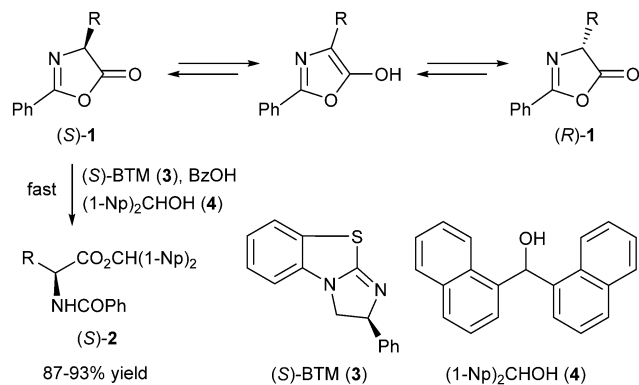
*Niek Vermue (1986, Oostburg, NL) studies chemistry at Radboud University Nijmegen, where he obtained his BSc in 2010. Currently studying to obtain his MSc degree, he did internships in both the synthetic organic chemistry group of Prof. Floris Rutjes, where he focussed on the synthesis of chiral heterocycles via the asymmetric Mannich reaction, and the bioorganic chemistry group of Prof. Jan van Hest, working on biohybrid nanoparticles for drug-delivery.*



**Floris P. J. T. Rutjes**

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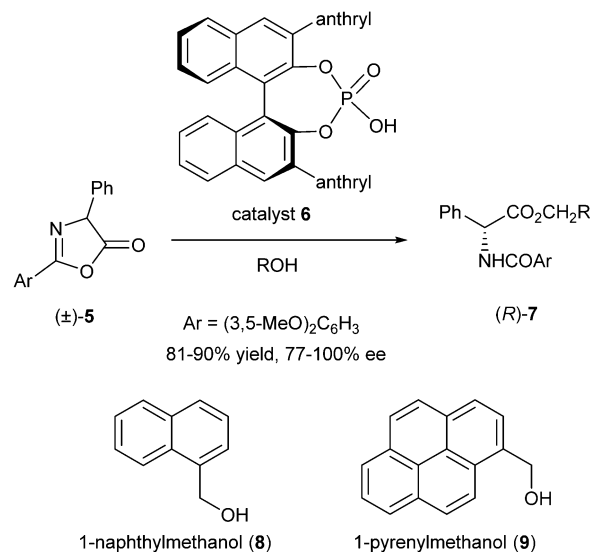
**Scheme 2** DKR of azlactones via BTM catalysis.

small chiral molecules that are generally employed as organo-catalysts are often readily available at relatively low cost.

In recent years the group of Birman reported several examples of DKR catalysed by small organic molecules. In 2010, they disclosed the use of benzotetramisole (BTM) as an enantioselective acyl transfer catalyst in the DKR of azlactones.<sup>4</sup> In initial experiments, different amidine-based catalysts were screened in the alcoholysis of compound **1** (R = Me) using an equimolar proportion of catalyst and benzoic acid. Benzoic acid appeared to be an essential promotor in this reaction, since no conversion was observed in its absence. The best results in terms of conversion and ee were obtained using (S)-BTM **3** as the catalyst (Scheme 2). Under these slightly acidic conditions, the azlactone **1** racemizes *via* the corresponding enol, while the chiral acyl transfer catalyst causes the sterically congested alcohol nucleophile (**4**) to react faster with the (S)- than with the (R)-enantiomer. With less bulky nucleophiles, the acylation was less selective.

Further variation of reaction conditions showed that catalyst loadings as low as 2 mol% were still effective though requiring longer reaction times. Lower temperatures proved to be detrimental to enantioselectivity, while elevated ones resulted in higher rates but with the same enantioselectivity. The applicability of this system to other azlactones was studied using a series of different R groups under optimal conditions. Good yields and ee's (around 90%) were obtained except for isopropyl- and 2,4-dimethoxyphenyl-substituted substrates, which proved to be resistant to alcoholysis.

One year later, the same group published DKR of azlactones using chiral Brønsted acids.<sup>5</sup> Perhaps inspired by the necessity of a protic acid in the previous example, they reasoned that a strong chiral Brønsted acid might also be able to sufficiently activate azlactones to promote direct alcoholysis without intermediacy of a nucleophilic catalyst. Several 3,3'-disubstituted BINOL-derived phosphoric acids were screened as catalysts for the reaction of 2,5-diphenylazlactone with benzyl alcohol giving the most promising results (91% conversion, 63% ee) with 9-anthryl-substituted BINOL **6**. This most likely proceeds through protonation of one of the azlactone enantiomers with the chiral phosphate acting as the counterion, followed by nucleophilic attack of the alcohol. Use of the more bulky 3,5-dimethoxyphenyl



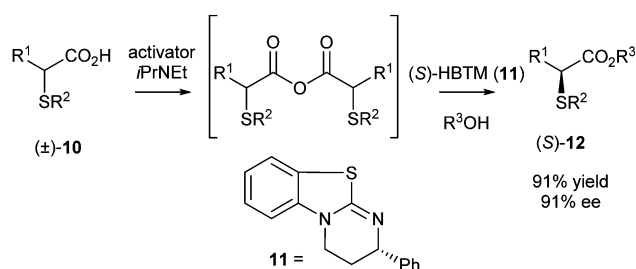
**Scheme 3** DKR of azlactones via Brønsted acid catalysis.

group led to substantial improvement in ee (77% with R = Bn) (Scheme 3).

The effect of the structure of the alcohol on enantioselectivity was also examined. After screening, 1-naphthylmethanol (**8**) and 1-pyrenylmethanol (**9**) were selected as being optimal leading to 90% conversion, 100% ee and 91% conversion, 90% ee, respectively. DKR of substituted 4-aryl azlactones under optimized conditions yielded the corresponding substituted  $\alpha$ -arylglycine derivatives in 86–93% ee and 81–90% yield.

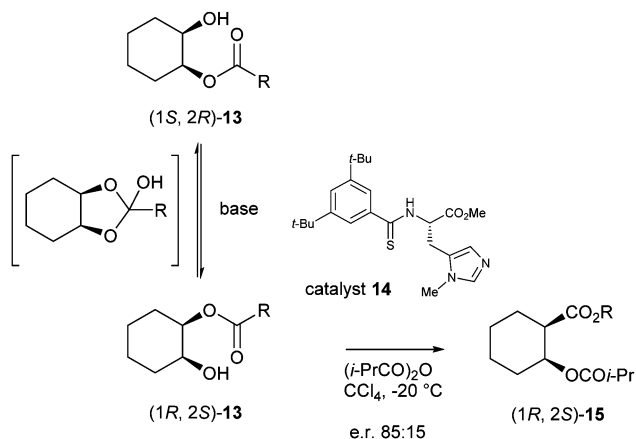
The most recent report of Birman's group describes the DKR of  $\alpha$ -arylthio- and  $\alpha$ -alkylthioalkanoic acids.<sup>6</sup> This latest example was inspired by the serendipitous finding that  $\alpha$ -arylthioesters are readily racemised by the previously mentioned amidine-based catalysts, thereby opening up the possibility of DKR. In this process the alkanolic acid **10** was first reacted with an activator resulting in the formation of the corresponding anhydride in the presence of base (iPr<sub>2</sub>NEt) (Scheme 4).

With  $\alpha$ -phenylthiopropionic acid **10** (R<sup>1</sup> = Me, R<sup>2</sup> = Ph) as a substrate, the use of DCC as an activator resulted in slow anhydride formation accompanied by the formation of side products. The use of benzoic anhydride as an activator and 1-naphthylmethanol as the nucleophile gave the ester **12** in 91% yield and 91% ee. After optimisation, (S)-homobenzo-tetramisole (HBTM, **11**) appeared to be the best acylation catalyst.



**Scheme 4** DKR of  $\alpha$ -thioalkanoic acids.



Scheme 5 DKR of *meso*-1,2-diols **13**.

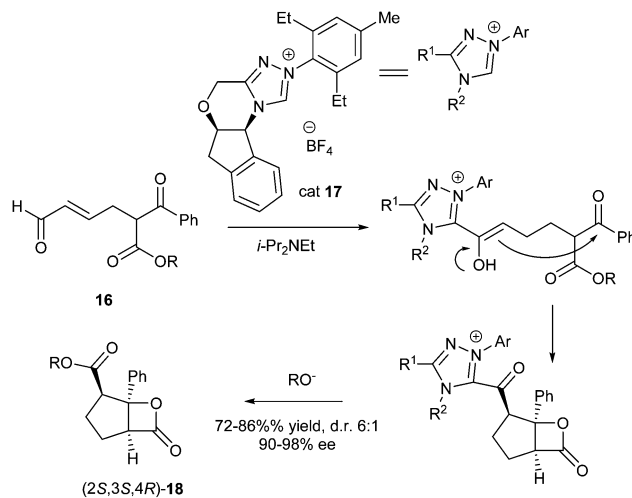
Finally, ester **12** was reduced using lithium aluminium hydride (95% yield) without any loss of optical purity.

Deracemisation by means of an enantioselective acylation catalyst was also achieved by Qu *et al.*<sup>7</sup> In this case, the *meso*-1,2-diol **13** was non-selectively mono-acylated, after which *via* DKR selective acylation was performed using an enantiopure organocatalyst to selectively acylate the remaining free alcohol. A base was used to catalyse the racemisation of the starting material (Scheme 5).

In initial experiments with monochloroacetate **13** ( $R = \text{CH}_2\text{Cl}$ ) and  $i\text{Pr}_2\text{NEt}$  as a base in  $\text{CCl}_4$  racemisation was slower than acylation resulting in decrease of ee during the reaction. Racemisation was faster with more electron-withdrawing groups on the acetate rendering it more susceptible to nucleophilic attack. However, the presence of these groups makes the acetate a worse hydrogen bond acceptor, thereby lowering the enantioselectivity of the reaction. Several halogen substituents were tested and the best results were obtained with dichloroacetylated diol **13** ( $R = \text{CHCl}_2$ ). Optimisation of the reaction conditions proved that DABCO was the best base (e.r. 85:15) in  $\text{CCl}_4$  at  $-20^\circ\text{C}$ .

Scheidt *et al.* reported that N-heterocyclic carbenes can also be used as organocatalysts in enantioselective acylation-based DKR to synthesize highly substituted  $\beta$ -lactones.<sup>8</sup>  $\alpha$ -Substituted  $\beta$ -ketoesters can undergo facile racemisation under basic conditions, which are typical for carbene generation and were therefore used as substrates in this DKR procedure (Scheme 6).

With  $\beta$ -ketoester **16** ( $R = \text{Et}$ ) as a substrate different catalysts were screened and NHC **17** was found to give the best results (72% yield, d.r. of 5:1 and 90% ee). This reaction proceeds through nucleophilic attack of the carbene onto an aldehyde, which gives rise to an umpolung so that the resulting enol, directed by the chiral NHC, diastereoselectively attacks the ketone, which then continues to form the  $\beta$ -lactone. The alkoxide that is released in the process liberates the NHC to give product **18**. Using the same catalyst, the R-group in the substrate was varied changing also the acidity of the  $\alpha$ -position and thus the rate of racemisation. The use of a trifluoroethyl ester led to an increase of diastereoselectivity (8:1 d.r.) with excellent ee (99%), albeit in lower yield (64%). Further optimisation of the

Scheme 6 DKR of  $\alpha$ -substituted  $\beta$ -ketoesters **16**.

conditions revealed that 1,2-dichloroethane and cesium carbonate were the best solvent and base, respectively. Under these conditions, substrate **16** was transformed into the desired lactone in high yield (86%), good d.r. (6:1) and excellent ee (98%).

## 2.2 Transition metal-catalysed DKR

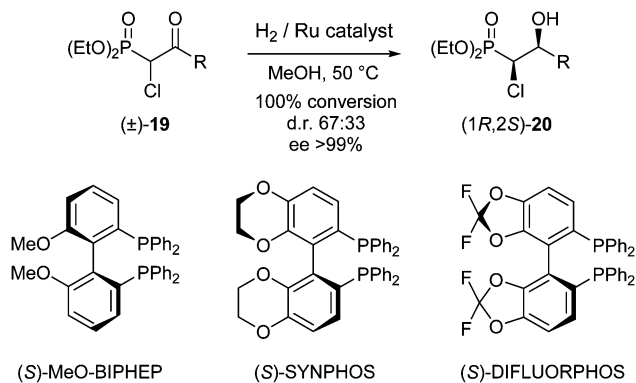
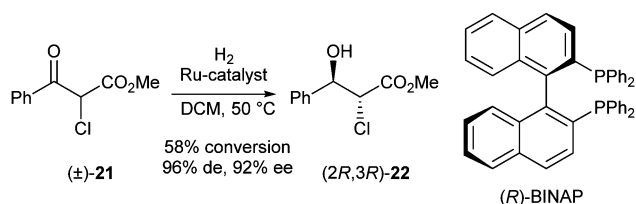
Considering the asymmetric transformations that are catalyzed by transition metals, asymmetric hydrogenation stands out as a general and highly practical way to convert olefins, ketones, or imines into the corresponding enantiopure reduced products. This approach was discovered as early as in 1995, when the Japanese chemist Ryoji Noyori developed a ruthenium-based catalyst for asymmetric transfer hydrogenation of ketones and imines.<sup>9</sup> As a result, in 2001 he was awarded the Nobel Prize for his work on asymmetric catalytic hydrogenation. Asymmetric hydrogenation of a racemate, however, will give rise to the formation of diastereoisomers. Several groups have addressed this issue, where *in situ* racemisation will give rise to the formation of single, enantiomerically pure products.

In 2010 the group of Ratovelomanana-Vidal and Genêt reported a ruthenium-catalysed DKR in the synthesis of Taxotere<sup>®</sup>, an anti-mitotic chemotherapy drug.<sup>10</sup> In this process, asymmetric hydrogenation was initially used for resolution of  $\alpha$ -chloro- $\beta$ -keto-phosphonates **19** and esters **21**. Racemisation proceeded *via* tautomerisation of the ketophosphonate to the corresponding enol. First, different ruthenium complexes were employed in the DKR of racemic **19** ( $R = \text{Me}$ , Scheme 7).

Best results were obtained using the preformed complex  $[\text{RuCl}((S)\text{-SYNPHOS})_2(\mu\text{-Cl})_3][\text{NH}_2\text{Me}_2]$  at  $50^\circ\text{C}$ , which gave 100% conversion with a d.r. of 67:33 and ee >99%. Results for substrates **19** with other substituents ( $R = \text{Et}$ ,  $i\text{Pr}$ ,  $\text{Ph}$ ,  $p\text{-ClC}_6\text{H}_4$ ) were similar, however, *tert*-butyl-substituted substrate **19** ( $R = t\text{Bu}$ ) turned out to be too sterically hindered and no formation of the desired product was observed. Finally, application of this approach to ketoester **21**, the envisioned precursor in the Taxotere<sup>®</sup> synthesis, was also described (Scheme 8).





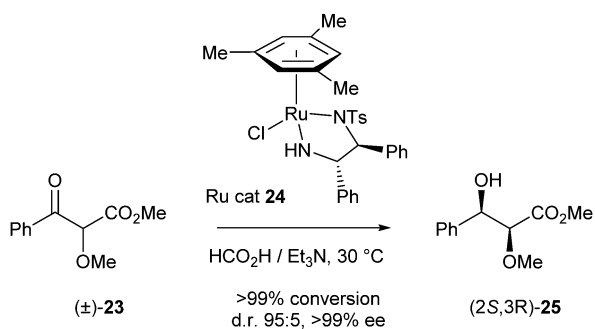
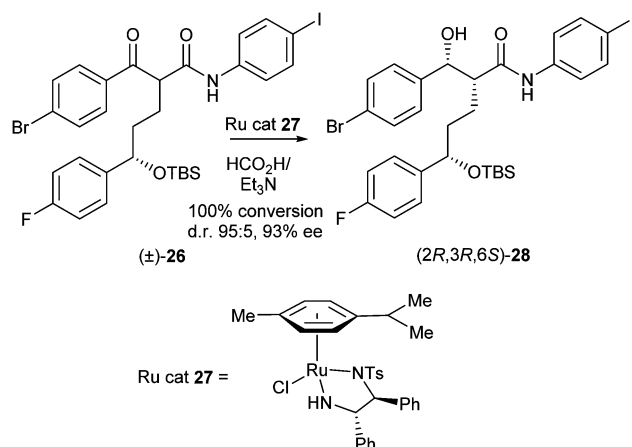
Scheme 7 DKR of  $\alpha$ -chloro- $\beta$ -ketophosphonates.Scheme 8 DKR of  $\alpha$ -chloro- $\beta$ -ketoesters.

The best selectivity (96% de, 92% ee) was achieved using (*R*)-FLUOROPHOS at 50 °C, albeit in moderate conversion (58% after 48 h). In order to increase the conversion several solvents were screened with DCM giving the best compromise between conversion and selectivity.

In the same year Ratovelomanana-Vidal *et al.* reported a DKR of related  $\alpha$ -alkoxy- $\beta$ -ketoesters based on Noyori's catalyst.<sup>11</sup> A number of ruthenium complexes were screened at 30 °C using 1.4 equiv. of a 5:2 formic acid–triethylamine mixture as a hydrogen source. Catalyst **24** provided the best results (>99% conversion, 95:5 d.r. and >99% ee) (Scheme 9).

Diluting the reaction mixture from 1 to 0.2 M improved the d.r. to 97:3. Under optimised conditions DKR of a broad range of substrates was performed with very good conversions (80–99%) and excellent selectivities (d.r. 97:3, >99% ee).

In 2010, related DKR of  $\alpha$ -alkyl- $\beta$ -ketoamides *via* ruthenium-mediated asymmetric transfer hydrogenation was also reported.<sup>12</sup> In initial studies compound **26** was used as a test substrate with

Scheme 9 DKR of  $\alpha$ -alkoxy- $\beta$ -ketoesters.Scheme 10 DKR of  $\alpha$ -alkyl- $\beta$ -ketoamides.

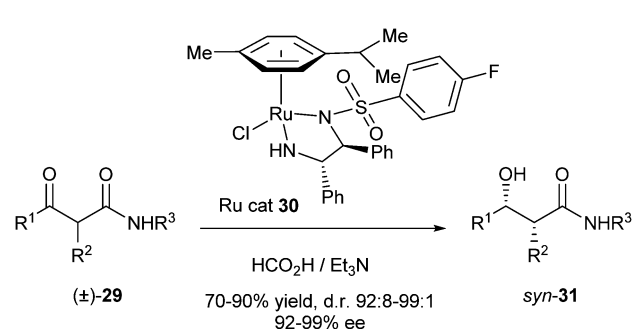
ruthenium complex **27** as the catalyst and a mixture of formic acid and triethylamine as the hydrogen source (Scheme 10).

The best results (100% conversion, d.r. 95:5, 93% ee) were obtained with 1 equiv. of triethylamine and 1.2 equiv. of formic acid after 8 hours. Further optimisation *via* modification of the catalyst using other aryl sulfonyl groups was carried out to reveal that the *p*-fluorobenzenesulfonyl-substituted ligand was the best catalyst (**30**, Scheme 11). Finally, a range of different  $\alpha$ -alkyl-substituted aromatic  $\beta$ -ketoamides were successfully transformed into the corresponding *syn*- $\beta$ -hydroxy amides in yields of 70–90%, d.r.'s of 92:8–99:1 (after crystallisation) and 92–99% ee.

In the same year, Somfai *et al.* reported DKR of  $\alpha$ -amido- $\beta$ -ketoesters *via* asymmetric transfer hydrogenation to give the corresponding *anti*- $\beta$ -hydroxy- $\alpha$ -amido esters.<sup>13</sup> The best results (95% yield, d.r. >95:5 and e.r. 97:3) were achieved using (*S,S*)-BnDPAE as a ligand with [RuCl<sub>2</sub>(benzene)]<sub>2</sub> as the catalyst (Scheme 12).

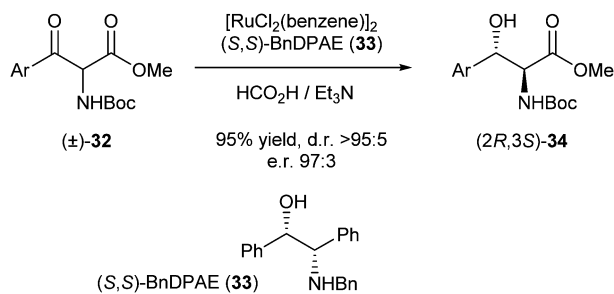
Using differently substituted aromatic ketones in combination with methyl or ethyl ester, the products were formed in generally good yields (76–95%) and excellent enantioselectivities (96:4–99:1), except for the *m*-chlorophenyl-substituted ketone with the ethyl ester, which gave significantly worse results (69% yield, 66:34 e.r.).

In 2012, Johnson *et al.* published a DKR of  $\alpha$ -ketoesters also *via* asymmetric transfer hydrogenation.<sup>14</sup> A broad range of



Scheme 11 Exploration of substrate scope.



Scheme 12 DKR of  $\alpha$ -amido- $\beta$ -ketoesters.

catalysts were screened on substrate **35** ( $R = \text{Ph}$ ) in DMF at 75 °C using a 5 : 2 mixture of formic acid and triethylamine as the hydrogen source (Scheme 13).

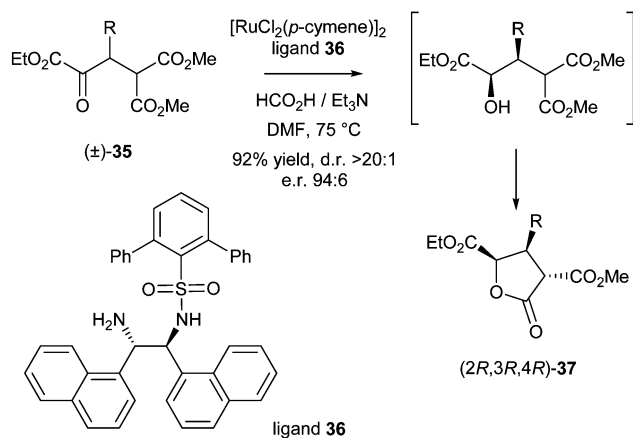
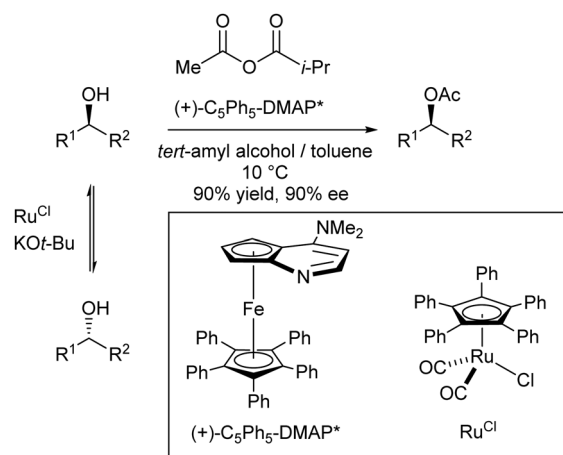
The best results were obtained using ligand **36**, which gave the desired product in 92% yield, excellent d.r. (>20 : 1) and e.r. (94 : 6). Substrates incorporating electron-rich, electron-poor and heteroaryl substituents gave all products in high yields (around 90%) and enantioselectivities (typical e.r. of 95 : 5).

On a somewhat different note, in the same year Fu *et al.* reported a DKR of secondary alcohols using a combination of an enantiopure dimethylaminopyridine (DMAP) derivative and a ruthenium-based catalyst (Scheme 14).<sup>15</sup>

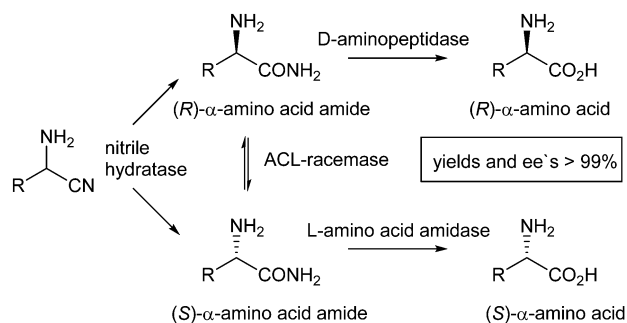
In this case, the Ru-catalyst was responsible for racemisation of the secondary alcohols (*via* dehydrogenation/hydrogenation through hydrogen transfer), while in a kinetic resolution only one of the enantiomeric alcohols reacts faster with the *in situ* formed enantiopure activated acyl-DMAP intermediate. The best results were obtained with acetyl isopropyl carbonate as the acylating agent in a 1 : 1 mixture of *tert*-amyl alcohol and toluene at 10 °C. Then, various aryl alkyl carbinols were used as substrates to give successful results (yields generally exceeding 90% and ee's around 90%).

### 2.3 Enzymatic DKR

In addition to enantiopure organocatalysts and transition metal complexes, enzymes can be powerful catalysts to induce enantioselective reactions due to their high substrate selectivity. In order

Scheme 13 DKR of  $\alpha$ -ketoesters.

Scheme 14 DKR of secondary alcohols.

Scheme 15 DKR of  $\alpha$ -aminonitriles to form chiral  $\alpha$ -amino acids.

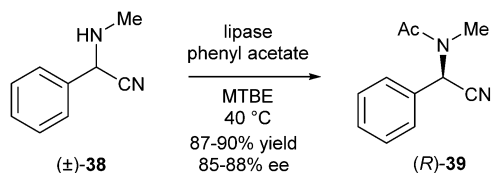
to overcome the 50% yield barrier in enzymatic resolutions of racemic mixtures, the DKR principle has also been recently applied to a variety of enzymatic reactions.

In 2011 the group of Asano reported enzyme-promoted DKR of  $\alpha$ -aminonitriles leading to optically pure  $\alpha$ -amino acids.<sup>16</sup> This process consisted of three steps: (i) hydrolysis of racemic  $\alpha$ -aminonitrile by a non-selective nitrile hydratase (NHase), (ii) stereoselective hydrolysis of the resulting  $\alpha$ -amino acid amide by an amino acid amide hydrolase, and (iii) simultaneous racemisation of the  $\alpha$ -amino acid amide by ACL racemase (Scheme 15).

Several substrates were tested using this system and both (*R*)- and (*S*)-amino acids could be obtained in excellent yields and ee's (>99%). Such an approach, however, will always be dependent on the availability of different enzymes that are able to convert both enantiomers.

The group of Ramström used a lipase from *Burkholderia cepacia* (a group of Gram-negative bacteria) to catalyse the reaction between an acyl donor (phenyl acetate) and an amine to give the acetylated amine.<sup>17</sup> In initial experiments KR of  $\alpha$ -aminonitrile **38** was investigated (Scheme 16). The conversion into product (*R*)-**39** was recorded at 60% with an enantiomeric purity of 88% ee. The conversion exceeding the theoretical 50% maximum of KR and the observation that the remaining starting material **38** was racemic, clearly indicated that a





**Scheme 16** KR of  $\alpha$ -aminonitriles promoted by lipase.

DKR-type process took place. Further mechanistic studies led to the conclusion that racemisation of the  $\alpha$ -aminonitrile was catalysed by lipase *via* a retro-Strecker mechanism through the same active site that catalyses transacylation.

Racemisation most likely occurs when a His-residue abstracts a proton from the amino group, followed by elimination of the cyanide ion (Scheme 17).

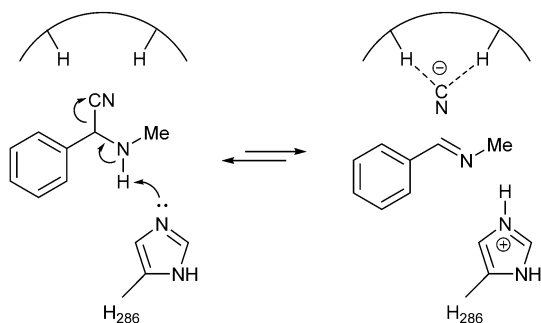
This method was demonstrated to give good yields (87–90%) and ee's (85–88%), but only after prolonged reaction times (7–13 days).

In 2010 Kroutil *et al.* published a DKR of racemic mandelic acid **40** to yield the corresponding enantiopure  $\alpha$ -amino acid L-phenylglycine (**42**).<sup>18</sup> The  $\alpha$ -hydroxy acid was first oxidised to the  $\alpha$ -ketoacid **41** using D-mandelate dehydrogenase (D-MDH), after which it was converted into the  $\alpha$ -amino acid *via* asymmetric reductive amination with L-amino acid dehydrogenase (L-AADH) (Scheme 18).

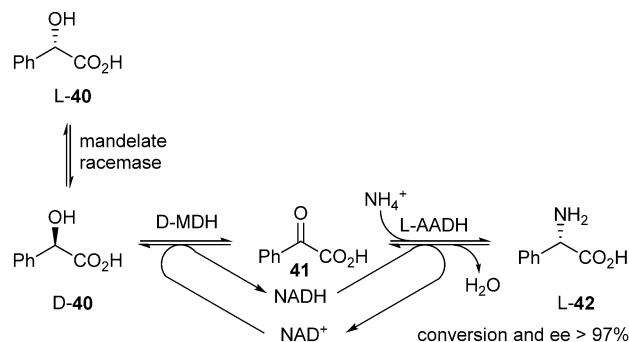
Because oxidation and reduction took place simultaneously, the NADH/NAD<sup>+</sup> cofactor was recycled in this system. In the screening process, 10 out of 24 tested AADHs gave excellent results (>97% conversion, >97% ee), all favouring L-**42**.

D'Arrigo *et al.* reported in 2011 an enzymatic DKR of N-Boc-amino acid thioesters.<sup>19</sup> In this process, the authors take advantage of the fact that thioesters, as opposed to the resulting amides, are prone to racemisation under basic conditions. The formation of the amide bond can be catalysed in an enantioselective manner by proteases. Subtilisin, commercially available under the name alcalase, is known to be stable in organic solvents (an aqueous reaction will lead to hydrolysis of the thioester) and in the presence of bases. In this system, ammonia or amines can be used as nucleophiles (Scheme 19).

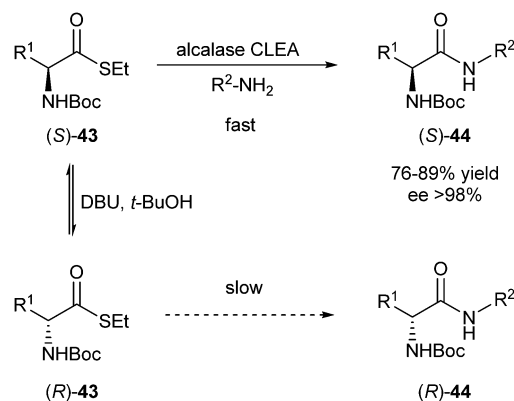
Using this system, yields of 76–89% were obtained with ee's of >98% with **43** ( $R^1 = \text{Bn}$ ) as a substrate and three different nucleophiles ( $R^2 = \text{H}$ , *n*Pr, Bn). Other substrates ( $R^1 = \text{PhCH}_2\text{CH}_2$ , *n*Bu) were transformed with lower selectivity.



**Scheme 17** Transition state for lipase-catalysed racemisation.



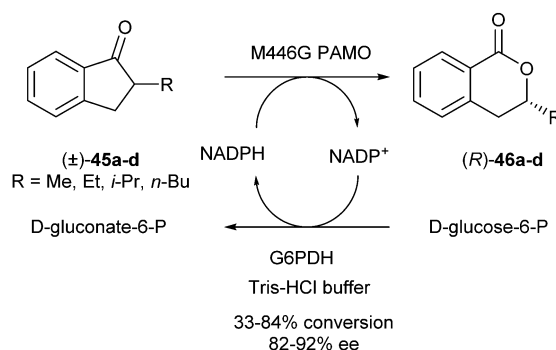
**Scheme 18** DKR of mandelic acid **40**.



**Scheme 19** DKR of N-Boc-amino acid thioesters.

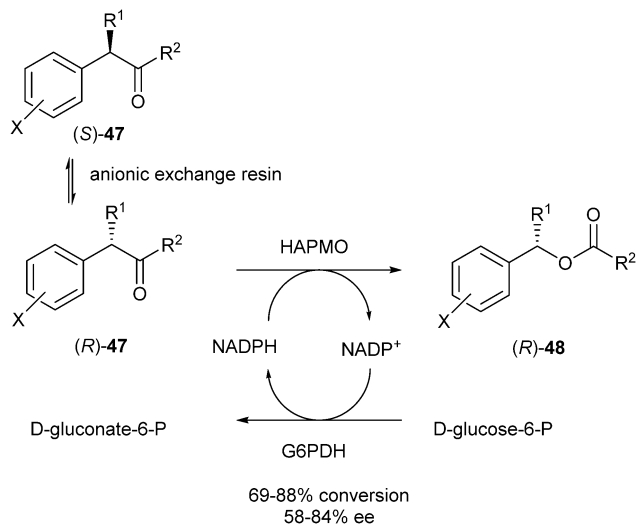
In 2010, Gotor *et al.* published two reports about DKR using Baeyer-Villiger monooxygenases (BVMOs). In one of them, a DKR of 2-alkyl-1-indanones to form the corresponding dihydro-isocoumarins was described.<sup>20</sup> An enzymatic DKR of racemic 2-methyl-1-indanone **45a** was performed in a biphasic system of Tris-HCl buffer with 5% hexane, in which racemisation most likely proceeds *via* the corresponding enol. The ring expansion was carried out using a single mutant of thermostable phenylacetone monooxygenase (M446G PAMO) from *Thermobifida fusca* in the presence of glucose-6-phosphate dehydrogenase (G6PDH) to recycle NADP<sup>+</sup> (Scheme 20).

It was found that longer reaction times (7 days) are beneficial for conversions (84%, compared to 33% after 3 days), but ee's



**Scheme 20** DKR of 2-alkyl-1-indanones.





**Scheme 21** DKR of benzyl ketones.

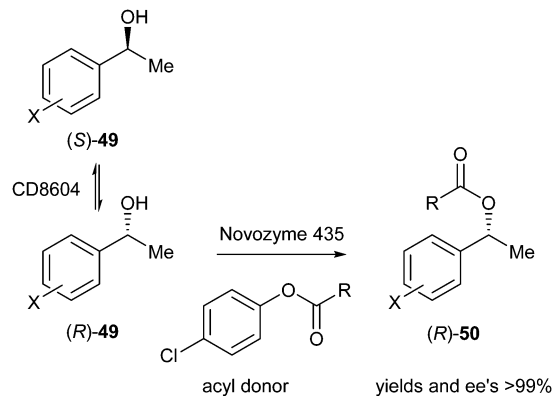
decreased (82% in comparison with 92% after 3 days) due to partial racemisation of the lactone under the reaction conditions.

A second report of the Gotor group described DKR of benzyl ketones catalysed by BVMOs.<sup>21</sup> Racemic substrate **47** ( $R^1 = R^2 = \text{Me}$ ) was subjected to DKR catalysed by 4-hydroxyacetophenone monooxygenase (HAPMO) from *Pseudomonas fluorescens* in the presence of G6PDH to regenerate NADPH from NADP<sup>+</sup>. Racemisation of the substrate occurred spontaneously under basic conditions, and was enhanced by the presence of an anionic exchange resin (Scheme 21). Several resins were tested and the best results (86% conversion, 84% ee after 116 hours) were obtained for (R)-**48** using Dowex MWA-1, a weak anionic exchange resin.

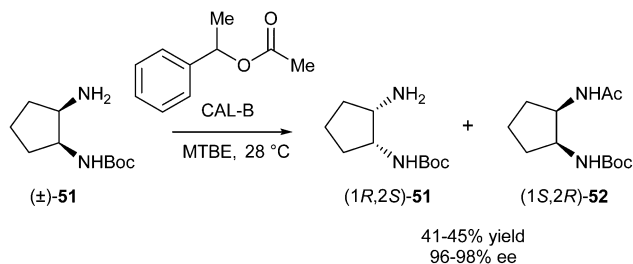
With differently substituted aromatic rings ( $X = m\text{-Me}$ ,  $m\text{-CF}_3$ ,  $p\text{-Cl}$ ) conversions varied from 69 to 88% with ee's of the products varying from 58 to 81%. Clearly, the results using this system are strongly dependent on the substrate structure.

In 2011, Yang *et al.* reported a DKR of secondary aromatic alcohols using an acidic resin known as CD8604, which is known to racemise benzylic alcohols, presumably *via* formation of the benzylic cation and attack again from water. In addition, Novozyme 435 (a commercially available immobilised preparation of lipase B from *Candida antarctica*) was used for the kinetic resolution.<sup>22</sup> Different acyl donors were investigated using this system (Scheme 22).

It was found that longer chain acyl donors ( $R = \text{Bu}$ , Pent, *etc.*) strongly inhibited non-selective transesterification catalysed by the resin due to steric effects of the substrates, as well as to formation of micromicelles in the pores of the resin. After screening of acyl donors with varying chain length ( $R = \text{Me}$  to octyl), 4-chlorophenyl valerate ( $R = \text{Bu}$ ) was found to be the most appropriate in DKR of phenylethanol (yield >99%, ee >99% after 6 hours). Employing this acyl donor in DKR of 1-phenylethanol with various substitution patterns in the phenyl ring resulted in yields and ee's up to 99% in almost all cases. Finally, the reusability of this system was examined up to 20 times without any loss in yield or ee.



**Scheme 22** DKR of aromatic alcohols using different acyl donors.

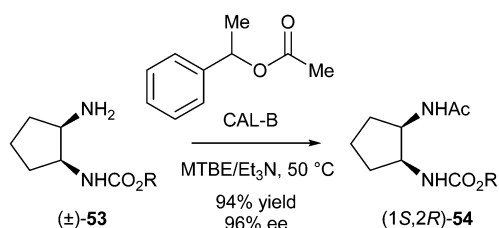


**Scheme 23** KR of vicinal diamine **51**.

DKR of the racemic Boc-protected vicinal diamine **51** catalysed by lipase B from *Candida antarctica* (CAL-B) was described by Rebolledo *et al.*<sup>23</sup> First, KR of (±)-**51** was performed to find that the use of 1-phenylethyl acetate as the acyl donor and methyl *tert*-butyl ether as solvent at 28 °C gave the best results (Scheme 23).

Then, other monocarbamates were subjected to the same conditions. Surprisingly, yields and ee's pointed towards racemisation taking place, thus leading to DKR as opposed to KR. This racemisation is most likely due to intramolecular migration of the alkoxycarbonyl group between both vicinal amines. Reaction conditions for DKR of **53** ( $R = \text{Bn}$ ) were optimised, and using MTBE-Et<sub>3</sub>N 10:1 as a solvent and increasing the temperature to 50 °C resulted in high yield and ee (94 and 96%, respectively) (Scheme 24).

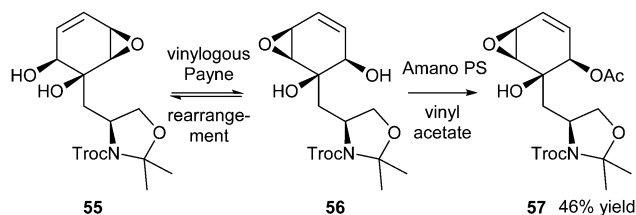
In 2010, Nelson *et al.* reported DKR *via* a vinylogous Payne rearrangement.<sup>24</sup> Epoxides **55** and **56** were intermediates in the synthesis of the natural product scyphostatin. Both diastereoisomers could be separated, but appeared to reequilibrate to the mixture of **55** and **56** upon heating after 3 days. Thus, this



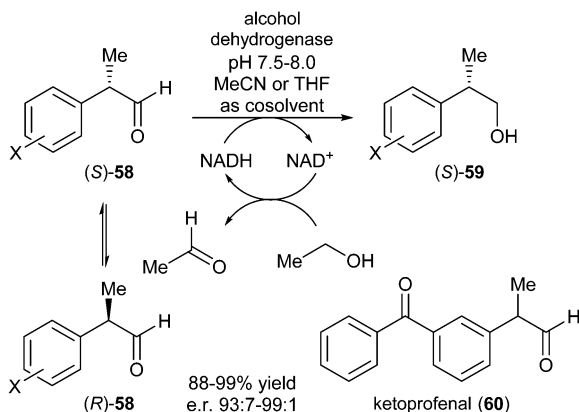
**Scheme 24** DKR of a vicinal diamine.







**Scheme 25** DKR of epoxides via vinylogous Payne rearrangement.



**Scheme 26** DKR of 2-arylpropanals.

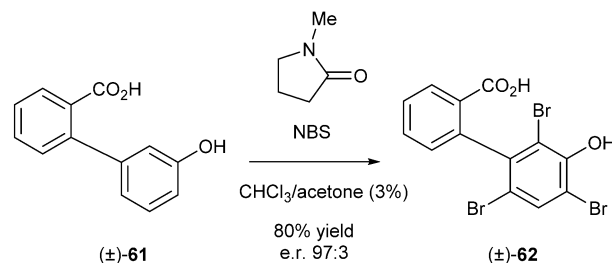
equilibrating mixture was used in DKR. The use of lipase Amano PS (commercially available lipase PS from *Burkholderia cepacia*) allowed for selective acetylation of **56** using vinyl acetate as the acyl donor in hexane at ambient temperature (Scheme 25). It is important to remark that since the starting compound is not a racemic mixture, but a mixture of enantiopure diastereoisomers, this formally is not a resolution. Nevertheless, after a 14-day reaction, starting from a 1:1 mixture of **55** and **56**, diastereomerically pure acetate **57** was produced in yield of 46%.

The group of Giacomini described a DKR *via* reduction of arylpropionic aldehydes to (2*S*)-2-arylpropanols promoted by alcohol dehydrogenases (ADHs).<sup>25</sup> Several racemic substituted 2-arylpropanals **58** (readily racemisation at higher pH values *via* enolisation) were tested and after optimisation of reaction conditions (pH, cosolvent) for every substrate, good yields and enantiomeric ratios were obtained (Scheme 26). A noteworthy result was obtained for ketoprofenal **60** which bears two carbonyl groups. HLADH exhibited a high degree of selectivity, since no traces of possible ketone reduction by-products were detected.

## 2.4 Other DKRs

Two special cases of DKR are discussed in this section, namely, (1) DKR of atropisomers, compounds being chiral due to hindered rotation around a single bond, and (2) so-called attrition-enhanced deracemisation, a process employing the property of some compounds to crystallise as a conglomerate in DKR processes.

In 2010, an example of DKR of atropisomers was reported by Miller *et al.*<sup>26</sup> Compound **61** was triply brominated in reaction



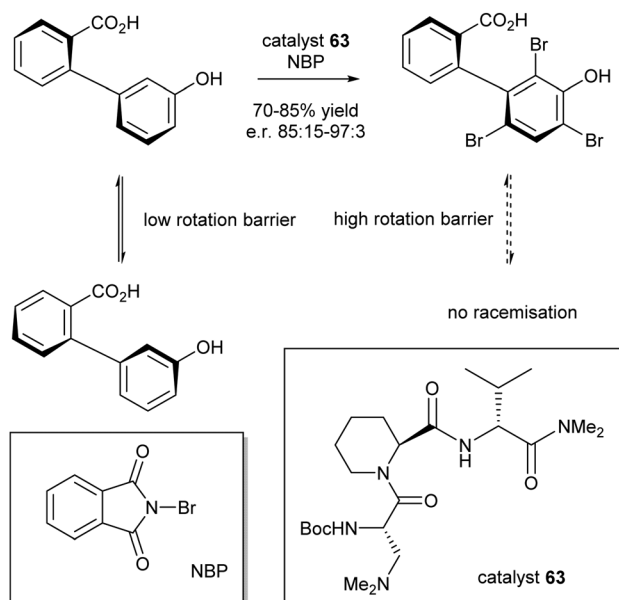
**Scheme 27** Triple bromination using NBS.

with NBS in the presence of a catalytic amount of *N*-methylpyrrolidine (Scheme 27). This reaction gave rise to compound **62**, which due to the increased steric bulk at one of the aromatic rings, showed the occurrence of two atropisomers.

The enantiopure tripeptide **63**, which catalyses aromatic electrophilic substitution, was selected as the best catalyst for further study with NBP as a bromine source (Scheme 28). In this case, the catalyst kinetically favours bromination of one biaryl enantiomer over the other, which prior to the bromination are in equilibrium, but are after the bromination are not. In this way the racemic mixture is resolved.

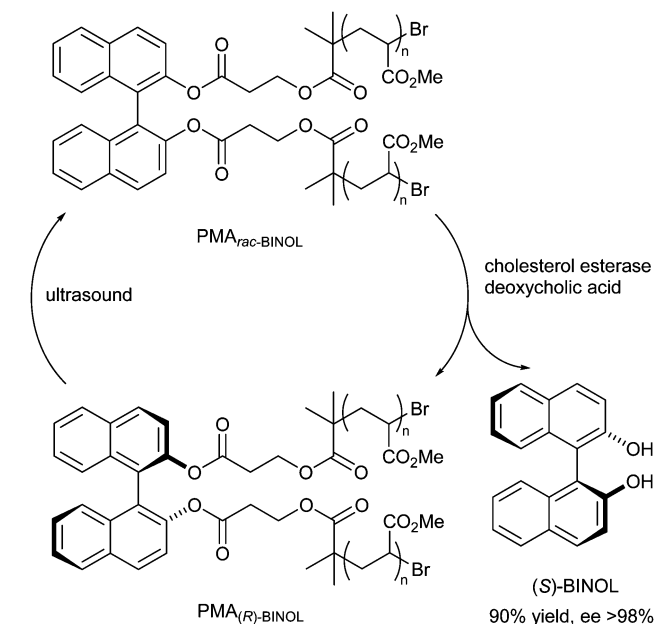
A range of differently substituted biaryl compounds were tested and all the desired products could be obtained in good yields (70–85%) and moderate to good e.r.'s (85:15–97:3).

A different mechanochemical approach to DKR of atropisomers was reported in 2012 by Wiggins and Bielawski.<sup>27</sup> Enantiopure atropisomers were racemised by applying mechanical force using poly(methyl acrylate) (PMA) chains on (*R*)- and (*S*)-BINOL in combination with ultrasound. Thus, by treatment of a racemic mixture of polymer-functionalised BINOL with deoxycholic acid and cholesterol esterase, esters of (*S*)-BINOL are selectively hydrolysed (Scheme 29). Upon subjecting the remaining enantiopure starting material to ultrasound, the atropisomer racemises,



**Scheme 28** DKR of atropisomers *via* triple bromination with NBP.





Scheme 29 DKR via mechanochemical racemisation.

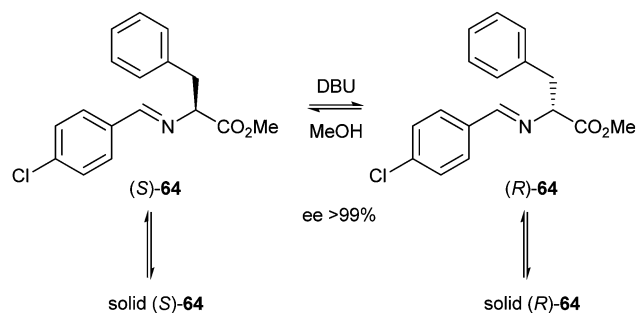
ultimately producing only (S)-BINOL, which could be isolated in 90% yield and >98% ee.

An entirely different, recently discovered resolution process is so-called attrition-enhanced deracemisation. This is a process that can only be applied to compounds that crystallise as a conglomerate, *i.e.* the enantiomers form separate solid phases. Furthermore, compounds must be prone to deracemisation, *e.g.* by deprotonation under basic conditions. The driving force for deracemisation is a process called Ostwald ripening, meaning that dissolution of smaller crystals is favoured over larger ones. The resolution is not based on kinetics, as in a 'classical' DKR, but on thermodynamics, and thus it can be called dynamic thermodynamic resolution (DTR).

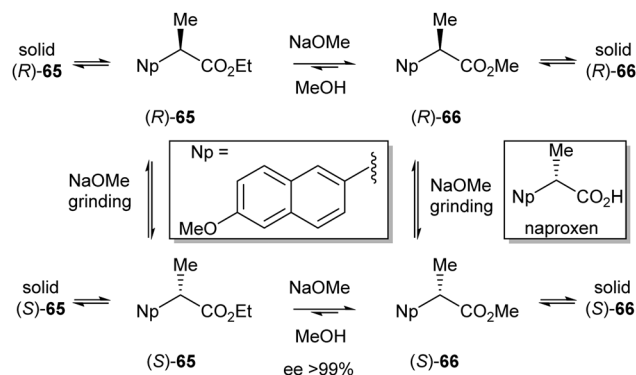
Noorduyn *et al.* reported in 2008 a deracemisation of phenylglycine derivative **64** using this technique.<sup>28</sup> The compound readily racemised under basic conditions making it a potentially good substrate for attrition-enhanced deracemisation. Nearly racemic mixtures of **64** were suspended in MeOH and ground by magnetic stirring in the presence of glass beads. Once the equilibrium between the solution and solid states had been established, DBU was added as a base to initiate racemisation (Scheme 30).

Because of the slight excess of one enantiomer, this enantiomer will have a slightly larger surface area for crystallisation and will therefore crystallise faster, thus shifting all equilibria until the other enantiomer is depleted in the solid state. The ee increases exponentially during the reaction.

The same group reported in 2009 the use of this technique in the deracemisation of naproxen.<sup>29</sup> While naproxen itself crystallises as a racemic compound, its ethyl and methyl esters **65** and **66** both crystallise as conglomerates. Interestingly, the solubility of **66** in methanol is lower than that of **65**. This difference can be used to generate a supersaturated solution of



Scheme 30 Equilibria during attrition-enhanced deracemisation.



Scheme 31 Attrition-enhanced deracemisation of naproxen.

**66** starting from a saturated solution of **65**. To introduce a gradual feed of racemic **66**, a mixture of *rac*-**65** (92 mol%) and (S)-**66** (8 mol%) was partially dissolved in MeOH–NaOMe and ground at 700 rpm (Scheme 31).

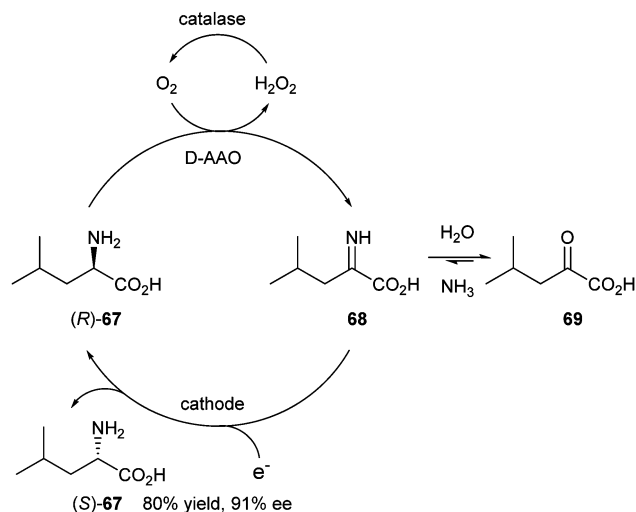
During the reaction all solid material **65** was converted into **66**. Simultaneously, crystalline **66** was deracemised *via* attrition-enhanced deracemisation, in favour of the (S)-enantiomer. It also appeared that the use of a gradual feed decreased the deracemisation time to 15 hours.

### 3 Cyclic deracemisation and stereoinversion

Cyclic deracemisation and stereoinversion are techniques involving chemically stable, achiral intermediates (Scheme 1). In cyclic deracemisation, the achiral intermediate (I) is non-selectively converted into a racemic mixture of the substrate (back reaction). The rates of conversion of the two enantiomers  $S_R$  and  $S_S$  into the intermediate I differ significantly, thus leading to accumulation of the slow-reacting enantiomer  $S_S$ . In a stereoinversion process, a single enantiomer of the substrate ( $S_S$ ) is transformed into an achiral intermediate I, followed by irreversible conversion to the desired (opposite) enantiomer ( $S_R$ ).

Märkle and Lütz reported deracemisation of amino acids *via* a cyclic process in 2008, combining stereoselective enzymatic oxidation with non-selective electrochemical reduction.<sup>30</sup> In a test reaction, the electrochemical reduction of 4-methyloxalovalerate (**69**) in ammonia buffer at pH 10 (to establish an equilibrium between keto acid **69** and imino acid **68**) was pursued. The electrolysis potential





**Scheme 32** Deracemisation of amino acid *via* cyclic deracemisation.

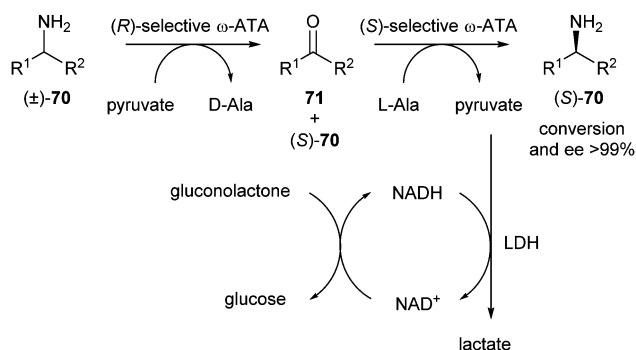
was chosen to reduce only the imino acid **68**. So far, the keto acid could be converted into the racemate of leucine **67**. A D-amino acid oxidase (D-AAO) was applied to selectively oxidise the D-amino acid (Scheme 32).

Starting from racemic leucine, a yield of 80% of L-leucine (**S**)-**67** could be obtained with 91% ee. In addition, starting from enantiopure D-leucine a yield of 60% of (**S**)-**67** with 77% ee was obtained.

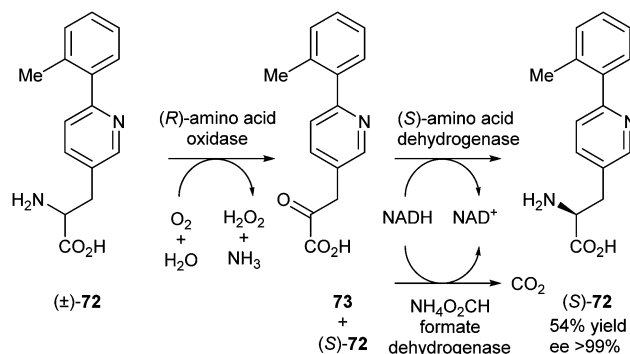
Kroutil *et al.* reported deracemisation of  $\alpha$ -chiral primary amines *via* stereoinversion.<sup>31</sup>  $\omega$ -Transaminases ( $\omega$ -ATAs) were used to catalyse stereoselective oxidation of one amine enantiomer to the corresponding ketone (kinetic resolution). This ketone was then selectively reconverted to a single enantiomer of the desired amine (Scheme 33).

In the second step, the equilibrium lies towards the substrates (ketone, alanine) rather than towards the products (amine, pyruvate). To shift the equilibrium towards the products, the pyruvate formed was removed by reduction using lactate dehydrogenase (LDH). With this system, enantiomers of a range of primary amines were accessible, depending on the order of addition of  $\omega$ -ATAs and were obtained in generally good conversions and excellent ee's (>99% in most cases).

In 2011, the group of Patel reported three enzymatic routes to (**S**)-amino acid **72** from racemic mixtures, two of which



**Scheme 33** Deracemisation of primary amines *via* stereoinversion.



**Scheme 34** Deracemisation of amino acids *via* stereoinversion.

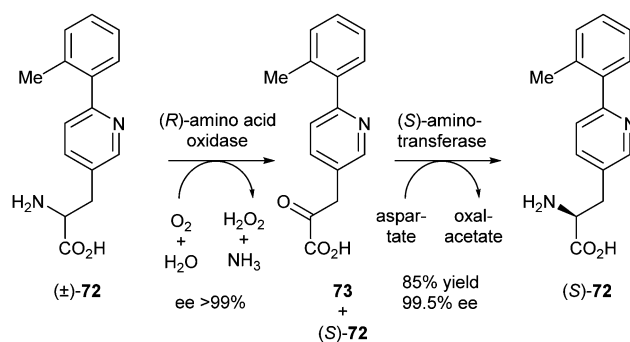
proceeded *via* a stereoinversion mechanism, while the third one was a cyclic deracemisation.<sup>32</sup> The basic strategy was to employ an (**R**)-amino acid oxidase to convert the (**R**)-enantiomer of the amino acid into an achiral keto acid intermediate, in combination with another enzyme, to reduce the keto acid selectively to the (**S**)-amino acid (Scheme 34). The oxidase was inhibited under the reductive amination conditions, so a two-step procedure was required. Using this process, a 54% yield of (**S**)-**72** was obtained in >99% ee.

In a similar procedure (**R**)-amino acid oxidase was combined with (**S**)-aminotransferase from *Burkholderia* sp. After 6 hours, oxidation was complete as indicated by the ee of the (**S**)-amino acid of >99%. The reaction was continued for an additional 16 hours to complete the conversion of **73** into (**S**)-**72**. The yield was 85% with an ee of 99.5% (Scheme 35).

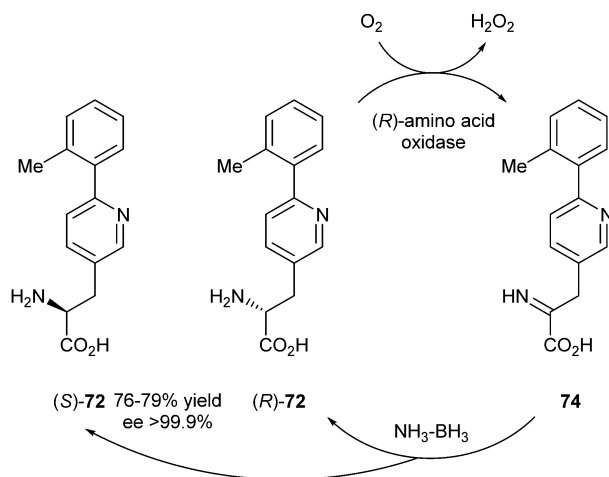
The third strategy described by this group was a cyclic deracemisation. The use of a Celite-immobilised (**R**)-amino acid oxidase was combined with chemical reduction of the imine with a borane–ammonia complex. This reaction occurred before the enzyme-bound imine was hydrolysed to the keto acid (Scheme 36).

The reaction was performed in a phosphate buffer solution with ammonium formate at different pH values. The best yields (76–79%) were obtained at pH 6.0–7.0 in >99.9% ee.

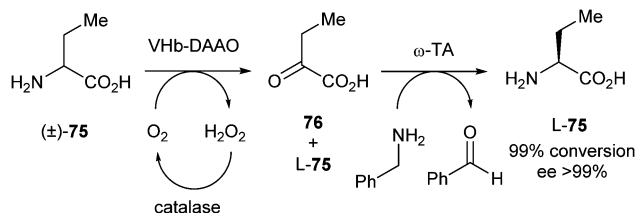
In 2012, Yun *et al.* described deracemisation of the unnatural amino acid homoalanine using D-amino acid oxidase (DAAO) and  $\omega$ -transaminase ( $\omega$ -TA).<sup>33</sup> DAAO stereospecifically catalyses oxidation of D-amino acids into the corresponding imino acids,



**Scheme 35** Deracemisation of an amino acid *via* stereoinversion.



**Scheme 36** Cyclic deracemisation of an amino acid.



**Scheme 37** Deracemisation of homoalanine via stereoinversion.

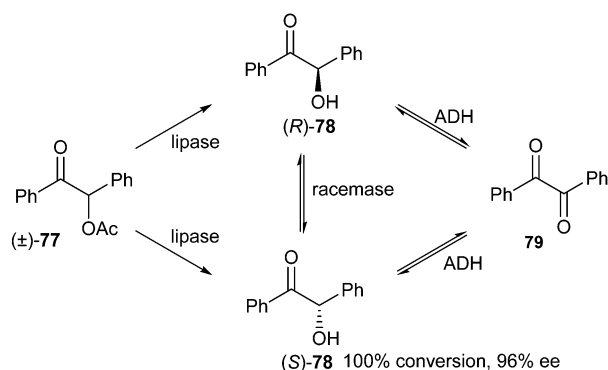
which are spontaneously hydrolysed to the corresponding keto acids and ammonia. The  $\omega$ -TA from *Vibrio fluvialis* was used to catalyse a transfer of the amino group from the amino donor onto the carbonyl moiety of the amino acceptor, in this case keto acid **76** (Scheme 37). After shaking at 37 °C for 8 hours, a 99% conversion was reached with >99% ee.

## 4 Whole cell-mediated deracemisation

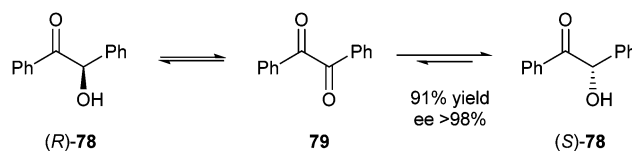
The use of whole cells as biocatalysts in enzymatic processes has the advantage that time-consuming and thus expensive cell lysis and/or protein purification procedures are not necessary. This means that whole cell preparations are often used in production scale enzymatic processes. A disadvantage, however, is that because of the large amounts of different enzymes present in living cells, the exact mechanism of whole-cell-mediated deracemisation cannot always be completely elucidated. For that reason, the whole cell examples are not shared under the categories given in Scheme 1.

In 2011, Mehmetoglu *et al.* described deracemisation of benzoin acetate to form benzoin by whole cells of the fungus *Rhizopus oryzae*.<sup>34</sup> In previous studies it had become apparent that *R. oryzae* possesses both lipase and racemase activity. Additionally, this preparation exhibits alcohol dehydrogenase (ADH) activity, which catalyses the undesired oxidation of benzoin **78** to benzil **79** (Scheme 38).

The best results were obtained using cells treated with 20 kHz ultrasound. A 100% conversion of racemic acetate **77**



**Scheme 38** Deracemisation of benzoin acetate by *R. oryzae*.



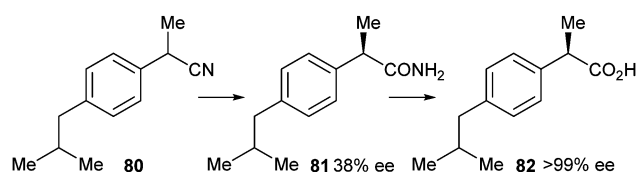
**Scheme 39** Proposed mechanism of deracemisation of benzoin.

in favour of (*S*)-**78** with 45% ee was achieved in one day. After 7 days, the ee had increased to 89%. The effect of pH on the medium was also investigated and a pH of 6.0 in combination with ultrasonic homogenisation at 20 kHz gave the best ee (96%) and conversion (100%).

Alcántara and Molinari also described a whole cell-mediated deracemisation of benzoin to the (*S*)-enantiomer employing whole cells from the non-conventional yeast *Pichia glucozyma*.<sup>35</sup> The reaction showed successful deracemisation with (*S*)-**78** being the only enantiomer present in 85% yield. Benzil **79** was detected (10–15%) in the organic phase during the reaction, indicating an oxidation–reduction cascade taking place (Scheme 39). The reactions performed in highly hydrophobic solvents such as hexane and isooctane took place with excellent ee's (>98%) and yields (91% for isooctane).

In the same year, Solís *et al.* reported deracemisation of ibuprofen nitrile **80** mediated by cells from *Nocardia corallina* B-276 to ibuprofen amide **81** and its subsequent hydrolysis to ibuprofen **82** (Scheme 40).<sup>36</sup>

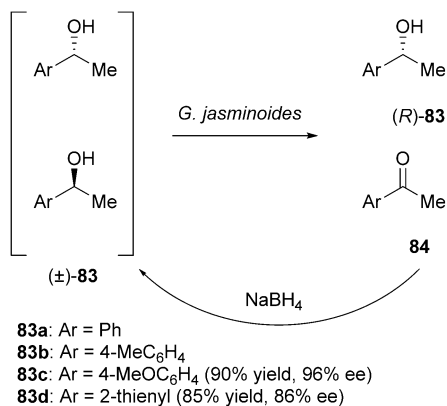
Using a suspension of harvested cells in phosphate buffer yielded 12% of unreacted **80**, while products **81** and **82** were obtained in 15 and 73% yield, respectively, after 72 hours. The presence of amide **81** indicates a two-step process rather than a direct nitrilase-catalysed transformation of the nitrile **80** into the acid **82**. While the remaining amide **81** showed an ee of



**Scheme 40** Hydrolysis of ibuprofen nitrile.







**Scheme 41** Deracemisation of secondary alcohols using *G. jasminoides* cells.

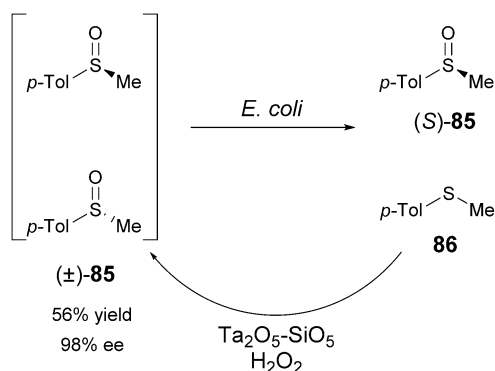
38%, the resulting acid **82** was enantiomerically pure (>99% ee in favour of the (*R*)-enantiomer).

Kurina-Sanz and Orden *et al.* described deracemisation of secondary alcohols by a chemoenzymatic sequence of oxidation/reduction using plant cells.<sup>37</sup> The reactivity of six cell lines towards the oxidation of racemic 1-phenylethanol (**83a**) was screened. Only whole cells from *Gardenia jasminoides* were able to resolve the racemic mixture providing the (*R*)-isomer with 90–92% ee after 6 days. In order to investigate the substrate scope of this whole cell catalyst, whole cell-mediated kinetic resolution of various 1-phenylethanol derivatives was performed. Substrates **83c** and **d** gave the best results and were therefore selected for a chemoenzymatic deracemisation using NaBH<sub>4</sub> as the non-selective reducing agent (Scheme 41).

For those substrates the (*R*)-alcohols **83c** and **d** were obtained in yields of 90 and 85%, respectively, with good ees (96 and 86%).

Parvulescu *et al.* described a combination of enantioselective deoxygenation of racemic sulfoxides using *E. coli* bacteria with heterogeneous-catalytic oxidation of the resulting sulfite by a Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> catalyst (Scheme 42).<sup>38</sup>

The enantioselective reduction of racemic methyl *p*-tolyl sulfoxide (MTSO, **85b**) was repeated three times with fresh *E. coli* cells leading to 49.3% conversion and 97.3% ee of (*S*)-MTSO. The resulting thioether was subjected to chemical oxidation leading to 22% conversion. The resulting racemic



**Scheme 42** Deracemisation of aromatic sulfoxides using *E. coli* cells.

sulfoxide was subjected to a new deracemisation cycle. After three cycles, (*S*)-MTSO (**85**) was obtained in 56% yield and 98% ee.

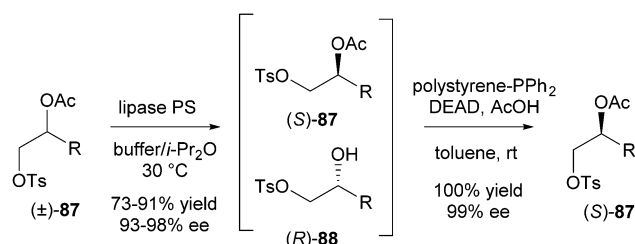
## 5 Enantioconvergence

The last category mentioned in Scheme 1 to achieve deracemisation is enantioconvergence. In this approach deracemisation is accomplished by the use of enantioconvergent methods which transform the two enantiomers of the substrate (*S<sub>S</sub>* and *S<sub>R</sub>*) into a single enantiomer of the product (*P<sub>R</sub>*). The key feature of this strategy is that each enantiomer of the substrate follows a different reaction pathway towards a single enantiomer of the product.

In 2009, Matsumoto *et al.* reported deracemisation of monotosylated diols combining enzymatic hydrolysis with a Mitsunobu esterification.<sup>39</sup> Racemic 2-acetoxyhexyl tosylate **87** (*R* = Bu) was chosen as a representative target compound. Its hydrolysis using lipase PS *via* a KR-type process had already been described. The Mitsunobu reaction of alcohol **88** into (*S*)-**87** was achieved with 100% yield and 99% ee using solid-supported PPh<sub>3</sub>, DEAD and acetic acid in toluene at room temperature. Using these conditions, the two-step deracemisation of a series of four racemic 2-acetoxyalkyl tosylates was performed. Deracemisation of **87** (*R* = Bu, Me, CH<sub>2</sub>OPMB, CH<sub>2</sub>Cl) was successful in all cases with good to excellent yields (73–91%) and ee's (93–98%) (Scheme 43).

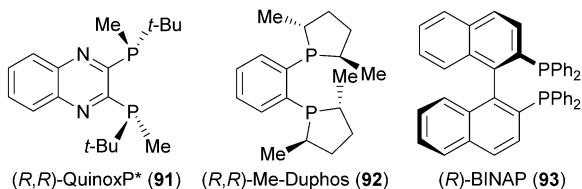
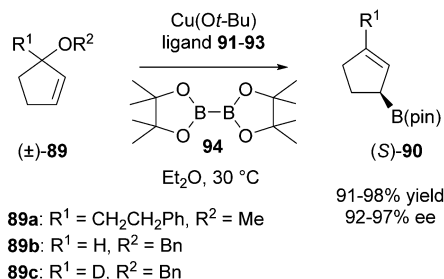
Sawamura *et al.* reported in 2010 a direct enantioconvergent transformation of racemic allylic ethers.<sup>40</sup> These compounds have a 'robust' chiral center that cannot be efficiently racemised and therefore are not suitable substrates for DKR. The racemic substrate **89a** was reacted with bis(pinacolato)diboron (**94**) in the presence of Cu(*OT*Bu) and chiral phosphine ligand (*R,R*)-QuinoxP\* (**91**, Scheme 44).

Complete conversion was reached within 24 hours to give the corresponding allylboronate (*S*)-**90** in high yield (98%) and excellent ee (97%). Substrates **89b** and **c** were also employed to investigate the details of this process. Both were successfully converted into the corresponding (*S*)-allylboronates with good yields (92 and 91%, respectively) and 92% ee. The enantioconvergence has been rationalised by showing that the achiral *in situ* formed Cu-boron nucleophile reacts with the allylic ether in an anti-type S<sub>N</sub>2' substitution with one substrate enantiomer, while the chiral phosphine-coordinated Cu-boron nucleophile reacts in a *syn*-fashion with the other substrate enantiomer.

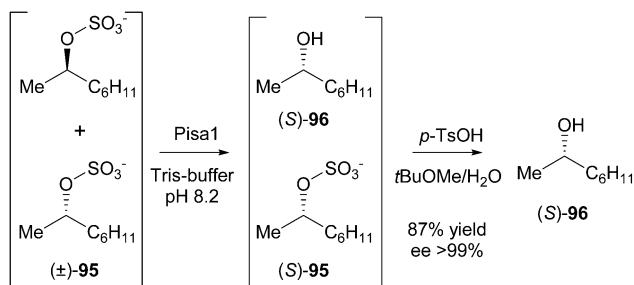


**Scheme 43** Two-step deracemisation using an enantioconvergent strategy.





**Scheme 44** Deracemisation of allylic ethers via enantioconvergence.



**Scheme 45** Enantioconvergent deracemisation of sec-alkyl sulfates.

In 2011, the group of Faber reported a deracemisation of secondary alcohols employing a stereoselective alkylsulfatase which catalyses the hydrolysis of a sulfate ester with inversion of configuration at the stereocentre.<sup>41</sup> The alkylsulfatase from *Pseudomonas* sp. DSM 6611, termed Pisa1, showed excellent stereoselectivity in the hydrolysis of linear, branched and cyclic sec-alkyl sulfates. To show the feasibility of an enantioconvergent deracemisation procedure employing this newly discovered enzyme, enzymatic hydrolysis was combined with chemical hydrolysis under acidic conditions (Scheme 45).

Racemic 2-octyl sulfate (**95**) was treated with Pisa1 to yield, *via* hydrolysis and concomitant inversion of the stereocentre, equimolar amounts of (*S*)-**95** and (*S*)-**96** in a kinetic resolution. The remaining alkyl sulfate could be chemically hydrolysed with retention of configuration, thus leading to a successful deracemisation affording enantiopure (*S*)-**96** (>99% ee) in 87% isolated yield from the racemate.

## 6 Conclusions

Among the various techniques applied to obtain enantiopure products, deracemisation of racemates remains an utmost important topic in scientific and applied chemical research. While dynamic kinetic resolution is still the most predominant deracemisation method to produce single enantiomers, other elegant strategies such as cyclic deracemisation, stereoinversion

and enantioconvergence have also been increasingly reported in recent years. The number of approaches on deracemisation strategies is still expanding, thereby making a diversity of chiral products accessible for a wide range of applications. Considering the fact that in the past few years entirely new approaches for deracemisation have been discovered, we feel that novel strategies in this exciting field will continue to emerge in the years to come.

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