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## Electronic, optical and mechanical properties of $\text{SrSi}_6\text{N}_8$ and $\text{SrSi}_6\text{N}_8\text{O}$ via first-principles

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The different properties of two structurally similar nitridosilicates,  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$ , are attributed to the oxygen atom. To explore the effects of the O atom on the properties, structural, electronic, optical and mechanical properties of  $\text{SrSi}_6\text{N}_8$ ,  $\text{SrSi}_6\text{N}_8\text{O}$  and O-doped  $\text{SrSi}_6\text{N}_8$  have been simulated via first-principles in this work. The results indicate the Si–O–Si bond is stronger than the Si–Si bond, resulting in a more stable crystal structure of  $\text{SrSi}_6\text{N}_8\text{O}$  compared with  $\text{SrSi}_6\text{N}_8$ . The calculated bandgaps ( $E_g$ ) of  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  are 3.10 and 3.97 eV, respectively. The lower  $E_g$  of  $\text{SrSi}_6\text{N}_8$  originates from the Si–Si  $\sigma^*$  bond, however, the  $E_g$  can be enlarged through introducing the O atom into the Si–Si bond. The optical properties illustrate that the refractive peaks are blue-shifted with O doping into the lattice of  $\text{SrSi}_6\text{N}_8$ . Furthermore, the elastic properties show that  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  are comparable to traditional mechanical ceramics such as sialons. This work not only expounds the difference between  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$ , but also gives theoretical foundation for their potential applications as optical and mechanical materials.

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### 1. Introduction

Nitridosilicates doped with active ions (such as  $\text{Eu}^{2+}$  and  $\text{Ce}^{3+}$ ) have been widely used as phosphors in phosphor-converted light emitting diodes (pc-LEDs) in consideration of their high chemical and thermal stability in combination with low thermal quenching and high conversion efficiencies.<sup>1–3</sup> Compared to traditional phosphors such as orthosilicates (e.g.  $\text{Sr}_2\text{SiO}_4\cdot\text{Eu}^{2+}$ )<sup>4</sup> or alkaline earth sulfides (e.g.  $\text{SrCa}_2\text{S}_4\cdot\text{Eu}^{2+}$ ),<sup>5</sup> nitridosilicates have more diversiform crystal structures owing to their more varied crosslinking patterns.<sup>6</sup> In nitridosilicates, the N can connect up to four neighboring Si tetrahedral centers, causing a higher degree of lattice condensation to improve the chemical stability of nitride phosphors, preventing the hydrolysis reaction which often happens in phosphors with terminal or ionic O or S atoms over time.<sup>7,8</sup>

In the last few years, research into rare earth metals activating alkaline-earth-metal nitridosilicates-based phosphors has become increasingly important, such as  $\text{MSi}_6\text{N}_8$  ( $M = \text{Sr}$  and  $\text{Ba}$ ),<sup>9,10</sup>  $\text{MSi}_7\text{N}_{10}$  ( $M = \text{Sr}$ ,  $\text{Ba}$ ),<sup>11,12</sup>  $\text{M}_2\text{Si}_5\text{N}_8$  ( $M = \text{Ca}$ ,  $\text{Sr}$  and  $\text{Ba}$ ),<sup>13–15</sup>  $\text{MSiN}_2$  ( $M = \text{Sr}$ ,  $\text{Ba}$ ),<sup>16</sup> and  $\text{Ba}_5\text{Si}_2\text{N}_6$ .<sup>17</sup> Among the available alkaline earth nitridosilicates-based phosphors,  $\text{SrSi}_6\text{N}_8$  is very different from the others. For example, the oxidation states of Si are +III and +IV in  $\text{SrSi}_6\text{N}_8$ , and the framework contains single Si–Si bonds in  $\text{N}_3\text{Si–SiN}_3$ ,<sup>9</sup> as seen in Fig. 1(a). Also,  $\text{SrSi}_6\text{N}_8\cdot\text{Eu}^{2+}$  was reported to have blue

luminescent property of 450–455 nm,<sup>18,19</sup> while most of nitridosilicates-based phosphors emitted yellow to red wavelengths. Besides, because of the structural similarity compared with  $\text{MSi}_6\text{N}_8$ ,  $\text{MSi}_6\text{N}_8\text{O}$  ( $M = \text{Ca}$  and  $\text{Ba}$ ) based material has also been reported to have excellent luminescent properties. Fig. 1(b) shows the crystal structure of  $\text{SrSi}_6\text{N}_8\text{O}$ , which can be gained by inserting one O atom into the single Si–Si bond in  $\text{SrSi}_6\text{N}_8$ .<sup>9</sup> Although there is no experimental report of the preparation of pure  $\text{SrSi}_6\text{N}_8\text{O}$ , the compound of  $\text{Sr}_2\text{Si}_{12-x}\text{Al}_x\text{N}_{16-x}\text{O}_{2+x}\cdot\text{Eu}^{2+}$  ( $x \approx 2$ ) has been synthesized by Z Shen.<sup>20</sup>  $\text{Sr}_2\text{Si}_{12-x}\text{Al}_x\text{N}_{16-x}\text{O}_{2+x}$  exhibits an isotypic structure compared with  $\text{SrSi}_6\text{N}_8\text{O}$ , therefore the analogous silicon atoms are also bridged by oxygen atoms. Also, the photoluminescence properties of Eu activating  $\text{CaSi}_6\text{N}_8\text{O}$  and  $\text{BaSi}_6\text{N}_8\text{O}$  have been studied experimentally. The reported main photoluminescent peaks are at about 500 nm for  $\text{BaSi}_6\text{N}_8\text{O}\cdot\text{Eu}^{2+}$ ,<sup>21,22</sup> 614 and 587 nm for  $\text{BaSi}_6\text{N}_8\text{O}\cdot\text{Eu}^{3+}$ ,<sup>23</sup> and 405 nm for  $\text{CaSi}_6\text{N}_8\text{O}\cdot\text{Eu}^{2+}$ .<sup>24</sup> Furthermore, some alkaline-earth-metal nitridosilicates have been reported to have excellent mechanical properties. For instance,  $\text{SrSi}_7\text{N}_{10}$  has a yield hardness of 16.1(5) GPa,<sup>11</sup> and  $\text{CaAlSi}_3\text{N}_3$  has been considered as a relatively hard materials from first-principles simulation.<sup>25</sup> However, even  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  have been investigated a lot in previous works, there is almost no paper having reported their basic characteristics such as electronic, optical and mechanical properties. In order to take full advantage of the properties of  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  for eventual technological applications, a better understanding of their electronic, optical and mechanical properties is required. What's more, the photoluminescence peaks of intrinsic and O-replacement defective  $\text{SrSi}_6\text{N}_8$  have been reported by Wen Yeh *et al.* to locate at 452 and 652 nm,

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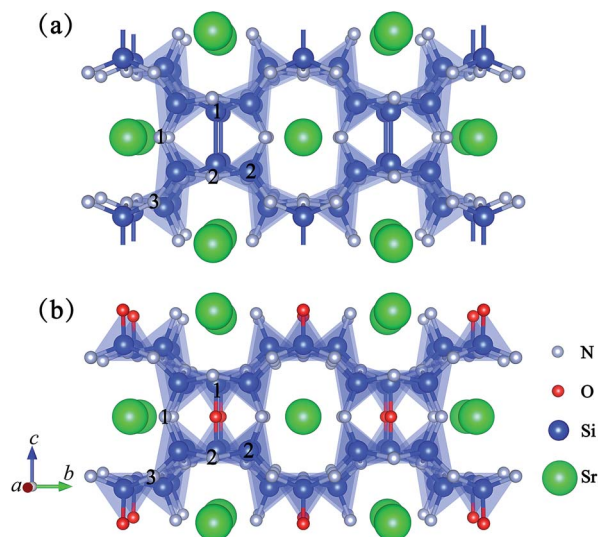


Fig. 1 Projective representation of  $2 \times 2 \times 1$  supercells of (a)  $\text{SrSi}_6\text{N}_8$  and (b)  $\text{SrSi}_6\text{N}_8\text{O}$ .

respectively.<sup>26</sup> The results show that the oxygen atom has significant effect on electronic and optical properties of  $\text{SrSi}_6\text{N}_8$ . Actually, nitrogen-rich sialon materials, with partial substitutions of Si by Al and N by O, have attracted great interest owing to their excellent optical and mechanical performance.<sup>3</sup> The ratio of O : N has the significant effect on the properties of sialon materials. So introducing the O atom into the lattice of  $\text{SrSi}_6\text{N}_8$  may generate positive effects on electronic, optical and mechanical properties. Considering that the big difference between  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  is the O atom, investigating the effect of O atom on  $\text{SrSi}_6\text{N}_8$  may also give some ideas to understand the difference in their properties.

Herein, in this work, first-principles calculations<sup>27–29</sup> have been used to investigate the electronic, optical and mechanical properties of  $\text{SrSi}_6\text{N}_8$ ,  $\text{SrSi}_6\text{N}_8\text{O}$ , and O-doped  $\text{SrSi}_6\text{N}_8$ . On the one hand, this work has given the comparison and explanation of the difference between  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  in electronic, optical and mechanical properties. On the other hand, the effects of O atom on  $\text{SrSi}_6\text{N}_8$  have also been investigated through introducing O atoms to replace different N atoms and to insert into the single Si–Si bond. This work not only explains the difference between  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$ , but also gives the theoretical foundation for their potential applications.

## 2. Theoretical and computational methods

Fig. 1 shows the crystal structures of  $2 \times 2 \times 1$   $\text{SrSi}_6\text{N}_8$  and  $2 \times 2 \times 1$   $\text{SrSi}_6\text{N}_8\text{O}$  supercells. As seen in Fig. 1(a), there are two types of connectivity models of N atoms, which are bonded with two Si atoms (marked as  $\text{N}^{[2]}$ ) and three Si atoms (marked as  $\text{N}^{[3]}$ ). And the spatial locations of Si– $\text{N}^{[3]}$ –Si bonds are vertical and with a certain angle to the *b* axis.<sup>9</sup> So, we marked the  $\text{N}^{[2]}$  as  $\text{N}_1$ , the two N atoms in the different Si– $\text{N}^{[3]}$ –Si bonds as  $\text{N}_2$  and  $\text{N}_3$ , the Si atoms in the Si–Si bonds as  $\text{Si}_1$ , and the other Si atoms as

$\text{Si}_2$ , as seen in Fig. 1(a). Herein, four types of O-doped  $2 \times 2 \times 1$   $\text{SrSi}_6\text{N}_8$  supercells were considered in our work, which were  $\text{O}_i$  substituting to  $\text{N}_i$  ( $i = 1, 2$  and  $3$ ) and  $\text{O}_4$  inserting into the Si–Si bond, and marked as  $\text{O}_i$ - $\text{SrSi}_6\text{N}_8$  ( $i = 1, 2, 3$  and  $4$ ), respectively.

All first principle calculations were performed using Cambridge Serial Total Energy Package (CASTEP) code.<sup>29</sup> The electronic exchange–correlation function was Perdew Burke Ernzerhof (PBE) of generalized gradient approximation (GGA).<sup>30</sup> Ultrasoft pseudopotentials were used with  $5s^2 5p^0$ ,  $3s^2 3p^2$ ,  $2s^2 2p^3$  and  $2s^2 2p^4$  as the valence-electron configurations for Sr, Si, N and O atoms, respectively, to describe the interactions between ions and electrons.<sup>31</sup> With convergence testing, the plane-wave cutoff energy of 400 eV and *k*-point meshes of  $3 \times 2 \times 3$  were used to ensure the accuracy and efficiency of calculations. The threshold for self-consistent field iterations was  $5.0 \times 10^{-7}$  eV per atom. The convergence tolerance parameters of optimized calculation were the energy of  $1.0 \times 10^{-5}$  eV per atom, the maximum force of  $0.03 \text{ eV \AA}^{-1}$ , the maximum inner stress of 0.05 GPa and the maximum displacement of  $1.0 \times 10^{-4}$  nm. After finishing geometry optimizations, electronic, optical and mechanical properties of all models were calculated. The lattice stabilities of all the optimized structures were evaluated by the phonon dispersions, where the imaginary frequency was the sign of lattice instability.<sup>32,33</sup>

Considering no experimental report of the preparation of pure  $\text{SrSi}_6\text{N}_8\text{O}$ , we used the acid–base idea as mentioned by M. Mikami<sup>34</sup> to assume the solid-state reaction for production of  $\text{SrSi}_6\text{N}_8\text{O}$ . The binary oxide is generally considered as acid while binary nitride as base. So the reaction for production of  $\text{SrSi}_6\text{N}_8\text{O}$  should be defined as following:



The formation energy ( $E_{\text{form}}$ ) of reaction [1] was calculated as following equation:

$$E_{\text{form}} = E_{\text{SrSi}_6\text{N}_8\text{O}} - E_{\text{SrO}} - 2E_{\text{Si}_3\text{N}_4} \quad (1)$$

where the  $E_{\text{SrSi}_6\text{N}_8\text{O}}$ ,  $E_{\text{SrO}}$  and  $E_{\text{Si}_3\text{N}_4}$  were the total energies of  $\text{SrSi}_6\text{N}_8\text{O}$ , SrO and  $\alpha\text{-Si}_3\text{N}_4$ , respectively. A negative value of formation energy indicates the reaction is exothermic and the production is stable.

The binding energy ( $E_b$ ) can be used to investigate the relative stability of the model. The value of binding energies of all models were calculated by the following formula:<sup>35</sup>

$$E_b = \frac{E_{\text{tot}} - (n_{\text{Si}}\mu_{\text{Si}} + n_{\text{N}}\mu_{\text{N}} + n_{\text{O}}\mu_{\text{O}})}{n_{\text{Si}} + n_{\text{N}} + n_{\text{O}}} \quad (2)$$

where the  $E_{\text{tot}}$  was the total energy of the considered model, and the chemical potentials of Si, N and O were defined as  $\mu_{\text{Si}} = \mu_{\text{bulk-Si}}$ ,  $\mu_{\text{N}} = \mu_{\text{N}_2}/2$  and  $\mu_{\text{O}} = \mu_{\text{O}_2}/2$ , respectively.<sup>36,37</sup> The coefficients  $n_{\text{Si}}$ ,  $n_{\text{N}}$  and  $n_{\text{O}}$  were the numbers of the Si, N and O atoms in each model. For O-doped  $\text{SrSi}_6\text{N}_8$  models, the values of bonding energies were used to predict the preferential position for O doping in the lattice of  $\text{SrSi}_6\text{N}_8$ .

Understanding elastic properties of nitridosilicates is very important for their application and improvement. For



orthorhombic crystals, it is known that the mechanical stability requires elastic constants satisfying the following conditions:<sup>38,39</sup>

$$\begin{aligned}
 c_{ij} &> 0 \quad (i=1\sim 6), \\
 c_{11} + c_{22} + c_{33} + 2c_{12} + 2c_{13} + 2c_{23} &> 0, \\
 c_{11} + c_{22} - 2c_{12} &> 0, \\
 c_{11} + c_{33} - 2c_{13} &> 0, \\
 c_{22} + c_{33} - 2c_{23} &> 0
 \end{aligned} \quad (3)$$

The mechanical constants: bulk modulus ( $B$ ), shear modulus ( $G$ ), Young's modulus ( $E$ ), and Poisson's ratio ( $\nu$ ) have been calculated using the following equations:<sup>38,39</sup>

$$B = (1/9)(c_{11} + c_{22} + c_{33} + 2c_{12} + 2c_{13} + 2c_{23}) \quad (4)$$

$$G = (1/15)[(c_{11} + c_{22} + c_{33} + 3(c_{44} + c_{55} + c_{66}) - c_{12} - c_{13} - c_{23})] \quad (5)$$

$$E = 9BG/(3B + G) \quad (6)$$

$$\nu = (1/2)(3B - 2G)/(3B + G) \quad (7)$$

## 3. Results and discussion

### 3.1 Geometry optimization results

Table 1 lists the calculated lattice parameters, average bond lengths and binding energies of all models. The lattice parameters of  $2 \times 2 \times 1$  SrSi<sub>6</sub>N<sub>8</sub> and  $2 \times 2 \times 1$  SrSi<sub>6</sub>N<sub>8</sub>O supercells are well agreement with previous results.<sup>9,24</sup> There is no big change in the lattice parameters after O doping into SrSi<sub>6</sub>N<sub>8</sub>. The slight volume expansions come from the changes of local bond lengths. The average bond lengths of Si-O<sup>[2]</sup> and Si-O<sup>[3]</sup> are larger than the Si-N<sup>[2]</sup> and Si-N<sup>[3]</sup> in O<sub>*i*</sub>-SrSi<sub>6</sub>N<sub>8</sub> ( $i = 1, 2$  and  $3$ ), while the average bond length of Si-O<sup>[2]</sup> is smaller than the Si-N<sup>[2]</sup> in O<sub>4</sub>-SrSi<sub>6</sub>N<sub>8</sub>. The results indicate that different coordination environments of O atom has different effects on the

Si-O bond lengths. The  $E_{\text{form}}$  of pure SrSi<sub>6</sub>N<sub>8</sub>O calculated by eqn (1) is  $-0.37$  eV, which indicates that the reaction [1] is somewhat exothermic and SrSi<sub>6</sub>N<sub>8</sub>O is stable. The lower value of  $E_{\text{b}}$  indicates the more stable degree of the model. The  $E_{\text{b}}$  ( $-1.71$  eV) of SrSi<sub>6</sub>N<sub>8</sub>O means that it has the more stable crystal structure and can be easier to synthesis compared with SrSi<sub>6</sub>N<sub>8</sub> ( $-1.40$  eV). These phenomenon is corresponding to the fact that SrSi<sub>6</sub>N<sub>8</sub> is a kind of reduced nitridosilicate and must be synthesized under the condition of extreme lack of oxygen.<sup>9</sup> The  $E_{\text{b}}$  of O<sub>4</sub>-SrSi<sub>6</sub>N<sub>8</sub> ( $-1.45$  eV) is the lowest one among all O-doped SrSi<sub>6</sub>N<sub>8</sub> models, meaning that O atom prefers to insert into the single Si-Si bond to stabilize the crystal structure of SrSi<sub>6</sub>N<sub>8</sub>.

Furthermore, phonon dispersions of all optimized models have been calculated to evaluate the structural stability, as seen in Fig. 2. The results shows that there is no imaginary frequency of pure SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O, which means that SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O are structurally stable. The lowest frequencies of O<sub>*i*</sub>-SrSi<sub>6</sub>N<sub>8</sub> ( $i = 1, 2, 3$  and  $4$ ) are  $-0.20, -0.81, -0.53$  and  $-0.05$  THz, respectively. According to the previous works, the small negative frequencies of O<sub>1</sub>-SrSi<sub>6</sub>N<sub>8</sub> and O<sub>4</sub>-SrSi<sub>6</sub>N<sub>8</sub> could be ignored because the small negative frequencies may be caused by the relatively smaller supercells and lower computational accuracies.<sup>32,33</sup> However, the calculation of phonon dispersion is time consuming with a bigger supercell and higher computational accuracy. So we think it is reasonable to consider O<sub>1</sub>-SrSi<sub>6</sub>N<sub>8</sub> and O<sub>4</sub>-SrSi<sub>6</sub>N<sub>8</sub> as structurally stable models based on the calculated phonon dispersions. While, the structures of O<sub>2</sub>-SrSi<sub>6</sub>N<sub>8</sub> and O<sub>3</sub>-SrSi<sub>6</sub>N<sub>8</sub> are metastable or unstable according to their obvious imaginary frequencies as seen in Fig. 2(d) and (e). The results of phonon dispersions are well agreement with the results of bonding energies ( $E_{\text{b}}$ ), which means that the structures of O<sub>1</sub>-SrSi<sub>6</sub>N<sub>8</sub> and O<sub>4</sub>-SrSi<sub>6</sub>N<sub>8</sub> are more stable than O<sub>2</sub>-SrSi<sub>6</sub>N<sub>8</sub> and O<sub>3</sub>-SrSi<sub>6</sub>N<sub>8</sub>.

### 3.2 Electronic properties

Fig. 3 shows the band structures and partial density of states (PDOS) of SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O. The calculated bandgaps ( $E_{\text{g}}$ ) of SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O are  $3.10$  and  $3.97$  eV, respectively. Although, there is no experimentally reported the  $E_{\text{g}}$  of SrSi<sub>6</sub>N<sub>8</sub>

Table 1 Optimized lattice parameters, bond lengths and binding energies of all models

Models	Lattice parameters (Å)			Volume (Å <sup>3</sup> )	Average bond length (Å)					$E_{\text{b}}$ (eV)
	$a$	$b$	$c$		Si-N <sup>[2]</sup>	Si-N <sup>[3]</sup>	Si-Si	Si-O <sup>[2]</sup>	Si-O <sup>[3]</sup>	
SrSi <sub>6</sub> N <sub>8</sub>	9.664	15.854	9.331	1429.56	1.679	1.755	2.379			-1.40
SrSi <sub>6</sub> N <sub>8</sub> <sup>a</sup>	4.801	7.855	9.260	349.21			2.352			
SrSi <sub>6</sub> N <sub>8</sub> <sup>b</sup>	4.803	7.836	9.261	350.93						
O <sub>1</sub> -SrSi <sub>6</sub> N <sub>8</sub>	9.682	15.897	9.302	1431.80	1.680	1.757	2.367	1.688		-1.43
O <sub>2</sub> -SrSi <sub>6</sub> N <sub>8</sub>	9.679	15.869	9.331	1433.13	1.678	1.757	2.370		1.850	-1.42
O <sub>3</sub> -SrSi <sub>6</sub> N <sub>8</sub>	9.670	15.890	9.320	1432.10	1.679	1.757	2.370		1.811	-1.42
O <sub>4</sub> -SrSi <sub>6</sub> N <sub>8</sub>	9.673	15.939	9.400	1449.29	1.681	1.756	2.406	1.589		-1.45
SrSi <sub>6</sub> N <sub>8</sub> O	9.722	16.400	9.706	1547.62	1.683	1.752		1.620		-1.71
SrSi <sub>6</sub> N <sub>8</sub> O <sup>a</sup>	4.865	8.206	9.721							

<sup>a</sup> Ref. 9. <sup>b</sup> Ref. 19.



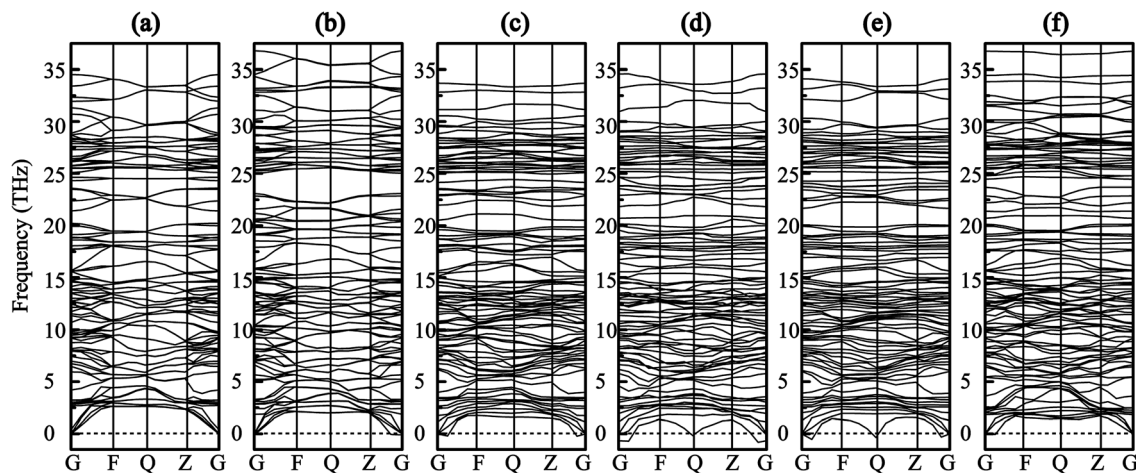


Fig. 2 Phonon dispersion curves (a)  $\text{SrSi}_6\text{N}_8$ , (b)  $\text{SrSi}_6\text{N}_8\text{O}$ , (c)  $\text{O}_1\text{-SrSi}_6\text{N}_8$ , (d)  $\text{O}_2\text{-SrSi}_6\text{N}_8$ , (e)  $\text{O}_3\text{-SrSi}_6\text{N}_8$  and (f)  $\text{O}_4\text{-SrSi}_6\text{N}_8$ .

or  $\text{SrSi}_6\text{N}_8\text{O}$ , our GGA calculated  $E_g$  are expected to be smaller than experimental values since the underestimation of GGA calculation.<sup>39</sup> While the effects of oxygen on electronic

properties of  $\text{SrSi}_6\text{N}_8$  can be expounded through the relative changes. The top of valence bands of  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$  are occupied by N 2p states. Differing in band structures from the other alkaline-earth-metal nitridosilicates,<sup>6</sup> there are some energy levels below the conduction bands of  $\text{SrSi}_6\text{N}_8$ , which are mainly constituted of Si 3p states as seen from the PDOS. Furthermore, the 3p states of  $\text{Si}_1$  contribute the more parts of the bottom of conduction bands compared to  $\text{Si}_2$ , which indicates that the energy levels below the conduction bands are attributed to the single Si-Si bond. The previous works reported that Si-Si bond forms an empty  $\sigma^*$  state under the bottom of conduction bands in some nitrides.<sup>40,41</sup> Therefore, the Si-Si  $\sigma^*$  state is the main reason for the lower bandgap of  $\text{SrSi}_6\text{N}_8$  compared to  $\text{SrSi}_6\text{N}_8\text{O}$ .

Furthermore, the electron density distribution (EDD) plots are calculated to investigate the difference between Si-Si and Si-O-Si bonds. Fig. 4(a) and (b) illustrate the partial atom distributions and corresponding EDD plots on the (010) plane of  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$ , respectively. We can find that there is

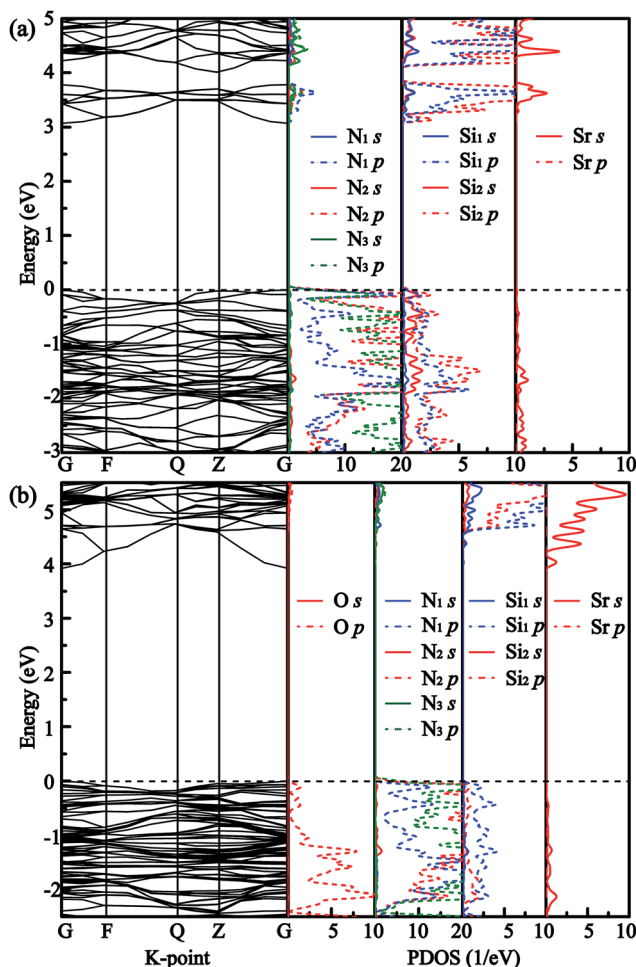


Fig. 3 Band structures and partial density of states (PDOS) of (a)  $\text{SrSi}_6\text{N}_8$  and (b)  $\text{SrSi}_6\text{N}_8\text{O}$ .

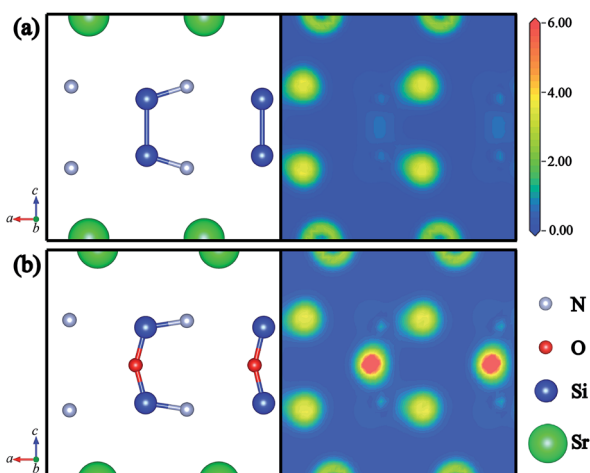


Fig. 4 Partial atom distributions and corresponding electron density distribution plots of (a)  $\text{SrSi}_6\text{N}_8$  and (b)  $\text{SrSi}_6\text{N}_8\text{O}$  on the (010) plane.



a small amount of electron density distributing in the center of Si–Si bond in  $\text{SrSi}_6\text{N}_8$ , while a high density of electron gathers on the O atoms in  $\text{SrSi}_6\text{N}_8\text{O}$ . The stronger electronic interaction of Si–O–Si bond gives the more stable crystal structure for  $\text{SrSi}_6\text{N}_8\text{O}$ , which is consistent with results of the calculated  $E_b$ . Besides, the electron densities of O atoms are obviously higher than that of N atoms in  $\text{SrSi}_6\text{N}_8\text{O}$ , which is attributed to the stronger electronegativity of O atom.

The calculated band structures and PDOS of the O atoms and its adjacent Si atoms for all O-doped  $\text{SrSi}_6\text{N}_8$  models are plotted in Fig. 5. The calculated bandgaps ( $E_g$ ) of  $\text{O}_i\text{-SrSi}_6\text{N}_8$  ( $i = 1, 2, 3$  and 4) are 3.17, 2.84, 3.09 and 3.33 eV, respectively. For  $\text{O}_1\text{-SrSi}_6\text{N}_8$ , as seen in Fig. 5(a), the band structure of  $\text{O}_1\text{-SrSi}_6\text{N}_8$  is very close to that of undoped  $\text{SrSi}_6\text{N}_8$ , which indicates that the  $\text{O}^{[2]}$  has the similar properties of  $\text{N}^{[2]}$ . As seen in Fig. 5(b) and (c), the reason of the  $E_g$  decreasing for  $\text{O}_2\text{-SrSi}_6\text{N}_8$  and  $\text{O}_3\text{-SrSi}_6\text{N}_8$  is that the hybrid Si–O bonds generate energy levels under the conduction bands. For  $\text{O}_4\text{-SrSi}_6\text{N}_8$ , the distribution of O 2p states are very similar to that of  $\text{SrSi}_6\text{N}_8\text{O}$ , showing that the  $\text{O}_4$  atom has the same character to the O atoms in  $\text{SrSi}_6\text{N}_8\text{O}$ . The  $E_g$  of  $\text{O}_4\text{-SrSi}_6\text{N}_8$  is larger than that of  $\text{SrSi}_6\text{N}_8$ , which indicates that inserting O atom into the single Si–Si bond to decrease the concentration of Si–Si bonds can increase the  $E_g$ .

### 3.3 Optical properties

The absorption coefficient is one of key parameters to evaluate the performance for phosphors. The absorption spectra can be described in terms of time-dependent perturbations of the ground-state electronic states, which has always been investigated combined the electron transition between valance bands

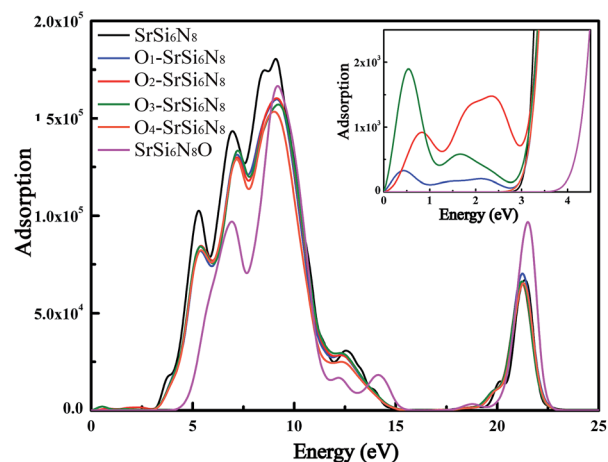


Fig. 6 Calculated absorption spectra of  $\text{SrSi}_6\text{N}_8$ ,  $\text{SrSi}_6\text{N}_8\text{O}$  and O-doped  $\text{SrSi}_6\text{N}_8$  models.

and conduction bands.<sup>42</sup> Fig. 6 shows the calculated absorption spectra of all models. The absorption peak for undoped  $\text{SrSi}_6\text{N}_8$  is located at about 3.0–15.0 eV, which are corresponding to the electron transitions from N 2p states in the valence bands to Si 3p and Sr 5s states in the conduction bands as shown from PDOS in Fig. 3(a). The absorption edge of  $\text{SrSi}_6\text{N}_8\text{O}$  starts at 3.9 eV, with a large blue shift compared to that of  $\text{SrSi}_6\text{N}_8$  at 2.9 eV. The positions of the main absorption peaks for  $\text{O}_i\text{-SrSi}_6\text{N}_8$  ( $i = 1, 2, 3$  and 4) are almost the same as  $\text{SrSi}_6\text{N}_8$ , while the absorption intensities become lower. Besides, as show in the enlarged view in Fig. 5, the weak peaks located around 0–3.0 eV of the  $\text{O}_2\text{-SrSi}_6\text{N}_8$  and  $\text{O}_3\text{-SrSi}_6\text{N}_8$  derive from the electron

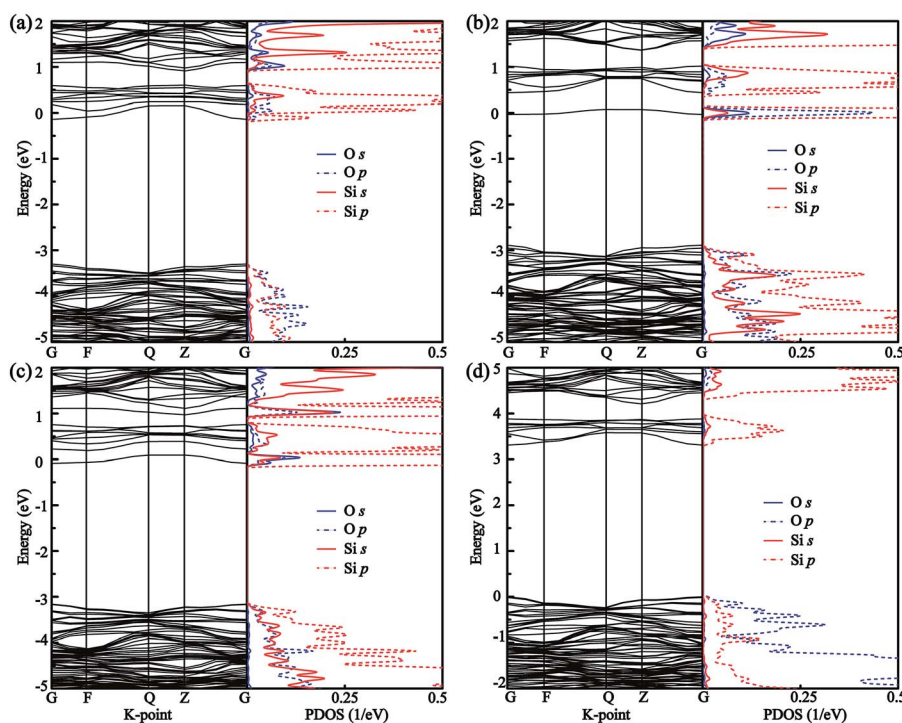


Fig. 5 Band structures and PDOS of (a)  $\text{O}_1\text{-SrSi}_6\text{N}_8$ , (b)  $\text{O}_2\text{-SrSi}_6\text{N}_8$ , (c)  $\text{O}_3\text{-SrSi}_6\text{N}_8$ , and (d)  $\text{O}_4\text{-SrSi}_6\text{N}_8$ .



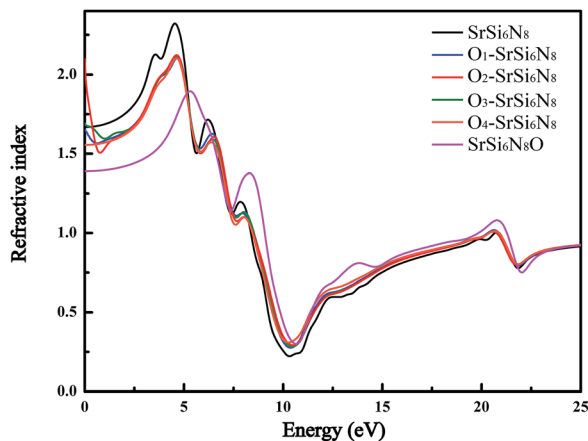


Fig. 7 Calculated refractive spectra of SrSi<sub>6</sub>N<sub>8</sub>, SrSi<sub>6</sub>N<sub>8</sub>O and O-doped SrSi<sub>6</sub>N<sub>8</sub> models.

transitions between Si–O hybrid states under the bottom of conduction bands and conduction bands, as shown in Fig. 5(b) and (c).

Fig. 7 shows the refractive spectra of SrSi<sub>6</sub>N<sub>8</sub>, SrSi<sub>6</sub>N<sub>8</sub>O and O-doped SrSi<sub>6</sub>N<sub>8</sub> models. Although, there is no experimentally reported refractive index ( $n$ ) of SrSi<sub>6</sub>N<sub>8</sub> or SrSi<sub>6</sub>N<sub>8</sub>O, the static refractive indexes ( $n_0$ ) of SrSi<sub>6</sub>N<sub>8</sub> (1.67) and SrSi<sub>6</sub>N<sub>8</sub>O (1.39) are expected to be lower than the experimental value due to the underestimated calculation of GGA-PEB.<sup>39</sup> Nevertheless, the relative changes can reflect the effects of O atoms on SrSi<sub>6</sub>N<sub>8</sub>. The calculated static refractive indexes of O<sub>*i*</sub>-SrSi<sub>6</sub>N<sub>8</sub> ( $i = 1, 2$  and  $3$  and  $4$ ) are 1.65, 2.08, 1.69 and 1.56, respectively, which are well corresponding to Moss rule that the static refractive index is inversely proportional to the  $E_g$ .<sup>43</sup> Except for the static refractive indexes, the main peaks of refractive indexes of all O-doped SrSi<sub>6</sub>N<sub>8</sub> models are lower than that of SrSi<sub>6</sub>N<sub>8</sub>. Furthermore, compared with SrSi<sub>6</sub>N<sub>8</sub>, the locations of main peaks for SrSi<sub>6</sub>N<sub>8</sub>O are significantly blue-shift. The results of optical properties show that different doping sites of O atoms in SrSi<sub>6</sub>N<sub>8</sub> have different effects on its basic absorption and refractive spectra.

### 3.4 Mechanical properties

The optimized structures of all models have been applied to calculate the elastic tensors which consist of nine independent components, as tabulated in Table 2. It can be seen that the relationships of elastic constants satisfy the conditions as shown in eqn (3), which indicates that SrSi<sub>6</sub>N<sub>8</sub>, SrSi<sub>6</sub>N<sub>8</sub>O and O-doped SrSi<sub>6</sub>N<sub>8</sub> are mechanically stable.

Table 2 Calculated elastic tensor  $C_{ij}$  (GPa) for all models

Models	$C_{11}$	$C_{22}$	$C_{33}$	$C_{44}$	$C_{55}$	$C_{66}$	$C_{12}$	$C_{13}$	$C_{23}$
SrSi <sub>6</sub> N <sub>8</sub>	380.08	308.27	341.91	94.79	81.51	131.64	91.21	84.21	101.08
O <sub>1</sub> -SrSi <sub>6</sub> N <sub>8</sub>	272.6	288.96	349.77	76.14	120.84	85.98	88.23	68.13	65.22
O <sub>2</sub> -SrSi <sub>6</sub> N <sub>8</sub>	326.66	320.01	376.75	89.42	148.04	96.83	102.69	95.72	77.35
O <sub>3</sub> -SrSi <sub>6</sub> N <sub>8</sub>	308.17	332.72	378.19	83.16	133.37	96.20	100.24	94.78	79.93
O <sub>4</sub> -SrSi <sub>6</sub> N <sub>8</sub>	299.77	320.38	371.85	81.32	135.48	96.61	99.14	96.71	77.35
SrSi <sub>6</sub> N <sub>8</sub> O	324.25	377.85	374.25	92.30	67.54	142.86	85.77	71.16	119.8

Table 3 Calculated bulk modulus  $B$  (GPa), shear modulus  $G$  (GPa), Young's modulus  $E$  (GPa) and Poisson's ratio  $\nu$  for all models

Models	$B$	$G$	$E$	$\nu$
SrSi <sub>6</sub> N <sub>8</sub>	175.92	111.84	276.85	0.24
O <sub>1</sub> -SrSi <sub>6</sub> N <sub>8</sub>	150.5	102.58	250.77	0.22
O <sub>2</sub> -SrSi <sub>6</sub> N <sub>8</sub>	174.99	116.7	286.43	0.23
O <sub>3</sub> -SrSi <sub>6</sub> N <sub>8</sub>	174.33	112.15	277.04	0.24
O <sub>4</sub> -SrSi <sub>6</sub> N <sub>8</sub>	170.93	100.6	252.30	0.25
SrSi <sub>6</sub> N <sub>8</sub> O	181.09	113.85	282.37	0.24

Furthermore, the mechanical constants: bulk modulus ( $B$ ), shear modulus ( $G$ ), Young's modulus ( $E$ ), and Poisson's ratio ( $\nu$ ) for all models are listed in Table 3. The mechanical constants:  $B$ ,  $G$  and  $E$  of SrSi<sub>6</sub>N<sub>8</sub>O are larger than that of SrSi<sub>6</sub>N<sub>8</sub>, which mainly attributes to the more stable Si–O–Si bond than Si–Si bond. Though introducing O atom produces a certain degree of lattice distortion in SrSi<sub>6</sub>N<sub>8</sub>, there is no obvious deterioration on mechanical properties of O-doped SrSi<sub>6</sub>N<sub>8</sub> compared to undoped SrSi<sub>6</sub>N<sub>8</sub>, even some mechanical constants are improved. Besides, with the comparison of traditionally mechanical ceramics such as sialons ( $B = 170$ – $240$  GPa,  $G = 118.84$  GPa and  $E = 197$ – $283$  GPa),<sup>44,45</sup> the mechanical properties of all models considered in this work indicate that they have the application prospect for mechanical ceramics.

## 4. Conclusions

In this work, first-principles simulation has been performed to investigate the structural, electronic, optical and mechanical properties of SrSi<sub>6</sub>N<sub>8</sub>, SrSi<sub>6</sub>N<sub>8</sub>O and O-doped SrSi<sub>6</sub>N<sub>8</sub>. Firstly, this work has given the comparison and explanation of the difference between the two structurally similar nitridosilicates SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O. The results show that SrSi<sub>6</sub>N<sub>8</sub>O is more stable than SrSi<sub>6</sub>N<sub>8</sub>, and the  $E_g$  of SrSi<sub>6</sub>N<sub>8</sub>O is larger than that of SrSi<sub>6</sub>N<sub>8</sub>. The key factor of the difference between SrSi<sub>6</sub>N<sub>8</sub> and SrSi<sub>6</sub>N<sub>8</sub>O is the O atom. Namely, the Si–O–Si bond is stronger than the single Si–Si bond, which contributes to the more stable crystal structure of SrSi<sub>6</sub>N<sub>8</sub>O. And the Si–Si  $\sigma^*$  states under the conduction bands are the main reason for the smaller  $E_g$  of SrSi<sub>6</sub>N<sub>8</sub> compared to SrSi<sub>6</sub>N<sub>8</sub>O.

Secondly, the effects of O on electronic, optical and mechanical properties of SrSi<sub>6</sub>N<sub>8</sub> have been investigated systematically. The crystal structure of SrSi<sub>6</sub>N<sub>8</sub> can be stabilized with doping O into the lattice and the most preferential doping position of O is



insertion between the single Si–Si bonds. And introducing O into the Si–Si bond can significantly increase the  $E_g$  of  $\text{SrSi}_6\text{N}_8$ . The static refractive indexes become larger for O substituting to N models while become smaller for the O insert into the Si–Si bond. Besides, the calculated mechanical constants are comparable to traditionally mechanical ceramics such as sialons, which indicates  $\text{SrSi}_6\text{N}_8$ ,  $\text{SrSi}_6\text{N}_8\text{O}$  and O-doped  $\text{SrSi}_6\text{N}_8$  have potential applications as mechanical materials. This work is helpful to understand the difference between  $\text{SrSi}_6\text{N}_8$  and  $\text{SrSi}_6\text{N}_8\text{O}$ , and opens up their possibility of new application.

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