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Assessment of human inter-individual variability of phloretin metabolites in urine after apple consumption. AppleCOR study†

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Purpose: This study aimed to assess the inter-individual variation in phloretin absorption and metabolism and to seek possible phloretin metabolotypes following apple snack consumption. **Methods:** The excreted phloretin metabolites in 24 h urine samples were determined by UPLC-MS/MS in 62 volunteers after acute and sustained (6 weeks) interventions in a randomized and parallel study with a daily supplementation of 80 g of a low-phloretin (39.5 μ mol) or a high-phloretin (103 μ mol) freeze-dried apple snacks. **Results:** absorption estimated as phloridzin equivalents for 62 volunteers varied almost 70-fold ranging from 0.1% to 6.94% of phloretin glycoside intake. Volunteers were stratified into low, medium and high producers and by the balance between glucuronidation and sulphation. For 74% of the volunteers phloretin-O-glucuronide was the dominant urinary metabolite, especially at the higher phloretin glycoside intake and for higher producers. Sulphate conjugation assumed greater significance for the remaining volunteers especially for low producers. Females dominated glucuronide profile (64.1%) and males dominated the low excretion group. Analysis of plasma glucose and insulin at the start and end of the sustained study showed a trend towards modest reductions for high producers. Furthermore, plausible factors contributing to the inter-individual variation in phloretin uptake are discussed. **Conclusions:** extensive inter-individual variability exists in the excretion of phloretin phase-II conjugates following consumption of apple snacks, which could be related to oral microbiota phloridzin-hydrolysing activity, lactase non-persistence trait or the metabolotype to which the subject belongs. There were inconsistent effects on postprandial serum glucose concentrations but there was a tendency for decreases to be associated with higher excretion of phloretin phase-II conjugates. **Trial registration:** The acute and sustained studies were registered at ClinicalTrials.gov Identifier: NCT03795324.

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1. Introduction

Apple (*Malus domestica*) is the most consumed fruit in Europe, and one of the most popular worldwide. It appears that apples may play a significant role in reducing the risk of a wide

variety of chronic disease and maintaining a healthy lifestyle in general. Their consumption has been most consistently associated with reduced risk of cancer, heart disease, asthma and type II diabetes, and intervention correlated these health effects with apple (poly)phenolic content.¹ Apple (poly)phenolics comprise several classes of (poly)phenols including hydroxycinnamic acids, flavan-3-ols, dihydrochalcones and flavonols.² Among apple (poly)phenolic compounds, the dihydrochalcones phloretin-2'-O-glucoside (phloridzin) and phloretin-2'-O-(2"-O-xylosyl)glucoside are rarely found in other dietary components, and when found, they are present at relatively low concentrations.³ In terms of bioactivity, growing evidence suggests that apple phloridzin interacts with glucose transporters in the small intestine and modulates glucose uptake after food or beverage consumption, demonstrating health-promoting effects on the control of postprandial hyperglycemia.⁴

Concerning the absorption and metabolism, phloridzin is not transported by small intestinal mucosa but is a substrate

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for lactase-phloridzin hydrolase (LPH) (EC 3.2.1.108; 3.2.1.62).^{5,6} Lactose and phloridzin have separate substrate binding sites. The released aglycone, phloretin, is rapidly absorbed, probably by passive diffusion. After consuming a mixed fruit beverage incorporating apple juice delivering phloridzin ($67.9 \pm 1.7 \mu\text{mol}$), the C_{max} (204 nmol L^{-1}) was less than 1 hour with a secondary C_{max} (*ca.* 50 nmol L^{-1}) at 4 hours,⁷ but in other similar studies with juice or cider there was a smoother decline^{8,9} and absorption is almost complete within 6 hours. After an apple snack intake, absorption and clearance were slightly delayed by the matrix effect with C_{max} at 2 hours post-consumption.¹⁰ This early absorption peak is followed by a fast appearance of phloretin metabolites in urine samples, consistent with hydrolysis by LPH. After ileostomists consumed 500 ml cider delivering $46 \mu\text{mol}$ phloretin glycosides ileal fluid was found to contain $3.8 \mu\text{mol}$ of phloretin-xylosylglycosides, $11.4 \mu\text{mol}$ phloretin phase-II conjugates and $2.4 \mu\text{mol}$ phloretin indicating efficient hydrolysis of phloridzin, substantial but incomplete absorption of phloretin and significant efflux of phloretin phase-II conjugates direct from the enterocyte or in bile.⁸ Phloretin at up to $0.07 \mu\text{mol}$ per litre has been detected in fecal water of free-living volunteers.¹¹

The bioactivity of the (poly)phenolic compounds consumed depends on their inherent bioactivity and the bioactivity of their metabolites and the concentration they reach in the organism.¹² However, some heterogeneity in the pharmacokinetic response after (poly)phenol intake has been commonly observed in human clinical trials, and this fact can hinder the health beneficial effects in some sub-populations. Available evidence suggests that several determinants such as age, sex, gut microbiota composition and polymorphisms of phase-II enzymes and transporters may explain this inter-individual variability in absorption, metabolism and excretion of (poly)phenols.¹³ There are still very few studies suggesting that this variation could impact on the efficacy of (poly)phenolic compounds to modulate cardiometabolic outcomes.¹⁴ Thus, a preliminary step is to evaluate the inter-individual variation in the capacity of absorption and metabolism of the (poly)phenolic compounds to better understand their role on human health.¹⁵

Metabolomics represent crucial techniques to decipher inter-individual variability and to stratify individuals according to the gradient excretion (quantitative criterion) or according to metabolotypes (qualitative criterion), which reflect the intrinsic capacity to absorb and metabolize (poly)phenolic compounds. Yet successful stratification examples are scarce and if available, validation in larger human studies is still required.¹³

Although phloretin phase-II conjugates have been reported as specific biomarkers of apple intake, and several studies have evaluated the bioavailability of apple phloretin after acute intake studies,^{16,17} investigation is lacking regarding the inter-individual variability after a repeated exposure. The aims of the present study were: (i) to quantify the inter-individual variation in phloretin absorption and metabolism following apple snack consumption in two diverse experimental settings (acute and sustained); (ii) to investigate the effect of dose by using

two apple snacks with different phloretin concentration; and (iii) to seek possible phloretin metabolotypes and determine whether the metabolite excretion profiles were temporally consistent, varied with phloretin dose, or varied after stratification of volunteers into high, medium and low excretion of phloretin phase-II metabolites.

2. Materials and methods

2.1. Chemicals and reagents

The standards used for the quantification of (poly)phenolic compounds in the low-phloretin apple (low-PhA) and high-phloretin apple (high-PhA) snacks were reported in our previous studies.^{18,21} Briefly, the standard used for the quantification of the phloretin compounds and their generated metabolites was phloretin-2'-O-glucoside (phloridzin), which was purchased from Extrasynthese (Genay, France).

Methanol (HPLC grade), acetonitrile (HPLC grade), acetic acid, and hydrochloric acid (HCl) were purchased from Scharlab Chemie (Sentmenat, Catalonia, Spain). The water used was Milli-Q quality (Millipore Corp, Bedford, MA, USA).

A stock solution of phloretin-2'-O-glucoside was prepared by dissolving this standard compound in methanol at a concentration of 1000 mg L^{-1} and storing this in dark flasks at 4°C . Stock dilutions were prepared daily.

2.2. Low- and high-phloretin apple snacks

In the present work, two different apple fruits were studied, the red-fleshed "Redlove" apple variety, a new genotype naturally biofortified in anthocyanins, and the common white-fleshed Granny Smith apple variety without anthocyanins, both provided by NUFRI SAT (Mollerussa, Lleida, Spain). The red-fleshed apple variety contained a high-phloretin concentration (high-PhA) and the Granny Smith contained a low-phloretin concentration (low-PhA). To preserve the (poly)phenolic compounds of the apples, a freeze-dried snack was prepared and provided to the volunteers. The detailed preparation process of the freeze-dried apple snacks is reported in our previous study.² Briefly, the apple core was removed and the whole apple (with peel) was cut into 1 cm-sized cubes, which were immediately frozen in liquid nitrogen, and then lyophilized on a Lyobeta 15 TELSTAR Lyophilizer (Terrassa, Spain).

2.3. Study design: acute and sustained apple snack intakes

The study consisted of a sub-sample of the AppleCOR study, a parallel and randomized trial conducted in hypercholesterolemic subjects which has been previously described.²¹ A CONSORT flow chart outlining the study schedule and number of participants in the AppleCOR study is displayed in our previous work, as well as the power calculation of the study population size.²¹

In the present work, two different apple fruits were studied, and these contained different phloretin amounts: low-PhA and high-PhA. In a parallel design, two groups of participants ($n = 33$, low-PhA; $n = 29$, high-PhA) consumed 80 g day^{-1} of the



corresponding apple snack for 6 weeks. Nested within the sustained study, a sub-sample of volunteers of each group ($n = 7$, low-PhA; $n = 8$, high-PhA) performed two acute intake studies. The first acute intake occurred at day 0 and the second acute intake study occurred at day 42 at the end of the sustained study, consuming all at once 80 g of low-PhA or high-PhA snacks. For the sustained intake study, 24 h urine was obtained at day 0 and day 42. For the acute study, 24 h urine sample was collected before and 24 h post-acute consumption. The study design is shown in Fig. 1. The total volume of urine of each volunteer in each interval time was measured and samples were stored at $-80\text{ }^{\circ}\text{C}$ in the central laboratory's Biobanc of HUSJ-Eurecat (biobanc.reus@iispv.cat) until required for batch analyses.

Participants signed informed consent prior to their participation in the study, which was approved by the Clinical Research Ethics Committee of *Institut d'Investigació Sanitària Pere Virgili* (S033/04Nov2016), Reus, Spain. The protocol and trial were conducted in accordance with the Helsinki Declaration and Good Clinical Practice Guidelines of the International Conference of Harmonization (GCP ICH) and were reported as CONSORT criteria. The trial was registered at ClinicalTrials.gov Identifier: NCT03795324.

For the sustained study, blood samples were obtained by traditional venipuncture at fasting conditions, and serum glucose and insulin concentrations were measured by standardized methods in a Cobas Mira Plus autoanalyzer (Roche Diagnostics Systems, Madrid, Spain).

2.4. Analysis of phloretin metabolites in urine samples

2.4.1. Urine sample pre-treatment. The human urine sample was pre-treated by microElution solid-phase extraction (μSPE) prior to its chromatographic analysis in order to pre-concentrate the target (poly)phenolic compounds, and remove the interference compounds from the urine matrix. The urine sample pre-treatment method was the reported in our previous studies.^{18–21}

2.4.2. Ultra-performance liquid chromatography coupled to tandem mass spectrometry (UPLC-ESI-MS/MS). The analysis

of (poly)phenolic compounds in the apple snacks, and in urine samples was performed by liquid chromatography (LC) coupled to tandem mass spectrometry (MS/MS) as the detection system (Waters, Milford, MA, USA). The chromatographic column was a BEH C_{18} (100 mm $\times$ 4.6 mm i.d.) with a 1.8 μm particle size (Waters). The UPLCTM system was equipped with a binary pump system, and a gradient elution was used. The mobile phase was 0.2% acetic acid (eluent A) and acetonitrile (eluent B), and the flow-rate was 0.3 mL min^{-1} , and the injection volume 2.5 μL . The UPLC-MS/MS conditions were the reported in our previous studies.^{2,10,18–21}

Tandem MS analyses were carried out on a triple quadrupole detector (TQD) mass spectrometer (Waters) equipped with a Z-spray electrospray interface. The ionization source was electrospray ionization (ESI), in the negative ion mode. The data were acquired with the selected reaction monitoring mode (SRM). The MS/MS parameters were as follows: capillary voltage, 3 kV; source temperature, 150 $^{\circ}\text{C}$; cone gas flow-rate, 90 L h^{-1} and desolvation gas flow-rate, 900 L h^{-1} ; desolvation temperature, 450 $^{\circ}\text{C}$. Nitrogen (>99% purity) and argon (99% purity) were used as nebulizing and collision gases, respectively. The cone voltages and collision energies were optimized for each phloretin compound. Two transitions were studied for each compound, when possible, the most abundant being used for quantification, and the second one for confirmation purposes. The dwell time established for each transition was 30 ms. Data acquisition was carried out with the MassLynx v 4.1 Software. ESI Table S1† shows the SRM transition for quantification, as well as the cone voltage and collision energy for each phloretin compound and its generated metabolites. Due to the lack of commercial (poly)phenolic standards and their generated metabolites, all the phloretin glycosides and the generated metabolites were quantified by using the calibration curve of phloretin-2'-O-glucoside (phloridzin). Because it has been clearly demonstrated that during LC-MS the phase-II conjugates of any given aglycone may differ markedly in their ionisation, the relative yields of the individual conjugates must be treated as only approximate.²²

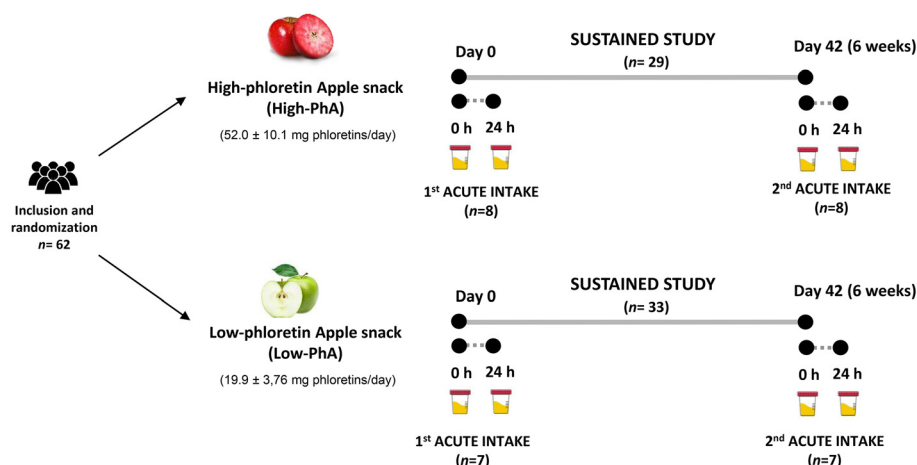


Fig. 1 Study design of acute and sustained interventions with a low-phloretin or a high-phloretin freeze-dried apple snacks.



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Table 1 Urinary excretion of phloretin metabolites after sustained consumption with stratification according to the phloretin-O-glucuronide excretion

Excretion				Stratification				Glucemic parameters					
Individual metabolites as percentage of total Phase-II metabolites													
μmol per 24 h													
PhXG	PhG	PhS	PhSG	PhG	PhS	PhSG	On excretion of phloretin-2'-O-glucuronide	By metabolite profile	By molar percentage glucuronide	Glucose (mg dL ⁻¹)	Insulin (mcUI mL ⁻¹)	Sex	Age (years)
Low-PhA snack													
n.d.	n.d.	0.17	n.d.	0.0	100.0	0.0	Low	B*	0	8	0.4	M	43
n.d.	n.d.	0.73	0.23	0.0	76.1	23.9	Low	B	19	-2	-4.1	M	49
n.d.	n.d.	0.08	0.08	0.0	50.1	49.9	Low	B	33	5	0.1	M	35
n.d.	n.d.	0.21	n.d.	0.0	100.0	0.0	Low	B*	0	-5	1.3	M	61
n.d.	0.21	0.21	0.21	34.0	33.0	33.0	Low	B	50	0	0.7	M	39
n.d.	n.d.	0.23	n.d.	0.0	100.0	0.0	Low	B*	0	1	0.5	M	56
n.d.	n.d.	0.12	n.d.	0.0	100.0	0.0	Low	B*	0	11	2.1	F	46
n.d.	0.18	0.07	n.d.	72.3	27.7	0.0	Low	A*	72	4	No value	M	19
n.d.	n.d.	0.13	n.d.	0.0	100.0	0.0	Low	B*	0	1	0.9	F	70
n.d.	0.05	0.12	0.01	26.7	66.5	6.8	Low	B	32	-4	1.2	F	59
n.d.	n.d.	0.15	n.d.	0.0	100.0	0.0	Low	B*	0	-4	-5.2	F	32
Quotient (largest/smallest)				>4.20	10.4	>23.0				1.36 ± 1.56	-0.21 ± 0.76		46.3 ± 14.8
n.d.	0.54	0.36	n.d.	60.3	39.7	0.0	Medium	A*	60	4	2.2	F	57
n.d.	0.86	0.27	0.22	63.6	19.9	16.5	Medium	A	69	-4	3.5	F	48
n.d.	0.90	0.45	0.35	52.9	26.6	20.5	Medium	A	61	-3	No value	F	60
n.d.	0.62	0.34	0.17	54.6	30.4	15.0	Medium	A	61	0	0.1	F	52
n.d.	0.39	0.08	0.16	61.7	12.8	25.4	Medium	A	70	-2	-0.6	F	56
n.d.	0.42	0.10	n.d.	81.7	18.2	0.0	Medium	A*	81	3	1.7	M	47
n.d.	0.26	0.25	0.02	49.4	47.6	3.0	Medium	A	51	20	0.8	F	69
n.d.	0.40	0.24	0.18	49.0	29.5	21.5	Medium	A	58	9	-0.1	F	67
n.d.	0.69	0.38	0.08	59.8	33.3	6.8	Medium	A	63	1	-0.3	F	61
n.d.	0.93	0.09	0.37	66.6	6.8	26.6	Medium	A	74	0	-3.7	F	37
n.d.	0.22	0.17	0.07	47.0	37.6	15.4	Medium	A	55	2	-3.0	F	51
Quotient (largest/smallest)				4.23	5.62	>18.5				2.73 ± 2.04	0.06 ± 0.70		55.0 ± 9.30
n.d.	1.24	0.13	0.17	80.4	8.6	11.0	High	A	82	-5	1.5	M	60
n.d.	0.97	n.d.	0.18	84.1	0.0	15.9	High	A	86	-5	0.8	F	55
n.d.	1.15	0.15	0.16	78.8	10.3	10.9	High	A	81	-4	-0.4	F	63
n.d.	1.62	0.16	n.d.	91.0	9.0	0.0	High	A*	91	-3	-2.3	F	37
n.d.	1.28	0.26	0.19	73.9	14.8	11.3	High	A	77	-5	0.6	M	45
n.d.	2.24	0.25	0.25	82.0	9.0	9.0	High	A	83	3	-1.3	F	51
n.d.	0.95	n.d.	n.d.	100.0	0.0	0.0	High	A*	100	-6	-5.1	M	55
n.d.	1.26	0.16	n.d.	88.8	11.2	0.0	High	A*	89	-20	0.6	F	49
n.d.	1.45	0.24	0.19	77.1	13.0	9.9	High	A	79	-6	-2.0	F	61
n.d.	1.08	n.d.	0.14	88.4	0.0	11.6	High	A	90	1	-1.3	F	61
n.d.	1.62	0.10	0.13	87.7	5.3	7.0	High	A	88	-1	0.6	F	35
Quotient (largest/smallest)				2.36	>2.60	>1.93				-4.64 ± 1.77	-0.75 ± 0.58		52.0 ± 9.70
Overall Quotient Low-PhA (largest/smallest)				>44.8	>10.4	>37.0							



Table 1 (Contd.)

Volunteer	Excretion			Stratification			Glucemic parameters			
	μmol per 24 h			Individual metabolites as percentage of total Phase-II metabolites			By metabolite profile	By molar percentage glucuronide	Day 42 minus Day 0	
									Glucose (mg dL ⁻¹)	Insulin (mcUI mL ⁻¹)
	PhXG	PhG	PhS	PhSG	PhG	PhS	PhSG	PhS	PhSG	PhS
High-PhA snack										
39 (H4)	n.d.	n.d.	0.25	n.d.	0.0	100	0.0	Low	0	4
43	n.d.	n.d.	0.28	n.d.	0.0	100	0.0	Low	0	3
45	n.d.	n.d.	0.11	0.05	0.0	67.9	32.1	Low	24	20
48	n.d.	n.d.	0.10	n.d.	0.0	100	0.0	Low	0	5
50	n.d.	n.d.	0.30	n.d.	0.0	100	0.0	Low	0	0
55	n.d.	0.70	0.19	n.d.	78.6	21.4	0.0	Low	79	3
58	n.d.	0.58	0.09	0.11	74.4	10.9	14.4	Low	78	-6
61	n.d.	n.d.	0.18	n.d.	0.0	100	0.0	Low	100	3
62	n.d.	0.21	0.08	0.07	57.6	23.5	19.0	Low	65	7
Quotient (largest/smallest)	>3.33	3.75	>2.20						4.33 ± 2.31	-0.41 ± 0.86
36	0	0.86	0.15	0.20	71.1	12.5	16.4	Medium	75	-6
37 (H2)	n.d.	0.84	0.17	0.19	69.7	14.1	16.2	Medium	74	-2
40	n.d.	1.60	0.13	0.01	92.2	7.3	0.6	Medium	92	-2
44	n.d.	1.24	0.25	0.16	75.2	15.3	9.5	Medium	77	-3
46	0.01	0.76	0.43	0.30	51.0	28.7	20.3	Medium	59	-2
49 (H6)	n.d.	0.83	0.12	0.11	77.9	11.7	10.4	Medium	80	-3
51	0.01	2.15	0.39	0.30	75.8	13.8	10.4	Medium	78	-5
52	0.01	1.52	0.50	0.29	65.8	21.6	12.6	Medium	70	-5
56	0.02	1.86	0.21	0.25	80.0	9.1	10.9	Medium	82	0
59	0.02	2.16	0.24	0.20	82.9	9.3	7.9	Medium	84	1
60	n.d.	1.35	0.11	0.23	79.8	6.6	13.6	Medium	82	-6
Quotient (largest/smallest)	2.84	4.55	30.0						-3.00 ± 0.70	0.35 ± 0.48
34 (H1)	0.02	4.88	0.84	0.38	79.9	13.8	6.3	High	81	1.8
35	0.01	2.27	0.53	0.52	68.3	16.1	15.6	High	73	-2.5
38 (H3)	n.d.	2.48	0.17	0.15	88.9	5.9	5.2	High	89	-0.3
41	n.d.	3.22	0.24	0.16	89.2	6.5	4.3	High	89	-0.9
42 (H5)	n.d.	3.28	0.51	0.36	79.0	12.3	8.7	High	81	1.9
47	n.d.	2.47	0.52	0.14	79.0	16.7	4.3	High	80	-1.8
53	n.d.	2.76	0.46	0.14	82.1	13.8	4.1	High	83	-3.0
54 (H7)	0.004	2.90	0.42	0.41	77.6	11.4	11.0	High	80	-9.1
57 (H8)	0.02	2.98	0.28	0.25	84.8	8.0	7.2	High	86	No value
Quotient (largest/smallest)	2.15	4.94	3.71						-2.44 ± 1.12	-1.74 ± 1.16
Overall quotient high-PhA (largest/smallest)	>23.2	10.5	>52.0							
Overall quotient both samples (largest/smallest)	>97.6	>12.0	>52.0							

In addition to the sustained intake, the volunteers from L1 to L7 also participated in the two acute studies: days 0 and 42 before and after the sustained intake of low-PhA Snack, and H1 to H8 after the sustained intake of high-PhA snack.

noic acid and 3-(4'-methoxy-phenyl)propanoic acid-3'-O-glucuronide which ranged from 193 to 239.¹⁹

Such inter-individual variation can take three forms, and these are not mutually exclusive: (i) variation in the amount of phloretin absorbed, (ii) variation in its metabolism after absorption, and (iii) variation in either or both over time. All three forms have been investigated in this study and are discussed below.

We recognise that there are some limitations to the study, and the discussion which follows gives due regard to them. In particular, in the absence of authentic phase-II conjugates of phloretin for accurate quantification, phloridzin has been used as the calibrant, but this is no different to previous studies which have used either phloridzin or phloretin for the same reason. This method of calibration is acceptable for comparing the amounts of an individual metabolite in a range of samples from one study but because the MS response of the individual phase-II conjugates is almost certain to differ²⁹ the value obtained by summing the content of the three phase-II metabolites in any given sample, is less accurate than the values for the individual metabolites. For example, a sample with a large content of a poorly ionising metabolite might appear to have smaller total metabolite content than a sample with a small amount of a strongly ionising metabolite, when in fact the reverse is true. This method of calibration also influences the LOD and LOQ, with a weakly ionising metabolite being more likely to be undetectable in a sample when only a small amount of phloretin had been absorbed, and limits the extent to which data from other studies using different calibrants and MS equipment can be reliably compared.

(i) Stratification on urinary excretion

Due to the high inter-individual variability, the subjects were stratified into "high", "medium" and "low" producers for each phloretin phase-II metabolite after the sustained intake; and "high" and "low" after the acute intakes. Because of the greater imprecision, discussed above, associated with the total metabolite concentration the stratification reported here utilised the data for the phloretin-O-glucuronide, the dominant metabolite.

Where mathematically possible stratification utilised groups of equal size as previously reported by Nishioka *et al.*,³⁰ but when this was not possible (numbers not multiple of 3), those extra volunteer(s) were placed in the middle group. Similarly, if a boundary would have separated two numerically identical values both were assigned to the middle group.

As example, ESI Table S4† shows the stratification of (a) phloretin-O-glucuronide, (b) phloretin-O-sulphate, and (c) phloretin-O-sulphate-O-glucuronide after the sustained intake of high-PhA snack, and ESI Table S5† the stratification of (a) phloretin-O-glucuronide, (b) phloretin-O-sulphate, and (c) phloretin-O-sulphate-O-glucuronide after the first acute intake of high-PhA snack.

Subsequent analysis by *t*-test and two-way ANOVA failed to demonstrate any statistically significant difference between the middle and low phloretin-O-sulphate groups after the low-PhA

and high-PhA snacks, but differences between all other groupings were confirmed ($p \leq 0.05$) (Fig. 2). When the low-PhA and high-PhA snacks were combined (see Fig. 2C), significant differences were observed between the three producer groups (high, medium and low) and this was observed for the three phloretin metabolites.

When comparing the low-PhA and high-PhA snacks, excretion of phloretin-O-glucuronide and phloretin-O-sulphate-O-glucuronide by low producers and the mixed conjugate overall were not obviously increased but in all other comparisons a statistically significant increase was detected as the phloretin dose increases (marked by asterisk in Fig. 2). These increases were more pronounced for phloretin-O-glucuronide where the ratios for the increase in the high and medium groups (2.24 and 2.42 respectively) approached the ratio for the increased molar content of phloretin glycosides (2.49) and which was exceeded (4.25) in the low excretion group. For the other metabolites all groups returned ratios near 1.5.

In the absence of authentic standards for quantification it is not possible to give precise values for the percentage absorption but estimated as phloridzin equivalents absorption after the low-PhA snack (39.5 μmol phloretin glycosides) was calculated at 0.30–2.43%, 1.16–4.30% and 2.41–6.94% for low, medium and high producers. The corresponding values for the high-PhA snack (103 μmol phloretin glycosides) are 0.10–0.76%, 1.03–2.77% and 2.72–5.94%.

These values are similar to those recorded (5% and 5.5% quantified by LC-MS as phloridzin equivalents) when volunteers with an intact colon and ileostomists, respectively, consumed 500 ml cider delivering 46 μmol phloretin glycosides,⁸ but considerably lower than the $21 \pm 5\%$ (HPLC–UV quantified as phloretin equivalents after enzymatic hydrolysis) achieved after volunteers drank 1.1 litre of cider delivering 10 μmol phloretin glycosides.³¹ Because the molar extinction coefficients of conjugates of one flavonoid generally differ much less than their ionisation in the MS, this UV-quantified recovery might be more accurate than those obtained after MS quantification.

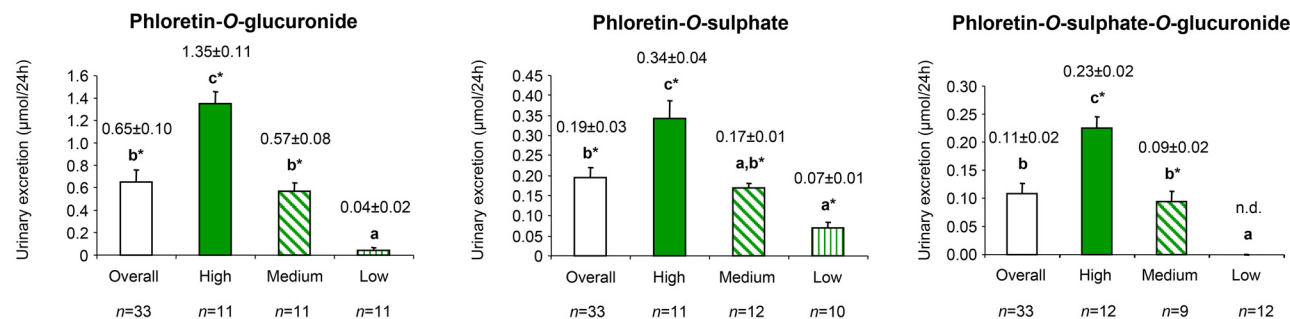
(ii) Stratification on urinary metabolite profile

In the absence of a *vic*-diol which might be a substrate for methylation, phase-II metabolism of phloretin is restricted to glucuronide and sulphate conjugation. Accordingly, stratification was achieved by expressing the molar contribution made by glucuronide as a percentage of the contribution made by glucuronide and sulphate and designating participants with >50–100% glucuronide as profile A and those with 0–50% glucuronide as profile B. Note that in this calculation the double conjugate contributes equally to glucuronide and sulphate. Because the double conjugate (phloretin-O-sulphate-O-glucuronide) was not detectable in 18 out of 62 samples (29.0%), sub-profiles of A* and B* have been introduced to highlight this (Table 1).

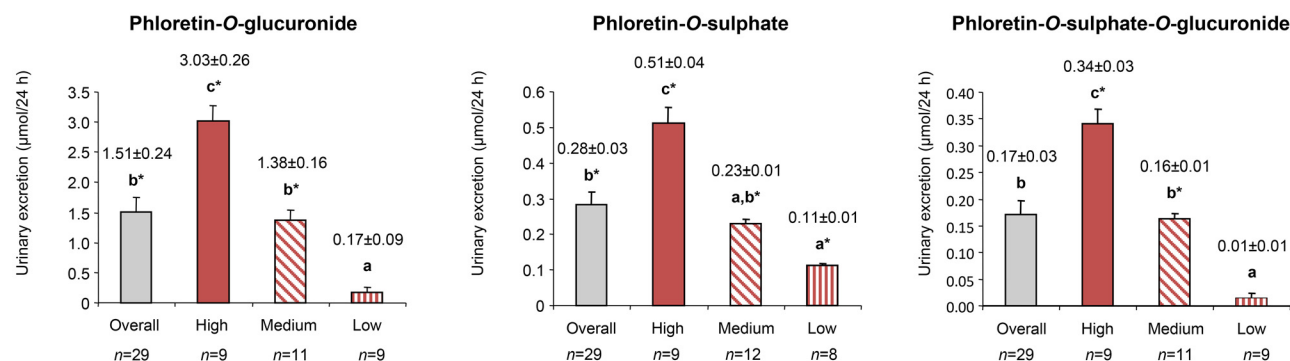
Following consumption of the low-PhA snack the 11 low producers consisted of 4B, 6B* and 1A* whereas medium and high producers consisted of 9A and 2A* and 8A and 3A*, respectively, clearly demonstrating that glucuronide conju-



a) Low-phloretin apple snack



b) High-phloretin apple snack



c) Low- and high-phloretin apple snack combined

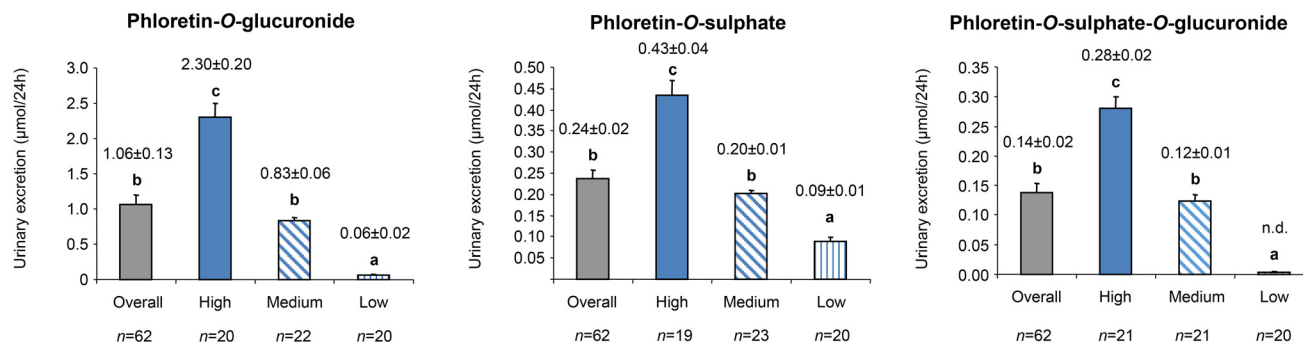


Fig. 2 Stratification into "high", "medium" and "low" producers of phloretin phase-II metabolites after sustained intake of low-phloretin apple snack (a), high-phloretin apple snack (b) or both combined (c). Concentration was expressed as mean ± SEM. (*) Significant differences between the different stratification groups (overall, high, medium, and low) when low- and high-phloretin PhA snacks were compared.

gation becomes of greater importance in individuals absorbing more phloretin.

This inference is reinforced by the results for the high-PhA snack—the medium and high producer groups consisting of 11A and 9A, respectively, whereas the low producer group consisted of 2A, 1A*, 1B and 5B* making the A profiles more prominent even among low producers at the higher phloretin glycosides intake.

These observations are consistent with the understanding that the phase-II sulphation pathway has higher affinity than glucuronidation but lower capacity, so that when the ingested

(poly)phenol dose is relatively low it can be quite well accommodated by sulphation, but as the (poly)phenol dose increases, a shift from sulphation toward glucuronidation may occur.³²

Although phloretin aglycone was not included in the study (since it was not detected), metabolism of a range of flavonoids in cultured Caco-2 monolayers indicated that flavonoid-sulphates tend to be effluxed to the luminal side whereas flavonoid-glucuronides and flavonoid-sulphate-glucuronides pass predominantly to the basolateral side.³³ The distribution of phloretin conjugates in ileal fluid and urine when ileostomists



consumed cider⁸ is consistent with the *in vitro* data, suggesting that this generalisation does apply to phloretin metabolism in humans.

However, we found that the B* sulphate-only sub-profile dominated for the low producers on the low- (6 of 11) and high-PhA studies (5 of 9), and clearly at least for these individuals, efflux of phloretin-*O*-sulphate to the gut lumen was incomplete. The relevant efflux transporter has not been identified but Multidrug resistance protein 2 (MRP2/ABCC2) and Breast cancer resistance protein (BRCP/ABCG2) are possibilities³⁴ and both have several single nucleotide polymorphisms^{35,36} which might contribute to this different behaviour.

Frequency plots of percentage glucuronidation presented in Fig. 3 are consistent with a bimodal distribution for phloretin metabolism although more detailed investigation on a larger number of volunteers is essential to evaluate this.

The glucuronide-conjugating UGT1A enzyme family has many polymorphisms,³⁷ and phloretin is metabolised by

hepatic UGT 1A1, 1A7, 1A8 and 1A9 *in vitro*^{25,38} but is a poor substrate for enteric UGT 1A10.³⁹ The SULT enzyme responsible for sulphation of phloretin has not been identified but numerous SULT polymorphisms are known⁴⁰ and these polymorphisms can be expected to contribute to these different patterns of urinary metabolites.

The balance between sulphation and glucuronidation is also affected by food deprivation, species, and sex⁴¹ of which only the latter is relevant in this study. It was observed that females dominate Profile A (64.1%) and males dominate the low excretion group (7 out of 11 low-PhA snack and 6 out of 9 high-PhA snack) after the sustained intake of apple snack, as it is shown in Table 2. There were no statistically significant differences in age range.

(iii) Temporal variation

Seven volunteers (L1–L7) participated in the low-PhA snack acute dose study and consumed the snack on two occasions six weeks apart. For volunteer L4 the value declined from 61% to 54% glucuronidation, but the metabolic profile was

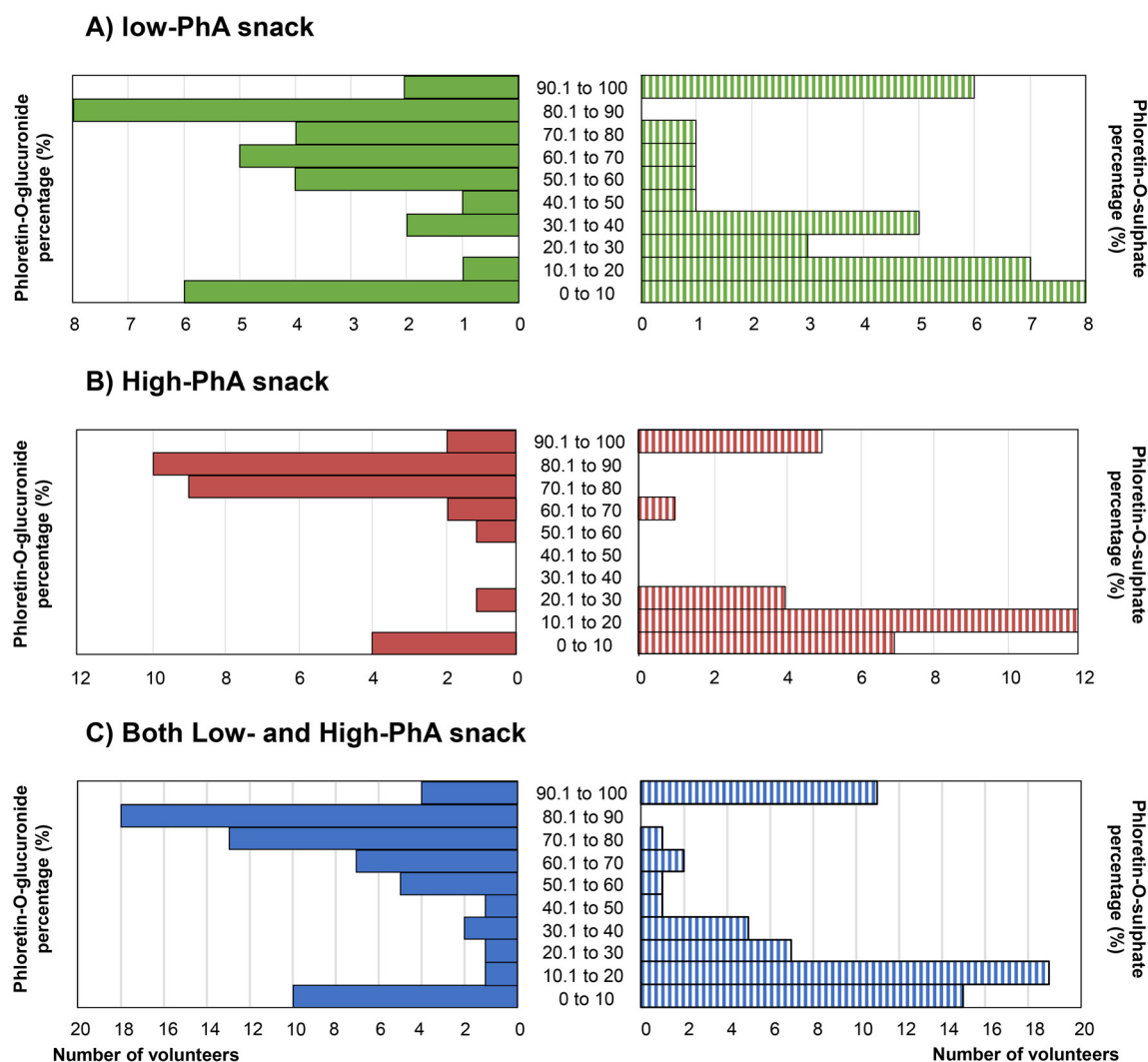


Fig. 3 Bimodal distribution for phloretin-*O*-glucuronide and phloretin-*O*-sulphate after sustained intake of low-phloretin apple snack (A), high-phloretin apple snack (B) or both combined (C).



Table 2 Percentage (%) of volunteers for each metabolic profile, according to sex and gradient excretion

	% volunteers			Sex		Gradient excretion		
	Low-PhA snack	High-PhA snack	Total	% female	% male	% low	% medium	% high
Profile A	51.5	75.9	62.9	64.1	35.9	10	90.9	85
Profile A*	18.2	3.40	11.3	42.9	57.1	10	9.10	15
Profile B	12.1	3.40	8.10	20.0	80.0	25	0	0
Profile B*	18.2	17.2	17.7	54.6	45.5	55	0	0

Profile A: participants with >50–100% of glucuronide conjugation. Profile B: participants with 0–50% of glucuronide conjugation (sulphate). In this calculation the double conjugate contributes equally to glucuronide and sulphate. Profile A*: subsample of participants with 100% phloretin-2'-O-glucuronide. Profile B*: subsample of participants with 100% phloretin-O-sulphate.

unchanged (see Table 3). For 6 out of 7, the percentage glucuronidation increased on the second occasion, changing the profile from B to A for three volunteers (L3, L5, L6).

Volunteer L3 at 48% glucuronidation had originally been only slightly below the A classification whereas L5 and L6 recorded much greater increases in glucuronidation (*ca.* 30 to >50%). Note, however, in the sustained study the high producer L6 recorded 89% glucuronidation and the low producer L5 recorded 100% sulphation (Table 1).

Eight volunteers (H1–H8, including one low, two medium and five high producers) similarly consumed the high-PhA snack. All recorded a profile A on both occasions with five volunteers (H3, H5, H6, H7, H8) showing marginal change in glucuronidation, volunteer H1 a clear increase and volunteers H2 and H4 clear decreases. For seven of the 8 volunteers, the glucuronidation percentage after the second acute intake was very close to that observed after the sustained intake. In contrast, volunteer H4, the only low producer to participate, recorded 79% and 72% in the acute study but zero glucuronidation in the sustained study.

Collectively, these observations demonstrate that the relative activity of phase-II conjugation pathways is not irrevocably fixed.

3.4. Comparison of plasma glucose and insulin values before and after sustained intake of the apple snacks

Pre- and post-prandial plasma glucose and insulin were determined for each volunteer at the start and end of the six-week sustained study for both the low-PhA and high-PhA snack groups. The data in Table 1 show a mixed response with reductions in plasma glucose (16 out of 33) and insulin (13 out of 31) for volunteers who consumed the low-PhA snack. For the high-PhA snack, the corresponding data for plasma glucose and insulin were 16 out of 29 and 14 out of 27, respectively. For the other volunteers there was either no change, or an increase in plasma glucose or insulin. Insulin data were not available for four volunteers. Note, however, that for 29 out of 62 volunteers only one parameter decreased and the other either increased or did not change indicating at most a weak biological effect.

Nevertheless, the pooled data for both snack groups (Fig. 4A) indicate that the proportion of volunteers presenting a decreased plasma glucose or an insulin concentration at the end of the six-week sustained study increases in the sequence low, medium and high phloretin-O-glucuronide excretion. Similar results were also observed for the analysis of total phloretin metabolite excretion (Fig. 4B).

Table 3 Molar 24-hour percentage glucuronide excretion after the first and second acute intakes, and sustained intake of low- and high-PhA snacks, and the corresponding metabolic profile

		Molar 24-hour percentage glucuronide			Metabolic profile		
Volunteer	Sex	1 st acute	2 nd acute	Sustained	1 st acute	2 nd acute	Sustained
Low-PhA snack							
L1	F	56	73	86	A	A	A
L2	F	66	75	81	A	A	A
L3	M	48	67	77	B	A	A
L4	F	61	54	61	A	A	A
L5	M	30	59	0	B	A	B*
L6	F	33	56	89	B	A	A*
L7	F	65	73	88	A	A	A
High-PhA snack							
H1	M	65	75	81	A	A	A
H2	M	85	71	74	A	A	A
H3	F	83	82	89	A	A	A
H4	M	79	72	0	A	A	B*
H5	F	67	70	81	A	A	A
H6	F	76	77	80	A	A	A
H7	M	75	78	80	A	A	A
H8	F	86	84	86	A	A	A



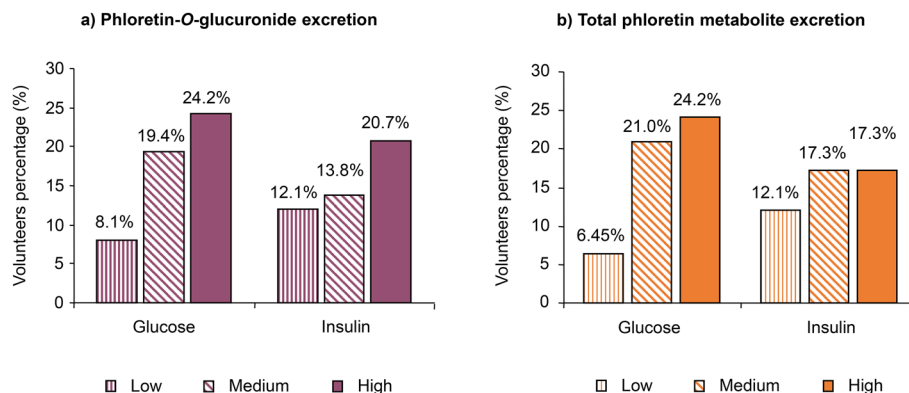


Fig. 4 Percentage of volunteers who presented plasma glucose and insulin reduction at the end of the six-week study compared with day zero. Data for the low- and high-PhA snacks have been combined and stratified for low, medium and high (a) phloretin-O-glucuronide excretion, and (b) total phloretin metabolite excretion.

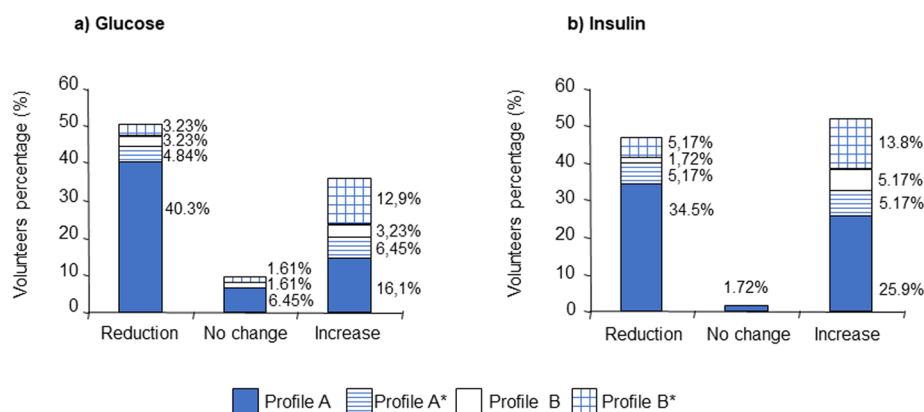


Fig. 5 Percentage of volunteers who presented plasma glucose (a) and insulin (b) reduction, no change or an increase at the end of the six-week study compared with day zero. Data for the low- and high-PhA snacks have been combined and stratified according to the metabolic profile of phloretin-O-glucuronide.

Fig. 5 presents data for changes in plasma glucose and insulin stratified for metabolite profile and shows clearly that there is little if any association between the profile and such reductions. This is consistent with reduction in plasma glucose being associated with interactions on the luminal surface of the duodenum (interaction with lactase-phloridzin hydrolase and blockade of SGLT1 and GLUT2) which occur prior to absorption of phloretin and phase-II conjugation.

It has been reported previously that when nine volunteers consumed on separate occasions 400 ml water, clear apple juice (lacking the fibrous components) and cloudy apple juice (retaining some of the apple pulp) delivering 25 g glucose, there were modest reductions in plasma glucose, plasma insulin, glucose-dependent insulintropic polypeptide (GIP) and plasma glucagon-like peptide 1 (GLP1), which were tentatively attributed to greater contents of phloridzin (27 and 59 μmol), phloretin-xylosylglycoside (6.4 and 42 μmol), and caffeoylquinic acids (151 and 522 μmol) in the clear and cloudy apple juices, respectively. Collectively, these modest reductions were consistent with impairment of glucose absorption in the duodenum.⁴²

The weaker response to the apple snacks reported here may reflect that in contrast to the apple juice study there was no control over the amount of glucose and glucose-yielding disaccharides consumed immediately before collection of the plasma samples, as would have been the case in a formal oral glucose tolerance test, and variation in the glucose load may have reduced the ability of the apple snack study to detect subtle effects associated with the excretion profile. It is also important to point out that the fibre contents of both apple snacks were very similar (ESI Table S3†) and such differences can be excluded as responsible for the effects seen.

4. Concluding remarks

This study demonstrates clearly a marked inter-individual variation in the excretion of phloretin phase-II conjugates following consumption of apple snacks prepared from Granny Smith and Redlove apples delivering 39.5 and 103 μmol phloretin glycosides, respectively. This inter-individual variation must arise from differences in the ability either to hydrolyse the phloretin



glycosides or to absorb the released phloretin, or both. Overall, absorption estimated as phloridzin equivalents for 62 volunteers varied almost 70-fold ranging from 0.1% to 6.94% of phloretin glycoside intake. Inter-individual variation in the percentage absorption of phloretin was not surprising but at 70-fold the magnitude was unexpected. As discussed in the introduction, the first step is hydrolysis of phloretin glycosides by LPH and any impairment in that process will reduce absorption.

Congenital lactase deficiency is known, although rare in Southern Europe. Lactase non-persistence is more common, with a recorded prevalence of 32.5% in Galicia, as quantified by a lactose breath hydrogen test conducted with 850 individuals. In the Galician population incidence increased with age up to 60 years (34.1% aged 19–24 and 38.7% aged 25–60).⁴³ A lower incidence has been reported in the Barcelona population, 13–15%, being more representative of the volunteers participating in this study⁴⁴ but lactase non-persistence is plausibly an important factor contributing to this substantial inter-individual variation in phloretin uptake. In European populations two single nucleotide polymorphisms (C/T-13910 and G/A-22108) have been characterised in the encoding gene and lactose non-persistence occurs if both C/C-13910 and G/G-22108 are present.⁴⁴

Note also that it has been reported that phloridzin in apple juice is rapidly hydrolysed by bacteria present in crude human saliva and the authors drew attention to inter-individual variations in the extent of this hydrolysis.⁴⁵ So, oral hydrolysis of phloridzin could also compromise the amount of phloridzin reaching the duodenum and available to blockade SGLT1 and variations in the load and competence of oral bacteria might be another factor contributing to the relatively weak and variable effects on plasma glucose and insulin recorded here.

Although a true dose–response study (using a single group of volunteers) was not performed, it was observed that the medium and high producers in the high-PhA snack group showed a greater absolute excretion (1.06–2.85 *vs.* 0.46–1.70 μmol and 2.80–6.12 *vs.* 1.15–2.74 μmol), whereas there was no difference for the low producers (0.1–0.89 *vs.* 0.12–0.96 μmol). When the excretion of phloretin phase-II conjugates is expressed as a percentage of the phloretin glycoside intake it is noticeable that there is a trend to slightly lower values in the high-PhA snack group (0.30–2.40% *vs.* 0.1–0.86%, 1.16–4.30% *vs.* 1.03–2.77% and 2.91–6.94% *vs.* 2.72–5.94%, respectively) implying that absorption might be approaching saturation at intakes much above 100 μmol phloretin glycosides (ESI Table S6†).

Volunteers were assigned to low, medium and high excreting groups and two groups depending on whether glucuronide conjugation (A) or sulphate conjugation (B) dominated in the urine plus subgroups A* and B* when the mixed conjugate was not produced. The stratification was independent of the sex of the volunteer; however, males were dominant in low producer groups.

For *ca.* 74% of the volunteers phloretin-*O*-glucuronide was the dominant urinary metabolite, especially at the higher

phloretin glycoside intake and for higher producers independent of phloretin glycoside intake. Sulphate conjugation assumed greater significance for the remaining volunteers especially for low producers independent of intake. Indeed, for 6 of 11 volunteers at the lower intake and 5 of 9 at the higher intake a phloretin-*O*-sulphate was the only phase-II conjugate detected. It has not been characterised at regio-isomer level. Only one volunteer, a high producer who consumed the low-PhA snack, recorded 100% glucuronidation.

The data suggest possible low and high sulphate metabolites but because the urinary metabolite profile may vary temporally it is not possible to decide unequivocally. The factor(s) responsible for this temporal variation are obscure but deserve further investigation.

Overall, there were inconsistent effects on post-prandial plasma glucose and insulin concentrations but there was a tendency for decreases in these parameters to be associated with higher excretion of phloretin phase-II conjugates. This observation in combination with the results of previous studies suggests that apples and apple products do have a modest potential for health protection at least for those individuals capable of greater phloretin absorption. Further investigations in larger cohorts are required to confirm these results and to identify the absorption-limiting mechanisms. It would be advantageous if future volunteers were phenotyped in respect of all relevant variables including phase II metabolism, and screened for lactose intolerance and the phloridzin-hydrolysing activity of their oral bacteria.

Author contributions

AM: conceptualization, formal analysis, investigation, methodology, supervision, and writing—original draft and review; MPR: funding acquisition, and project administration; AP: formal analysis, investigation, and methodology; RS: funding acquisition, and project administration; MNC: conceptualization, supervision, and writing—review; LR: investigation, supervision, and writing—review, and editing.

All authors approved the final version of the manuscript to be submitted.

Conflicts of interest

The authors declare no competing financial interest.

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