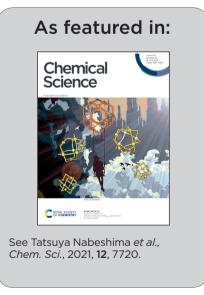


Showcasing research from Professor Nabeshima's laboratory, Faculty of Pure and Applied Sciences, University of Tsukuba, Tsukuba, Japan.

Solvent-dependent *fac/mer*-isomerization and self-assembly of triply helical complexes bearing a pivot part

An unprecedented *facial/meridional* isomerisation of a labile tris(2,2'-bipyridine) Fe^{II} complex governed by the solvent change was achieved by using tripodal ligands with a flexible linker. Furthermore, imine bond formation of the complexes with a suitable diamine quantitatively produced well-defined self-assemblies that contained only the *facial* isomer, even though a mixture of the two isomers existed in solution before the condensation reaction. Namely, the self-assembly formation effectively adjusted the geometries of the building unit that results in the suitable supramolecular structure.





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Solvent-dependent *fac/mer*-isomerization and self-assembly of triply helical complexes bearing a pivot part†

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Tris-chelate metal complexes of unsymmetrical bidentate ligands can form two geometric stereoisomers, facial (fac) and meridional (mer) isomers. Due to the small difference in their properties, the highly-selective synthesis of one of the isomers is challenging. We now designed a series of tripodal ligands with a tris(3-(2-(methyleneoxy)ethoxy)phenyl)methane pivot. Surprisingly, the ratio of the fac/mer isomers of the triply helical Fe^{II} complexes significantly changed depending on the solvents. To the best of our knowledge, this is the first example of fac/mer isomerism of a labile tris(2,2'-bipyridine) Fe^{II} complex governed by the solvent. Furthermore, well-defined self-assemblies were quantitatively produced by imine bond formation with a suitable diamine. The supramolecular assemblies contained only the fac isomer even though a mixture of the two isomers existed in solution before the condensation reaction. Namely, the self-assembly formation effectively adjusted the geometries of the building unit that results in the suitable supramolecular structure.

Introduction

Tris-chelate metal complexes of unsymmetrical bidentate ligands can form two geometric stereoisomers, facial (fac) and meridional (mer) isomers. When an unsymmetrical bidentate ligand reacts with a metal ion to give the octahedral tris(ligand) complex, the statistical ratio of the fac and mer isomers is 1:3. The structures of the two isomers are different, but their physical and chemical properties are usually similar. Due to the small difference in their properties, the highly-selective synthesis of one of the isomers is challenging. Useful but limited strategies have been reported. The electronic and structural properties of ligands, such as the trans influence,1 steric hindrance, $\pi - \pi$ interaction, tripodal structures, and their combination,5 have been successfully applied to control the isomerism. It is worth noting here that the ligands usually dominate the isomeric equilibrium. The conversion between the isomers using the same ligand is more difficult, but it leads to dynamic structural switching in response to environmental change or external stimuli.6-8 In supramolecular chemistry, the fac/mer isomerism has recently attracted considerable attention to create elaborate self-assemblies consisting of metal ions and ligands. Diimine ligands such as 2,2'-bipyiridine and 2-pyridylimine derivatives are widely used to construct and govern the designed structures and functions,9 and other bidentate ligands such as catechol derivatives are also effectively employed.¹º For instance, completely different assembled structures were obtained by utilizing the fac/mer interconversion based on the solvent or counter ions.9.10

We have studied allosteric molecular recognition by the tripodal ligands bearing three oligoether-bipyridine arms.11 Recently, we reported the first example of the perfectly selective synthesis of a meridional triply helical complex. 12 This meridional complex has a unique structure in which one bipyridine unit penetrates into the cyclic cavity provided by the other two oligoether arms and the benzene pivot unit. As a result, the ion recognition ability of the tripodal molecule has been successfully suppressed. Most of the triply helical complexes reported so far are facial isomers,4 but our example demonstrated that a tripodal ligand that is appropriately designed can be utilized as a meridional building block. We have also reported a selfassembly system using Schiff-base formation of a triply helical facial complex.13 The triply helical building unit was exclusively obtained by the complexation of Fe2+ or Ru2+ with a tripodand in which three bipyridyl ligands are directly attached to a tris(2methyleneoxyphenyl)methane pivot.

In this context, we envisaged that a pivot structure with the appropriate space and flexibility would result in a different ligation behavior towards a metal ion to give octahedral

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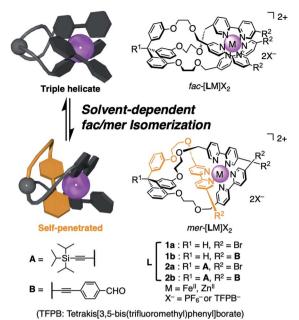


Fig. 1 Schematic representations of the interconversion between the facial (fac) and meridional (mer) isomers and chemical structures of both isomers of $[LM]X_2$ (L: tripodal ligands (1a, 1b, 2a, and 2b); M: octahedral metal; X: anion).

complexes with a different fac/mer ratio in response to their environments. We now designed a series of tripodal ligands L (1a, 1b, 2a, and 2b) with a tris(3-(2-(methyleneoxy)ethoxy) phenyl)methane pivot (Fig. 1). Surprisingly, the ratio of the fac/ mer isomers of the triply helical Fe^{II} complexes significantly changed depending on the solvents. We found that the Hansen solubility parameters¹⁴ fit well with the *fac/mer* equilibrium ratio in different solvents. To the best of our knowledge, this is the first example of fac/mer isomerism of a labile tris(2,2'-bipyridine) Fe^{II} complex depending on the solvent change. Furthermore, well-defined self-assemblies were quantitatively produced by imine bond formation of [2bFe]²⁺ with a suitable diamine. The supramolecular assemblies contained only the facial isomer even though a mixture of the two isomers existed in solution before the condensation reaction. Namely, the selfassembly formation effectively adjusted the geometries of the building unit that results in the suitable supramolecular structure.

Results and discussion

Synthesis and characterization of tripodal ligands and their ${\rm Fe}^{\rm II}$ complexes

Four tripodal ligands **1a**, **1b**, **2a**, and **2b** were synthesized. The common part is a tris(3-(2-(methyleneoxy)ethoxy)phenyl) methane pivot and three 2,2'-bipyridine units. The *meta*-substituted pivot instead of *ortho*-substituted one in the previous study¹³ provides more molecular freedom. Moreover, the flexible 2-(methyleneoxy)ethoxy linker also allows many conformations without steric constraints. **1a** is the simplest ligand with a methine proton at the pivot unit and a 5-bromo

group at the bipyridyl units. **2a** and **2b** have a (triisopropylsilyl) ethynyl group (**A** in Fig. 1) at the pivot carbon to investigate the structural effect and use for possible structural extension in future studies. In **1b** and **2b**, three 4-formylphenylethynyl groups (**B** in Fig. 1) were introduced, which are employed for the self-assembly of the triply helical complexes *via* imine bond formation.

The tripodal ligand 2b possessing both units A and B is explained in detail below. 2b was synthesized by the reaction of a tris(3-hydroxyphenyl)methane derivative ("a pivot part") and a mesylated 2-(5′-bromo-2,2′-bipyridyl)ethanol derivative ("a coordination part"), followed by the Sonogashira cross-coupling reaction with 4-ethynylbenzaldehyde to introduce unit B. [2bFe](PF $_6$) $_2$ was then obtained by the complexation of this ligand with Fe(BF $_4$) $_2$ and the exchange of the counter anion to PF $_6$ $^-$. The synthetic procedures for 2b and [2bFe](PF $_6$) $_2$, as well as those for 1a, 1b, 2a and their Fe II complexes, are described in the ESI (1 H NMR spectra and ESI-MS are shown in Fig. S1–S18, S28–S35 and S41–S45†).

The ¹H NMR spectrum of [2**b**Fe](PF₆)₂ in CD₃CN indicated that this complex is a mixture of the *facial* and *meridional* isomers (Fig. 2a and S41†). Focusing on the signals of the

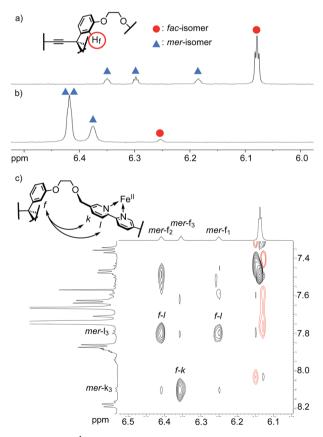


Fig. 2 (a and b) 1 H NMR spectra of [2bFe](PF₆)₂ (600 MHz, 5.9–6.5 ppm). (a) CD₃CN. (b) CDCl₃. (c) 1 H $^-$ 1H ROESY spectrum of [2bFe](TFPB)₂. See Fig. S47† for the detailed assignment of the signals. The characteristic ROE correlations supporting the structure of *mer*-[2bFe](TFPB)₂ are highlighted (signals of the *mer*-isomer are numbered for each tripodal arm).

proton f (2 position of the benzene ring of the pivot, see Fig. S41† for the assignment of the other 1H NMR signals), there are one large signal at 6.08 ppm (denoted with a red circle in Fig. 2) and three small signals with an equal intensity at 6.18, 6.30, and 6.35 ppm (denoted with blue triangles in Fig. 2). The signal at 6.08 ppm was assigned to the *facial* isomer and the three signals at 6.18, 6.30, and 6.35 ppm were assigned to the *meridional* isomer based on symmetry: The *facial* isomer has C_3 symmetry but the *meridional* isomer does not. It is considered that the appropriate length and flexibility of the ethylene glycol chains enabled the formation of these two isomers. The *fac/mer* ratio calculated from the integral values of the proton signals was 71 : 29. Considering the statistical ratio of *fac/mer* = 25 : 75, the *facial* isomer of [2bFe](PF₆)₂ was favored in CD₃CN.

Solvent dependence on fac/mer isomerism of [2bFe]²⁺

When the solvent of the sample of [2bFe](PF₆)₂ was changed to CDCl₃ from CD₃CN, only a small signal was found at 6.25 ppm, and two large signals were observed at 6.38 and 6.42 ppm with the ratio of 2:1 (Fig. 2b and S77†). This result showed that the equilibrium significantly shifted toward the meridional isomer in CDCl₃. The sample solution was heated at 50 °C for 12 hours and cooled to r.t. to reach the fac/mer equilibrium of 4:96. To further investigate this interesting phenomenon, the fac/mer ratios of $[2bFe]^{2+}$ (as well as the Gibbs free energy ΔG) were determined by ¹H NMR measurements in various deuterated solvents. Due to the poor solubility of [2bFe](PF₆)₂ in low-polar solvents, [2bFe](TFPB)₂ (TFPB: tetrakis[3,5-bis(trifluoromethyl) phenyl]borate) was synthesized (Fig. S46-S51†), which exhibited a good solubility in a wide range of solvents (CD₃CN, CDCl₃, CD₃OD, acetone-d₆, CD₃NO₂, CD₃CO₂D, CD₂Cl₂, THF-d₈, DMF d_7 , dioxane- d_8 , o-dichlorobenzene- d_4 : o-DCB- d_4 , tetrachloroethane- d_2 : TCE- d_2). The ${}^1H-{}^1H$ ROESY spectrum of $[2bFe](TFPB)_2$ showed cross peaks between the proton f (pivot unit) and the protons k,l (3,4 positions of bipyridyl) of the meridional isomer (Fig. 2c and S47†). This suggested that the meridional isomer had a self-penetrated structure in which one bipyridine unit was surrounded by the other two bipyridines and the triphenylmethane of the pivot unit.

The *fac/mer* ratios of [2bFe](TFPB)₂ changed from 10:90 to 71:29 depending on the solvents (Table 1 and Fig. S66†). The *meridional* isomers are favored in halogenated solvents (TCE- d_2 (10:90), CDCl₃ (10:90)), but other than this point, it seems difficult to find a good factor to fit this trend at first glance. DMF- d_7 (32:68), which is generally regarded as a highly polar solvent (ε (dielectric constant): 36.7), and CD₂Cl₂ (40:60), which is generally regarded as a less polar solvent (ε : 8.9), gave similar isomer ratios. Thus, this solvent dependence was assumed to be a complex phenomenon involving factors other than polarity.

For some solvents, the changes in enthalpy ΔH and entropy ΔS upon the conversion from the *mer* isomer to the *fac* isomer were determined by the van't Hoff analysis of variable-temperature NMR measurements of [2bFe](TFPB)₂ (Table 1 and Fig. S67–S71†). As a result, both ΔH and ΔS were negative in all the investigated solvents. Thus, the *facial* isomer is

Table 1 The ratios of the fac/mer isomers of [2bFe](TFPB)₂ and thermodynamic parameters for the isomerization from mer to fac in various solvents (298 K)

Solvent	fac/mer	ΔG (kJ mol ⁻¹)	ΔH^a (kJ mol ⁻¹)	$T\Delta S^a$ (kJ mol ⁻¹)
$TCE-d_2$	10:90	5.5		_
CDCl ₃	10:90	5.5	-9.2	-14
$o ext{-DCB-}d_4$	13:87	4.8	_	_
Dioxane- d_8	20:80	3.4	_	_
DMF- d_7	32:68	1.8	-4.2	-6.0
THF- d_8	34:66	1.7	-11	-13
CD_2Cl_2	40:60	1.1	_	_
CD_3CO_2D	53:47	-0.31	_	_
CD_3NO_2	57:43	-0.70	_	_
CD_3OD	64:36	-1.5	_	_
Acetone- d_6	69:31	-2.0	-22	-20
CD_3CN	71:29	-2.2	-12	-10

^a Determined by van't Hoff analysis of VT NMR measurements.

enthalpically favorable and the *meridional* isomer is entropically favorable. It appears that the changes in the *fac/mer* ratio (*i.e.*, ΔG) were not correlated very well with ΔH or ΔS , which suggested that this isomerization cannot be explained by a simple factor. One of the causes would be the degree of formation of ion pairs, but the details could not be explained (Table S2, Fig. S72 and S73†).

Solvent dependence of the other complexes

To investigate the effect of substituents (units A and B in Fig. 1) of the tripodand on the solvent dependence, [1aFe](TFPB)₂, [1bFe](TFPB)2, and [2aFe](TFPB)2 were synthesized (Fig. S19-S27 and S36–S40†), and their fac/mer ratios were determined in CD₃CN and CDCl₃ (Table 2). As a result, the fac/mer ratios of all the complexes depended on the solvents in a similar manner with [2bFe](TFPB)2, that is, facial isomers were favored in CD₃CN, while meridional isomers increased in CDCl₃ (Fig. S74-S77†). Furthermore, it was confirmed that the fac/mer ratio of a Zn^{II} complex, [2bZn](TFPB)₂ (Fig. S52–S56†), showed the same tendency (90:10 in CD_3CN , 67:33 in $CD_3CN/CDCl_3 = 1:4$, Fig. S78†). For comparison, the monobipyridine ligand 3b and its tris(ligand) complex [(3b)₃Fe](TFPB)₂ were synthesized (Fig. 3, spectral data of characterization are shown in Fig. S57-S65†). As a result, the fac/mer ratio of $[(3b)_3Fe](TFPB)_2$ showed no solvent dependence (22:78 in CD₃CN, 21:79 in CDCl₃, Fig. S79†). Thus, the tris(3-(2-methoxyethoxy)phenyl)methane unit of [2bFe](TFPB)2 plays a key role in the solvent-dependent *fac/mer* isomerism.

Correlation with Hansen solubility parameters

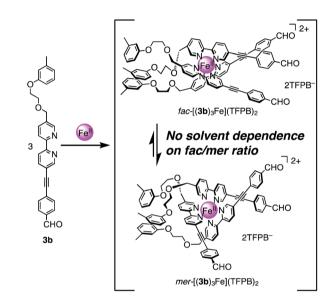
In order to clarify the factor involved in the solvent dependency of the fac/mer isomerism of $[2b\text{Fe}](\text{TFPB})_2$, the correlations between the ΔG of the fac/mer isomerization and the major solvent parameters were evaluated in terms of the correlation coefficient R by a linear regression analysis (Fig. 4, Tables S1 and S3†). The correlations of ΔG with the dielectric constant ε and dipole moment μ , both of which are typical solvent

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Table 2	Solvent effects on th	ne fac	<i>lmer</i> -isomerization	equilibrium of	of various	complexes ^a

Complex	Difference from [2 b Fe](TFPB) ₂	fac/mer in CD ₃ CN	fac/mer in $CD_3CN/CDCl_3 = 1/4$	fac/mer in CDCl ₃
[2b Fe](TFPB) ₂	_	71:29	39:61	10:90
[1aFe](TFPB) ₂	No group A, B	83:17	_	64:36
[1bFe](TFPB) ₂	No group A	76:24	_	22:78
[2aFe](TFPB) ₂	No group B	75:25	_	13:87
[2 b Fe](PF ₆) ₂	PF_6^-	71:29	_	4:96
[2b Zn](TFPB) ₂	Zn^{Π}	90:10	67:33	_
$[(3\mathbf{b})_3\text{Fe}](\text{TFPB})_2$	Without pivot	$22:78^{b}$	_	$21:79^{b}$

^a The fac/mer ratios were calculated from the signals of the proton f (2 position of the benzene ring of the pivot) (see Fig. 2). ^b Calculated from the signals of the formyl proton q (see Fig. S61).



Chemical structures of both isomers of [(3b)₃Fe](TFPB)₂.

parameters, were less than 0.7, indicating no strong correlation (Fig. 4a and b). The donor number DN and the acceptor number AN^{15} had very low correlation coefficients (|R| < 0.3), which suggested that coordination or basicity of the solvents did not affect this fac/mer isomerism (Fig. 4c and d). Meanwhile, the molar volume $V_{\rm m}$ showed a good correlation (R=0.83) (Fig. 4e).

Among the tested parameters, we found that the Hansen solubility parameters (HSPs)¹⁴ showed the strongest correlations. HSPs are the parameters used for the prediction of solubility, and represented by three independent values; i.e., the dispersion term (δ_d), the dipole interaction term (δ_p), and the hydrogen bonding term (δ_h). HSPs have long been used in the fields of polymers and coatings in which understanding of the interaction between solvents and solutes is essential, and been also recently applied to many fields such as drugs16 and supramolecular gels. 17 We found that the ΔG of fac/mer isomerization is strongly correlated (R = 0.87) with δ_d , the dispersion term of HSPs (Fig. 4f). The correlations with the other two terms $(\delta_p \text{ and } \delta_h)$ were weak to moderate (Fig. 4g and h), but the multiple correlation coefficient with the combination of δ_d and $\delta_{\rm p}$ was as high as 0.91 (Fig. 4i and Table S3†). Thus, this result

suggested that a difference in the solvation by dispersion forces between the fac/mer isomers plays a key role in the solventdependent isomerization equilibrium.

Structural comparison of fac/mer isomers

In efforts to obtain single crystals of the complexes, we have succeeded in the structural analysis of fac-[1aFe](PF₆)₂ by X-ray diffraction measurements (Fig. 5a-c and S80†). As suggested by the ¹H NMR measurements, the *facial* isomer has a pseudo C_3 symmetry in the crystal. The methine proton of the triarylmethane pivot unit was directed outward. For comparison, the structure of mer-[1aFe](PF₆)₂ obtained using DFT calculations is shown in Fig. 5d-f. Considering the result of linear regression analyses and the difference in molecular structures, one possible explanation for the solvent-dependent isomerization is the degree of exposure to the solvent. The $[Fe(bpy)_3]^{2+}$ of the facial isomer is exposed to the solvent. Meanwhile, the selfpenetrated bipyridyl unit of mer- $[Fe(bpy)_3]^{2+}$ is surrounded by the triarylmethane pivot and the ethylene glycol chains. As shown in Fig. 4, the correlation efficient between the fac/mer ratio and $\delta_{\rm d}$ (R = 0.87) indicates that the solvation by the dispersion force is more favored for the mer isomer than the fac isomer. Meanwhile, the correlation efficient with $\delta_{\rm p}$ (R=-0.73) indicates that the solvation by the dipole interaction is more favored for the fac isomer than the mer isomer. The structural difference of the fac/mer isomers, i.e., exposure of $[Fe(bpy)_3]^{2+}$ unit to the solvent, seems to be a factor to explain this dependency of the fac/mer ratio on the HSPs. Other possible factors to be included in the causes of the solvent-dependence are the inclusion of a solvent molecule in the fac-[Fe(bpy)₃]²⁺ (an acetone molecule was found in the cavity, Fig. S80† (X-ray crystallography)) and the formation of an ion pair (Table S2, Fig. S72 and S73 (¹H DOSY)†).

Reversibility in fac/mer conversion and self-assembly with diamines

The solvent-dependent fac/mer isomerization of [2bFe](TFPB)₂ was found to be reversible (Fig. S82†). The sample was dried in vacuo, alternatively redissolved in CD₃CN and CDCl₃, and ¹H NMR was performed. The interchange between the fac/mer ratios in the solvents can be repeated at least five times (Fig. S81†).

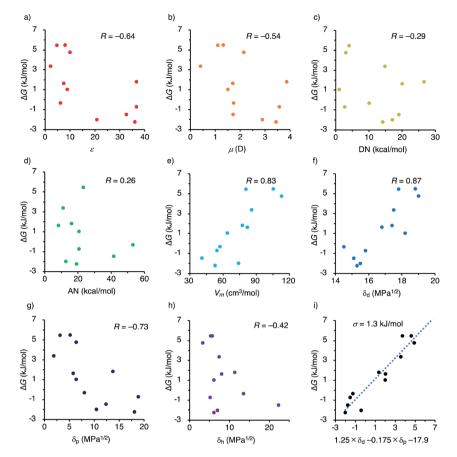


Fig. 4 ΔG (mer to fac) plotted versus various solvent parameters and their correlation coefficient. (a) Dielectric constant ε ^{15a} (b) dipole moment μ (D), 15a (c) donor number DN (kcal mol⁻¹), 15a,b (d) acceptor number AN (kcal mol⁻¹), 15a (e) molar volume $V_{\rm m}$ (cm³ mol⁻¹), 14 (f-h) the Hansen solubility parameters, ¹⁴ (f) dispersion term δ_d (MPa^{1/2}), ¹⁴ (g) dipole interaction term δ_p (MPa^{1/2}), ¹⁴ (h) hydrogen bonding term δ_h (MPa^{1/2}), ¹⁴ and (i) a prediction formula using $\delta_{\rm d}$ and $\delta_{\rm p}$ as explanatory variables.

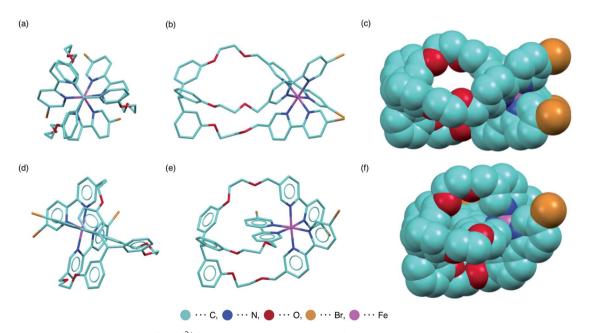


Fig. 5 Comparison of the two isomers of $[1aFe]^{2+}$ (hydrogen atoms are omitted. (a, b, d and e); stick model, (c and f); space-fill model). (a-c) A molecular structure of $fac-[1aFe]^{2+}$ determined by X-ray diffraction analysis. (a) Top view. (b and c) Side view. (d-f) A structure of $mer-[1aFe]^{2+}$ obtained by DFT calculation (B3LYP/6-31G*). (d) Top view. (e and f) Side view.

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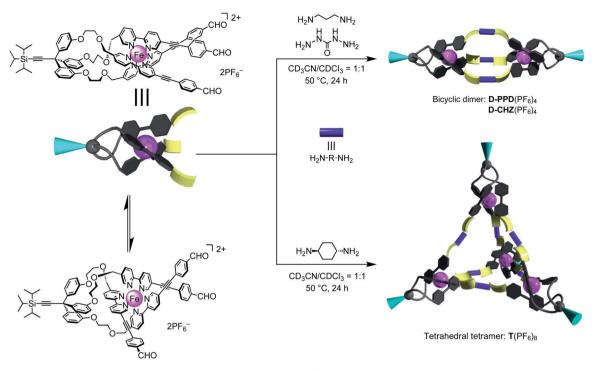


Fig. 6 Bicyclic dimer D-PPD⁴⁺ and D-CHZ⁴⁺, and tetrahedral tetramer T⁸⁺, which are constructed by the imine bond formation of [2bFe]²⁺ existing as a fac/mer isomeric mixture.

As a further application of [2bFe]²⁺ bearing three terminal formyl groups, the self-assembly via the Schiff-base formation was investigated (Fig. 6). [2bFe](PF₆)₂ was treated with 1.5 equiv. of 1,3propanediamine in CD₃CN/CDCl₃ = 1/1. ¹H NMR spectrum after the reaction revealed the disappearance of the signals of the formyl group at 10.00 ppm, and the appearance of a singlet of an imine bond at 8.31 ppm (Fig. S83†), which confirmed quantitative imine bond formation. The obtained product has C_3 symmetry on the NMR time scale. The ESI-TOF mass measurements gave signals assigned to chemical species formed from two [2bFe]²⁺ and three diamines (Fig. S86†). The NMR and MS data indicated that the obtained product was the bicyclic dimer D-PPD⁴⁺ composed of the facial isomer (Fig. 6, spectral data of characterization are shown in Fig. S83-S86†). Thus, fac/mer equilibrium of [2bFe]²⁺ was completely shifted to the fac-isomer during the process of Schiff-base formation. When the carbohydrazide was used as a linker molecule, the ¹H NMR and ESI-MS indicated that a similar bicyclic dimer, D-CHZ⁴⁺, was constructed (Fig. S88 and S89†). On the other hand, when trans-1,4-cyclohexanediamine reacted under the same condition, the ESI-TOF MS indicated that the species formed from four [2bFe]²⁺ and six diamines (Fig. S93†). The ¹H NMR spectrum supported the quantitative formation of an imine bond and the high symmetry of the obtained product (Fig. S90†). These results suggested the formation of the tetrahedral tetramer T8+ composed of the facial isomer (Fig. 6, spectral data of characterization are shown in Fig. S90-S93†). The hydrodynamic radii evaluated from the DOSY spectra increased in the order of the mononuclear complex [2bFe](PF₆)₂, bicyclic dimer **D-PPD**(PF₆)₄, and tetrahedral tetramer T(PF₆)₈ (12, 17, and 25 Å, respectively, Table S4, Fig. S87 and S94†), which

supported the formation of the assemblies. The discrete selfassemblies depending on the components can be applied to the dynamic combinatorial library or adaptive chemistry.18

Conclusions

We have synthesized novel tripodal ligands L (1a, 1b, 2a, and 2b), and revealed that the fac/mer ratios of their octahedral complexes $[LM]X_2$ $(M = Fe^{II} \text{ or } Zn^{II})$ $(X = PF_6 \text{ or } TFPB)$ largely depend on the solvents. This solvent-dependent isomerization occurs regardless of the counter anion, the metal center, and the substituents on the bipyridine and the pivot unit. It was found that the dispersion term δ_d of the Hansen solubility parameters (HSPs) showed a good correlation with ΔG of the fac/mer isomerization. Considering the good fitting result of this study, it would be interesting to apply HSPs to other supramolecular systems in which solvation plays an important role. Moreover, when using [2bFe]²⁺ as a component for the selfassembly via imine bond formation, discrete dimeric and tetrameric structures were obtained accompanied by the conversion from the fac/mer isomeric mixture to the pure facial isomer. This structure with an appropriate pivot part with flexible chains is demonstrated to be a good building block for supramolecular systems that change their structures in response to the environmental change.

Author contributions

R. M. and T. Nabeshima conceived the project. T. M., R. M. and T. Nabeshima designed the experiments. T. M. carried out the experimental work. T. M. and R. M. performed X-ray measurements and analysis. All the authors analysed the data, prepared the manuscript, and contributed to the writing of the paper.

Conflicts of interest

There are no conflicts to declare.

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