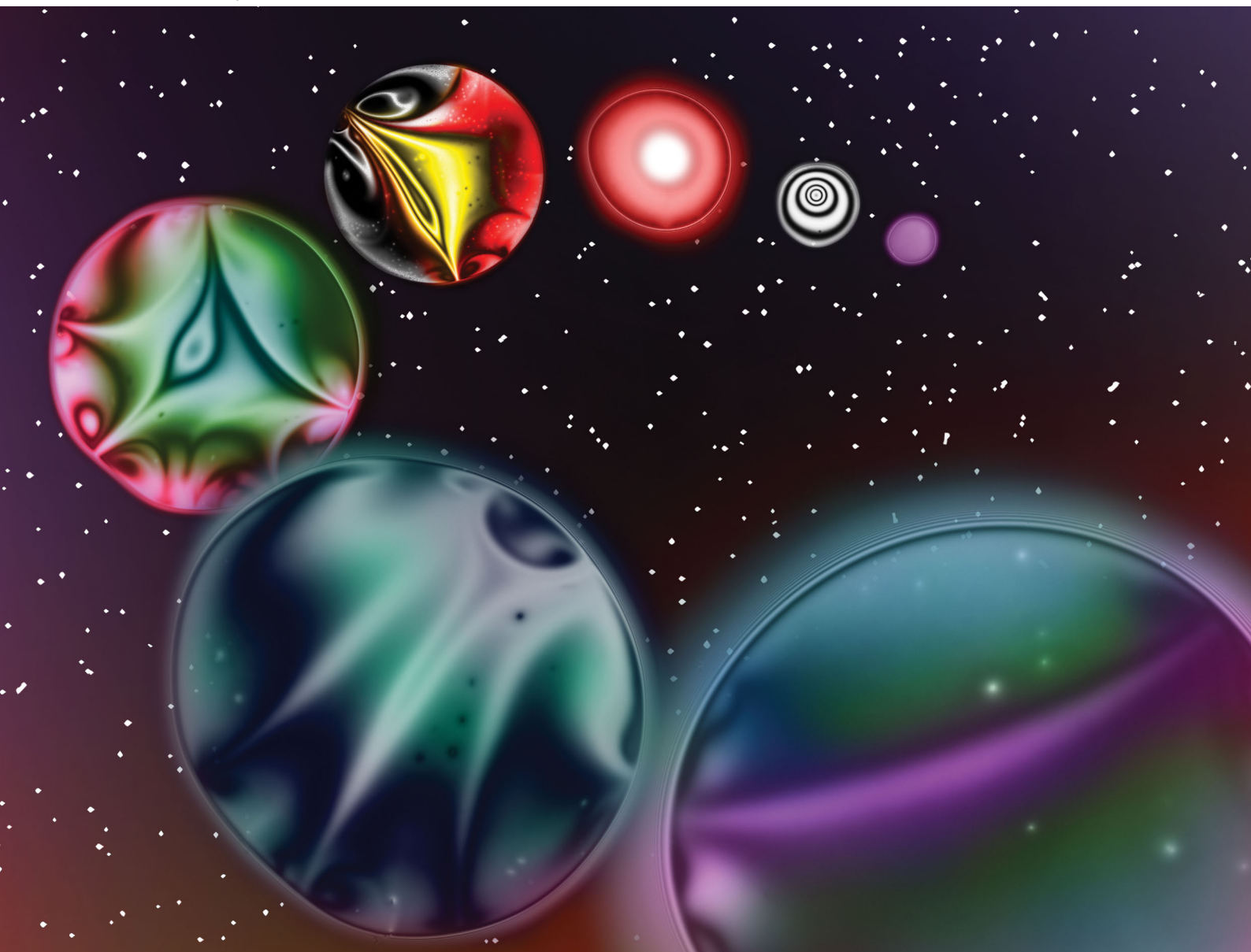


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PAPER

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Mimicking coalescence using a pressure-controlled dynamic
thin film balance



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Mimicking coalescence using a pressure-controlled dynamic thin film balance†

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The dynamics of thin films containing polymer solutions are studied with a pressure-controlled thin film balance. The setup allows the control of both the magnitude and the sign as well as the duration of the pressure drop across the film. The process of coalescence can be thus studied by mimicking the evolution of pressure during the approach and separation of two bubbles. The drainage dynamics, shape evolution and stability of the films were found to depend non-trivially on the magnitude and the duration of the applied pressure. Film dynamics during the application of the negative pressure step are controlled by an interplay between capillarity and hydrodynamics. A negative hydrodynamic pressure gradient promoted the thickening of the film, while the time-dependent deformation of the Plateau border surrounding it caused its local thinning. Distinct regimes in film break-up were thus observed depending on which of these two effects prevailed. Our study provides new insight into the behaviour of films during bubble separation, allows the determination of the optimum conditions for the occurrence of coalescence, and facilitates the improvement of population balance models.

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1 Introduction

Coalescence is one of the most important processes that control the stability of emulsions and foams,¹ as well as the morphology of two phase polymer blends.² When two droplets or bubbles collide, they locally deform, forming a thin liquid film (TLF) between them (Fig. 1). The film drains due to the presence of a Laplace pressure in the droplets or bubbles, and when the thickness of the interstitial film reaches a critical value, it ruptures. Film rupture marks the beginning of coalescence.^{3,4} The total time that two droplets or bubbles stay into contact is controlled by the external flow conditions⁵ and their geometrical confinement.⁶ They initially approach each other and the formed film thins under what can be approximated to be a constant pressure. Depending on the imposed flow conditions the two droplets or bubbles can move towards each other along their centre-centre line (*i.e.* a head-on collision), or approach, rotate, and then either coalesce or move away from each other⁷ (*i.e.* a glancing collision). The pressure inside the separating film depends on the size and movement of the dispersed elements.⁸ For a head-on collision the pressure is positive, whereas for all other conditions it changes from

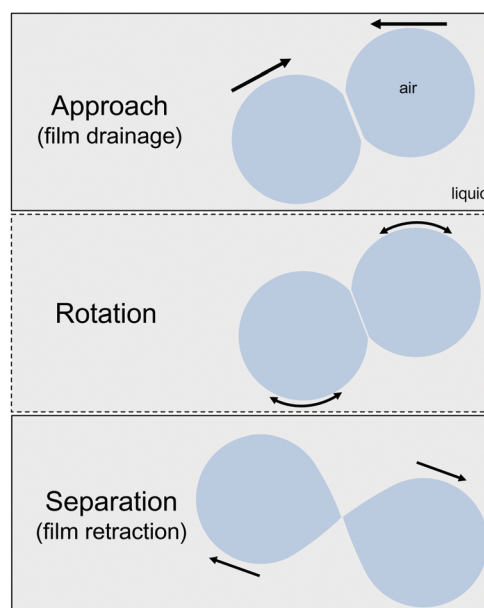


Fig. 1 The phases of a collision: initially the two bubbles approach each other and the film drains under a constant pressure. Depending on the collision angle, the two bubbles might rotate. The third phase is the separation process. In all phases there is an interplay between capillarity and hydrodynamics.

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positive to negative. For coalescence to occur, the total contact time between the two droplets or bubbles must be longer than the drainage and rupture time of the TLF. Coalescence has



been extensively studied at the droplet level, using mostly the 4-roll mill⁷ and the droplet-probe AFM.⁹ However, these techniques do not have direct access to the details at the level of the interstitial film and the dynamics of film thinning are often inferred by comparing accessible measured properties, such as droplet trajectories, coalescence times and exerted forces, to simulations.

The most common method to directly visualise the TLF and measure its thickness is interferometry.^{10–13} Its coupling with the thin film balance technique has been used since it was introduced by Sheludko in the 1960's, mainly to study the surface forces in equilibrium TLFs.^{10,14,15} More recently, it was used to study the complex interplay between hydrodynamics and dynamic deformation of surfaces that results in effects such as the formation of a dimple formation,¹⁶ evolution of fluctuations,¹⁷ spatiotemporal changes in surface stresses¹⁸ and a wide range of instabilities.^{19–23} So far almost all of the TFB studies involved the drainage of TLFs under a small constant pressure, which is controlled by the radius of the cavity where the film is formed. Two notable exceptions exist,^{24,25} which involved the forced drainage of foam films due to an extra pressure or flow rate applied by a syringe pump. Recently, competing droplet techniques were also coupled with interferometry, thus allowing a simultaneous force measurement and film visualisation.^{26,27} Although these studies have been crucial in elucidating the effect of surface stresses and forces on drainage, they have so far provided information relevant to the first part of the collision process, *i.e.* the approach phase. The experimental conditions necessitated unequally sized bubbles, with one of them staying undeformed during drainage and hence only head on collisions at high speeds (in the range of $10^2 \mu\text{m s}^{-1}$ to 1 mm s^{-1}) were studied.

However, the second part of the collision, when the centres of mass of the bubbles or droplets separate, has been more difficult to investigate, yet it also plays a non-trivial role in coalescence. Leal and coworkers⁷ were the first to report that coalescence can occur between two droplets even when their centres of mass start to move away from each other. They attributed this behaviour to a change in the sign of the hydrodynamic pressure inside the interstitial film. This induces localised deformations of the droplets that actually decrease the local distance in the interstitial film as the centres of mass move away. The first observation of this local deformation was done by Bremond *et al.*²⁸ while studying in a microfluidic platform the separation of two droplets that were initially into close contact. Lai *et al.*²⁹ and later Chan *et al.*³⁰ modelled this process, elucidated the dynamic nature of the deformation, and provided criteria for the stability of two separating droplets. The change in the hydrodynamic pressure gradient causes an inversion of curvature close to the rim of the film, which results in a local reduction in the separation distance between the two bubbles. It was shown that the deformation (or the local reduction in separation) evolves non-monotonically with time. Coalescence occurs when it is fast and pronounced enough to counteract the imposed separation. The models, despite the fact that they consider only the overall droplet deformation and

not the film area and its retraction dynamics, were able to capture the general aspects of the AFM and microfluidic experiments that followed.^{31,32} However, a more detailed study of the local film dynamics is needed to improve our understanding and modelling of separation-driven coalescence.^{29,32} Because of the critical importance of capillarity to film retraction, it is imperative that this process is studied under direct film visualisation for two equally deformable surfaces. Since the studies mentioned above, separation-driven coalescence has been extensively used in microfluidic devices for the controlled production of droplets,^{33–35} has been related to avalanche phenomena and the phase-inversion of emulsions,^{36–38} and has been shown to affect the morphology of polymer blends.³⁹

Despite the significance of this process, no study has so far addressed the detailed retraction dynamics of free-standing films, *i.e.* their behaviour when a change in the pressure gradient causes their thickening. In the present work we will use direct film visualisation during the retraction phase to elucidate the interplay between the destabilising dynamic deformation and the imposed hydrodynamic conditions. Earlier studies related to film retraction that involved direct film visualisation by interferometry have focused on supported films formed between a deformable interface and a solid surface.^{40–42} However, the behaviour of supported films has been found to be remarkably different compared to free-standing ones, *i.e.* those studied by the thin film balance technique or those formed between two droplets/bubbles. Specifically:

- The van der Waals disjoining pressure across two liquid/solid or air/solid interfaces is typically repulsive.⁴³ Thus, film rupture cannot be examined and the interplay between drainage and surface forces is expected to be different.^{41,44}
- The stress-boundary conditions in the upper and lower surface of the film are different, as the no-slip boundary condition almost always applies for the liquid/solid surface, while this is not the case for the air/liquid interface.^{18,45} Thus, the velocity profiles and the hydrodynamic forces will be different.
- The coupling of hydrodynamics to capillarity will be different as the lower surface is non-deformable and the asymmetry will play a major role.⁴
- The structural forces in a film near a solid wall have been found to be different than between two deformable surfaces.⁴⁶
- The thinning velocities involved in these studies are usually much higher than those in the TFB technique (with the exception of certain studies done at very low approach speeds^{12,47}).

Based on the current insights in separation-driven coalescence, it is safe to assume that film retraction may be as complicated as drainage, where small changes in the deformability, surface forces and stress-boundary conditions, can have substantial effects in the dynamics of the films.^{4,48} In the present work a freestanding thin film is used (i) to further study the interplay between capillarity and hydrodynamics in retraction (and later also the effect of surface forces) and (ii) to establish criteria for rupture during bubble separation and film thickening. These two effects can be studied in their full relevance only in free-standing films or in films formed between two deformable interfaces. A bike-wheel version of the thin film balance technique that was developed



by Cascão-Pereira *et al.*⁴⁹ is modified to study the dynamics of free-standing TLFs. The precise control of the pressure inside the film allowed us to study both the drainage and the retraction dynamics. Model systems consisting of non surface active polymer-solutions were studied, in which the viscosity can be varied. The simultaneous use of interferometry makes it possible to visualise the films and to decouple the effects that capillarity and hydrodynamics have on film dynamics. Criteria for the occurrence of film break-up during retraction were established and the optimum conditions for separation-driven coalescence were thus determined.

2 Materials and methods

2.1 Materials

The polyisobutylene grade in this study (BASF Oppanol B10SFN) has a weight-average molar mass of 36 kg mol^{-1} and a polydispersity index of 3.⁵⁰ It is stabiliser-free and IR spectroscopy showed no presence of heteroatoms. *n*-Hexadecane was purchased from Acros Organics and has a purity of 99%. Hexadecane is a good solvent for polyisobutylene, with a Flory interaction parameter of $\chi \approx 0.35$.⁵¹ Solutions of four different concentrations were prepared (1, 5, 10, and 15 wt%). A critical overlap concentration of $c^* = 10 \text{ wt\%}$ was calculated from equation:⁵²

$$c^* = \frac{3M_w}{4\pi R_G^3 N_A} \quad (1)$$

where M_w is the weight-average molecular weight, N_A is the Avogadro number, and R_G is the radius of gyration. The last is $R_G = \sqrt{[(b^2 C_\infty M_w)/(3M_u)]} = 5.7 \text{ nm}$, where b is the C-C bond length, C_∞ is the characteristic ratio, and M_u the molecular

weight of the repeating unit.⁵³ For polyisobutylene, it is $b = 0.1505 \text{ nm}$,⁵⁴ and $C_\infty = 6.7$.⁵⁵

From dynamic light scattering measurements (ALV CGS3) compact goniometer with 22 mW HeNe laser light source at 25°C a hydrodynamic radius of $R_H = 5.5 \pm 0.1 \text{ nm}$ was determined (average of 3 measurements). The bulk viscosity of all solutions was measured in an Anton Paar MCR302 rheometer with the double-gap Couette-cell geometry. The Newtonian flow curves of all polymer solutions were obtained for a shear rate of $10\text{--}100 \text{ s}^{-1}$ at 25°C . At least three measurements were done for each solution. The surface tension of all samples was measured at 25°C using a Wilhelmy plate with a width of 19.62 mm and a thickness of 0.1 mm mounted on a balance (KSV Nima). Three measurements were conducted for each solution. The obtained values of the viscosity and surface tension are shown in Table 1.

2.2 Methods

The dynamic thin film balance (TFB, Fig. 2a) technique consists of four main components: (i) an upright fixed-stage microscope, (ii) a pressure control system, (iii) an in-house fabricated aluminium pressure chamber, in which the (iv) bike-wheel microfluidic device is placed. The bike-wheel chip is a custom designed microfluidic device based on the initial design of Cascão-Pereira *et al.*⁴⁹ It is fabricated using photolithography on borosilicate glass (by Micronit Microfluidics). It consists of: (i) a diamond-drilled hole with a diameter of 1 mm and a thickness of $400 \mu\text{m}$, (ii) 25 channels (width of $45 \mu\text{m}$ and depth of $20 \mu\text{m}$) connected to the hole, all leading to a circular channel of larger dimensions. The chip is glued onto a titanium holder using two-component epoxy. To ensure that the contact line between the liquid and the glass is pinned, the bike-wheel's outer surface is first hydrophilised by immersing it in a saturated NaOH ethanol solution and leaving it under micro-sonication for 20 min, and then hydrophobised with octadecyl-trichlorosilane. More details regarding the bike-wheel microfluidic device and its fabrication can be found elsewhere.⁵⁸ The pressure inside the chamber is controlled by an Elveflow MK3+ piezoelectric pressure control system which has a resolution of 1 Pa and a maximum pressure of 20 kPa . The response time is $O(10^{-2} \text{ s})$, while the settling time after an initial overshoot (of $\sim 20\%$) is

Table 1 Properties of the polyisobutylene-in-hexadecane solutions

Concentration (wt%)	Viscosity (mPa s)	Surface tension (mN m ⁻¹)
0	3.1 ± 0.1 ^{56,57}	27.4 ± 0.1
1	3.5 ± 0.1	27.3 ± 0.1
5	7.7 ± 0.1	27.3 ± 0.1
10	18.3 ± 0.2	27.4 ± 0.1
15	37.7 ± 0.3	27.4 ± 0.1

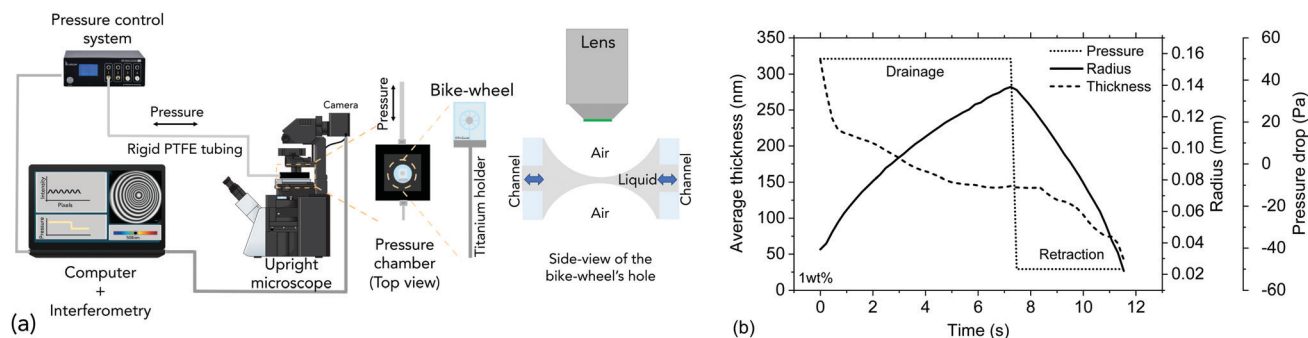


Fig. 2 Experimental approach: (a) the dynamic thin film balance (the various components are explained in the main text). (b) The applied pressure drop $\pm \Delta P$ and the resulting evolution of film radius and thickness. The time that is allowed for the first phase mimicking drainage is varied during our experiments. The dynamics of the film during "drainage" and "retraction" are monitored by measuring the film dynamics.



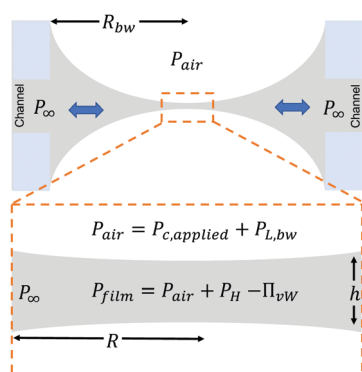
$$0.999P_{\text{c,applied}} + \Delta P + \frac{2\sigma}{R_{\text{bw}}} = P_{\text{H}}(h, r) + P_{\infty} - \Pi_{\text{vW}}(h, r) + \frac{\sigma}{2r} \frac{\partial}{\partial r} \left(r \frac{\partial h}{\partial r} \right) \quad (3)$$

The experimental protocol involves a first forced drainage of a film under a positive pressure difference across the film, followed by the retraction of the film because of an abrupt change in the sign of pressure. Therefore, it is equivalent to the procedure followed in the microfluidic experiments of Bremond *et al.*²⁸ and Gunes *et al.*³² of approaching and separating droplets. The first time interval ($+\Delta P$), during which the film drains and expands, corresponds to the approach phase of a collision between two bubbles. The second time interval ($-\Delta P$), during which the film retracts (reduction in radius), corresponds to the separation phase of a collision. In the sections that follow we will first explain the main experimental results and then specifically focus on the drainage ($+\Delta P$) and retraction ($-\Delta P$) dynamics of the films. The consequences of our study on droplet and bubble coalescence will also be discussed.

3 Results and discussion

3.1 Film dynamics

The outcome of the $+\Delta P/-\Delta P$ cycle depends on the dynamics during both drainage and retraction. The evolution of the thickness and radius of several 5 wt% TLFs is shown in Fig. 4. The radius, R , was determined assuming that the end of the film region occurs at the first change of the order of interference (maximum intensity of the inner white ring for a 0th order of interference in the film). The thickness corresponds to the average one determined from the thickness profiles, according to $h = \int_{-R}^R h(r) dr$. During the drainage phase, the film thins while expanding, as expected. In this regime, the drainage and film expansion curves of all films coincide, confirming the very good pressure control in our experiment. At a certain point in time, which is different for each measurement shown in Fig. 4, the sign of the pressure step is changed. The resulting hydrodynamic pressure gradient causes the gradual reduction in the film radius. This observed reduction is caused by the inflow of



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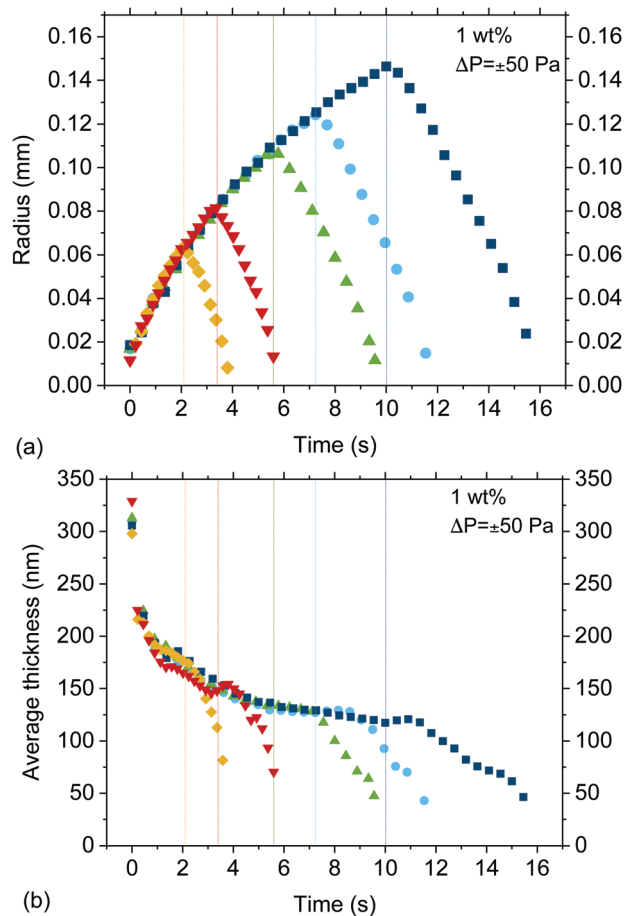


Fig. 4 Thin film dynamics: (a) the evolution of the radius of various 1 wt% films as a function of time for $\Delta P = \pm 50$ Pa and different imposed drainage times. The maxima correspond to the end of the drainage phase and the start of the retraction. (b) The corresponding average thickness, as determined from the thickness profiles of the films. Despite the change in the pressure sign, film thinning continues in a faster rate. A time difference between the change in the ΔP (shown as vertical lines) and the onset of thinning is observed, which is caused by the time-dependency of the surface deformation.

liquid from the Plateau border towards the outer rim of the film. However, the centre part of the film, which is accessible to interferometry as it has a thickness in the range of 10^1 – 10^2 nm, continues to thin (Fig. 4). This is a manifestation of the same dynamic deformation that has been reported by various researchers during the separation of two droplets.^{28–31,61}

The interplay between capillarity and hydrodynamics, can be clearly seen in the time evolution of the thickness profiles of a retracting 5 wt% film (Fig. 5). In Fig. 5 both the thickness of the film (as determined using eqn (2)) and the thickness of the Plateau border are shown. The latter is determined from the interference fringes, given that the distance between two consecutive intensity maxima corresponds to a thickness difference of $\lambda/(2\pi n_f)$. The lower surface of the film is plotted assuming that it is symmetrical to the upper one that is visualised by interferometry. When the $-\Delta P$ is applied (at $t = 7.47$ s), the pressure in the Plateau border becomes larger than both the $P_{L,bw}$ and the P_H inside the film. The new

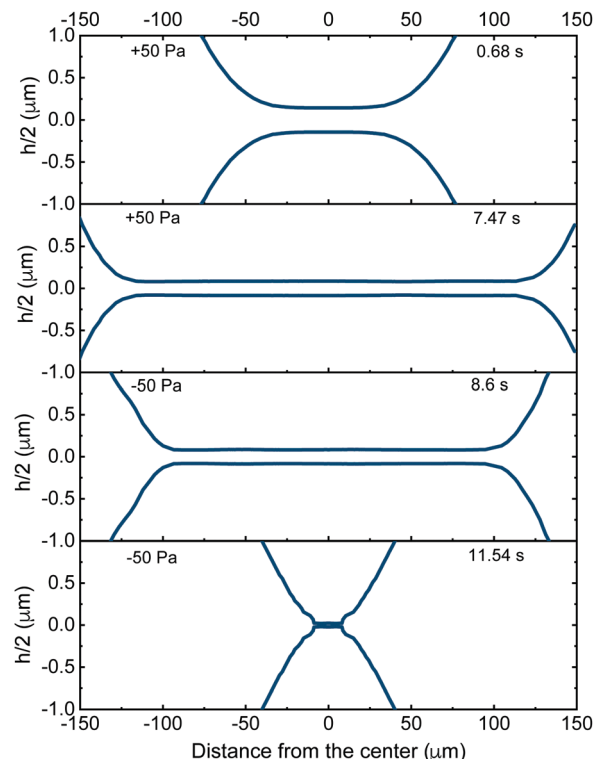


Fig. 5 Dynamics of the Plateau border: the thickness profiles of a 5 wt% film draining and subsequently retracting at a $\Delta P = \pm 50$ Pa. The pressure sign was changed at $t = 7.47$ s. At $t = 11.54$ s the film ruptured. Pronounced surface deformation at the moment of rupture can be observed.

hydrodynamic conditions cause the flattening of the Plateau border that tends to reduce the thickness at the centre of the film. At the same time, there is an inflow of liquid that gradually thickens the outer rim of the film (observed as a reduction in film radius). In this specific case, the dynamic deformation was so pronounced that it counteracted the inflow of liquid. At $t = 11.54$ s the reduction in film thickness due to the overall deformation was so high that the critical thickness, h_{crit} , was reached and rupture occurred. The evolution of the shapes of the Plateau border and of the film are both controlled by the pressure balance of eqn (3). However, in the film region the P_H and Π_{vw} are significant and contribute to the local deformation, while in the Plateau border they are negligible. To enable a better understanding of the involved processes, we will address separately the deformation in the film (local protuberance, $h < 100$ nm) and the Plateau border region (change in the curvature, $h > 100$ nm).

Given the good spatiotemporal resolution of the dynamic TFB technique, film retraction dynamics can be studied in a way that was previously inaccessible. Various effects that have not been reported before can be seen in Fig. 4 and 5:

- A second mode of deformation, *i.e.* a hesitation or a shoulder in the thickness profile $h(t)$, can be observed close to the rim of the film just before rupture (Fig. 5).
- The rate of thinning (dh/dt) and the radial velocity (dR/dt) is faster during the retraction phase ($-\Delta P$) than in the drainage phase ($+\Delta P$) (Fig. 4). This effect is similar to the hysteresis in



force between approach and retraction that has been observed in droplet-probe AFM experiments.⁴

- The outcome of a $+\Delta P/-\Delta P$ cycle, *i.e.* whether the film will rupture or not, depends not only on the ratio of capillary to hydrodynamic forces during the retraction phase, but also on the film characteristics when the $-\Delta P$ is applied. The hydrodynamic pressure inside the film is a function of thickness, and thus the magnitude of the observed phenomena will depend on the extent that drainage has proceeded during the initial forced drainage, $+\Delta P$ phase. For the five measurements shown in Fig. 4, ruptured only occurred for $t_d \geq 7.2$ s.

- The outcome of an approach/retraction cycle is very sensitive to the magnitude of the $\Pi_{\text{vw}}(h)$, and thus in simulations it depends heavily on the Hamaker constant used (retarded or non-retarded).⁶² The main effect of Π_{vw} in such simulations is to set the critical thickness for rupture, h_{crit} ,⁶³ often estimated by balancing the Laplace pressure of the undeformed droplet to the attractive Π_{vw} . This procedure results in $h_{\text{crit}} = [(R_{\text{bw}}A_{\text{H}})/(12\pi\sigma)]^{1/3}$, where A_{H} is the Hamaker constant. However, film retraction involves significant surface deformations and non-negligible hydrodynamic effects and the validity of this equation can be questioned.⁶⁴

In the following sections we will separately address the various effects described above.

3.1.1 Drainage dynamics. Drainage dynamics can be assessed independently of the following retraction if the time allowed for the first $+\Delta P$ phase is large enough to cause the thinning of the film down to its critical thickness. Such experiments are imitations of the pressure profile built inside the film between two constantly approaching bubbles. As mentioned in the introduction, film drainage of various TLFs has been extensively studied in the past. However, in most of the studies film drainage is caused by a small pressure drop that corresponds to Capillary numbers, $\text{Ca} = \Delta P/P_{\text{L,bw}}$, in the range $10^{-3} < \text{Ca} < 10^{-2}$. Although one of the new approaches employed here was to apply pressure drops that result in a much wider range of Capillary numbers, $0.1 < \text{Ca} < 10$, a complete investigation of the drainage dynamics is beyond the scope of this study. Nevertheless, the main aspects of film dynamics during the initial $+\Delta P$ phase cannot be overlooked, as drainage essentially sets the starting conditions for retraction. Drainage is usually described by the generalised Reynolds equation:^{4,65}

$$\frac{\partial h(r,t)}{\partial t} = \frac{1}{12\eta r} \frac{\partial}{\partial r} \left(rh^3 \frac{\partial P_{\text{H}}}{\partial r} \right) - \frac{1}{r} \frac{\partial}{\partial r} (rhU_{\text{s}}) \quad (4)$$

where t is the time, r the radial distance (in cylindrical coordinates), $P_{\text{H}}(h,r)$ is the hydrodynamic pressure, and $U_{\text{s}}(h,r)$ is the surface velocity, describing deviations from the no-slip boundary conditions. The hydrodynamic pressure is defined by the pressure balance of eqn (3).

The coalescence times, t_{c} , for forced drainage are shown in Fig. 6b as a function of the different pressure drops. The same trends are observed for all concentrations. For $\Delta P < P_{\text{L,bw}}$, drainage is slow and there is no strong dependency on ΔP . In this regime, capillary forces related to the macroscopic curvature ($P_{\text{L,bw}}$) have been found to control drainage⁸ and

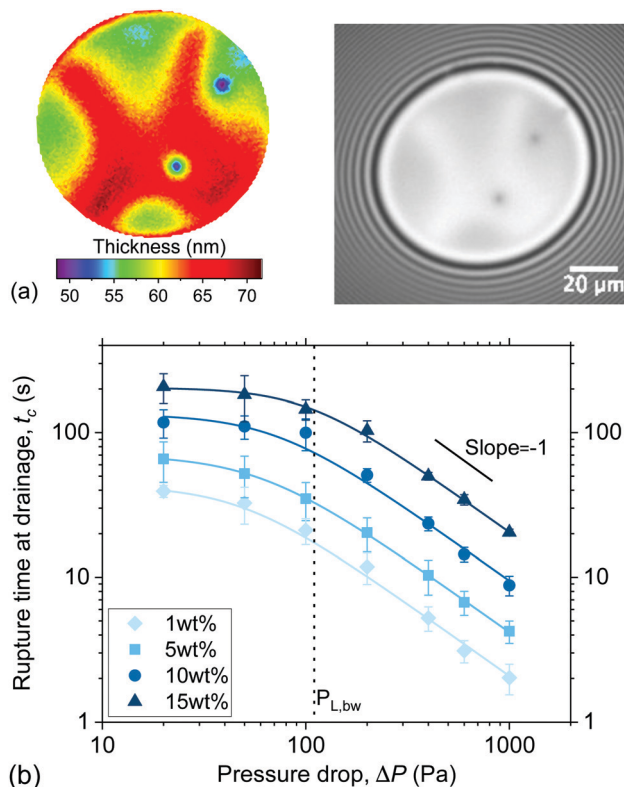


Fig. 6 Film drainage: (a) interferometry image of a 5 wt% film draining at 50 Pa and the corresponding 3D thickness plot. Thickness corrugations and dark domains can be observed. (b) Coalescence times of all polymer solutions as a function of applied pressure drop. The measurements were done at constant ΔP to assess the dynamics of the films during drainage. Adapted from ref. 67.

the films were either planar or only slightly dimpled. For $\Delta P \gg P_{\text{L,bw}}$, we cross over to a regime where the hydrodynamics dominate. Here, the t_{c} is inversely proportional to ΔP in line with eqn (4) (based on eqn (3) for large pressure steps it is $\Delta P \approx P_{\text{H}}$). In this regime, the films become pronouncedly dimpled, *i.e.* a thicker centre with a thinner rim develops. The observation of Ca-dependent regimes is a result of a well-known interplay between capillarity and hydrodynamics.^{4,16,64} During drainage, the outflow of liquid causes lower pressures where the velocities are high, leading to the formation of a dimple at the centre of the film and a thinner relatively planar region near its edge. Regardless of ΔP , rupture was preceded by the formation of dark spots which for low ΔP had a thickness slightly larger than $h \simeq 4R_{\text{H}}$ (Fig. 6a). The observation of these dark spots is an indication that osmotic pressure effects are present in the films and slow down drainage.⁶⁶

For the range of pressure drops investigated, a $t_{\text{c}} \propto \eta$ relationship was observed, in agreement with the Stokes flow regime which underpins eqn (4). At low ΔP the film can be roughly approximated as planar due to the absence of a dimple. Integration of eqn (4) for a constant radius allows us to quantify the surface velocity by means of a mobility factor.⁶⁸ For all films the mobility factors, n where found to be much smaller than what expected for the no-slip condition ($n = 2$), with n of



$O(10^{-1})$. Thus, no significant surface-stresses are observed in the films, agreeing with absence of surface active components in our system. Although the general behaviour of the films was found to be in accordance with the predictions of continuum models, in certain cases drainage was qualitative different. For concentrations close to c^* , and $\Delta P \sim P_{L,bw}$, drainage was not accompanied by the usual dimple formation. Rather, the dimple became unstable during drainage, and was washed out of the film. Thickness corrugations were then observed (Fig. 6a), which at high ΔP could take the form of vortices. The same observations have been made in surfactant-stabilised foam films and have been attributed to surface Marangoni stresses.^{69,70} In the polymer films they are caused by concentration gradients in the film, as well as by possible concentration differences between the film and the surrounding Plateau border, both of which give rise to osmotic pressure differences and stress inhomogeneities.

Similar osmotic pressure effects have been reported for other systems by various researchers.^{21,46,66,71,72} The osmotic pressure first contributes to the disjoining pressure by giving rise to structural forces, thus hindering drainage. Second, it can give rise to depletion effects, thus accelerating or decelerating drainage depending on the sign of the osmotic pressure gradient.^{21,46,72} In our experiments, we observed that the latter dynamic effect was negligible for all concentrations. Although as mentioned earlier, films with concentration close to c^* where more prone to show asymmetric drainage, their drainage times did not deviate from the relative increase expected from the higher bulk viscosity. Similarly, the viscosity scalings of the drainage time and the film expansion (ESI†) indicated that the contribution of osmotic effects to the disjoining pressure was equal for all films, at least for the concentration ranges, molecular weights and molecular weight distributions investigated here.

A final aspect is the film expansion. In our experiments, the radius of the film does not remain constant but gradually increases until an equilibrium value is reached, just before rupture, R_{eq} (ESI†), and is equal to the one resulting from a pressure balance at the Plateau border:^{24,73}

$$P_{L,bw} + \Delta P = \frac{2\sigma R_{bw}}{R_{bw}^2 - R_{eq}^2} \quad (5)$$

where the effect of contact angles has been neglected. The rates of film expansion for all ΔP were found to be proportional to the applied pressure drop, $dR/dt \propto \Delta P$, and inversely proportional to the viscosity, $dR/dt \propto \eta^{-1}$ (ESI†). Therefore, the expansion of the film is controlled by the pressure difference between the total pressure inside the film and P_∞ . The radius of the film has a significant influence on drainage, given that $t_d \propto R^2$ (eqn (4)). Film expansion influences the next phase of retraction in two ways. First, by affecting the thickness of the film (and thus the separation distance between the opposing surfaces) when the $-\Delta P$ is applied. Second, by controlling the magnitude of the pressure gradient, $\sim \Delta P/R$ and, thus the inflow or outflow of liquid.

3.1.2 Retraction dynamics. Film retraction is more complex than drainage, although both involve an interplay between

hydrodynamics and capillarity. Yet, a significant complication arises from the dependence of the retraction on the initial conditions set by drainage, as this dictates what happens near the edge of the film, where the latter meets the Plateau border. The radius of the film is still controlled by a pressure balance at the Plateau border. The radial velocity dR/dt is observed to be different during drainage and retraction (Fig. 4a and ESI†). The faster film contraction is caused by the combined effects of the cubic thickness dependency of Π_{vw} and the capillary forces due to the changes in curvature, which both contribute to the total pressure inside the film. Depletion interactions might also have a minor contribution, however such effects are expected to increase with applied pressure,⁴⁶ while in our case the acceleration is observed at low ΔP , where Π_{vw} are expected to dominate over the other pressure contributions. The role of Π_{vw} was examined in the numerical simulations of Berry and Dagastine.⁶² The authors used the Young–Laplace–Stefan–Reynolds model to study the separation-driven coalescence of two bubbles. They observed significant differences in the process when the retarded Hamaker constant was used instead of the non-retarded one. The Hamaker constant also affects the critical thickness, and thus the sensitivity of simulations depends on the chosen criterion for rupture as will be discussed later. It is nevertheless evident that the contribution of Π_{vw} in the P_{film} cannot be neglected, especially when it is comparable to the pressure drop that drives film thickening. Another effect caused by the Π_{vw} is a shape distortion of the Plateau border just before rupture (Fig. 7).

The time-dependence of the detailed surface deformation could be investigated experimentally in our work. In all of our experiments we observed that the curvature of the Plateau border increased gradually with time, at a rate that depended strongly on the initial conditions at the onset of retraction. In the film region, the maximum deformation, *i.e.* the most pronounced protuberance observed as a minimum film thickness, was always observed for the smallest radius ($\sim 20 \mu\text{m}$). Directly after that, the thickness of the film increased abruptly to $h > 1 \mu\text{m}$ (upper limit of interferometry). This increase in the separation distance between the two surfaces, observed as a transition from a thin to a thick liquid film, took place faster than the temporal resolution of our technique ($\sim 10 \text{ ms}$). Simulations and theoretical models indeed predict such a clear non-monotonic behaviour. However, analytical models predict a rapid decrease in the separation distance followed by a gradual increase.^{29,30} In contrast, numerical simulations predict the a gradual decrease in the separation distance followed by its rapid increase.^{30,61} Although the latter is in qualitative agreement to our observations, one notable difference is that the re-equilibration of the surface's shape occurs much faster in our experiments, which are pressure rather than velocity controlled.

The thickness profiles of a 5 wt% film (solid lines) and the surrounding Plateau border (dashed lines) at the onset ($t = 7.47 \text{ s}$) and end ($t = 11.54 \text{ s}$) of retraction are shown in Fig. 7a. The change in the pressure sign gradually changes the curvature of the Plateau border and its shape close to the film, the net effect of which cause a reduction in the local thickness



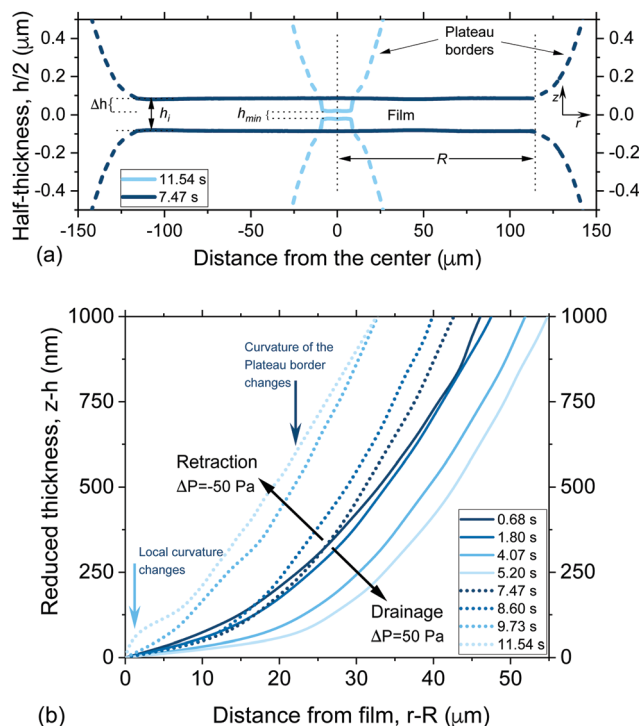


Fig. 7 Evolution of the profile of the Plateau border: (a) thickness profiles of a 5 wt% polymer solution film at the onset and end of retraction. The main measured film properties, as explained in the main text, are indicated. (b) The reduced thickness ($z - h$) in the Plateau border region for the same film as a function of distance from the end of the film. Solid lines correspond to the drainage phase, while the dotted ones to the retraction phase.

of the film, equal to Δh . The shape of the Plateau border for a 5 wt% film (dashed line in Fig. 7a) before and after the change in the sign of the pressure drop is shown in more detail in Fig. 7b. The time dependence of the reduced thickness profiles, obtained after subtracting the thickness at the outer rim of the film ($z - h$) is plotted as a function of the distance from the edge of the film ($r - R$). Thus, the effect of deformation on film thickness is neglected and the change in the curvature of the Plateau border can be independently assessed. During drainage, the curvature of the Plateau border gradually increases, as the film expands towards its equilibrium radius (eqn (5)). The change in the pressure sign causes an initial abrupt increase in curvature observed as a flattening of the Plateau border (dark blue arrow). This flattening gets more pronounced as retraction proceeds. A second mode of deformation, *i.e.* a shoulder close to the radius of the film, can be observed just before rupture (light blue arrow). This deformation is the result of the dominance of the attractive $\Pi_{\text{vw}}(h)$ over the other pressure contributions inside the film. It is caused by the increasing van der Waals interactions as the dynamic surface deformation causes the reduction of the film's thickness down to its critical value.

In our experiments, the change in the pressure sign was always accompanied by a collapse of the dimple and the abrupt transition to a planar film. This instability was observed for all the pressure drops applied. It occurred even at thicknesses

larger than 100 nm where surface forces are negligible. The dimple washout is a hydrodynamic instability that has been observed in various other systems during film drainage at constant pressure.^{19,25,74} In our case, the dimple washout was fast and was triggered by the pressure change. It had a catastrophic effect on the thickness of the film and, thus facilitated film rupture during retraction. In contrast, simulations predict a gradual change in thickness³¹ and the hydrodynamic stabilisation of retracting films can be overestimated.

3.1.2.1 Effect of viscosity. The relative contributions of capillarity and hydrodynamics can be decoupled as we study films of different viscosities but with the same surface tension (see Table 1), while imposing the same $+\Delta P/-\Delta P$ cycle (equal to ± 50 Pa). In this way the net surface deformation in the film, measure as Δh , remains the same,²⁹ while the increasing viscosity of the film is expected to decelerate the inflow from the Plateau border to the film. The negligible viscosity of the outer phase (air) also ensures that the increase in the viscosity does not influence the surface velocity (eqn (4)). However, this might not be the case in emulsion films, where the viscosity ratio of the inner and outer phase influences the momentum jumps across the interface⁷⁵ and keeping this one constant would be more difficult. The $+\Delta P/-\Delta P$ cycle will result in film rupture when capillary forces are strong enough to counteract the inflow of liquid and cause a decrease in the separation distance down to the critical thickness. In our experiments, the maximum surface deformation observed in the film region, *i.e.* the most pronounced protuberance, can be evaluated by subtracting the minimum attainable film thickness from the initial one at the start of the retraction phase, $\Delta h_{\text{max}} = h_i(t_d) - h_{\text{min}}$ (Fig. 7a). At rupture the gradual reduction in film thickness is interrupted at $\Delta h_{\text{crit}} = h_i(t_d) - h_{\text{crit}}$. The same maximum observed deformation, within experimental error, was observed for all solutions. However, the evolution of the deformation was different, *i.e.* Δh_{max} was attained at longer times as the film viscosity was increased. As a result, the Δh_{crit} increased linearly with viscosity (Fig. 8a), in line with simulation results.^{29,30}

The magnitude of the surface deformation of the film and its evolution depend on the 'initial' thickness before the reversal of the pressure. A linear relation between Δh_{max} and h_i was observed (Fig. 8b). Furthermore, a critical h_i/R_i was found to exist, above which rupture did not take place (Fig. 8c). The critical h_i/R_i was proportional to viscosity. For a given $-\Delta P$, the inflow of liquid from the Plateau border towards the film decreases with η . Thus, the hydrodynamic forces in the film decrease and stabilisation becomes more difficult. Similar results have been reported in previous droplet-probe AFM studies that involved the separation of two droplets at a constant speed.^{4,31} It was observed that the occurrence of coalescence depended sensitively on the initial distance between droplets. In our experiments, the separation speed corresponds to the thickening of the film. Therefore, it is not constant but depends on the pressure gradient $\Delta P/R$, the thickness of the film and its viscosity.

The critical h_i/R_i can be related to a critical drainage time, $t_{d,\text{crit}}$, that must have elapsed before film retraction is



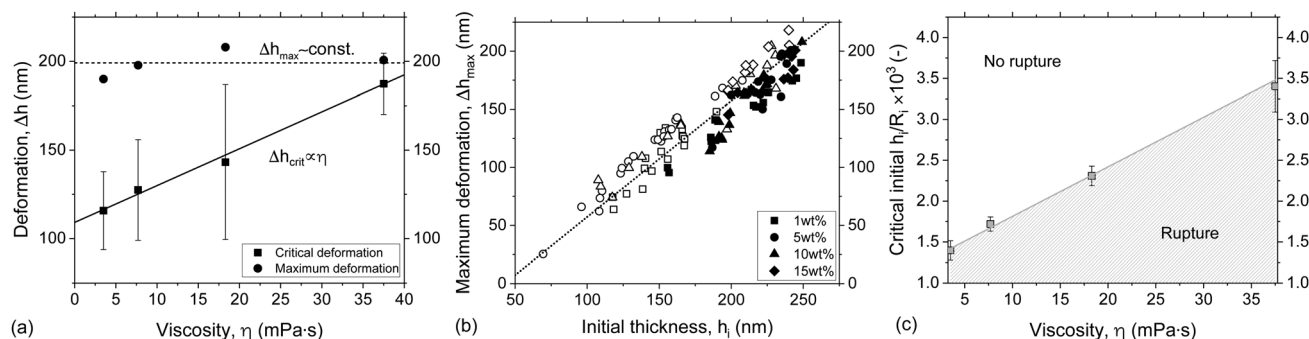


Fig. 8 Effect of viscosity on local film deformation: (a) the critical and maximum deformation in the film as a function of viscosity. (b) The largest deformation observed during a $\pm\Delta P$ cycle for all films as a function of the film thickness at the pressure change. Open symbols correspond to films that did not rupture (maximum deformation), while the filled symbols to ruptured films (critical deformation). (c) The critical initial thickness-to-radius ratio as a function of viscosity.

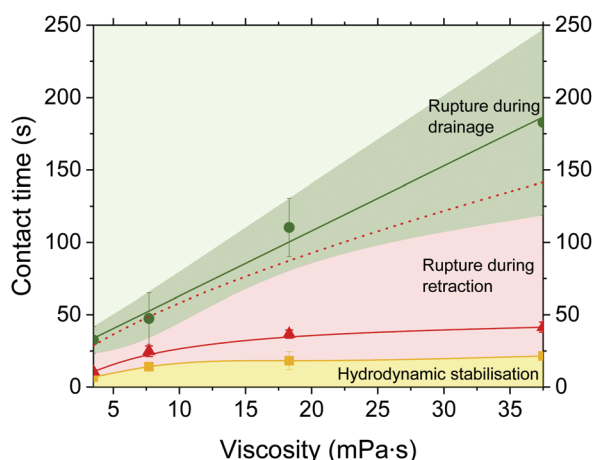


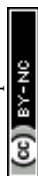
Fig. 9 Critical contact time versus viscosity for $\Delta P = \pm 50$ Pa cycles: the rupture times when only a $+\Delta P$ is applied are also shown for comparison (green line). Hydrodynamic stabilisation regime (yellow area), rupture during retraction (red area) and rupture during drainage (green area). The critical drainage time, below which rupture does not occur is shown as the yellow line. The distribution of imposed drainage times results in a distribution of rupture times at retraction, the minimum and maximum values of which are shown as a solid and dotted red line, respectively.

started (Fig. 9), and thus obtain coalescence maps similar to simulation results of Berry and Dagastine.⁶² This drainage time results in a total contact time that is the sum of $t_d + t_r$, where t_r is the retraction time, *i.e.*, the time between the application of $-\Delta P$ till rupture. The rupture times under the application of $+\Delta P$ (as in Fig. 6b) are also shown for comparison. The $+\Delta P/-\Delta P$ cycle can either lead to rupture or not, depending on the imposed t_d . Three different regimes are observed. For $t < t_{d,\text{crit}}$ (solid yellow line), the elapsed t_d is not enough to allow the drainage of the film down to the critical h_i/R_i . Therefore, for this range of t_d , retraction does not result in rupture. The inflow of liquid overcomes the dynamic deformation and the film is hydrodynamically stabilised. This regime of hydrodynamic stabilisation is depicted as a yellow area. For $t \geq t_{d,\text{crit}}$ the elapsed drainage time is adequate to reach a h_i/R_i smaller than the critical one of Fig. 9. If the change in the pressure sign is done after this $t_{d,\text{crit}}$ then rupture will occur as capillary forces

overcome the hydrodynamic ones. The distribution of elapsed t_d results in a distribution of rupture times during retraction (red area). The minimum film lifetime is shown as a solid red line. This line corresponds to the sum $t_d + t_r$. Therefore, it is the minimum possible rupture time that can be achieved at retraction and corresponds to the film lifetime if the imposed drainage time is $t_{d,\text{crit}}$. The maximum rupture time observed after a $+\Delta P/-\Delta P$ cycle is shown as a dashed red line. The rupture times if only $+\Delta P$ is applied are shown with the solid green line. The stochasticity of the rupture process results in a distribution of film lifetimes, shown here as both error bars and a green area. The efficiency of retraction to facilitate rupture can be assessed by comparing the red ($+\Delta P/-\Delta P$ cycle) to the green area ($+\Delta P$ only). It is evident that rupture can occur much faster if the film drainage is followed by retraction. This is in agreement with the observation that coalescence in microfluidic platforms can be accelerated by separating two neighbouring droplets.^{28,32} However, applying a $-\Delta P$ might not accelerate rupture if the elapsed t_d before the onset of retraction, is long enough (overlap of green and red dashed line in Fig. 9).

When drainage is followed by retraction, the total time where the film remains stable increases with viscosity. This effect arises from the fact that the involved times and processes have different viscosity dependencies. When only $+\Delta P$ is applied, then the rupture time is linearly proportional to viscosity, $t_c \propto \eta$, in agreement to eqn (1). When a $+\Delta P/-\Delta P$ cycle is applied, then the critical drainage time (yellow line), has a dependency of $t_d \propto \eta^{1/4}$. The minimum rupture time during retraction (solid red line) has a dependency of $t_r \propto \eta^{1/2}$.

3.1.2.2 Effect of pressure. The second parameter that was systematically examined with respect to its influence on the outcome of film retraction was the magnitude of the pressure jumps. Seven different cycles of $\pm\Delta P$ in the range of 20–1000 Pa were applied for various imposed drainage times on the 5 wt% films. By increasing the $-\Delta P$ during retraction, we change simultaneously the magnitude of the surface deformation and the rate of thickening of the film. In contrast to the effects of viscosity, we did not observe a clear dependency between the maximum deformation in the film's surface and the



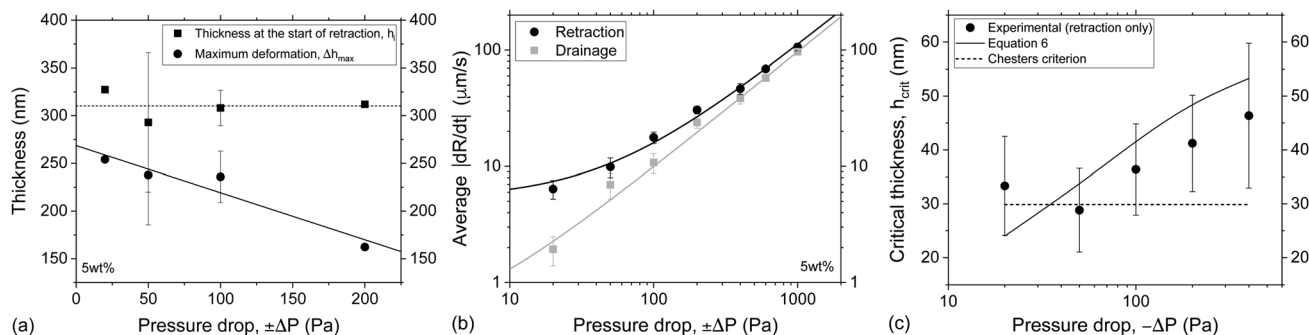


Fig. 10 Effect of magnitude of the pressure jump on local film deformation: (a) the initial thickness of the 5 wt% films at the onset of the retraction phase and the maximum observed deformation as a function of pressure drop. (b) The average rates of film expansion and contraction as a function of pressure drop for the 5 wt% films. (c) The critical thickness for rupture of the 5 wt% films as a function of pressure drops. The predictions of eqn (6) and of Chesters criterion are also shown.

applied pressure. Changing the ΔP during drainage and retraction, does not only influence the surface deformation and the hydrodynamic forces, but also the dependency of both of them on the initial separation conditions. Nevertheless, we chose measurements at which the initial thickness at the onset of retraction was similar ($h_i = 310 \pm 17$ nm) and compared the maximum deformation observed for each ΔP (Fig. 10a). Only pressure drops up to 200 Pa were considered, as for higher ΔP the order of interference at the onset of retraction could not be determined with confidence. A trend of decreasing $\Delta h_{\max}$ with increasing ΔP can be observed in Fig. 10a. As mentioned earlier, the influence of Π_{vw} on the dynamics of the films is twofold. Firstly, it changes the pressure gradient that drives the inflow and outflow of liquid and, thus the radial velocities of the films, and, secondly, it causes film rupture. The average dR/dt as a function of applied pressure is shown in Fig. 10b. Whereas Π_{vw} is negligible during the initial stages of drainage, as the thickness of the film is large, it becomes comparable to ΔP during the whole retraction phase. This effect results in the different evolution of film radius, dR/dt , during drainage ($+\Delta P$) and retraction ($-\Delta P$). As the applied pressure drop increases, the imposed hydrodynamic pressure inside the film dominates over the attractive Π_{vw} . Thus, the measured dR/dt of the drainage and the retraction are equal for $\Delta P \gg \Pi_{\text{vw}}$.

It was also observed that the critical thickness of the film at rupture increases with pressure drop (Fig. 10c). The coupling between capillary fluctuations and hydrodynamic forces at the point of rupture leads to a dependence of h_{crit} on applied pressure drop, equal to:

$$h_{\text{crit}} = h_{c,v} \left(1 + \frac{|\Delta P|}{P_{L,bw}} \right)^{2/7} \quad (6)$$

where $h_{c,v} \simeq 0.268(A_H^2 R^2 P_{\text{film}}^{-1} \sigma^{-1})^{1/7}$ is the critical thickness as defined by Vrij⁷⁶ for the rupture of quiescent films and A_H is the non-retarded Hamaker constant. The increase in h_{crit} as the ratio $\Delta P/P_{L,bw}$ increases has been predicted by Hsu *et al.*,⁶⁴ and similar trends can be observed in various experimental results obtained for drainage at constant pressure.^{12,77–81} This behaviour is the result of the balance between the increasing

$|P_H|$ inside the film and the change in the dominant curvature that sets the capillary pressure as explained in a recent more in depth study of this phenomenon.⁶⁷ The ability of eqn (6) to predict the increase in the critical thickness suggests that rupture does not take place at the points where two opposing polymer molecules interact, but rather at regions where polymer molecules are depleted, in agreement with results on films containing particles by Sethumadhavan *et al.*⁸² If osmotic pressure or steric interactions were at play at the moment of rupture, then the critical thickness should stay constant or even decrease with applied pressure. It thus seems that simple rupture criteria, such as Chesters criterion⁶³ (Fig. 10c) should be avoided when the colliding droplets/bubbles are deformed (regardless of the type of Hamaker constant used) as they predict a constant critical thickness. However, the Chesters criterion can still be used to predict the critical thickness of films when the dominant curvature is set by the radius of an undeformed droplet or bubble, as for example in ref. 83 or in our results at low ΔP .

The effect of pressure on the drainage and rupture times of 5 wt% films is shown in the second coalescence map in Fig. 11 for various pressure steps ($\pm\Delta P$, duration and sign). The colours of lines and areas are the same as in Fig. 9. The times of rupture for forced drainage in the absence of retraction, t_c , give an upper boundary (green line). Compared to t_c , retraction can accelerate film rupture up to a maximum factor of 4 (observed at low pressures). The critical drainage time, $t_{d,\text{crit}}$ shows a slightly non-monotonic behaviour. The $t_{d,\text{crit}}$ shows a maximum at 50 Pa and then gradually decreases with pressure. Moreover, the ratio between the minimum retraction time, t_r , and $t_{d,\text{crit}}$, is maximum at low pressures and gradually increases up to a value of ~ 1 at $\Delta P = \pm 400$ Pa. Both effects are indicative of the increasing importance of Π_{vw} for low ΔP , as explained earlier. Berry and Dagastine⁶² have predicted a similar non-monotonic behaviour for the occurrence of coalescence as a function of approach speed between air bubbles separated by an aqueous film.

For $\Delta P \geq \pm 600$ Pa, it was not longer possible to induce rupture by changing the pressure sign. Rupture only occurred during the drainage phase, and thus in this regime $t_{d,\text{crit}} = t_c$.



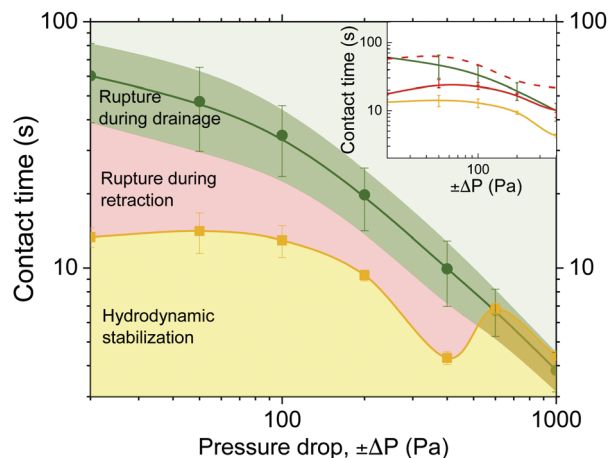


Fig. 11 Critical contact time versus magnitude of the applied pressure drop for the 5 wt% polymer solution: three different regimes are evident, corresponding to no rupture (yellow area), rupture at retraction (red area) and rupture at approach (green area). The various characteristic times up to the critical pressure of 400 Pa are shown in the inset graph. The minimum drainage time needed for rupture to occur during retraction is shown as the solid yellow line. The minimum and maximum rupture times at retraction are shown as solid, and dashed, red lines. The rupture times when only forced drainage was used (positive ΔP) is shown as a green line.

The existence of a critical pressure, above which retraction does not result in rupture is in agreement with existing microfluidic experiments^{7,36} and simulations.⁶² Leal and coworkers, who studied the flow-induced coalescence of droplets with the 4-roll mill technique, were the first to report that droplet coalescence does not occur above a critical Capillary number, the value of which depends on the viscosity of the film and the collision angle.^{7,84,85} In their experiments however, droplet separation cannot be easily decoupled from the initial approach, and increasing the capillary number by the imposed flow rate also reduces the available time for drainage. Gunes *et al.*³² studied the separation-induced coalescence of droplets in microfluidic channels. The experimental procedure that they followed is similar to ours, as two droplets were pushed towards each other for a defined time and then separated at various speeds. It was observed that coalescence did not occur if the separation Capillary number was above a critical value. Vakarelski *et al.*,³¹ who used AFM to study the coalescence of air bubbles in water, did not observe a critical Capillary number in the employed range of separation speeds. Although the existence of a critical pressure, separation speed or capillary number will depend on the droplet size, the present results clearly confirm that for high enough pressure drops, the inflow of liquid causes the hydrodynamic stabilisation of the film in foams.

Capillarity slows down film thinning during the drainage phase through the formation of a dimple.¹⁶ In contrast, capillarity accelerates thinning during retraction by causing the protuberance of the film's surface near the Plateau border. Similarly, hydrodynamics destabilise the film during drainage and stabilise it during retraction. The overall efficiency of the process is controlled by the net ratio of the effects on capillary to hydrodynamic forces. The latter are controlled by the total

pressure inside the film and, as explained earlier, show small differences between drainage and retraction due to the effect of Π_{vw} . Therefore, in our experiments retraction causes rupture more efficiently for $\Delta P < P_{\text{L,bw}}$. Likewise, both theory and experiments show that separation-induced coalescence is more efficient for large droplets.^{29,32} For $\Delta P \sim P_{\text{L,bw}}$ rupture during retraction is still feasible. However, the rupture times of the $\pm\Delta P$ cycle are comparable to those of drainage at constant ΔP . In this regime, the net effect of the capillary and hydrodynamic forces is the same during drainage and retraction. Finally, for $\Delta P \geq \pm 600$ Pa the hydrodynamic forces dominate the process and rupture during retraction is completely prevented. The existence of this critical pressure (which is equivalent to a critical capillary number in flow-induced coalescence) causes the sigmoidal decrease of the coalescence efficiency that has been observed in microfluidic experiments^{32,35,86} when the flow rate is increased.

4 Conclusions

The dynamics of free-standing thin liquid films with polymer solutions of different viscosity was systematically studied using a dynamic thin film balance technique with precise pressure control. The approach and subsequent separation of two bubbles, which causes a change in the sign of the pressure gradient in the separating film, was imitated by directly applying comparable pressure drops ($\pm\Delta P$) in free-standing films. The interplay between capillarity and hydrodynamics that causes rupture during retraction was experimentally assessed. It was confirmed that film retraction is accompanied by a dynamic protuberance in the film's surface, the temporal evolution of which was for the first time experimentally evaluated for free-standing films with attractive van der Waals interactions. The efficiency of this deformation to induce rupture during film retraction depended on the thickness at the end of drainage. A critical thickness-to-radius ratio was found to exist above which, the film is hydrodynamically stabilised. Whether two separating droplets will coalesce or not depends significantly on their initial distance, an aspect which was not yet explored.³¹ In agreement with observations in different systems^{7,36,62} hydrodynamic stabilisation was found to occur when the applied pressure drop was above a critical value.

Rupture during film retraction was found to be more efficient when the imposed pressure drop was smaller than the Laplace pressure exerted by the curvature of the unperturbed Plateau border. Increasing the viscosity of the film also promoted rupture during retraction. Finally, we confirmed the important role of van der Waals interactions in the retraction dynamics,⁶² resulting in a distortion of the shape and accelerated retraction rates just before rupture. In conclusion, the dynamic TFB technique allows us to study film dynamics in a previously inaccessible way. The processes that can be mimicked are not limited in the approach and separation of two droplets. The exact pressure profile that is developed in the film during a glancing collision or during the oscillation of



emulsions can also be imitated. The obtained coalescence maps enable a more accurate inclusion of coalescence criteria in population balance models.

As a final note it should be pointed out that the films in our study contained no surface-active components. The behaviour of films stabilised by surface-active species, in particular during retraction is still an open question. During retraction, Marangoni or viscoelastic stresses are expected to oppose the inflow of liquid and promote the local thinning of the film.⁸⁷ However, surfactants also change the surface tension and hence also capillarity.⁴⁸ Therefore, in films with stress-carrying surfaces, the interplay between capillarity and hydrodynamics that was described here is expected to be even richer. Moreover, the dynamic TFB technique gives access to the shape of the films at various hydrodynamic conditions, a capability that could potentially be utilised to back-calculate the pressure contributions and surface stresses from the thickness profiles by combining methods developed for films draining on surfaces⁸⁸ and for pendant drop elastometry.^{89,90}

Conflicts of interest

There are no conflicts to declare.

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