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## Chemical Characterization of Nanoplastic Particles Formed in Airborne Waste Discharged from Sewer Pipe Repairs

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### Environmental Significance Statement

Microplastic particles are inadequately characterized pollutants that harm the aquatic and atmospheric environments. Even if from the same emission source, microplastic particles of varying sizes are often different with respect to their composition, chemical reactivity and emission mechanisms. This work characterizes individual microplastic particles related to emissions from commonly used technology of trenchless cured-in-place-pipe repairs. Multi-modal particle characterization demonstrates substantial differences between particles of different sizes, indicating their different formation and aging mechanisms.

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**Abstract**

Microplastic particles are of increasing environmental concern due to the widespread uncontrolled degradation of various commercial products made of plastic and their associated waste disposal. Recently, common technology used to repair sewer pipes was reported as one of the emission sources of airborne microplastics in urban areas. This research presents results of the multi-modal comprehensive chemical characterization of the microplastic particles related to waste discharged in the pipe repair process and compares particle composition with the components of uncured resin and cured plastic composite used in the process. Analysis of these materials employs complementary use of surface-enhanced Raman spectroscopy, scanning transmission X-ray spectroscopy, single particle mass spectrometry, and direct analysis in real-time high-resolution mass spectrometry. It is shown that the composition of the relatively large (100  $\mu\text{m}$ ) microplastic particles resembles components of plastic material used in the process. In contrast, the composition of the smaller (micrometer and sub-micrometer) particles is significantly different, suggesting their formation from unintended polymerization of water-soluble components occurring in drying droplets of the air-discharged waste. In addition, resin material type influences the composition of released microplastic particles. Results are further discussed to guide the detection and advanced characterization of airborne microplastics in future field and laboratory studies pertaining to sewer pipe repair technology.

## Introduction

Since the 1950s, the global production of plastic has increased from 2 million to 460 million metric tons per year.<sup>1,2</sup> Degradation of plastic installations and discarded waste by pathways that include sunlight, water, and biogeochemistry, to name a few, results in the formation of microplastic<sup>3-5</sup> debris, which can further degrade to form smaller nanoplastic<sup>6</sup> particles. Additionally, microplastic particles are in production as engineered commercial materials used in cosmetics, paints, composite materials, etc.<sup>7,8</sup>

Microplastics of smaller sizes are more labile in the environment and undergo faster and more long-range transport through air and water.<sup>9,10</sup> Smaller microplastic particles also have higher surface-to-volume ratios, resulting in their higher reactivity and susceptibility to environmental weathering.<sup>6,9</sup> This results in diverse variability and significant differences in the chemical composition of microplastic particles of different sizes, as they continue to evolve in the environment.<sup>11,12</sup> Microplastics adversely affect the overall health of ecosystems, biological organisms, and humans, making them critical to study.<sup>13-15</sup> Most frequently, observations of microplastic have been reported for aquatic, marine, and terrestrial environments, but recent studies showed that they also become airborne due to wind and other mechanical forces.<sup>16-20</sup> Notably, long-range atmospheric transport of microplastics has been confirmed by their observations in snow deposits at remote, high-altitude mountain sites.<sup>21-23</sup>

Microplastics of few millimeters in size were identified as an environmental concern before smaller (micrometer and sub-micrometer) particles and therefore more analytical methods have been adopted for routine characterization and quantitative analysis of large microplastics, such as Fourier transform infrared spectroscopy (FTIR), Raman spectroscopy, and pyrolysis gas-chromatography mass spectrometry.<sup>24,25</sup> Because of their minute amount of analyte and varied composition, smaller microplastic particles pose many analytical challenges for their detection and characterization.<sup>26,27</sup> Sizes of microplastic particles can be inferred from light scattering techniques and their morphology can be imaged by optical and electron microscopy. However, characterizing chemical composition of the micrometer and sub-micrometer microplastic is more challenging.<sup>27,28</sup> Traditional Raman and FTIR spectroscopy possess spatial resolution

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3 limits that make it difficult to characterize individual particles of the sub-micrometer  
4 sizes.<sup>26,29</sup> Mass spectrometry measurements are sensitivity limited as well due to small  
5 sample size and therefore require additional steps in sample preparation, such as sample  
6 digestion or pre-concentration.<sup>30</sup> Recently, thermal desorption – proton transfer reaction  
7 – mass spectrometry has offered promising results for microplastic characterization.<sup>22,31,32</sup>  
8 This method has both high sensitivity and high mass resolution, enabling the use of small  
9 sample sizes and accurately characterizing many types of polymers. However, it uses  
10 bulk microplastic samples and does not offer information on individual particles.<sup>31</sup>  
11 Characterization of microplastics also becomes complex if the sample is present within a  
12 complex organic matrix or if the plastic has aged or oxidized.<sup>33</sup> To gain comprehensive  
13 insights on both single particle and bulk molecular properties of microplastics, multiple  
14 modes of detection are needed.

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25 A potentially important source of direct airborne emissions of microplastic particles  
26 has been attributed to a commonly used technology to repair storm and sanitary sewer  
27 systems, known as cured-in-place-pipe (CIPP) installations.<sup>34</sup> This trenchless technology  
28 involves inserting a resin-impregnated tube liner into a damaged pipe, inflating the liner  
29 against the pipe walls by pressurized air, followed by polymerizing (curing) the resin  
30 initiated by heat or ultraviolet (UV) light.<sup>35,36</sup> While this repair technology is cost efficient  
31 and has become common municipal practice, significant emissions of microplastic by the  
32 air-discharged steam-laden waste was recently reported.<sup>34</sup> In that study, chemical  
33 characterization of the waste condensates collected at installation sites employing  
34 styrene-based resin (associated with elevated levels of volatile organic compounds, VOC)  
35 and vinyl ester-based resin (alternative material designed to limit emissions only by low  
36 volatility organic compounds, LVOC) was performed.<sup>34</sup> Polymer precursors and other low-  
37 volatility organic species were identified as components of microplastic. Observed  
38 microplastic particles of larger sizes indicated spectral signatures consistent with  
39 polymers comprising resin material, such as polystyrene, styrene maleic anhydride,  
40 polydimethylsiloxane, polymethyl methacrylate, etc. In contrast, the composition of the  
41 sub-micrometer particles with viscoelastic properties resembling those of microplastic  
42 appeared to be more complex, and they showed little to no resemblance with the original  
43 resin material. It has been concluded that these sub-micrometer waste particles are

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3 formed through environmental oligomerization reactions of various soluble organic  
4 species present in drying droplets of the discharged waste.<sup>34</sup>  
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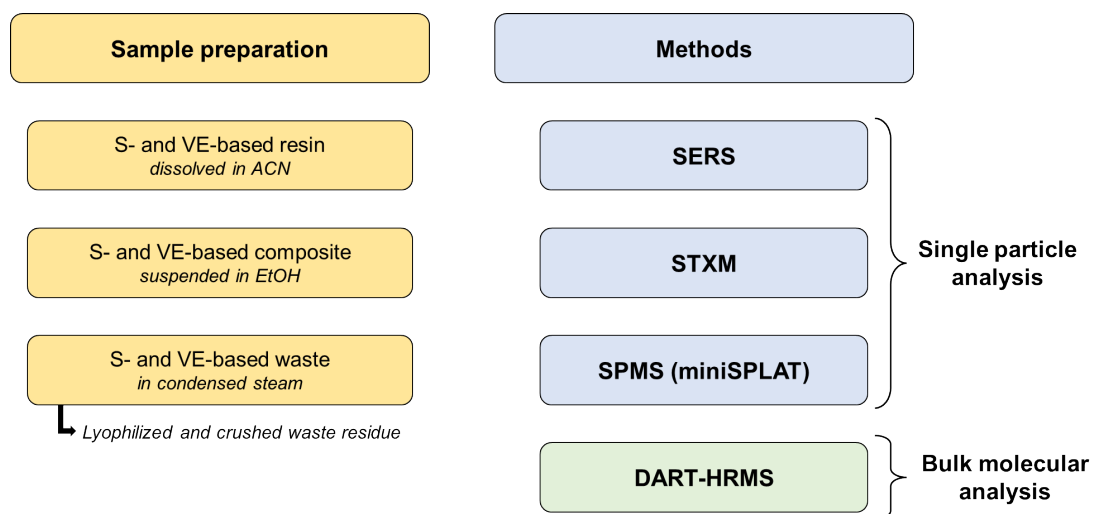
7 To further understand the mechanism leading to formation of these highly viscous  
8 micrometer and sub-micrometer particles, this study systematically characterizes  
9 materials used in sewer pipe repair technology and compares the results with the  
10 composition of microplastics attributed to the field-collected waste condensates. An array  
11 of complementary analytical techniques is employed for comprehensive analysis of  
12 microplastics, including surface-enhanced Raman spectroscopy (SERS), scanning  
13 transmission X-ray microscopy (STXM), single particle mass spectrometry (miniSPLAT),  
14 and direct analysis in real-time high-resolution mass spectrometry (DART-HRMS). We  
15 compare the composition of microplastic particles to determine their origin in the  
16 emissions. Our results suggest that the large particles are primary emission fragments of  
17 the cured material, which become airborne during the installation procedure. In contrast,  
18 the micrometer and sub-micrometer particles are a unique type of secondary organic  
19 aerosol formed by aqueous-phase oligomerization reactions in drying droplets of the  
20 discharged waste. Notably, the resin composition is also an important factor in defining  
21 the composition of directly emitted and secondary formed microplastic particles,  
22 highlighting the need for further systematic evaluation of multi-phase emissions from this  
23 widely used technology.  
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## 40 **Experimental Methods**

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42 *Microplastic particle samples.* Samples of the discharged waste condensates were  
43 collected from sewer pipe repair installations in Sacramento, CA, using cold traps.<sup>37</sup>  
44 Waste samples used in this study were collected from two installations, one using a  
45 styrene-based (S-based) resin and the other using a “styrene-free” vinyl ester-based (VE-  
46 based) resin. Matching materials used in these installations, commercially available S-  
47 based and VE-based resins were obtained. The cured composites were generated by  
48 mixing each of the two resin types with peroxide initiators (Perkadox<sup>®</sup>26 and Luperox<sup>®</sup>P  
49 for the S-based resin and cumene hydroperoxide for the VE-based resin) and applying  
50 the mixture to polyester felt (Model# 24-125 PE, Sutherland Felt Company, MI, USA). The  
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resin saturated felt was then cured in a thermally based curing system.<sup>38</sup> The S-based resin was cured for 50 minutes at a temperature of 65.6 °C, while the VE-based resin was cured for 30 minutes at 82.2 °C.<sup>38</sup> The curing time and temperature were based on manufacturer guidelines. Further information about sample collection is included in Supplementary Information Note 1.

Particles generated from the collected waste condensates ranged from 0.02 to 10 µm in size. The detailed particle size distributions (PSDs) of both aerosolized dry particles and insoluble colloids present in the waste samples have been quantified and reported in our previous work.<sup>34</sup> The  $\Delta M/\Delta \log D_p$  PSDs showed mean sizes of the dry particles and the wet colloids in the sub-micrometer and the above-micrometer ranges, respectively. The total organic carbon (TOC) mass loadings in the waste samples were reported in the range of 13-133 mg/L.<sup>34</sup> Given the wide range of particle sizes observed, it became evident that a comprehensive approach utilizing complementary analytical techniques capable of characterizing particles across various size ranges was necessary.<sup>34</sup> A multi-modal characterization approach was employed in this study to provide description of the microplastic particles with both single-particle and molecule-specific levels of detail.<sup>39</sup> Figure 1 outlines an overview of the sample preparation and the experimental methodology used for this study.



**Figure 1.** An overview of the sample preparation and experimental methods used in this study. Acronyms in the figure indicate: Styrene-based (S-based); vinyl ester-based (VE-



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3 based); surface enhanced Raman spectroscopy (SERS); Scanning transmission x-ray  
4 microscopy (STXM); single particle mass spectrometry (SPMS); direct analysis in real  
5 time high resolution mass spectrometry (DART-HRMS).  
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10 Acetonitrile laden microplastic particles were prepared by dissolving each of the  
11 S-based and the VE-based resins in acetonitrile to form a colloidal solution. Acetonitrile  
12 was chosen as other solvents tested resulted in the aggregation of resin rather than  
13 suspension of small particles throughout the solution. The cured composites were first  
14 powdered using a mortar and pestle. This was done by cutting smaller pieces of a large  
15 composite block and then grinding them as is in a ceramic mortar and pestle until they  
16 were a fine powder. The powdered composite was then suspended in ethanol to prepare  
17 colloidal solution ready for particle generation through nebulization. The waste samples  
18 contained dissolved materials and colloids already suspended in the aqueous slurries.<sup>34</sup>  
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26 Different preparations of the microplastic samples were needed depending on the  
27 analytical technique used. For the SERS analysis, samples were prepared by drop-  
28 casting of colloidal solutions onto SERS substrates and allowing them to dry. Lyophilized  
29 waste materials were prepared by cryodesiccation of the waste condensates and  
30 crushing obtained dry material into smaller particles. This allowed for the creation of larger  
31 (100  $\mu\text{m}$ ) sized particles that represented the bulk composition of the waste material. For  
32 STXM analysis, sample solutions were atomized using a medical nebulizer, obtained  
33 aerosol was dried to 18% relative humidity (RH) using dry  $\text{N}_2$  and silica gel, and dry  
34 particles were impacted using a Sioutas impactor (Stage C) onto silicon nitride windows.  
35 The nebulizing flow rate was set at 2.1 L/min and the carrier gas flow was maintained  
36 between 9-10 L/min. For miniSPLAT analysis, samples were atomized and dried to ~20%  
37 RH, then passed through a charcoal filter to remove any additional VOCs. Then, the dried  
38 particles were introduced into a 100 L Teflon bag that had been pre-filled with 60 L of  
39 clean, dry air to minimize particle coagulation and further dry the aerosols sample (~5%  
40 RH). For the DART-HRMS analysis, no further sample preparation was needed.  
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52 *Chemical imaging of substrate-deposited particles.* Glass slides sputter-coated  
53 with 50 nm of Au with nanoscale roughness (~5 nm) were used as substrates for SERS  
54 analysis. The gold coating enhances detection sensitivity by enhancing the incident and  
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3 scattered radiation from analytes in the immediate vicinity of the metal. Samples were  
4 deposited on the SERS substrate as described in Supplementary Note 2. Single particle  
5 Raman measurements were taken using a 785 nm laser with a confocal Raman  
6 microscope. Spectral features were identified using the Wiley KnowItAll™ Raman spectra  
7 software and compared to literature spectra of polymer standards.<sup>40–43</sup>  
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12 STXM-NEXAFS (near-edge X-ray absorption fine structure spectroscopy) analysis  
13 was performed at the Advanced Light Source synchrotron facility of Lawrence Berkeley  
14 National Laboratory. Particles deposited onto silicon nitride thin film substrates were  
15 raster scanned through focused X-ray beam at energy levels from 278 to 320 eV to  
16 generate spectral images (Supplementary Information Note 2). Transmitted light is  
17 converted into optical density via Beer's law and plotted to construct NEXAFS spectra.  
18 Spectral analysis is done through MATLAB scripts that differentiate types of carbon based  
19 on its characteristic NEXAFS features.<sup>44–48</sup> Approximately ~80 particles for each of the  
20 six samples (resin, composite, and waste for the S-based and VE-based systems) were  
21 analyzed with STXM during the course of this study.  
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31 *Real-time characterization of individual aerosolized particles.* The information  
32 provided by STXM is invaluable for microplastic characterization. However, time limits  
33 and facility access constraints lead to a limitation on the number of particles that can be  
34 analyzed. High-throughput analysis by the single particle mass spectrometer,  
35 miniSPLAT, was employed for further characterization of particles with substantial  
36 statistical depth, by measuring size and mass spectra for thousands of individual  
37 particles.<sup>49</sup> Specific details of the miniSPLAT instrument and its operation were published  
38 elsewhere<sup>49</sup> and briefly summarized in Supplementary Information Note 3. Sample  
39 solutions were atomized using a medical nebulizer with an airflow of 5 L/min through  
40 charcoal and silica gel filters to reduce VOCs and moisture. The dried particles were  
41 added for ~ 4 min to a 100 L Teflon bag pre-filled with 60 L of clean dry air and sampled  
42 by miniSPLAT until approximately 5,000-10,000 single particle mass spectra were  
43 acquired.  
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54 *Molecular characterization of bulk samples.* DART is an ambient ionization source  
55 that desorbs and ionizes gas-phase analyte by collisions with hot excited He gas. It  
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3 requires little to no sample prep, making it advantageous to use for bulk molecular  
4 characterization of microplastic.<sup>50</sup> Specific details on the DART source operation and  
5 ionization mechanisms are included in Supplementary Information Note 4. Briefly, two  
6 sample introduction methods were used for DART-HRMS experiments in this study to  
7 detect a broader range of compounds in the samples. In the first method, the linear rail  
8 with the QuickStrip attachment was used (Figure S3a).<sup>51</sup> This consists of a paper card  
9 with 12 metal mesh sample spots on it. Sample solutions are dropped on each spot and  
10 allowed to dry before being placed in the linear rail which moves the card across the  
11 DART source at a programmed speed. The gas temperature used by the DART source  
12 was changed throughout the method, enabling the ionization of different compounds as  
13 temperature increased. In the second method, the BioChromato IonRocket heating stage  
14 was used (Figure S3b).<sup>52</sup> This method heats the sample placed into a copper pot along a  
15 computer-programmed temperature gradient. As the sample is heated, different chemical  
16 species are desorbed and then ionized by the DART source.

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28 The DART source was the Ionsense Jumpshot with a VAPUR<sup>®</sup> interface coupled to a  
29 high-resolution Orbitrap mass spectrometer (Q Exactive HF-X, ThermoFisher Scientific,  
30 Inc.). Helium gas was used as the ionization gas, while N<sub>2</sub> flowed through the source  
31 while the instrument was in standby mode. For experiments using the linear rail, 5 µL of  
32 each respective sample was spotted on eight of the twelve available spots on the mesh  
33 card. The remaining four spots received 5 µL of the relevant solvent for each of the sample  
34 types (resin – acetonitrile; composite – ethanol; waste – water). The samples were  
35 allowed to dry and then placed in the DART linear rail attachment. Helium gas was pulsed  
36 for 3 seconds on each sample with a 2 s next sample delay, and a 30 s heater wait time.  
37 Gas temperature was set at 150°C, 250°C, 350°C, and 450°C. This allowed one blank  
38 and two sample spectra to be taken at each gas temperature. For experiments using the  
39 IonRocket heating stage, 5 µL of the sample was placed into a copper pot. The latter was  
40 installed on the IonRocket sample holder, and then heated using a programmed  
41 temperature gradient of a 30 second temperature hold at 50°C, a ramp up to 600°C at a  
42 rate of 150 °C/min and then a 30 second hold at 600°C. The DART-HRMS datasets were  
43 processed as described in previous studies outlined in Supplementary Information Note  
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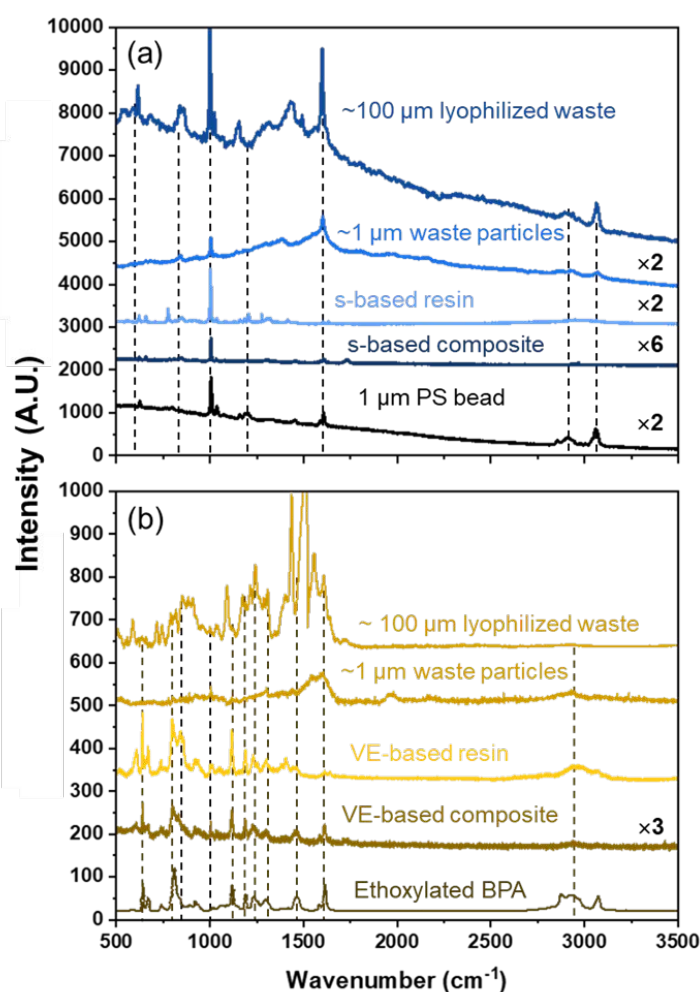
## Results and Discussion

The resin used in sewer pipe repairs is cured by radical initiated polymerization (illustrative reaction schemes and corresponding discussion are included in Supplementary Information Note 5).<sup>54</sup> Thus, chemical composition of the polymerized resin (composite) is representative of the intended polymerization mechanism for the system. If the airborne microplastic particles observed in the waste were the degradation debris shed from curing composite material, they would resemble the resin and composite composition. However, if the microplastic particles were formed as unintended polymerization through various oligomerization reactions in the evaporating waste droplets, as previously proposed,<sup>34</sup> they would not bear a resemblance to the resin or composite.

SERS is a commonly used method for characterizing microplastics, for which many spectral databases have been created to identify common polymers.<sup>29</sup> Figure 2a shows the spectra of a polystyrene (PS) standard compared to the S-based resin, corresponding composite, and examples of large lyophilized waste particles. Figure 2b shows the same for the VE-based resin, composite, and waste lyophilized particles in comparison to ethoxylated bisphenol A (BPA). The dashed lines show similarities between the spectra for each feature. Representative PS features observed across all the samples are located at  $614\text{ cm}^{-1}$  (C-C stretch),  $1000\text{ cm}^{-1}$  (breathing mode of the aromatic ring),  $1154\text{ cm}^{-1}$  and  $1435\text{ cm}^{-1}$  (bending of aromatic C-H),  $1602\text{ cm}^{-1}$  (C=C stretch),  $2868\text{ cm}^{-1}$  and  $2931\text{ cm}^{-1}$  (*sym* and *asym* stretching modes of aliphatic C-H, respectively), and  $3075\text{ cm}^{-1}$  (stretching of aromatic C-H).<sup>34,40,41</sup> For the S-based particles, MP particles share many features with the resin and the composite (Figure 2). This indicates that the S-based large ( $\sim 100\text{ }\mu\text{m}$ ) lyophilized waste particles are most likely fragments of the composite shed during the curing process.

Spectra of the VE-based particles are more complex. The VE-based particles share features at  $614\text{ cm}^{-1}$  and  $1000\text{ cm}^{-1}$  that potentially could be contributions from PS. Contamination of the intended “styrene-free” resin by PS has been reported prior.<sup>37,38,55</sup> The VE-based particles also share many spectral features with ethoxylated bisphenol-A.<sup>40</sup> This includes peaks at  $641\text{ cm}^{-1}$  (aromatic stretch),  $819\text{ cm}^{-1}$  (C-H out of

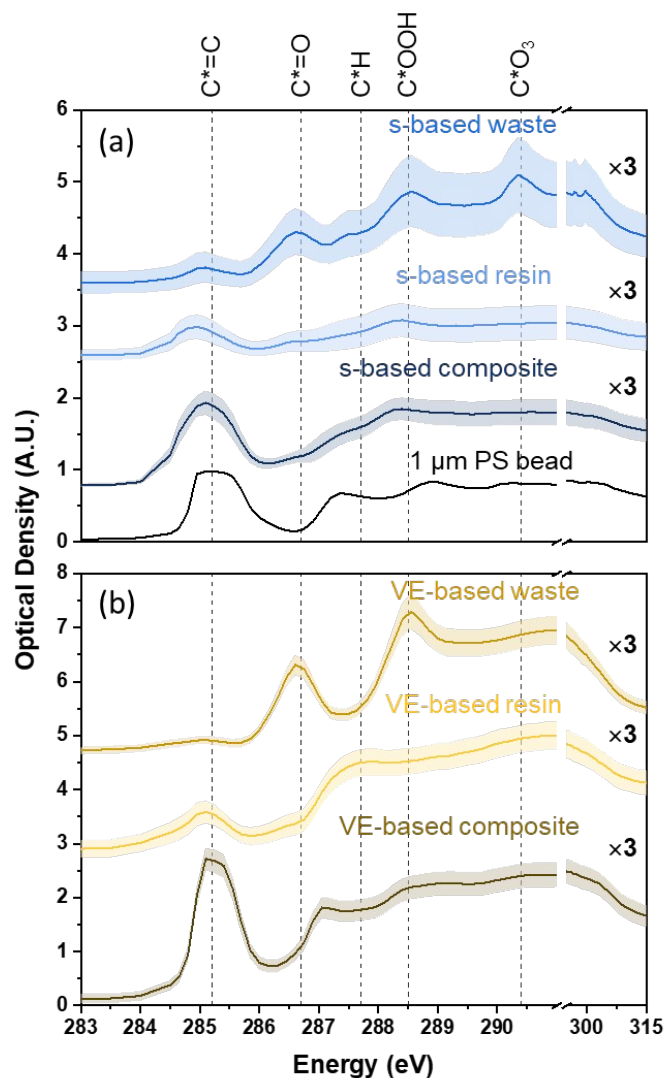
plane bend),  $1111\text{ cm}^{-1}$  (C-H-bending),  $1191\text{ cm}^{-1}$  (vinyl stretch),  $1234\text{ cm}^{-1}$  (CO stretch and C-C stretch in both rings),  $1290\text{ cm}^{-1}$  (C=C stretching in both rings),  $1451\text{ cm}^{-1}$  ( $\text{CH}_3$  bending),  $1615\text{ cm}^{-1}$  (C=C stretching in both rings), and  $2942\text{ cm}^{-1}$  (OH stretch).<sup>43</sup> Vinyl ester-based resins are created by the esterification of an epoxy resin (such as bisphenol-A) and acrylic or methacrylic acids (Supplementary Information Note 5).<sup>42</sup> While the VE-based features cannot be attributed solely to ethoxylated BPA, there are still several similarities between particles generated from resin, composite, and lyophilized waste material. This suggests that in the VE-based system large ( $\sim 100\text{ }\mu\text{m}$ ) lyophilized waste particles also originate from the cured composite material.



**Figure 2:** (a) SERS spectra of a  $1\text{ }\mu\text{m}$  PS bead, S-based composite, S-based resin,  $1\text{ }\mu\text{m}$  waste particles, and  $100\text{ }\mu\text{m}$  waste particles and (b) SERS spectra of ethoxylated BPA, VE-based composite, VE-based resin,  $1\text{ }\mu\text{m}$  waste particles, and  $100\text{ }\mu\text{m}$  waste particles. For visual comparison, selected spectra are scaled up as indicated by the scaling factors

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3 placed above the spectral lines. Dashed lines indicate features shared between the  
4 samples. SERS spectra of waste particles have been previously shown in Morales et al.  
5 (2022).<sup>34</sup>  
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8 Because of its higher spatial resolution, we employed STXM-NEXAFS for the  
9 analysis of the micrometer and smaller particles.<sup>56</sup> Figure 3 illustrates the STXM spectra  
10 of particles generated from the S-based and VE-based resins, composites, and wastes.  
11 Dashed lines show features corresponding to carbon functional groups: C\*=C (285.2 eV),  
12 C\*=O (286.7 eV), C\*H (287.7 eV), C\*OOH (288.5 eV), C\*O<sub>3</sub> (290.4 eV).<sup>30</sup> A prominent  
13 alkene feature (285.2 eV) is present in the PS bead, S-based composite, and resin;  
14 however, it is not present in the waste. In contrast, the waste particles have intense  
15 features from the carbonyl (286.7 eV) and carboxylic acid (288.5 eV) functional groups  
16 that are not present in the other samples. Unlike the large lyophilized waste particles, the  
17 waste particles of micrometer sizes do not resemble their corresponding resin or  
18 composite material. PS, the intended product of polymerization consists of mostly sp<sup>2</sup>  
19 carbon and contains no carbonyls or carboxylic acids. Detection of carbonyl and  
20 carboxylic acid features in the micrometer waste particles suggests that they are formed  
21 through alternative polymerization mechanisms.  
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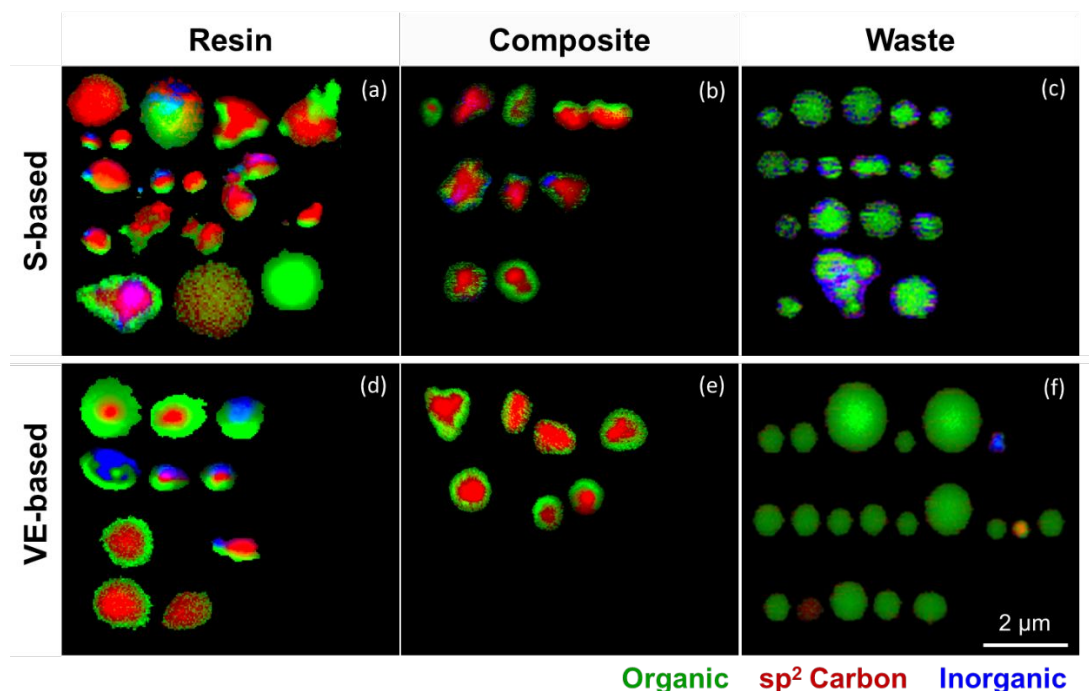
**Figure 3:** Average STXM-NEXAFS spectra of (a) a 1  $\mu\text{m}$  PS bead, S-based composite, S-based resin, and S-based waste and (b) VE-based composite, VE-based resin, and VE-based waste. To facilitate visual comparison, signals were multiplied by the number indicated above the spectral lines. Shaded regions represent variability in spectra and dashed lines indicate features from specific functional groups.

The same difference is observed between the VE-based waste particles (Figure 3b) and their corresponding resin and composite materials. The VE-based resin and composite have prominent alkene spectral features (285.2 eV), which are not present in the waste material. The waste particles, however, contain strong carbonyl and carboxylic acid features, which are not present in the resin or composite. In contrast to the VE-based large lyophilized particles, particles of micrometer sizes do not resemble the composition of the resin or cured composite material. The common differences between the large

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3 lyophilized and small micrometer particles indicate two things. First, between the two  
4 types of resin used (S- and VE-based), the particles have different composition indicating  
5 that the resin composition does have an impact on the makeup of discharged waste.  
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7 Second, the disparity between large and small waste particles within each of the two  
8 sample sets (S- and VE-based) suggests that the small particles are formed by a different  
9 mechanism in steam-laden emissions, while the large particles are fragments of the cured  
10 resin/composite shed by mechanical forces experienced during curing.  
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16 This difference in composition is further evident from the internal heterogeneity of  
17 particles. Figure 4 displays the NEXAFS maps of individual micrometer and sub-  
18 micrometer particles from each of the six samples indicating the spatial distribution of  
19 different carbon functionalities. Particles are colored by the material present as defined  
20 by the thresholds as outlined in prior studies and Supplementary Information Note 2.  
21 Green represents organic carbon, red represents  $sp^2$  hybridized carbon, and blue  
22 represents inorganic material.<sup>45–48,57</sup> As indicated by the maps, both the S-based resin  
23 and composite particles (Figs 4a-c) contain a large amount of  $sp^2$  hybridized carbon in  
24 the center with some contributions from carboxylic organic carbon on the outer particle  
25 areas. In contrast, the waste particles predominantly consist of evenly distributed  
26 carboxylic organic carbon occasionally mixed with inorganic components.  
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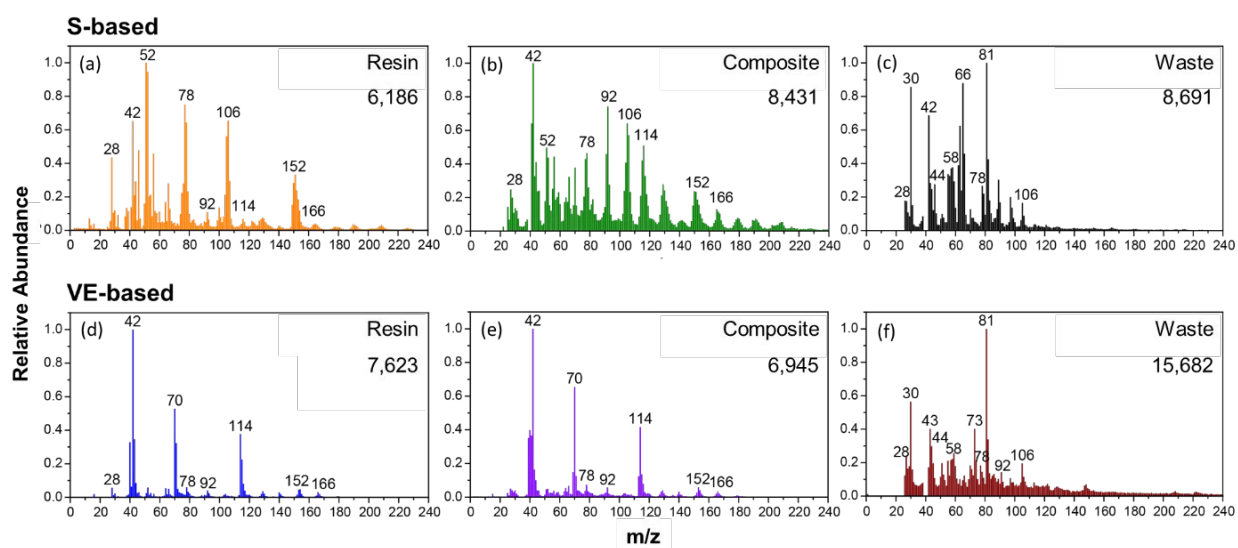
**Figure 4:** STXM-NEXAFS particle maps of the S-based (a) resin, (b) composite, and (c) waste and the VE-based (d) resin, (e) composite, and (f) waste. All are scaled to the scale bar in the bottom right corner. Organic carbon is represented by green,  $sp^2$  hybridized carbon by red, and inorganic material by blue.

Maps of the VE-based particles (Figs 4d-f) are similar to the S-based particles and again are reflective of their corresponding NEXAFS spectra. The resin and composite particles are both mostly  $sp^2$  hybridized carbon in the center with some organics in the outer areas, while the waste particles are almost entirely organic. The difference in composition between the resin and composite materials to the waste particles in both systems indicates that formation of the microplastic like highly viscous waste particles is a process occurring outside of the intended polymerization.

To complement chemical imaging characterization results of individual particles with additional data and extensive statistical depth, we analysed large ensembles of individual particles using miniSPLAT. Due to significant fragmentation caused by laser ablation, the goal of processing miniSPLAT data is not to assign molecular formulas for detected peaks, but rather to compare mass spectral signatures across the samples to examine the presense of common peaks. Figure 5 shows the average particle mass spectra for each of the six sample types.

For the S-based resin and composite particles (Figure 5a, b), there are several mass spectral features shared between the samples, including ( $m/z=78$  ( $C_6H_6^+$ ), 92 ( $C_7H_8^+$ ), 106 ( $C_7H_6O^+$ ), 52 ( $C_4H_4^+$ ), 42 ( $C_3H_6^+$ ), 28 ( $CO^+$ ). While the average mass spectrum of S-based waste particles (Figure 5c) shares some of these peaks; they are more complex and exhibit additional peaks. Even with sharing features, the overall shape and intensity of the spectral signature between the resin and composite and the waste are vastly different, indicating a difference in composition between those samples.

The same trend is seen for the VE-based waste particles where the VE-based resin and composite particles have similar spectral features which are not present in the waste material (Figure 5d, e, f). The VE-based resin and composite particles contain the same features at ( $m/z=114$  ( $C_6H_{10}O_2^+$ ), 70 ( $C_4H_6O^+$ ), 42 ( $C_3H_6^+$ ), while the waste particles exhibit very different mass spectra. The miniSPLAT data further reinforces that the composition of the particles in the waste material is different from the resin and composite. It also again displays the difference between the S-based and VE-based materials, indicating that the waste particles are newly formed in the waste emissions and their composition is different between the two cases.



**Figure 5:** miniSPLAT-MS spectra of the S-based particles generated from (a) resin, (b) composite, and (c) waste and the VE-based particles generated from (d) resin, (e)

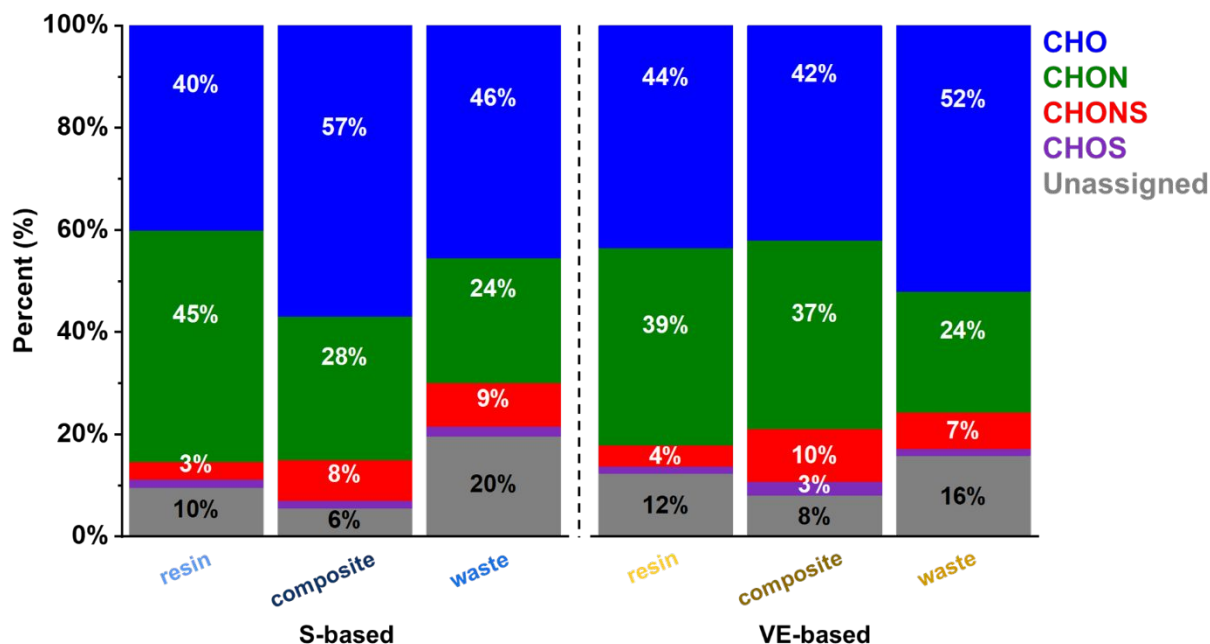
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3 composite, and (f) waste. The number beneath the sample type represents the number  
4 of particles analyzed.  
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6 Mass spectra produced using DART-HRMS indicate molecular differences  
7 between composition of particles generated from resin, composite, and waste for both  
8 systems (Figure S7 and S8). Based on the accurate measured masses, up to ~80% of  
9 spectral features were assigned for each sample, for which number of assigned species  
10 varied in the range of 1400-4900 features. The composites contained the greatest number  
11 of detected species while the resin and waste of each respective system contained a  
12 similar number of detected compounds. Only 5-10% of species assigned were shared  
13 between all three samples (i.e., resin and composite, resin and waste, and waste and  
14 composite) (Figure S15). It follows that there are large compositional changes occurring  
15 as the resin is cured into composite and as waste is released. Furthermore, only 20-30%  
16 of the compounds assigned are shared between the two systems (i.e., S-based resin vs.  
17 VE-based resin) (Figure S16). This confirms that the type of resin used does impact the  
18 composition of waste particles formed and potentially the number of particles released.  
19 More research is needed in this area to fully understand the role of material in formation  
20 and release of waste microplastic particles.  
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32 Notably, there is a difference in ions detected using the linear rail DART  
33 experiment compared to the IonRocket experiment (Figure S17). Less than 1% of the  
34 HRMS features are shared between two experiments for each of the samples. This  
35 observation is consistent with previously reported differences in direct DART-HRMS  
36 analysis (linear rail) versus the temperature desorption DART-HRMS (IonRocket)  
37 employed for the characterization of polymers<sup>51,58,59</sup> This notable difference is rationalized  
38 by distinct mechanisms of the analyte desorption and introduction into the ionization zone  
39 of DART. In the direct DART-HRMS, the sample is placed directly in front of the DART  
40 source so the hot He gas desorbs and ionizes analyte components that can be rapidly  
41 volatilized by short pulses (3 sec) of the gas heated to 150-350 °C.<sup>59</sup> In contrast, the  
42 IonRocket heats the sample itself to much higher temperatures (50-600 °C) and at much  
43 longer experimental time (5 min), causing substantial thermal degradation (pyrolysis) of  
44 the polymer sample. In this case, ions detected by DART-HRMS are dominated by the  
45 pyrolysis products. Furthermore, variations in either the He gas temperature or the  
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3 IonRocket temperature ramp additionally influence the apparent mass spectra of the  
4 same analytes.<sup>60</sup> Nevertheless, both methods offer advantages for polymer analysis,  
5 depending on the goal of study. Experiments with slow and lowered temperature ramp  
6 using either the IonRocket or the direct desorption by pulsed heated gas facilitate  
7 preferential detection of the non-polymerized additives.<sup>59</sup> On the other hand, detection of  
8 the plastic pyrolysis products in the IonRocket experiments provides analyte-specific  
9 HRMS data indicative of the original polymer composition and therefore are beneficial as  
10 a method for rapid identification of plastic.<sup>59,61,62</sup> Within the context of this work, we  
11 combined results of both DART experiments for each of the analyzed samples to facilitate  
12 holistic comparison between their HRMS spectra.  
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21 Figure 6 shows the percent contribution of each elemental class across the  
22 samples, summarizing elemental assignments based on the DARTT-HRMS data  
23 (combined results of the direct and the IonRocket experiments). The general contributions  
24 of each of the classes are largely comparable across samples. CHO and CHON are the  
25 predominant classes making up 60-80% of each sample. The resin for both the S- and  
26 VE-based systems contained the greatest number of CHON species within both systems.  
27 The percent contribution of CHON decreases in cured composite and waste samples.  
28 Fractions of CHOS and CHONS classes (~10%) are somewhat smaller than those  
29 reported previously based on the ESI-HRMS and APPI-HRMS analysis of the solvent  
30 extracted samples.<sup>63</sup> This likely reflects the differences in ionization mechanisms between  
31 ESI, APPI, and DART.  
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**Figure 6.** Percent composition of different elemental classes assigned based on the DART-HRMS data for each sample.

Selected polymer precursors, radical initiators, and compounds associated with initiator decomposition were identified in the mass spectra of the resin, composite, and waste.<sup>34,63</sup> A few polycyclic aromatic hydrocarbons (PAHs) and potentially oxidized derivatives were also identified. PAHs have been identified as a class of compound that can sorb to microplastic particles.<sup>64</sup> These are summarized in Table S1. All of these compounds have been previously identified in prior studies,<sup>34,37,38,55,65,66</sup> but this is the first time they have been systematically identified in both the S- and VE-based systems for the resin, composite, and waste. This is also the first study to comprehensively characterize each of these materials using DART-HRMS. Similarities of the compounds presented here based on the DART-HRMS data and the ESI- and APPI-HRMS results reported earlier<sup>34,63</sup> indicate that DART-HRMS provides comparable results. Therefore, application of DART-HRMS is especially advantageous for the analysis of the resin and composite samples as no additional sample preparation is needed.

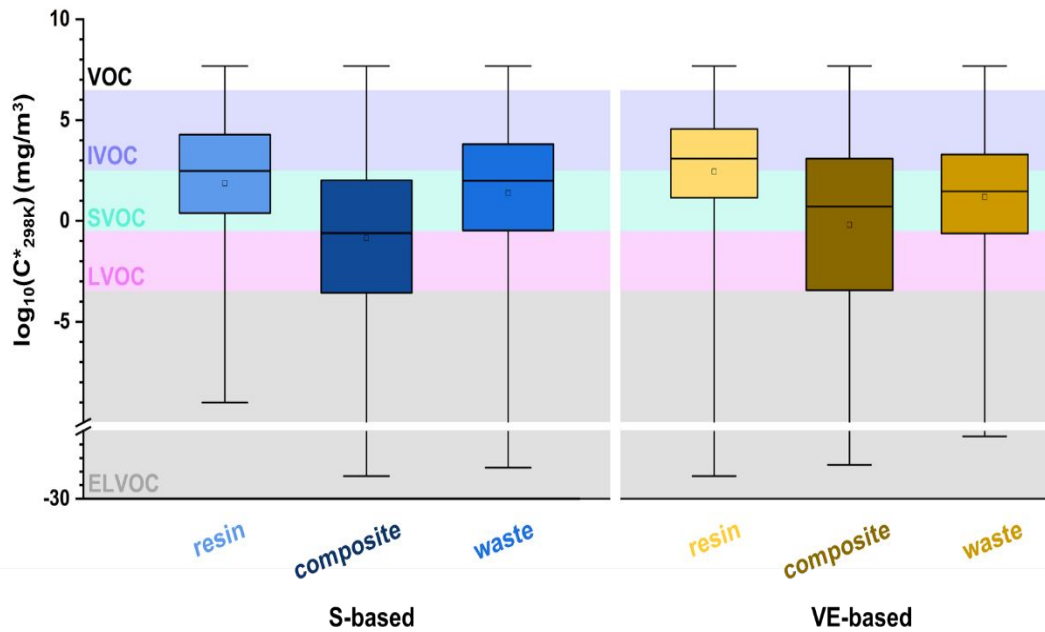
Assessments of the individual species assigned based on the DART-HRMS data provide important insights into further understanding of the resin and composite composition. As seen in Table S1, many of the identified compounds were observed in

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3 each of the six samples (resin, composite, and waste for both the S- and VE-based  
4 systems). This indicates that while the overall mass spectra for each sample differ from  
5 each other in terms of their ion intensities, they still share many common features. The  
6 presence of plastic monomers and initiators in the composite and waste material suggests  
7 that even after curing there is still considerable amount of unpolymerized components  
8 that can be dissolved and washed out by the discharged steam. As water evaporates  
9 from the discharged steam droplets blown into the air, these dissolved components  
10 undergo unintended environmental oligomerization, which results in the formation of  
11 microplastic particles.<sup>34</sup>  
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19 Based on the formula assignments for individual species inferred from DART-  
20 HRMS data, several metrics of the analyzed materials are estimated and visually  
21 presented to further understand each sample (Supplementary Information Note 6).  
22 Plotting double bond equivalency (DBE) values against the number of carbon and  
23 nitrogen for each assigned species (Figure S9) allows the differences in composition and  
24 structure to be visualized. As expected, the resin samples for both systems fall more into  
25 the aliphatic region and contain compounds of lower DBE values, although the VE-based  
26 resin does have a larger number of compounds in the aromatic region compared to the  
27 S-based resin. As the resin cures, the DBE values increase as polymers are formed. The  
28 composite DBE plots reflect this as they contain compounds predominantly in the  
29 aromatic region. Interestingly, the waste sample DBE plots appear to be visually similar  
30 to the resin plots. The waste samples contain more aliphatic compounds and there is a  
31 difference between the S- and VE-based systems where the VE-based waste contains  
32 more aromatic species. The Van Krevelen diagrams shown in Figures S10-S11 indicate  
33 similar trends as the DBE plots.<sup>67</sup> For both systems, the resin and waste samples share  
34 more visual similarities than the waste to the composite. For the S-based system, the  
35 waste consists of many highly saturated and oxidized saturated species that are more  
36 aromatic, as seen in the DBE plots as well. The resin and waste contain contributions  
37 from oxidized aliphatic species. Whereas the S-based composite contained more  
38 aromatic species, the VE-based composite contains more aliphatic oxidized species. The  
39 composition of the VE-based resin and waste contains a more complex mixture of  
40 aliphatic and aromatic species with varying degrees of oxidation.  
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3 Many of the species identified are not heavily oxidized as indicated by their  
4 relatively low nominal oxidation state values ( $\text{NOS}_C < 0$ ) (Figure S12), which are consistent  
5 with those previously reported for the waste samples based on the ESI/APPI-HRMS data  
6 sets.<sup>63</sup> Again, distribution of the  $\text{NOS}_C$  values for the resin is visually similar to the waste  
7 with many species having low values of  $\text{NOS}_C < 0$ . These more reduced (less oxidized)  
8 species have the potential to be oxidized in the presence of common atmospheric  
9 pollutants such as ozone, hydroxyl radicals, and  $\text{NO}_x$ . Atmospheric oxidation changes the  
10 chemical composition and causes reductions in volatility and corresponding increases in  
11 the viscosity of atmospheric particles.<sup>68</sup> This is due to the addition of more polar functional  
12 groups, such as aldehydes, ketones, or carboxylic acids. From the results of the mass  
13 spectra and STXM-NEXAFS (Figure 3), the waste particles do contain carboxylic acid  
14 and carbonyl-containing compounds which reduce their volatility and increase their  
15 viscosity.<sup>69</sup>  
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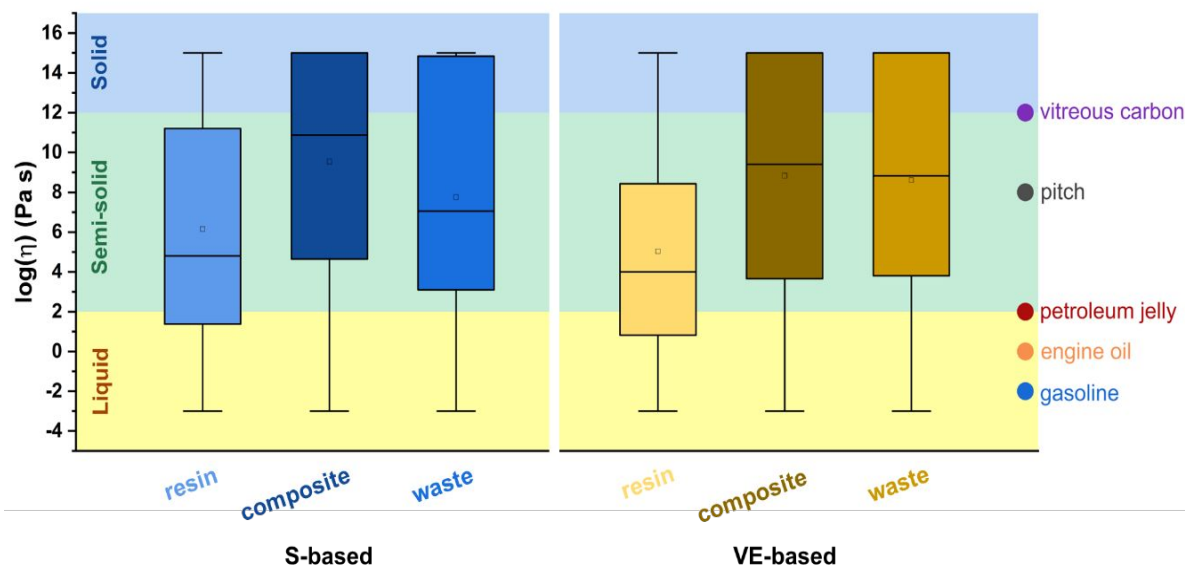
26 Using empirical parameterization models, volatility and viscosity of individual  
27 components were estimated based on their formula assignments (Supplementary  
28 Information Note 6).<sup>70,71</sup> Figure 7 shows box and whisker plot distributions of the  
29 estimated volatility of assigned compounds for each sample. Notably, the estimated  
30 volatility of the composite components is lower than those of the resin and waste. This  
31 makes sense as the composite is engineered to be a solid material. The resin components  
32 are the most volatile. While the VE-based system was engineered with the intent to  
33 produce fewer VOCs than the S-based system, there does not appear to be a large  
34 difference in their lower volatility components produced by either system. A majority of  
35 the species fall in the semi, low, or extremely low volatility categories (SVOC, LVOC, and  
36 ELVOC) for both systems (Figures S13-S14). Compounds of low volatility more readily  
37 partition into the condensed phase and form amorphous solid-particles such as  
38 microplastics.<sup>69</sup>  
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**Figure 7.** Box and whisker plot showing distributions of the estimated volatility values for the assigned compounds detected by the DART-HRMS. The square icons represent the mean of the distributions. The middle box line represents the median. The bottom and top lines composing the box represent the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively. The whiskers represent the range of the data.

Consistent with their low volatility, individual compounds identified in the resin, composite, and waste suggest high viscosity of the corresponding dry residues. Figure 8 shows box and whisker plot distributions of the estimated viscosity of the individual assigned species for each sample. Both the composite and waste materials have higher estimated viscosity than the resin. The resin has the lowest viscosity of the three sample types followed by the waste, and the composite. The composite, as it is engineered to polymerize into a solid material, is highly viscous. Viscosity estimates for individual components present in the waste fall into the semi-solid to solid phase ( $10^4$ - $10^{15}$  Pa·s). The low volatility and high viscosity of the waste increase the favorability of forming solid microplastic particles, as individual compounds oligomerize in drying droplets of the discharged waste.<sup>34</sup>





**Figure 8.** Box and whisker plots showing distributions of the estimated viscosity for the assigned compounds detected by the DART-HRMS. The square icons represent the mean of the distributions. The middle box line represents the median. The bottom and top lines composing the box represent the 25<sup>th</sup> and 75<sup>th</sup> percentile, respectively. The whiskers represent the range of the data.

## Conclusions

The work done in this study confirms that the microplastic particles observed in sewer pipe repair systems are products of unintended polymerization in drying waste droplets. While the large debris material released during sewer pipe repair resembles the product of intended polymerization (the composite samples), the small micrometer and sub-micrometer particles do not follow this same trend. The difference in composition between large and small particles demonstrates that large microplastics are likely primary emitted fragments of the cured pipe aerosolized by the pressurized steam blown through the installation. The small microplastics, however, are likely secondary formed by oligomerization reactions of soluble organic species washed out by the steam from curing mixture. Several potential oligomerization reaction pathways have been proposed (Supplemental Information Note 5).<sup>34</sup> This includes esterification, aldol condensation, and acetal formation, to name a few.<sup>34,72–77</sup> The presence of low volatility and high viscosity compounds in the waste favor the formation of microplastic particles as the droplets dry.

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3 Understanding the physicochemical properties of these materials is also crucial for  
4 further understanding their atmospheric fate<sup>78,79</sup> and their potential health effects.<sup>80</sup>  
5 Particle viscosity influences their response to relative humidity and temperature, which in  
6 turn impact aerosol growth and evaporation.<sup>78,81</sup> In low-viscosity particles, reactions of  
7 gas-particle oxidation and photochemistry occur rapidly and throughout the bulk of the  
8 particle.<sup>78,82</sup> In contrast, highly viscous particles undergo slower reactions limited to the  
9 surface layer.<sup>83</sup> Cloud formation is another facet of atmospheric chemistry that is  
10 influenced by particle viscosity.<sup>78</sup> Both cloud condensation nuclei (CCN) and ice  
11 nucleating particles (INP) are critical in the formation of clouds and their study is important  
12 for the impact of clouds on climate and precipitation patterns.<sup>84</sup> While microplastic  
13 particles are expected to have inhibited CCN propensity due to their surface  
14 hydrophobicity, the altered composition of aged microplastics increases their potential as  
15 CCN.<sup>85</sup> Good INP are typically described by their solid surfaces and do include highly  
16 viscous glassy organic aerosols.<sup>86</sup> Microplastic particles described here fit within this  
17 category as well.<sup>85</sup> Since the detection and impact of microplastics in the atmosphere is  
18 still in its infancy, examining their role as potential INP particles is an important topic to  
19 consider.<sup>85</sup>  
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33 By employing a combination of analytical techniques in this study, we have gained  
34 valuable insights into the microplastic particles generated during the CIPP repair process,  
35 both at the single particle and bulk molecular level. Understanding both the physical  
36 properties (size, morphology and viscosity) and chemical composition of these  
37 microplastic particles is crucial for assessment of their mobility, reactivity, toxicity, and  
38 many other properties.<sup>87</sup> MiniSPLAT proved to be particularly powerful in this study, as it  
39 provided characterization of large ensembles of particles. Furthermore, field-deployable  
40 miniSPLAT and similar single particle mass spectrometry techniques hold promise for  
41 future studies of airborne microplastics.  
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49 It was estimated that approximately 50% of all pipes in the U.S. are repaired using  
50 CIPP technology.<sup>88</sup> Based on our current understanding of related microplastic  
51 emissions, it is conservatively estimated that approximately 0.25 tons of microplastics are  
52 released per CIPP project.<sup>34</sup> However, accurate emission inventories are challenging to  
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3 provide due to the lack of available statistics on the frequency and scale of the CIPP  
4 installations performed.<sup>89</sup> To further advance our understanding of the microplastic  
5 emissions during CIPP repair installations, systematic lab-based and field studies are  
6 necessary. These studies should explore the scale of microplastics by investigating  
7 different resin systems and curing methods to determine their impact on particle  
8 composition. Additionally, field monitoring of airborne particle composition throughout the  
9 curing process can provide insights into specific points of microplastic release.

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16 The work performed in this study, along with prior research<sup>34,39,63</sup> and potential  
17 future studies, is critical for gaining a comprehensive understanding of atmospheric  
18 pollution resulting from CIPP repair technology. Obtaining more information about  
19 microplastic emissions will enable better comparisons with other sources of urban  
20 microplastic pollution. Moreover, this improved understanding will eventually lead to the  
21 implementation of improved safety precautions and mitigation regulations to protect the  
22 environment and reduce health risks associated with microplastic pollution.  
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5 Institutes of Health Research.

### 6 7 **Author Contributions Statement:**

8  
9 B.N.P. and A.L. developed the analytical framework and experiments for this study.  
10  
11 Y.N., P.P., and A.J.W. conducted field studies and provided samples of the CIPP resin,  
12  
13 composite, and waste condensate. B.T.O. and P.Z.E. performed SERS analysis. A.Z.  
14  
15 performed miniSPLAT data acquisition and analysis. J.M.T., S.A.L.S., F.R.-A, R.C.M.,  
16  
17 and M.F. assisted with STXM experiments and instrument operation. B.N.P. analyzed  
18  
19 SERS and STXM data and collected and analyzed DART-HRMS data with analysis  
20  
21 assistance from A.C.M., J.M.T., C.G.W.G, P.E.C, and S.M.H.

### 22 23 **Declaration of Interest**

24  
25 The authors declare the following financial interests/personal relationships which  
26  
27 may be considered as potential competing interests: AJW is named in a patent application  
28  
29 (PCT/US18/28173) filed April 18, 2018, by the Purdue Research Foundation. The patent  
30  
31 application pertains to the technologies for capturing, identifying, analyzing, and  
32  
33 addressing emissions that are potentially hazardous to the environment and humans. The  
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35 invention was developed with support from the U.S. National Science Foundation  
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37 (CBET-1624183).

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