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1,5-Hydrogen atom transfer of α -iminyl radical cations: a new platform for relay annulation for pyridine derivatives and axially chiral heterobiaryls

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1,5-Hydrogen atom transfer of α -iminyl radical cations: a new platform for relay annulation for pyridine derivatives and axially chiral heterobiaryls†

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The exploitation of new reactive species and novel transformation modes for their synthetic applications have significantly promoted the development of synthetic organic methodology, drug discovery, and advanced functional materials. α -Iminyl radical cations, a class of distonic ions, exhibit great synthetic potential for the synthesis of valuable molecules. For their generation, radical conjugate addition to α,β -unsaturated iminium ions represents a concise yet highly challenging route, because the *in situ* generated species are short-lived and highly reactive and they have a high tendency to cause radical elimination (β -scission) to regenerate the more stable iminium ions. Herein, we report a new transformation mode of the α -iminyl radical cation, that is to say, 1,5-hydrogen atom transfer (1,5-HAT). Such a strategy can generate a species bearing multiple reactive sites, which serves as a platform to realize (asymmetric) relay annulations. The present iron/secondary amine synergistic catalysis causes a modular assembly of a broad spectrum of new structurally fused pyridines including axially chiral heterobiaryls, and exhibits good functional group tolerance. A series of mechanistic experiments support the α -iminyl radical cation-induced 1,5-HAT, and the formation of several radical species in the relay annulations. Various synthetic transformations of the reaction products demonstrate the usefulness of this relay annulation protocol for the synthesis of significant molecules.

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Introduction

Reactive species, such as carbocations, carbanions, and radicals play a considerable role in the synthesis of small molecules and macromolecules. Therefore, much effort has been devoted to the exploration of new types of species and their synthetic applications to create valuable compounds. In this context, distonic ions,^{1,2} a class of species with separated charge and radical sites, have been extensively investigated in the past a few years.^{3,4} For example, the classic McLafferty rearrangement involves the fragmentation of a carbonyl-containing distonic radical cation, which is applied in the structural elucidation using mass spectroscopy.^{5–7} Moreover, the distonic ions have been recognized as important active intermediates for several organic reactions, which can be exemplified by the well-established Hofmann–Löffler–Freitag reaction which related to the formation of a nitrogen-

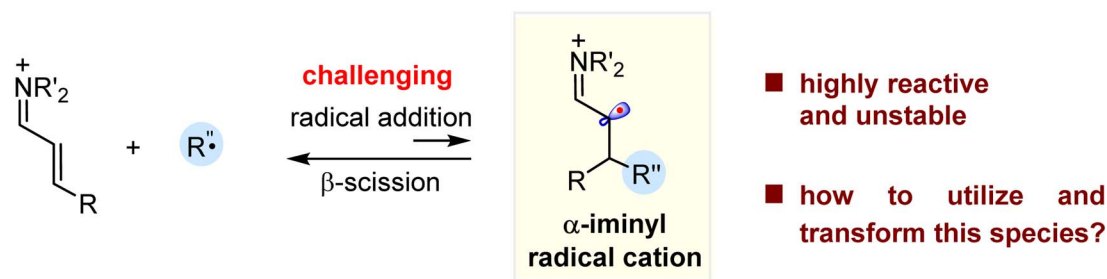
containing distonic radical cation,^{8,9} and the cyclization reactions involving alkene radical cations.^{10–13} Despite these great achievements, the methods for the generation of the distonic ions, the type of these species, and the related synthetic transformations remain limited. Therefore, it is highly desirable to explore new approaches for structurally accessing new distonic ions, and then to apply them into organic transformations to produce valuable compounds.

The α -iminyl radical cations represent an important class of distonic ions, and their synthetic uses have attracted much attention from the synthetic community in the past few years. Two approaches have been explored to access these species. One is one-electron oxidation of a transient enamine *via* singly occupied molecular orbital (SOMO) activation, coined by MacMillan.¹⁴ The resultant radical cation can participate in several enantioselective α -functionalization reactions.^{15–22} The other is the conjugate addition of nucleophilic radicals to α,β -unsaturated iminium ions formed by condensation of α,β -unsaturated carbonyls with amines (Scheme 1a).^{23,24} Compared to the SOMO activation of the enamines, the radical conjugate addition mode is highly challenging, and related examples remain scarce.^{25–30} One obstacle to these reactions would be the formation of short-lived and highly reactive α -iminyl radical cations that have a high tendency to

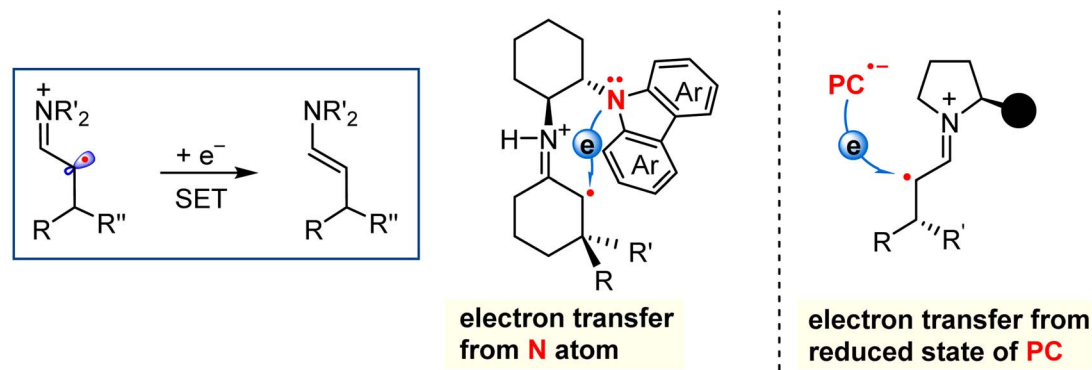
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(a) Generation of α -iminyl radical cation via radical conjugate addition

(b) Electron transfer strategy (rare examples)

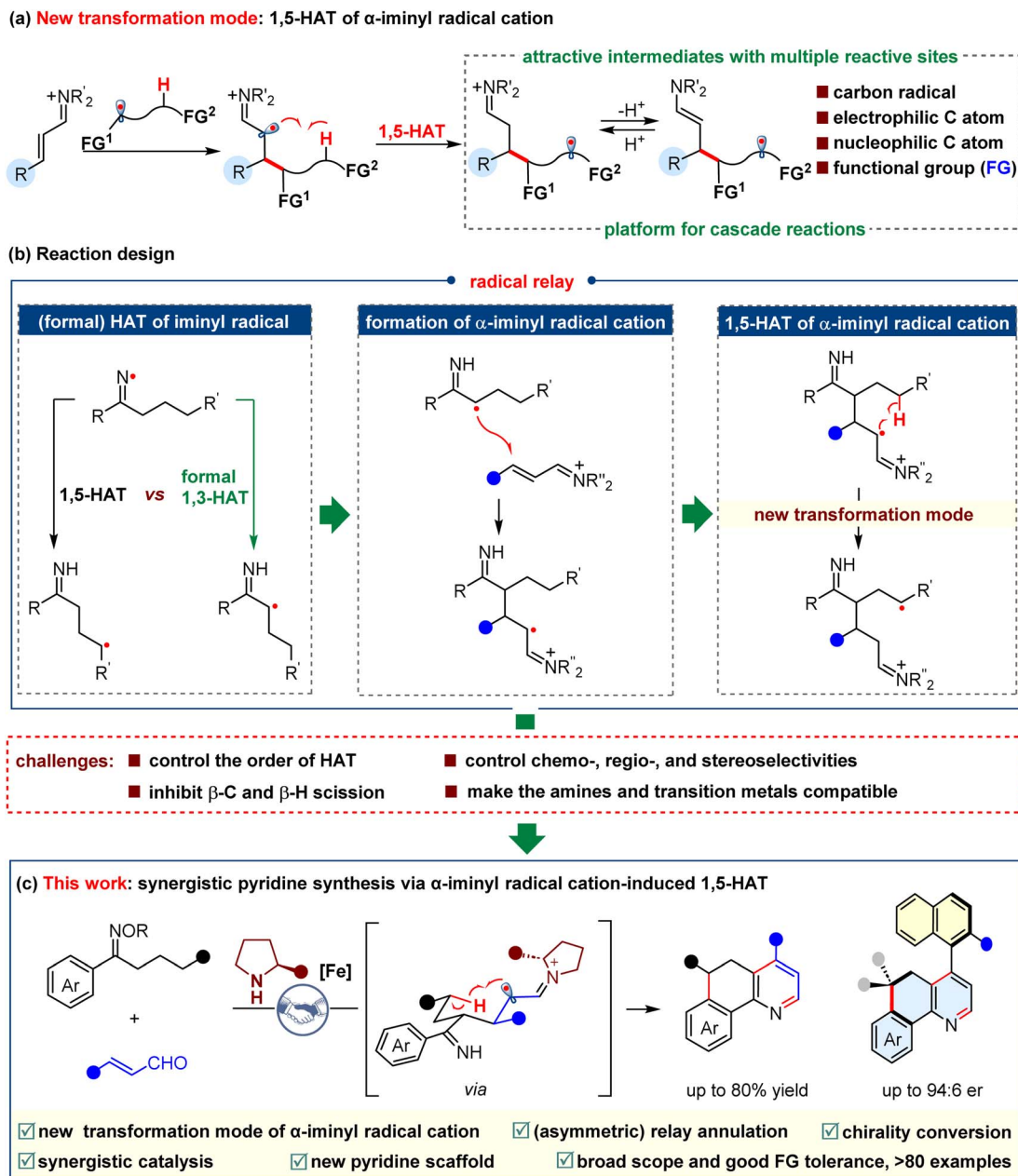
Scheme 1 Generation of the α -iminyl radical cation via radical conjugate addition and related examples.

create radical elimination (β -scission) to regenerate the more stable iminium ions.^{25,31} To address this problem, an “electron transfer strategy” has been utilized to convert the α -iminyl radical cation into a relatively stable enamine by acquiring an electron from the electron-rich species (Scheme 1b). In this context, Melchiorre and co-workers recently made a breakthrough, and designed a novel chiral primary amine bearing a carbazole redox-active moiety. The *in situ* generated α -iminyl radical cation could be reduced by the intramolecular carbazole *via* single electron transfer (SET).^{25,26} In addition, the α -iminyl radical cation may gain an electron from the reduced state of the photocatalyst (PC), which were demonstrated by the groups of Melchiorre and Yu in the photocatalytic synthesis of chiral aldehydes.^{27–30} In addition to the “electron transfer strategy”, it was necessary to exploit more new transformation modes to convert the α -iminyl radical cations into other types of reactive species which could induce new transformations, such as cascade reactions, to synthesize value-added molecules. As such, the α -iminyl radical cation based synthetic methods will find extensive applications in organic, drug, and material synthesis.

To exploit new transformations based on the α -iminyl radical cations, we turned our attention to the radical-induced 1,5-hydrogen atom transfer (1,5-HAT) strategy.^{32–41} We recognized that the α -iminyl radical cation-triggered 1,5-HAT would be feasible, because such a process could generate a relatively stable radical cation species, which could be a driving force for the reaction. It is worth noting that the

newly formed species is remarkably interesting, because of its multiple reactive sites, including a carbon radical, an electrophilic imine carbon atom, and a nucleophilic enamine carbon atom, as well as a functional group (FG) derived from the radical precursor. As such, the α -iminyl radical cation-triggered 1,5-HAT would provide a new platform for the realization of cascade reactions (Scheme 2a). On the other hand, the iminyl radical has exhibited great usefulness in the synthesis of numerous compounds.^{42–45} A remarkably significant type of transformation of the iminyl radical would be hydrogen atom transfer reactions,^{38,46} including 1,5-HAT and formal 1,3-HAT. Generally, 1,5-HAT dominates formal 1,3-HAT when α -H and γ -H are concurrent in the substrate, possibly because the former proceeds *via* a kinetically favored six-membered cyclic transition state. Nevertheless, we questioned whether the formal 1,3-HAT may occur prior to the 1,5-HAT. In our previous studies, we found that 1,3-HAT could selectively occur when a position for 1,5-HAT exists simultaneously, which was partially supported by the DFT calculations.⁴⁷ This work inspired us to combine the selective 1,3-HAT of the iminyl radical with the attractive transformation of the α -iminyl radical cation, which may involve an intriguing process consisting of an α -carbon radical conjugate addition to an α,β -unsaturated iminium ion, and an α -iminyl radical cation-triggered 1,5-HAT, as shown in Scheme 2b. To the best of our knowledge, no examples regarding such a radical relay protocol have so far been reported. The success of this proposition requires the capability to tackle several challenges,



Scheme 2 Design plan based on α -iminyl radical cation-induced 1,5-HAT.

such as: (1) the control of the order of HAT, the chemo-, regio-, and stereo-selectivities, (2) the inhibition of the β -C and β -H scissions, and (3) the realization of the compatibility of the amines and transition metals used to generate the iminyl radical. Herein, we describe a novel relay annulation strategy that showcases an unprecedented α -iminyl radical cation-induced 1,5-HAT (Scheme 2c). This iron/secondary amine synergistic catalysis constructs three new bonds and two rings in one step, which delivers a broad spectrum of fused pyridines, and exhibits good functional group tolerance. More importantly, this relay annulation approach can be upgraded to an asymmetric version, affording a series of axially chiral pyridine-based biaryls. These results further emphasize the

great synthetic potential of the α -iminyl radical cation-induced HAT in the synthesis of enantiopure molecules.

Results and discussion

To probe the feasibility of our relay strategy, we chose the reaction of oxime ester **1** derived from 4-methyl-1-phenylpentan-1-one with cinnamaldehyde **2** for the reaction condition optimization (Table 1; please see Table S1 in the ESI† for detailed results). With $\text{Fe}(\text{acac})_2$ as a catalyst, several secondary amines could catalyze the reactions to produce a fused pyridine **3** plus a non-fused pyridine **3'** (entries 1–4). The formation of the former might involve the α -iminyl radical



Table 1 Optimization of the reaction conditions for the relay annulation^a

Entry	Secondary amine	Yield ^b (%)		
		3	3'	
1	Pyrrolidine·HClO ₄	59	13	
2	Piperidine·HClO ₄	51	15	
3	Morpholine·HClO ₄	55	12	
4	iPr ₂ NH·HClO ₄	34	7	
5	A1	0	0	
6	A2	18	18	
7	A3	17	7	
8 ^c	Pyrrolidine·HClO ₄	51	10	
9 ^c	None	0	0	
10 ^{c,d}	Pyrrolidine·HClO ₄	0	0	
11 ^e	Pyrrolidine·HClO ₄	72 (70) ^f	12	

Ar = 3,5-(CF₃)₂-C₆H₃

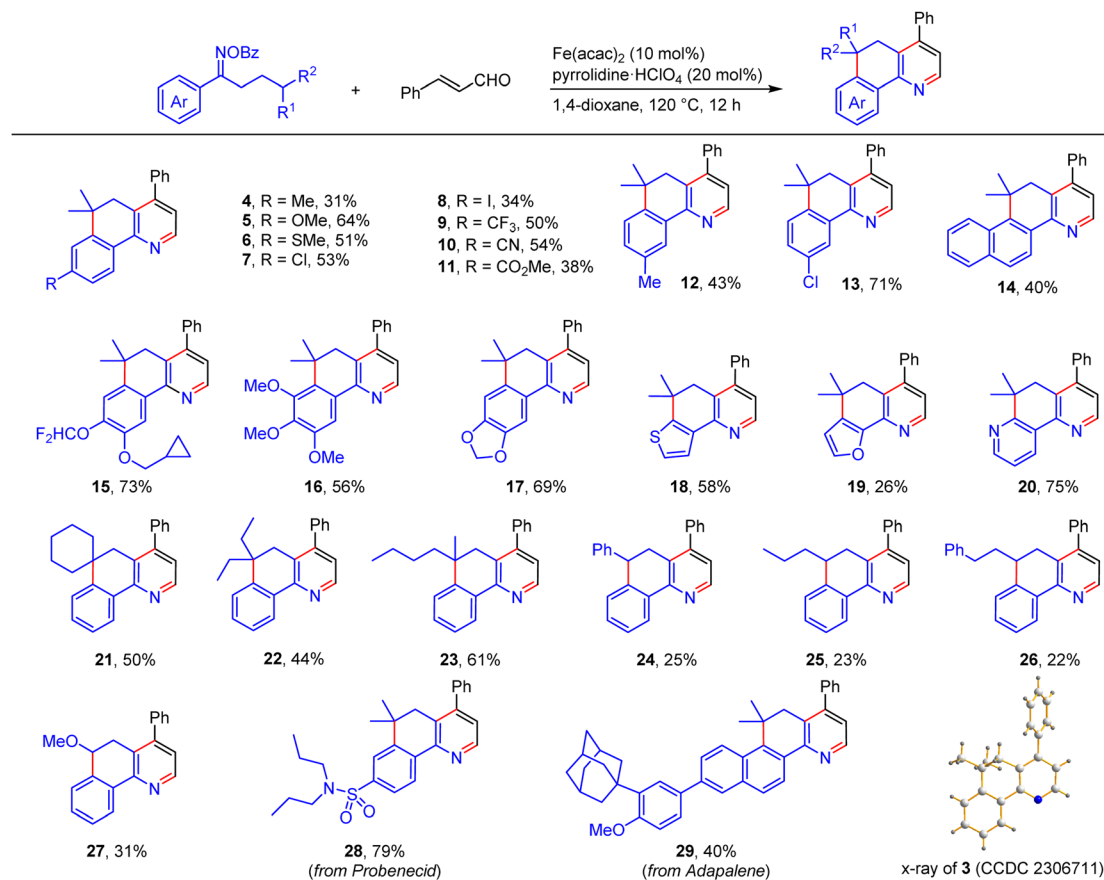
^a Reaction conditions: **1** (0.3 mmol), **2** (0.1 mmol), Fe(acac)₂ (10 mol%), amine (20 mol%), 1,4-dioxane (1 mL), 100 °C, 12 h, under N₂. ^b Determined by GC using *n*-tridecane as an internal standard. ^c Reaction was run at 60 °C. ^d In the absence of Fe(acac)₂. ^e Reaction was run at 120 °C. ^f Isolated yield. Abbreviations: Bz = benzoyl, TES = triethylsilyl, TMS = trimethylsilyl.

cation-triggered 1,5-HAT, and the latter would be generated by a [3 + 3]-type reaction.⁴⁸ Among these amines, pyrrolidine·HClO₄ showed the best reactivity, affording **3** with a 59% yield (entry 1). The structure of **3** was unambiguously confirmed by single crystal X-ray diffraction.⁴⁹ In addition, the MacMillan catalyst **A1** was unreactive in the transformation (entry 5), and the Jørgensen–Hayashi catalysts **A2** and **A3** showed lower reactivities (entries 6 and 7). Decreasing the reaction temperature from 100 to 60 °C resulted in a lower yield (entry 8). No product was detected in the absence of Fe(acac)₂ or a secondary amine, demonstrating the need for both components (entries 9 and 10). Gratifyingly, the reaction delivered the target product with a 70% isolated yield when increasing the reaction temperature to 120 °C (entry 11). It should be noted that, in many reactions, 4-methyl-1-phenylpentan-1-one oxime, and 4,4-dimethyl-3,4-dihydronaphthalen-1(2*H*)-one⁵⁰ as well as other unidentified byproducts were observed, which would result from the use of an excess amount of **1**.

With the viable reaction conditions in hand, we then demonstrated the generality of this relay annulation approach by evaluating a variety of oxime esters. As shown in Scheme 3, diverse functionalities can easily be installed into the aromatic rings, including methyl (**4** and **12**), methoxy (**5** and **16**), methylthio (**6**), chloro (**7** and **13**), iodo (**8**), trifluoromethyl (**9**), cyano (**10**), ester (**11**), cyclopropylmethoxy (**15**), and difluoromethoxy (**15**) groups. For the oxime esters with a *meta*-substitution on the aryl ring, the C_{sp³}–C_{sp²} formation occurred

at the less hindered site (**12** and **13**). The steric hindrance significantly influenced the reaction because the presence of an *ortho* methyl group resulted in none of the desired products being formed. Interestingly, various heterocycle-containing oxime esters, including benzodioxole (**17**), thiophene (**18**), furan (**19**), and pyridine (**20**), reacted with cinnamaldehyde to give rise to the target products in synthetically useful or moderate yields. It should be noted that the pyridine-derived oxime ester possesses two potential sites, C2 and C4 of the pyridine ring, for the C_{sp³}–C_{sp²} formation, whereas only the C2 functionalized product was detected. This result was consistent with the usual regioselectivity observed in the classic Minisci reaction, which would partly support the reaction mechanism hypothesis regarding the α -iminyl radical cation-induced 1,5-HAT process. We further probed the functionalities of the aliphatic chain of the oxime esters, besides having the dimethyl groups at the γ position, it can be a cyclic moiety. As such, the fused pyridine with a quaternary spiro carbon center was readily prepared (**21**). The substituents can also be ethyl/ethyl (**22**) and *n*-butyl/methyl (**23**) groups, and the reactions produced the corresponding products with moderate yields. The reactions of the oxime esters bearing a mono-substituent at the γ position with cinnamaldehyde occurred sluggishly, which afforded the desired products with low yields and other byproducts, including the parent ketones of the oxime esters (**24–27**). These results suggested that the γ -carbon radical stability would significantly affect the transformation.





Scheme 3 Substrate scope of the oxime esters. Reaction conditions: oxime ester (0.6 mmol), enal (0.2 mmol), $\text{Fe}(\text{acac})_2$ (10 mol%), pyrrolidine-HClO₄ (20 mol%), 1,4-dioxane (2 mL), 120 °C, 12 h, under N₂.

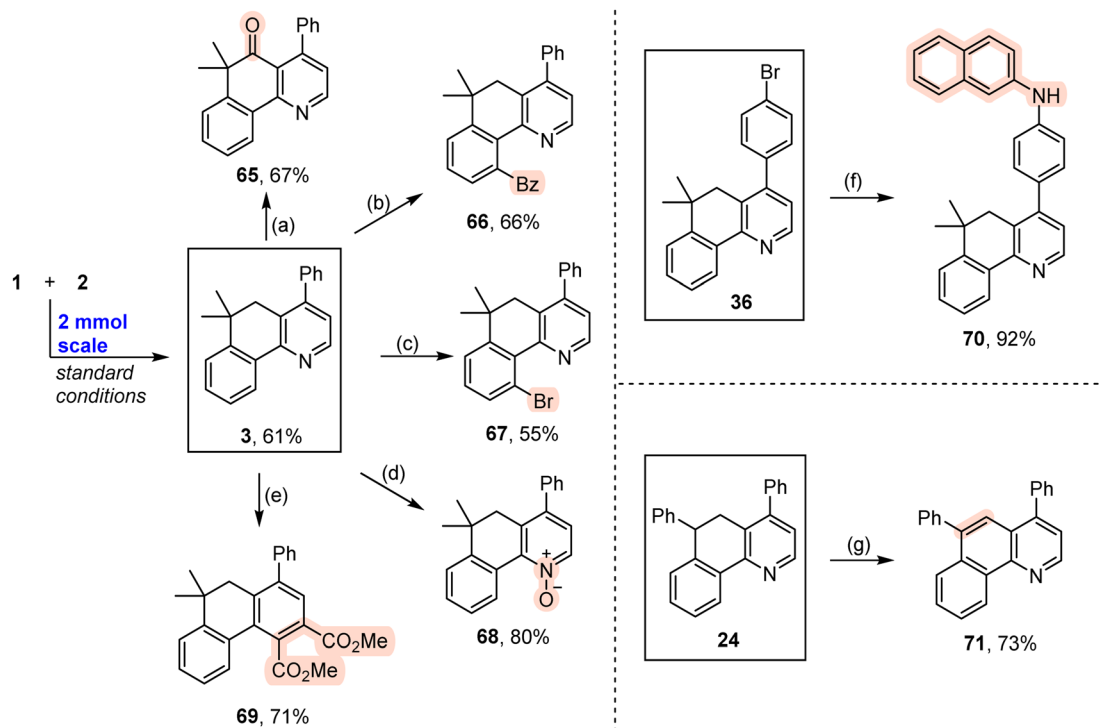
More interestingly, two oxime esters derived from pharmaceuticals, probenecid and adapalene, can also participate in the relay annulation to generate the target products with 79% and 40% yields, respectively (**28** and **29**). In some cases, competitive intramolecular C–C formation side products (benzocyclohexanone derivatives) were observed, which accounts for the moderate yields of the fused pyridines in some reactions.

We further showed the generality of this relay annulation approach by surveying the scope of the enals (Scheme 4). We found that substituted cinnamaldehydes were generally good components for the transformation, which delivered the target fused pyridines with moderate to good yields and a variety of functional groups were tolerated, such as methoxy (**31** and **45**), methylthio (**33**), halogen (**34–37**, and **44**), ester (**38**), trifluoromethyl (**39**), cyano (**40**), trifluoromethoxy (**43**), boron (**41**), alkynyl (**42**), and cyclopropylmethoxy (**46**) groups. These diverse functionalities will provide synthetic opportunities to prepare more valuable molecules. In addition, we examined the effect of heteroaromatics on the reactions. Notably, enals containing furan (**48**), benzofuran (**49**), pyrrole (**50**), thiophene (**51**), pyridine (**52**), and quinoline (**53**) moieties can be employed to provide the desired products in moderate to good yields. Moreover, enals with aliphatic (**54**), alkynyl (**55**), and

ester (**56**) groups were also amenable to the transformations. Enals bearing an α -substituent are generally challenging substrates in the previous radical conjugate additions, yet our method could give rise to the desired products with synthetically useful yields (**57** and **58**). It should be noted that four-fold annulations can afford the target product **59** with a 36% yield. This result particularly underlines the power of the present relay annulation, as the conventional methods would not allow its synthesis with such great ease. More interestingly, enals containing sterically bulky naphthyl groups can take part in the reactions to give the corresponding atropisomeric biaryls with moderate yields (**62–64**), which provided a platform for the atroposelective construction of axially chiral heterobiaryls *via* an asymmetric relay annulation strategy.

The obtained fused pyridines exhibited diverse types of transformations (Scheme 5). The methylene group in compound **3** can be easily oxidized with CrO₃ to a ketone group with a 67% yield (Scheme 5a). The pyridine moiety is a commonly used directing group to facilitate C–H activation. As such, aryl C–H bonds smoothly underwent benzylation and bromination under Pd catalysis to produce the target products (Schemes 5b and c). In addition, the pyridine ring can be efficiently converted into a pyridine *N*-oxide with 3-chloroperbenzoic acid (*m*-CPBA) as an oxidant (Scheme 5d). This





Scheme 5 Synthetic applications of the fused pyridines. Reaction conditions: (a) **3** (0.4 mmol), CrO₃ (2 equiv.), Ac₂O (3 mL), 25 °C, 3 h. (b) **3** (0.1 mmol), benzil (1.5 equiv.), Pd(OAc)₂ (5 mol%), TBHP (3 equiv.), THF (1 mL), 100 °C, 20 h. (c) **3** (0.1 mmol), NBS (1.2 equiv.), Pd(OAc)₂ (5 mol%), MeCN (1.5 mL), 100 °C, 48 h. (d) **3** (0.1 mmol), *m*-CPBA (4 equiv.), DCM, 0 → 25 °C, 12 h. (e) **3** (0.15 mmol), dimethyl acetylenedicarboxylate (6 equiv.), methyl pyruvate (6 equiv.), MeCN (1 mL), rt for 48 h, then 80 °C for 48 h. (f) **36** (0.1 mmol), 2-naphthylamine (1.2 equiv.), Pd(OAc)₂ (5 mol%), *rac*-BINAP (10 mol%), Na^tBu (1.5 equiv.), toluene (1 mL), 100 °C, 12 h. (g) **24** (0.1 mmol), DDQ (2 equiv.), toluene (1 mL), 80 °C, 48 h.

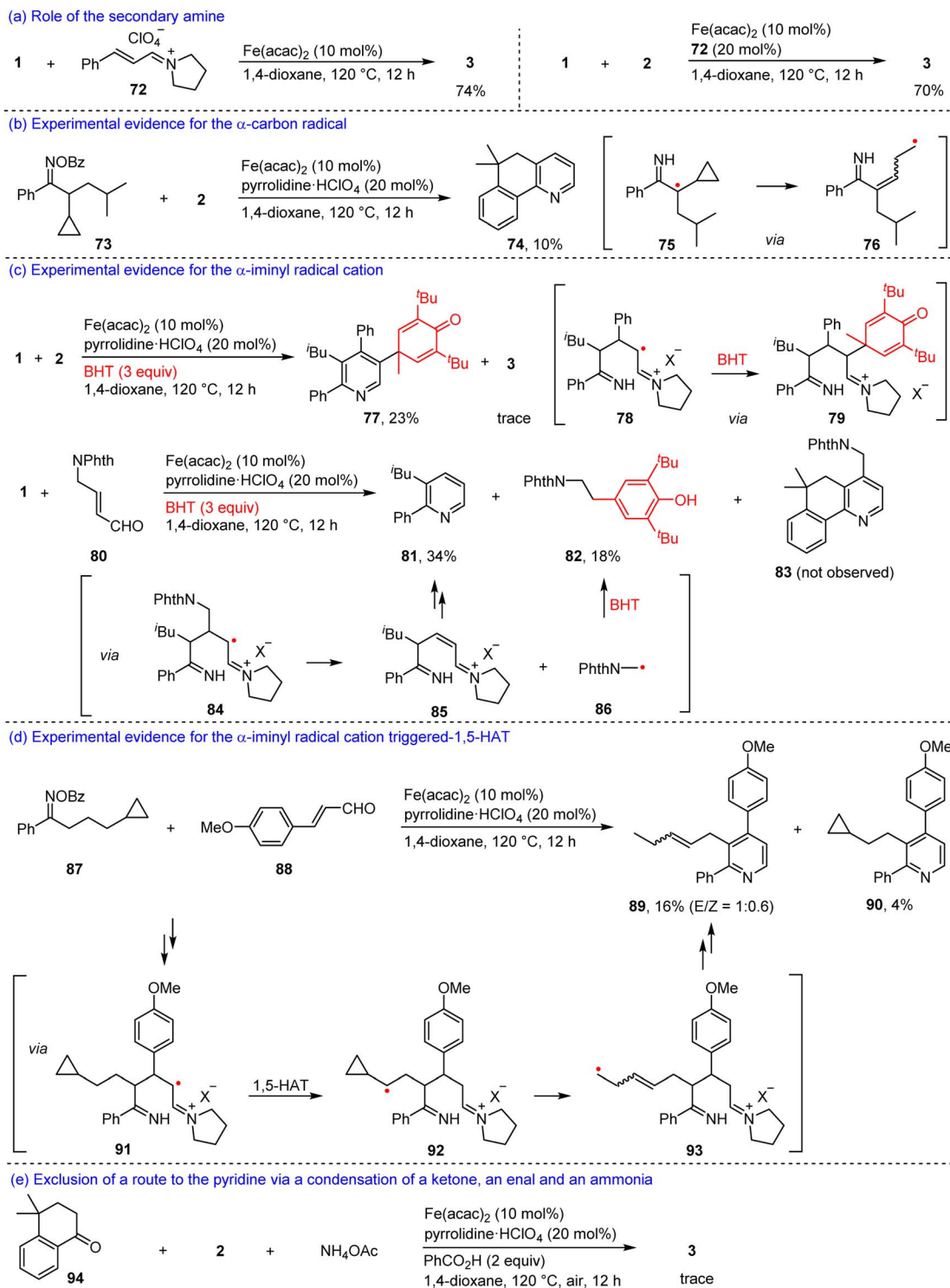
PhCO₂H in 1,4-dioxane at 120 °C under air, only produced a small amount of **3**, indicating that a process involving a condensation of a ketimine with an enal would be ruled out (Scheme 6e).^{53,54}

Based on these results and the work related to the iminyl radical transformations,^{42–45} we postulated a possible synergistic catalysis for the present reaction (Scheme 7). Upon single electron transfer from a Fe(II) complex to **1**, an iminyl radical **A** and a Fe(III) complex are formed. The former may quickly isomerize to an α -carbon radical **B**. Such a species then undergoes the radical conjugate addition to an iminium ion **C** derived from a condensation between pyrrolidine and cinnamaldehyde to produce an α -iminyl radical cation **D**. This reactive intermediate would cause 1,5-HAT to generate a tertiary dimethyl γ -carbon radical **E**, and then homolytic aromatic substitution occurs to form a benzo six-membered ring radical **F**. Subsequently, an oxidation reaction of **F** with the Fe(III) complex furnishes a compound **G** that would undergo intramolecular cyclization to afford a 1,4-dihydropyridine **I** as well as the pyrrolidine catalyst, thus closing the iminium catalytic cycle. Finally, **I** would be oxidized by the Fe(III) complex into the target product **3**, with an accompanying regeneration of the Fe(II) catalyst.

Axially chiral biaryls exist in numerous natural products, bioactive compounds, privileged chiral ligands/catalysts, and optically pure materials.^{55–58} Over the past few decades, much effort has been devoted to constructing the axially chiral biaryls,

and several synthetic tactics have been explored, such as asymmetric aryl–aryl coupling and *de novo* aromatic ring formation.^{59–68} Nevertheless, this field remains in its infancy, and efficient synthetic methods still need to be identified. Encouraged by the success in the synthesis of fused pyridines *via* relay annulation, we then planned to develop an asymmetric relay annulation strategy to construct the axially chiral biaryls. As shown in Scheme 7, the 1,4-dihydropyridine **I** would be formed in the present transformation. If a central chiral 1,4-dihydropyridine could be generated through an asymmetric relay annulation, an atropisomeric aza-biaryl may be produced by means of central-to-axial chirality conversion (Scheme 8a).^{69–71} With this in mind, we perceived that the use of a chiral secondary amine as the iminium activation catalyst would enable the atroposelective relay annulation, because its chiral skeleton could enantioselectively control several steps, such as carbon radical conjugate addition and cyclization. In addition, a highly electron-withdrawing group, pentafluorobenzoyl (^FBz), was installed on the oxygen atom of the oxime, which would cause the N–O bond cleavage under a mild reaction temperature. After considerable investigation of various reaction parameters, we found the reaction of oxime ester **95** with enal **96** afforded the desired product **97** with a 53% yield and a 93 : 7 enantiomeric ratio (er) with **A4** as the amine catalyst (Scheme 8b; please see Table S2 in the ESI† for detailed results of the optimization of the reaction conditions). Please



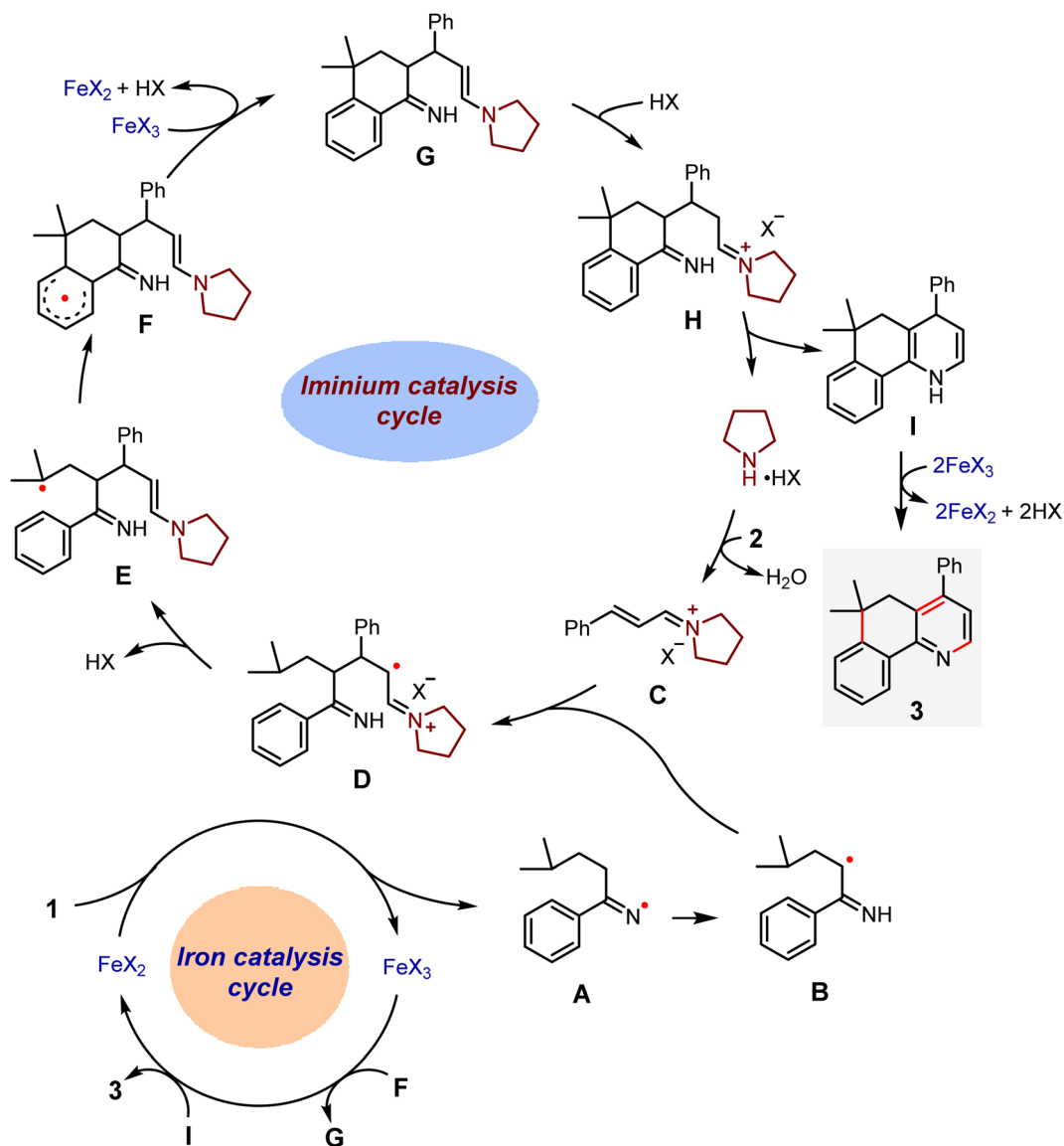
Scheme 6 Mechanistic studies (X = ClO₄, OBz, or acac).

see Scheme S5† for the proposed model for the central-to-axial chirality conversion.

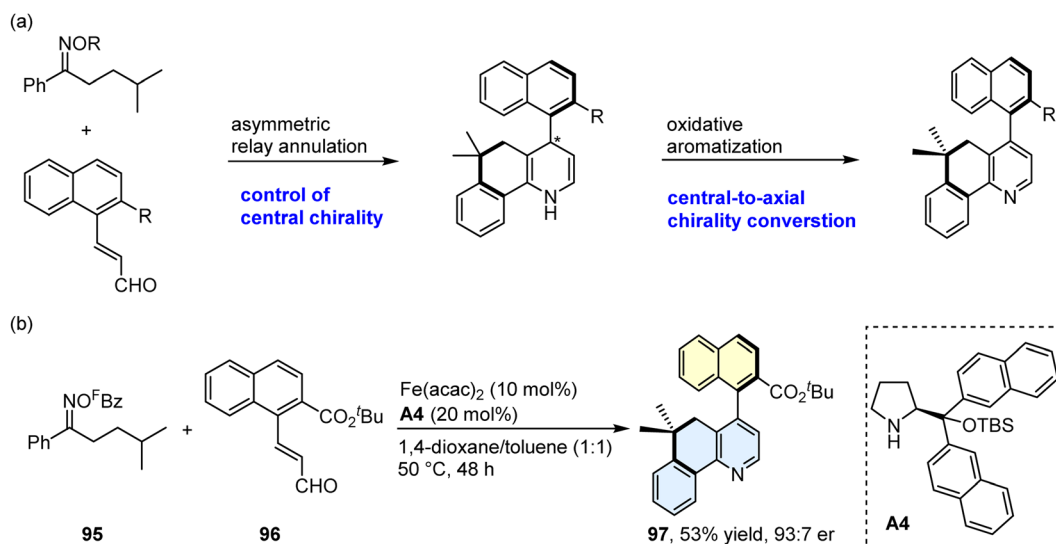
We subsequently expanded the generality of this asymmetric relay annulation with a set of oxime esters and enals (Scheme 9). First, the effect of the electronic properties of the substituents on the oxime aryl ring was examined. As shown in the synthesis of

98–104, the introduction of methyl, methoxy, halogen, ester, and trifluoromethyl substituents can be tolerated, affording the corresponding axially chiral biaryls in moderate yields with up to 94 : 6 er. The pyridine-derived oxime ester and an oxime ester bearing a cyclohexyl group at the β position both underwent the relay annulations to furnish the expected products in synthetic yields



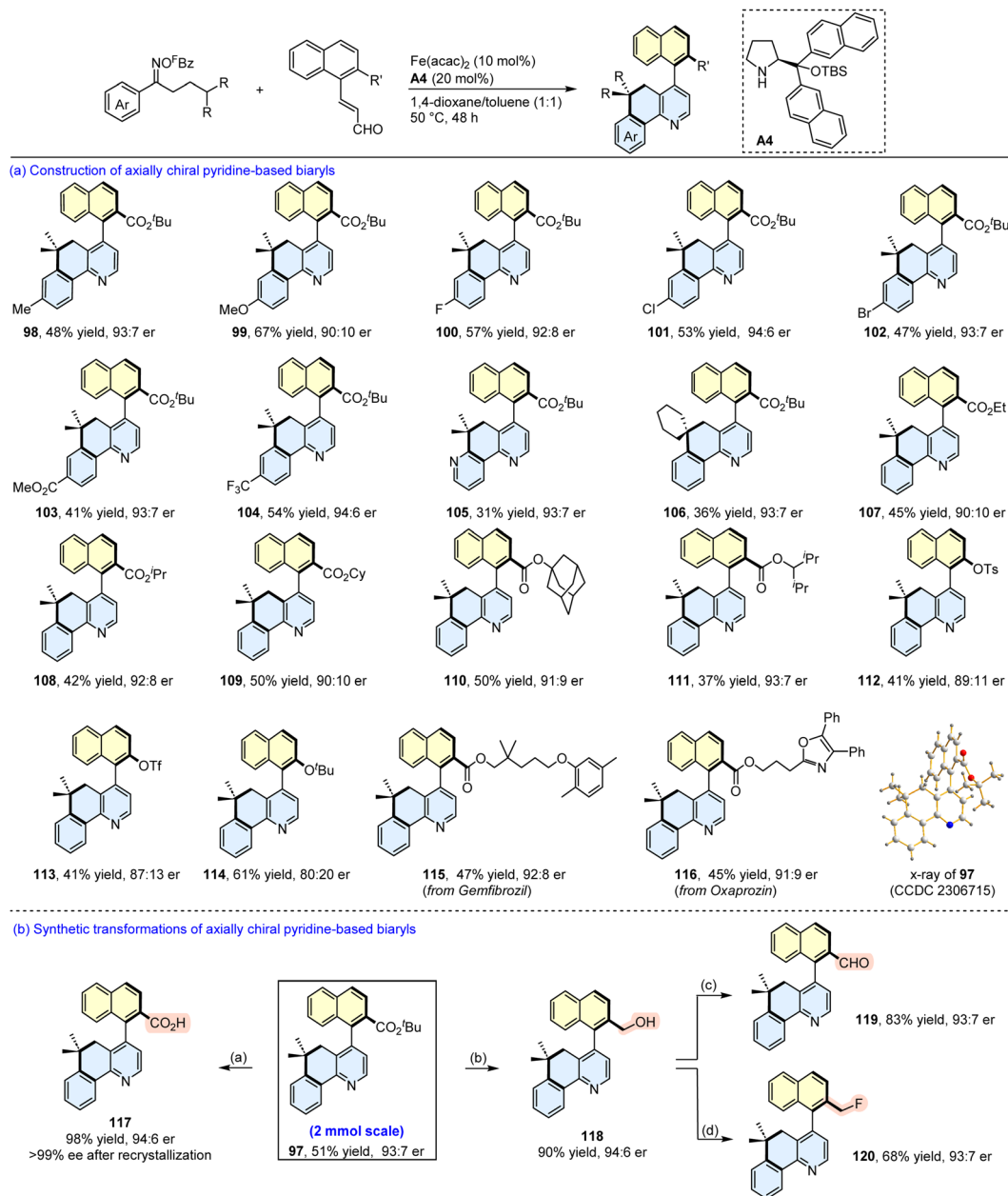


Scheme 7 Proposed mechanism for the relay annulation via synergistic iron and iminium catalysis ($X = \text{ClO}_4, \text{OBz}, \text{or acac}$).



Scheme 8 Asymmetric relay annulation. (a) Axially chiral aza-biaryl formation via central-to-axial chirality conversion. (b) Construction of an axially chiral biaryl by the newly developed method. Abbreviation: $^{\text{F}}\text{Bz}$ = pentafluorobenzoyl. TBS = *tert*-butyl(dimethyl)silyl.





Scheme 9 (a) Construction of axially chiral pyridine-based biaryls. Reaction conditions: oxime ester (0.6 mmol), enal (0.2 mmol), Fe(acac)₃ (10 mol%), **A4** (20 mol%), 1,4-dioxane/toluene (2 mL, v/v = 1/1), 50 °C, 48 h, under N₂. (b) Synthetic transformations of **97**. Reaction conditions: (a) NaOH (2 M), 95% EtOH, 100 °C, 24 h. (b) DIBAL-H (2.5 equiv.), DCM, -78 °C, 2 h. (c) IBX (1.2 equiv.), HOAc (1.2 equiv.), MeCN, rt, 6 h. (d) DAST (4 equiv.), DCM, 0 °C to rt, 12 h.

with 93 : 7 er (**105** and **106**). Encouraged by these results, we next evaluated the substituents on the naphthyl ring, and found that different ester groups slightly affected the enantioselectivities (**107–111**). However, the installation of OTs, OTf, and O^tBu groups at the β position of the naphthyl ring reduced the stereoselectivities (**112–114**). Furthermore, enals containing moieties derived from gemfibrozil and oxaprozin participated in the reactions to provide the target products with moderate yields with good er values (**115** and **116**). It should be noted that a 2 mmol scale reaction of **95** and **96** was performed, and a comparable result was obtained (51% yield, 93 : 7 er). The absolute configuration of **97** was determined as (aS) by X-ray diffraction analysis,⁷²

and those of the other products were assigned by analogy. It is of great significance that the ester group in the product provides a platform for further functional group elaboration to give several other axially chiral aza-biaryls (**117–120**).

Conclusion

In summary, we have explored a new transformation mode of the highly reactive α-iminyl radical cation, that is 1,5-HAT, which provides a platform for the realization of relay annulations. The present strategy can modularly assemble a wide range of structurally novel pyridine derivatives and axially chiral



aza-biaryls that would be difficult to access by conventional methods. A series of mechanistic experiments have supported the α -iminyl radical cation-induced 1,5-HAT, and the formation of several radical species in the relay annulations. We believe that the successful exploration of the α -iminyl radical cation-induced 1,5-HAT would open a new avenue for discovering new asymmetric relay annulations for the synthesis of chiral molecules. This work also enriches the knowledge of iron-catalyzed asymmetric radical reactions.^{73–77} Several projects based on the α -iminyl radical cations are ongoing in our group.

Data availability

All experimental data, and detailed experimental procedures are available in the ESI.†

Author contributions

Y. W. and K. J. conceived the project. Y.-Q. Z., K.-C. H., W.-H. Z., J.-F. L., S.-M. H., N. Y., Y.-B. Y., and L.-Y. L. performed the experiments and analyzed the data. Y. W. and Y.-Q. Z. prepared the manuscript and the ESI.† All the authors discussed the results and commented on the manuscript.

Conflicts of interest

There are no conflicts to declare.

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