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A polarization tunable incident angle tolerant dielectric metasurface-based color filter†

Soikot Sarkar,  Dip Sarker  and Ahmed Zubair  *

We designed and analyzed an ingenious Si–SiO₂–Si dielectric metasurface to obtain structural colorization harnessing the contrast between the refractive indices of dielectrics. Though a few Si-based dielectric metasurfaces were reported, the performances of these metasurfaces were poor. We analyzed the optical properties of the dielectric metasurface employing the finite-difference time-domain (FDTD) technique. Electric field distributions revealed our proposed dielectric metasurface reflected light for a particular polarized light due to the strong light–matter interactions and the asymmetric nature of the structure. We adopted structural parameters by utilizing a parametric sweep method. Our proposed structure can tune a high color difference by varying polarization angles. We achieved a high color difference of ~68 for the TE-polarized plane wave with negligible optical loss. Amazingly, our proposed dielectric metasurface structure exhibited a color difference of ~20 for a high angular tolerance of 60°. Our proposed dielectric metasurface structure can benefit diverse applications such as hyperspectral imaging, color filtering, and anti-counterfeiting applications.

1 Introduction

Photonic devices comprising plasmonic materials and dielectrics are the key components and building blocks of color filters,^{1–4} antennas,⁵ waveguides,^{6,7} mod

open the way for designing Si-based dielectric metasurfaces, which will benefit imaging,¹⁴ filtering,³¹ and anti-counterfeiting³² applications.

In this study, we proposed an ingenious Si-SiO₂-Si grating metasurface and studied the optical characteristics of the dielectric metasurface employing the FDTD method. We adopted the structural parameters of the dielectric metasurface by utilizing the parametric sweep. The electric field profile revealed our proposed metasurface reflected light for a particular polarized light due to the strong light-matter interactions. We studied the impact of structural parameters of Si and SiO₂ on color palettes for our proposed dielectric metasurface. In addition, we analyzed the chromaticity diagram of the metasurface and examined the vivid colorization of different images. Furthermore, the performance parameters, such as color difference and optical loss, were calculated for our proposed dielectric metasurface. We investigated the impact of polarization and incident angles on the reflectance spectra and color difference for our proposed dielectric metasurface structure. An extensive comparative performance analysis was conducted between our proposed dielectric metasurface and previously reported metasurfaces.

2 Structure design and methodology

Si optoelectronics has the advantages of advanced fabrication techniques, facilities, and abundance; however, the optical performance of the planar Si structure is poor. Hence, various Si nanostructures comprising different materials were utilized for increased optical performance.¹⁰ Here, we designed an ingenious Si incorporated dielectric metasurface to harness the high refractive index and build a filtering mechanism of light in the visible wavelength. The interference between Si-air and Si-SiO₂ provided a significant contrast in refractive index.

Fig. 1(a) delineates the schematic illustration of our proposed Si-SiO₂ dielectric metasurface. We used SiO₂ as a spacer and substrate layer for our study to obtain low lattice mismatch and high efficiency. We adopted the complex refractive indices of Si and SiO₂ from Palik³³ (see Section S1 of the ESI† for details). The grating structure was prepared using vertically arranged subwavelength Si-SiO₂-Si triple layers, where we considered air ($n_a = 1$) as the surrounding media in our study. This triple-layer grating structure offered improved results compared to the Si grating structure (see Section S2 of the ESI† for details). We discussed the suggested fabrication process in a later section. We define the duty cycle, f , of our proposed structure as

$$f = \frac{W}{P}. \quad (1)$$

Here, W and P denote the width and period of the grating. The W and P of the grating were set to be 100 and 260 nm. We adopted the thickness

380–780 nm was incident on the structure along the z -direction. We utilized transverse magnetic (TM) ($\varphi = 0^\circ$) and transverse electric (TE) ($\varphi = 90^\circ$)-polarized plane waves. Here, φ is the polarization angle.

Two frequency-domain fields and power monitors were positioned at the top of the light source and beneath the proposed structure to enumerate the reflectance, $R(\lambda)$, and transmittance, $T(\lambda)$. The simulation setup is illustrated in Fig. 1(b). $R(\lambda)$ and $T(\lambda)$ were calculated as follows:

$$R(\lambda) = \frac{P_R(\lambda)}{P_I(\lambda)}, \quad (2)$$

and

$$T(\lambda) = \frac{P_T(\lambda)}{P_I(\lambda)}. \quad (3)$$

We calculated the absorptance, $A(\lambda)$, of our proposed structure by

$$A(\lambda) = 1 - R(\lambda) - T(\lambda). \quad (4)$$

Here, $P_R(\lambda)$, $P_T(\lambda)$, and $P_I(\lambda)$ are the reflected, transmitted, and incident power, respectively. The temperature of the simulation environment was set to be 300 K. We created a benchmark of our simulation compared with the previously reported experimental and numerical works (see Section S4 of the ESI† for details). Additionally, we investigated the chromaticity chart using the CIE (Commission Internationale de l'Éclairage) 1931

diagram to estimate the reflected color from our proposed dielectric metasurface structure. Here, we employed the CIE DE2000 color difference formulas to evaluate the color difference.

3 Results and discussion

Our proposed dielectric metasurface structure, which worked as a color filter in reflection geometry, had polarization tunability, as shown in Fig. 2. Fig. 2(a)–(c) illustrate the reflectance, transmittance, and absorbance spectra of the proposed color filter within the visible wavelengths (380–780 nm) of light. To determine the polarization angle dependency of the filter, these parameters were computed using a light source with polarization angles (φ) ranging from 0° to 90° , where

R_{0° and T_{0° represent the reflectance and transmittance at $\varphi = 0^\circ$. R_{90° and T_{90° depict the reflectance and transmittance for the light with $\varphi = 90^\circ$. We theoretically calculated the reflectance spectrum by utilizing eqn (5) for $\varphi = 40^\circ$, exhibiting the same result, obtained from numerical analysis (see Section S5 of the ESI†). We considered the reflectance of incident light at various polarization angles to create a wide range of colors. Fig. 2(a) illustrates that there was a shift towards longer wavelengths (redshift) in the peak of the reflectance spectra when the φ was changed from 0° to 90° . Moreover, a broader effect of the reflectance spectra was noted. These characteristics of the reflectance spectra can be easily understood by the electric field distribution and will be addressed in subsequent discussions. A wide bandwidth peak in the reflectance spectra was obtained due to the addition of multiple electric modes, as our proposed metasurface structure exhibited domination for the electric fields, as shown in Fig. 3. The chromaticity coordinates were determined using these reflectance spectra corresponding to various φ . Subsequently, the chromaticity coordinates were plotted on the CIE 1931 chromaticity diagram, which provides quantitative relationships between wavelength distributions in the electromagnetic visible spectrum and physiologically experienced colors in human color vision, as depicted in Fig. 2(d). This chromaticity diagram displays a smooth gradient of colors ranging from blue to yellow, corresponding to φ from 0° to 90° . These generated reflected colors are illustrated in Fig. 2(e) as the logo color of Bangladesh University of Engineering and Technology (BUET). The spectra can be transformed into three standard tristimulus values X , Y , and Z by adopting the standard color-matching functions $\bar{x}$, $\bar{y}$, and $\bar{z}$ of the standard observers. The tristimulus values X , Y ,

and Z can be calculated by using the following equations:

$$X = \sum_{400}^{700} E(\lambda)R(\lambda)\bar{x}(\lambda)\Delta\lambda, \quad (7)$$

$$Y = \sum_{400}^{700} E(\lambda)R(\lambda)\bar{y}(\lambda)\Delta\lambda, \quad (8)$$

and

$$Z = \sum_{400}^{700} E(\lambda)R(\lambda)\bar{z}(\lambda)\Delta\lambda. \quad (9)$$

Here, E and $R</$

The electric field ($|E|^2$) distributions of our proposed structure in the xz plane are illustrated in Fig. 3(a)–(c) for $\varphi = 0^\circ$ and in Fig. 3(d)–(f) for $\varphi = 90^\circ$, where we considered the wavelengths of 421 nm, 512 nm, and 606 nm. At the wavelength of 421 nm, it is obvious that a significant amount of incident light was reflected, and a smaller amount was absorbed by the Si and SiO₂ layer for $\varphi = 0^\circ$. Meanwhile, a significant absorption was observed at the first interface of Si and SiO₂ when φ was set to 90° . In contrast, the second interface absorbed a minimal amount of light due to the lack of transmitted light from the first interface (see Section S6 of the ESI† for details). For the wavelengths of 512 nm and 606 nm, enormous amounts of transmission and reflection were observed for $\varphi = 0^\circ$ and $\varphi = 90^\circ$ respectively. The aforementioned characteristics of reflectance, transmittance, and absorbance of Fig. 2(a)–(c) can be easily comprehended from the electric field ($|E|^2$) distributions.

3.1 Effect of the thickness of the Si layer

The characteristics of our proposed polarization tunable color filter were analyzed by changing the thickness of the Si layer. The t_{Si} was varied from 10 to 100 nm with a difference of 10 nm between two successive thicknesses, while the thickness of the SiO₂ layer, $t_{\text{SiO}_2} = 95$ nm, was kept constant. Moreover, to maintain a uniform duty cycle, the width of the grating layer, W , was also kept constant. The reflectance spectra for both polarization $\varphi = 0^\circ$ and $\varphi = 90^\circ$ are illustrated in Fig. 4. A redshift of resonance wavelength of the reflectance spectra was observed with the change of t_{Si} for both polarization angles. This characteristic can be more rigorously understandable from Fig. 5(a)–(d) where the reflectance spectra are illustrated for four different φ of 0° , 30° , 60° , and 90° . A redshift and the broadening phenomena of the reflectance spectra were observed with the change of t_{Si} . The combination of these

two phenomena generated an extensive spectrum of color variations, as illustrated in Fig. 5(e)–(h).

These CIE chromaticity diagrams corresponding to different polarization angles were determined from the reflectance spectra. The asterisk sign denotes the chromaticity coordinates for different t_{Si} values. To analyze the chromaticity coordinates for various φ values,

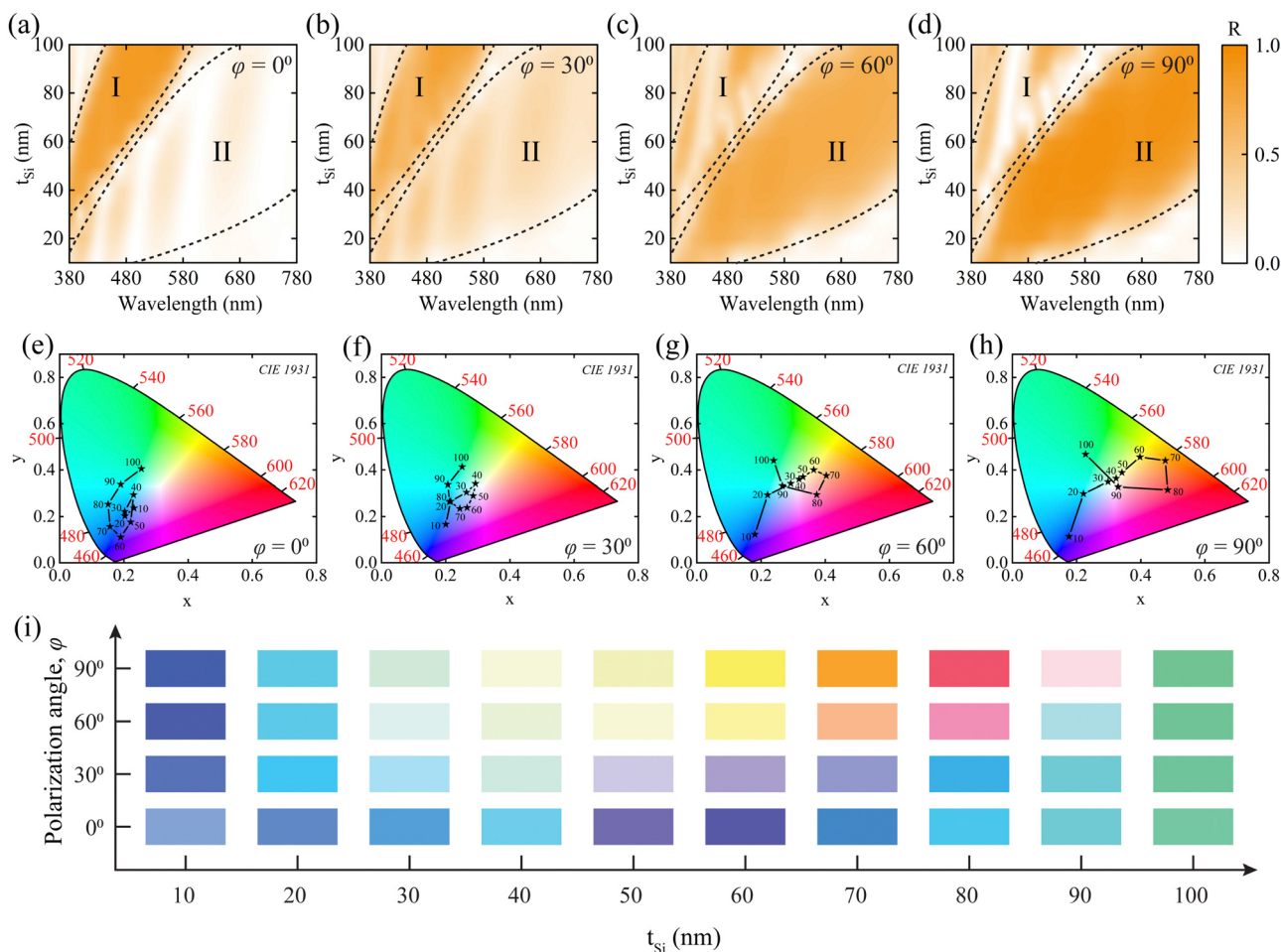


Fig. 5 Reflectance spectra of the dielectric metasurface structure for changing t_{Si} at ϕ values of (a) 0° , (b) 30° , (c) 60° , and (d) 90° . Other structural parameters were kept constant. The CIE 1931 chromaticity diagram of the dielectric metasurface structure for varying t_{Si} values at ϕ values of (e) 0° , (f) 30° , (g) 60° , and (h) 90° . The asterisks denote the color of the reflected light from the proposed dielectric metasurface structure for different t_{Si} values. (i) The color palette of the dielectric metasurface for varying ϕ and t_{Si} values.

Table 1 Calculated color difference of the dielectric metasurface structure for different ϕ and t_{Si} values. We calculated the color difference using the CIE DE2000 formula

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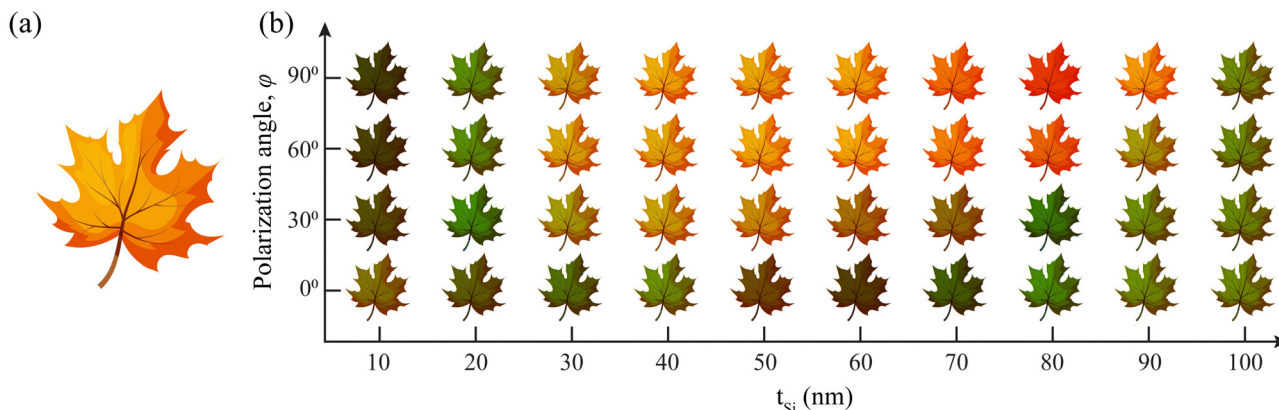


Fig. 6 (a) The colorization of a maple leaf during the fall season, which was taken as a reference. (b) Maple leaf under the reflected light of the dielectric metasurface structure for varying ϕ and t_{Si} values. Here, we generated the color palette by multiplying the reflected light of the dielectric metasurface structure with the maple leaf color during the fall season.

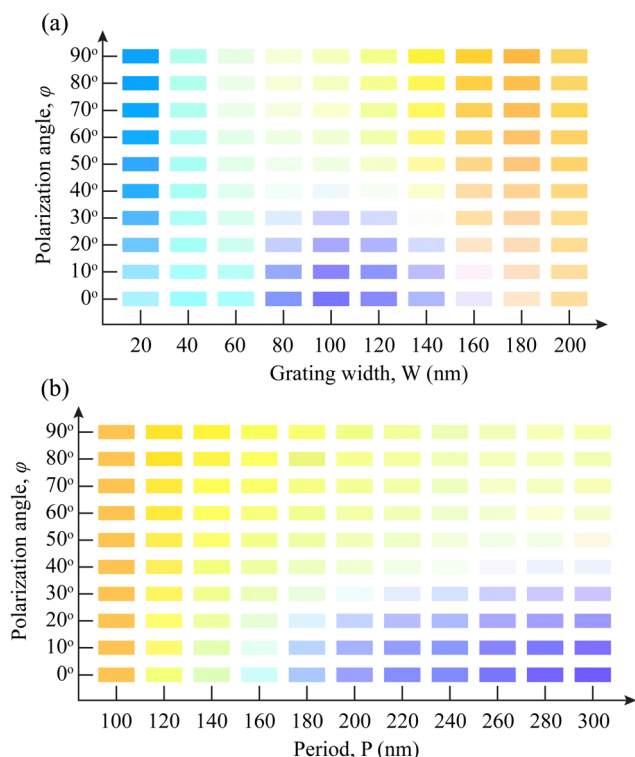


Fig. 7 (a) A color palette of our proposed structure for varying W and ϕ . We adopted a range of W from 20 to 200 nm. Here, we rotated the ϕ from 0° to 90° . (b) A color palette of our proposed dielectric metasurface structure for varying P and ϕ . We adopted a range of P from 100 to 300 nm. Here, we rotated the ϕ from 0° to 90° .

performance, implying the fabrication of the proposed color filter is feasible (see Section S8 of the ESI†).

3.3 Effect of periodicity

As our proposed color filter was periodic in one dimension (x -direction), the periodicity of this structure was an important factor to consider in achieving the required performance. A study was conducted to explore the color filtering performance

by varying the periodicity of our dielectric grating structure, P , as well as the polarization angle, ϕ . In this regard, we have considered a constant width of the grating layers, W . Since the

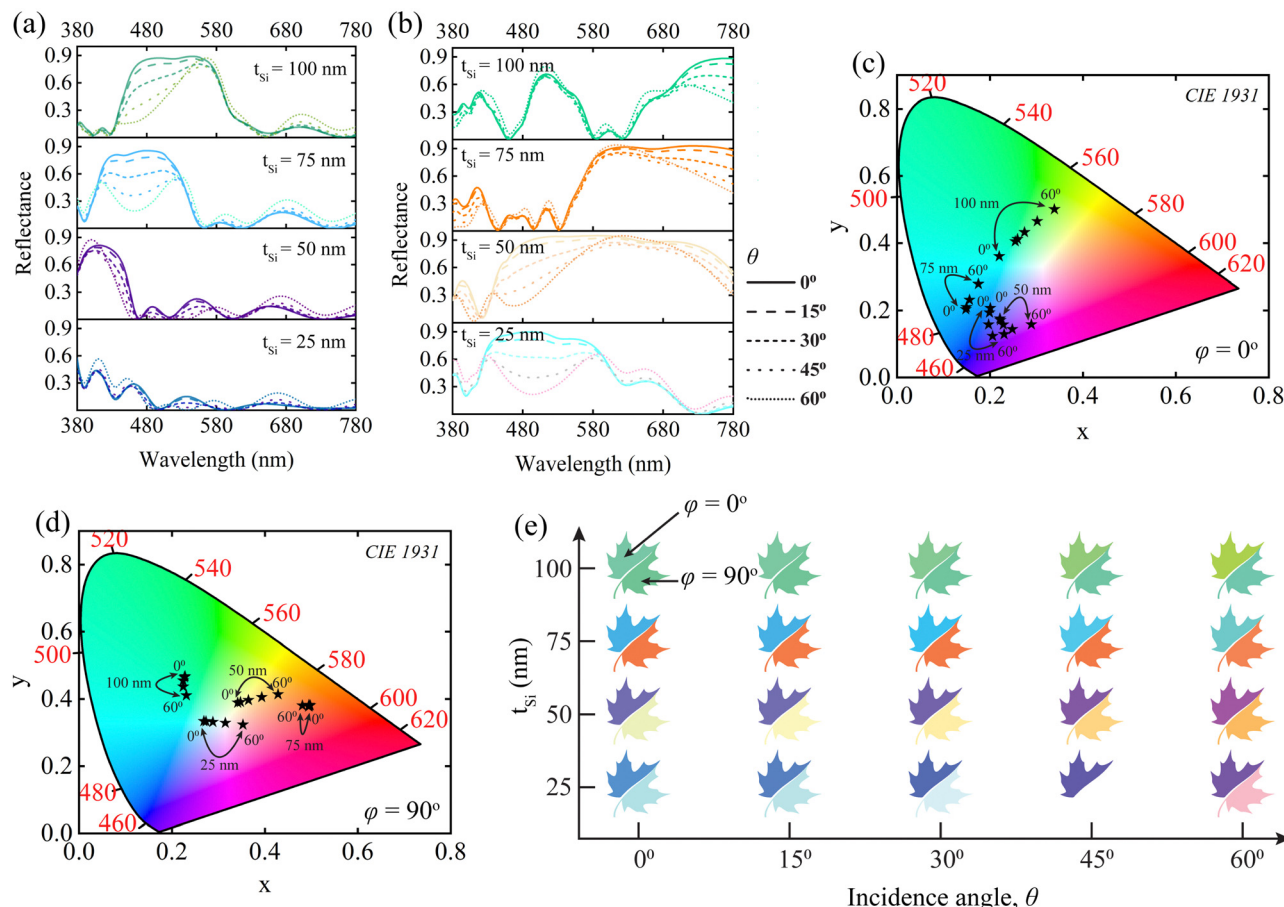


Fig. 8 Reflectance spectra of our proposed dielectric metasurface structure for different t_{Si} and θ at φ values of (a) 0° and (b) 90° . Here, we varied t_{Si} from 25 to 100 nm and θ from 0° to 60° . The CIE chromaticity diagram of our proposed structure at φ values of (c) 0° and (d) 90° , respectively, where the chromaticity coordinates are denoted by asterisks sign and determined by the reflectance spectra of (a) and (b). The arrow sign indicates the shift of the chromaticity coordinates with the θ for different t_{Si} . (e) A 2D array of maple leaves where the maple leaves are divided into two halves. The maple leaf's left and right halves represent the color for φ values of 0° and 90° , respectively, with varying θ and t_{Si} . The x-axis and y-axis indicate the incidence angle, θ , and the thickness of the Si layer, t_{Si} , respectively.

of 10% for the 75 nm of Si layer thickness at the θ of 60° . However, the reflectance spectra exhibited a high degree of similarity when the incidence angles were varied for a specific thickness of the Si layer. As a result, we found that the colors produced by these reflections of the proposed color filter were quite similar. The corresponding chromaticity coordinates were calculated by these reflectance spectra and plotted in the CIE diagram, illustrated in Fig. 8(c). The chromaticity coordinates are denoted by the asterisk sign, whereas the arrow sign indicates the shift of the chromaticity coordinates

Table 2 Calculated color difference with respect to $\theta = 0^\circ$ for different t_{Si} and θ at φ values of 0° and 90°

t_{Si} (nm)	$\varphi = 0^\circ$				$\varphi = 90^\circ$			
	25	50	75	100	25	50	75	100
$\theta = 0^\circ$	0	0	0	0	0	0	0	0
$\theta = 15^\circ$	2.69	2.27	1.59	1.58	2.14	2.04	0.61	0.73
$\theta = 30^\circ$	9.57	8.13	6.21	5.94	9.03	7.71	1.95	2.46
$\theta = 45^\circ$	17.42	14.87	13.71	11.96	27.42	15.15	2.53	3.99
$\theta = 60^\circ$	20.48	17.83	26.33	18.22	42.49	21.65	2.04	5.66

the Si layer, respectively. The colors of these maple leaves were picked from the CIE chromaticity diagram of Fig. 8(c) and (d). This 2D array

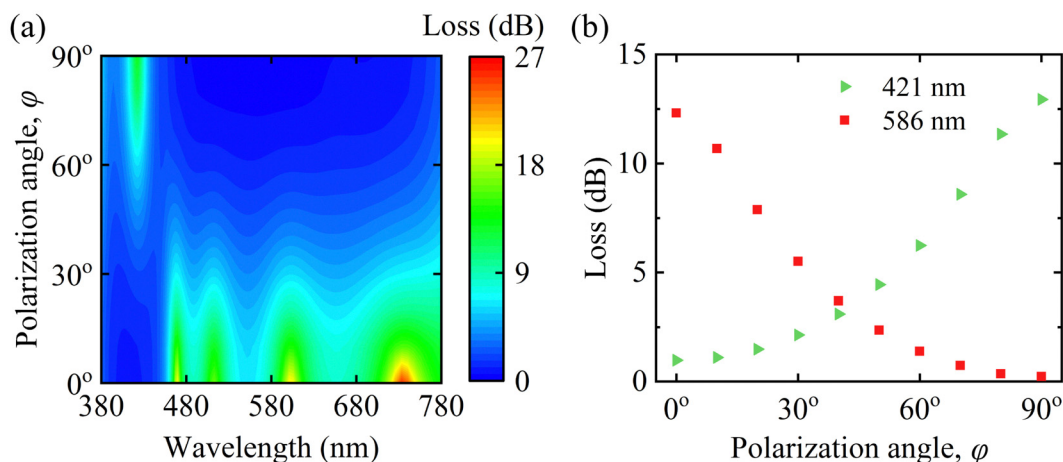


Fig. 10 (a) Loss profile due to change of ϕ from 0° to 90° at operating wavelengths from 380 nm to 780 nm of our proposed dielectric grating metasurface. (b) Loss at the wavelengths of 421 and 586 nm for varying ϕ . Here, we considered the resonance wavelengths at ϕ values of 0° and 90°.

metasurface and previously reported structures. Gao *et al.* reported a reflective color filter with an operating wavelength range of 380 nm to 780 nm wherein the proposed structure was designed to harness a 1D Si grating.¹ The structure exhibited a maximum color difference of 55.32, operating an oblique incidence range from 0° to 60°. Moreover, they reported a transmissive type 1D dielectric-metal-dielectric grating filter with an operating wavelength range of 400 to 700 nm.³⁶ Ellenbogen *et al.* introduced a transmissive color filter designed with an asymmetrical metal-cross structure.³⁷ Their structure operated in a wavelength range from 460 to 620 nm. Yang *et al.* introduced a reflective MIM square grating structure, operated at the wavelength range of 380 to 78

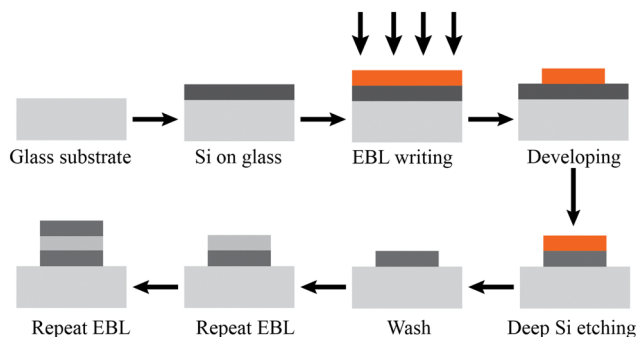


Fig. 11 Suggested fabrication procedure of our proposed dielectric metasurface.

photoresist layer. The Si-SiO₂-Si grating dielectric metasurface may be produced after repeating the EBL technique twice.

6 Conclusions

We proposed a polarization-tunable ingenious one-dimensional Si-SiO₂-Si dielectric metasurface and analyzed its optical properties employing the FDTD method. Specifically, we utilized the high refractive index contrast between Si and SiO₂ dielectrics to obtain vivid structural colorization. Our proposed dielectric metasurface structure not only exhibited continuous color palettes by altering the polarization angles but also produced vivid structural colorization by adjusting structural parameters. A high color difference from 0 to ~68 was obtained by changing ϕ from 0° to 90° for $t_{\text{Si}} = 50$ nm. The color difference can be increased by increasing the $t_{\$

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