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Emerging investigator series: optimisation of drinking water biofilm cell detachment and sample homogenisation methods for rapid quantification *via* flow cytometry†

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Understanding biofilm microbial loads and viability within drinking water pipes is critical to inform sustainable management of ageing infrastructure to protect future water quality. This study establishes an optimised method for robustly harvesting and quantifying cells of biofilms sampled from drinking water systems. Extensive research was conducted to determine the best way to remove biofilms of diverse ages (3–9 months) from different sampling surfaces (pipe sections or coupons) and create homogenised samples for rapid cell enumeration using flow cytometry. Utilising a standardised brushing technique, the optimised approaches delivered the greatest yield of biofilm cells (nine times more cells removed than using sonication) and simultaneously homogenized samples without affecting integrity of intact cells. The optimal brushing strategy differed slightly between sampling surfaces (15 brush strokes for pipe sections, 30 for coupons). When applied to biofilms from a full-scale pipe system, the optimised sampling and flow cytometry methods consistently showed the same trends in biofilm cell concentrations as obtained *via* molecular analysis (qPCR), but more quickly and from a smaller sample area. Application of the optimised biofilm preparation approach to samples from operational DWDS will ensure that greater yield and more representative samples are collected and analysed, which is critical for any downstream biofilm characterisation or assessment of operational performance.

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Water impact

Appropriate and optimised drinking water biofilm removal/harvesting is critical in generating representative samples for any subsequent microbiome characterisation or assessment of operational system performance. As such, the optimised methods presented are of vital importance to biofilm research and the wider water sector as they will facilitate generation and translation of understanding to real-systems. The presented method, combined with advances in flow cytometry, has the potential to provide novel insights into biofilm fouling rates and interventions within DWDS environments. Ultimately, this will lead to proactive and sustainable management of water systems, protecting water quality.

1 Introduction

Drinking water distribution systems (DWDS) are designed and managed to maintain the microbial quality, and thereby the biostability,¹ of drinking water reaching the consumer. Monitoring microbial water quality is critical to assess DWDS performance and protect public health. Methods traditionally used to monitor the microbial quality of water are based on culture dependent techniques that detect microorganisms in

bulk-water samples. Such methods are under representative of microbial loads in DWDS as they only consider culturable planktonic organisms and do not take into account attached microorganisms residing within biofilms in DWDS.² More recently, cultivation independent methods, such as flow cytometry and adenosine triphosphate (ATP) have gained considerable interest as these methods are rapid and able to detect both culturable and non-culturable microorganisms.³ Robust flow cytometry protocols have been developed^{4,5} and applied to quantify the absolute number of cells in different water types or locations in the DWDS,^{6,7} and to assess treatment efficiency.^{8–10} These studies have comprehensively demonstrated the benefits of flow cytometry and insights this analysis can provide. However, the majority of these protocols and applications focus on enumerating microorganisms within the bulk-water and not within biofilms.

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The majority of microbial material within DWDS is found within drinking water biofilms,¹¹ attached to the pipe wall *via* a matrix of extracellular polymeric substances (EPS). Biofilms have the potential to degrade drinking water quality *via* interactions with both the pipe wall and the bulk-water. Biofilm mobilisation into the bulk-water can cause discolouration and other aesthetic quality issues, potentially posing a risk to public health if pathogens are mobilised,¹² hence there is a need to reliably characterise biofilms from drinking water systems to understand and control associated risks. DWDS cleaning programmes, such as mains conditioning,¹³ flushing,¹⁴ or pigging¹⁵ are used to remove or manage material from the pipe walls of the DWDS. Understanding and characterising biofilms or the biofouling rate within DWDS is critical to plan the type and frequency of network interventions.¹⁶

Until recently, biofilm sampling *in situ* within DWDS relied on either using cut-out sections of pipe or inserting devices, such as the Pennine Water Group (PWG) coupon¹⁷ or the 'biofilm sampler'.¹⁸ Emerging technologies, such as the biofilm monitoring device (BMD),¹⁶ offer a simple way to sample biofilms within operational DWDS, and study biofilm formation rates in distribution systems with different water qualities. However, there is currently no universally accepted method for optimal biofilm removal/harvesting from sampled surfaces (required to ensure representative samples are analysed) or the subsequent homogenisation of the biofilm samples, which is critical for downstream analyses such as flow cytometry that require homogenised samples for accurate quantification. Although, not drinking water specific, Buckingham-Meyer, Miller¹⁹ note that vortexing, sonication (with a water bath) and scraping are common methods for separating biofilm from the sampled surface and demonstrate optimisation for silicon catheter tubing, polycarbonate CDC reactor coupons and glass surfaces. Note that herein the term "biofilm removal" will be used to refer to collecting all (or as much as possible) of a biofilm from a sampled surface, this process is also referred to as "harvesting" or "processing" in the literature (Buckingham-Meyer *et al.*, 2022).¹⁹ Some drinking water studies also use (repeated) sonication *via* a water bath, with glass beads for removal of biofilm from drinking water pipe sections or from a shower hose, followed by homogenisation using a sonicating needle.^{20,21} Others remove biofilm by brushing the sampled surface such as PWG coupons that have been inserted into drinking water pipes in a full-scale facility or an operational network.^{16,17,22}

Flow cytometry requires a homogenised sample of single cells/events to be most effective. The biofilm EPS (responsible for adhesion/cohesion) promotes clustering, and therefore in order to have homogenised, representative samples, an external disturbance must be applied. However, care must be taken during sample homogenisation to avoid cell damage.²³ Gagnon and Slawson²⁴ compared four drinking water biofilm homogenisation methods including the use a tissue blender, vortex, stomacher and a sonicator; and found that

stomaching provided the highest enumeration (in terms of heterotrophic plate counts (HPCs) and total cell counts). However, intact cell counts were not assessed so impact of the method on cell viability cannot be evaluated. There is a need to understand which biofilm removal and homogenisation methodology is most appropriate to use when studying drinking water biofilms from full-scale and field systems. Moreover, it is essential to determine if these methods can be further optimised to transfer as many cells as possible from the sampled surface but with minimal impact on cell integrity, to ensure the most representative biofilm samples are analysed.

This research aimed to evaluate the impact of different drinking water biofilm removal and homogenisation methods on cell quantity and viability, to inform the most appropriate removal method to ensure representative drinking water biofilm cell quantification *via* flow cytometry. Existing methods used to remove drinking water biofilms from sampling devices were compared and optimised, and their advantages and limitations considered. Homogenisation methods, including vortexing and sonication with a sonicating needle, were assessed. The methods were trialled on developing and mature biofilms, and flow cytometry quantification trends were compared to those obtained using molecular analysis (qPCR), to provide reliable and useful methodological data for further DWDS biofilm research so that representative data is collected that is comparable across studies.

2 Methods

2.1 Experimental overview

A series of laboratory trials were conducted to: (i) determine the optimal brushing method to remove drinking water biofilm from a pipe surface (Biofilm Monitoring Device – BMD or coupons from a bioreactor); (ii) compare and evaluate biofilm removal methods (brushing *vs.* glass bead sonication); and (iii) determine the optimal method to homogenise drinking water biofilms for cell enumeration using flow cytometry. Subsequently, the optimised removal, homogenisation and flow cytometry methods were applied to enumerate the cells in biofilms sampled from a full-scale DWDS (using coupons), verifying the method *via* comparison to molecular based quantification (qPCR).

2.2 Biofilm sampling devices

Unless specified, all biofilm cell removal and homogenisation trials were conducted using biofilms grown within biofilm monitoring devices (BMD) developed at The University of Sheffield (Fig. 1). The BMD consisted of a series of short and identical polyethylene pipe lengths (53 mm) suitable for biofilm sampling, which can be connected directly to an operational drinking water supply.¹⁶ A flow valve was attached to the outlet (Akro Valves Ltd, UK) to maintain a consistent flow rate of 1 L min⁻¹ with a shear stress of 0.16





Fig. 1 Biofilm monitoring device (BMD) schematic (A) and image (B). L = length; OD = outside diameter, ID = inner diameter, blue arrows indicate direction of water flow. (A) Schematic showing installation of five rows of the BMD (row L = 100 cm, height of all rows = 70 cm, total L ~ 500 cm). Note schematic does not show the full number of pipe sections used in an installation. (B) Photo of short section of BMD *in situ*.

Pa.¹⁶ The biofilms used in the removal and homogenisation experiments were grown in a BMD for either 3 or 6 months.

In addition to the BMD, an unpressurised drinking water bioreactor, containing square (2 cm × 2 cm) high density polyethylene (HDPE) coupons (Fig. S1†) was used to develop biofilms for 9 months. The bioreactor was run at a steady state flow of 0.4 L s⁻¹, replicating a shear stress (0.12 Pa), comparable to that experienced in UK operational DWDS, based on an average flow of 0.4 L s⁻¹ in 75–100 mm diameter pipes.²⁵ The use of HDPE coupons facilitated the optimisation of the brushing technique on an alternative surface shape and a material relevant to full-scale DWDS pipelines. Biofilm samples from the bioreactor were used during the optimisation of the brushing removal method.

2.3 Biofilm growth and sampling for removal and homogenisation tests

To establish the suitability of applying the removal and homogenisation methods across differently aged biofilms, trials were performed on developing (3 months) and mature (6–9 months) biofilms. It would be desirable for the optimised method to be suitable for application to mature and young biofilms alike to enable temporal studies of drinking water biofilm growth and dynamics with the same methods.

All experiments were performed in a temperature-controlled (16 °C) laboratory at the University of Sheffield. Prior to installation, all pipe sections (or coupons) and brushes were cleaned *via* sonication with a 2% (w/v) sodium dodecyl sulphate (SDS) solution and autoclaved.¹⁶ Both the BMD and bioreactor were supplied with water from the local DWDS (surface water source), which is distributed *via* a cast iron trunk main direct into the building that houses the

facility. No local dosing of organisms was used so the biofilms developed naturally. Throughout the biofilm growth periods, bulk-water quality was monitored every two weeks ($n = 3$), in all instances water quality was within the UK regulations with standard parameters being monitored (see Table S1†).

During sampling of individual pipe sections from the BMD ($n = 3$ or $n = 5$), the flow was temporarily switched off (<1 minute) for removal of a pipe section before the remaining pipe sections were reconnected and flow resumed. When sampling the bioreactor HDPE coupons ($n = 5$), flow was paused, clean coupons were used to replace sampled coupons, before the flow was resumed. In all experiments, control samples were also collected ($n = 3$ or $n = 5$), which included phosphate buffer solution (PBS) being poured over coupons or pipe sections (*i.e.* no brushing or sonication). An overview of the biofilm samples collected (age, sampling surface) and experiments conducted on those samples is presented in Table 1.

2.4 Biofilm removal

2.4.1 Brushing optimisation. To ensure suitability with different biofilm samples, the brushing protocol was optimised for maximum removal without damaging cells, using mature biofilms (Table 1) and two drinking water biofilm sampling surface types – the BMD and HDPE coupons. The optimisation experiments were conducted on mature biofilms, as establishing a successful protocol for samples with extensive biomass and EPS, would be indicative of effectiveness on younger, less developed biofilms.

When brushing to remove biofilm from the BMD, suspensions were created using an adapted version of the



Table 1 Overview of biofilm sample used for removal and homogenisation trials

Biofilm age		Sampling surface	Brushing optimisation	Removal comparisons	Homogenisation comparisons
Description	Months				
Developing	3	BMD	—	Brushing Glass bead sonication	Vortex Sonicating needle
Mature	6	BMD	BMD optimisation	Brushing	Vortex Sonicating needle
Mature	9	HDPE coupon	HDPE coupon optimisation	Brushing	—

BMD: biofilm monitoring device; HDPE: high density polyethylene.

technique described in Fish, Collins;²⁶ using sterile nylon cylinder brushes (Lessmann, Germany; diameter 6 mm, length 80 mm) biofilm was removed from the interior surface of the BMDs into a 30 mL volume of PBS. To determine the optimum number of brush strokes, pipe sections ($n = 5$) were removed from a BMD and brushed five times using circular brush strokes (rinsing the brush into a 30 mL volume of PBS after each brush), the resulting biofilm suspensions was then enumerated using flow cytometry (section 3.6). Subsequently, the same BMD pipe sections were brushed a further five times (rinsing the brush in PBS after each brush) and the resulting suspension was enumerated using flow cytometry to assess any additional biofilm that had been removed. This process was repeated five times, up to a total of 25 brush strokes.

Biofilm was removed from HDPE coupons ($n = 5$) by brushing the “top” surface (*i.e.* the surface that was in contact with the bulk-water during growth) into 30 mL of sterile PBS, using a sterile toothbrush (nylon, bristle dimensions 30 mm × 10 mm × 12 mm, standard toothbrush of medium firmness) and brushing for a set number of brush strokes. The HDPE coupons were brushed horizontally and vertically for 0, 10, 20, 30, 40 and 50 brushes (in both directions), rinsing the toothbrush in the PBS after 10 strokes in one orientation. The biofilm suspensions were analysed using flow cytometry (section 3.6) after each set of 10 brush strokes in both orientations.

The optimal number of brush strokes was ascertained by using flow cytometry to quantify cell concentrations and viability after each set of brush strokes (five for BMDs, 10 for HDPE coupons) and determine the point at which no further cells were being removed from the BMD or HDPE surface.

2.4.2 Comparison of removal techniques. The BMD optimised brushing technique for biofilm removal was compared to biofilm removal using glass bead sonication to evaluate removal yield and sample integrity between methods. Method comparison using HDPE coupons was not conducted because sonication with glass beads was not possible without removing material from “external” surfaces of the coupons (*i.e.* surfaces other than the “top” that was in contact with the bulk-water).

Biofilm removal using sonication and glass beads was conducted using the protocol outlined in Proctor, Gächter.²⁰ In summary, pipe sections ($n = 5$) were aseptically removed

from the BMD, one end of the pipe section was sealed (using parafilm) and a mixture of glass beads (0.5 mL) and PBS (0.5 mL) was added, the open end of the pipe section was then also sealed (with parafilm). The sealed BMD pipe section was inverted five times and sonicated in a water bath (Advantage-Lab™, Belgium) for 5 minutes. The resulting biofilm suspension was collected into a 50 mL falcon pipe (the beads were retained) and the BMD was filled with fresh PBS and sonicated again. This process was repeated for five rounds of sonication and PBS replacement. After the final round, the glass beads were removed and discarded, and the pipe sections filled up with PBS and inverted 30 times. This final rinse water was added to the biofilm suspension, and the entire biofilm suspension was sonicated for 0.5 minutes.

Removal methods were compared using 3 month old biofilm samples ($n = 10$), sampled randomly from a BMD device (Table 1). Half of the BMD pipe sections (1–5) were brushed first and cell removal was quantified *via* flow cytometry, followed by water-bath sonication with glass beads and any additional cell removal assessed using flow cytometry. The other BMD sections (6–10) had the biofilm removal using water-bath sonication with glass beads applied first; cell removal was quantified *via* flow cytometry, followed by secondary removal using brushing and any additional cell removal was quantified *via* flow cytometry.

2.5 Biofilm homogenisation optimisation

To evaluate homogenisation efficiency two methods were compared, vortexing (gentle mixing) and sonication with a sonicating needle (harsher homogenisation method) using suspensions of developing (3-months) and mature (6-months) biofilms. The biofilm suspensions were generated using either the optimised brushing or sonication with glass bead protocols as indicated (Table 1) to assess any impact of upstream biofilm removal method on the efficiency of the homogenisation method.

Each homogenisation method (vortexing or sonication-needle) was conducted for a series of increasing longevities to ascertain the optimal time and technique to ensure that biofilm samples were homogenised but no cells were damaged in the process. Samples were either vortexed (speed setting 6, Vortex-Genie 2, Scientific



cytometry analysis biofilms were taken in triplicate, and biofilm was removed from the insert of the PWG coupons (surface area 90 mm²). For qPCR analysis biofilm was removed from the outer coupon ($n = 5$, surface area 314.16 mm²), to provide more biomass for downstream DNA based analyses. In all instances, biofilm samples were obtained from the coupon surface using the optimised removal and homogenisation methodology. The cell concentrations within the resulting suspensions were then analysed using either the flow cytometry method described previously or the qPCR method detailed in Fish and Boxall.²⁹ Briefly, qPCR entailed filtering biofilm suspensions (47 mm, 0.22 μ m pore nitrocellulose membrane; Millipore, USA) and extracting DNA using the proteinase K chemical lysis method, with cetyltrimethyl ammonium bromide (CTAB) incubation.^{26,30} Copies of the bacterial 16S rRNA gene and fungal ITS region were quantified using a StepOne qPCR system (Applied Biosystems), including internal standard curves from environmental samples ($R^2 \geq 0.984$) and internal calibration standards to normalise/calibrate data and enable comparisons between plates. The primers used were Eub338 (5'-ACTCCTACGGGAGGCAGCAG-3') and Eub518 (5'-ATTACCGCGGCTGCTGG-3')^{29,31,32} for bacteria, and ITS1F (5'-TCCGTAGGTGAACCTGCGG-3') and 5.8S (5'-CGCTGCGTTCTTCA TCG-3')^{29,33,34} for fungi. All qPCR reactions were undertaken in triplicate and amplified according to the Quantifast SYBR Green PCR kit (Qiagen, UK): 12.5 μ L QuantiFast SYBR Green PCR MasterMix, 9 μ L nuclease free water (Ambion, Warrington, UK), 1.25 μ L of each primer (10 mM) and 1 μ L of DNA template

(or nuclease free water for the controls). The cycling conditions used for the qPCR were 95 °C for 5 min, then 35 cycles of 95 °C for 10 s and 60 °C for 30 s. The number of gene copies was determined using the StepOne software.

2.8 Data analysis

Due to different datasets being used in this research, a range of statistical tests were applied, with the p -values (significance level was <0.05) being reported alongside any other relevant values specific to each test. The normality of data was analysed using the Shapiro-Wilk test. Parameters were not normally distributed, therefore they were compared using non-parametric statistics, specifically Kruskal-Wallis (for comparison of >2 datasets, $df = 2$ in all cases, χ^2 values presented in results) or Wilcoxon (for comparison of two datasets, W values reported). All statistical analysis and graphical plots were generated in R v4.1.0 with a significance level of $p < 0.05$. R packages used include: ggplot2, grid and beeswarm.

3 Results and discussion

3.1 Biofilm removal: brushing optimisation

Optimisation of the brushing process to fully remove DWDS biofilm from the surface of a pipe section (in this case a BMD) was determined using mature biofilms (developed for 6 months), which would likely have a greater biomass than younger biofilms.³⁵ Thus the optimised protocol would be

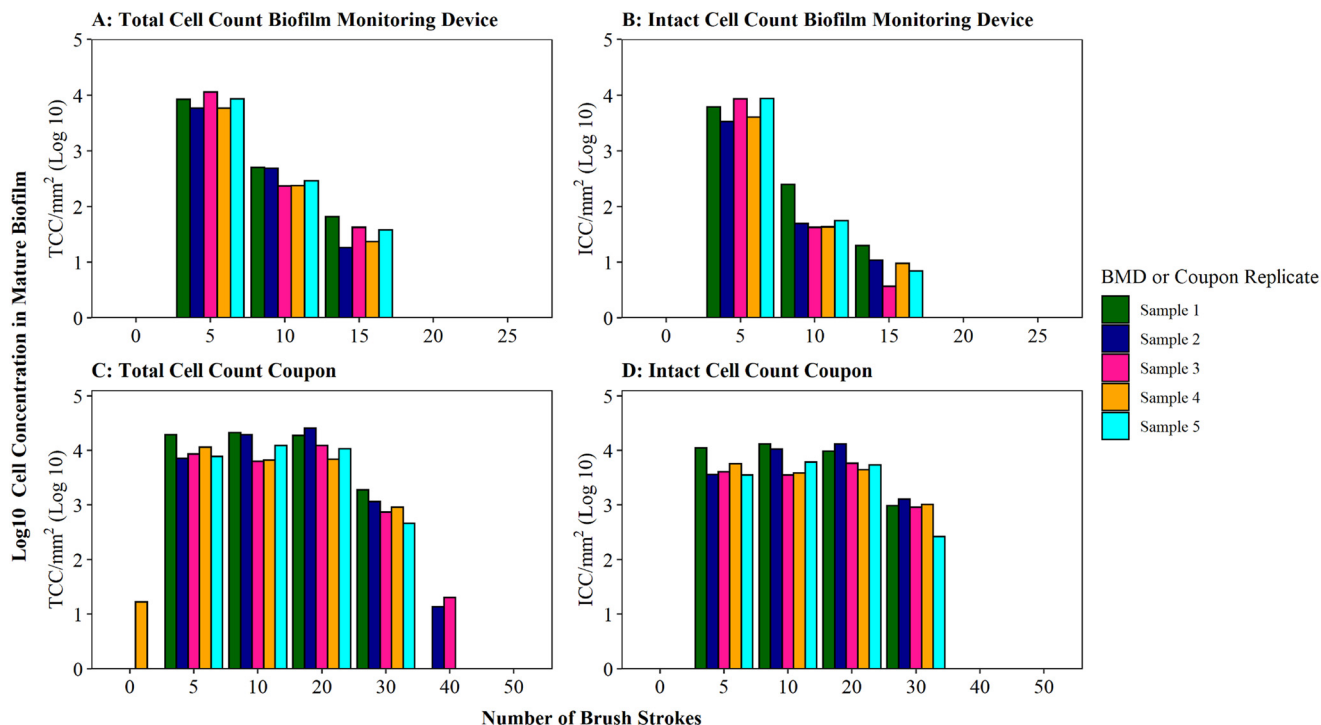


Fig. 2 Brushing efficiency to remove biofilm from biofilm monitoring devices (A and B) and high-density polyethylene coupons (C and D). Total cell counts (TCC) and intact cell counts (ICC) obtained from each BMD or coupon ($n = 5$) are presented. Brushes refers to brush strokes. “0” brushes is defined as phosphate buffer solution (PBS) poured over the surface of the BMD or coupon to act as a control. Note data is logged so brush 0 count in panel C is only 17 cells compared to 11 311 at brush 5 (see Fig. S5† for raw data).



suitable for use across a range of ages of biofilm samples. Assessment of the efficiency of biofilm removal using different iterations of brushing and rinsing is shown in Fig. 2, with respect to cell quantity (Fig. 2A) and viability (Fig. 2B) in the biofilm suspension generated. The largest proportion of total and intact cell were removed after five brushes, followed by a magnitude difference between subsequent sets of five brushes. The majority of cells (average of 95% TCC and 98% ICC) were removed after 5 brushes, with an additional 4% of TCC and 1% of ICC removed by increasing to 10 brushes. Very minimal amounts of additional cells (<1% of TCC and ICC) were removed after 15 brushes. No further cells were detected in the 20 or 25 brush conditions (Fig. 2A, B and S3† shows non-logged data), indicative that all cells had been removed from the BMD after 15 brushes.

Coupons are a commonly used sampling surface when studying drinking water biofilms^{36–38} and offer an alternative to pipe sections. As BMD and coupon sampling surfaces require different brushing approaches, the impact of brushing longevities (in both horizontal and vertical orientations) on cell removal was tested specifically with mature drinking water biofilms developed on HDPE coupons (Fig. 2C and D). The majority of cells were removed after 20 brushes (average of 97% of the total TCC and 96% of the total ICC removed was achieved at this stage). After 30 brushes, on average, 100% of biofilm TCC and ICC had been removed from the coupons (additional brushing did not remove any further cells; Fig. 2C, D and S3†).

A greater number of brush strokes was needed to remove cells from mature biofilms developed on coupons (30 brushes) compared to BMD sections (15 brushes). This is likely due to the difference in the brush type (and strokes) required for the two sample types, which differ in surface type, shape and area being sampled (“top” coupon surface with area of 400 mm²; internal BMD pipe surface with area: 915.78 mm²). It could also be a function of the coupon biofilms being grown under different hydraulics (flow rate, shear stress) in the unpressurised bioreactor (0.4 L s⁻¹, 0.12 Pa) compared to the pressurised BMD (1 L min⁻¹, 0.16 Pa). Hydraulics have been repeatedly documented to influence biofilm volume, EPS composition, cohesive strength, morphology, growth rates and community composition.^{39–45} Biofilm–hydraulic trends do not converge in the literature, with some studies reporting increased density and adhesion/cohesion with increased shear stress or velocity^{41,45} and others observing the opposite, that adhesion/cohesion is reduced under greater ranges of velocity.^{42,44} The range of impacts of hydraulics on biofilm characteristics is likely due to different operating conditions and sampling procedures. This emphasises the need to establish robust, repeatable methods for studying and sampling biofilms from full-scale (and operational) systems in order to generate relevant data to enhance our understanding of the complex relationship between shear stress and drinking water biofilm formation.

The impact of brushing longevity on cell viability was evaluated *via* analysis of the proportion of ICC (as a percentage

of TCC) for the mature biofilms sampled from BMDs and coupons. Biofilm suspensions generated from both sampling surfaces (BMD and coupons) fluctuated in their ICC proportion as the number of brush strokes increased and between replicates. No consistent trend between brushing longevity and ICC percentage was observed, which is a reflection of the biofilm heterogeneity. On average, for BMD biofilms, the ICC proportion was greatest in the initial suspension (average ICC% of 73% after 5 brushes), dropping and then increasing slightly (averages of 23% after 10 brushes and 32% after 15 brushes; Fig. S4†). It is possible that during the initial brushing stages intact cells were preferentially removed from the BMD if they were in less well-adhered or top layers of biofilm, which may have been removed first. In contrast, for the coupon biofilms the ICC proportion was fairly stable for the first three rounds of removal (average ICC% of 50% after 5 brushes, 56% after 10 brushes, 53% after 20 brushes) with a greater proportion of ICC in the suspension removed with 30 brushes (average ICC% of 82%, Fig. S5†). This could indicate that intact cells from the coupon biofilms were more prevalent in the deeper or more strongly-adhered areas of biofilms. Depth related viability profiles have not been clearly established for drinking water biofilms but studies of dental biofilms have reported similar contrasting trends, with non-viable cells often dominating either the very outer layer of the biofilm⁴⁶ or the deeper layer of the biofilm.^{46–48} These trends could be governed by environmental parameters such as nutrient or oxygen concentration⁴⁷ but the drivers governing viability depth profiles have yet to be established. It should be noted though that despite the average trends, both the coupon and BMD biofilms showed biological variation in the ICC proportions and as such any variation in ICC% with brushing longevity may purely be a reflection of biofilm heterogeneity (Table S3†). Nevertheless, the increase in the proportion of intact cells between 10 and 15 brushes for BMD biofilms, and 20 and 30 brushes for HDPE coupon biofilms, suggests that brushing was not having a kill effect. Therefore, the optimised brushing longevities should result in repeatable, high yield biofilm suspensions and preserve the integrity of intact cells to ensure that a representative biofilm sample is analysed.

3.2 Biofilm removal: brushing vs. sonication comparison

When comparing the efficiency of brushing (using the optimised protocol) and sonication with glass beads, as per Proctor, Gächter,²⁰ for removing biofilms from a pipe section (Fig. 4), it was found that brushing resulted in a significantly greater cell yield (brush first vs. sonicate first TCC $W = 25$, $p \leq 0.01$; ICC $W = 25$, $p \leq 0.01$). On average, brushing removed 90% of the entire TCCs detected in a sample when used as the primary removal method (BMD pipes 1–5, Fig. 4A); subsequent sonication removed very few cells (an order of magnitude less). This demonstrates that brushing would remove the majority of the cells. In contrast, when sonication with glass beads was the primary removal method (BMD pipes 6–10), an average of 51% of all the TCCs removed were



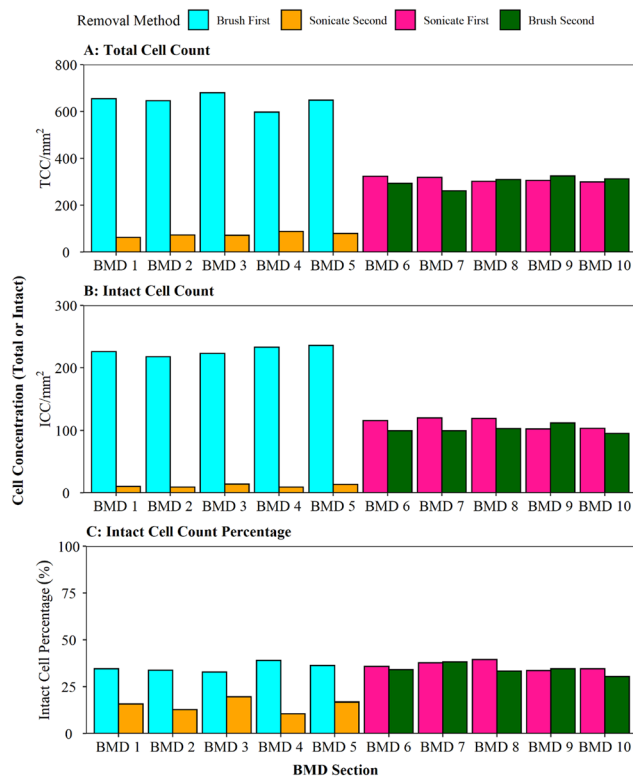


Fig. 3 Comparison of brushing and sonication techniques for removing biofilm from a pipe section (biofilm monitoring device). (A) Total cell counts (TCC) and (B) intact cell counts (ICC) obtained from each BMD section are presented, along with (C) ICC as a proportion of the TCC. Brushing refers to the protocol optimised in this study. Sonication is with glass beads and a water bath.

detached in the first removal phase with subsequent brushing removing a similar number of cells (on average 49% of TCCs). The average total number of TCCs removed (*i.e.* the sum of cells obtained from both removal phases per pipe) was similar for all pipe samples (pipes 1–5 average of 720 cells, pipes 6–10 average of 610 cells; Fig. 3A). This demonstrated no impact of the order of removal methods on overall cell yield from a sample.

The same trends were seen with ICC (Fig. 3B): brushing as the primary removal method generated a significantly greater yield of cells than using sonication with glass beads as the primary removal method (95% *vs.* 52% of all cells detected, respectively). Brushing was therefore found to be the more efficient cell removal method. The total number of ICCs removed was conserved across all the pipes, irrespective of removal method order (pipes 1–5 average of 238 cells, pipes 6–10 average of 214 cells, Fig. 3B). When comparing ICC proportions (Fig. 3C), brushing first, sonicating first and brushing second all had similar ICC proportions (30–39%), but the cells removed in the sonication second treatment were less likely to be intact (average 15% ICC proportion; brush second *vs.* sonicate second TCC $W = 25$, $p \leq 0.01$; ICC $W = 25$, $p = 0.012$). This shows that sonicating alone did not necessarily impact the ICC%, however the use of sonication after brushing may

have had a kill effect because most of the biofilm (cells and EPS) had already been removed by brushing, hence any remaining cells may have been more exposed to the sonication impacts.

Whilst brushing has been evidenced to be the more efficient primary biofilm removal method, secondary removal *via* sonication did remove a few more cells but this data indicates that application of a dual removal approach should be undertaken with care if viability of the sample is being assessed. Peng, Shao⁴⁹ combined swabbing with sonication for successful removal of biofilms from pipe sections for microbiome analysis, although it is unclear what proportion of biomass was removed with each method or the impact that the sonication had on cell integrity/viability.

Ascertaining the most efficient DWDS biofilm removal method with respect to yield and viability is of importance for any downstream quantification and characterisation to ensure that analytical techniques are being applied to a representative sample. The data presented herein demonstrates for the first time that brushing is more efficient than sonication with a water bath for biofilm removal as brushing yields greater cell counts and does not affect viability. Previous research has compared scraping, swabbing and stomaching for biofilm removal from polycarbonate coupons within a bench-top drinking water annual reactor found that stomaching consistently yielded a higher number of culturable and total bacterial cells, and was more repeatable between users (unlike scraping which was subject to variation between individual researchers).²⁴ However, during stomaching the whole coupon is placed in a sterile bag with deionised water, consequently cells mobilised in the stomacher could include cells from the surfaces of the coupons that had not been exposed to the bulk water.²⁴ Similarly, not all sample types (*e.g.* HDPE coupons or operational pipes) are suitable for glass-bead sonication, and instead the only practical options for biofilm removal are swabbing,^{50,51} or brushing.^{26,52} For downstream flow cytometry, brushing conveys additional benefits over swabbing or scraping as it limits debris, which has been previously observed to impact flow cytometry detection limits.⁵³ As well as ensuring representative samples are analysed, the insights provided here on biofilm removal methods will help with increasing the yield of biomass recovered, which remains one of the greatest challenges in characterising drinking water biofilms. This is especially true with respect to DNA yields for microbiome analysis *via* metagenetic or metagenomics sequencing, as discussed in Peng, Shao,⁴⁹ where sonication methods for improved DNA yield from drinking water biofilms are optimised. Results from this study suggest that brushing provides an even more efficient removal method than sonication as assessed *via* cell enumeration and viability, though there is no study directly comparing the impact of these two biofilm removal techniques on subsequent microbiome characterisation of drinking water derived samples.





Fig. 4 Cell counts obtained when using different vortexing longevities to homogenise drinking water biofilms removed from sample surfaces by brushing or sonication *via* a water bath (as indicated in key). Biofilms were 3-months old. Control samples were not vortexed. (A) Total cell counts (TCC) and (B) intact cell counts (ICC) obtained from each BMD section ($n = 3$) are presented in each panel.

3.3 Biofilm homogenisation: optimisation and method comparison

3.3.1 Homogenisation (and prior removal) comparisons with developing drinking water biofilms. Biofilm homogenisation experiments were conducted to compare (and optimise) sample homogenisation *via* vortexing (gentle mixing) or sonication with a sonicating needle (harsher mixing) and assess any impact of the upstream biofilm removal method on downstream homogenisation. Sample homogenisation of biofilms prior to flow cytometric enumeration is critical to ensuring analysis of a representative sample, but methods and data regarding sample homogenisation are rarely reported. Herein the same longevities of 0.5, 1, 2, 4 and 8 minutes were tested for both sample homogenisation methods as applied to developing (3-month old) drinking water biofilms, removed from BMDs using the optimised brushing method or sonication (water bath) with glass beads. The protocols were compared with respect to singlet–doublet proportions (>98% considered homogenised) and total TCC or ICC

recorded to ensure homogenisation longevity did not inadvertently impact cell viability.

Where biofilms were removed using brushing, downstream vortexing for 0.5 to 8 minutes had no impact on the number of TCC or ICC recorded when compared with controls (Fig. 4A and B; brushing removal with vortexing *vs.* brushing removal control TCC $W = 2.292$, $p = 0.130$; ICC $W = 2.048$, $p = 0.152$). There were no significant differences in TCC or ICC singlet–doublet proportions (Table S2† vortex *vs.* control singlet–doublet percentage TCC $W = 20$, $p = 0.151$; ICC $W = 17$, $p = 0.421$, across all time points). Control samples (brushing removal, no vortexing) had singlet–doublet proportions TCC $\geq 97\%$, and ICC $\geq 99\%$ (with one ICC control sample analysed after 8 minutes recording 88% classed as an outlier; see Table S2†). Samples that were vortexed from 0.5 to 8 minutes had average singlet–doublet proportions TCC $\geq 98\%$ (with one TCC vortex sample analysed after 8 minutes recording 88% classes as an outlier; see Table S2†) and ICC average singlet–doublet proportions $\geq 98\%$. Therefore, when using brushing as the biofilm removal method samples were already well homogenised and



there was very limited benefit of additional sample homogenisation using vortexing.

In contrast, where biofilms were removed using the sonicating water bath, vortexing for 0.5 to 8 minutes resulted in a significantly greater number of TCC or ICC compared with controls (Fig. 4A and B; sonicating removal with vortexing *vs.* sonicating removal control TCC, $W = 225$, $p \leq 0.01$; ICC, $W = 255$, $p \leq 0.01$). Control samples (no vortexing following sonication removal) had a singlet-doublet proportion of $\geq 87\%$ compared to $\geq 98\%$ for samples vortexed for 0.5 minutes, indicating a benefit of vortexing after biofilm removal using a sonicating water bath. However, the singlet-doublet percentage remained at an average of $\geq 99\%$ when increasing the vortexing time up to 8 minutes, showing no additional benefit of vortexing biofilm samples for longer than 0.5 minutes (Table S2†).

Homogenisation *via* sonicating needle, following biofilm removal *via* brushing, had no significant impact on TCC or ICC when compared with controls (Fig. 5A and B; brushing removal with sonicating needle *vs.* brushing removal control TCC, $W = 143$, $p = 0.217$; ICC, $W = 90$, $p = 0.367$). The control

samples (no sonication after brushing) had an average TCC and ICC singlet-doublet percentage of 99% at each time point, whereas the average singlet-doublet percentage of sonicated samples was $\geq 95\%$ for TCC and $\geq 98\%$ for ICC at each time point (Table S2†). However, the difference between sonicated and control singlet-doublet percentages was not significant (Table S2,† TCC, $W = 10$, $p = 0.691$; ICC, $W = 11$, $p = 0.841$). The control samples which had been removed using brushing already met the $\geq 98\%$ singlet-doublet threshold for homogenised samples, so sonication provided no additional benefit. The control samples (no sonication after brushing) and sonicating samples had similar TCC and ICC singlet-doublet percentages from 0.5–2 minutes ($\geq 98\%$ for all samples), however after 4 minutes the singlet-doublet percentages for the sonicating samples started to drop indicating no homogenisation benefit from sonicating. Conversely, following biofilm removal using a sonicating water bath and glass beads, biofilm samples which were homogenised *via* sonication with a needle had significantly different TCC and ICC compared to controls which did not undergo the homogenisation sonication across all time



Fig. 5 Cell counts obtained when using different sonication (needle) longevities to homogenise drinking water biofilms. 3-Month old biofilms were removed from a BMD by brushing or water bath sonication with glass beads as indicated. Control samples did not undergo a homogenisation step. (A) Total cell counts (TCC) and (B) intact cell counts (ICC) obtained from each pipe section ($n = 3$) are presented.



points (Fig. 5A and B; TCC, $W = 225$, $p \leq 0.01$; ICC, $W = 225$, $p \leq 0.01$). Using a sonicating needle was found to help homogenise biofilm samples that were removed using sonication (water bath and glass beads) with sonicated samples having a higher singlet–doublet percentage (TCC $\geq 99\%$, ICC $\geq 97\%$) than controls (TCC $\geq 97\%$, ICC $\geq 91\%$), but the difference was not significant (TCC singlet–doublet percentage $W = 14$, $p = 0.843$; ICC singlet–doublet percentage $W = 18$, $p = 0.301$). Sonication with a needle provided some benefit up to 1 minute sonication, with ICC cell counts increasing for one of the triplicates (ICC increased from 75 to 78 mm^2 triplicate 3). However, intact cell counts after 8 minutes of sonication were on average 65% lower than those after 2 minutes, indicating a kill effect when samples are sonicated for longer than 2 minutes.

Irrespective of vortexing, cell counts were lower (TCC and ICC < 205 cells per mm^2 across all time points) when biofilms were removed *via* sonication with glass beads, compared to those obtained when using brushing (TCC and ICC < 723 cells per mm^2 across all time points) (Fig. 4A and B). This further demonstrates that brushing was a more efficient biofilm removal method as well as conveying a benefit for homogenisation.

3.3.2 Homogenisation comparison of mature drinking water biofilms. As brushing was found to be the optimal method to remove biofilm, further homogenisation trials were performed comparing vortexing (Fig. 6A and B) and sonication *via* needle (Fig. 6C and D) performance with

mature biofilms (aged 6–9 months). Mature biofilms would likely have a more extensive EPS (90% of the dry mass of mature biofilms reported to be EPS⁵⁴) and hence be more likely to remain in clusters when removed. Brushed mature biofilm samples which were vortexed for 0.5 to 8 minutes displayed a statistically significant change with respect to the number of TCC (Fig. 6A; $W = 64$, $p = 0.04$) when compared with controls, but no significant differences in ICC were detected (Fig. 6B, $W = 76$, $p = 0.137$). However, the TCC increase did not follow a positive linear trend with vortex longevity. All brushed and vortexed TCC samples, at all time points, had a lower TCC value than their control counterpart, showing no benefit of sample homogenisation due to vortexing. TCC were found to exhibit a small increase between 0.5 and 1 minute of vortexing (mean TCC increased from 5231 cells per mm^2 after 0.5 minutes to 5276 cells per mm^2 after 1 minute), however these values were still lower than the control TCC (mean control TCC 6015 cells per mm^2 after 0.5 minutes and 5965 cells per mm^2 after 1 minute). ICC were found to remain stable until 1 minute of vortexing, but then decreased from a mean ICC of 3759 cells per mm^2 after 1 minute to 2705 cells per mm^2 after 8 minutes of vortexing. This demonstrates a potential kill effect of long periods (≥ 2 minutes) of vortexing. Singlet–doublet analysis established that vortexed mature biofilm samples were, on average, marginally better homogenised than control (no vortexing) samples (average singlet–doublet percentages of $\geq 96\%$ and $\geq 93\%$, respectively across all time points, see

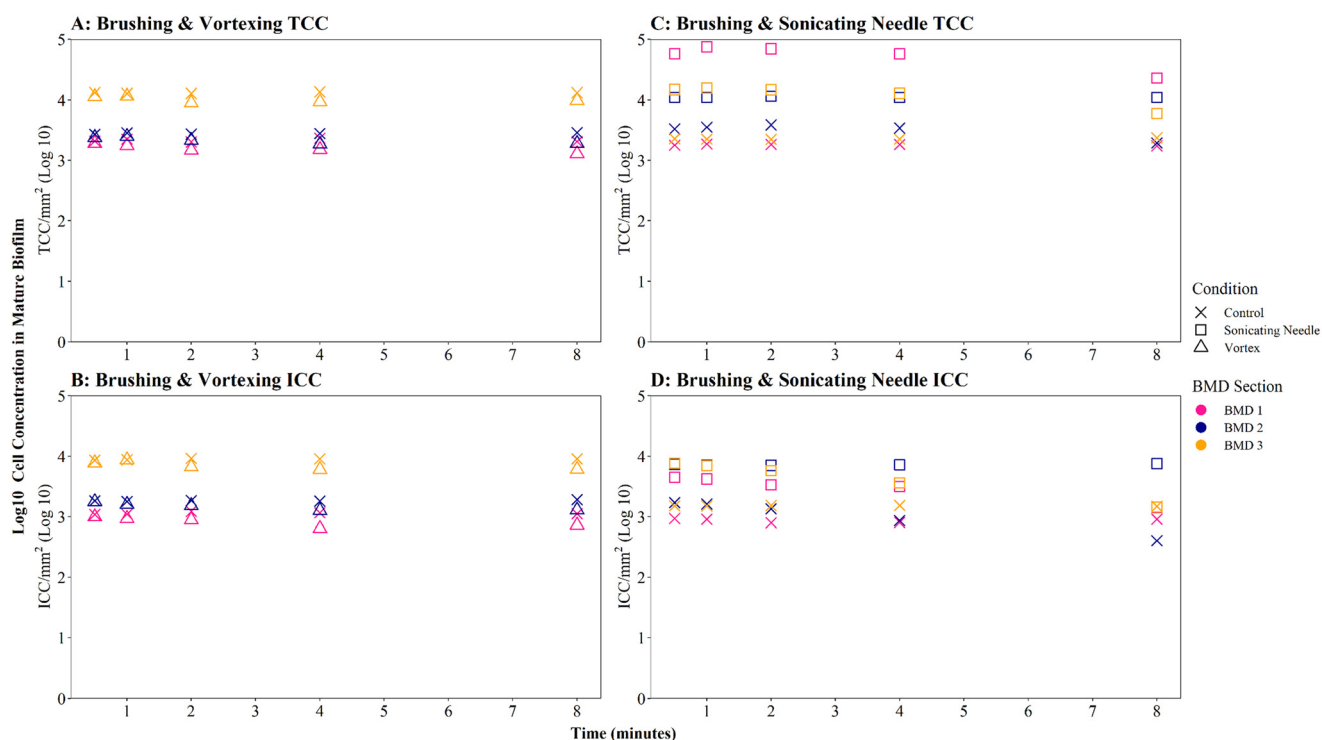


Fig. 6 Cell counts obtained from mature biofilm samples following homogenisation *via* vortexing (A and B) or sonication (needle) (C and D). Control samples were not homogenised. Total cell counts (TCC) and intact cell counts (ICC) obtained from each BMD section (1–3) are presented as log transformed data.



Table S4†) but this difference was not significant (TCC singlet–doublet percentage $W = 19.5$, $p = 0.173$; ICC singlet–doublet percentage $W = 21$, $p = 0.095$).

Brushed mature biofilm samples which were homogenised with a sonicating needle for 0.5 to 8 minutes displayed a statistically significant change with respect to TCC (Fig. 6C, $W = 225$, $p \leq 0.01$) and ICC (Fig. 6D, $W = 211$, $p \leq 0.01$) when compared with controls, across all time points, with sonicated samples having more cells. The longevity of needle sonication did have an impact on TCC, which initially increased from an average (mean) of 27 910 cells per mm^2 after 0.5 minutes to 34 009 cells per mm^2 after 1 minute but then remained mostly stable (Fig. 6C). However, longevity of needle sonication had a kill-effect on the samples as the mean ICC decreased by almost 50% from 6532 cells per mm^2 at 0.5 minutes, to 3495 cells per mm^2 after 8 minutes, suggesting that cells were lysed by sonication (Fig. 6D). Singlet–doublet analysis found that sonicated samples were slightly more homogenised than controls (no needle sonication) but that all samples had singlet–doublet percentages above the 96% (average singlet–doublet percentage sonicated TCC $\geq 99\%$, ICC $\geq 98\%$ and controls TCC $\geq 98\%$, ICC $\geq 96\%$, Table S4†). The results conclude that brushing as a biofilm removal method was able to

homogenise samples (singlet–doublet $\geq 98\%$), without the need for any additional downstream homogenisation such as sonication. Furthermore, sonicating drinking water biofilm samples for more than 0.5 minutes would result in cell lysis, indicating that if optimised brushing is used as the biofilm removal method there is no requirement for an additional homogenisation phase.

3.4 Verification of flow cytometry cell quantification for biofilms from a full-scale DWDS

Temporal dynamics of drinking water biofilm cell concentrations are presented in Fig. 7, for three chlorine regimes, quantified using flow cytometry (Fig. 7A) and qPCR methods (Fig. 7B and C). qPCR is a commonly used molecular method for quantifying planktonic and biofilm cells from drinking water treatment,⁵⁵ wastewater treatment,⁵⁶ premises plumbing,⁵⁷ irrigation water⁵⁸ and drinking water distribution systems.²⁹ At day 0, there were typically no cells detected at the pipe wall and no differences between chlorine regimes with either quantification method (flow cytometry, $\chi^2 \geq 2.0$, $p \geq 0.174$; qPCR $\chi^2 \geq 4$, $p \geq 0.117$).

Biofilm cell concentrations increased during the growth phase, as detected using both flow cytometry and qPCR



Fig. 7 Impact of chlorine on drinking water biofilm cell quantities as ascertained by (A) flow cytometry, including viability assessment and molecular analysis of bacterial (B) and fungal genes (C) via qPCR. Raw data is shown in all plots, (A) $n = 3$, (B and C) $n = 5$. Average residual chlorine concentrations for each chlorine condition were: low = 0.05 mg L^{-1} (± 0.06), “Med” = medium, 0.45 mg L^{-1} (± 0.05), high = 0.80 mg L^{-1} (± 0.16).



up to nine times without affecting cell viability proportions, establishing brushing as the more efficient removal method. Using brushing and sonication with glass beads in sequence (where this is feasible), could yield a slightly higher cell yield but the secondary sonication impacts intact cell counts, hence this approach is not suitable for any samples that are under-going downstream viability analysis.

Overall, brushing was the optimum method for removing the greatest number of biofilm cells from a drinking water pipe coupon or pipe section, with reduced (or no) cell lysis and also homogenisation of sample without need for further sample interrogation. This was confirmed for biofilms of different ages though in the case of more mature biofilms, the use of a sonicating needle for no more than 0.5 minutes may offer some benefit for sample homogenisation. When applied to biofilms from a full-scale pipe system, the optimised biofilm removal, sampling preparation and flow cytometry methods consistently showed the same trends in biofilm cell concentrations as obtained *via* molecular analysis (qPCR), but more quickly and from a smaller sample area.

The successful application of the removal and preparation method presented, to drinking water biofilms of different ages from different sampled surfaces (pipes and coupons), confirms this approach as a repeatable and robust way to assess biofilms within full-scale DWDS. Ensuring appropriate and optimised biofilm removal and sample preparation is critical for any subsequent characterisation or analysis of the samples to be representative and informative. In combination with advances in flow cytometry, application of the optimised sampling and preparation approach to biofilms from full-scale and operational DWDS has the potential to provide novel insights into biofilm fouling rates and assessment of operational interventions for managing microbial load.

Author contributions

FCP and KEF conceived, designed and performed the removal and homogenisation experiments, FCP analysed this data set, with input from KEF. KEF secured the funding for the flow cytometry and qPCR comparisons, designed and conducted these experiments, analysing and interpreting this dataset. FCP and KEF interpreted the results and wrote the paper.

Conflicts of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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