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# White & grey biotechnologies for shaping a sustainable future

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Biocatalysis plays a prominent role in both white and grey biotechnologies. Some well-known and a few lesser-known developments in biocatalysis have made it align even better with principles of green chemistry and green engineering and hence contributed to both white and grey biotechnologies in shaping a sustainable future. These include more efficient production of enzymes, use of process intensification techniques such as microwaves, ultrasonics, high pressure and flow catalysis. The market size of enzymes was estimated to be about USD 12.3 billion in 2022. Discovery of enzyme non-specificity as seen in their moonlighting and promiscuous behaviour has far-reaching consequences in biotechnology. The important roles of biocatalysis in production of biodiesel and bioethanol; valorization of whey and food waste in general are discussed. The waste generated in processing of coffee beans and coffee brewing, pegged globally at 10 million tons each year, deserves more attention as a part of the biorefinery concept.

## Sustainability spotlight

Two shades of biotechnologies, white and grey, are concerned with the impact of our anthropogenic activities on the environment. The original premise of white biotechnology was to develop processes which did not need “end-of-pipe solutions”. This has been possible in many cases by following the rules of green chemistry and green engineering. However, this perspective points out that in many other cases, the solution has turned out to be waste valorization rather than its abolition. Thus, bioremediation (the mandate of grey biotechnology) and waste valorization complement each other well as twin approaches and continue to fashion our quest for development of sustainable processes. Just like in green chemistry, biocatalysts are the lynchpin in the development of many of these processes related to waste valorization and bioremediation. For example, biofuels cannot become economical without the concept of biorefinery which essentially seeks innovative exploitation of by-products/waste. This perspective discusses developments in biocatalysis which have turned them into even more practical and economical drivers of waste valorization. The perspective then goes on to discuss some case histories to illustrate this. The message is that biocatalysts have a tremendous impact on the energy sector and prevention of environmental deterioration.

## 1. Introduction

It has become popular to classify biotechnology in terms of a colour code.<sup>1,2</sup> Biotechnology, as such, is about the use of biological cells/molecules for process/product development. So, a common thread runs through all classes of biotechnology. Worries about our climate, environment and overdependence on fossil fuels have led biotechnologists to focus on sustainability of industrial processes/practices. Fossil fuels are not renewable in a practical sense; their extraction and use affect both the climate and environment. Thus, the three worries are rather related. Besides, many anthropogenic activities generate waste. So, sustainability can be achieved by either using renewables/waste as raw materials or/and by switching from

harsh chemistry to biological strategies with the aim of minimising waste generation. These thoughts led to the concept of white biotechnology. It is common to use it as a synonym for the pre-existing discipline of industrial biotechnology. It is that but with a focus on reliance on sustainable practices. What was earlier called environmental biotechnology (largely dominated by bioremediation) is now subsumed in the concept of grey biotechnology.<sup>3</sup> This perspective is about how both white biotechnology and grey biotechnology complement each other in the quest for sustainability.

Chemists had taken the lead in the development of sustainable methods. Anastas and Warner enunciated the twelve rules of green chemistry.<sup>4</sup> Almost, half a century later, Anastas and Zimmerman added twelve rules of green engineering.<sup>5</sup> Technology is, in a way, about developing engineering solutions to turn ideas from basic science into practical applications, especially at the industrial level. So, it is but natural that strategies of white and grey biotechnologies have considerable overlap with these rules of green chemistry and engineering.

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White biotechnology shared a vision with green chemistry that it would develop processes which would minimize/abolish waste generation.<sup>6</sup> The ambitious philosophy of white biotechnology was captured in the key phrase that “no end of pipe solution” should be needed. Ideas, just because they are noble, do not necessarily find traction in industry. In this case, industry saw a way out of saving money on bioremediation and dealing with environmental regulations of the local/regional authorities. Undoubtedly, both green chemistry and white biotechnology have impacted industrial processes substantially for the better. Kazlauskas and Kim list industrial innovations which have won the US presidential green chemistry award during the 2004–2010 period.<sup>7</sup> It is noteworthy that every innovation on the list rests on biocatalysis. The market size of enzymes was estimated to be about USD 12.3 billion in 2022. The revenue forecast for 2030 is estimated to be USD 20.3 billion, with the maximum share being contributed by the pharmaceutical sector.

Sheldon has pointed out that “biocatalysis conforms to 10 of the 12 principles of green chemistry”.<sup>8</sup> Hence, it would not be an over-statement to say that biocatalysis is the pivot of white biotechnology. Biocatalysis is an enabler of white technology in its aim to conform to green chemistry.<sup>7,9,10</sup> It is not surprising that, quite early, attention was paid to obtaining/designing enzymes dedicated to the application of biocatalysis in white biotechnology.<sup>11</sup> A later review focussed on obtaining enzymes for biorefineries.<sup>12</sup> When the process moves beyond a laboratory, the scaled-up version has to comply with the rules of green engineering as well.

More specifically, this perspective (a) provides an overview of significant changes in process designs which have come about as a result of applications of biocatalysis in line with the basic philosophy of white biotechnology and (b) critically analyses how much of white biotechnology has turned out to be about waste valorisation leading to a useful product and not strictly about waste abolition as such. In these cases, it is

often found that biocatalysis has facilitated the discovery of a route for waste valorisation. A few examples of this are discussed as well in this article. Where no valorisation of a waste is possible, bioremediation/grey biotechnology has also benefitted from advances in biocatalysis.<sup>13</sup> Interestingly enough, the terms “waste valorisation” and “bioremediation” continue to be far more popular among research workers as compared to “white biotechnology” or “sustainable biotechnology” (Fig. 1).

## 2. Metrics of greenness

Given the shared vision of green chemistry and white biotechnology, a discussion on the metrics of greenness for processes is a good way to start our narrative.

Woodley has pointed out that the pharmaceutical industry, with 25–100 kg waste generated per kg of product, leads the chemical industry in dealing with production of bulk chemicals which produce waste of about >0.1 kg per kg product.<sup>14</sup> It is noteworthy that pharmaceuticals is probably one sector which has been closer to the “no end of pipe solution” ideal rather than strategic shifts such as the biorefinery concept, which is more a story of waste valorisation.<sup>8</sup> This article does not discuss those well-known successes in the pharma sector in the post-white biotechnology era, although there too, a combination of chemical catalysis and biocatalysis has played a major role.<sup>14,15</sup>

Several metrics for evaluating/grading the greenness of a process have been suggested.<sup>8,16</sup> Some are based directly on the principles of green chemistry. A simple one is atom economy (AE) which evaluates how many atoms of the reactants ended up in the product (and not in a by-product). The corresponding quantitative parameter is AE%, which is defined as the ratio of molecular weights of the desired products to those of the reactants multiplied by 100. It is an oversimplified parameter as the solvent and the stoichiometry of the reaction are not taken into account. Reaction mass efficiency (RME)

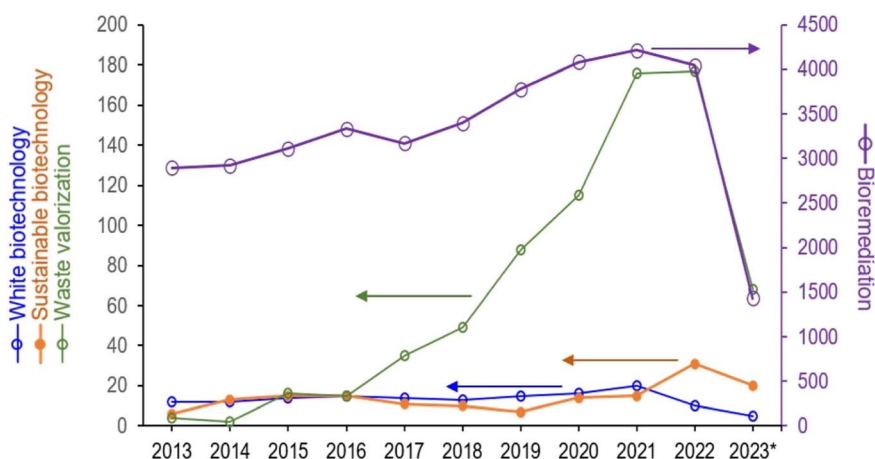


Fig. 1 Analysis of publications in PubMed for estimating the number of times using the keywords “white biotechnology”, “sustainable biotechnology”, “waste valorization” and “bioremediation” that occurred in publications in recent years. For 2023, the data collected were until April 15, 2023.



replaces the molecular weights with the actual masses of the reactants used and product(s) obtained. On the other hand, carbon efficiency just takes into account the ratio of amounts of carbon in the products to that in the reactants. Neither of the last two parameters takes into account the environmental concerns related to the solvents (used as the reaction medium or during work-up) or the energy consumed. Some other green matrices have also been proposed from time to time.<sup>17</sup>

The general consensus appears to be that the *E*-factor is the best parameter to evaluate greenness.<sup>17</sup> The environment factor (EF, *E*-factor) has the virtue of being flexible to incorporate additional parameters. Defined simply, it is the ratio of kg of total waste to kg of product; it can even be used to estimate greenness of each step in a multi-step process.<sup>18</sup> Both the simple EF (ignoring solvents and water) and complete EF (factoring in solvents and water footprints, assuming no recycling of either) have been described.<sup>8</sup> It has been pointed out that a few catalytic processes, while having high AE, are actually low *E*-factor processes.<sup>8</sup> Calculation of the *E*-factor also resulted in the surprising finding that the oil-refining industry had *E*-factor <0.1 whereas processes in the fine chemical and pharmaceutical industries had *E*-factors in the range of 5–100.<sup>19</sup> Sheldon points out that pharmaceutical industries have paid the necessary attention to greening of their manufacturing processes since these *E*-factors were published.<sup>8</sup>

An *E*+factor, which also takes into account greenhouse gas emissions, has been proposed; this way the kind/amount of energy used is also estimated to get a holistic idea of the greenness of the process.<sup>20</sup> Sheldon has also discussed the challenge of using green matrices when a starting material is obtained from outside or its synthesis is outsourced.<sup>8</sup>

Maria has discussed the use of the *E*-factor and a gate-to-gate strategy to assess the greenness of the process of hydration to oleic acid by oleate hydratase.<sup>21</sup> Consideration of the *E*-factor and estimation of carbon dioxide release (total and in kg per kg of product) led to identification of “hot spots” in the process which enabled improvement in the greenness of the process. In a more recent article, the same author has emphasised the need to look at the ecological footprint of the use of organic solvent at all stages of a biocatalyst process: in extraction of substrates from the raw material, in the synthesis of solvent as such, in the actual biocatalytic step and during disposal as waste at the end of the process.<sup>22</sup> The article also points out the positive impact of process intensification (such as the use of higher substrate concentrations) and solvent recycling.<sup>22</sup>

Life cycle assessment (LCA) which looks at “cradle to grave” greenness is complex but undoubtedly the most accurate parameter to judge the true greenness of a process/product.<sup>23</sup> LCA also includes the water footprint (WFP) of a process. The use of ground and surface water is coded blue; rainwater is coded green. A grey WFP is the volume of water needed to dilute the waste stream to conform to international standards such as those set by the EC or US Congress.<sup>24</sup> A good discussion on the synergy of green chemistry and green engineering rules in enhancing sustainability of processes is available.<sup>25</sup>

### 3. How biocatalysts have emerged as enablers in white and grey biotechnologies

There have been some paradigm shifts over the years which have made biocatalysis align even more with the rules of green chemistry and green engineering.<sup>26,27</sup>

#### 3.1 Economics of biocatalytic processes

The first one is the cost of enzymes. Cheetham, in an insightful article on economics of using a biocatalyst in an industrial process, pointed out that “the microorganisms or enzymes used constitute only a small proportion of the total running costs of the process”.<sup>28</sup> The situation, if anything, has become even better. Earlier, a significant fraction of the production cost of the enzyme used to go towards its downstream processing.<sup>29–32</sup> The trend has increasingly been to shorten purification protocols. A major enabler has been developments in affinity-based processes.<sup>32–35</sup> While earlier affinity ligands (such as enzyme inhibitors, lectins and antibodies) were mostly of biological origin (and hence expensive), ‘pseudoaffinity ligands’ such as textile dyes and metal chelates made affinity media much cheaper. A notable move was the use of recombinant technology to produce proteins with fusion tags such as polyhistidine. Such proteins could be easily purified using immobilized metal ion affinity chromatography (IMAC), which uses immobilised columns of chelated nickel, zinc ions, *etc.*<sup>36–39</sup> Today, there are many technologies, such as (strept) avidin-biotin or INTEIN, built around this concept and a huge variety of fusion tags have been described in the literature.<sup>40–42</sup> This is a key driver for abolishing the distinction between upstream and downstream stages of protein purification. Not all proteins/enzymes need to meet the same purity levels. Some enzymes (such as asparaginase, streptokinase, *etc.*) and proteins (such as hormones, cytokines, vaccines and antibodies) which have therapeutic applications have to be not only “pure” (in the sense of being free of other proteins and isoproteins), but also have to be analysed to ensure that molecules such as endotoxins, nucleic acid fragments, *etc.* are not present. Besides, even the presence of modified forms of the desired protein (aggregates, with oxidised methionines, deaminated asparagine/glutamine, incorrect disulphide bonds, and proteolytic fragments with different levels of post-translational modifications) has to be ruled out.<sup>43,44</sup> It is for these reasons that advances in protein production have not resulted in lowering the cost of therapeutic proteins/enzymes drastically. Enzymes used in diagnostics have to be free of other enzymes/inhibitors with interfering activities but their cost tends to be higher (than what are called industrial enzymes) as their market size is smaller. As pointed out by Cheetham,<sup>28</sup> market size/volume produced affects the cost of production.

Enzymes which play a greater role in white and grey technologies (sometimes referred to as industrial enzymes) generally do not need to have high purity and are produced at much larger scales. These are not so expensive anymore. Proteases, amylases, cellulases, hemi-cellulases, pectinases, and lipases



Lipschutz's group has been focussing on micellar catalysis, *i.e.* carrying out reactions in core organic solvents to ensure solubility of substrates which are otherwise poorly soluble in outside water medium. An earlier overview by this group discussed ideal designs for catalysis by organometallics.<sup>81</sup> The concept is extended to biocatalysis/chemoenzymatic catalysis in other published studies which is part of a recent review.<sup>82</sup> The important feature of micellar catalysis is that micelles are not insulated from the external water medium. The organic solvent is just 1–5% (w/w) of the total medium. The species compete for



the inner core of organic solvent. While micellar catalysis is not new, this recent focus on it has led to the development of designer surfactants and carrying out chemoenzymatic synthesis in a “single pot” fashion. The reaction rates for enzymatic reactions are much faster than in neat organic solvents. The applications at the industrial level have already been reported.<sup>82</sup>

### 3.3 Promiscuity enables repurposing of enzymes

While drug repurposing has become a popular phrase in recent years,<sup>83</sup> repurposing of usual enzymes especially inexpensive ones (hydrolases such as amylases, proteases and lipases being important examples) is becoming increasingly important in many areas of biotechnology. These unusual applications mostly become possible because of what is called enzyme promiscuity.<sup>26,83–90</sup> Substrate promiscuity refers to what has been known as the broad specificity of enzymes. A lipase may hydrolyse fats and oils made up of different fatty acids.<sup>91</sup> Alcohol dehydrogenases can catalyse redox reactions with diverse alcohols.<sup>92–94</sup>

Catalytic promiscuity, on the other hand is sort of a game changer. It refers to the catalysis of a reaction by an enzyme which is otherwise normally catalysed by an enzyme from another class among the six classes under EC classification.<sup>90,95,96</sup> An important example of catalytic promiscuity is catalysis of C–C bond formation in aqueous media, aqueous-organic cosolvent mixtures and low water containing non-aqueous media. So much of organic synthesis involves C–C bond formation. Enzymes which can catalyse C–C bond formation, such as aldolases, transketolases and thiamine pyrophosphate-dependent carboxylases are relatively expensive.<sup>97</sup> Formation of C–C bonds by lipases has been reported extensively and illustrates catalytic promiscuity.<sup>65,92,98–100</sup> If this C–C bond formation is carried out in a non-aqueous medium, it becomes an example of both condition promiscuity and catalytic promiscuity operating simultaneously.<sup>85,101–103</sup>

### 3.4 Process intensification in biocatalysis

The process intensification concept was originally developed in the 1970s at Imperial Chemical Industries. While the aim was cost reduction by innovation, a useful unintended consequence was that it led to moving closer to the goals of green chemistry and green engineering besides better safety.<sup>104</sup> Currently, it “aims to make dramatic reductions in plant volume, ideally between 100- and 1000-fold, by replacing traditional unit operations with novel, usually very compact designs by combining them into one hybrid unit”.<sup>104</sup>

An early review on microwave-assisted enzymology is by Roy and Gupta.<sup>105</sup> While microwave-assisted extractions have been more common, their use in accelerating catalytic reaction rates, especially in low water enzymology, has also been explored.<sup>106,107</sup> Microwave irradiated pre-treatment has been shown to significantly enhance the yield from biomass-derived sugars and other chemicals.<sup>108</sup> An intriguing approach has been to improve enzyme performance by using magnetic fields. Wasak *et al.*, who worked with laccase, have referred to a few earlier reports

on how static magnetic fields affected the catalytic activities of amylase, superoxide dismutase, peroxidase and tyrosinase and the influence of the rotating magnetic field (RMF) on reactions catalysed by chitinase and lipase.<sup>109</sup> In their work, they report a frequency-dependent effect of RMF on an increase in laccase activity by up to 11% with broadening of the pH range. They speculated that eddy currents reduce mass-transfer constraints. Laccase is used for bio-pulping and bio-bleaching of textiles, delignification and organic synthesis.<sup>110</sup> Horikoshi *et al.* also report that the magnetic field generated by microwaves facilitates hydrolysis of casein catalysed by papain and decomposition of hydrogen peroxide by catalase.<sup>111</sup> While the mechanism of the effect(s) of magnetism on biocatalysis is far from clear, interest in this seems to be picking up. Recently, Dik *et al.* reported that an externally applied magnetic field improved the activity of L-asparaginase adsorbed on iron oxide nanoparticles.<sup>112</sup> Extensive work has been carried out on enzyme immobilization on iron oxide nanoparticles;<sup>113–117</sup> it may be interesting to investigate this phenomenon with a wider range of immobilised enzymes.

Ultrasonics has also been used for biocatalysis, mostly in low water media.<sup>66,118,119</sup> Activation of proteases for food processing has also been attempted using ultrasound.<sup>120</sup> Şener *et al.* described results on lactose hydrolysis by lactase and reported significant enzyme inactivation upon pulsing of ultrasonic waves.<sup>121</sup> As process intensification tools for biocatalytic reactions and extractive processes, a recent update on the use of microwaves and ultrasound has been published.<sup>122</sup> At the commercial level, microwaves have been used by the food industry but these large size units tend to be rather expensive. With both ultrasonics and microwaves, enzyme stability in aqueous media continues to be a challenge.

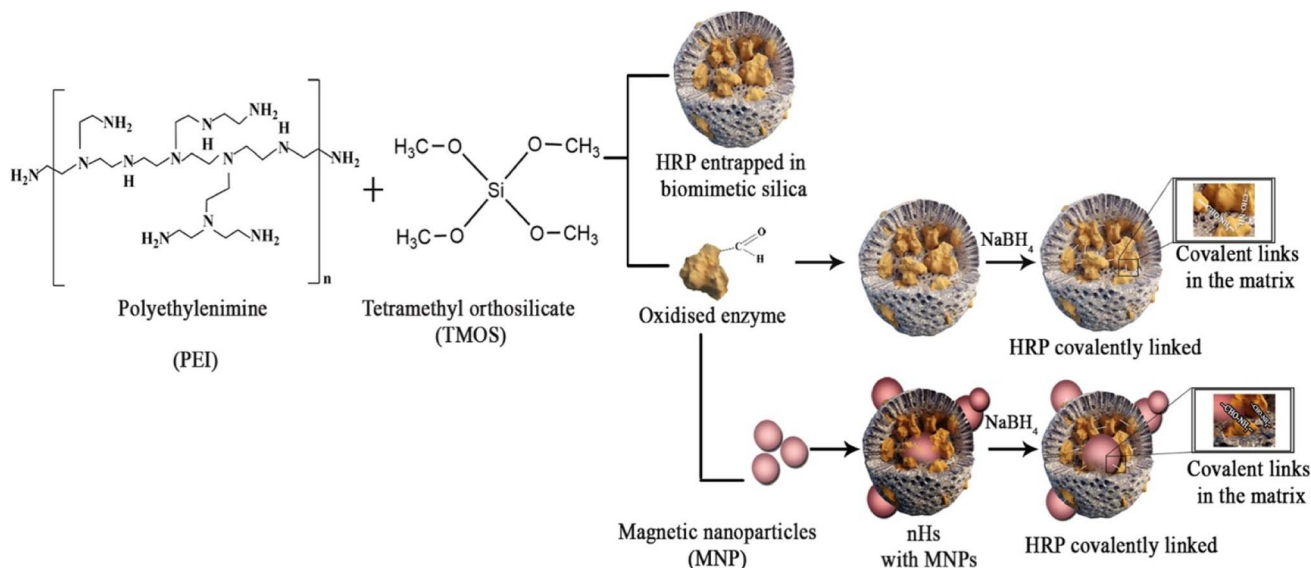
Membranes in recent years have become cheaper and reactor design has minimised the fouling problem. So, membrane reactors are being increasingly used in biocatalysis.<sup>123</sup>

One area which is less known is that of baroenzymology. A recent book is dedicated to high pressure technologies related to enzymes.<sup>124</sup> While most applications of high pressure (as a process intensification method) relate to the food, beverage and dairy industries, it is a promising approach as results with many microbial enzymes such as amylases, asparaginase, glucose oxidase, lactase and proteases illustrate. The effect of high pressure on enzyme catalysis in ionic liquids is of direct relevance to white biotechnology.<sup>124</sup> High pressure reactors are also used when supercritical fluids are employed as the reaction medium (see the earlier brief discussion on supercritical fluids in this article).

### 3.5 Enzyme immobilization

Enzyme immobilization is an old area where innovations continue to happen ceaselessly. As enzymes are generally costlier than chemical catalysts, their recovery and reusability were considered important very early. Two fairly comprehensive resources on enzyme immobilization are books edited by Cao<sup>125</sup> and Ferreira.<sup>126</sup> Immobilization, whether on solid matrices or smart polymers, makes it possible to recover and reuse





**Fig. 2** Magnetic biocatalyst. Horseradish peroxidase was coimmobilized along with magnetic nanoparticles in a preparation of biomimetic silica. Taken from ref. 144. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

biocatalysts after the reaction.<sup>91,110,111,122–135</sup> The use of nano-materials including nano-composites as carriers of enzymes has been extensively reported in recent times.<sup>114,117,136–142</sup> Horseradish peroxidase (HRP) has been frequently used for bioremediation.<sup>143</sup> HRP was immobilized in a magnetic hybrid nanocomposite and worked well in this case though the intended application was conversion of a prodrug (Fig. 2).<sup>144</sup> At the same time, green methods for synthesis of nanomaterials have also been reported.<sup>145</sup> Some materials such as egg shells, agricultural waste, *etc.* have been reported as carriers.<sup>125</sup> Of particular interest are carrier-free immobilisation strategies.<sup>88,146–151</sup> Without a carrier, the immobilized enzyme occupies a much smaller volume, making it possible to use smaller reactors. Two approaches to preparing aggregates of multiple enzymes to catalyse either cascade or non-cascade reactions deserve a mention here.<sup>68,152–154</sup> Another strategy is the gentler method of affinity immobilization, especially if coupled with oriented immobilization to obtain high activity preparations.<sup>155</sup> Affinity immobilization also makes it possible to deposit a higher amount of activity on small surfaces.<sup>143,156–158</sup> An interesting application is treatment of waste water with peroxidase immobilized by affinity layering.<sup>143</sup>

A recent paper illustrates a few interesting trends vis-a-vis applications relevant to white biotechnology.<sup>159</sup> Waste cooking oil continues to attract attention as a feedstock for producing biodiesel. Magnetic supports for enzyme immobilization are becoming more popular; this is reflected in more publications on magnetic CLEAs as well.<sup>145</sup> In the case of biodiesel, especially, magnetic biocatalysts make sense as the generation of glycerol during biodiesel production turns the reaction medium viscous and using a magnet is an efficient way for recovery/recycling of the biocatalyst. Thirdly, composite nanomaterials based on graphene are becoming more common as supports for enzyme immobilization; a paper uses titanium oxide coated

iron oxide nanoparticle doped graphene oxide as a carrier for enzyme immobilization for biodiesel preparation.<sup>159</sup> While reusability and enhanced stability are often mentioned as desirable outcomes of enzyme immobilization, an equally (and possibly even more) important outcome is that it allows enzymes to be used in all kinds of formats/reactor design. An important illustration of this is the area of flow biocatalysis which is also considered a process intensification strategy but deserves a separate discussion.

### 3.6 Flow biocatalysis

Perhaps the use of immobilized enzymes in flow systems started with the availability of a simple method to immobilize urease on nylon tubing.<sup>160</sup> The early applications of flow systems were in designing flow analysers as this allowed fast analysis of multiple systems such as those in a clinical laboratory. Both “online” (where an aliquot is analysed without manual sampling) and “inline” (all whatever is flowing through is analysed) options are possible.<sup>161</sup> Multiple (practically all) spectroscopic techniques can be used for monitoring the reaction. An interesting application was design of an online biosensor for lactate during open heart surgery. Lactate oxidase and catalase were immobilised on porous glass beads to detect the lactate level in blood in real time.<sup>162</sup>

Flow catalysis became a powerful approach in sustainable chemistry as it enabled the use of smaller reactors (less energy intensive) which can be used in parallel for scaling up. The continuous flow does away with the limitations of equilibrium-controlled reactions and product inhibition.<sup>163</sup> Flow biocatalysis in microfluidic systems (including paper-based formats) has quickly emerged as a versatile method in the context of both biosensor designs and preparative processes. It has been adopted by enzymologists for many processes and approaches such as coenzyme regeneration,<sup>164</sup> employing enzyme



cascades,<sup>165</sup> carrying out photobiocatalysis<sup>166</sup> and process intensification.<sup>167</sup> A few years back, Paradisi edited a special issue of the journal *Catalysts* containing recent applications of flow biocatalysis.<sup>168</sup> As pointed out therein, shifting from batch to continuous operation helps increase industrial productivity. A recent review summarises some of the recent developments and results.<sup>169</sup>

### 3.7 Whole cell biocatalysis and co-enzyme regeneration

Cells as such have been used for biocatalysis since early days. This avoids separation and purification of enzymes. To improve mass transfer of substrates and products, it has been common to permeabilize cells before using them as biocatalysts.<sup>170</sup> A good update on whole cell biocatalysis for obtaining both bulk and fine chemicals is available.<sup>171</sup>

One advantage of using live whole cells as biocatalysts is in coenzyme-dependent reactions. Coenzymes such as NAD, NADP, etc. are costly. In recent years, many coenzyme regeneration strategies have been developed while using isolated enzymes (rather than whole cells).<sup>172</sup> The most common co-substrates which act as “sacrificial electron donors” are ethanol and isopropanol.<sup>172</sup> As a reaction with a co-substrate is thermodynamically unfavourable, molar excess of the co-substrate generates “waste”. Worth mentioning is the discovery of 1,4 butanediol as a co-substrate. Not only can the waste generated be reduced 40-fold, but the diol is also available from green routes.<sup>172</sup> An unusual strategy has also been described in which the cells are gradually permeabilized to increasing levels, resulting in enzymes of increasing molecular weights/sizes that could be “secreted” in a fairly purified form.<sup>173</sup>

Before we conclude this discussion on biocatalysis, it is worth mentioning that a recent special issue of *Chemical Reviews* includes some updates on the current strategies in biocatalysis.<sup>174</sup> Metal-enzyme cascade reactions have been comprehensively reviewed.<sup>175</sup> Gantz *et al.* discussed the use of water-in-oil emulsion droplets for screening biocatalysts.<sup>176</sup> Working with picolitre volumes means a tremendous reduction in volumes over the popular 96-well plate format. Combining with microfluidics, this screening approach is much faster and results in a significant improvement in screening efficiency over other currently practiced methods.

After the above discussion related to the state of the art of biocatalysis, we can now discuss few case histories of waste valorization in white biotechnology.

## 4. Whey as a biomass: a classical but ongoing story in waste valorisation

Much before the concept of white biotechnology was enunciated, whey obtained in the dairy industry was shown to be converted into value-added products. So, rather than bioremediation, valorization led to development of routes for producing bioethanol. Annual production of whey is estimated to be about 160 million tons, increasing by 2% per year.<sup>177</sup> On a dry w/w basis, 70% of whey is lactose and 14% is protein; some free

amino acids, minerals and a small amount of fat constitute the rest. The presence of lactose was a hindrance in discharging the whey as a waste in water bodies since it has a high BOD (biological oxygen demand) value and would affect marine and freshwater organisms negatively. Early efforts to use lactose as a sweetener in ice creams, etc. were not successful as it felt like sand on the tongue. This led to the use of beta-galactosidase (also known as lactase) for hydrolysis of lactose. The development of this technology was also spurred on by the discovery of low lactose tolerance. Early pilot plant level technologies to reduce lactose content were developed at Corning (USA) (and naturally used glass beads) and Snam Progetti (Italy) which used fibre entrapped lactase.<sup>178,179</sup> While Corning technology was developed for acid whey, the Italian group focussed on milk. Valio in Finland got into this sector as a result of a Master's thesis and initially used membrane-based technology. Their web-page describes an interesting story of how the company was reluctant to market low lactose milk (<https://www.valio.com/food-solutions-for-companies/articles/through-fire-and-water-overcoming-obstacles-in-the-creation-of-lactose-free-products/>, accessed on Feb. 27, 2023). The board's perception was that people would reject milk tampered with by technology. They actually sold 1 million litres of low lactose milk in the first two months. Later, Valio also used lactase immobilised on phenol-formaldehyde resin.<sup>180</sup> This tells us how the acceptance of products created by biotechnological interventions has shaped up. A 1980 review provides a good account of application of immobilised lactase in hydrolysis of lactose in milk and whey.<sup>180</sup> However, Dekker *et al.* describe that commercial use of free enzymes was the general practice as immobilized enzymes were not viable until recently.<sup>181</sup>

Lactose present in whey can also be converted to galactooligosaccharides (GOS) by transgalactosylation with beta-galactosidases.<sup>182</sup> GOS have 2–8 sugar molecules with all galactose residues except the terminal one which is glucose. These are well known prebiotics with a multibillion USD market. High temperature, two phase systems of aqueous-organic cosolvents and reverse micelles have been used to favour transgalactosylation over hydrolysis in enzyme catalysed reactions. Apart from immobilized beta-galactosidases, whole cells as such or immobilised by surface display technology have been used as biocatalysts.<sup>183,184</sup> An important prebiotic called lactulose is just a disaccharide of galactose and fructose and has been produced using beta-galactosidase, both in free and immobilised forms. Apart from its use as a prebiotic, it has been used to improve sensory characteristics of food products.<sup>177</sup>

Bovine milk proteins consist of 80% caseins and 20% whey proteins. Whey contains proteins such as alpha- and beta-lactalbumins, immunoglobulins, lactoferrin, lactoperoxidase and glycomacropeptide.<sup>185</sup> Apart from their use as protein supplements, whey proteins have also been explored for treatment of some cancers and HIV. Extensive studies have been reported on obtaining bioactive peptides of different lengths and sequences from enzymatic hydrolysis of whey proteins from the milks of buffalo, camel and donkey.<sup>186,187</sup> These peptides have shown anti-bacterial, anti-inflammatory, hypotensive, anti-diabetic and angiotensin-converting enzyme inhibitory





activities. Many have been found to be antioxidants, anticancer agents and immunomodulators. Others are opioid-like and satiety hormone-inducing (making them useful in controlling obesity).<sup>186,187</sup>

## 5. Food waste valorisation: challenges & opportunities

Food waste originates at all stages of production, storage, transport, processing and consumption. Right at the farm level, a fair% of produce may not meet the desired level of quality. Seasonal weather changes are not unknown and can affect the crop. In many countries, cold storage at the place of production and a cold chain during transport are not always available. Occasionally, an imbalance in supply and demand exacerbates the situation. Some workers like to distinguish between ‘food waste’ (“industrial, commercial and domestic mixed food residues and bread and other bakery wastes meant for human consumption”) and ‘organic waste’ (“e.g. peels and rapeseed meal not meant for human consumption”).<sup>188</sup> In this article, we will use the term food waste (FW) to include both.

It has been rightly pointed out that, in terms of desirability, the ranking of strategies to deal with FW is reuse > recycle for nutrient recovery > recycle for energy > disposal.<sup>189</sup> FW/year is estimated to be a few billion tons per year. In the EU, it is around 89–145 megatons year<sup>−1</sup>. An FAO estimate is that about one-third of the food produced just for humans ends up as waste at one of the stages mentioned above.<sup>177,188,190</sup> FW is reported to have an average composition of 30–60% starch, 5–10% proteins and 10–40% lipids (w/w%). This makes it a potentially valuable resource.<sup>188</sup> A consortium of hydrolases in one case converted the FW to 0.63 g glucose per g of solid FW. In another attempt, a mixture of alpha-amylase and glucoamylase hydrolysed starch in FW almost completely.<sup>188</sup> Bread waste particles incorporated in the growth medium could be used to grow *S. mangrovei* to obtain PUFA docosahexanoic acid which is now regarded as an important food supplement and is in high demand.<sup>188</sup> FW hydrolysates in sea water could be used to grow known freshwater algae, which is remarkable as it avoids consumption of normal water.<sup>188</sup> Similarly, microalgae which do not require aerable lands and can be grown in ponds or bioreactors, can utilize FW as a nutrient. In turn, microalgal biomass can be a source of diverse kinds of chemicals and materials.<sup>188</sup> The use of waste from kitchens and restaurants to obtain biodiesel has been mentioned elsewhere.<sup>164</sup>

One powerful technique for waste valorisation of agro-industrial waste is solid state fermentation (SSF), or more accurately called solid substrate fermentation (when waste itself is used as both the support and nutrient).<sup>191</sup> Brans of wheat and rice or sugarcane bagasse have been frequently used but utilization of diverse agro-industrial wastes has been reported.<sup>191,192</sup> Fermentation takes place in the presence of low moisture content (does not consume water or generate waste water), is scalable with high volumetric productivity, and does not require high sterile conditions (so expensive fermenters are not required). SSF does suffer from poor heat and mass transfer. Air

pulsation and agitation solve these problems to a certain extent. As heat is generated, SSF is particularly suitable for growing thermophilic microorganisms. SSF has been used to produce enzymes, animal feed and chemical/pharmaceutical intermediates. As filamentous fungi can access nutrients in solid waste more easily, SSF has been particularly useful for growing fungi. A recent book reports extensive applications of fungi and their enzymes in white biotechnology.<sup>193</sup> The microbes secrete enzymes (which themselves are valuable products) to convert waste into more easily extractable metabolites. Cerda-Cejudo *et al.* discussed how SSF can be used to obtain polyphenols including tannins, flavonoids, and anthocyanins from SSF of peels, seeds and pulp of fruits and vegetables.<sup>194</sup> Li *et al.* described conversion of FW to a product which can partially replace and improve commercial feed for crayfish.<sup>195</sup> Blue biotechnology includes biotechnology dealing with marine and freshwater resources. Hence this kind of work in fact overlaps with both grey and blue biotechnologies.

One application of SSF, detoxification of agro-industrial waste, deserves special mention.<sup>196</sup> Ricin (one of the most toxic substances) in castor bean cake, phorbol esters in jatropha seed cake, HCN in cassava peels and gossypol in cotton seed meal are some of the many examples of how SSF has detoxified these waste products and enabled their use as animal feed free of toxic substances. Some well-known environmental hazards such as the herbicide atrazine and chlorophenols such as pentachlorophenol (a known endocrine disruptor) can be degraded *via* SSF. Textile dyes adsorbed on FW have been successfully degraded using the SSF approach.

## 6. Usefulness of coffee beyond the last sip

About 10 million tons of coffee waste per year are reported to be produced globally.<sup>197</sup> Nearly, 0.6 ton per ton of coffee produced is spent coffee grounds (SCGs); this is the waste generated during brewing and manufacturing instant coffee. This is approximately estimated at 6 million tons per year. SCG contains carbohydrates, lipids and proteins; polyphenolics and many bioactive compounds. It has been reported to be useful in producing biofuels (bioethanol, biodiesel, hydrogen and biogas), biomaterials such as polyhydroxyalkanoates and many bioactive compounds.<sup>197</sup> So, SCG is worth mentioning in the context of waste valorization and the circular economy. Here, we will discuss further only its use in enzymatic production of biodiesel.

After coffee is brewed, spent coffee grounds contain about 10–15% (w/w) oil. To put this in perspective, among some common feedstocks for producing biodiesel, contents of oil in palm, soybean and rapeseed are 20%, 20% and 37–50%, respectively. It is observed that biodiesel from coffee oil has better stability against oxidation, and as in other cases, the rest of the solids after oil extraction can be used as a feedstock for ethanol.<sup>198</sup> It was reported that the enzymatic route for obtaining biodiesel gave 98.5% conversion whereas alkali-catalysed conversion preceded by acid-catalysed esterification (in order





constitute another use of converting glycerol into a green solvent for an enzymatic reaction in which glycerol was a substrate as well.<sup>210</sup>

## 8. How cultural and regional factors can dictate waste valorization

It may be interesting to compare ground realities in two very different cultures and economies. Klein *et al.* discussed the waste from potato and rapeseed production in Lower Saxony, Germany.<sup>189</sup> Many feel that biogas, because of its low energy efficiency and transport costs, is not a very attractive option. Most of the waste is used for livestock feeding in dairy and cattle farming. Waste water from potato processing has been used as a high-quality fertilizer and pet food. An interesting situation is that rapeseed cake has even been exported to Austria profitably in spite of the transportation cost. Taxation on biodiesel after 2006 has made the use of rapeseed oil for biodiesel production unattractive and several plants set up for this have had to shut down.

In a survey in India, some different features emerged.<sup>211</sup> Household waste is estimated to be 68.7 million tons per year. One notable source of household FW was reported to be due to confusion about labels indicating storage conditions. A simple solution may be to use labels in both English and the local language. Waste due to an inadequate cold chain is also a big factor. The Indian experience with biogas has been more positive. An important finding mentioned is the high risk of disease transmission when waste is used as feed. For example, untreated swill fed to pigs was found to lead to higher incidences of hepatitis E.<sup>211</sup>

Not just with respect to waste valorization but regional factors are important for biotechnology as a whole. For example, adoption of biotechnology is quite critical for societal progress in developing countries. A recent report by Shah-Neville provides some insights into the opportunities and challenges in the biotechnology sector in developing countries in general.<sup>212</sup> Sharma and Swarup had pointed out the role of biotechnology in national progress in India; much of this may be common to other developing countries.<sup>213</sup> In line with the core theme of this article, Gupta and Mukherjee discussed at length how important training scientists in biocatalysis is for harnessing the benefits of biotechnology in such countries.<sup>214</sup>

## 9. Summary and future possibilities

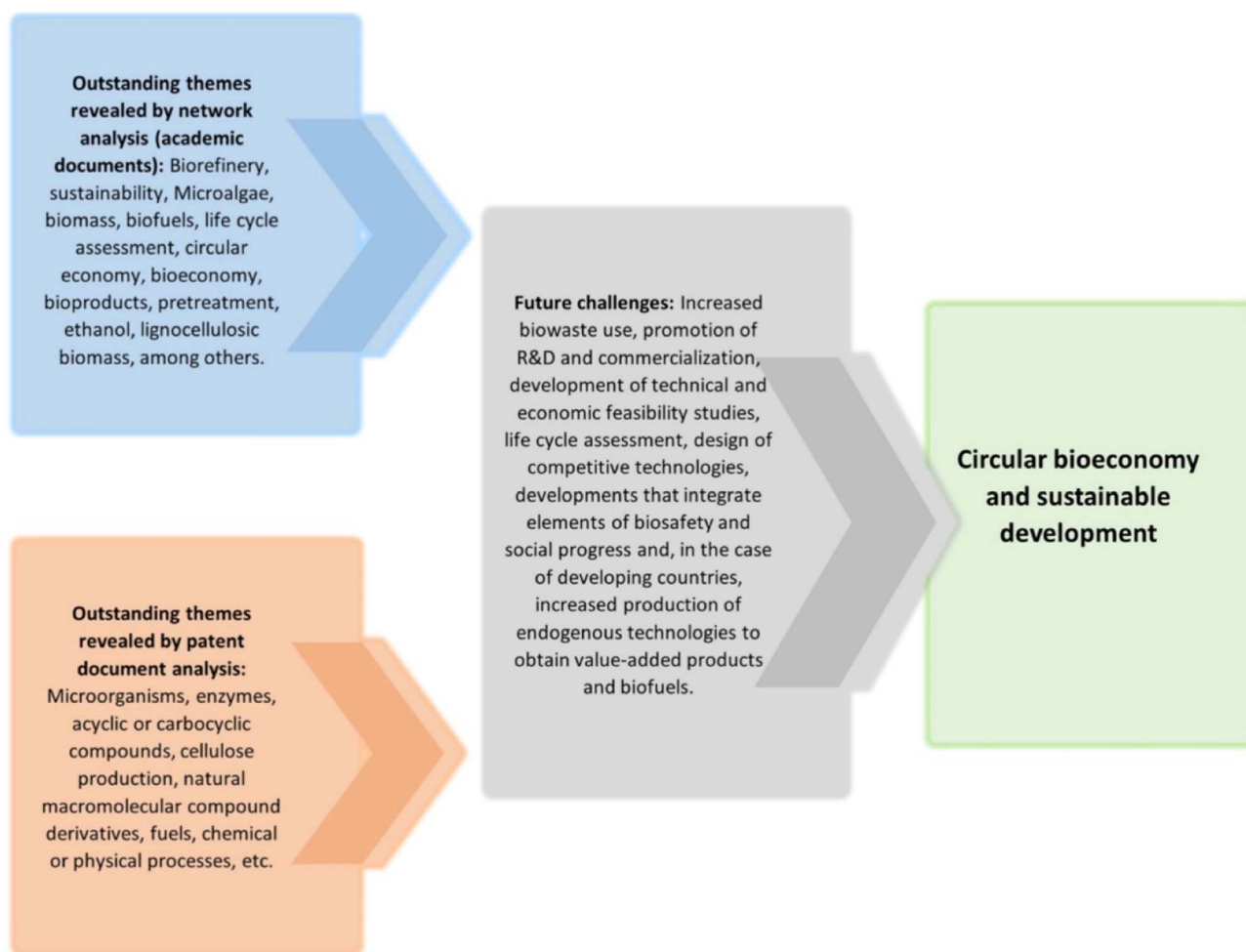
Two distinct approaches to white biotechnology can be seen. The first is the synthesis of compounds or chemical intermediates used in industries related to pharmaceuticals, agriculture and other sectors. This exploits green chemistry but uses biocatalysts. This is where waste minimization or the idea of not needing “end of pipe solutions” for waste treatment has been reasonably successful. It appears that it is possible to identify/design an enzyme for practically any reaction. While specific examples of products/processes have not been discussed here, the evolution of the power of biocatalysis has been pointed out.

The second approach, the focus of this article, is waste valorization.<sup>215</sup> This is now frequently referred to as the biorefinery concept.<sup>216</sup> The philosophy is that the products obtained ultimately from petroleum refining should be obtained from wastes/by-products of existing processes.<sup>217</sup> The key is to get crucial building blocks, so called platform chemicals. Attempts are made to create diverse routes for valorisation and to make use of everything as far as possible. The first generation of biorefineries was designed around edible crops, the second generation around lignocellulosic wastes, and the third generation exploits micro- or macro-algae.

With reasonable success with the biorefinery concept, it is now common to hear words such as bioeconomy (economy built around biobased products and processes) or bio-circular economy which is essentially fairly close to the ideals of white biotechnology.<sup>218</sup> Ideally, this should have abolished the need for bioremediation (grey biotechnology). In the real world, as technology makes our anthropogenic activities more complex and diverse, waste treatment will continue to be needed. A case in point is waste water.

What do we see in the future based on the current trends? First, the trends in biorefinery based on published work plus patent search have been discussed recently (Fig. 3).<sup>219</sup> The amount and quality of water used in any bio-based process would become increasingly crucial.<sup>220</sup> Enzymes from halophiles or engineered biocatalysts which can work in salty environments and hence with sea water are likely to be important. We have over-focussed on enzyme specificity even though so much has been possible in biotechnology because of non-specificity.<sup>26,83–92,97,98</sup> With a change in mindset, more innovations would be possible. A good example of this is the use of inexpensive hydrolases such as amylase in bioremediation of alkanes.<sup>221</sup>

Also, we have restricted ourselves to structure–function relationships while designing/engineering enzymes. The intrinsic disorder as a design element may pay dividends in the future.<sup>87,222</sup> Related to this is our increasing understanding of protein condensates and protein–membrane interactions. Another dimension yet to be exploited is the translation of our growing understanding of enzyme behaviour in crowded



**Fig. 3** Analysis of scientific and technological trends in a biorefinery and its sustainable development. This is based on identification of nodes and thematic clusters in network analysis bibliographic data. The patent document search in the Parentscope database was carried out and relevant technological trends were extracted. Taken from ref. 219. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium with due credit to the source.



environments to biotechnological applications.<sup>223</sup> Real systems are not dilute substrate solutions in clean aqueous buffers but substrates which are often dirty suspensions/concentrated solutions and multiphasic in nature. It is highly likely that robotics and artificial intelligence/machine learning tools will play a role in shaping the bioeconomy in the future.<sup>224</sup>

## Conflicts of interest

There are no conflicts to declare.

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