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First azulene liquid crystal with de Vries behavior and a SmA re-entrant phase†

Finn Schulz,^a Bianca Wank,^a Pierre Nacker,^b Wolfgang Frey^a and Sabine Laschat^{b*}

De Vries-like materials show small layer shrinkages at the SmA to SmC transition and can therefore be applied in surface-stabilized ferroelectric liquid crystal displays. Here we report the synthesis and characterization of a new class of de Vries mesogens with a simple 2-phenylazulene-1-carbonitrile-based core. These materials exhibit an exceptionally small lower threshold for the maximum layer shrinkage of only 0.16%. One of the investigated compounds shows an orthogonal SmA re-entrance phase below the tilted SmC phase. This behavior has not been observed for achiral calamitic molecules before. The rationalization of the anomalous phase behavior might lead to a new design principle for liquid crystalline compounds showing re-entrant phases and de Vries behavior.

Introduction

Liquid crystalline phases differ from each other by the degree of orientational and positional order. In the nematic (N) phase the mesogenic molecules orientate along the director \hat{n} .¹ In contrast, smectic phases behave like 2D fluids where the molecules form layers. When \hat{n} is parallel to the layer normal \hat{k} a smectic A (SmA) phase is present. An additional tilt of the molecules at the angle θ leads to a SmC phase. The tilt-direction in a SmC phase can be controlled by an external electric field. When anchored between planar surfaces of a liquid crystal cell, a macroscopic polarization is exhibited by ferroelectric SmC* phases. This effect is exploited in surface-stabilized ferroelectric liquid crystal displays (SSFLCDs).^{2,3}

SSFLCDs outperform liquid crystal displays based on nematic mesogens in terms of switching time and offer bistable modes of operation.^{2,3} However, the SSFLCD technology is limited to specific applications due to fabrication difficulties. The major problem is the layer contraction that occurs at the SmA–SmC transition due to tilting of the mesogens which can be rationalized by the rigid rod model (Fig. 1a).³ Buckling of the layers causes chevron structures, which lower the quality of the display. So-called zigzag defects at

the boundary of domains with different fold directions are visible as image irregularities in the display. A possible solution to this problem is the use of materials with SmC phases with low or no layer contraction during cooling, which are called de Vries-like materials.³ This behavior is often explained by the diffuse cone model (Fig. 1b), where the SmA–SmC transition is characterized by a change from disorder to order according to seminal work by de Vries in 1979.⁴ It suggests that the molecules are already tilted in the SmA phase but have a random azimuthal distribution.⁵ During formation of the SmC phase the molecules tilt in the same direction, increasing the order while maintaining the layer spacing



Fig. 1 Models for the behavior during the SmA–SmC transition. (a) Rigid rod model and (b) diffuse cone model. Reproduced from ref. 9 with permission from the Royal Society of Chemistry.

^a Institut für Organische Chemie, Universität Stuttgart, Pfaffenwaldring 55, D-70569 Stuttgart, Germany. E-mail: sabine.laschat@oc.uni-stuttgart.de

^b Institut für Physikalische Chemie, Universität Stuttgart, Pfaffenwaldring 55, D-70569 Stuttgart, Germany

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d. Experimental temperature-dependent XRD studies of compounds possessing a SmA phase at higher temperatures and a de Vries-like SmC phase at lower temperatures reveal that *d* passes through a minimum and increases again upon cooling in the SmC phase.³ The ratio between the lowest value of *d* and the layer spacing at the SmA–SmC transition d_{AC} is defined as the maximum layer contraction lc_{max} . Both the rigid rod model and the diffuse cone model are ideal cases and usually a mixture of both cases is observed. Besides lc_{max} , the reduction factor *R* is defined to quantify the degree of de Vries-like behavior:⁶

$$R(T) = \frac{\cos^{-1}(lc_{max})}{\Theta_{opt}(T)}$$

R can assume values between 1 and 0, whereby *R* = 0 describes perfect de Vries-like and *R* = 1 classic rigid rod behavior. For comparison purposes, the optical tilt angle Θ_{opt} is given at 10 K below the SmA–SmC-transition.

Roberts *et al.* claimed that a frustration between SmA and SmC promoting elements within one molecule establishes de Vries-like properties.⁷ In a more general approach, a combination of a low orientational order parameter S_2 and very well-defined layers lead to the sought-after de Vries-like properties. Usually nano-segregating elements like perfluorated side chains,⁸ carbosilane^{5,9} units or ionic headgroups¹⁰ were chosen to acquire the necessary degree of translational order. However, materials with ordinary alkyl chains and very low lc_{max} have also been reported.^{11,12} The rapid availability and chemical inertness of those side chains renders them attractive for potential applications.

Frustration is an important concept not only in creating materials with de Vries-like properties. The appearance of re-entrant phases can also be explained by a frustration between different effects.¹³ Re-entrant phases form on cooling and are characterized by a less-ordered phase geometry than the corresponding higher temperature phase.¹⁴ Often, re-entrant phases appear in mixtures of liquid crystals.^{15,16} On the other hand, pure compounds with re-entrance behavior are also known.^{17–19} Orthogonal re-entrant phases following a tilted SmC phase are rare and limited to bent mesogenic dimers and rod-like molecules with permanent chirality.^{20,21} Novotná *et al.* found a SmA*–SmC*–SmA*_{re} phase sequence for **9ZBL** (Fig. 2a).^{14,22} Among a homologous series, the anomalous phase behavior did only occur for this derivative and was explained by the interaction of multiple effects such as dipolar interactions, packing arrangements and the rotational distribution of various conformers.¹⁴ It was further argued that the presence of multiple chiral centers might be important for the re-entrant phase.²³

Azulene **Az** has been highlighted as a potential candidate for numerous applications due to its optoelectronic properties.^{24–31} As a non-alternant isomer of naphthalene, azulene **Az** consists of a five-membered and a seven-membered ring (Fig. 2b). Owing to Hückel's rule, a zwitterionic resonance structure can be drawn which explains the dipole moment of 1.08 D. Embedded in a calamitic molecule, the polar aromatic motif improves translational order in smectic phases. Therefore, the soft crystalline SmE



Fig. 2 (a) Structure of **9ZBL** exhibiting a SmA_{re} phase (b) Localized and zwitterionic resonance structure of azulene **Az**. (c) Design concept for azulene derivatives with de Vries and re-entrant behavior.

appears frequently in those systems.^{32–34} We recently described a series of 2-bromo-6-alkoxyazulenes **nO-Az-Br** forming those highly ordered SmE phases.³² From these results we anticipated that lateral substitution at the azulene moiety should reduce the order within the layers while preserving the translational order induced by the phenyl azulene core (Fig. 2c). Adapting the concept of Lagerwall and Giesselmann this could result in de Vries behavior.³ Indeed, as detailed below azulene derivatives with de Vries behavior were identified. Surprisingly, one of the investigated compounds displayed a SmA_{re} phase below the tilted SmC phase.

Results and discussion

The synthesis of azulene target compounds is detailed in Fig. 3. Starting from the known 2-bromo-6-alkoxyazulene **nO-Az-Br**,³² implementation of a nitril substituent in the 1-position of the azulene moiety was achieved *via* Vilsmeier–Haack reaction with DMF and POCl₃, followed by direct oxidation with iodine in aqueous ammonia to yield **nO-AzCN-Br** (*n* = 8, 12, 16) in 73–94%.³⁵ The target compounds **nO-AzCN-PhOm** were prepared by a Suzuki–Miyaura cross-coupling with arylboronic acids in 64–99% yield (*n*, *m* = 8, 12, 16).

Fortunately, single crystal structures of all five compounds were obtained, allowing a close comparison between the solid state interactions. In the solid state structure of the shortest member **8O-AzCN-PhO8** (Fig. 4a) only little nanosegregation was observed. Thus, the alkyl side chains of a certain molecule are located between the aromatic units of neighboring molecules. Along the *a* axis phenylazulene cores are oriented in a *syn-periplanar* fashion, while neighboring phenylazulenes





Fig. 3 Synthesis of the target compounds **nO-AzCN-PhOm**.

within the *bc* plane are oriented *anti-periplanar*. However, no dimers are formed. In contrast, for **8O-AzCN-PhO16** nanosegregation and interdigitation of side chains is clearly visible in the solid state (Fig. 4b). Phenylazulene cores are stacked along

the *b* axis in a *syn-periplanar* fashion. Within the *ac* plane dimers are formed due to the non-classical hydrogen bond CN \cdots H-C(azulene). In these dimers the phenylazulenes are oriented *antiperiplanar* with a parallel shift along the *a* axis (*i.e.* parallel to the long molecular axis) so that the dipole interaction between azulene moieties is maximized. For **12O-AzCN-PhO12** nanosegregation and interdigitation of alkyl chains is further improved (Fig. 4c). Phenylazulene cores are stacked along the *a* axis *antiperiplanar* and the nearest neighbors within such stack are shifted along the *b* axis (*i.e.* perpendicular to the long molecular axis), so that the π - π interaction of the phenyl ring is lost. Non-classical H-bonded dimers are formed within the *bc* plane. For **16O-AzCN-PhO8** nanosegregation and interdigitation of side chains is visible (Fig. 4d). Phenylazulene cores are stacked along the *a* axis in *antiperiplanar* manner. However, in contrast to the symmetrical derivative **12O-AzCN-PhO12** with C₁₂ chains at both ends of the phenylazulene core, in **16O-AzCN-PhO8** nearest neighbors are stacked along the *a* axis in such a manner, that the 7-membered ring of the “upper” azulene core is positioned at the center of the “lower” azulene core, *i.e.* the connecting bond between 5- and 7-membered ring of the “lower” azulene. In addition, the H-bonded dimers are visible in the *bc* plane. For the symmetrical member **16O-AzCN-PhO16** with C₁₆ chains on both ends perfect nanosegregation and interdigitation of alkyl chains was observed (Fig. 4e). Phenylazulene cores are oriented along the *a* axis in an *syn-periplanar* fashion, however the neighboring phenylazulenes are tilted with respect to each other, thus reducing π - π interactions. Clusters composed of four conformers including *pseudo*-dimers are formed in the *bc* plane. The



Fig. 4 Single crystal structures of all compounds. (a), (c)–(e) View along the *a* axis and (b) view along the *b* axis.



unit cell of **16O-AzCN-PhO16** bears four independent molecules and crystallizes in the chiral space group *P1*.

Mesomorphic properties were characterized first by polarizing optical microscopy (POM). All compounds showed Maltese crosses and fan textures when cooling down from the isotropic liquid. On further cooling, these textures changed to Schlieren and broken fans indicating a SmA–SmC phase sequence (see Fig. S8, ESI†).³⁶ Phase transition temperatures were determined by differential scanning calorimetry (DSC). For **8O-AzCN-PhO8**, an endothermic peak at 99 °C indicated the entrance into the mesophase (Table 1 and Fig. S6, ESI†). At 154 °C, **8O-AzCN-PhO8** cleared into the isotropic yield. Due to supercooling, crystallization was detected at 60 °C. The transition from the SmA to SmC phase was not visible in the thermogram for any compound and therefore was determined *via* POM. In the case of **8O-AzCN-PhO8**, the SmC phase formed at 88 °C during cooling, thus only showing a monotropic phase. When extending the length of the chain at the phenyl ring from C₈ to C₁₆, transition temperatures of **8O-AzCN-PhO16** hardly changed. Clearing was observed at 148 °C and the monotropic SmA to SmC transition took place at 77 °C. **12O-AzCN-PhO12** melted at 90 °C and formed an enantiotropic SmC phase that changed into the SmA phase at 133 °C. Further elongation of the side chains to **16O-AzCN-PhO16** resulted in a lower clearing temperature at 139 °C. The SmA–SmC transition was detected at 135 °C, thus the phase width of the SmA phase was only 4 K. During heating, the transition temperatures of **16O-AzCN-PhO8** were similar to those of **12O-AzCN-PhO12**. To our astonishment, during cooling a rare monotropic SmA re-entrance (SmA_{re}) phase beneath the SmC phase was detected, leading to a SmA–SmC–SmA phase sequence (Fig. S7, ESI†).

The layer spacing *d* as a function of temperature was measured by small angle X-ray scattering (SAXS) (Fig. 5). In the SmA phase, an increase of *d* with decreasing temperature is expected.⁷ However, **16O-AzCN-PhO16** displayed a linear correlation between *T* and *d*. This might be due to the small phase width of the observed orthogonal SmA phase. The phase might not have fully developed from the isotropic liquid before the transition to the SmC phase occurred. The monotropic SmC phases of **8O-AzCN-PhO8** and **8O-AzCN-PhO16** revealed typical rigid rod-like behavior with a linear layer shrinkage during cooling. In contrast, layer thicknesses of compounds **12O-AzCN-PhO12**, **16O-AzCN-PhO16**, and **16O-AzCN-PhO8**

passed through a minimum and increased again. This behavior is typical for de Vries-like liquid crystals.⁷ In fact, an overcompensation of the tilt-caused layer shrinkage is observed in our case. The maximum layer contraction $l_{c,max}$ was as low as 0.16% for **16O-AzCN-PhO8** compared with *d* at the SmA–SmC transition (Table 2). To the best of our knowledge this is the lowest value reported in the literature. Upon entering the SmA_{re} phase, **16O-AzCN-PhO8** showed another discontinuity by changing back to a linear relation between *d* and *T*. This fits well with the SmA_{re} phase observed by POM.

The ratio between *d* and the molecular length *L* is usually between 0.95 and 1 for smectic monolayers due to orientational disorder within the layers.³⁷ The compounds **8O-AzCN-PhO8** and **8O-AzCN-PhO16** that follow the rigid rod model have a low d_{AC}/L ratio of 0.93 at the SmA–SmC transition (Table 2 and Fig. S9, ESI†). With $d_{AC}/L = 0.86$, **12O-AzCN-PhO12** and **16O-AzCN-PhO8** are far out of the usual range. The ratio for **16O-AzCN-PhO16** is even lower ($d_{AC}/L = 0.78$). This observation suggests that the all-*trans*-configuration is not present at the SmA–SmC transition at least for the de-Vries compounds.

Temperature dependent WAXS measurements were performed to ensure that the unusual phase of phase of **16O-AzCN-PhO8** was indeed a SmA_{re} phase rather than a higher order orthogonal SmB phase (Fig. 6a). The absence of sharp reflexes in the wide-angle region confirms the proposed smectic phase without positional order in the layers. However, the halo in the partially orientated 2D WAXS diffractogram becomes sharper and more focused, indicating an increasing orientational order upon cooling (Fig. 6a and b). At short angles, higher ordered layer reflexes become more pronounced upon cooling, indicating an increase in translational order. It is worth noting that the third order diffraction peak of the layer spacing is stronger than the second order peak. In agreement with Davidson and Strzelecki, in such cases the electron density along the director \hat{n} cannot be described with a single sinusoidal modulation.³⁸ In our case, the second function might be assigned to the electron density of the nitrile substituent.

With the temperature dependent layer thickness in hand, we determined the optical tilt angle θ as a function of *T* *via* POM in a glass cell with rubbed nylon layers (Fig. 7). Compounds with linear layer shrinkage in the SmC-phase showed smaller tilt angles compared to their de Vries-like behaving

Table 1 Onset transition temperatures [°C] and enthalpies [kJ mol⁻¹] of azulenes **nO-AzCN-PhOm** determined by DSC

Compound	Transition temperatures/°C (and enthalpies/kJ mol ⁻¹)									
8O-AzCN-PhO8	Cr	99 (31.8)	—	—	—	—	SmA	154 (7.3)	I	h
	Cr	60 (–21.3)	—	—	SmC	88 ^a	SmA	155 (–7.1)	I	c
8O-AzCN-PhO16	Cr	95 (51.4)	—	—	—	—	SmA	148 (8.4)	I	h
	Cr	60 (–48.3)	—	—	SmC	77 ^a	SmA	149 (–8.3)	I	c
12O-AzCN-PhO12	Cr	90 (31.8)	—	—	SmC	133 ^a	SmA	149 (9.9)	I	h
	Cr	65 (–46.9)	—	—	SmC	133 ^a	SmA	150 (–9.9)	I	c
16O-AzCN-PhO16	Cr	95 (62.6)	—	—	SmC	135 ^a	SmA	138 (10.9)	I	h
	Cr	87 (–75.3)	—	—	SmC	135 ^a	SmA	139 (–9.2)	I	c
16O-AzCN-PhO8	Cr	86 (41.0)	—	—	SmC	133 ^a	SmA	151 (11.5)	I	h
	Cr	26 (–3.5)	SmA _{re}	78 ^a	SmC	133 ^a	SmA	151 (–11.9)	I	c

Heating/cooling rate: 5 K min⁻¹; h: 2nd heating, c: 2nd cooling. Crystal-crystal transitions are not listed. ^a Determined *via* POM.





Fig. 5 Plot of the relative layer spacing d/d_{AC} as a function of $T - T_{AC}$ for nO -AzCN-PhOm. (a) Full size and (b) close to the SmA-C transition.

counterparts. **16O-AzCN-PhO16** had the largest tilt angle with $\theta = 24^\circ$ 10 K beneath the SmA-SmC transition. The optical tilt

Table 2 Layer spacing at the SmA-SmC transition d_{AC} , ratio of the layer to molecular length d/L and maximum layer contraction l_{cmax} measured with SAXS, tilt angles determined by POM and R -values of de-Vries like liquid crystals at $T - T_{AC} = -10$ K of the characterized compounds

Compound	$d_{AC}/\text{\AA}$	d_{AC}/L^a	$l_{cmax}/\%$	$\theta_{opt}/^\circ$	R
8O-AzCN-PhO8	30.8	0.93	—	—	—
8O-AzCN-PhO16	40.2	0.93	—	—	—
12O-AzCN-PhO12	37.2	0.86	0.65	15	0.43
16O-AzCN-PhO16	41.4	0.78	0.18	24	0.14
16O-AzCN-PhO8	37.2	0.86	0.16	18	0.18

^a Calculated for the all-*trans*-configuration *via* Chem3D.



Fig. 6 (a) Temperature dependent WAXS measurements of **16O-AzCN-PhO8**. (b) 2D diffractogram of the WAXS measurement of the SmA phase at $T - T_{AC} = 20$ K and (c) of the SmA_{re} phase at $T - T_{AC} = -50$ K.

of **16O-AzCN-PhO8** with a SmA re-entrance phase peaked 10 K beneath the SmA-SmC transition and decreased while approaching the SmA_{re} phase. Similar behavior was observed in an SmA-SmC-SmA_{re} phase sequence reported by Novotná *et al.*²²



Fig. 7 Temperature dependence of the optical tilt angle θ during the SmC phase.



With knowledge of θ and $l_{c_{\max}}$, the R -value could be calculated (Table 2). The lowest value was achieved by **16O-AzCN-PhO16** with $R = 0.14$, which is similar to state of the art de Vries-like materials.^{10,11,39} The fact that longer side chains improve de Vries-like properties is in good accordance with a model by Merkel *et al.*, who showed that the layer contraction caused by the tilting of the core can be compensated for only by increasingly ordered side chains.⁴⁰

Ahmed *et al.* argued that the layer shrinkage caused by tilting of the molecule is compensated for either by a decrease in interdigitation and/or by an increase of orientational order within the layers.³⁹ Since interdigitation plays only a minor role in smectic monolayers, compensation should arise through increasing order. The molecules do not have nanosegregating head groups that are commonly associated with de Vries-like behavior. They usually cause high translational order between the layers which compensate for a low order within the layers in the SmA phase. It might be argued that the dipolar azulene core mimics such a headgroup, therefore acting as a nanosegregating core.

Order within the layers can be quantified by the nematic order parameter S_2 . Unfortunately, even after repeated attempts it was not possible to achieve completely orientated WAXS samples. Therefore, determination of S_2 via X-ray diffraction was not possible. Instead, we measured the birefringence Δn which is closely related to S_2 using a Phi-Viz Imaging System (Polaviz, APSYS Inc.).^{3,5} As seen in Fig. 8, all compounds showed a discontinuity of Δn at the SmA–SmC transition, followed by a rise of Δn . **8O-AzCN-PhO8** showed the highest overall Δn , but only a small increase when entering the SmC phase. Since Δn is mainly caused by the aromatic moiety of the molecules, it is expected that the birefringence decreases with increasing chain length in the homologous series. As expected,

16O-AzCN-PhO16 has the lowest birefringence which, however, increases strongly after the SmA–SmC transition. Those observations suggest the compensation of the layer shrinkage through increasing order. However, **8O-AzCN-PhO16** also has a strong increase in Δn while following classic behavior. Furthermore, **16O-AzCN-PhO8** has the lowest $l_{c_{\max}}$ while only showing a moderate increase in Δn . Therefore, it might be argued that the increasing S_2 cannot be the only factor contributing to the observed compensation.

The X-ray data reported by Novotná *et al.* suggest, that compound **9ZBL** behaves like a de Vries material (Fig. 2a), albeit with a moderate R -value of about 0.8 due to the low tilt angle.²² However, the qualitative behavior of d and θ during the SmA–SmC–SmA_{re} phase sequence is similar to our observations. They reasoned that quadrupolar ordering increases on cooling. With higher order the lateral chloro substituent of **9ZBL** hinders closer packing. The system avoids the steric hindrance by a shift of mass centers and so, increases the layer spacing.¹⁴ Taking inspiration by Novotná *et al.*, we propose a related packing model for **16O-AzCN-PhO8** in which the nitrile group sterically hinders the packing similar to the lateral chloro substituent in **9ZBL** (Fig. 9). In addition, the nitrile group contributes a lateral dipole moment and enhances the dipole caused by the zwitterionic resonance structure of the azulene moiety. This combination of lateral and axial dipoles might be important for the strong increase in quadrupolar ordering on cooling. Re-entrance phases purely driven by steric frustration have been predicted.⁴¹ In our case the steric frustration is intrinsically entangled with dipole–dipole interactions since the nitrile is a key element to both. The reinforcement between those two effects might be crucial for the anomalous behavior.

During the first SmA phase, the low d/L ratio of **16O-AzCN-PhO16** suggests that the long C_{16} chain is not present in the all-*trans* configuration (Fig. 9a). The entangled chain fills up the free volume left by the shorter C_8 chain. The shift of mass centers slightly compensates for the different length of the side chains allowing the C_{16} chain to adopt a more linear configuration (Fig. 9d). This might be a reason why the SmA_{re} phase is only present for **16O-AzCN-PhO8**. In **8O-AzCN-PhO16**, the shifting would further unbalance the already different chain lengths, thus the shifting seems not to take place. This might be the reason why classical behavior was observed for **8O-AzCN-PhO16**. The active role of the side chains on the appearance of re-entrancy was also highlighted by Novotná.¹⁴

Although comparisons of single crystal structures with mesophase packing geometries should be handled with great care, solid structures might give some useful hints about the specific interactions relevant for the packing in the mesophase, particularly where solid state structures of a whole series are available. The solid state structure of **16O-AzCN-PhO8** in Fig. 5d resembles the model of the SmA_{re} phase in Fig. 9d. As discussed above, the loss of the π – π interaction of the phenyl ring is compensated for by dipolar interactions, and strong van der Waals interactions of the interdigitated alkyl chains. In both, the mesophase and the crystalline phase subtle changes of the chain lengths lead to completely different behavior of the phase.



Fig. 8 Temperature dependence of birefringence Δn for compounds nO -AzCN-PhOm.





Fig. 9 Proposed mechanism for the formation of the SmA_{re} phase of **16O-AzCN-PhO8** due to a shift of mass centers based on a model by Novotná *et al.*¹⁴ (a) The SmA phase is present. (b) Tilting of the mesogens in the SmC phase leads to layer contraction. (c) A shift of mass centers is induced by the hindrance of the nitrile group and the layer thickness increases. (d) Mesogens lose their tilt in the SmA_{re} phase, the layer thickness further increases.

In summary, we synthesized a new class of liquid crystals based on the azulene core. These readily available compounds turned out to be potent de Vries-like materials with very low maximum layer shrinkages and R -values as low as 0.14 for **16O-AzCN-PhO16**. The overcompensation of the layer shrinkage might be a useful property when creating mixtures with other de Vries behaving compounds in order to achieve minimal layer shrinkages. It was suggested that the layer shrinkage caused by the molecular tilt is compensated for not only by an increase of the molecular order, but also by a shift of mass center in the aromatic core. In the case of **16O-AzCN-PhO8** the frustration between shift of mass center, good van der Waals interaction, but reduced dipole and π - π interaction might have led to the orthogonal SmA_{re} phase below the tilted SmC phase. The observations are in close analogy to the re-entrance phase of **9ZBL**.^{14,22} However, in contrast to **9ZBL** azulene compound **16O-AzCN-PhO8** does not have chiral centers, indicating that anomalous phase behavior can exist without chirality. These observations might lead to a new design principle for achiral liquid crystalline compounds showing re-entrant phases and de Vries behavior. Further work to understand this behavior in more detail is currently in progress and will be reported in due course.

Author contributions

FS and SL conceived and designed this research. FS and BW synthesized the compounds and did the liquid crystalline characterization. FS performed the X-ray and birefringence measurements. PN contributed their expertise to the evaluation of the results. WF performed and evaluated the single crystal measurements. FS wrote the first draft of the manuscript, SL PN and BW co-wrote the manuscript. All authors approved the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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