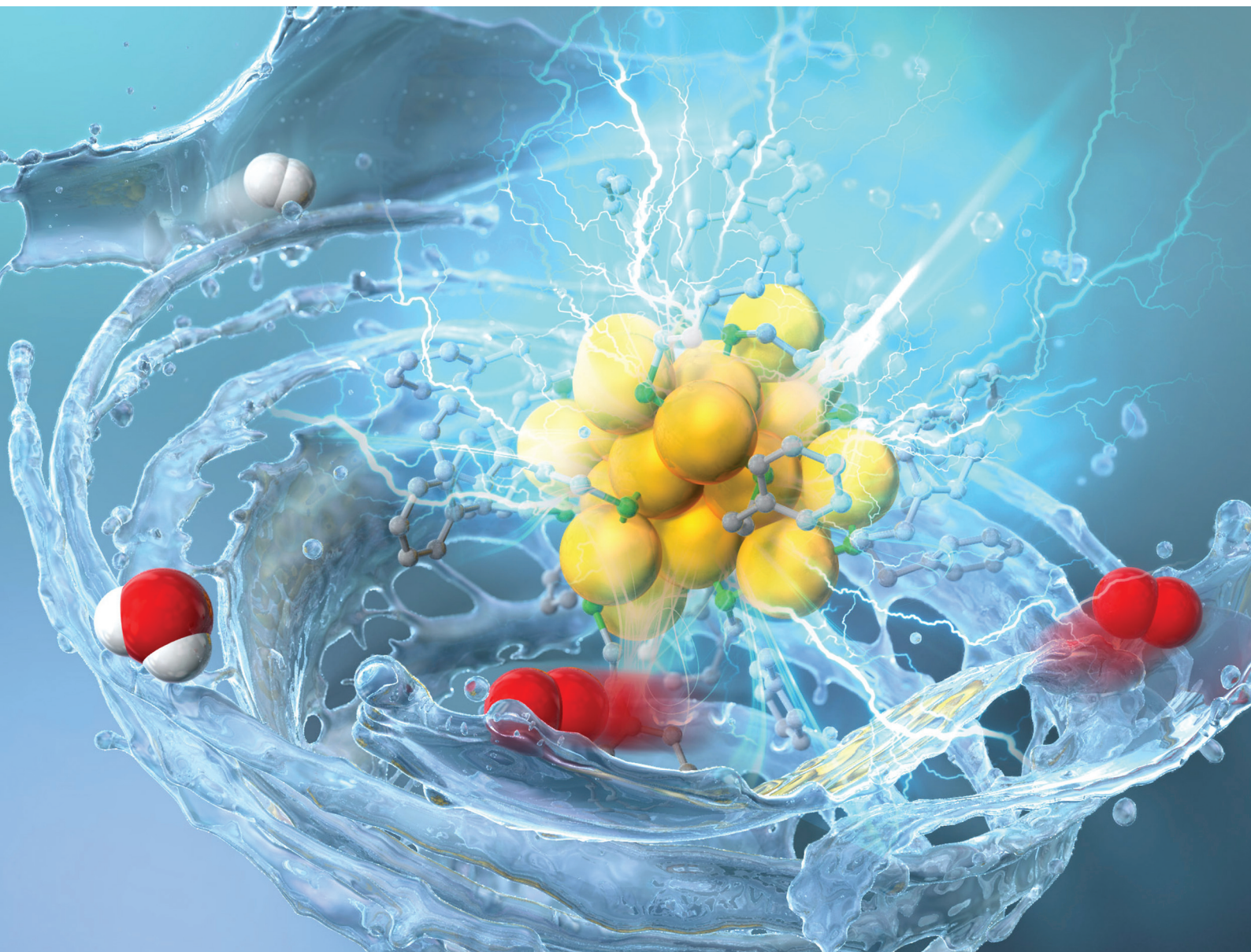


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Gold nanoclusters as electrocatalysts: size, ligands, heteroatom doping, and charge dependences†

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To establish an ultimate energy conversion system consisting of a water-splitting photocatalyst and a fuel cell, it is necessary to further increase the efficiencies of the hydrogen evolution reaction (HER), the oxygen evolution reaction (OER), and the oxygen reduction reaction (ORR). Recently, it was demonstrated that thiolate (SR)-protected gold clusters, $Au_n(SR)_m$, and their related alloy clusters can serve as model catalysts for these three reactions. However, as the previous data have been obtained under different experimental conditions, it is difficult to use them to gain a deep understanding of the means to attain higher activity in these reactions. Herein, we measured the HER, OER, and ORR activities of $Au_n(SR)_m$ and alloy clusters containing different numbers of constituent atoms, ligand functional groups, and heteroatom species under identical experimental conditions. We obtained a comprehensive set of results that illustrates the effect of each parameter on the activities of the three reactions. Comparison of the series of results revealed that decreasing the number of constituent atoms in the cluster, decreasing the thickness of the ligand layer, and substituting Au with Pd improve the activities in all reactions. Taking the stability of the cluster into consideration, $[Au_{24}Pd(PET)_{18}]^0$ (PET = 2-phenylethanethiolate) can be considered as a metal cluster with high potential as an HER, OER, and ORR catalyst. These findings are expected to provide clear design guidelines for the development of highly active HER, OER, and ORR catalysts using $Au_n(SR)_m$ and related alloy clusters, which would allow realization of an ultimate energy conversion system.

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1. Introduction

The development of an ultimate energy conversion system that is able to generate hydrogen (H_2) from water (H_2O) using a photocatalyst and then use the H_2 in a fuel cell to generate electricity is of great interest.¹ Using such a system, it would be possible to obtain energy only from light and water and circulate the energy medium (H_2) (Scheme S1†). However, to realize such a system, it is essential to further improve the reaction efficiencies of the hydrogen evolution reaction (HER) and oxygen evolution reaction (OER), which are half reactions of

the water-splitting reaction, and the hydrogen oxidation reaction (HOR) and oxygen reduction reaction (ORR), which are the reverse reactions of the HER and OER, respectively. To improve the reactivity per unit volume, it is important to increase the number of active sites and the rate of these reactions. Catalyst miniaturization is an effective method to achieve the former. The latter is strongly affected by the adsorption and desorption energies of the reactive molecules on the catalyst surface. Therefore, in recent years, there has been intensive research on controlling these energies by using alloy catalysts.¹

Thiolate (SR)-protected gold clusters ($Au_n(SR)_m$)^{2–19} are size-controllable at the atomic level and have high stability in both solution and solid states. Au in these clusters can be partially substituted with silver (Ag), copper (Cu), platinum (Pt), palladium (Pd), cadmium (Cd), and mercury (Hg). $Au_n(SR)_m$ and its alloy clusters exhibit catalytic activities in numerous reactions such as carbon monoxide oxidation,²⁰ alcohol oxidation,²¹ and epoxidation.^{22,23} Because the geometrical structure of $Au_n(SR)_m$ and its alloy clusters can be determined by single-crystal structural analysis,²⁴ a deep understanding of the correlation between the geometrical structure and the catalytic activity is expected to be obtained for these clusters. For

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† Electronic supplementary information (ESI) available: Geometrical structure of each cluster, MALDI mass spectra, UV-vis spectra, schematic of the proposed energy conversion system, additional linear sweep voltammograms of the products. See DOI: 10.1039/d0nr00702a

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these reasons, $\text{Au}_n(\text{SR})_m$ and its alloy clusters have drawn considerable attention as model catalysts in recent years, and their catalytic activity has been intensively studied by many research groups.²³

Recently, it has been demonstrated that Au and its related alloy clusters also exhibit catalytic activities in the HER,^{25–32} OER,^{33,34} and ORR.^{35–44} Consequently, these clusters are also expected to be model catalysts in the above-mentioned energy conversion system. If we can develop a deep understanding of the effective activation of $\text{Au}_n(\text{SR})_m$ and its related alloy clusters through catalyst studies, it may be possible to develop HER, OER, and ORR catalysts using $\text{Au}_n(\text{SR})_m$ and alloy clusters with performance which surpasses that of conventional catalysts. However, because the current data on catalytic activity were obtained under different experimental conditions (e.g., electrode preparation methods and measurement conditions), it is difficult to quantitatively compare the effects of each parameter of $\text{Au}_n(\text{SR})_m$ and its alloy clusters on catalytic activity, which in turn makes it difficult to obtain clear design guidelines to achieve effective activation.

In this study, we measured the HER, OER, and ORR activities of $\text{Au}_n(\text{SR})_m$ and its alloy clusters containing different numbers of constituent atoms, ligand functional groups, and heteroatom species under the same experimental conditions to address the above-mentioned problem (Scheme 1). Accordingly, we systematically clarified the effect of each parameter on the HER, OER, and ORR activities of the cluster catalysts.

2. Results and discussion

2-Phenylethanethiolate (PET) is one of the most frequently used ligands in the synthesis of $\text{Au}_n(\text{SR})_m$ clusters.^{45–47} Therefore, $\text{Au}_n(\text{PET})_m$ clusters were the primary focus of this study. $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{38}(\text{PET})_{24}]^0$, $[\text{Au}_{130}(\text{PET})_{50}]^0$, $[\text{Au}_{144}(\text{PET})_{60}]^0$, and $[\text{Au}_{329}(\text{PET})_{84}]^0$ (Fig. S1†) were used to elucidate the dependence of catalytic activity on the number of constituent atoms. To evaluate the dependence of catalytic activity on ligand functional groups, $[\text{Au}_{25}(\text{PET})_{18}]^0$, hexanethiolate (C6T)-protected $[\text{Au}_{25}(\text{C6T})_{18}]^0$, and dodecanethiolate (C12T)-protected $[\text{Au}_{25}(\text{C12T})_{18}]^0$ were used (Fig. S1(a) and S2†). In addition, $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$, and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ were used to investigate how the heteroatom species affect the catalytic activity (Fig. S3†). $[\text{Au}_{25}(\text{PET})_{18}]^0$ and $[\text{Au}_{25}(\text{PET})_{18}]^-$ were used to evaluate the dependence of catalytic activity on the charge state of the cluster. Matrix-assisted laser desorption/ionization (MALDI) mass spectrometry and optical absorption spectroscopy confirmed that all eleven types of clusters (Table 1) were synthesized with high purity (Fig. S4–S11†). After loading an identical amount (0.5 mg) of each of these clusters on electrodes, the HER, OER, and ORR characteristics of each cluster were evaluated using electrochemical measurements (see section 4 and Fig. S12† for details).

2.1. Hydrogen evolution reaction for water splitting

The HER is a half reaction of water splitting. In this reaction, protons (H^+) first form bonds with metal atoms on the cluster surface *via* the Volmer–Heyrovsky or Volmer–Tafel mechanism. H_2 is then formed on the metal surface and subsequently desorbed. Under acidic conditions, the HER is expressed as follows:¹

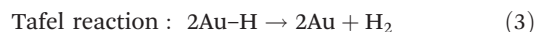
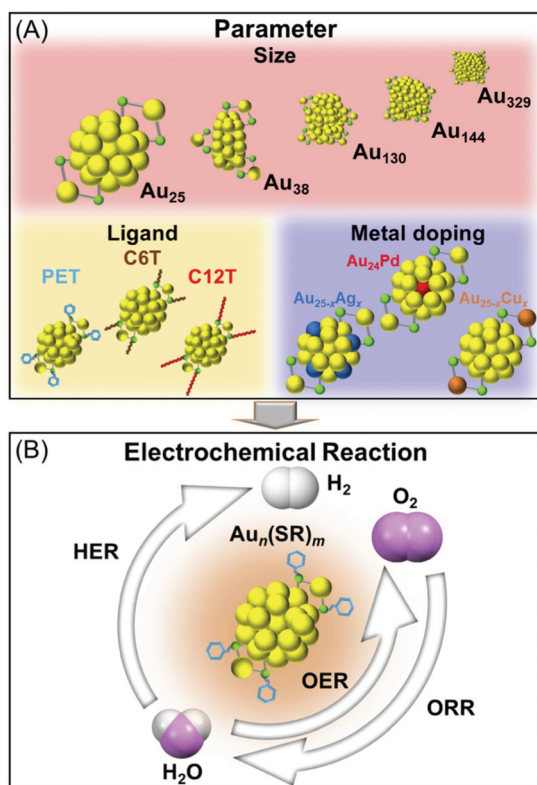


Fig. 1(a) shows linear sweep HER voltammograms for $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{38}(\text{PET})_{24}]^0$, $[\text{Au}_{130}(\text{PET})_{50}]^0$, $[\text{Au}_{144}(\text{PET})_{60}]^0$,



Scheme 1 Schematics of the (A) parameters and (B) electrochemical reactions investigated in this study.

Table 1 Molecular weight and numbers of metal atoms and surface atoms in 0.5 mg of each cluster

Cluster	M_w^a	$N(\times 10^{17})^b$	$N_{\text{surf.}}(\times 10^{17})^c$
$[\text{Au}_{25}(\text{PET})_{18}]^0$	7394.2	10.2	4.89
$[\text{Au}_{38}(\text{PET})_{24}]^0$	10 778.1	10.6	5.87
$[\text{Au}_{130}(\text{PET})_{50}]^0$	32 466.7	12.1	4.64
$[\text{Au}_{144}(\text{PET})_{60}]^0$	36 596.5	11.8	4.94
$[\text{Au}_{329}(\text{PET})_{84}]^0$	76 328.5	13.0	5.68
$[\text{Au}_{25}(\text{C6T})_{18}]^0$	7034.3	10.7	5.14
$[\text{Au}_{25}(\text{C12T})_{18}]^0$	8549.2	8.8	4.23
$[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$	6993.2	10.8	5.17
$[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$	7220.7	10.4	5.00
$[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$	7303.6	10.3	4.95
$[\text{Au}_{25}(\text{PET})_{18}]^-$	7861.2 ^d	9.6	4.60

^a Molecular weight. ^b Number of metal atoms in 0.5 mg of the cluster.

^c Number of surface atoms (Fig. S1†) in 0.5 mg of the cluster. ^d This molecular weight includes that of the counter cation (tetraoctylammonium ion).



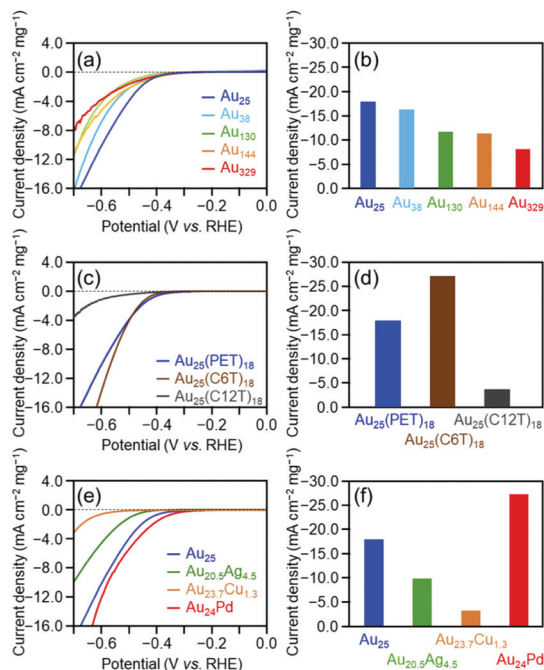


Fig. 1 HER voltammograms of $Au_n(SR)_m$ clusters with different (a) sizes ($[Au_{25}(PET)_{18}]^0$, $[Au_{38}(PET)_{24}]^0$, $[Au_{130}(PET)_{50}]^0$, $[Au_{144}(PET)_{60}]^0$, and $[Au_{329}(PET)_{84}]^0$), (c) ligands ($[Au_{25}(PET)_{18}]^0$, $[Au_{25}(C6T)_{18}]^0$, and $[Au_{25}(C12T)_{18}]^0$), and (e) doped heteroatoms ($[Au_{25}(PET)_{18}]^0$, $[Au_{20.5}Ag_{4.5}(PET)_{18}]^0$, $[Au_{23.7}Cu_{1.3}(PET)_{18}]^0$, and $[Au_{24}Pd(PET)_{18}]^0$) in 0.2 M $HClO_4$ saturated with N_2 (pH = 0.7). Mass HER activity of gold clusters with different (b) sizes, (d) ligands, and (f) doped heteroatoms at -0.7 V vs. RHE evaluated from (a), (c), and (e), respectively.

and $[Au_{329}(PET)_{84}]^0$. The horizontal axis of each voltammogram is the voltage applied to the cluster-supported electrode versus the reversible hydrogen electrode (RHE). At the same applied voltage, the current density increased continuously as the number of constituent atoms in the cluster decreased (Table 2). Fig. 1(b) compares the mass activities of the clusters at an applied voltage of -0.7 V vs. RHE. With decreasing

number of constituent atoms, the current density increased, and $[Au_{25}(PET)_{18}]^0$ (-17.8 mA cm $^{-2}$) exhibited a mass activity which was 223% that of $[Au_{329}(PET)_{84}]^0$ (-8.0 mA cm $^{-2}$) (Table 2). These results indicate that decreasing the number of constituent atoms in the cluster catalyst induces an increase in HER activity. In the case of bulk Au, it has been shown that Au binds weakly to H^+ and the HER does not progress easily.¹ In contrast, small $Au_n(SR)_m$ clusters have an electronic/geometrical structure different from that of bulk Au.⁴⁸ Because of this different electronic/geometrical structure, $Au_n(SR)_m$ clusters form stronger bonds with H^+ than Au and thus exhibit higher HER activity than Au.²⁷ To understand the reason for the improved activity with decreasing number of constituent atoms, we calculated the number of Au atoms in 0.5 mg of each cluster and the number of surface atoms actually bonded to the reactants (Fig. S13† and Table 1). It was found that these values did not change much regardless of the number of constituent atoms in the cluster. This indicates that the dependence of catalytic activity on the number of constituent atoms observed in Fig. 1(b) cannot be explained by the change in the number of surface atoms with cluster size. In general, as the number of constituent atoms in the $Au_n(SR)_m$ clusters decreases, Au–H bonds are formed more easily on the surface of the metal core.²⁷ It is considered that because a similar change in the electronic structure occurs as the number of constituent atoms decreases, the catalytic activity depends on the number of constituent atoms, as is observed in Fig. 1(b).

As described above, the HER activity of the $Au_n(SR)_m$ clusters increases as the number of constituent atoms decreases. Furthermore, mass spectrometry revealed that $[Au_{25}(PET)_{18}]^0$ maintained its chemical composition after the electrochemical experiment with twenty scans (Fig. 2). Therefore, we used $[Au_{25}(PET)_{18}]^0$ (Fig. S1(a)†), which showed the highest activity in the above-mentioned experiments, as the reference to examine the catalytic activity in subsequent experiments. Fig. 1(c) shows the linear sweep voltammograms of $[Au_{25}(PET)_{18}]^0$, $[Au_{25}(C6T)_{18}]^0$, and $[Au_{25}(C12T)_{18}]^0$. $[Au_{25}(C12T)_{18}]^0$ showed lower activity than

Table 2 HER, OER, and ORR data for $Au_n(SR)_m$ and the related alloy clusters

Cluster	Onset potential ^a (V)			Current density ^b (mA cm $^{-2}$ mg $^{-1}$)			Normalized current density ^c (%)		
	HER	OER	ORR	HER	OER	ORR	HER	OER	ORR
$[Au_{25}(PET)_{18}]^0$	−0.33	1.90	0.24	−17.8	8.55	−0.85	100	100	100
$[Au_{38}(PET)_{24}]^0$	−0.33	1.90	0.24	−16.3	5.63	−0.49	91.3	65.9	57.0
$[Au_{130}(PET)_{50}]^0$	−0.37	2.00	0.19	−11.6	4.52	−0.44	65.0	52.9	51.2
$[Au_{144}(PET)_{60}]^0$	−0.31	2.03	0.15	−11.3	3.56	−0.30	63.2	41.6	35.3
$[Au_{329}(PET)_{84}]^0$	−0.31	2.02	0.20	−8.0	3.19	−0.14	44.8	37.3	16.8
$[Au_{25}(C6T)_{18}]^0$	−0.37	1.86	0.18	−27.0	8.95	−0.91	151.4	104.7	106.8
$[Au_{25}(C12T)_{18}]^0$	−0.42	2.16	0.17	−3.6	1.23	−0.54	20.4	14.4	63.7
$[Au_{20.5}Ag_{4.5}(PET)_{18}]^0$	−0.42	1.94	0.20	−9.9	7.39	−0.78	55.7	86.5	91.4
$[Au_{23.7}Cu_{1.3}(PET)_{18}]^0$	−0.53	1.90	0.15	−3.2	8.65	−0.75	18.0	101.2	88.4
$[Au_{24}Pd(PET)_{18}]^0$	−0.29	1.92	0.24	−27.1	9.47	−0.95	151.7	110.8	111.8
$[Au_{25}(PET)_{18}]^-$	−0.19	1.79	0.23	−26.0	10.31	−1.13	145.7	120.6	133.4

^a These values were estimated from Fig. 1(a), (c), and (e), 3(a), (c), and (e) and 5(a), (c), and (e). ^b The values for the HER, OER, and ORR were estimated at -0.7 , 2.2 , and -0.2 V vs. RHE respectively. ^c These values are normalized to that of $[Au_{25}(PET)_{18}]^0$ (Fig. 7).



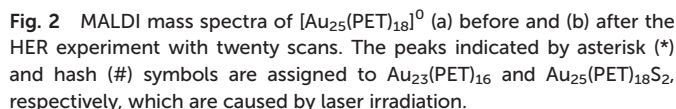


Fig. 2 MALDI mass spectra of $[\text{Au}_{25}(\text{PET})_{18}]^0$ (a) before and (b) after the HER experiment with twenty scans. The peaks indicated by asterisk (*) and hash (#) symbols are assigned to $\text{Au}_{23}(\text{PET})_{16}$ and $\text{Au}_{25}(\text{PET})_{18}\text{S}_2$, respectively, which are caused by laser irradiation.

Fig. 1(e) illustrates the linear voltammograms of the HER for $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$, and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$. Based on these voltammograms, the HER activity of the catalysts shows the following order from highest to lowest: $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0 > [\text{Au}_{25}(\text{PET})_{18}]^0 > [\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0 > [\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$ (Fig. 1(f)). Regarding the HER of the Pd-substituted cluster, Lee and co-workers conducted studies on

From these experiments, it became clear that efficient ways to increase the HER activity include the following: (i) decreasing the number of constituent atoms, (ii) using a shorter ligand, and (iii) inducing strong metal-H bond formation *via* substitution with a heteroatom. Based on these results, it is assumed that effective methods to improve the HER activity include, but are not limited to, using cluster catalysts with fewer constituent atoms than $[\text{Au}_{25}(\text{PET})_{18}]^0$ and making the ligand shorter than PET. However, these methods are not necessarily effective from the viewpoint of the stability of the catalyst. It has been reported that an $\text{Au}_n(\text{SR})_m$ cluster with fewer constituent atoms than $[\text{Au}_{25}(\text{SR})_{18}]^0$ shows lower stability than $[\text{Au}_{25}(\text{SR})_{18}]^0$ in solution.⁵² In addition, there are no reports of the precise synthesis of Pd-substituted products for such clusters.⁵³ Thus, it is considered that small Pd-substituted clusters have low stability. In terms of the ligand, the clusters used in this study could be precisely synthesized even when an SR with a shorter hydrocarbon chain is used.^{54–56} However, such clusters are generally less stable in solution than those containing a PET ligand.⁵⁷ We also examined the effect of the charge state on the HER activity of the clusters using $[\text{Au}_{25}(\text{PET})_{18}]^0$ and $[\text{Au}_{25}(\text{PET})_{18}]^-$. The results revealed that although the negative charge temporarily improved the activity (Fig. S14[†]), the negative ion was oxidized

during the potential sweep (Fig. S11(c)†). Taking all these points into consideration, it can be said that $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ is a metal cluster showing high potential for use as an HER catalyst.

2.2. Oxygen evolution reactions for water splitting

The OER is also a half reaction of water splitting. The OER is a multistage four-electron reaction that proceeds through different routes depending on the OER intermediates (O, OH, OOH, etc.). Because the measurements in this study were performed under acidic conditions, the OER probably proceeds according to the following route:¹



Fig. 3(a) shows the linear sweep voltammograms for the OER of $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{38}(\text{PET})_{24}]^0$, $[\text{Au}_{130}(\text{PET})_{50}]^0$, $[\text{Au}_{144}(\text{PET})_{60}]^0$, and $[\text{Au}_{329}(\text{PET})_{84}]^0$. Similar to the HER

activity, the OER activity increased continuously as the number of constituent atoms decreased (Fig. 3(b)). A previous study reported that the electronic structure of Au clusters becomes discrete as the number of constituent atoms decreases, which facilitates the adsorption of hydroxyl ions (OH^-) onto the Au cluster surface.³⁵ It can be considered that the dependence of the OER activity on the number of constituent atoms observed in this study is also strongly influenced by the change in the adsorption ability of OH^- caused by the change in the electronic structure with the number of constituent atoms.

Fig. 3(c) shows the linear sweep voltammograms for the OER of $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{25}(\text{C6T})_{18}]^0$, and $[\text{Au}_{25}(\text{C12T})_{18}]^0$. These voltammograms indicate that $[\text{Au}_{25}(\text{C12T})_{18}]^0$ has lower activity than $[\text{Au}_{25}(\text{PET})_{18}]^0$, whereas $[\text{Au}_{25}(\text{C6T})_{18}]^0$ has a little higher activity than $[\text{Au}_{25}(\text{PET})_{18}]^0$ (Fig. 3(d)). As mentioned above, the ligands of the $\text{Au}_n(\text{SR})_m$ cluster act as an insulating layer between the electrode and the metal core.⁵⁸ Thus, it can be considered that these tendencies are also mainly caused by the difference in the thickness of the ligand layer.

Fig. 3(e) shows the OER voltammograms of $[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$, and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$. The order of the OER activity of the clusters from highest to lowest was $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0 > [\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0 > [\text{Au}_{25}(\text{PET})_{18}]^0 > [\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$ (Fig. 3(f)). In general, the activity of an electrochemical reaction of $\text{Au}_n(\text{SR})_m$ and related alloy clusters is strongly influenced by the binding force between the cluster and reactants, which depends on the electronic structure of the cluster.²⁷ It is interpreted that Pd and Cu substitution induces an electronic structure suitable for the OER, whereas Ag substitution generates unfavorable electronic structures for the OER. DFT calculations are expected to reveal the origin of this dependence in detail in the future.²⁷

Overall, the effect of each parameter on catalyst activity in the HER and OER is generally similar; the difference in the effect between two reactions was only the Cu doping effect. Because these two reactions have different mechanisms (eqn (1)–(8)), the factors that induce changes in the catalytic activity are probably different from each other. However, interestingly, the effect of each parameter on the catalyst activity in each reaction is overall similar. Durability tests demonstrated that

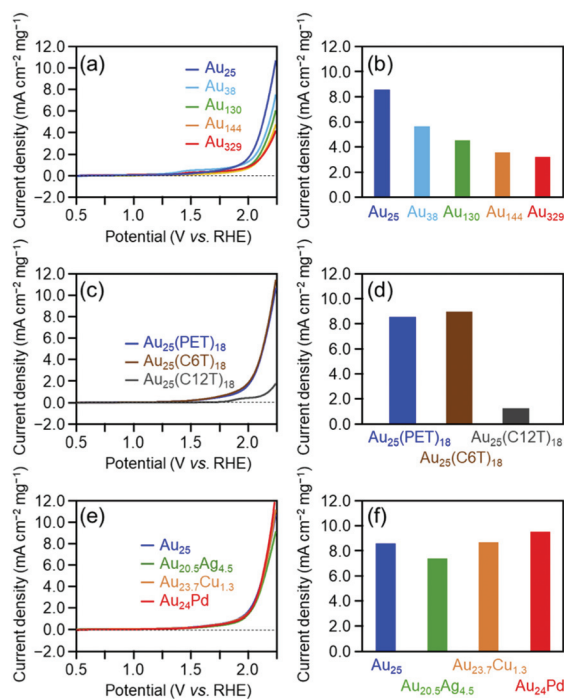


Fig. 3 OER voltammograms of $\text{Au}_n(\text{SR})_m$ clusters with different (a) sizes ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{38}(\text{PET})_{24}]^0$, $[\text{Au}_{130}(\text{PET})_{50}]^0$, $[\text{Au}_{144}(\text{PET})_{60}]^0$, and $[\text{Au}_{329}(\text{PET})_{84}]^0$), (c) ligands ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{25}(\text{C6T})_{18}]^0$, and $[\text{Au}_{25}(\text{C12T})_{18}]^0$), and (e) doped heteroatoms ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$, and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$) in 0.2 M HClO_4 saturated with N_2 (pH = 0.7). Mass OER activity of gold clusters with different (b) sizes, (d) ligands, and (f) doped heteroatoms at +2.2 V vs. RHE evaluated from (a), (c), and (e), respectively.

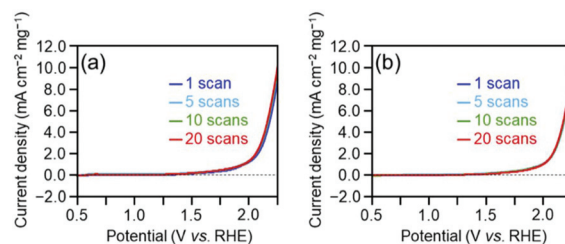


Fig. 4 Durability of (a) $[\text{Au}_{25}(\text{PET})_{18}]^0$ and (b) $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ in the OER.

$[\text{Au}_{25}(\text{PET})_{18}]^0$ and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ can maintain their chemical compositions (Fig. S15[†]) and thereby their activities even after twenty sweeps (Fig. 4). Based on these results, it can be said that $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ shows considerable promise as an OER catalyst.

2.3. Oxygen reduction reactions for fuel cells

Two types of fuel cells have been developed to date that use alcohol or H_2 as the fuel. In H_2 fuel cells, the reactions are the HOR and ORR. The HOR is the reverse reaction of the HER and is a one-electron reaction. Therefore, the reaction mechanism is simple and catalysts active in the HER are often also active in the HOR. The ORR is the reverse reaction of the OER and is a four- or two-electron reaction. Because the ORR follows a complicated reaction pathway, a catalyst active in the OER is not necessarily active in the ORR. The ORR pathway in an acidic electrolyte can be described as follows:¹

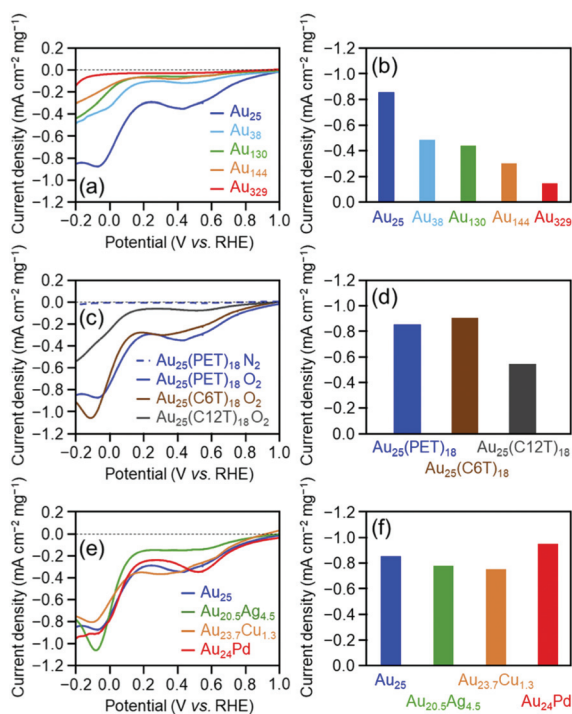
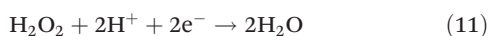
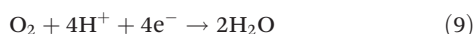


Fig. 5 ORR voltammogram of $\text{Au}_n(\text{SR})_m$ clusters with different (a) sizes ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{38}(\text{PET})_{24}]^0$, $[\text{Au}_{130}(\text{PET})_{50}]^0$, $[\text{Au}_{144}(\text{PET})_{60}]^0$, and $[\text{Au}_{329}(\text{PET})_{84}]^0$), (c) ligands ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{25}(\text{C6T})_{18}]^0$, and $[\text{Au}_{25}(\text{C12T})_{18}]^0$) and (e) doped heteroatoms ($[\text{Au}_{25}(\text{PET})_{18}]^0$, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$, and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$) in 0.2 M HClO_4 saturated with O_2 or N_2 (pH = 0.7). Mass ORR activity of gold clusters with different (b) sizes, (d) ligands, and (f) doped heteroatoms at -0.2 V vs. RHE evaluated from (a), (c), and (e), respectively.

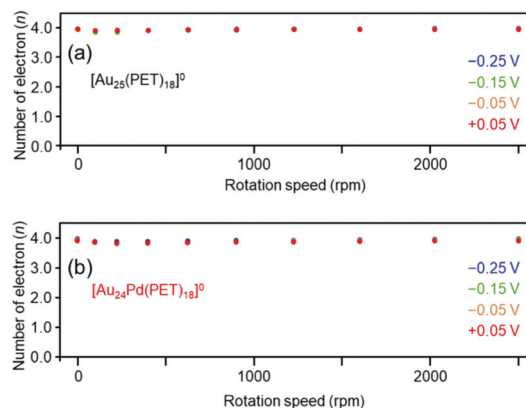


Fig. 6 Number of electrons transferred vs. the rotation speed at different potentials (vs. RHE) obtained by RRDE measurements for (a) $[\text{Au}_{25}(\text{PET})_{18}]^0$ and (b) $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$.

Fig. 5 shows the dependence of the ORR activity of the clusters on the number of constituent atoms, ligand functional groups, and heteroelement species. Overall, these dependencies are very similar to those obtained for the OER activity (Fig. 3). This indicates that in the case of $\text{Au}_n(\text{SR})_m$ and related alloy clusters, clusters which are highly active for the OER also show high activity for the ORR.

Regarding the dependence of catalytic activity on the number of constituent atoms in the cluster, as the case of the OER, it can be explained that the difference in the adsorption force between Au and oxygen on the metal core surface mainly causes the tendency seen in Fig. 5(a) and (b). Actually, previous studies have shown that with the decreasing core size of Au nanoclusters, the d-bands become narrowed and shift towards the Fermi level.^{59,60} This finding suggests that smaller Au clusters are energetically more favorable for O_2 adsorption. For the dependence of catalytic activity on the ligand functional group, it is assumed that the difference in the distance between the electrode and metal core mainly causes the trend in Fig. 5(c) and (d), similar to the case for the HER or OER. With respect to the effect of substitution with different elements on the catalytic activity, the tendency seen in Fig. 5(e) and (f) seems to occur because Pd substitution gives an electronic structure suitable for the ORR, whereas Ag and Cu substitution generates unfavorable electronic structures, similar to the case of the OER. This Cu doping effect is different from the case of the OER reaction. To gain a deep understanding of this reason, it is anticipated that the geometrical structure of $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$ would be determined by single crystal X-ray diffraction analysis.

We also evaluated the reaction activity of $[\text{Au}_{25}(\text{PET})_{18}]^0$ and $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ using a rotating ring disc electrode (RRDE). The results showed that the four-electron reduction reaction was dominant under acidic conditions in the range of -0.25 – 0.05 V vs. RHE (Fig. 6(a) and (b)). For $[\text{Au}_{25}(\text{C12T})_{18}]^0$, it has been reported that the two-electron reduction occurs with high selectivity under alkaline

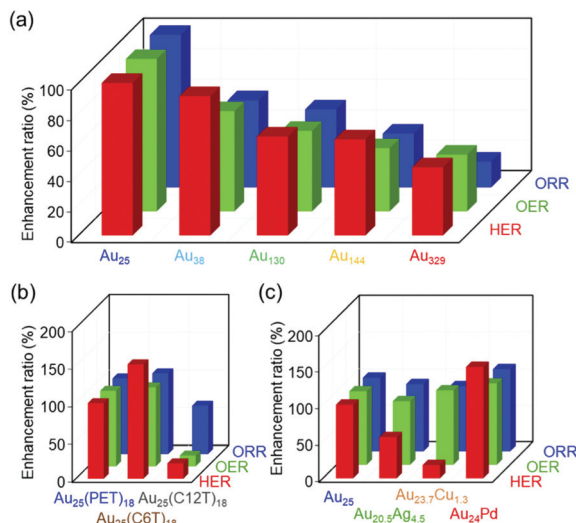


Fig. 7 Enhancement ratio of the HER, OER, and ORR activities for gold clusters with different (a) sizes, (b) ligands, and (c) metal substitutions compared with the case for $[\text{Au}_{25}(\text{PET})_{18}]^0$.

3. Conclusions

- (1) Comprehensive results that can serve as a library revealing the effect of each parameter on the activities of these three reactions were obtained.
- (2) Comparing the series of data revealed that decreasing the number of constituent atoms in the cluster, decreasing the thickness of the ligand layer, and Pd substitution increase the catalytic activity of the clusters in all three reactions despite the mechanisms of these reactions being different from each other.
- (3) Taking both activity and stability into consideration, it was found that $[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ is a metal cluster with high potential in all reactions.

At the same time, more ingenuity is required to construct catalysts with even higher activity using $\text{Au}_n(\text{SR})_m$ and related

alloy clusters. Based on the report by Lee *et al.* on $[\text{Au}_{24}\text{Pt}(\text{SR})_{18}]^0$ (SR = C6T or C12T),³⁰ substituting the central atom of $[\text{Au}_{25}(\text{PET})_{18}]^0$ with Pt is one way to increase its catalytic activity. If multiple Au in $[\text{Au}_{25}(\text{PET})_{18}]^0$ could be substituted with Pd, even higher catalytic activity is expected to be achieved by Pd substitution. In addition, we used three types of hydrophobic SR clusters (PET, C6T, and C12T) as ligands in this study. However, it may be necessary to use a hydrophilic SR as the ligand to further improve the activity in each reaction (HER, OER, or ORR) by facilitating the approach of the reactants (H^+ , H_2O , O, OH, OOH, O_2 *etc.*) to the cluster in each reaction. Thus, hydrophilic SR clusters²⁹ are expected to be extensively used to produce HER, OER, and ORR catalysts possessing even higher activities. At present, the activities of $\text{Au}_n(\text{SR})_m$ and related alloy clusters are only around 10% of that of the commercial catalysts, *e.g.* Pt/C. The difference in the sample preparation method seems to be strongly related to this reason. In the case of commercial Pt/C, Pt nanoparticles are loaded on the carbon to increase the number of surface Pt atoms. This electrode preparation method has been already well established. On the other hand, in the study of $\text{Au}_n(\text{SR})_m$ and related clusters, the clusters are typically just loaded on the electrode with Nafion. Thus, for $\text{Au}_n(\text{SR})_m$ and related clusters, there seems to be still a large room for improving the activity. Future studies are expected to attempt not only the improvement of the activity of each cluster but also the improvement of the electrode preparation methods to make the most of each cluster's activity.

4. Experimental

All chemicals were commercially obtained and used without further purification. Hydrogen tetrachloroaurate tetrahydrate ($\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$) was purchased from Tanaka Kikinokoku. Palladium(II) sodium chloride trihydrate ($\text{Na}_2\text{PdCl}_4 \cdot 3\text{H}_2\text{O}$), copper(II) chloride dihydrate ($\text{CuCl}_2 \cdot 2\text{H}_2\text{O}$), 1-dodecanethiol, glutathione, and tetraoctylammonium bromide ($[(\text{C}_8\text{H}_{17})_4\text{N}]\text{Br}$) were obtained from FUJIFILM Wako Pure Chemical. 2-Phenylethanethiol and Nafion® perfluorinated resin solution (5 wt% dispersion, contains 45% water) were obtained from Aldrich. Methanol, acetonitrile, toluene, acetone, tetrahydrofuran (THF), ethanol, dichloromethane, perchloric acid (60% aqueous solution), triethylamine and silver nitrate (AgNO_3) were obtained from Kanto Chemical. We purchased sodium tetrahydroborate (NaBH_4), 1-hexanethiol and *trans*-2-[3-(4-*tert*-butylphenyl)-2-methyl-2-propenylidene] malononitrile (DCTB) from Tokyo Chemical Industry. Pt/C (EC-10-PTC) was purchased from Toyo Co. Polishing alumina and diamond solutions were obtained from BAS. Deionized water with a resistivity of $>18 \text{ M}\Omega \text{ cm}$ was used in all experimental work.

[Au₂₅(PET)₁₈]⁰. [Au₂₅(PET)₁₈]⁰ was synthesized in two steps. First, the anionic form of [Au₂₅(PET)₁₈]⁰ ([Au₂₅(PET)₁₈]⁻) was

$[\text{Au}_{144}(\text{PET})_{60}]^0$. $[\text{Au}_{144}(\text{PET})_{60}]^0$ was synthesized by a reported method⁶⁵ with slight modifications. In a typical pro-

The oxidation of $[\text{Au}_{25}(\text{C}_{12}\text{T})_{18}][(\text{C}_8\text{H}_{17})_4\text{N}]$ was performed by stirring $[\text{Au}_{25}(\text{C}_{12}\text{T})_{18}][(\text{C}_8\text{H}_{17})_4\text{N}]$ with silica gel using dichloromethane as the eluent, analogous to the oxidation of

$[\text{Au}_{25}(\text{PET})_{18}]^-$. The obtained $[\text{Au}_{25}(\text{C12T})_{18}]^0$ was purified before characterization and use in electrochemical measurements.

$[\text{Au}_{25-x}\text{M}_x(\text{PET})_{18}]^0$ ($\text{M} = \text{Ag, Cu or Pd}$; x' = the average number of substituted atoms). $[\text{Au}_{25-x}\text{M}_x(\text{PET})_{18}]^0$ ($\text{M} = \text{Ag or Cu}$) was synthesized in two steps. First, PET-protected metal (Ag or Cu) complexes were synthesized and then $[\text{Au}_{25-x}\text{M}_x(\text{PET})_{18}]^0$ ($\text{M} = \text{Ag or Cu}$) was synthesized by stirring $[\text{Au}_{25}(\text{PET})_{18}]^0$ and obtained metal (Ag or Cu) complexes.

$[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$ was synthesized by a reported metal exchange method.⁶⁸ $\text{Ag}(\text{i})-(\text{PET})$ complexes were synthesized as follows. First, 2-phenylethanethiol (4.52 mmol) and triethylamine (2 mL) were added to ethanol (7 mL) containing AgNO_3 (0.21 mmol). After stirring for 30 min at room temperature, the resulting precipitate was washed with methanol and then water to remove the unreacted AgNO_3 and excess thiol. $[\text{Au}_{25}(\text{PET})_{18}]^0$ (0.5 μmol) was dissolved in CH_2Cl_2 (1 mL) and $\text{Ag}(\text{i})-(\text{PET})$ (25 μmol) was added. After stirring for 50 or 70 s, the resulting suspension was filtered through filter paper with 0.2 μm pores. The product was washed with methanol, ethanol, and finally water to remove the excess $\text{Ag}(\text{i})-(\text{PET})$ and by-products. Finally, $[\text{Au}_{20.5}\text{Ag}_{4.5}(\text{PET})_{18}]^0$ was extracted from the dried sample using dichloromethane.

$[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$ was synthesized by a reported method⁶⁸ with slight modifications. $\text{Cu}(\text{ii})-(\text{PET})_2$ was synthesized as follows. First, 2-phenylethanethiol (4.52 mmol) and triethylamine (2 mL) were added to ethanol (7 mL) containing $\text{CuCl}_2 \cdot 2\text{H}_2\text{O}$ (0.21 mmol). After stirring for 30 min at room temperature, the resulting precipitate was washed with methanol and then water to remove the unreacted CuCl_2 and excess thiol. $[\text{Au}_{25}(\text{PET})_{18}]^0$ (8 mg) and $\text{Cu}(\text{ii})-(\text{PET})_2$ (0.007 mmol, 2.5 mg) were dissolved in THF (5 mL). After 30 s, NaBH_4 (0.09 mmol, 3.5 mg) was rapidly added. The resulting solution was stirred for 30 min at 0 $^\circ\text{C}$. THF was removed by evaporation and then the remaining precipitate was washed with water to remove excess NaBH_4 . Finally, $[\text{Au}_{23.7}\text{Cu}_{1.3}(\text{PET})_{18}]^0$ was extracted from the dried sample using acetonitrile to remove other by-products.

$[\text{Au}_{24}\text{Pd}(\text{PET})_{18}]^0$ was synthesized by a method similar to that in the literature.⁶⁹ First, $[\text{Au}_{24}\text{Pd}(\text{C12T})_{18}]^0$ was synthesized using the method previously reported by our group.⁶⁹ Specifically, $\text{HAuCl}_4 \cdot 4\text{H}_2\text{O}$ (0.54 mmol) and $\text{Na}_2\text{PdCl}_4 \cdot 3\text{H}_2\text{O}$ (0.21 mmol) in water (150 mL) were added to toluene (150 mL) containing $[(\text{C}_8\text{H}_{17})_4\text{N}]\text{Br}$ (0.85 mmol) and then stirred at room temperature for 30 min. The aqueous layer was removed and 1-dodecanethiol (9.0 mmol) was added. The resulting solution was stirred for 30 min at room temperature and then for 30 min at 0 $^\circ\text{C}$. A cold aqueous solution of NaBH_4 (7.5 mmol, 150 mL) was rapidly added. The solution was stirred for 3 h at room temperature. Toluene was removed by evaporation and the remaining precipitate was washed with methanol to remove excess thiol and other by-products. The product was extracted using toluene and then dried. Subsequently, all the ligands of $[\text{Au}_{24}\text{Pd}(\text{C12T})_{18}]^0$ were replaced with PET by reacting $[\text{Au}_{24}\text{Pd}(\text{C12T})_{18}]^0$ with 2-phenylethanethiol in dichloromethane. This was accomplished by repeating the ligand-exchange reaction and removal of excess 2-phenylethanethiol and by-products using a mixture of water and methanol (1 : 4) several times.

4.3. Characterization

MALDI mass spectra were collected using a spiral time-of-flight mass spectrometer (JEOL Ltd, JMS-S3000) with a semiconductor laser (wavelength: 349 nm) and DCTB as the matrix. The cluster-to-matrix ratio was set to 1 : 1000.

UV-Vis absorption spectra of the clusters were recorded in toluene at ambient temperature with a spectrometer (JASCO, V-630).

Electrocatalytic experiments were carried out using an electrochemical workstation (ALS/HCH Instruments Electrochemical Analyzer Model 610 D + SWV). The HER, OER, and ORR were studied using an Ag/AgCl reference electrode, a Pt coil counter electrode, and a rotating-disc glassy carbon (0.196 cm^2) working electrode. The working electrode was polished with alumina paste and diamond paste, and subsequently ultrasonicated in distilled water. An electrocatalyst paste solution was made by thoroughly mixing each cluster, toluene, and Nafion® with a ratio of 1 mg : 10 μL : 10 μL with a micropipette. The paste (10 μL) was placed on the working electrode and then dried for 30 min. The amount of each cluster on the working electrode was weighed (weight of the metal cluster electrocatalyst = weight of the electrode with the metal cluster electrocatalyst – weight of the blank electrode; the weight was measured three times and the repeatability was checked in each experiment). The same weighing balance was used for all working electrodes to avoid error caused by the balance. A schematic diagram of the preparation of working electrodes with cluster catalysts is presented in Fig. S12.† All three types of electrodes were placed in 0.2 M HClO_4 solution (pH = 0.7). Linear sweep voltammetry was carried out at a scan rate of 0.025 V s^{-1} in the potential range of 0 to -1.0 vs. Ag/AgCl in HER studies, whereas a potential range of 0 to 2.0 V vs. Ag/AgCl was used for the OER study. The ORR was carried out from 1.0 to -0.5 V vs. Ag/AgCl . N_2 gas flow was maintained during the HER and OER measurements, whereas in ORR measurements, N_2 and O_2 gas flow was maintained at a constant flow rate. The ORR measurements were also carried out at different rotation speeds to calculate the number of electrons transferred during the ORR process using the Koutecky-Levich equation.³⁵ During these electrocatalytic measurements, contamination of Pt, which makes up the electrode, in the thiolate-protected metal clusters was not observed (Fig. S15†).

4.4. Analysis

The average number of the substituted atoms (x') of $\text{Au}_{25-x}\text{M}_x(\text{PET})_{18}$ ($\text{M} = \text{Ag or Cu}$) was calculated using the following equation,

$$x' = \sum_{x=0} x \left(\frac{I(x)}{I(x=0) + I(x=1) + I(x=2) + \dots} \right) \quad (12)$$

Here, x is the number of substituted metal atoms and $I(x)$ is the ion intensity (height) of $\text{M}_x\text{Au}_{25-x}(\text{PET})_{18}$ ($\text{M} = \text{Ag or Cu}$) with each x , which was estimated from mass spectral data (Fig. S8(b), (c), and (e)†).



The number of electrons transferred (n) in the ORR (Fig. 6) was quantified using eqn (13) based on the RRDE voltammograms measured at different rotation speeds:

$$n = \frac{4I_d}{I_d + I_r/N} \quad (13)$$

Here, I_d is the disk current, I_r is the ring current, and N is the RRDE collection efficiency (= 0.37).

Conflicts of interest

There are no conflicts to declare.

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