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High second-order nonlinear optical effect achieved by gradually decreased rotational energy barriers†

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With the aim of efficiently converting the microscopic second-order nonlinear optical (NLO) effect of chromophore moieties into macroscopic NLO performance as high as possible, this work focused on the connection groups between the chromophore moieties of NLO polymers, in which alkoxy chains with different lengths and positions were systematically incorporated. The ignorable difference of the alkoxy chain from the normally utilized alkyl one directly resulted in improved macroscopic NLO performance, and d_{33} values increased gradually from 105 to 131/157, then to 165 pm V⁻¹ with increasing contents of alkoxy chains, and further reached up to 178 pm V⁻¹ with the prolonged lengths of alkoxy chains. This was mainly due to the lower rotational barriers of ether bonds than those of the commonly used alkyl chains with carbon–carbon bonds, and the isolated effect of alkoxy chains with larger sizes. This work provides a new way to achieve a high second-order NLO effect from efficient modulation of chromophore orientations by adjustment of energy barriers.

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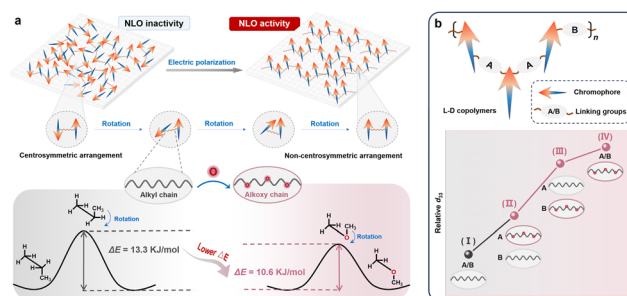
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Introduction

Organic second-order nonlinear optical (NLO) materials with large macroscopic NLO efficiencies (d_{33}), high index of refraction, and long-term alignment stability have great potential in high-speed electro-optic modulators, optical switches, frequency converters, and data storage applications.^{1–5} As the key component to achieve the macroscopic second-order NLO effect, organic chromophores with electron donor (D)- π bridge-electron acceptor (A) structures demonstrate a high microscopic NLO effect (β) for the strong intramolecular charge transfer (ICT) with high dipole moments. The desirable arrangement of organic chromophores is non-centrosymmetric, which can be realized by flipping the molecular orientations under the electric poling process (Fig. 1a).^{6–10} However, the strong dipole–dipole interactions among chromophores usually tend to the centrosymmetric arrangement, leading to largely decreased macroscopic NLO efficiencies at aggregated states.^{11,12} Thus, modulation of molecular

arrangement is essential and crucial to the corresponding optoelectronic properties.^{13–16}

Accordingly, various strategies have been explored to facilitate the modulation process: for instance, the introduction of suitable isolation groups^{17–22}/chromophores^{23–28} to decrease the dipole–dipole interactions under the guidance of the site isolation principle. Indeed, this largely enhanced the macroscopic NLO effect with the combination of the high efficiency of the electric poling process, and maintainable densities of organic chromophores as efficient moieties for the NLO effect. Considering the poling process carefully, the modulation of



chromophore orientation through slow rotations under electric fields is restricted not only by their strong dipole-dipole interactions, but also by the traction of the connecting groups/chains between chromophores. Generally, an increased flexibility can facilitate the rotations of chromophore moieties for decreased energy barriers, as partially proved in our previous research,²⁹ in which we attempted to introduce ether bonds with lower rotational barriers (ΔE) into the connecting chains, after evaluating the rotational barriers of simple propane ($\Delta E = 13.3 \text{ kJ mol}^{-1}$) and dimethyl ether ($\Delta E = 10.6 \text{ kJ mol}^{-1}$) among various conformations (Fig. 1a).^{30,31} The ignorable difference of the alkoxy chain from the normally utilized alkyl one directly resulted in a largely enhanced macroscopic NLO effect. The surprising results prompted us to further investigate systematically the crucial role of alkoxy chains in the electric poling process and the final NLO effect.

Accordingly, alkoxy chains were introduced into the linkage chains of chromophores (A part) in Y-shaped monomers, or the main chains (B part) of polymers, or both A and B parts, with varied lengths (Scheme 1). The corresponding d_{33} values of these polymers increased gradually by 1.25–1.28-fold, to 1.50–1.66-fold, then to 1.57–1.78-folds, with the analogous polymers bearing full alkyl chains (I) as the reference (Fig. 1b). This indicated that the incorporation of multiple alkoxy chains as the connection moieties of chromophores in different positions is an efficient strategy to improve the NLO performance, and the more alkoxy chains, the higher the NLO efficiencies. This was mainly due to the much easier rotation process of chromophores under electric fields, and longer chains were favorable for avoiding possible spatial restriction in the rotation process. Thus, this work systematically investigated the key role of connection moieties of chromophores in the electric poling process and NLO effect by the introduction of

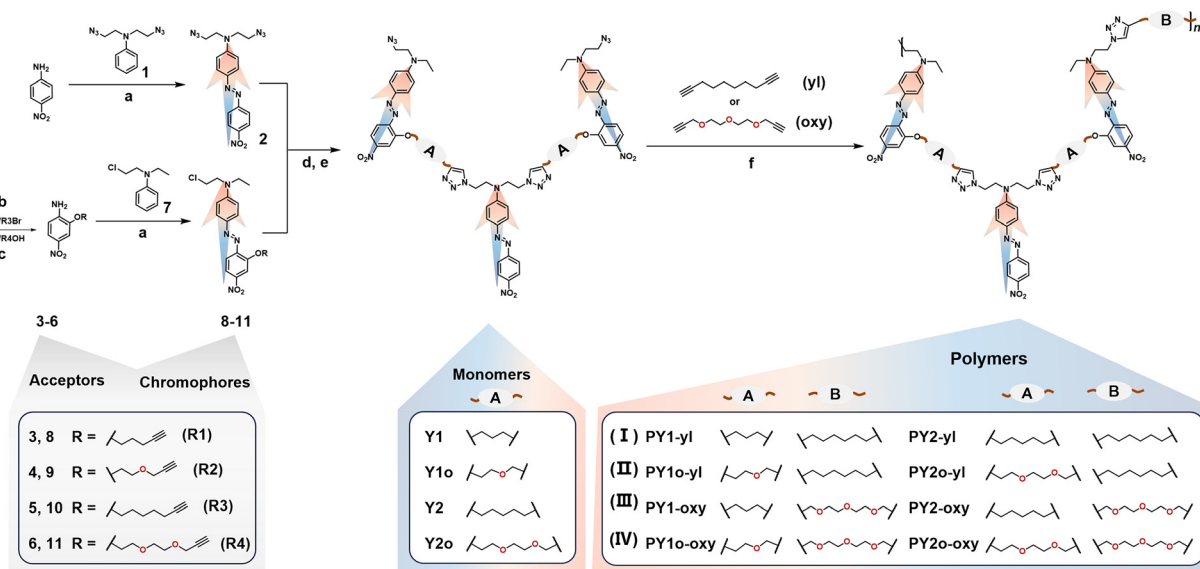
alkoxy chains instead of alkyl ones with different positions and lengths, and the optimal mode has been proposed to promote the development of high-efficiency organic second-order NLO materials by efficient modulation of chromophore orientations.

Results and discussion

Design and synthesis

Eight linear-dendritic (L-D) copolymers have been designed and synthesized,^{32,33} in which Y-shaped monomers are the dendritic moieties, and the linear alkyl/alkoxy moieties in the main chains are the connection groups as B part (Scheme 1). The Y-shaped monomers were constructed of three nitro-azobenzene chromophores, which were also connected by linear alkyl/alkoxy moieties (A part). They were synthesized through a simple substitution reaction, azo coupling reaction^{34,35} and followed by “Click chemistry” reaction [Cu(I)-catalyzed azide-alkyne cycloaddition (CuAAC)] with high yields.^{36,37} The A parts in monomers **Y1** and **Y2** were alkyl chains ($-\text{C}_3\text{H}_7$ and $-\text{C}_5\text{H}_{11}$), and those in monomers **Y1o** and **Y2o** were the corresponding alkoxy chains ($-\text{C}_3\text{H}_7\text{O}$ and $-\text{C}_5\text{H}_{11}\text{O}_2$). Compared to the traditional linear chromophores, the “Y”-type monomers, which consisted of three nitro-azobenzene moieties as chromophores with head-to-tail linking modes, demonstrated the optimized molecular orientation with non-centrosymmetric arrangement, beneficial to the NLO effect.^{38–40}

These target polymers were polymerized through a “Click chemistry” reaction^{41–45} between $-\text{N}_3$ and $-\equiv$ moieties, which has been widely used to synthesize many functional optoelectronic materials.^{46–51} This is mainly attributed to the advantages of the “Click chemistry” reaction, such as high



selectivity and compatibility and high reactive activity with few by-products, and polymers with narrow molecular weight distribution can be obtained by simple operations of reprecipitation and Soxhlet extraction. Besides, the resultant five-member rings formed in the “Click chemistry” reaction can also act as isolation groups to reduce dipole–dipole interactions between chromophore moieties.^{52–54} However, due to the large steric hindrance of Y-shaped monomers, the polymerization rate was relatively slow, and larger molecular weights and higher yields can be obtained by adding catalysts in batches according to our previous work.^{29,55} The implosion phenomenon was observed in a short time if sufficient amounts of catalysts were added at once, along with the formation of insoluble and viscous substances on the bottom and wall of the Schlenk tube. Hence the catalysts were added to the reaction system three or four times at the same interval in this work. The detailed preparation procedures are presented in the Experimental section. These polymers were obtained in yields of 37.3%–51.5% with good solubility in common polar organic solvents, such as CH₂Cl₂, CHCl₃, DMF, DMSO, *etc.* They can be divided into four types by the different connection groups among chromophores, including full alkyl chains (I), alkoxy chains in part A and alkyl chains in part B (II), alkyl chains in part A and alkoxy chains in part B (III), and full alkoxy chains (IV) (Scheme 1).

Characterization

The prepared Y-shaped monomers and target polymers were well characterized by Fourier transform infrared attenuated total reflection (FTIR-ATR) spectroscopy, nuclear magnetic resonance (NMR), ultraviolet-visible (UV-vis) spectroscopy, *etc.* Monomers **Y1**, **Y1o**, **Y2** and **Y2o** were identified by matrix-assisted laser desorption ionization time-of-flight (MALDI-TOF) mass spectrometry and elemental analyses (EA) (Fig. S1†). The polymers were characterized by gel permeation chromatography (GPC), and thermal analysis technologies were also employed, such as thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC) (see the Experimental section and ESI† for detailed analysis). Time-dependent density functional theory (TD-DFT) calculations were performed using Gaussian 16, Revision C01.⁵⁶ The optimization of molecular structure was calculated based on the M062X functional with the 6-31G(d) basis set (Fig. S2†).

As a simple optical test method, FTIR-ATR spectroscopy is good for monitoring the polymerization process. The characterization peaks at 1516 cm^{−1} and 1335 cm^{−1} in FTIR-ATR spectra of monomers and polymers are attributed to the nitro groups (Fig. S3†), indicating that the nitro-based chromophores were stable during polymerization and purification procedures. It was easily observed that the peak at 2098 cm^{−1}, which can be attributed to −N₃, disappeared in the spectra of the prepared polymers, compared to those of corresponding monomers, illustrating that the polymerization process went well and the content of the terminal functional groups was much less. Successful polymerization can be further confirmed by NMR spectra. The ¹H NMR spectra of polymer **PY2-**

oxy and monomer **Y2** are taken as an example to analyze the chemical shift change and peak attribution of each signal after polymerization. As shown in Fig. 2, all the signal peaks of **PY2-oxy** exhibit a distinct signal-broadening characteristic with maintained characteristic peaks of monomer **Y2**. Meanwhile, signal transitions associated with the reactive groups can be observed in the spectrum of **PY2-oxy**, with that of **Y2** as the reference. In detail, peak (1), the signal of the hydrogen of −CH₂− (3.53 ppm) linked to −N₃ groups in **Y2**, decreased in the spectrum of **PY2-oxy**. Accordingly, peak (2), a hydrogen signal of −CH₂− (4.59 ppm), appeared, which was linked to the triazole generated by the “Click reaction”. At the same time, peaks (3), the signals of −CH₂− (3.29 ppm and 4.11 ppm) in the alkoxy chain (**oxy**), can be observed in the spectrum of **PY2-oxy**. There is no signal attributed to terminal alkyne bond in the range of 2.50–2.00 ppm, indicating that the alkoxy chains (**oxy**) were consumed completely in the “Click reaction” with monomer **Y2**. The molecular weights of target polymers were determined by GPC with a refractive index detector (DMF as an eluent and PMMA as the calibration standard). Their weight-average molecular weight (*M_w</*

Table 1 Characterization data and NLO properties of polymers

Polymer	M_w^a (10^4)	M_w/M_n^a (PDI)	T_g^b (°C)	T_d^c (°C)	λ_{max}^d (nm)	l_e^e (nm)	T_c^f (°C)	d_{33}^g (pm V ⁻¹)	$d_{33(\infty)}^h$ (pm V ⁻¹)	$T_{80\%}^i$ (°C)	Φ^j	N^k (%)
PY1-yl	1.62	1.80	97	280	459	228	95	105	16.2	100	0.19	65.9
PY1o-yl	1.97	1.98	91	295	458	220	86	131	19.4	93	0.18	64.4
PY1-oxy	1.93	1.97	90	286	460	198	103	157	23.4	98	0.21	63.7
PY1o-oxy	1.74	1.75	86	284	459	200	93	165	26.8	91	0.19	62.3
PY2-yl	1.86	1.88	85	293	461	227	94	100	16.0	93	0.20	63.3
PY2o-yl	2.27	1.91	80	287	461	238	84	128	19.0	90	0.20	60.6
PY2-oxy	2.24	1.62	82	272	460	212	93	166	26.9	84	0.23	61.2
PY2o-oxy	2.49	1.85	78	282	460	210	90	178	28.9	81	0.21	58.7

^a Determined by GPC in DMF based on calibration with PMMA. ^b Glass transition temperature determined by DSC analysis under nitrogen at a heating rate of 10 °C min⁻¹. ^c The 5% weight loss temperature of polymers determined by TGA under nitrogen at a heating rate of 10 °C min⁻¹. ^d The maximum absorption wavelength in CH₂Cl₂ (0.02 mg mL⁻¹). ^e Film thickness. ^f The best poling temperature. ^g Second-harmonic generation (SHG) coefficient measured at a 1064 nm fundamental beam at a voltage of 7.0 kV. ^h The non-resonant d_{33} values were calculated using an approximate two-level model. ⁱ The temperature at which the SHG signals decreased to 80%. ^j Order parameter $\Phi = 1 - A_1/A_0$, where A_1 and A_0 are the absorbance values of the polymer

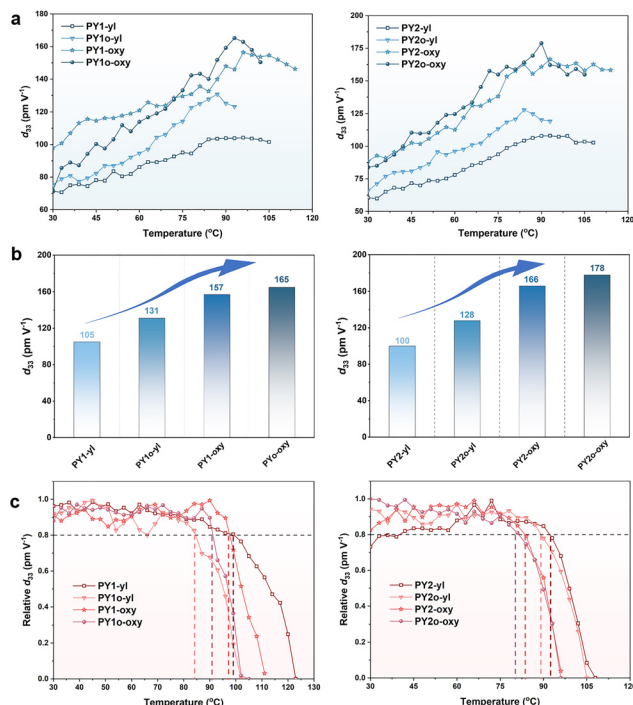


Fig. 4 (a) Poling curves of the SHG coefficients of polymers as a function of temperature. (b) Increased d_{33} values due to the increased alkoxy chains. (c) Decay curves of the SHG coefficients of polymers as a function of temperature.

On the other hand, the increased lengths of flexible chains may enlarge the distance of chromophores, which can weaken the electrostatic interactions among chromophores, benefiting the non-centrosymmetric arrangement during poling. For instance, as the lengths of the A part extended from $-C_3H_7$ to $-C_5H_{11}$, the d_{33} value increased from 157 pm V⁻¹ for **PY1-oxyl** to 166 pm V⁻¹ for **PY2-oxyl**. Besides, the rotational barriers of longer alkoxy chains demonstrated a larger decrease than those of shorter alkoxy chains, compared to those of similar alkyl chains. This was mainly due to the increased ratios of ether bonds with lower rotational barriers, which can be favourable to molecular rotations under electric poling to achieve the preferred molecular arrangements. Thus, the highest d_{33} value (178 pm V⁻¹) was achieved by polymer **PY2o-oxyl** bearing full alkoxy chains as the connection groups among chromophores with longer lengths, which can facilitate the rotations of chromophores under electric poling by the decreased energy barriers and dipole-dipole interactions.

In the de-poling process of these polymers (Fig. 4c), the real-time decays of their SHG signals were monitored with the temperature ranging from 30 °C to 120 °C in air at a rate of 4 °C min⁻¹. The

thermal analyzer at a heating rate of 10 °C min⁻¹ in nitrogen at a flow rate of 50 cm³ min⁻¹. DSC was performed using a Mettler-Toledo DSC3 under nitrogen at a scanning rate of 10 °C min⁻¹. The thickness of the films was measured with a profiler (Bruker DEKTAKXT).

General synthesis of L–D copolymers

The monomers and the copolymer linear chains (alkyl chains or alkoxy chains) at a molar ratio of 1:1 were dissolved in DMF (the concentration of monomers was about 0.01 M) under nitrogen in a Schlenk flask at room temperature (25–30 °C). Then a certain volume of freshly prepared CuSO₄ aqueous solution (0.08 M) and ascorbic acid sodium aqueous solution (NaAsc, 0.16 M) was added to the mixture using a microinjector. After each reaction time for 12 h, another batch of CuSO₄ and NaAsc aqueous solution was added. The reaction should be stopped when insoluble matter was about to appear and then poured into a large amount of water. The precipitate was collected and washed several times with deionized water, anhydrous methanol, and acetone successively. The obtained solid was further purified by reprecipitation from its CH₂Cl₂ solution into methanol to afford a red powder.

PY1-yl. Y1 (97.8 mg, 0.08 mmol), **yl** (10.7 mg, 0.08 mmol), CuSO₄ (100 + 50 + 50 µL), NaAsc (100 + 50 + 50 µL), in DMF (8 mL), a deep red powder (46 mg, 42.6%). *M_w* = 16 201, *M_w*/*M_n* = 1.80 (GPC, PMMA calibration). ¹H NMR (400 MHz, CDCl₃, 298 K), δ (TMS, ppm): 8.18 (–ArH), 8.15–8.07 (–ArH), 7.85–7.63 (–ArH), 7.59 (–ArH), 7.57–7.51 (–ArH), 7.42 (–ArH), 7.39 (–ArH), 7.27 (–ArH), 7.20 (–ArH), 6.69–6.48 (–ArH), 4.62–4.49 (–CH₂–), 4.43 (–CH₂–), 4.22–4.03 (–CH₂–), 3.96–3.83 (–CH₂–), 3.77 (–CH₂–), 3.36–3.19 (–CH₂–), 3.01–2.86 (–CH₂–), 2.69–2.48 (–CH₂–), 2.29–2.11 (–CH₂–), 1.51 (–CH₂–), 1.35–1.19 (–CH₂–), 1.17–1.03 (–CH₂–). ¹³C NMR (101 MHz, CDCl₃, 298 K), δ (ppm): 156.06, 155.10, 150.43, 149.69, 148.42, 148.15, 147.57, 147.25, 147.17, 146.72, 144.59, 126.24, 126.05, 124.61, 122.85, 122.55, 122.07, 117.23, 116.51, 111.31, 109.21, 68.65, 51.38, 51.18, 50.50, 47.63, 47.26, 45.54, 29.21, 28.51, 25.27, 21.89, 12.10.

PY1o-yl. Y1o (87.8 mg, 0.07 mmol), **yl** (9.6 mg, 0.07 mmol), CuSO₄ (90 + 45 + 45 + 45 µL), NaAsc (90 + 45 + 45 + 45 µL), in DMF (7 mL), a deep red powder (50 mg, 51.5

75 μL), in DMF (12 mL), a deep red powder (60 mg, 37.5%). $M_w = 22\,392$, $M_w/M_n = 1.62$ (GPC, PMMA calibration). ^1H NMR (400 MHz, CDCl_3 , 298 K), δ (TMS, ppm): 1 8.27–8.23 (–ArH), 8.21–8.11 (–ArH), 7.87–7.70 (–ArH), 7.67–7.59 (–ArH), 7.23 (–ArH), 6.74–6.64 (–ArH), 6.62–6.53 (–ArH), 4.67–4.50 (–CH₂–), 4.41–4.25 (–CH₂–), 4.22–4.08 (–CH₂–), 3.96–3.82 (–CH₂–), 3.76–3.50 (–CH₂–), 3.37–3.24 (–CH₂–), 2.77–2.62 (–CH₂–), 1.88 (–CH₂–), 1.71 (–CH₂–), 1.54 (–CH₂–), 1.19–0.98 (–CH₂–). ^{13}C NMR (101 MHz, CDCl_3 , 298 K), δ (ppm): 156.18, 155.40, 155.34, 150.28, 149.49, 148.36, 147.76, 146.72, 145.31, 144.70, 126.21, 124.68, 123.89, 122.86, 122.04, 117.20, 116.42, 111.74, 111.48, 109.25, 70.49, 69.81, 69.55, 64.49, 51.18, 50.41, 47.59, 47.13, 45.73, 29.13, 28.76, 8.66, 25.77, 25.48, 12.14.

PY2o-oxy. Y2o (161.2 mg, 0.12 mmol), **oxy** (22.2 mg, 0.12 mmol), CuSO_4 (225 + 150 + 75 + 75 μL), NaAsc (225 + 150 + 75 + 75 μL), in DMF (12 mL), a deep red powder (80 mg, 43.7%). $M_w = 24\,876$, $M_w/M_n = 1.85$ (GPC, PMMA calibration). ^1H NMR (400 MHz, CDCl_3 , 298 K), δ (TMS, ppm): 8.39–8.14 (–ArH), 7.94–7.70 (–ArH), 7.68–7.49 (–ArH), 6.71–6.55 (–ArH), 4.62 (–CH₂–), 4.57 (–CH₂–), 4.34 (–CH₂–), 4.26 (–CH₂–), 3.89 (–CH₂–), 3.72 (–CH₂–), 3.63 (–CH₂–), 3.58 (–CH₂–), 3.27 (–CH₂–), 1.09 (–CH₂–). ^{13}C NMR (101 MHz, CDCl_3 , 298 K), δ (ppm): 156.18, 155.13, 150.38, 149.22, 148.24, 147.82, 146.83, 145.40, 145.25, 144.55, 126.30, 126.12, 124.69, 123.96, 122.92, 117.40, 116.86, 111.76, 109.70, 70.97, 70.49, 70.10, 69.66, 69.55, 64.46, 51.23, 50.32, 47.57, 47.03, 45.73, 12.12.

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