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Topical BET PROTACs for locally restricted protein degradation in the lung†

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Proteolysis targeting chimeras (PROTACs) have been studied extensively to optimize their oral bioavailability. Based on Lipinski's and Veber's rules, molecular weight, log *P*, log *D*, the number of hydrogen bond donors and acceptors, the polar surface area and the number of rotatable bonds play a critical role for the oral bioavailability of any given PROTAC. Multiple analyses of the published PROTAC chemical space show that the overall guidelines for obtaining orally available PROTACs are broadly in line with those of the bRo5 space established for oral drugs. In contrast to the significant knowledge that has been generated for the design of oral PROTACs, there is little expertise regarding inhaled and generally topically administered PROTACs. In this work we (1) introduce parameters influencing the inhaled route of administration of PROTACs and (2) describe the first examples of inhaled bromodomain and extra terminal domain (BET) PROTACs which were designed for inhaled delivery and characterized *in vitro* and *in vivo*.

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Introduction

Our interest in inhaled PROTACs derives from three main characteristics PROTACs provide as compared to classical small molecule inhibitors. These include (1) their inherent propensity for tissue retention in lung and skin based on their compound properties, (2) their differentiated mode of action from inhibitors, and (3) the advantages they can offer regarding a reduced systemic toxicity.

Inhaled synthetic molecules are predominantly retained in the lung either due to their lipophilicity/limited solubility and precipitation/dissolution characteristics, or due to basic properties causing prolonged interaction with lysosomes in the lung.^{1–3} PROTACs inherently carry a significant propensity for lung retention due to their structural properties; many being highly lipophilic with low overall solubility, and/or incorporating basic groups in either the target of interest binder and/or the linker.

PROTACs can be highly effective at low doses due to their mode of action, particularly when the target protein resynthesis rate is slow. Even with significant clearance from the tissue, a therapeutically relevant exposure for a short period is sufficient to maintain reduced protein expression for an extended time. PROTACs only require transient binding to the target of interest without the need for prolonged high-affinity binding to the target. PROTACs are recycled and can work catalytically, reducing the required overall drug exposure.^{4,5} This applies in contrast to small molecule inhibitors which require a long enough exposure to exert sufficient and durable target engagement over hours.

The potential PK/PD disconnect for PROTACs can further facilitate addressing targets of the distal airways and the alveoli. These are highly perfused regions in the lung with little potential to retain enough of a small molecule inhibitor

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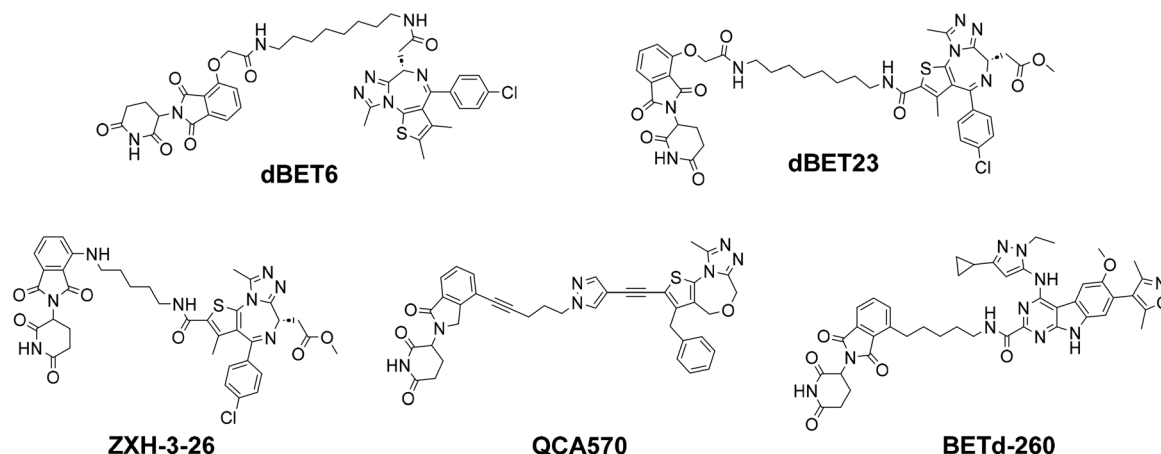


Chart 1 CRBN-recruiting BET-PROTACs.

to have sufficient exposure. Small molecule inhibitors are usually very rapidly cleared without being able to maintain target engagement for targets in the periphery of the lung.^{6,7}

Interestingly, Chen *et al.* have recently used a similar hypothesis for the development of topical Janus kinase (JAK) 1/2 PROTACs for skin indications.⁸ Topical formulations of tofacitinib (a JAK1/3 inhibitor) and delgocitinib (a pan-JAK inhibitor) have had limited efficacy as topical treatments for AD due to high threshold dose and low skin reservoir levels.^{9,10} Remarkably, with topical administration, JAK PROTACs exhibited superior potency as compared to *e.g.* ruxolitinib.⁸ The team demonstrated the effective degradation of JAK1/2 proteins *in vitro* and *in vivo* and proposed that their JAK1/2 PROTAC exhibited high efficacy while requiring lower dose and less frequent administration than any of the inhibitors. This could limit off-target effects seen with oral administration of inhibitors and dose restrictions caused by limited skin reservoirs of inhibitors upon topical administration.⁸

Bromodomain and extra terminal domain (BET) PROTACs

Modulation of BET protein activity, either by silencing with bromodomain-containing protein 4 (BRD4) siRNA or by the action of pan-BET inhibitors, was found to halt fibrotic processes in lung derived cells, fibroblasts and epithelial cells, as well as in different animal models for lung remodelling.^{11–13} Thus, targeting BRD4 could present a novel approach to treat interstitial lung diseases such as idiopathic pulmonary fibrosis (IPF). However, clinical trials with oral BET inhibitors in cancer patients revealed dose-limiting toxicities.¹⁴

We planned to develop inhaled molecules with limited systemic exposure to reduce potential severe, systemic adverse effects such as platelet or gastrointestinal toxicity.^{14,15} Due to the necessity to treat the peripheral fibrotic IPF lung and to achieve a durable local effect in highly perfused tissue such as the alveoli, we selected PROTACs rather than inhibitors as a suitable modality. This based on the

hypothesis that the degradation mode-of-action might provide a hit-and-run type of mechanism where the pharmacological effect can outlast the actual presence of the drug in the targeted tissue.^{4,5}

Inhaled BET PROTACs for local treatment of the lung were not previously described. A review of published BET PROTACs pointed at cereblon (CRBN)-recruiting degraders as most common and potent degraders (Chart 1). Among those, QCA570,¹⁶ BETd-260,¹⁷ dBET23,¹⁸ or ZXH-3-26¹⁸ exploit the ZA channel of the BET protein with the option to place a linker between BET and CRBN binders, offering a rational design for a quick identification of highly potent BET PROTACs.

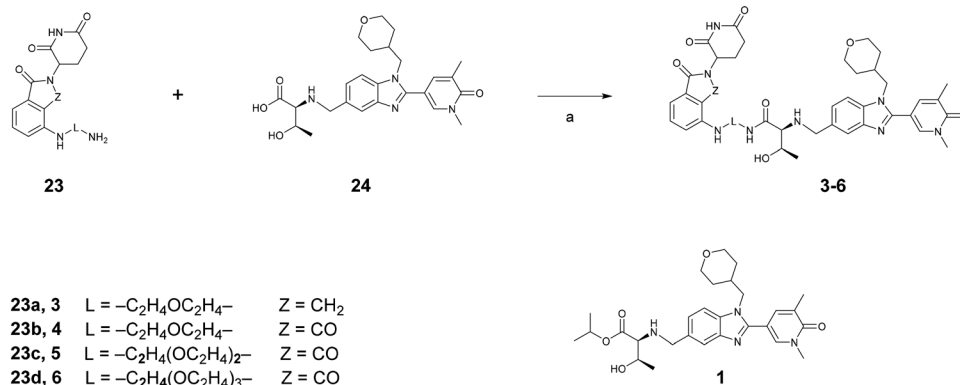
Results and discussion

Design of BET PROTACs for inhalation

We selected a suitable BET binding scaffold based on the following criteria: (1) low lipophilicity, anticipating an inevitable increase of molecular weight and lipophilicity upon addition of linker and CRBN binder; (2) similar affinity to both acetyl lysine binding domains to provide freedom for ternary complex formation engaging either binding domain; (3) a suitable handle to link to the CRBN binder outside of the BRD4 protein, with the trajectory along the ZA channel considered as most promising; (4) an intermediate binding affinity to enable dissecting inhibitory and degradation mode-of-action and to simplify understanding of the observed pharmacology. After a comprehensive literature review, we selected the GSK-derived benzimidazole **1**^{19–21} and the fragment **2** (Scheme 1 and Fig. 1) as starting points; superimposition of the ternary complex of dBET23¹⁸ with BRD4 and CRBN (Fig. 1) indicates that extension of the amide residue of benzimidazole **2** through the ZA channel should enable the recruitment of CRBN.

Synthesis of PROTACs

PROTACs **3–6** (Scheme 1, Table 1) are derivatives of the BET inhibitor **1**²¹ and were prepared by HATU-mediated amide



Scheme 1 Synthesis of PROTACs 3–6 with polar linkers (Table 1). Reagents and conditions: (a) HATU, DIPEA, DMF, rt.

couplings of the threonine-extended-(1*H*-benzo[*d*]imidazol-2-yl)pyridin-2-one **24**²⁰ and commercial primary amines of the generic structure **23** (Scheme 1).

The synthesis of PROTACs 7–14 (Scheme 2, Table 2) started with a Sonogashira coupling²² of 1-hydroxy-alkyl acetylene (**31**) with 4- or 5-bromo-thalidomide. The resulting primary alcohols **25** were transformed into the corresponding azides **27** in two steps *via* mesylation and subsequent azidation. An alternative route was used for the preparation of the azide **27a**, featuring an ether linker, when the acetylene **32** was coupled to obtain the BOC-protected primary amine **28**. After deprotection, FSO₂-N₃-mediated diazotransfer²³ to the resulting primary amine produced the azide **27a**. The final PROTACs 7–14 (Table 2) were obtained by a copper-mediated triazole formation of the azides **27** and the acetylene **30** that was prepared by a Seyferth–Gilbert homologization of the aldehyde **29** using the Bestmann–Ohira reagent.^{19–21,24}

The PROTACs presented in Table 3 were derived from the dihydrouracils **33** and **34**.²⁵ A propylamine linker was attached to **33** by reductive amination to yield the primary amine **35**. Subsequent HATU-mediated amide coupling with the acid **38** (Scheme 4) provided the amide **15** (Scheme 3). Alkylation of the dihydrouracils **33** and **34** with commercial alkyl tosylates featuring a terminal azide function provided the azides **36** and **37** that served as partners for the acetylene **30** in a final click-reaction to provide the triazole-linked PROTACs **16–20** (Table 3, Scheme 3).

The ester linked PROTACs **21** and **22** were prepared from the carboxylic acid **38** which was accessed from the corresponding aldehyde **29** using Pinnick oxidation conditions.²⁶ Esterification of **38** was performed by condensation with primary alkanols featuring a terminal bromide to provide the bromides **39**. The bromides were used for alkylation of the piperidine in the dihydrouracil **34** to produce the ester-linked PROTACs **21** and **22** (Table 3, Scheme 4).

Structure activity relationship (SAR)

BET protein degradation was assessed in HEK293 cells with endogenous expression levels of HiBiT-tagged BRD4, allowing quantification of BRD4 protein in cell lysates. Isoform degradation was monitored in analogous HiBiT assays for BRD2 and BRD3. However, no relevant selectivity was found (see ESI,† Table S1). The JQ1-based PROTAC **dBET6**^{18,27} was used as reference in the assay for full degradation. The most promising compounds were then profiled in normal human lung fibroblasts (NHLF) and mouse lung fibroblasts (MLF). The abundance of BRD4 was measured by immunofluorescence (IF). Functional activity was assessed in TGF-β1-stimulated primary human lung fibroblasts as inhibition of α-SMA (alpha smooth muscle actin) expression. Binding affinity to BET was tested in a Fluorescence Polarization assay measuring displacement of a fluorescently labelled BET ligand. The binding domains for acetyl-lysine are highly conserved in the different BET isoforms;²⁸ here, BRD2-BD1 and -BD2 derived constructs were used to assess binding.

Table 1 shows the first series of PROTACs with limited degradation potency in the range of pDC₅₀ of 6–7 and a BRD4 degradation between 70 and 100%. The inhibitor **2** and the BRD4 PROTACs **dBET6** and **ZXH-3-26**²⁷ are included as references.

As a next step, we reduced the number of H-bond acceptors and donors in the linker unit, in concert with rigid attachment points to both head groups, with the intent to increase permeability for improved cytosolic and possibly nuclear delivery.^{29,30} In a radical attempt to eliminate all

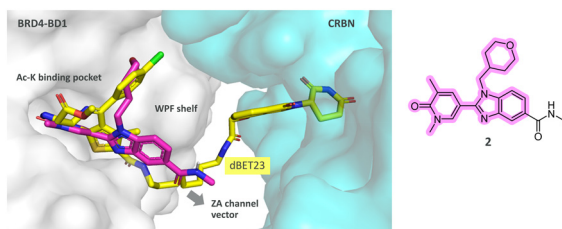


Fig. 1 Docking of benzimidazole **2** (magenta sticks) into the structure of the ternary complex of **dBET23** (see Chart 1; yellow sticks) with BRD4-BD1 (light grey surface) and CRBN (light blue surface). ZA = loop connecting the αZ and αA helices; WPF = TrpProPhe motif; BD = binding domain.

Table 1 Initial PROTACs with polar linker design

Compound	log <i>D</i> ^a	BRD2 binding ^{b,c} pIC ₅₀		BRD4 degradation ^{b,d}	
		BD1	BD2	pDC ₅₀	<i>D</i> _{max} (%)
dBET6		7.36	7.27	8.32	100
ZXH-3-26		7.63 ^e	7.51 ^e	8.15	100
2	1.25	7.26	6.89	<5.0 ^e	NV
3	−0.02	7.18	6.82	6.53 ^e	100
4	0.29	7.19	6.74	6.42	98
5	0.30	7.26 ^e	6.93 ^e	6.76	78
6	0.19	7.19 ^e	6.82 ^e	7.29	100

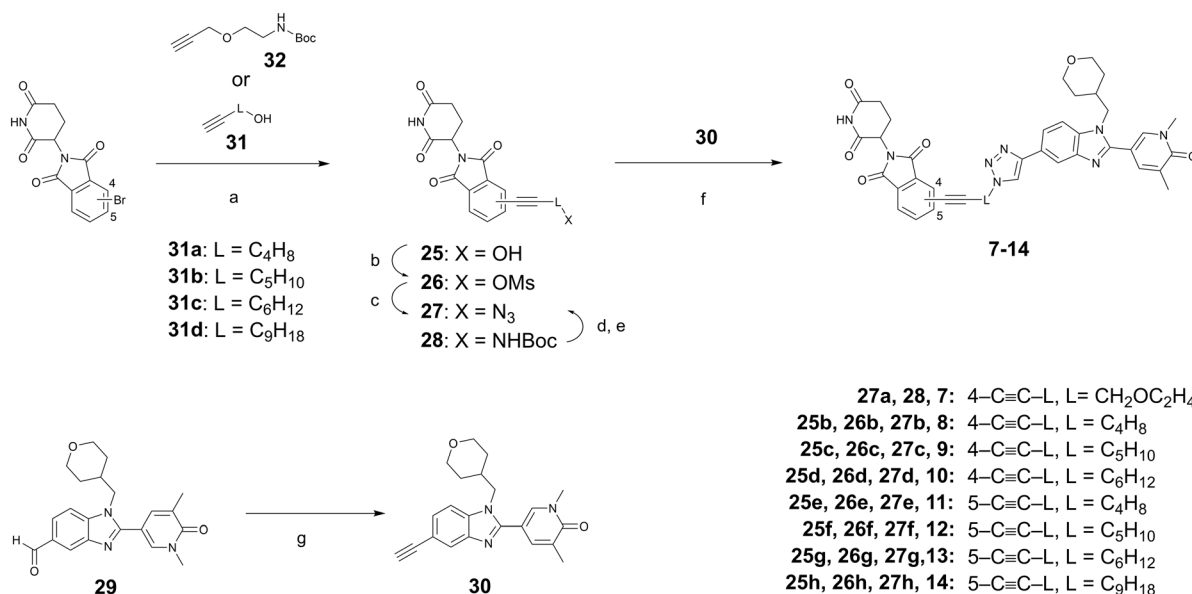
^a Distribution coefficient (log *D*7.4) between octanol and 10 mM sodium phosphate buffer with the solution adjusted to pH 7.4. ^b Values are means of at least two independent experiments with two replicates each, unless otherwise indicated. For standard error see Table S1.†

^c Compound binding to BRD2 BD1 or BD2 by displacement of a fluorescent Alexafluor 647-JQ-1-like probe in −log₁₀(M). The 95% confidence interval of pIC₅₀ values was ±0.24 for BD1 and ±0.23 for BD2. ^d NanoGlo degradation assay in a HEK293 cell line expressing human BRD4-HiBit fusion at endogenous expression levels in −log₁₀(M). The 95% confidence interval of pDC₅₀ values was ±0.14. ^e One test occasion.

H-bonding capability, the amide and amino connecting groups at the BET binder unit were exchanged by a triazole group, conveniently accessed using click chemistry. In addition, an acetylene moiety was utilized to avoid hetero atoms at the other linker end. This design provided a quantum leap in degradation potency for all examples (Table 2); we observed a >100-fold improvement in degradation potency, surpassing our most potent references by one order of magnitude. The SAR in this subseries was flat with all examples reaching sub nanomolar potency with no apparent impact of the linker length. Notably, the introduction of a single PEG-unit in example 7 led to a significant drop in potency, underlining the unfavourable impact of H-bond acceptors on the potency.

Next, the hydrolysis-labile glutarimide moieties were exchanged with chemically stable indolyl-dihydro-uracils (DHUs) to explore options with potential for improved

developability by replacing a chemical motif that has been demonstrated to be prone to isomerization (Table 3).³¹ The first examples directly provided similar satisfactory degradation potencies, again with little differentiation regarding linker length. In contrast, the variation of the intermittent heterocyclic motifs had significant impact: indole-based PROTACs (**19** and **20**) were more potent than azaindole derivatives (**17** and **18**). In Table 3, amide linked PROTAC **15** is included to emphasize the steep SAR with regards to H-donors in the linker region. In comparison to PROTACs with triazole units, this compound shows a more than one order of magnitude reduction in degradation potency. This SAR finding was confirmed by replacing amide and triazole linkers with ester functionalities (**21** and **22**) further increasing the degradation potency and finally introducing on top a soft spot for hydrolyzation and preventing the cleavable inhaled PROTACs from generating any significant systemic compound/degradation levels.



Scheme 2 Synthesis of PROTACs 7–14 with lipophilic linker design. Reagents and conditions: (a) TEA, CuI, PdCl₂(PPh₃)₂, DMF, 80 °C; (b) (MsO)₂O, TEA, DMAP, DCM 0 °C to rt; (c) Me₃SiN₃, TBAF, DMF, 60 °C; (d) HCl (4 M in dioxane), rt; (e) FSO₂N₃, CuSO₄, K₂CO₃, MeOH, rt; (f) L-ascorbic acid 2-phosphate trisodium salt, CuSO₄, 1,4-dioxane/water (1 : 1), rt; (g) dimethyl (1-diazo-2-oxopropyl)phosphonate, K₂CO₃, MeOH, 0 °C to rt.

Table 2 Lipophilic linker design

Compound	$\log D^a$	BRD2 binding ^{b,c} pIC ₅₀		BRD4 degradation ^{b,d}	
		BD1	BD2	pDC ₅₀	D _{max} (%)
7	0.83	7.21	6.81	7.82	111
8	2.4	nt ^e	nt ^e	9.83	101
9	2.4	7.34	6.87	9.23	101
10	2.9	7.35	7.14	9.59	104
11	2.3	7.64	7.30	9.60	99
12	2.4	7.51	6.94	9.34	100
13	3.2	7.44	7.15	9.72	100
14	>4.0	7.04	6.62	9.05	100

^a Distribution coefficient ($\log D_{7.4}$) between octanol and 10 mM sodium phosphate buffer with the solution adjusted to pH 7.4. ^b Values are means of at least two independent experiments with two replicates each, unless otherwise indicated. For standard deviation see Table S1.†

^c Compound binding to BRD2 BD1 or BD2 by displacement of a fluorescent Alexafluor 647-JQ-1-like probe in $-\log 10(M)$. The 95% confidence interval of pIC₅₀ values was ± 0.24 for BD1 and ± 0.23 for BD2. ^d NanoGlo degradation assay in a HEK293 cell line expressing human BRD4-HiBit fusion at endogenous expression levels in $-\log 10(M)$. The 95% confidence interval of pDC₅₀ values was ± 0.14 . ^e nt = not tested.

Table 3 Dihydro-uracil based CRBN-binders

Compound	$\log D^a$	BRD2 binding ^{b,c} pIC ₅₀		BRD4 degradation ^{b,d}	
		BD1	BD2	pDC ₅₀	D _{max} (%)
15	0.18	7.37	7.43	7.17	99
16	0.97	7.83 ^e	7.54 ^e	9.02	97
17	0.93	7.46	7.27	8.79	100
18	0.79	7.62 ^e	7.42 ^e	9.15	100
19	1.5	7.64 ^e	7.34 ^e	9.61	100
20	1.3	7.60 ^e	7.36 ^e	>9.46	99
21	1.7	7.38	7.00	10.1	101
22	2.1	7.56	7.13	10.1	101

^a Distribution coefficient ($\log D_{7.4}$) between octanol and 10 mM sodium phosphate buffer with the solution adjusted to pH 7.4. ^b Values are means of at least two independent experiments with two replicates each, unless otherwise indicated. For standard deviation see Table S1.†

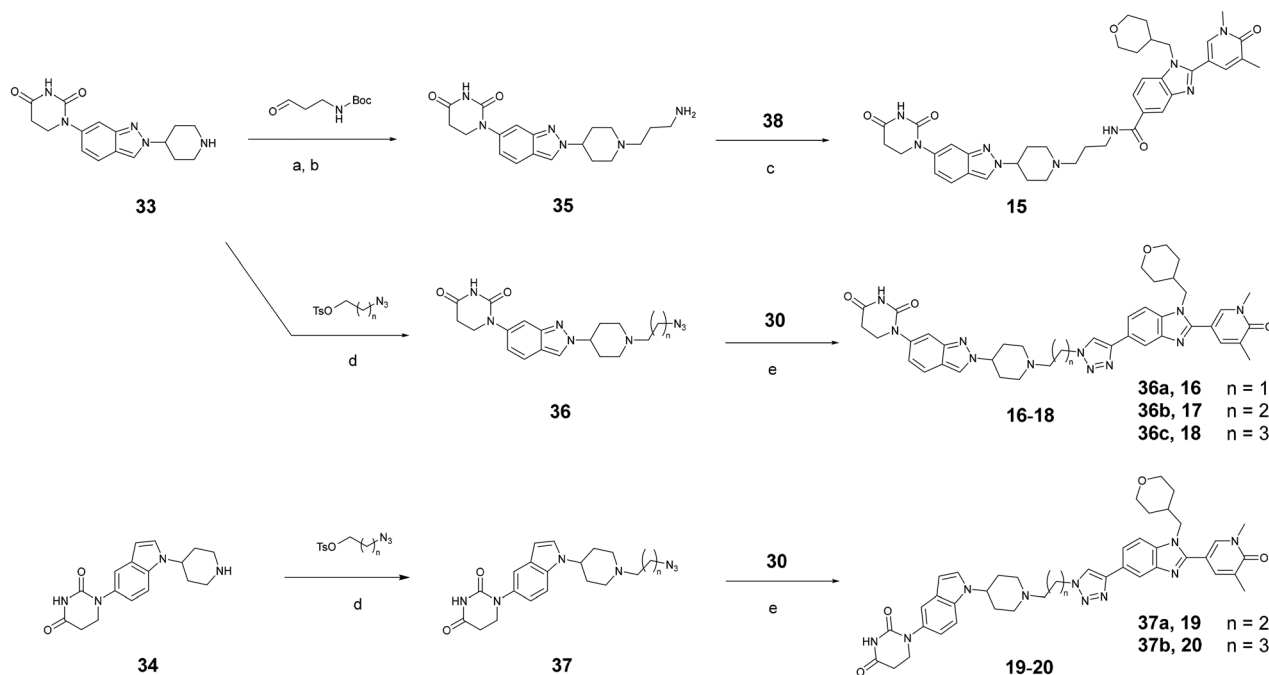
^c Compound binding to BRD2 BD1 or BD2 by displacement of a fluorescent Alexa Fluor 647-JQ-1-like probe in $-\log 10(M)$. The 95% confidence interval of pIC₅₀ values was ± 0.24 for BD1 and ± 0.23 for BD2. ^d NanoGlo degradation assay in a HEK293 cell line expressing human BRD4-HiBit fusion at endogenous expression levels in $-\log 10(M)$. The 95% confidence interval of pDC₅₀ values was ± 0.14 . ^e One test occasion.

Selection of PROTACs for inhalation

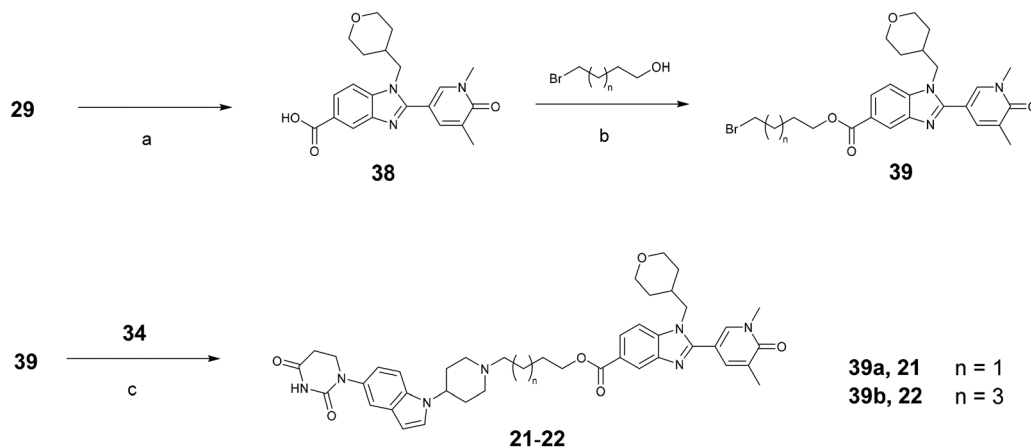
To choose examples for further profiling, we selected PROTACs based on design principles for standard inhaled drugs, high potency and potential for prolonged lung retention. Maintaining compound exposure in lung tissue for extended time can be achieved *via* slow release of freely available drug from a reservoir of either non-dissolved or tissue-bound material.¹ This requires exquisitely potent compounds as only small amounts of free drug will be available at any given timepoint. We selected the neutral examples **13** and **14** with low solubility (Table 4) to enable administration of a suspension, aiming at a slow dissolution. In contrast, examples **16**, **18** and the ester linked PROTAC **22** feature a common piperidine motif that differs across these compounds in basicity, measured as pK_a 5.9, 8.0 and 8.4, respectively. While, of these 3 PROTACs, **16** displayed a low solubility, **18** and **22** displayed a relatively high solubility and were considered for a solution formulation – where lung retention was anticipated to rather derive from basicity-driven tissue affinity.¹

In general, the α -SMA inhibitory potency in normal human lung fibroblasts (NHLF) correlated well with the HiBiT assay potency (Table 5). In mouse lung fibroblasts (MLF) however, basic examples **16**, **18** and **22** were less active. This can be explained by a key residue difference in the CRBN binding pocket for these two species. For human CRBN there is an interaction between glutamic acid (Glu377) and piperidine, which potentially stabilizes ternary complex and subsequently increases the degradation potency (Fig. 2A). Mouse CRBN features instead a lipophilic residue (Val380) that is likely detrimental for accommodation of a polar amine, and as a result a drop-off in degradation potency is observed. There is a correlation between the potency drop-off and the measured pK_a for subseries with basic linker. The higher the pK_a, the stronger the interaction with glutamic acid (Glu377), therefore the highest drop-off is observed for **22** (pK_a = 8.4). For neutral compounds (**13** and **14**), there is no basic group and the vector from the E3 warhead puts the linker far from the key residue (Fig. 2B), therefore there is no impact on potency.

Diametral differences were found for physiochemical properties (Table 4). The lipophilic examples **13** and **14**



Scheme 3 Synthesis of PROTACs **15–20** with basic linkers and dihydro uracil CRBN binder (Table 3). Reagents and conditions: (a) NaBH(OAc)₃, DCM, rt; (b) HCl (4 M in dioxane), rt; (c) HATU, DIPEA, DMF, rt; (d) DIPEA, DMF, 90 °C; (e) sodium ascorbate, CuSO₄, 1,4-dioxane/H₂O, rt.



Scheme 4 Synthesis of PROTACs **21** and **22** with ester linker (Table 3). Reagents and conditions: (a) NaClO₂, NaH₂PO₄, H₂O₂, MeCN/H₂O (1:1); (b) EDC, DIPEA, DMAP, DCM, rt; (c) DIPEA, DMF, 90 °C.

Table 4 Physicochemical properties of selected PROTACs

Compound	Sol pH 7.4 ^a (μM)	hPPB ^b fu (%)	Plasma stability ^c (% at 18 h)	HLM ^d (μL min ⁻¹ mg ⁻¹)	mHEP ^e (μL min ⁻¹ 1 × 10 ⁶)	Caco2 AB ^f P _{app} (1 × 10 ⁻⁶ cm s ⁻¹)
13	<0.16 (<i>n</i> = 4)	0.5 (<i>n</i> = 2)	39 ± 5 (<i>n</i> = 2)	>300 (<i>n</i> = 3)	148 ± 5 (<i>n</i> = 3)	21 ± 7.7 (<i>n</i> = 4)
14	<0.16 (<i>n</i> = 2)	NV ^g (<i>n</i> = 1)	2.3 (<i>n</i> = 1)	>300 (<i>n</i> = 1)	48 ± 2 (<i>n</i> = 2)	<0.6 ± 0.1 (<i>n</i> = 2)
16	<0.16 (<i>n</i> = 3)	66 ± 13 (<i>n</i> = 2)	96 ± 24 (<i>n</i> = 2)	<3.0 (<i>n</i> = 4)	<1.0 (<i>n</i> = 2)	0.4 ± 0.1 (<i>n</i> = 2)
18	12 ± 3 (<i>n</i> = 2)	58 ± 13 (<i>n</i> = 2)	94 ± 10 (<i>n</i> = 2)	<3.0 (<i>n</i> = 3)	<1.0 (<i>n</i> = 2)	0.1 ± 0.0 (<i>n</i> = 2)
22	2.6 (<i>n</i> = 1)	NV ^g (<i>n</i> = 1)	0.1 (<i>n</i> = 1)	158 ± 9 (<i>n</i> = 2)	87 ± 18 (<i>n</i> = 2)	11 ± 3.2 (<i>n</i> = 2)

^a Aqueous solubility. ^b Unbound fraction in human plasma. ^c Intact compound remaining after 18 h incubation in plasma. ^d Intrinsic clearance in human liver microsomes. ^e Intrinsic clearance in mouse hepatocytes. ^f Intrinsic permeability. ^g NV = no value due to limited stability in assay.

Table 5 BRD4 degradation and functional activity in primary lung fibroblasts

Compound	BRD4 degradation (NHLF) ^a		BRD4 degradation (MLF) ^b		FMT (α -SMA inhibition) (NHLF) ^c	
	DC ₅₀ (SD) (nM)	pDC ₅₀ -log 10(M)	DC ₅₀ (SD) (nM)	pDC ₅₀ -log 10(M)	IC ₅₀ (SD) (nM)	pIC ₅₀ -log 10(M)
13	0.29 (0.08)	9.54	0.2	9.8	0.21 (0.08)	9.69
14	0.30 (0.06)	9.52	0.3	9.6	0.44 (0.1)	9.36
16	4.3 (0.9)	8.37	6.1 (4)	8.22	3.6 (1)	8.45
18	0.65 (0.08)	9.18	7.2 (2)	8.14	0.50 (0.2)	9.30
22	0.013 (0.001)	10.9	0.26 (0.1)	9.59	0.002	12
ZXH-3-26	17 (4)	7.78	0.81 (0.3)	9.09	13 (4)	7.87

^a Based on $n = 2$ experiments in one NHLF donor for **22** and $n = 3$ NHLF donors for the other 5 compounds. ^b Based on $n = 1$ for **14** and **13**, $n = 2$ for **18**, $n = 3$ for **22**, $n = 4$ for **16** and $n = 5$ for **ZXH-3-26**. ^c Based on $n = 1$ NHLF donor for **22** and $n = 3$ NHLF donors for the other 5 compounds; NHLF = normal human lung fibroblasts, MLF = mouse lung fibroblasts, FMT = fibroblast-to-myofibroblast transition, α -SMA = alpha smooth muscle actin. Standard deviations (SD) are shown in parentheses.

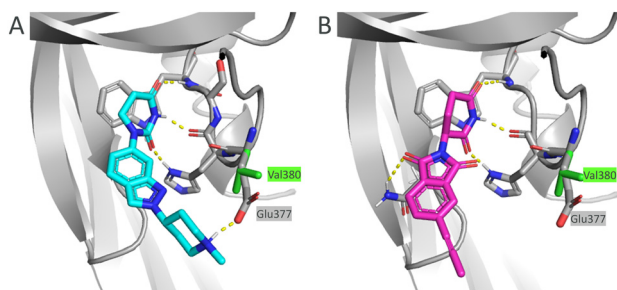


Fig. 2 Docking of (A) **18** (light blue) and (B) **13** (magenta) E3 warheads into human CRBN (grey) and overlay with mouse CRBN (the different residue for mouse Val377 shown with green sticks). Polar interactions marked with yellow dashed lines. PDB codes for human and mouse CRBN are: 4TZ4 and 5YIZ, respectively.

exhibited low solubility, excessive unspecific protein binding, high intrinsic metabolism and, noteworthy, low serum plasma stability. The basic dihydro-uracil-derived PROTACs **16** and **18**, in contrast, showed high metabolic and plasma stability. Further, these basic PROTACs exhibit low protein binding. For inhalation use, when seeking a local effect, the lipophilic examples **13** and **14**, in contrary to **16** and **18**, appeared to be prone for rapid elimination from circulation and were therefore preferred. The ester linked PROTAC **22** showed an almost complete disappearance in serum plasma *in vitro* after 18 h and otherwise resembled the profile of the neutral examples.

Due to their propensity for rapid systemic clearance, PROTACs **13**, **14** and **22** were selected for efficacy testing *in vivo*.

PROTACs **13** and **14** were short-lived in mice upon intravenous administration, with very similar residence time and clearance and only differing in distribution volumes. PROTAC **22** could not be detected, indicating a rapid elimination, likely accelerated by ester hydrolysis as intended by the softdrug design. To examine inhaled pharmacokinetics, the neutral PROTACs **13** and **14** were administered intratracheally to mice as a suspension of amorphous material.³² With this administration and formulation approach, lung retention could be extended to hours to provide a dissolution driven slow release of the agent that allowed a steady flow of the drug passing through the lung. The basic and more soluble ester PROTAC **22**, given as solution, was not detected in lung tissue. The basic piperidine (measured pK_a 8.4) would confer potential lung retention driven by accumulation in acidic compartments like lysosomes, but the low metabolic stability still limits this approach (Table 6).

Based on these findings, the efficacy of both neutral PROTACs **13** and **14** to degrade BRD4 was evaluated in mice after intratracheal delivery at different timepoints (1 h, 7 h and 24 h, respectively) and intravenous delivery (24 h). Lungs were collected, fixed and BRD4 abundance was assessed by immunohistochemistry (IHC). The results for **14** showed a rather transient effect for all time points, while, for **13**, a substantial reduction of BRD4 was detected at all time points (Fig. 3). For **13**, no relapse to natural BRD4 levels was observed up to 24 hours. This enduring effect may be attributed to slow BRD4 resynthesis rate, as indicated by SILAC experiments across various primary cell types,³³ or

Table 6 Mouse pharmacokinetics in C57BL/6 mice after intravenous and intratracheal administration

Compound	iv ^a			it ^b
	CL ^c (mL min ⁻¹ kg ⁻¹)	Vss ^d (L kg ⁻¹)	MRT ^e (h)	Lung $T_{1/2}$ ^f (h)
13	81 ± 2 ($n = 2$)	0.8 ± 0.5 ($n = 2$)	0.2 ± 0.1 ($n = 2$)	3.4 (susp) ($n = 6$)
14	57 ± 1 ($n = 2$)	0.7 ± 0.3 ($n = 2$)	0.2 ± 0.1 ($n = 2$)	2.7 (susp) ($n = 6$)
22	NE ^g ($n = 3$)	NE ^g ($n = 3$)	NE ^g ($n = 3$)	NE ^g ($n = 18$)

^a iv, intravenous. ^b it, intratracheally. ^c CL, clearance. ^d Vss, volume of distribution at steady state. ^e MRT, mean residence time. ^f Lung $T_{1/2}$, half-life in lung after it administration of a suspension of amorphous material. ^g NE = no exposure; doses: for **22** iv 2.5 mg kg⁻¹ and it 1 mg kg⁻¹; for **13** and **14**. iv 1 mg kg⁻¹ and it 0.8 mg kg⁻¹, respectively.

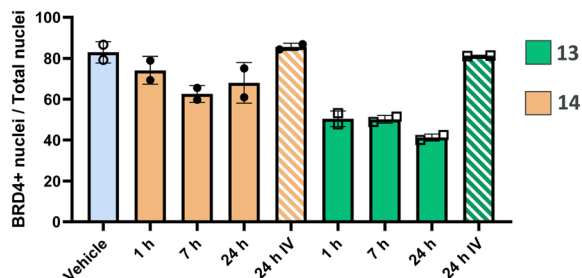


Fig. 3 Time course study in C57BL/6 mice assessing the reduction of BRD4 positive cells by immunohistochemistry (IHC) in the lung after a single intratracheal or intravenous dose of PROTACs **13** and **14**. Doses for **13** and **14**. iv 1 mg kg^{-1} and it 0.8 mg kg^{-1} , respectively.

potentially also to slow dissolution of solid, deposited material in the lung providing a slow release of **13**. Most interestingly, an intravenous administration of an equal dose resulted in no degradation. This finding indicates that the observed activity of locally administered PROTACs is not based on any significant systemic contribution.

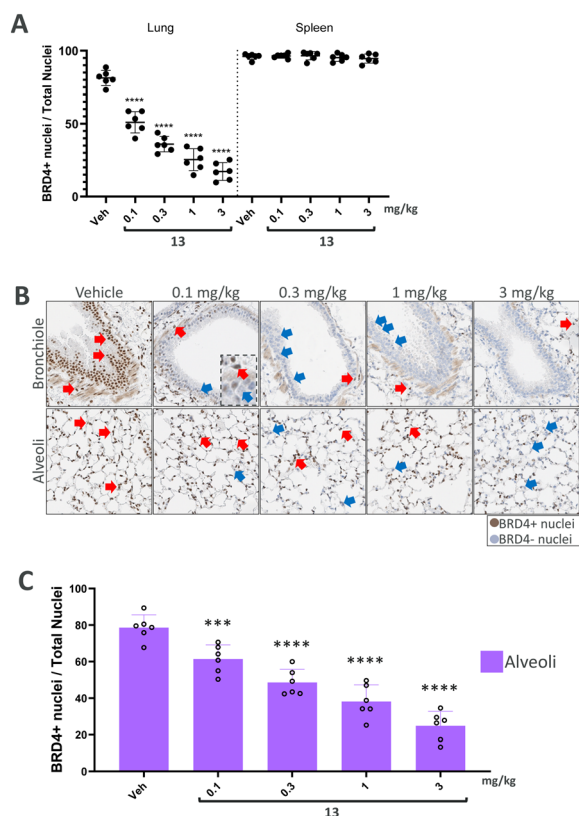


Fig. 4 Dose-response study in C57BL/6 mice evaluating BRD4 positive cells using immunohistochemistry (IHC). (A) Dose related reduction of % BRD4 positive cells in lung but not spleen. (B) Representative IHC images showing BRD4 stained cells in the central lung (bronchiole) and the peripheral alveolar epithelium (alveoli). Red arrows point to BRD4 positive cells and blue arrows indicate BRD4 negative cells. (C) Dose-dependent reduction of % BRD4 positive cells in the peripheral lung (alveoli). Data are shown as bar graphs with mean $\pm$ SD ($n = 6/\text{group}$). Asterisks denote significant differences between vehicle and compound treated groups (*** $P < 0.001$; **** $P < 0.0001$).

We finally progressed PROTAC **13** into an *in vivo* dose-response study to further evaluate its effects. In this study, both the lung and spleen were analysed to compare local effects with potential systemic spill-over. Following a single intratracheal administration, BRD4 levels were assessed after 7 hours in lung and spleen sections using immunohistochemistry (IHC).

In the analysis of BRD4 levels across the entire lung (Fig. 4A), a dose-dependent induction of BRD4 degradation by PROTAC **13** was observed. Notably, BRD4 reduction occurred only in the lung, with no significant changes detected in the spleen, thereby suggesting a local effect. Further analysis of lung segments demonstrated a degradation in BRD4 levels, in the central bronchial epithelium and the peripheral alveolar space (Fig. 4B). In particular, dose-dependent degradation of BRD4 was observed in the alveoli to demonstrate successful targeting of the peripheral lung tissue (Fig. 4C). Therefore, significant reduction in BRD4 levels was found throughout the entire lung following a single dose of PROTAC **13**, sparing endogenous BRD4 levels in the spleen.

Conclusions

The optimization of a novel series of BET PROTACs towards inhaled route of delivery furnished PROTACs with a picomolar degradation potency and full BRD4 degradation. PROTAC **13** exhibited short half-life in circulation and low solubility allowing formulation as a suspension. In target engagement studies, intratracheally administered **13** induced BRD4-degradation leading to persistently reduced BRD4-levels in the lung after a single dose, lasting for 24 hours after dosing. Immunohistochemistry analysis of lung sections demonstrated a substantial BRD4 degradation across the entire lung tissue. A systemic contribution of **13** to the effect in lung could be excluded when intravenous administration of a dose marginally higher than the locally effective dose did not achieve target engagement, assessed as BRD4-degradation, in the lung. This finding was corroborated by a follow-up study where a dose-response relationship could be established across entire lung sections, including the alveolar space, while no spill-over effects were observed in the control organ spleen. This suggests that **13** is exclusively acting in the lung. To our knowledge, local action of a PROTAC in the lung periphery while sparing systemic effects has not been described previously, nor has it been achieved for a small molecule inhibitor. The concept of a lung-restricted degrader presented herein might inspire treatment options for lung diseases of distal airways where systemic treatment cannot be applied due to insufficient therapeutic index of either oral small molecule inhibitors or PROTACs.

Experimental section

Synthesis of compounds **13** and **14**

General. All solvents and chemicals were used as purchased without further purification. Solvents for reactions were anhydrous ($\leq 50 \text{ ppm}$ of H_2O) unless otherwise stated. Solvents

for extraction and chromatographic purification were of HPLC grade. Compounds **1**, **dBET6**, **ZXH-3-26** are commercially available. The dihydrouracils **33** and **34**²⁵ and the 1*H*-benzo[d]imidazole-5-carbaldehyde **29** were synthesized according to the literature procedure.²⁰ All other reagents and intermediates were commercially available and used as arrived. Unless otherwise stated, operations discussed in the below examples were carried out at room/ambient temperature (18–25 °C). Oven-dried standard laboratory glassware was used, and routine manipulations were conducted at ambient temperature under a blanket of nitrogen. The reaction progress was monitored by LCMS. LCMS experiments were performed using either a Shimadzu LCMS-2010 or a Shimadzu LCMS-2020 system equipped with a photodiode array detector (190 to 400 nm) and electrospray ionization in positive ion detection mode (90.00 to 900.00 *m/z*), using either a Shim-pack XR-ODS column (2.2 μm, 3.0 × 50 mm) with a gradient elution (5–95%/2.0 min/flow rate 1.2 mL min^{−1}) of MeCN (+0.05% TFA) in water (+0.05% TFA) as mobile phase or a Kinetex EVO C18 column (2.6 μm, 2.1 × 50 mm) with a gradient elution (10–95%/2.0 min/flow rate 1.0 mL min^{−1}) of MeCN in aqueous 46 mM ammonium carbonate/ammonia buffer (pH 10) as mobile phase. Evaporation was performed under reduced pressure using a rotary evaporator, and products were dried under reduced pressure at a suitable temperature. Test compounds were purified by reverse phase preparative HPLC, performed using either a Waters FractionLynx system with integrated MS detection or Gilson GX-281 with integrated UV detection (220/254 nm). All test compounds have purities >95%, as determined by reverse phase HPLC with a gradient MeCN/water in either acidic (TFA) or basic (ammonium carbonate/ammonia) conditions (for details for each compound, see ESI†). Purification conditions are described for each specific compound below. NMR spectra were recorded on Bruker Avance III spectrometers at a proton frequency of 300, 400, 500, or 600 MHz. The central peaks of chloroform δ (H 7.26 ppm), CD₃OD (H 3.30 ppm), or DMSO-*d*₆ (H 2.49 ppm) were used as internal references. HRMS experiments were run on a high-resolution (*R* = 9000 fwhm) LCMS system (Waters Acquity-Xevo Q-ToF) with an electrospray ionization (ESI) interface.

5-(5-Ethynyl-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[d]imidazol-2-yl)-1,3-dimethylpyridin-2(1*H*)-one (30). Dimethyl(1-diazo-2-oxopropyl)phosphonate (1.59 g, 8.21 mmol) was added to K₂CO₃ (1.51 g, 11.0 mmol) and 2-(1,5-dimethyl-6-oxo-1,6-dihydropyridin-3-yl)-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[d]imidazole-5-carbaldehyde (**29**) (2.00 g, 5.47 mmol) in MeOH (20 mL) at 0 °C. The resulting mixture was stirred at room temperature for 2 hours. The solvent was removed under reduced pressure and the crude product was purified by silica column chromatography, elution gradient 0 to 5% MeOH in DCM. Pure fractions were evaporated to dryness to afford 5-(5-ethynyl-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[d]imidazol-2-yl)-1,3-dimethylpyridin-2(1*H*)-one (1.70 g, 86%) as a yellow solid. ¹H NMR (400 MHz, DMSO-*d*₆) δ 8.16 (d, *J* = 2.3 Hz, 1 H), 7.68–7.77 (m, 3 H), 7.35 (dd, *J* = 8.4, 1.5 Hz, 1 H), 4.28 (d, *J* = 7.3 Hz, 2 H), 4.05 (s, 1 H), 3.67–3.73 (m, 2

H), 3.55 (s, 3 H), 3.04–3.13 (m, 2 H), 2.09 (s, 3 H), 1.92 (br s, 1 H), 1.08–1.26 (m, 4 H). LCMS: *m/z* (ES⁺), TFA, found [*M* + *H*]⁺ = 362.

2-(2,6-Dioxopiperidin-3-yl)-5-(8-hydroxyoct-1-yn-1-yl)-isoindoline-1,3-dione (25g). Triphenylphosphine palladium chloride (0.31 g, 0.44 mmol) was added to TEA (1.9 mL, 13.4 mmol), copper(i) iodide (0.13 g, 0.67 mmol), oct-7-yn-1-ol (0.56 g, 4.45 mmol) and 5-bromo-2-(2,6-dioxopiperidin-3-yl)isoindoline-1,3-dione (1.50 g, 4.45 mmol) in DMF (15 mL) under nitrogen. The resulting mixture was stirred at 80 °C for 2 hours. The reaction mixture was poured into water (100 mL) and extracted with DCM (3 × 200 mL). The organic layer was dried over Na₂SO₄, filtered and evaporated to afford black oil. The crude product was used in the next step without purification. LCMS: *m/z* (ES⁺), TFA, found [*M* + *H*]⁺ = 383.

7-(2-(2,6-Dioxopiperidin-3-yl)-1,3-dioxoisindolin-5-yl)hept-6-yn-1-yl-methane-sulfonate (26g). 2-(2,6-Dioxopiperidin-3-yl)-5-(8-hydroxyoct-1-yn-1-yl)isoindoline-1,3-dione (**25g**) (3.90 g, 10.2 mmol) was added to methanesulfonic anhydride (3.55 g, 20.4 mmol), DMAP (0.13 g, 1.02 mmol) and triethanolamine (4.56 g, 30.6 mmol) in DCM (39 mL). The resulting mixture was stirred at room temperature for 2 hours. The reaction mixture was poured into water (100 mL) and extracted with DCM (3 × 200 mL). The organic layer was dried over Na₂SO₄, filtered and evaporated to afford a yellow oil. The crude product was purified by C18 column chromatography, elution gradient 0 to 100% MeCN in water. Pure fractions were evaporated to dryness to afford 2-(2,6-dioxopiperidin-3-yl)-5-(8-hydroxyoct-1-yn-1-yl)isoindoline-1,3-dione (1.20 g, 31%) as a yellow oil. ¹H NMR (400 MHz, DMSO-*d*₆) δ 11.14 (s, 1H), 7.82–7.92 (m, 3H), 5.16 (dd, *J* = 12.8, 5.4 Hz, 1H), 4.20 (t, *J* = 6.4 Hz, 2H), 3.33 (s, 3H), 3.17 (s, 2H), 2.89 (ddd, *J* = 16.8, 13.7, 5.4 Hz, 1H), 2.53–2.64 (m, 2H), 2.02–2.11 (m, 1H), 1.69 (p, *J* = 6.7 Hz, 2H), 1.59 (p, *J* = 6.9 Hz, 2H), 1.34–1.53 (m, 4H).

5-(8-Azidoct-1-yn-1-yl)-2-(2,6-dioxopiperidin-3-yl)-isoindoline-1,3-dione (27g). 7-(2-(2,6-Dioxopiperidin-3-yl)-1,3-dioxoisindolin-5-yl)hept-6-yn-1-yl-methane-sulfonate (**26g**) (1.00 g, 2.61 mmol) was added to TBAF (1.37 g, 5.23 mmol) and trimethylsilyl azide (603 mg, 5.23 mmol) in DMF (20 mL). The resulting mixture was stirred at 60 °C for 2 hours. The reaction mixture was cooled to room temperature, poured into water (100 mL) and extracted with EtOAc (3 × 100 mL). The organic layer was dried over Na₂SO₄, filtered and evaporated to afford a yellow oil. The crude product was purified by C18 column chromatography, elution gradient 0 to 100% MeCN in water (0.1% FA). Pure fractions were evaporated to dryness to afford 5-(8-azidoct-1-yn-1-yl)-2-(2,6-dioxopiperidin-3-yl)isoindoline-1,3-dione (700 mg, 66%) as a brown solid. ¹H NMR (400 MHz, DMSO-*d*₆) δ 11.14 (s, 1H), 7.81–7.92 (m, 3H), 5.16 (dd, *J* = 12.8, 5.4 Hz, 1H), 3.33 (t, *J* = 6.8 Hz, 2H), 2.89 (ddd, *J* = 16.9, 13.7, 5.4 Hz, 1H), 2.52–2.69 (m, 3H), 2.06 (dd, *J* = 9.1, 3.8 Hz, 1H), 1.31–1.65 (m, 9H). LCMS: *m/z* (ES⁺), TFA, found [*M* + *Na*]⁺ = 430.

5-(8-(4-(2-(1,5-Dimethyl-6-oxo-1,6-dihydropyridin-3-yl)-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[d]imidazol-5-yl)-1*H*-1,2,3-triazol-1-yl)oct-1-yn-1-yl)-2-(2,6-dioxopiperidin-3-yl)-isoindoline-1,3-dione (13). 5-(5-Ethynyl-1-((tetrahydro-2*H*-pyran-

4-yl)methyl)-1*H*-benzo[*d*]imidazol-2-yl)-1,3-dimethylpyridin-2(1*H*)-one (**30**) (540 mg, 1.49 mmol) was added to 5-(8-azido-oct-1-yn-1-yl)-2-(2,6-dioxopiperidin-3-yl)isoindoline-1,3-dione (**27g**) (609 mg, 1.49 mmol), Cu₂SO₄ (238 mg, 1.49 mmol) and L-ascorbic acid 2-phosphate trisodium salt (962 mg, 2.99 mmol) in water (4.8 mL) and 1,4-dioxane (4.8 mL). The resulting mixture was stirred at room temperature for 2 hours. The solvents were removed under reduced pressure and the crude product was purified by preparative HPLC (column: F-phenyl Prep OBD C18 Column, 30 × 150 mm, 5 μm; mobile phase A: water (0.1% TFA), mobile phase B: ACN; flow rate: 60 mL min⁻¹; gradient: 26% B to 39% B in 8 min). Fractions containing the desired compound were evaporated to dryness to afford 5-(8-(4-(2-(1,5-dimethyl-6-oxo-1,6-dihydropyridin-3-yl)-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[*d*]imidazol-5-yl)-1*H*-1,2,3-triazol-1-yl)oct-1-yn-1-yl)-2-(2,6-dioxopiperidin-3-yl)isoindoline-1,3-dione (**13**) (650 mg, 47%) as a white solid. Purity 95%. ¹H NMR (600 MHz, DMSO-*d*₆) δ 11.15 (s, 1 H), 8.60 (s, 1 H), 8.17 (d, *J* = 2.3 Hz, 1 H), 8.05–8.08 (m, 1 H), 7.82–7.88 (m, 3 H), 7.74–7.80 (m, 3 H), 5.16 (dd, *J* = 13.0, 5.4 Hz, 1 H), 4.42 (t, *J* = 7.1 Hz, 2 H), 4.30 (d, *J* = 7.3 Hz, 2 H), 3.69–3.76 (m, 2 H), 3.58 (s, 3 H), 3.12 (td, *J* = 11.5, 2.0 Hz, 2 H), 2.90 (ddd, *J* = 17.2, 13.9, 5.4 Hz, 1 H), 2.52–2.63 (m, 2 H), 2.48–2.50 (m, 2 H), 2.12 (s, 3 H), 2.04–2.09 (m, 1 H), 1.90–2.02 (m, 3 H), 1.55–1.63 (m, 2 H), 1.45–1.54 (m, 2 H), 1.31–1.40 (m, 2 H), 1.14–1.25 (m, 4 H). ¹³C NMR (151 MHz, DMSO-*d*₆) δ 17.4, 19.2, 22.4, 25.9, 28.1, 28.1, 30.0, 30.4, 31.4, 35.6, 37.9, 40.5, 49.5, 49.9, 66.8, 80.0, 96.4, 108.6, 112.0, 115.6, 120.5, 121.2, 124.1, 125.6, 126.0, 128.3, 130.1, 130.3, 132.2, 136.4, 137.2, 137.9, 138.9, 143.2, 147.5, 151.7, 162.3, 166.9, 167.0, 170.2, 173.2. HRMS *m/z* (ESI) calc. [M + H]⁺ = 769.3462, found 769.3473.

5-(11-(4-(2-(1,5-Dimethyl-6-oxo-1,6-dihydropyridin-3-yl)-1-((tetrahydro-2*H*-pyran-4-yl)methyl)-1*H*-benzo[*d*]imidazol-5-yl)-1*H*-1,2,3-triazol-1-yl)undec-1-yn-1-yl)-2-(2,6-dioxo-piperidin-3-yl)isoindoline-1,3-dione (**14**). Prepared following the same synthetic route as PROTAC **13** using undec-10-yn-1-ol. Purity 95%. ¹H NMR (400 MHz, DMSO-*d*₆) δ 11.07 (br s, 1 H), 8.59 (s, 1 H), 8.15–8.18 (m, 1 H), 8.06 (s, 1 H), 7.86–7.90 (m, 1 H), 7.81–7.86 (m, 2 H), 7.74–7.81 (m, 3 H), 5.16 (dd, *J* = 12.7, 5.4 Hz, 1 H), 4.39 (br t, *J* = 6.9 Hz, 2 H), 4.30 (br d, *J* = 7.2 Hz, 2 H), 3.64–3.80 (m, 2 H), 3.06–3.18 (m, 2 H), 2.83–2.94 (m, 1 H), 2.53–2.65 (m, 2 H), 2.42–2.49 (m, 2 H), 2.11 (s, 3 H), 2.01–2.10 (m, 2 H), 1.92–2.01 (m, 1 H), 1.84–1.92 (m, 2 H), 1.52–1.60 (m, 2 H), 1.36–1.47 (m, 3 H), 1.10–1.36 (m, 13 H). ¹³C NMR (126 MHz, DMSO-*d*₆) δ 17.4, 19.2, 22.4, 26.3, 28.2, 28.3, 28.7, 28.8, 28.9, 29.2, 30.1, 30.4, 31.4, 35.6, 37.9, 49.6, 49.9, 50.0, 66.8, 79.9, 96.6, 108.6, 112.1, 115.6, 120.5, 121.2, 124.2, 125.6, 126.0, 128.3, 130.2, 130.3, 132.3, 136.4, 137.2, 137.9, 138.9, 143.2, 147.5, 151.7, 166.9, 167.0, 170.2, 173.2. HRMS *m/z* (ESI) calc. [M + H]⁺ = 811.3931, found 811.3927.

Ethical statement

All animal procedures were performed in accordance with the Guidelines for Care and Use of Laboratory Animals of

AstraZeneca and approved by the local Ethical Committee for Laboratory Animals in Gothenburg.

Data availability

Additional data supporting this article have been included as part of the ESI† These include – synthesis of compounds **3–12** and **15–22**, spectra and analyses, molecular docking protocol, *in vitro*, *in vivo* and drug metabolism and pharmacokinetics (DMPK) assays, including formulation descriptions.

Author contributions

MH, WC, LR, SS and PB contributed to the conceptualization of the manuscript, MH and WC wrote the first draft with the assistance of KK and LR finalized the manuscript. MH and JL shared project administration, WC provided mentorship. CC, BR, LCR, JM performed synthesis and completed experimental write-ups. KK performed docking studies and contributed to analysis. PH and AN developed, completed and analysed binding assays. JL, FE, MD, MN and HP designed and completed method development and experimentation of the assessment of protein degradation by HiBiT methodology, including cell line generation. UH, KR, OS, CF and ME contributed to methodology, experiments and analysis and curation of results from fibroblasts. AP developed methodology for imaging in mouse lung sections and performed analysis and visualization. AP, RP and AB designed experiments in mice. RP supervised and contributed with analysis of DMPK related experiments. Formulations for *in vivo* dosing were prepared by AJ. WC, AB, LB and JJ provided supervision. All Authors contributed with writing, critical review & editing.

Conflicts of interest

The authors declare the following competing financial interest(s): all authors that are or have been employees of AstraZeneca may own stock or stock options.

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