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Single electron transfer (SET) and iodine-atom transfer radical addition (I-ATRA) induced cyclopropanation reaction: elucidating the role of iodine†

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Mechanistic studies were conducted for the visible-light-mediated cyclopropanation reaction of alkenes with diazoacetate, catalyzed by a phenalenyl-based organic hydrocarbon (PLY) and co-catalysed by iodine, using DFT. In the absence of iodine, the system proceeds towards the hydroalkylation reaction, with the aid of aryl thiol. Both reactions were studied to understand the role of iodine in promoting the formation of cyclopropanes, the key structural units in pharmacophores. Our findings suggest that the single electron transfer (SET) will generate the transient iodine radical species, whereas the iodine atom transfer radical addition (I-ATRA) will construct the iodinated acetate radical moiety for the cyclopropanation reaction. On the other hand, the proton-coupled electron transfer (PCET) mechanism induces the formation of a protonated acetate radical for the hydroalkylation reaction. The negligible back donation from the lone pair orbital of iodine to the antibonding orbital of the newly generated C–C bond makes the iodine a better leaving group, thereby making the cyclisation feasible, validating the role of iodine in the cyclopropanation reaction. Various analysis techniques such as atoms in molecule, activation strain analysis, and energy decomposition analysis reveal that the favorable non-covalent interactions and steric effects promote the regioselective anti-Markovnikov radical addition over the Markovnikov radical addition.

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Introduction

Phenalenyl (PLY), an odd alternant hydrocarbon-based photocatalyst, is a well-known organic catalyst for various reactions.^{1–3} This moiety has a high potential in its excited state, making it suitable for activating various inactive organic moieties without the use of any additives or exogenous oxidants.^{1,4} A photoexcited phenalenyl open-shell system can act as a super-reductant and possibly revolutionize the reactions which were relatively challenging with traditional photocatalysts.⁵ Recently, the photochemical properties of PLY were reported in certain studies.^{1,5} Mechanistically, one electron from the bonding molecular orbital of PLY will be excited to its non-bonding molecular orbital upon its photo-excitation. The excited PLY will then act as either an oxidant or a reductant depending upon the nature of the substrate and the reaction conditions.⁶ Hantzsch esters (HEH) are commonly used as

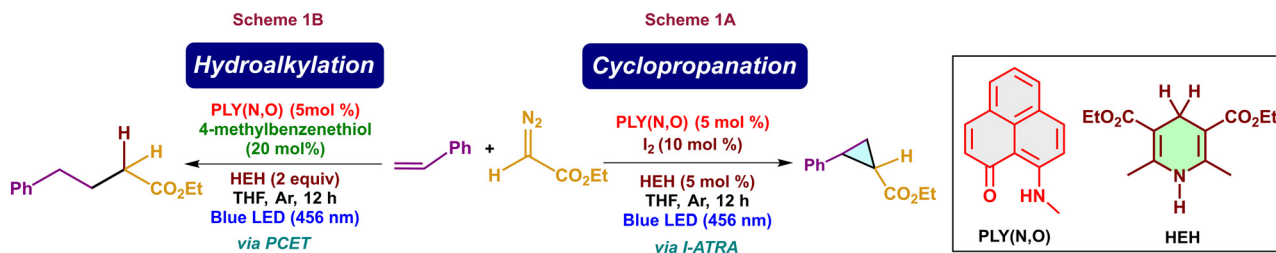
terminal proton sources and electron donors in transfer hydrogenation reactions and photo-redox catalysis due to their bioinspired hydride donor properties.⁷ In 2024, S. K. Mandal's group showcased the iodine atom transfer radical addition (I-ATRA) method to generate cyclopropanated products using a phenalenyl-based catalyst under metal-free conditions in the presence of HEH and diazoacetate (Scheme 1A).⁸ Interestingly, in the absence of iodine, hydroalkylation of alkenes occurs with the aid of a thiol moiety (Scheme 1B).⁸ Atom transfer radical addition (ATRA) of alkenes is an excellent strategy for enabling efficient alkene functionalization.⁹ Reports for the cyclopropanation reactions involving the ATRA with iodine were seldom explored. Even though several metal-catalysed hydroalkylation reactions have been reported,^{10,11} there are only a few reports on the metal-free hydroalkylation reactions,¹² which makes this reaction scheme significant.

Herein, we intend to explore the mechanism of the cyclopropanation reaction of alkenes with diazoacetate catalysed by a phenalenyl-based (PLY) reduced organic hydrocarbon *via* a photo-redox process. In particular, we became interested in exploring the mode of operation of co-catalyst iodine, the mechanism of the generation of the transient iodine radical, iodine atom transfer radical addition, and the origin of

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Scheme 1 Cyclopropanation (Scheme 1A) and hydroalkylation (Scheme 1B) reactions of alkenes with diazoacetate in the presence of PLY(N,O) catalyst.

selectivity in the cyclopropanation reaction. In addition, we will be analysing the mechanism, the role of additives, and factors promoting the hydroalkylation reaction as well.

Computational methodology

All density functional theory (DFT) calculations were conducted using Gaussian 16 (Revision C.01) quantum chemical programs.¹³ Geometries were optimised using the hybrid functional B3LYP along with Grimme's D3 dispersion correction and the Becke–Johnson damping scheme using the 6-31+G(d,p) basis set for all atoms, except for Br and iodine, for which the Stuttgart–Dresden double- ζ (SDD) and LANL2DZ basis sets with an effective core potential (ECP) were employed, respectively. Frequency calculations were also performed with optimisation to ensure that the stationary points corresponded to either minima or a transition state. Intrinsic reaction coordinate calculations were conducted on the transition states to confirm their connections to the expected minima. The effect of the solvent was evaluated using the SMD continuum solvation model in tetrahydrofuran ($\epsilon = 7.42$) solvent using the same functional but a different basis set, def2-TZVPP for all the atoms except for Br and iodine.¹³ For those, the SDD and LANL2DZ basis sets with an effective core potential (ECP) were employed, respectively. Excited-state calculations were performed with the time-dependent DFT (TD-DFT) method. To elucidate the fine electronic features of significant transition states, we have carried out topological analysis of electron density using the atoms in molecule (AIM) formalism. Activation strain analysis was conducted to understand the contribution of distortion and interaction energies by the participating fragments in the transition states.¹³ The activation strain energy can be written as the sum of interaction (ΔE_{int}) and distortion (ΔE_{dis}) energies.

$$\Delta E_{\text{act}}^{\ddagger} = \Delta E_{\text{int}} + \Delta E_{\text{dis}} \quad (1)$$

The component-wise distribution to the interaction energy (ΔE_{int}) was further analysed using the second-generation energy decomposition analysis (EDA), which is based on absolutely localised molecular orbitals (ALMO-EDA) using Q-Chem 6.2.¹³ The interaction energy between two fragments is dissected according to the following equation.

$$\Delta E_{\text{int}} = \Delta E_{\text{pauli}} + \Delta E_{\text{elstat}} + \Delta E_{\text{pol}} + \Delta E_{\text{ct}} + \Delta E_{\text{disp}} \quad (2)$$

According to eqn (2), the interaction energy (ΔE_{int}) is composed of Pauli repulsion (ΔE_{pauli}), electrostatic interaction (ΔE_{elstat}), polarisation (ΔE_{pol}), charge transfer (ΔE_{ct}) and dispersion (ΔE_{disp}). Therefore, the activation strain energy can be written as:

$$\Delta E_{\text{act}}^{\ddagger} = \underbrace{\Delta E_{\text{dis}} + \Delta E_{\text{pauli}}}_{\text{Steric effects } (\Delta E_{\text{steric}})} + \underbrace{\Delta E_{\text{elstat}} + \Delta E_{\text{pol}} + \Delta E_{\text{ct}} + \Delta E_{\text{disp}}}_{\text{Orbital interactions } (\Delta E_{\text{orbital}})} \quad (3)$$

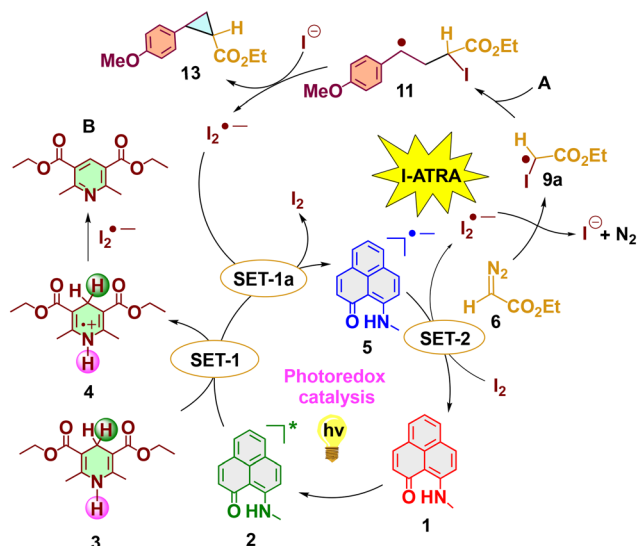
The sum of distortion energy (ΔE_{dis}) and Pauli repulsion (ΔE_{pauli}) can be considered as the contribution to steric effects (ΔE_{steric}), while the polarization (ΔE_{pol}) and charge transfer (ΔE_{ct}) contributes to the orbital interactions ($\Delta E_{\text{orbital}}$). The ALMO-EDA calculations were performed at the B3LYP-GD3BJ/def2-TZVPP-SDD(Br),SMD(THF) level of theory. NBO (natural bonding orbital) analysis was carried out using NBO 7.0 software.¹³ Spin density plots and NBO plots are generated using Chemcraft software.¹³ The non-covalent interactions present in the selective transition states were plotted using interaction region indicator analysis by Multiwfn version 3.8(dev).¹³ IRI isosurfaces were rendered by visual molecular dynamics (VMD) software.¹³ The discussion in the manuscript is presented on the basis of the Gibbs free energies obtained at 298.15 K.

Results and discussion

The reaction begins with the excitation of the phenalenyl (PLY (N,O)) (1) catalyst to its singlet excited state S_1 , upon light irradiation. It subsequently relaxes to the long-lived triplet state (2) with an energy of 43.5 kcal mol⁻¹ (Scheme 2 and Fig. 1). The triplet PLY (N,O) species (2) will accept an electron from the Hantzsch ester (HEH) (3) *via* a single electron transfer (SET) pathway. The energy barrier for the SET was found to be 18.1 kcal mol⁻¹, calculated using the Marcus–Hush theory.¹³ This results in the generation of the Hantzsch ester radical cation (4) along with the phenalenyl radical anion (5). The reverse SET, *i.e.*, the formation of the PLY radical cation¹⁴ and the Hantzsch ester radical anion, was found to be energetically unfavorable (Fig. S2†), which is supported by the experimental findings.⁸

A possible energy transfer process from the species 2 was also examined (Fig. 2a).¹⁵ The TD-DFT calculations followed by the visualization of Kohn–Sham molecular orbitals show that the electronic excitations from the HOMO–1 to the





Scheme 2 Proposed reaction scheme for the phenalenyl (PLY) catalysed cyclopropanation reaction.

LUMO of the diazoacetate present in the T3 triplet excited state populate the π^* orbital of nitrogen, which corresponds to its release, to generate the carbene species (further details are provided in Table S2, ESI†). The energy required for this transition is $111.3 \text{ kcal mol}^{-1}$. However, the energy gap between the triplet state and the S_0 state of the PLY catalyst was calculated to be $43.5 \text{ kcal mol}^{-1}$, which is insufficient for the energy transfer process to occur (Fig. 2a).^{13,16} These findings strongly support that the reaction doesn't involve the generation of carbene intermediates through energy transfer, reinforcing the experimental observations.⁸ The reaction will then bifurcate into two mechanistic pathways for the cyclopropanation and hydroalkylation reactions depending upon the experimental conditions. The presence of iodine prefers the cyclopropanation reaction, whereas in its absence, a hydroalkylation product will be generated with an aryl thiol moiety and an excess amount of the Hantzsch ester.

Cyclopropanation reaction

In the presence of I_2 , the reaction can proceed *via* three competitive pathways (Fig. 2b): a) PLY (N,O) catalyst regeneration from the phenalenyl radical anion (5) by transferring an electron to I_2 through another SET process (SET-2). This leads to the generation of the key I_2 radical anion in the reaction medium; b) the proton-coupled electron transfer pathway involving species 4, 5, and diazoacetate (6), which can generate Hantzsch ester radical intermediate 14, PLY catalyst 1 and protonated diazoacetate radical 15 (PCET-A); c) the PCET pathway involving 4, 5 and I_2 (PCET-B), which leads to the formation of Hantzsch ester radical intermediate 14, PLY catalyst 1, hydrogen iodide (HI), and an iodine radical. Through PCET-A, the iodine radical cannot be generated, due to which the cyclopropanation will not be

possible (details are provided below). Even though the iodine radical is formed *via* PCET-B, due to the difficulty in the dissociation of the proton from HI, the regeneration of cocatalyst I_2 won't take place, which will affect the catalytic system of the cyclopropanation reaction. So, mechanistically, the reaction will only proceed through SET-2. From Fig. 2b, it is also evident that the energy of the intermediates formed after SET-2 is more stabilized than the intermediates formed after PCET-A and PCET-B. Moreover, the barrier for the SET-2 pathway is also very small ($5.2 \text{ kcal mol}^{-1}$) which makes it more feasible. The I_2 radical anion, which is generated *via* SET-2, will be the source of the iodine atom radical, the key species involved in the iodine atom transfer radical addition (I-ATRA) mechanism with the diazoacetate (6). This occurs with an energy barrier of $21.5 \text{ kcal mol}^{-1}$ (TS1, Fig. 1a). Subsequently, an expulsion of N_2 occurs from the iodine-incorporated diazoacetate radical species (8) *via* TS2 with an energy barrier of $20.7 \text{ kcal mol}^{-1}$. The iodinated acetate radical can then undergo a radical addition reaction with the olefinic moiety (A) in an anti-Markovnikov fashion to generate the intermediates 11 and 11a *via* two iso-energetic transition states, TS3 and TS3a ($7.4 \text{ kcal mol}^{-1}$ with respect to intermediate 9). The high yielding *p*-methoxystyrene (A) was used as the olefinic substrate for this study.⁸ The spin density plots for these transition states suggest that the radical is mainly located on the C1 carbon of the iodinated acetate radical (Fig. 1b). Another possible Markovnikov radical addition (MRA) transition state was found to exhibit a high energy barrier (TS3_m).¹³ The cyclisation of the radical intermediate 11 can lead to the construction of cyclopropane product 12 with a *trans* configuration *via* TS4. The other isomer 11a, undergoes cyclization, generating the *cis*-configured cyclopropane 12a *via* TS4a. The difference in the Gibbs free energy between the transition states for the formation of these *cis* and *trans* isomers is $0.5 \text{ kcal mol}^{-1}$, which corresponds to the experimentally observed diastereomeric ratio of 2 : 1.⁸

To gain additional insights into the origin of the energy difference between the diastereomeric transition states, non-covalent interactions (NCIs) were plotted. We found that TS4 is well decorated with a set of strong attractive non-covalent interactions, which includes two CH—O, two CH— π , and I—O interactions, whereas the stabilizing interactions found in TS4a are CH—O and two CH— π interactions. Hence the larger number of interactions present in TS4 can be accounted for as the reason for the extra stability. Upon the cyclisation, the eliminated iodine radical can further combine with the iodine anion which is already present in the system, to form the I_2 radical anion. This species will then transfer an electron back to the triplet PLY (N,O) species (2) (*via* SET-1a with an energy barrier of $15.4 \text{ kcal mol}^{-1}$), thereby generating phenalenyl radical anion 5 for the next catalytic cycle. That is, the Hantzsch ester is only required to initiate the first catalytic cycle, after which the generated iodine radical anion will initiate subsequent cycles by providing electrons to the triplet PLY (N,O) species (2). This justifies the use of the



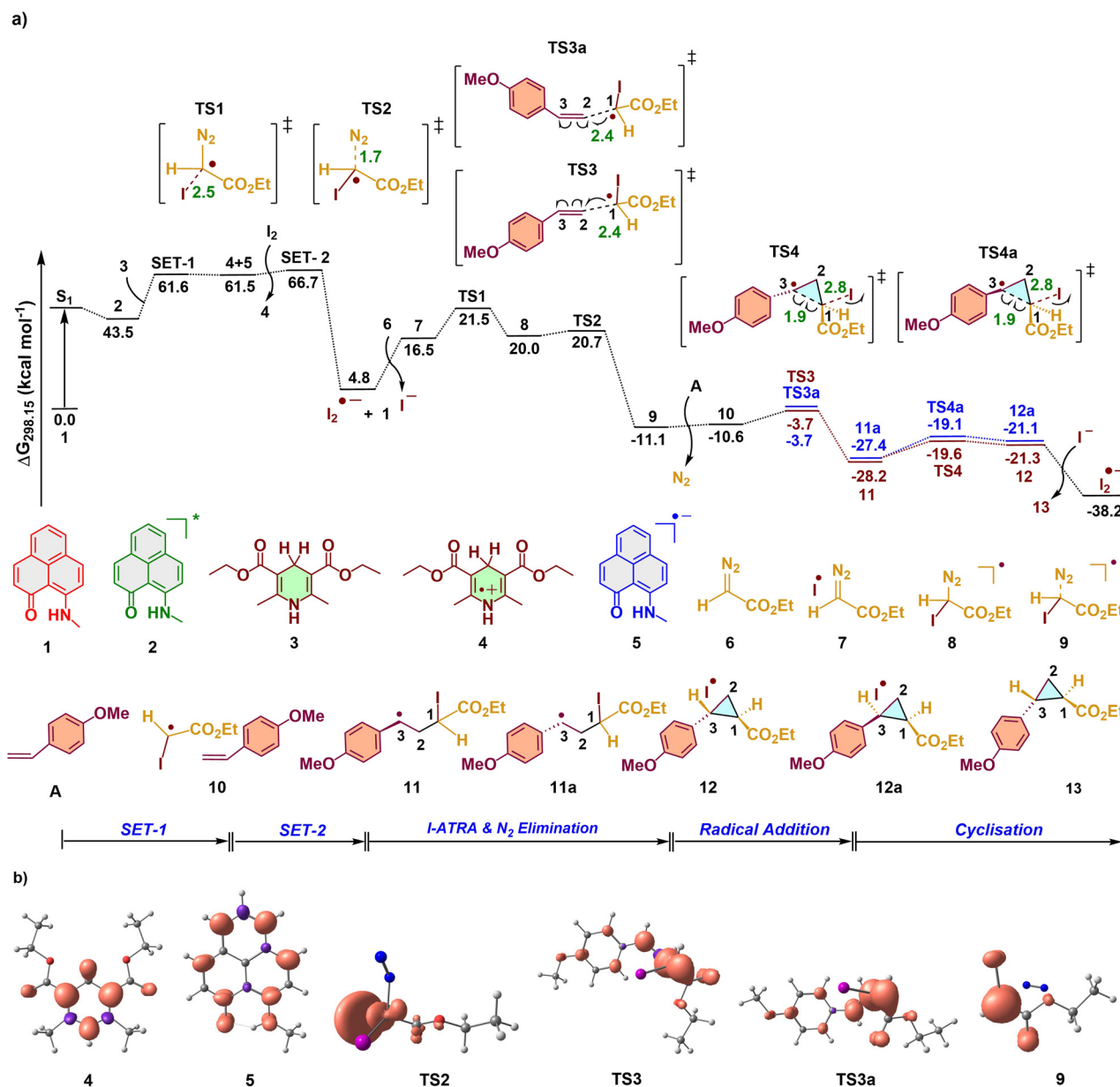


Fig. 1 a) Computed Gibbs free energy profile for the phenalenyl (PLY) catalyzed cyclopropanation reaction of alkenes with diazoacetate. b) Spin density plot of various key intermediates and transition states involved in the reaction mechanism (isosurface value = 0.005 au).

Hantzsch ester in a reduced amount for the cyclopropanation reaction. The Hantzsch ester radical cation **4** will be converted to Hantzsch pyridine **B** with the aid of the I_2 radical anion at the end of the reaction.¹³

For the cyclopropanation reaction, after the SET process, the highest barrier in the mechanistic pathway corresponds to the iodine atom transfer radical addition (I-ATRA) transition state, **TS1**. In an attempt to describe the reason behind the ease of formation of cyclopropane in the presence of I_2 , we modelled transition states **TS4b** and **TS4c** with styrene as the olefinic substrate (Fig. 2d). The barrier for the transition state **TS4b** was determined to be 11.3 kcal mol⁻¹, while **TS4c** was found to have a quite high barrier of 61.1 kcal mol⁻¹ (with respect to the intermediate before the

corresponding transition state), indicating that **TS4b** is more favorable. NBO analysis revealed that the donation from the lone pair orbital (LP) of hydrogen to the antibonding (BD*) orbital of the C1–C3 bond is stronger in magnitude in **TS4c**, which is quantified using second-order perturbation theory.¹⁷ This interaction suggests that the back donation will abate the formation of the C1–C3 bond due to the difficulty in removing the hydrogen radical, making the cyclisation less favorable. Meanwhile in the case of **TS4b**, the donation from the lone pair orbital (LP) of the iodine radical to the antibonding (BD*) orbital of the C1–C3 bond is not much pronounced, suggesting the stability of the iodine radical for its easier removal. The ease of the construction of the iodine radical can also be explained by the large size of the atom,

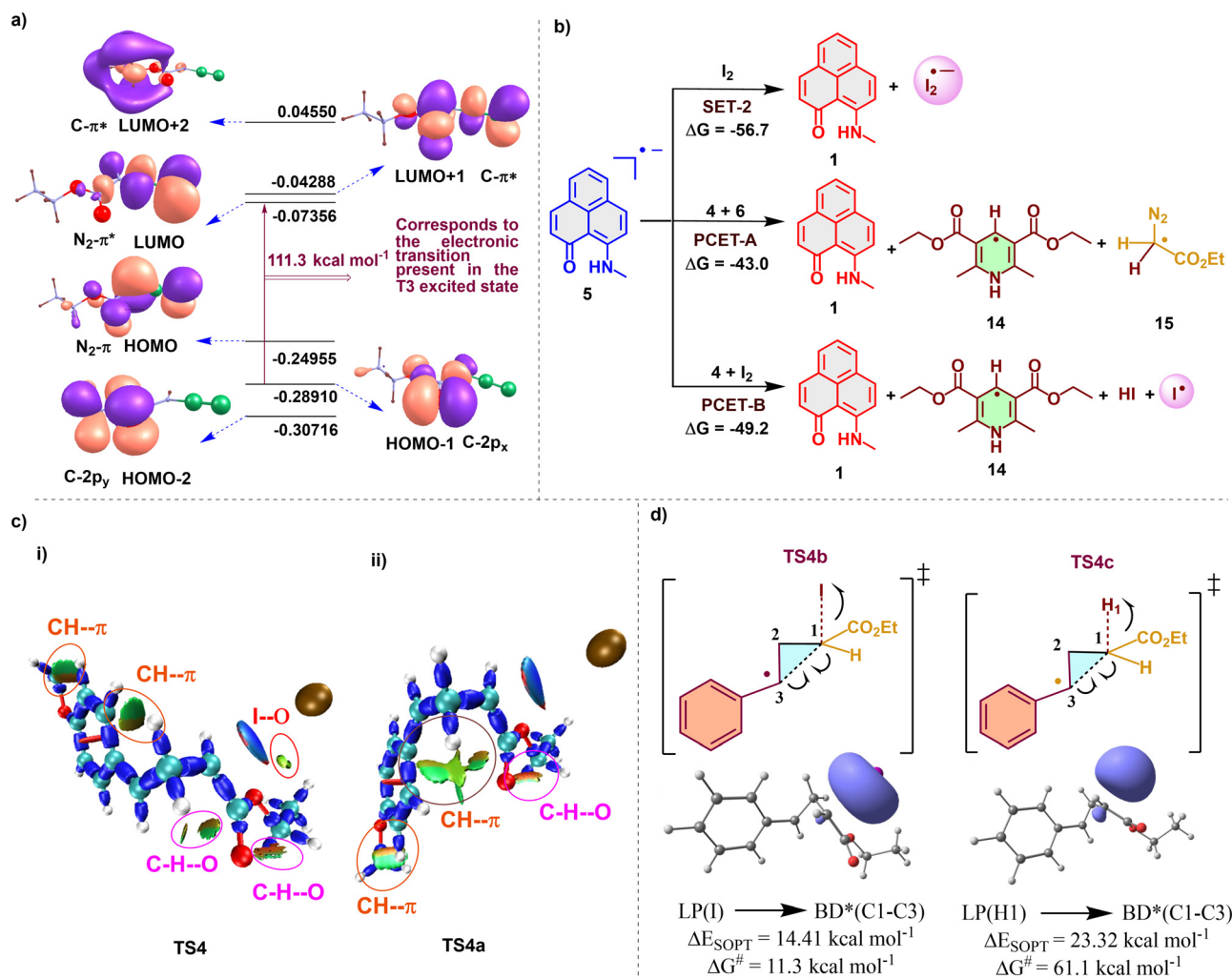


Fig. 2 a) The Kohn-Sham molecular orbitals of diazoacetate. The energies of the molecular orbitals are provided in electron volts (eV). b) Calculated Gibbs free energies for the competing SET-2, PCET-A, and PCET-B processes. c) NCI plot for the cyclopropanation radical recombination transition state for (i) *trans* (TS4) and (ii) *cis* (TS4a) isomers. d) Natural bond orbital (NBO) analysis (isosurface value = 0.03 au) of transition states TS4b and TS4c. The donor-acceptor interaction energies (kcal mol $^{-1}$) calculated via second order perturbation theory (SOPT) and orbitals involved are also provided. Gibbs free energy barriers are calculated with respect to the intermediate before the corresponding transition states. LP stands for the lone pair orbitals while BD* denotes the antibonding orbitals.

which allows the electron-deficient orbital to spread out over a larger volume. This distribution can reduce the electron-electron repulsion and stabilize the radical, where the case is reversed for the hydrogen radical.

Hydroalkylation reaction

Experimentally, in the absence of I $_2$, the reaction proceeds to form a hydroalkylated product with a higher concentration of the Hantzsch ester. We were intrigued to study the mechanism of this reaction, especially to find the role of the additional Hantzsch ester. The energetics of the hydroalkylation reaction is as follows (Scheme 3 and Fig. 3a). After the formation of the PLY(N,O) radical anion 5 and Hantzsch ester radical cation 4, since I $_2$ is absent, the reaction will follow a proton-coupled electron transfer (PCET-A) mechanism to regenerate the catalyst. In this process, a

proton from the C4 position of the Hantzsch ester radical cation 4 and an electron from the PLY radical anion 5 will be transferred to the diazoacetate 6 in a concerted manner. This favorably regenerates the photocatalyst along with the protonated diazoacetate radical 15. Instead of the PCET mechanism, we have looked into the energetics of the stepwise pathways that include either the proton transfer (PT) followed by the electron transfer (ET) or the ET followed by the PT. From the square scheme (Fig. S3 †), it is clearly evident that the PCET mechanism is more favorable compared to the stepwise mechanism.^{18,19} This PCET-A will drive the expulsion of N $_2$ to generate the protonated acetate radical 17 via the transition state TS5 with a barrier of 18.6 kcal mol $^{-1}$. This also proves that it is the PCET process, instead of hydrogen atom transfer radical addition (H-ATRA), that paves the way for the generation of the protonated acetate radical in the hydroalkylation reaction. Afterwards,





To illustrate the component-wise contribution of interaction energy of the alkene substrate with the acetate radical to the overall regioselectivity, energy decomposition analysis (EDA) was conducted (Fig. 4c). For all the substitutions, the dominant effect that promotes the AMRA is the steric effect ($\Delta\Delta E_{\text{steric}}$). Along with the steric effects, in the substitutions where R = H, F, Br, and OMe, dispersion interactions ($\Delta\Delta E_{\text{disp}}$) were also found to be promoting the AMRA. However, for R = Me only the steric effects ($\Delta\Delta E_{\text{steric}}$) promoted the anti-Markovnikov one. Since the sum of promoting interactions is greater than others, the AMRA is

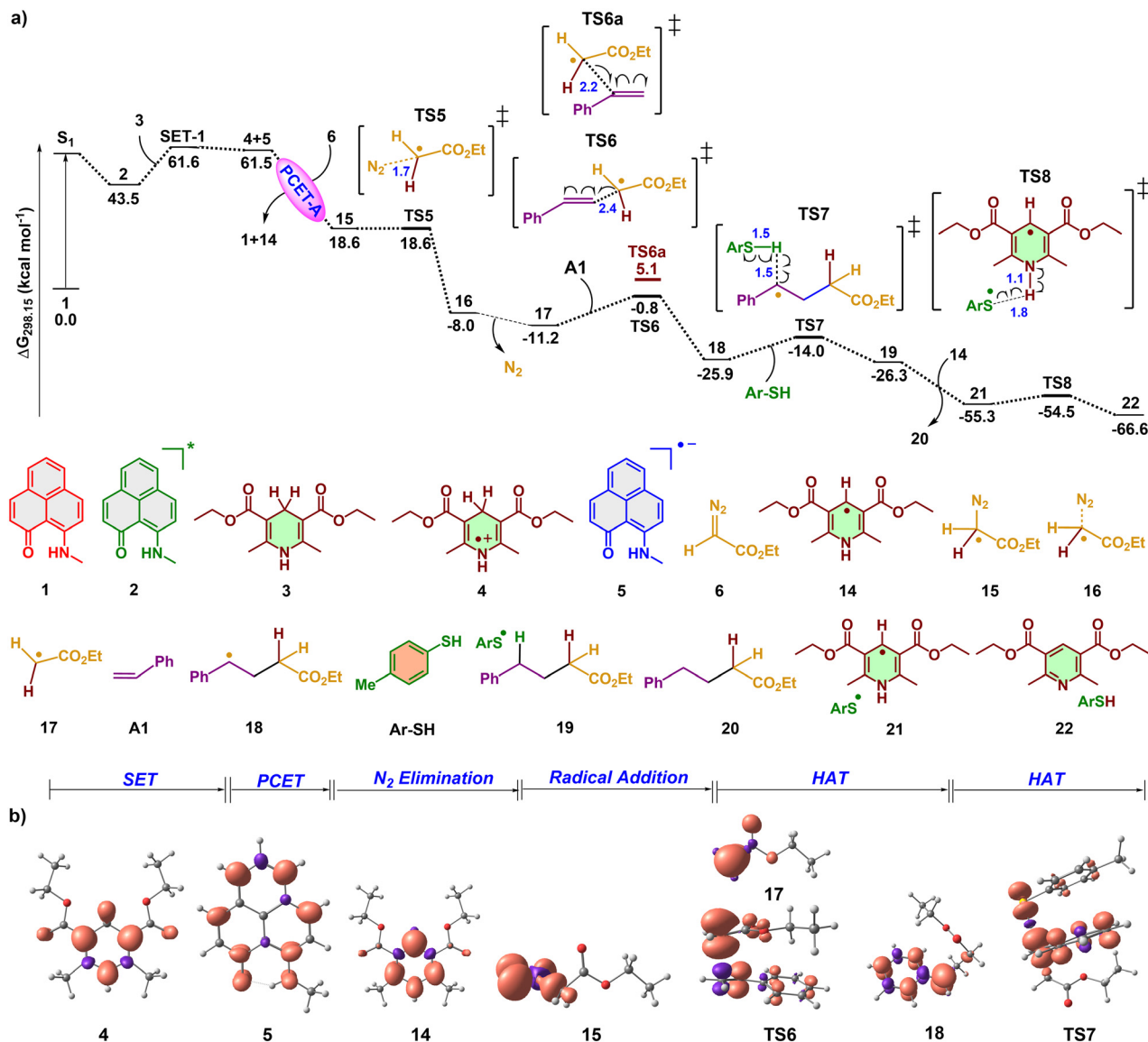


Fig. 3 a) Computed Gibbs free energy profile diagram for the phenalenyl (PLY) catalyzed hydroalkylation reaction of alkenes with diazoacetate. b) Spin density plots of various key intermediates and transition states involved in the reaction mechanism (isosurface value = 0.005 au).

preferred for all substitutions. In the case where the propene is the reacting substrate, steric effects ($\Delta\Delta E_{\text{steric}}$) along with the dispersion interactions ($\Delta\Delta E_{\text{disp}}$) play the role of promoting the AMRA transition state.

After the regioselective radical addition step, a hydrogen atom transfer (HAT) process occurs from the aryl thiol species ArSH to the intermediate **18** via **TS7**, forming the final product, ethyl 4-phenylbutanoate **20** (with an energy barrier of 11.9 kcal mol^{-1} with respect to the stable intermediate **18**). In the final step, the thiol (ArS) radical will abstract a hydrogen radical from **14** to regenerate aryl thiol species ArSH via **TS8** with an energy barrier of 0.8 kcal mol^{-1} (with respect to the stable intermediate **21**). This results in the generation of Hantzsch pyridine **B**. The Hantzsch ester was used in excess in this reaction since it is recovered as Hantzsch pyridine at the end of every catalytic cycle.

Conclusions

A comprehensive mechanistic study was conducted for the cyclopropanation and hydroalkylation reactions between olefins and diazoacetate with a phenalenyl (PLY) catalyst. The key intermediates and transition states involved in the catalytic cycle of both reactions were found by using density functional theory. The TD-DFT calculations followed by the visualization of Kohn–Sham molecular orbitals discarded the possibility of energy transfer between PLY and diazoacetate for the generation of transient carbene. A single electron transfer process from the PLY(N,O) radical anion to the iodine generates the transient iodine radical, which is involved in the subsequent iodine atom transfer radical addition step to generate the key iodinated acetate radical in the cyclopropanation reaction. However, the proton-coupled electron transfer process generates the



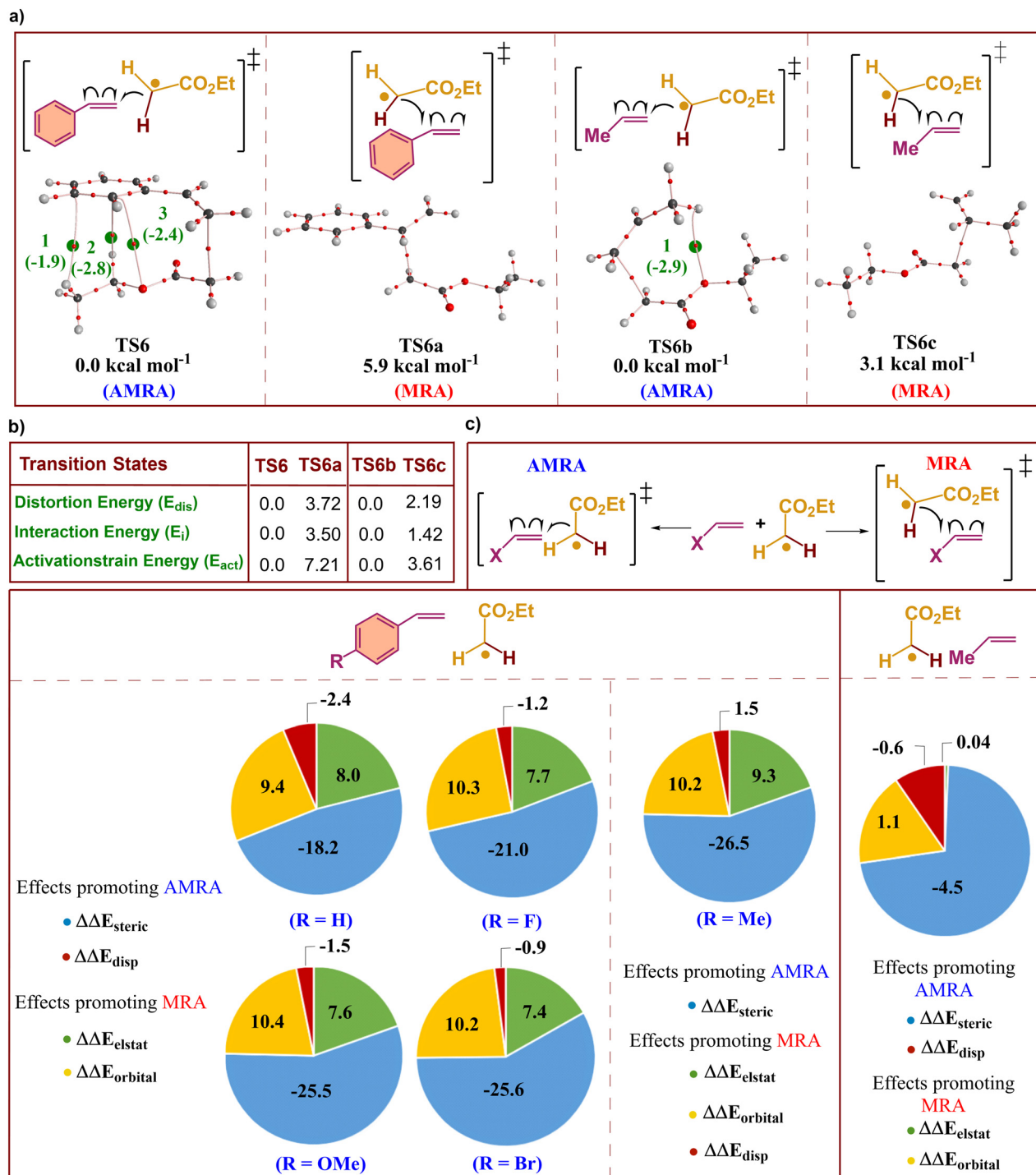


Fig. 4 a) Regioselective radical addition transition states for the propene and styrene substrates and the topological analysis of the electron density using the atoms in molecules (AIM) formalism. Bond critical points are marked in green color and their estimated strength using the Espinosa formulation is provided in parentheses. The relative Gibbs free energies in kcal mol^{-1} for the Markovnikov radical addition (MRA) transition states TS6a and TS6c are calculated with respect to the anti-Markovnikov radical addition (AMRA) transition states TS6 and TS6b, respectively; b) activation strain analysis for the transition states TS6, TS6a, TS6b, and TS6c. The relative energies in kcal mol^{-1} for the MRA transition states TS6a and TS6c are calculated with respect to the AMRA transition states TS6 and TS6b respectively. c) Contribution of different types of interactions obtained from the EDA for various *para*-substituted styrene and propene. The contributions of various components to the interaction energy, such as orbital interaction ($\Delta\Delta E_{orbital}$), dispersion interaction ($\Delta\Delta E_{disp}$), electrostatic interaction ($\Delta\Delta E_{elstat}$), and steric effects ($\Delta\Delta E_{steric}$), are calculated from the energy difference between anti-Markovnikov and Markovnikov radical addition transition states. Positive values indicate that the effect is promoting Markovnikov addition, while negative values indicate that the effect is promoting the anti-Markovnikov radical addition transition state.



protonated acetate radical during the hydroalkylation reaction. The experimentally observed diastereomeric ratio for the *trans* and *cis* cyclopropanes was validated through the modelling of respective transition states. NBO analysis revealed that the back donation from the lone pair orbital (LP) of hydrogen to the antibonding (BD*) orbital of the generated C–C bond is stronger in magnitude, whereas this similar interaction was less pronounced in iodine. This makes the cyclisation difficult with the removal of the hydrogen radical compared to the iodine radical, which in turn proves the role of iodine in the cyclopropanation reaction. The Hantzsch ester was used in excess in the hydroalkylation reaction since it is recovered as Hantzsch pyridine at the end of each catalytic cycle, unlike cyclopropanation, where the Hantzsch ester is only required for the initiation of the first catalytic cycle. The reason behind the stability of the anti-Markovnikov radical addition was decoded by various computational tools like AIM analysis, EDA, the activation-strain model, *etc.* It was observed that the non-covalent interactions and steric effects contribute to the stability of the anti-Markovnikov radical addition transition state over Markovnikov radical addition. Overall, the co-catalyst, additives, and mode of electron transfer play a prominent role in the generation of cyclopropanes, which are the key structural units in pharmacophores.

Data availability

The datasets supporting this article have been uploaded as part of the ESI†

Author contributions

R. K. conceived and directed the projects. K. A. U., A. S. B. and S. K. did the computational calculations. R. K. and K. A. U. wrote the manuscript. All the authors discussed the results and commented on the manuscript.

Conflicts of interest

There are no conflicts to declare.

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