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Pr³⁺ doped NaYF₄ and LiYF₄ nanocrystals combining visible-to-UVC upconversion and NIR-to-NIR-II downconversion luminescence emissions for biomedical applications†

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Lanthanide-doped fluoride nanocrystals (NCs) are known to exhibit unique optical properties, such as upconversion and downconversion luminescence (UCL and DCL), which can be employed for various applications. In this work, we demonstrate that by doping praseodymium(III) and ytterbium(III) ions (Pr³⁺ and Yb³⁺) into a nanosized fluoride matrix (i.e. NaYF₄ and LiYF₄), it is possible to combine their UCL and DCL properties that can be concurrently used for biomedical applications. In particular, the emissive modes combined in a single nanoparticle co-doped with Pr³⁺ and Yb³⁺ include DCL emission (excited at 980 nm and peaked at 1320 nm), which can be used for near infrared (NIR) DCL bioimaging in the NIR-II window of biological tissue transparency (~1000–1350 nm) and UCL emission (excited at 447 nm and peaked at 275 nm) that can be employed for germicide action (via irradiation by light in the UVC range). A possibility of the latter was demonstrated by the denaturation of double-stranded DNA (dsDNA) into single-stranded ones that was caused by the UVC UCL emission from the NCs under 447 nm irradiation; it was evidenced by the hyperchromicity observed in the irradiated dsDNA solution and also by a fluorometric analysis of DNA unwinding (FADU) assay. Concurrently, the possibility of NIR-II luminescence bioimaging through biological tissues (bovine tooth and chicken flesh) was demonstrated. The proposed concept paves a way for NIR-II imaging guided antimicrobial phototherapy using lanthanide-doped fluoride nanocrystals.

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1 Introduction

Lanthanide-doped fluoride nanocrystals (NCs) are gaining increased interest as nanosized emitters for bioapplications due to their unique, predictable and designable optical properties, along with the facile modification of their size, shape and crystal structure synthetically. Owing to the defined energy level structure and shielding of optically active 4f valence electrons in lanthanide ions, highly characteristic luminescence emission in a broad spectral range can be achieved.^{1–4}

Fluoride-based nanophosphors (e.g., NaYF₄ and LiYF₄ NCs) are in fact among the most studied host nanomaterials, since their synthetic adaptability and robust structure can accommodate various lanthanide ions without altering the nanocrystal lattices. The features of the lanthanide ion emission can also be precisely manipulated through design and synthetic methodologies.^{5,6} Efficient near-infrared (NIR)-to-visible (VIS), NIR-to-NIR, and VIS-to-ultraviolet (UV) upconversion and NIR-to-NIR or VIS-to-NIR downconversion luminescence emissions (UCL and DCL), which are exhibited by these nanomaterials, have been demonstrated to hold great potential for sensing,^{7,8} imaging^{9–11} and *in situ* photoactivation^{12–14} applications. In particular, NIR luminescence from fluoride NCs is frequently applied in *in vivo* bioimaging due to the deep penetration ability of both excitation and emission of NIR luminescence.¹⁵ Hence, special emphasis is put on doping these NCs with the lanthanide ions that possess characteristic emissions in the NIR spectral range and on increasing the NIR emission yield.^{14,16} For instance, NIR DCL excited at 980 nm can be employed for imaging in the NIR-II window of biological

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tissue transparency ($\sim 1000\text{--}1350\text{ nm}$), which is beneficial for deeper light penetration and higher imaging contrast due to negligible autofluorescence and significantly lower light scattering in this spectral region.^{17–23} On the other hand, NIR light used for excitation can also be upconverted to produce VIS or UV radiation.^{14,24} The latter is especially of interest as UV radiation is effective at disinfection or germicidal action, which is nowadays crucial to maintain hygiene and prevent spreading viruses and bacteria, thus reducing risks to human health.^{25–27} In particular, wavelengths in the range of 200 to 280 nm (the UVC region) are known to be able to damage/cut/nick DNA and RNA in microorganisms and viruses and, consequently, inhibit their multiplication.^{28–31}

NCs with UVC emission under NIR excitation have been proposed to be incorporated into various surfaces or fabrics for antibacterial and antiviral actions.¹⁶ Thus, engineered design and development of nanomaterials with efficient generation of the upconverted UVC radiation has a potentially high impact on biomedical applications.

However, the efficiency of NIR-to-UVC UCL is usually relatively low, as it involves three- or four-photon processes due to the large energy difference between the NIR excitation and UVC emission photons. In contrast, VIS-to-UVC UCL (mostly two-photon induced processes) is demonstrated as an alternative, involving the use of Pr^{3+} , Tm^{3+} or Gd^{3+} .³² Pr^{3+} doped materials are particularly attractive owing to the possibility of emitting UVC light at around 260 nm, fitting the position of an absorption peak of DNA and RNA molecules and enabling their optically driven degradation.^{33–37} Very recently, the successful synthesis of $\text{LiYF}_4:\text{Pr}^{3+}$ microcrystals that exhibited UVC emission under sunlight irradiation was reported.³⁸ Besides, a possibility to co-dope fluoride NCs with Yb^{3+} and

Pr^{3+} has also been revealed, demonstrating the functionality of those NCs through the efficient generation of NIR DCL emission under excitation at 980 nm.^{17,39–44} Therefore, we suggested that it is possible to obtain the Pr^{3+} doped NCs with UCL and DCL emissions switchable through the use of corresponding laser excitation wavelengths. The co-doping of Yb^{3+} and Pr^{3+} in fluoride phosphors for UCL and DCL has been reported previously, but it was mostly limited to microcrystals or bulk materials and for NIR-to-VIS/NIR UCL.^{17,39–42,44,45} The development of nanosized materials is known to be crucial for various biomedical applications (including NIR luminescence bioimaging) and the ability of nanomaterials to emit UVC UCL under visible irradiation can be essential for antimicrobial and antiviral activity. However, the development and utilization of nanosized phosphors with UVC UCL remain largely unexplored.

Herein, we report, for the first time, a combination of VIS-to-UVC UCL and NIR-to-NIR-II DCL emission processes within the same nanomaterials co-doped with Pr^{3+} and Yb^{3+} . In particular, we report the synthesis of NaYF_4 and LiYF_4 NCs co-doped with Pr^{3+} and Yb^{3+} , which exhibit the VIS(447 nm)-to-UVC UCL peaked at $\sim 275\text{ nm}$. At the same time, these NCs exhibit NIR (980 nm)-to-NIR-II DCL (peaked at *ca.* 1320 nm). A scheme of electronic levels and transitions in Pr^{3+} and Yb^{3+} ions, which are related to VIS-to-UVC UCL and NIR-to-NIR DCL, is presented in Fig. 1. The synthesised bifunctional NCs allow germicide action (*via* UVC induced damage of DNA and RNA of targeted microorganisms or viruses) and simultaneously demonstrate high contrast NIR-II imaging capability. As a proof-of-concept, we demonstrate the denaturation of double-stranded DNA (dsDNA) into single-stranded ones (DNA unwinding) caused by UVC emission generated by $\text{Pr}^{3+}/\text{Yb}^{3+}$ doped NCs excited using a 447 nm laser, which was confirmed

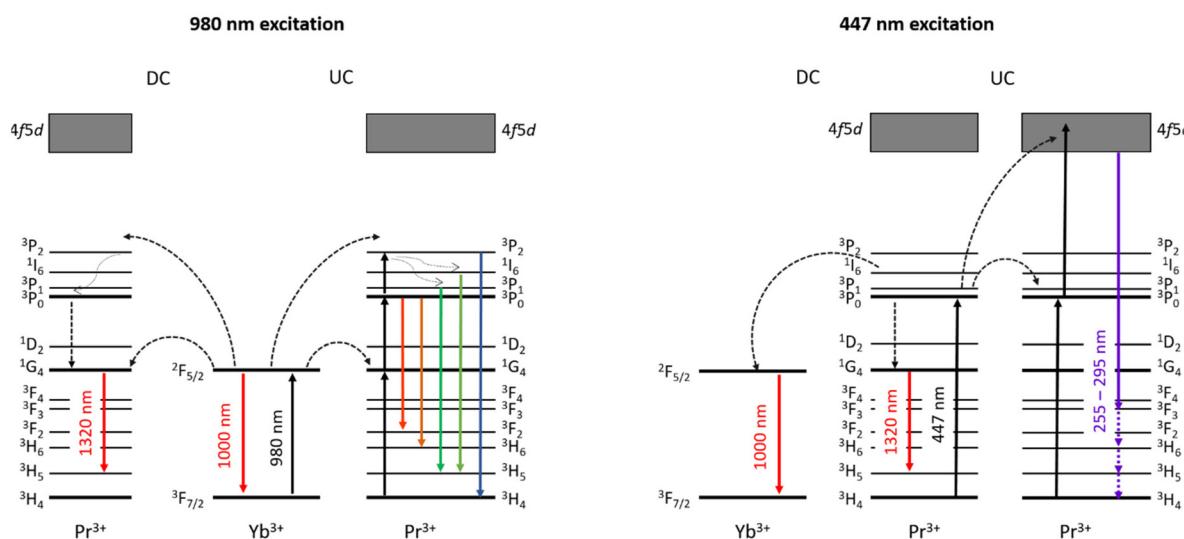


Fig. 1 A scheme of electronic levels and transitions corresponding to VIS-to-UVC UCL and NIR-to-NIR-II DCL processes in Pr^{3+} and Yb^{3+} in fluoride NCs. The UCL and DCL mechanisms are illustrated under (a) 980 nm laser excitation for visible and NIR emissions and (b) 447 nm laser excitation for UVC and NIR emissions.



by two well-established independent experimental methods (*i.e.*, hyperchromicity effect of dsDNA and fluorometric analysis of DNA unwinding (FADU) assay).^{46,47} Along with that, the NIR-II DCL imaging capability of these NCs for both steady-state and time-gated imaging modes and the possibility of NIR-II luminescence bioimaging through biological tissues (bovine tooth and chicken flesh) were also demonstrated.

2 Results and discussion

2.1 Synthesis and characterization of the structure and morphology

A series of NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs were synthesized following the standard thermal decomposition methods (the detailed description of the synthetic protocols and sample compositions can be found in the Experimental section and in the ESI†).^{48–50} The morphologies and size distribution of NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs were determined by high-resolution transmission electron microscopy (TEM) (Fig. 2). All samples contained tetrahedral shaped LiYF₄:Pr³⁺/Yb³⁺ NCs (Fig. 2a, details in ESI 2, Fig. S1†) and hexagonal shaped NaYF₄:Pr³⁺/Yb³⁺ NCs (Fig. 2b, details in ESI 2†), which are identical to the LiYF₄ and β-NaYF₄ matrices, respectively. The size distribution calculated from the TEM images presented in Fig. 2 showed the uniform formation of both NaYF₄:Pr³⁺/Yb³⁺ NCs (size: 78 ± 10 nm) and LiYF₄:Pr³⁺/Yb³⁺ NCs (size: 82 ± 6 nm). Moreover, elemental analysis conducted by energy dispersive X-ray (EDX) spectroscopy confirmed the presence of the corresponding Pr and Yb elements in both types of NCs (ESI 2, Fig. S2†).

The powder X-ray diffraction (PXRD) patterns (Fig. 1c and d, details in Fig. S4 and S5†) were also determined, peaks measured for LiYF₄:Pr³⁺/Yb³⁺ and NaYF₄:Pr³⁺/Yb³⁺ NCs are

identical to those reported for undoped LiYF₄ and β-NaYF₄ crystals in the database (ICSD #51916 and #27896 standard patterns, respectively). In addition, the crystal structures of the synthesized LiYF₄:Pr³⁺/Yb³⁺ and NaYF₄:Pr³⁺/Yb³⁺ NCs remain unchanged after doping with different contents of Pr³⁺ and Yb³⁺ ions (ESI 2,† Fig. 1 and 3). The concentration of Pr³⁺ in all samples was also determined by inductively coupled plasma optical emission spectroscopy (ICP-OES) analysis and presented as the Yb/Pr ratio (ESI 2, Table S3†). The results confirmed that all NCs were with predetermined Pr³⁺ concentration (1 and 2 mol% for LiYF₄:Pr³⁺/Yb³⁺ and NaYF₄:Pr³⁺/Yb³⁺ NCs respectively), as expected.

2.2 VIS-to-VIS and NIR-to-VIS upconversion luminescence from NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ nanocrystals

Praseodymium(III) ions doped within the crystalline matrices can be excited at 440–490 nm due to the presence of strong absorption bands in this spectral range.⁵¹ On the other hand, the incorporated Yb³⁺ ions exhibit strong absorption in the NIR region (peak at *ca.* 980 nm). Absorption of VIS and NIR light by Pr³⁺ and Yb³⁺ ions doped within NCs leads to various transitions between different electronic energy levels, followed by UCL and DCL emissions, as shown in Fig. 1. To assess the luminescence properties of the synthesized series of NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs, we acquired emission spectra of NCs doped with a fixed low Pr³⁺ concentration (1 or 2% chosen for preventing concentration quenching and maximizing the Pr³⁺ emission yield) and with Yb³⁺ in a range of concentrations (1, 2, 5, 10, 15, 20, 40, 60 mol%). Selected luminescence spectra of NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs with the highest visible range emission intensities are shown in Fig. 3 (all emission spectra obtained under 447 nm and 980 nm excitations are shown in Fig. S6 and S7†).

The Stokes shifted PL emission spectra for both NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs under 447 nm excitation show typical f–f emission of Pr³⁺ ions at *ca.* 478, 522, 555, 606, 639, 697, and 720 nm, which are attributed to ³P₀ → ³H₄, ¹I₆ → ³H₅, ³P₁ → ³H₅, ³P₀ → ³H₆, ³P₀ → ³F₂, ³P₁ → ³F₃, and ³P₀ → ³F₄ electronic transitions, respectively (Fig. 3a and d).⁵² Similar Pr³⁺ emission bands have also been observed upon 980 nm excitation, indicating the efficient energy transfer (ET) from Yb³⁺ to Pr³⁺ followed by visible UCL emission from the ¹G₄ state to the ³P₀ state (Fig. 3b and e).

2.3 VIS-to-UVC upconversion and NIR-to-NIR-II downconversion luminescence emissions from NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ nanocrystals

The characteristic Pr³⁺ luminescence emission bands overlap for the Stokes (excitation at 447 nm) and anti-Stokes (excitation at 980 nm) processes, confirming the energy transfer between Pr³⁺ and Yb³⁺ in the NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs. Further studies were conducted to find the optimal combination of Pr³⁺/Yb³⁺ concentrations in both studied materials for the most efficient VIS-to-UVC UCL emission. It should be noted that even if Pr³⁺ ions were shown to produce UCL emis-

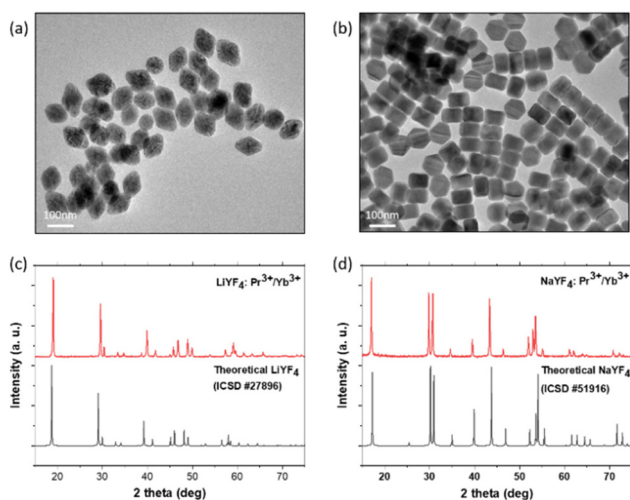


Fig. 2 Selected TEM images of (a) LiYF₄:Pr³⁺/Yb³⁺ and (b) NaYF₄:Pr³⁺/Yb³⁺ NCs. The corresponding PXRD patterns of (c) LiYF₄:Pr³⁺/Yb³⁺ and (d) NaYF₄:Pr³⁺/Yb³⁺ NCs versus theoretical patterns from the database.



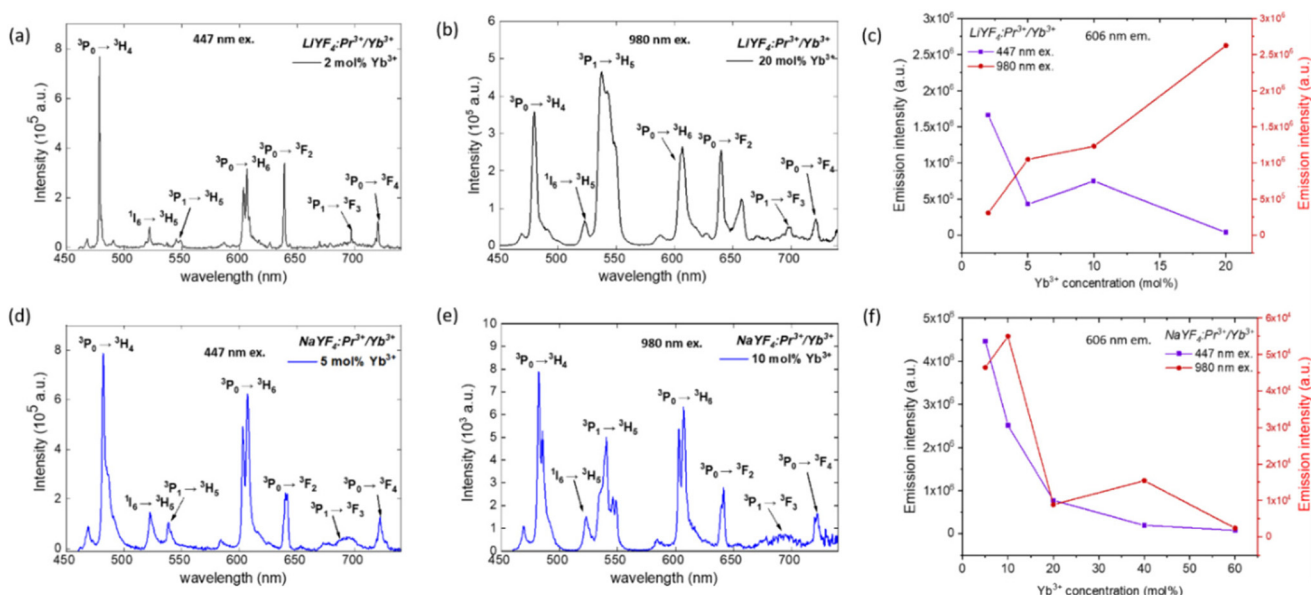


Fig. 3 Selected luminescence spectra of LiYF₄:Pr³⁺/Yb³⁺ (a and c) and NaYF₄:Pr³⁺/Yb³⁺ (b and d) NCs with dopant concentrations that produced the highest emission intensity in the visible spectral range (CHCl₃ suspensions). (a and b) DCL (Stokes shifted) emission under 447 nm excitation; (c and d) UCL emission under 980 nm excitation. (e and f): dependencies of emission intensities (606 nm peak) on different concentrations of Yb³⁺ for (e) the LiYF₄:Pr³⁺/Yb³⁺ series and (f) the NaYF₄:Pr³⁺/Yb³⁺ series. Excitation at 447 nm or 980 nm (visible luminescence emission spectra are shown in ESI 2, Fig. S6 and S7[†]).

sion through the $4f5d \rightarrow {}^3H_J/{}^3F_J$ transition, these studies were performed for microsized or bulk materials;^{35,37} development of nanosized materials with efficient emission in the UVC range has not been reported. Thus, the observation of the VIS-to-UVC UCL emission and optimization of its efficiency in the synthesized nanosized NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NC materials envisages novel functionality introduced within this work. The emitted UVC could be absorbed by DNA and RNA in microorganisms, resulting in the breaking of the hydrogen bonds within double-stranded DNA (dsDNA) and inducing linkage between thymine and the neighbouring cytosine thereafter, therefore the DNA replication is inhibited.⁵³ The use of a 447 nm laser for excitation leads to the population of the 3P_1 state of Pr³⁺ followed by UCL to the $4f5d$ band (Fig. 1).⁵⁴ It should be emphasized that in this case the UVC light is generated as a result of UC by sequential two-photon absorption (excitation using an easily accessible 447 nm CW laser source), which is much more efficient than multi-photon processes used for NIR-to-UVC UCL (excitation with 980 nm) or simultaneous absorption of two photons in nonlinear optical processes.^{55–57} The observed UVC emission of NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs peaks at *ca.* 275 nm (Fig. 4) is in agreement with the reported Pr³⁺ UVC emission.³⁷ Screening the UVC emission of the series of synthesized samples revealed that the optimal concentration of Yb³⁺ for both NaYF₄:Pr³⁺/Yb³⁺ and LiYF₄:Pr³⁺/Yb³⁺ NCs was 10 mol% (Fig. S8[†]), though an increase in Yb³⁺ concentration beyond 10 mol% resulted in a significant decrease in the UVC emission intensity, which was due to the concentration quenching effect.^{58,59}

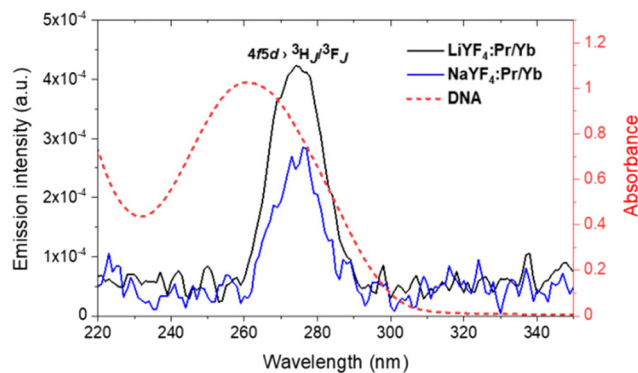


Fig. 4 UVC UCL emission spectra of LiYF₄:Pr³⁺/Yb³⁺ NC powder (black solid line) and NaYF₄:Pr³⁺/Yb³⁺ NCs (blue solid line) with 10 mol% Yb³⁺ concentration under 447 nm laser excitation and comparison with the DNA absorption spectrum (red dotted line).

Nevertheless, no UVC UCL emission was observed under 980 nm laser excitation, confirming that the NIR-to-UVC UC process in the studied NCs is much less efficient than that of VIS-to-UVC UC, as expected (ESI 3, Fig. S9[†]).

As shown in Fig. 1, after absorption of 447 nm photon by Pr³⁺ (${}^3H_4 \rightarrow {}^3P_1$ transition), the NIR-II DCL emission peaked at *ca.* 1320 nm (${}^1G_4 \rightarrow {}^3H_5$ transition) occurs. At the same time, the excitation energy is transferred from Pr³⁺ to Yb³⁺ and this energy transfer [3P_1 (Pr³⁺) \rightarrow ${}^2F_{5/2}$ (Yb³⁺)] results in Yb³⁺ emission at *ca.* 1000 nm (details in ESI 3, Fig. S10[†]).¹⁶ As the excitation energy transfer between Yb³⁺ and Pr³⁺ has been revealed by Pr³⁺ UCL spectra excited at 980 nm, it is natural to assume



that the NIR-II PL emission of $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs can also result from the absorption of 980 nm photons by Yb^{3+} ($^2\text{F}_{7/2} \rightarrow ^2\text{F}_{5/2}$ transition in Fig. 1). In addition to the deactivation of the $^2\text{F}_{5/2}$ state through emission peaked at *ca.* 1000 nm, an energy transfer $^2\text{F}_{5/2}(\text{Yb}^{3+}) \rightarrow ^1\text{G}_4(\text{Pr}^{3+})$ can occur, followed by $^1\text{G}_4 \rightarrow ^3\text{H}_5$ radiative transition in Pr^{3+} , which corresponds to the NIR-II PL peaked at *ca.* 1320 nm (Fig. 1). Fig. 5 shows selected NIR PL spectra of $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NC suspensions excited using a 980 nm laser (details in ESI 3, Fig. S11†). As one can see, Pr^{3+} PL in the range of 1250–1500 nm arises under 980 nm excitation when the NCs are co-doped with Yb^{3+} and Pr^{3+} (Fig. 5), while no NIR-II luminescence is seen under 980 nm excitation when the NCs are doped solely with Pr^{3+} .

NIR luminescence spectra of $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs shown in Fig. 5 also reveal at 1030–1100 nm an edge of a NIR PL band from Yb^{3+} (the peak of Yb^{3+} PL at *ca.* 1000 nm is difficult to resolve due to scattering of the 980 nm laser used for excitation). It is worth noting that the spectral shape of Pr^{3+} DCL is quite different for LiYF_4 and NaYF_4 matrices, which is associated with a difference in the crystal-line environment. The decays of NIR-II PL from $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs are shown as insets of Fig. 5a and b; the luminescence lifetimes were found to be around 100 μs (*ca.* 80 μs for Pr^{3+} in $\text{LiYF}_4:\text{Yb}^{3+}$ NCs and *ca.* 130 μs for Pr^{3+} in $\text{NaYF}_4:\text{Yb}^{3+}$ NCs).

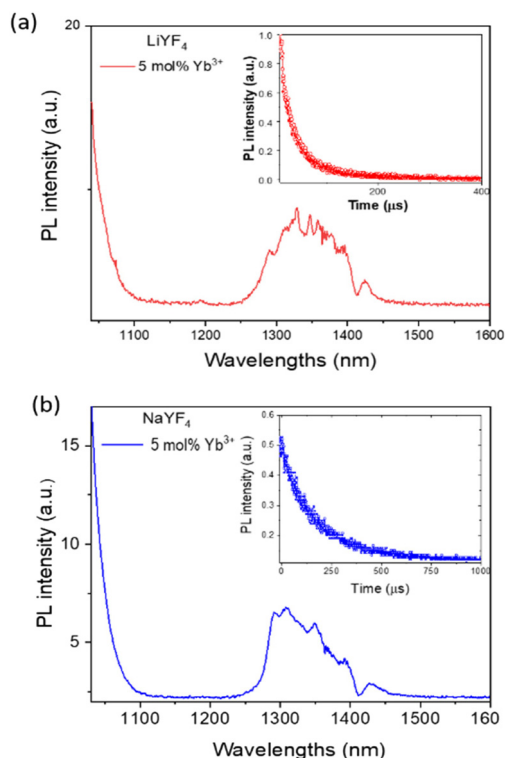


Fig. 5 NIR-II PL spectra of $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ (a) and $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ (b) NCs in CHCl_3 . Insets of a and b show corresponding DCL decays acquired at 1320 nm under 980 nm excitation.

The presented spectroscopy results on 447 nm and 980 nm photon conversion in both NaYF_4 and LiYF_4 NCs doped with $\text{Pr}^{3+}/\text{Yb}^{3+}$ reveal promising multiple functionalities in the nanomaterials, where one can employ the VIS-to-UVC UCL emission peaked at *ca.* 275 nm for targeted deactivation of various types of microorganisms, including viruses and bacteria, and, simultaneously, the NIR-to-NIR-II DCL emission peaked at *ca.* 1320 nm for background-free high-contrast optical imaging of deeper biological tissues. This is why in the next step we have designed and performed a few proof-of-concept experiments showing the potential applicability of the synthesized nanomaterials.

2.4 Denaturation of dsDNA triggered by $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ nanocrystal VIS-to-UVC UCL emission

As stated before, UVC irradiation is known to damage the interaction between dsDNA strands and unwind them to single-stranded DNAs. As a result, DNA absorbance peaked at 260 nm is increased. This phenomenon is known as the hyperchromic effect or hyperchromicity.^{31,46,60,61} As illustrated in Fig. 4, the UVC emissions from $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs spectrally overlay with the absorbance of DNA, so that the absorption of UVC radiation by dsDNA can eventually lead to dsDNA denaturation. In order to demonstrate the possibility to denature dsDNA by the UVC emitted from $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs, the absorbance changes of the dsDNA solution upon irradiation of the highest UVC emission intensity NCs (10 mol% Yb^{3+}) was monitored. The absorbance was measured at 447 nm excitation at 20 min intervals (ESI 4, Fig. S12†). In comparison with the DNA absorbance in the absence of NCs, the absorbance of the DNA solution at 260 nm in the presence of $\text{LiYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4:\text{Pr}^{3+}/\text{Yb}^{3+}$ NCs increased by *ca.* 6% and 13%, respectively (Fig. 6b and c). No change in DNA absorbance was observed in a control experiment in the absence of any NCs (Fig. 6a) or by using undoped NCs (ESI 3, Fig. S13†). This indicates that the hyperchromic effect in DNA absorbance is the result of the action of UVC emission from the Pr^{3+} dopant incorporated into the NCs. In order to confirm the dsDNA denaturation and quantify the amount of denatured dsDNA, the irradiated dsDNA solution was analysed using a well-established FADU assay following the standard protocol (details in ESI 4†).^{62,63} In this assay, a fluorescent probe Hoechst 32258 is employed, which is known to bind only with dsDNA (not with ssDNA) and, when bound, emit fluorescence peaked at ~ 460 nm wavelength under excitation at 365 nm. Thus, while the dsDNA is unwound as a result of an external stimulus (*e.g.*, UVC light irradiation), the intensity of Hoechst 32258 fluorescence decreases, demonstrating the dsDNA unwinding.^{47,62–64} The Hoechst 32258 fluorescence intensity was shown to decrease with a decrease in the concentration of dsDNA (ESI 4, Fig. S15a†). We therefore have employed the FADU assay to determine the denaturation ability of the NCs emitting UVC UCL under a 447 nm laser. First of all, we have confirmed that the Hoechst 32258 fluorescence intensity does decrease with a decrease in the dsDNA concentration (ESI 4, Fig. S15a†). Second, we have established



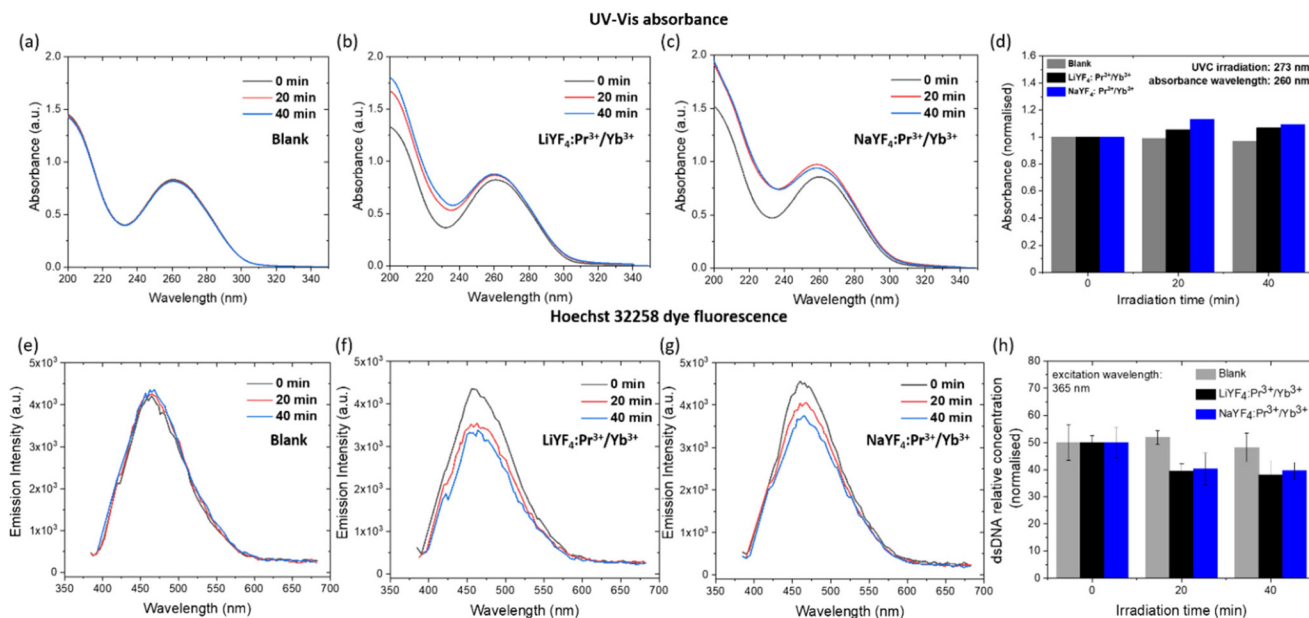


Fig. 6 Determination of dsDNA denaturation caused by UVC UCL from NCs. (a–c): Optical absorption spectra of salmon sperm dsDNA solution without NCs (a), with $\text{LiYF}_4\text{:Pr}^{3+}/10 \text{ mol}\% \text{ Yb}^{3+}$ NCs (b) and with $\text{NaYF}_4\text{:Pr}^{3+}/10 \text{ mol}\% \text{ Yb}^{3+}$ NCs (c) after irradiation for 0, 20 and 40 min (d) Normalized absorbance of salmon sperm dsDNA solution at 260 nm without irradiation (0 min) and after irradiation (20 and 40 min) of blank (grey), $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs (black) and $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs (blue) containing 10 mol% Yb^{3+} concentration, fluorescence spectra of Hoechst 33258 dye added dsDNA solution (e) in the absence of NCs, (f) with $\text{LiYF}_4\text{:Pr}^{3+}/10 \text{ mol}\% \text{ Yb}^{3+}$ NCs and (g) with $\text{NaYF}_4\text{:Pr}^{3+}/10 \text{ mol}\% \text{ Yb}^{3+}$ NCs after irradiation using a 447 nm laser for 0, 20 and 40 min (g) The estimated amount of dsDNA after 0, 20 and 40 min of irradiation. NCs were irradiated using a 447 nm laser with a power density of $\sim 11 \text{ W cm}^{-2}$.

that the Hoechst 32258 fluorescence intensity remains almost unchanged when the probe/dsDNA solution is irradiated using a 447 nm laser without the NCs (Fig. 6e) or when using undoped NCs (Fig. S16a†). Third, it was demonstrated that the irradiation of both $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs with a 447 nm laser led to a decrease in the Hoechst 32258 fluorescence intensity, meaning that a smaller amount of dsDNA was present in the solutions after irradiation (*i.e.*, denaturation of dsDNA to ssDNA did take place). Quantification of the denaturation process using the obtained calibration curve allowed us to conclude that around 23.9% and 20.7% of dsDNA was unwound after 40 min of 447 nm laser irradiation of $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs, respectively (ESI 4, Fig. S15b†).

The presented results on the denaturation of dsDNA by the VIS-to-UVC UCL from $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ and $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs provide an experimental proof-of-concept for utilization of these NCs for antibacterial and antiviral activities.

2.5 Application of NIR-to-NIR-II DCL from $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ nanocrystals for NIR-II bioimaging

The DCL PL emitted by NCs under 980 nm excitation allows us to exploit them as imaging probes in the NIR-II PL imaging modality. As shown in Fig. 7a, the Eppendorf tubes with $\text{Pr}^{3+}/\text{Yb}^{3+}$ NC suspensions provide an intense NIR-II DCL signal that can be easily imaged at a low level of background/noise. To illustrate the usability of our NCs as NIR-II luminescent

probes in bioimaging, we used samples of biological tissues: bovine tooth and chicken breast. All animal tissue studies were carried out in accordance with the regulations of the Administrative Panel of Shenzhen University on Laboratory Animal Care and approved by the Animal Ethical and Welfare Committee at Shenzhen University (approval no. SZUHSC-01). A bovine tooth was prepared as described previously¹⁴ and then the cavity was drilled along the tooth axes. Next, a drop of $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ suspension in CHCl_3 (from the Eppendorf tube shown in Fig. 7a) was added to that cavity and the tooth was imaged. As one can see in Fig. 7b and c, an addition of the suspension allowed us to visualise the shape and deepness of the drilled cavity. It is worth noting that the thickness of the tooth tissue “wall” between the cavity and the surface was $\sim 1\text{--}2$ mm. Moreover, the NIR-II DCL signal from the cavity could be clearly distinguished even after covering the tooth with a 4 mm thick chicken breast tissue, allowing us to visualise the cavity (Fig. 7d–g). The latter observation confirms the NIR-II bioimaging capability of our $\text{Pr}^{3+}/\text{Yb}^{3+}$ doped NCs. It should be noted that optical imaging at $\sim 1.3 \mu\text{m}$ has been reported to be advantageous in dentistry applications, and due to that dental enamel is most transparent in this spectral region. At the same time, highly conjugated organic molecules responsible for teeth stains do not absorb light beyond 1200 nm, and most stains are transparent at these wavelengths.^{65,66} In this regard, an idea about NIR-II imaging nanoprobes, which can visualise dental cavities and on



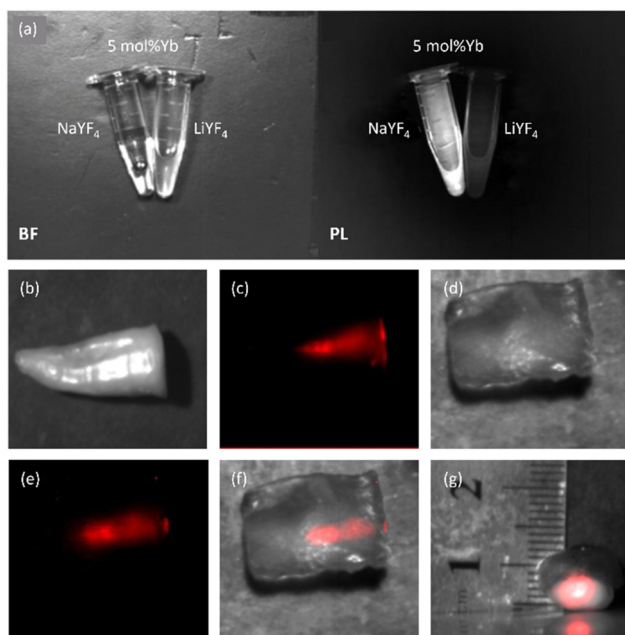


Fig. 7 NIR-II DCL imaging ability of NCs. (a): Bright field (left) and NIR-II PL (right) images of the Eppendorf tubes with NC suspensions; (b): bright field (BF) image of the bovine tooth with a drilled cavity, where a drop of $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ suspension was introduced; (c) NIR-II PL (shown in red) image of the tooth; (d): BF image of the tooth covered with 4 mm thick chicken breast flesh; (e) NIR-II PL (shown in red) image of the tooth covered with 4 mm thick chicken breast flesh; (f) merged (BF and NIR-II PL shown in red) image of the tooth covered with 4 mm thick chicken breast flesh; (g) merged (BF and NIR-II PL shown in red) side view image of the tooth covered with 4 mm thick chicken breast flesh. Excitation at 980 nm; the 1200 nm long-pass optical filter was used for NIR-II PL imaging.

demand produce localised germicide action inducible by irradiation at the specific wavelength, could be of great interest for dentistry applications.

It is worth noting that as NIR-II DCL lifetimes are in the range of a hundred microseconds, it is possible to employ NCs as imaging agents in the time-gated NIR-II PL imaging, which provides a possibility of better imaging contrast [due to elimination (gating) of the excitation light scattering] and also can allow for PL lifetime imaging.^{67,68}

3 Conclusions

In conclusion, we report the synthesis, characterization and proof-of-concept demonstration of possible biomedical applications for nanosized $\text{NaYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ and $\text{LiYF}_4\text{:Pr}^{3+}/\text{Yb}^{3+}$ NCs. The synthesised NCs generate UVC light under visible 447 nm excitation and, simultaneously, exhibit NIR-to-NIR-II DCL under 980 nm excitation. The NC generated UVC UCL emission peaked at *ca.* 275 nm was shown to cause a significant dsDNA denaturation, as it was revealed by the observation of the hyperchromicity of the irradiated dsDNA solution and by a standard FADU assay determining the unwinding of

dsDNA under irradiation with UVC UCL from NCs. At the same time, the 980 nm laser excited NIR-II DCL (peaked at *ca.* 1320 nm) allowed for NIR-II imaging of NCs through biological tissues, as it was demonstrated using the samples of bovine tooth and chicken breast flesh. These results proved that the synthesised NaYF_4 and LiYF_4 nanocrystals are suitable hosts for co-doping with Pr^{3+} and Yb^{3+} to generate UVC UCL emission under visible excitation along with NIR-II DCL emission under both visible and NIR excitation. As the DCL and UCL processes are observed under different excitation wavelengths, precise control of the desirable modes of action (*i.e.*, imaging or germicide in imaging guided antimicrobial or antiviral applications) is possible. The introduced approach opens up perspectives for the development of a whole class of bifunctional nanomaterials for optical imaging and photoactivation. The facile and well established surface functionalization methods can be applied to NaYF_4 and LiYF_4 NCs, allowing for their use in various application specific environments (*e.g.*, transferring to water for biorelated applications or dispersing in organic solvents for application as a dopant in antimicrobial surface coatings). Further optimization of the design of bifunctional nanoparticles is on the way to improve the efficiency of both functionalities (photoactivation and imaging) for imaging guided antimicrobial and antiviral applications.

Author contributions

M. Y. Tsang: material synthesis, characterization and spectroscopic study, data analysis, and manuscript drafting and editing. P. Fałat: material synthesis, characterization and spectroscopy, data analysis and manuscript editing. M. A. Antoniak: material synthesis and characterization, data analysis and manuscript editing. R. Ziniuk: NIR luminescence spectroscopy and imaging studies and data analysis. S. J. Zelewski: spectroscopic study, data analysis and manuscript editing. M. Samoć: guidance and manuscript review and editing. M. Nyk: conceptualization, experimental and material consultation, and manuscript review and editing. J. Qu: data analysis and manuscript review and editing. T. Y. Ohulchanskyy: conceiving the idea, funding acquisition, design and guidance of the research, supervision, and manuscript writing and editing. D. Wawrzyńczyk: funding acquisition, design and guidance of research project, supervision, and manuscript writing and editing.

Conflicts of interest

There are no conflicts to declare.

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