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## Recent advances in upgrading $\text{CO}_2$ to $\text{C}_{3+}$ products via electrochemical and complementary engineering†

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Upgrading  $\text{CO}_2$  to various carbon-containing products through renewable electrochemical routes offers a promising solution to achieve a "Net Zero" and circular economy. Multicarbon  $\text{C}_{3+}$  products are especially energy-rich and economically valuable. However, due to the diverse possibilities of C–C coupling and the complexities of reaction pathways, the efficient and selective electrochemical reduction of  $\text{CO}_2$  to  $\text{C}_{3+}$  products remains a tremendous challenge. Summarizing the latest advances in generating  $\text{C}_{3+}$  products from  $\text{CO}_2$ , this review focuses on both key material development and process design in electrochemical and complementary engineering approaches. For the methodologies involving only electrochemical reactions, we categorize them based on the catalysts adopted, summarizing the specific design strategies and mechanistic understandings of copper and non-copper catalysts, respectively. To further improve the efficiency of  $\text{C}_{3+}$  synthesis, the concept of "electrochemical + X" is introduced. "X" herein refers to a complementary sector to direct  $\text{CO}_2$  electrolysis, encompassing the homogeneous non-electrocatalytic reactions in a one-pot electrochemical process and the sequential thermochemical or biological processes after electrochemical  $\text{CO}_2$  conversion. Lastly, we discuss the challenges of pure electrochemical as well as "electrochemical + X" approaches and outline promising future directions. We believe that this review contains a comprehensive summary of the means to

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optimize for  $C_{3+}$  compounds, and can motivate researchers to develop innovative strategies to further enhance  $C_{3+}$  production efficiency, paving the way towards the ultimate renewable-driven chemical industries.

## 1. Introduction

The increase in carbon dioxide ( $CO_2$ ) emissions inevitably induces global warming and detrimental threats to the environment.<sup>1,2</sup> Based on this aspect, upgrading  $CO_2$  to value-added chemical feedstocks through renewable approaches remains extremely valuable, as it presents a sustainable approach to alleviate environmental stress and complete the anthropogenic carbon cycle.<sup>3</sup> Various technologies of  $CO_2$  reutilization have been developed in recent years, including chemical,<sup>4</sup> thermochemical,<sup>5,6</sup> biochemical,<sup>7,8</sup> photochemical,<sup>9–11</sup> and electrochemical methods.<sup>12–15</sup> Among these technologies, the electrochemical  $CO_2$  reduction reaction (e $CO_2$ RR) powered by electricity from sustainable sources emerges as a promising candidate, due to its mild reaction conditions (e.g. room temperature and ambient pressure) and the substantially lower cost of renewable electricity. As the e $CO_2$ RR consumes renewable energies while producing multiple base chemicals,<sup>16</sup> it is anticipated to supplement or even replace the current fossil-resource-based chemical manufacture, manifesting great potential to achieve “Net Zero” industrial  $CO_2$  emissions.

Remarkable progress has been reported for the e $CO_2$ RR to  $C_1$  and  $C_2$  hydrocarbons and oxygenates (e.g., carbon monoxide ( $CO$ ),<sup>17–19</sup> methanol ( $CH_3OH$ ),<sup>20–22</sup> ethanol ( $CH_3CH_2OH$ )<sup>23–25</sup> and ethylene ( $C_2H_4$ ),<sup>26–28</sup>), where outstanding reaction activities and selectivities can be achieved. Compared to their  $C_1$  or  $C_2$  counterparts,  $C_{3+}$  products usually exhibit higher energy densities and are more economically valuable. For instance, 1-propanol ( $CH_3CH_2CH_2OH$ ) possesses high volumetric energy density (27.0 kJ mL<sup>-1</sup>) and research octane number (118). The most typical  $C_4$  monohydric alcohol, 1-butanol

( $CH_3CH_2CH_2CH_2OH$ ), exhibits even higher volumetric energy density (29.2 kJ mL<sup>-1</sup>).<sup>29</sup> Both  $C_{3+}$  alcohols are promising substitutes for petroleum-derived gasoline. Along with other  $C_3$  and  $C_4$  products such as allyl alcohol, acetone, and propionaldehyde,<sup>30</sup> they serve as important raw chemicals for fine chemical industries. Long-chain aliphatic and aromatic hydrocarbons, though challenging to produce from  $CO_2$ , are desirable synthetic fuels due to their high heat value and lower volatility compared to light hydrocarbons/oxygenates, and remain more compatible with the existing fuel storage and transportation infrastructures.<sup>29,31,32</sup> If the scope of  $C_{3+}$  products is further expanded to nutrients such as saccharides, amino acids, and lipids, electrochemical  $CO_2$  upgrading will further provide a sustainable avenue towards synthetic foods to cope with the growth of the global population.<sup>33,34</sup> However, electrochemically converting  $CO_2$  to  $C_{3+}$  products with practically relevant efficiency still remains a formidable challenge owing to the complexity in reaction pathways and the insufficiency in key materials and new process design.

In this work, we focus on recent advances in upgrading  $CO_2$  to  $C_{3+}$  products through direct electrochemical and “electrochemical + X” approaches, where “X” refers to complementary engineering with homogeneous reactions, thermochemical conversions, and biological processes (Fig. 1). We begin with a brief introduction on the principles of the e $CO_2$ RR, followed by  $C_{3+}$  formation mechanisms with a primary focus on the most widely adopted copper (Cu)-based catalysts. Then, we summarize the design and optimization principles of both Cu-based and non-Cu catalysts to enhance their catalytic activities towards  $C_{3+}$  chemicals in direct electrochemical  $CO_2$  conversion. The latest research progress in coupling the e $CO_2$ RR with



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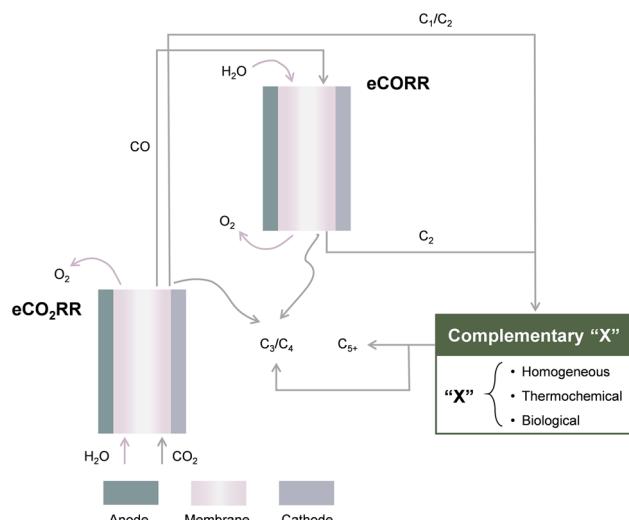


Fig. 1 Schematic illustration of direct electrochemical approaches (eCO<sub>2</sub>RR and eCORR) and "electrochemical + X" approaches for upgrading CO<sub>2</sub> to C<sub>3+</sub> products.

other complementary engineering approaches, as well as the significance of such a combinatorial "electrochemical + X" strategy in achieving high C<sub>3+</sub> production efficiency, is then presented. Lastly, we conclude this work by providing a perspective on challenges and opportunities for both approaches, hoping to shed light on future research studies.

## 2. Direct electrochemical approaches

### 2.1. Principles of the eCO<sub>2</sub>RR

For CO<sub>2</sub> upgrading to C<sub>3</sub> and C<sub>4</sub>, it is viable to adopt direct electrochemical approaches through the eCO<sub>2</sub>RR in an electrolysis system (Fig. 1). The eCO<sub>2</sub>RR at the cathode is paired with oxidative reactions, normally the oxygen evolution reaction, at the anode. The electrolysis system can be designed in a one-pot or sequential fashion. The latter one in most cases consists of two electrolyzers in series,<sup>35</sup> where CO<sub>2</sub> reduces to CO in the first and the subsequent electrochemical CO reduction reaction (eCORR) take place in the second. The advantages of sequential design primarily lie in possibilities to optimize catalytic materials and reaction environments separately to maximize the efficiency of CO<sub>2</sub>-to-CO conversion and the eCORR. For instance, the bulk and local electrolyte pH have been experimentally observed and theoretically validated to largely influence the product selectivities of the eCO<sub>2</sub>RR/eCORR. Alkaline conditions have been demonstrated to promote the eCORR towards C<sub>2+</sub> multicarbon products with exceptional selectivity.<sup>36–42</sup> Nevertheless, direct eCO<sub>2</sub>RR in alkaline solutions suffers from severe reactions between CO<sub>2</sub> and hydroxide, as well as the resultant decrease in local pH and decrease in carbon efficiency. The sequential electrolysis system design allows for addressing such a dilemma.

For the catalysts at the cathode side, metallic Cu and Cu-based materials have been intensively investigated for the eCO<sub>2</sub>RR/eCORR in a direct electrocatalytic process and remain

as the most well-known catalysts to drive the formation of C<sub>2+</sub> products.<sup>16,43</sup> Despite the great progress in improving the activity and selectivity of the eCO<sub>2</sub>RR/eCORR towards C<sub>2</sub> products, strategies for enhancing carbon-chain growth to C<sub>3+</sub> products remain limited. In an early study, Hori *et al.* reported n-PrOH as an eCO<sub>2</sub>RR product on Cu with a faradaic efficiency (FE) of 4.2% at a potential of  $-1.4$  V vs. the normal hydrogen electrode (NHE) in KClO<sub>4</sub>,<sup>44</sup> which establishes a C<sub>3</sub> production baseline on Cu. As CO\* (\* refers to a surface adsorption site) has been probed as a key surface intermediate for C–C coupling,<sup>45–47</sup> challenges of efficient C<sub>3+</sub> production include both insufficient surface coverage of CO\*<sup>48,49</sup> and the large number of competing reaction pathways against C<sub>3+</sub> formation.<sup>50</sup> For instance, the inadequate stabilization of C<sub>2</sub>\* intermediates on pristine Cu surfaces leads to species desorption rather than further intermolecular coupling with CO\*. In addition, the coupling of certain intermediates always suffers from competitions with corresponding hydrogenation/protonation reactions under reductive conditions. Therefore, it remains essential to acquire a deeper understanding of the reaction mechanisms and develop catalysts capable of stabilizing key C<sub>2</sub>\* intermediates and favoring the specific coupling pathway towards C<sub>3+</sub>.

### 2.2. C<sub>3</sub> formation mechanisms through the eCO<sub>2</sub>RR

As Cu and oxide-derived Cu (OD-Cu) catalysts have been investigated the most for the eCO<sub>2</sub>RR to C<sub>2+</sub> products, the majority of mechanistic studies leveraging theoretical computations or spectroscopic characterization also focus on these catalysts. Compared to C<sub>1</sub> and C<sub>2</sub> products, the C<sub>3</sub> formation mechanism remains more elusive due to the complexity in reaction pathways and the large number of possible steps ( $>10^3$ ) involved in the entire reaction network. Enlightened by the widely recognized CO\* dimerization mechanism to yield OCCO\* as a common precursor for C<sub>2</sub> formation in the eCO<sub>2</sub>RR, some density functional theory (DFT)-based attempts have been used to investigate the sequential (first,  $2\text{CO}^* \rightarrow \text{OCCO}^* + *$ , and then,  $\text{OCCO}^* + \text{CO}^* \rightarrow \text{OCCOCO}^* + *$ ) or concerted ( $3\text{CO}^* \rightarrow \text{OCCOCO}^* + 2*$ ) CO\* trimerization mechanism,<sup>51–53</sup> where the OCCOCO\* trimer was proposed as the initial C<sub>3</sub> backbone for further reduction. However, recent studies by Abild-Pedersen *et al.*<sup>54</sup> and López *et al.*<sup>55</sup> both argued that the high activation barrier ( $\Delta G_a$  of at least 1 eV at  $-0.9$  V vs. the reversible hydrogen electrode (RHE, pH 7), relative to  $3\text{CO}^*$ ) for this process on Cu(100) or OD-Cu makes it less favorable than other C<sub>2</sub>–C<sub>1</sub> coupling steps, and that the direct trimerization is kinetically inaccessible as the protonation of the negatively charged OCCO\* dimer dominates over the OCCO\* + CO\* coupling under reducing conditions. To validate the direct trimerization mechanism, spectroscopic evidence for the OCCOCO\* trimer or its mono-hydrogenated derivatives can be valuable and is still currently lacking.

Alternatively, statistical analyses of experiments and DFT computations were combined to balance the efficiency and accuracy of mechanism exploration, unveiling new mechanistic insights. Abild-Pedersen *et al.*<sup>54</sup> first correlated the C<sub>2</sub> and C<sub>3</sub> formation rates based on the pioneering work of the eCO<sub>2</sub>RR on

Cu single-crystal substrates by Hori *et al.*,<sup>56</sup> revealing the higher possibilities of late C<sub>2</sub> intermediates (e.g. hydrocarbons C<sub>2</sub>H<sub>x</sub>, where  $x = 1-3$ , or mono-oxygenates such as acetaldehyde CH<sub>3</sub>CHO) to participate in C<sub>3</sub> formation than late C<sub>1</sub> intermediates (e.g. CH<sub>x</sub>). In addition, the correlations of 1-propanol with C<sub>2</sub>H<sub>4</sub> or ethanol formation exhibit great differences regarding the correlation coefficient as well as the Cu facets, implying the existence of at least two possible parallel reaction pathways towards C<sub>3</sub> (Fig. 2a). Through the analysis of explicitly calculated barriers for all coupling steps between CO\* and the likely C<sub>2</sub>H<sub>x</sub> intermediates, they identified the coupling of HCCH\* and CO\* (HCCH\* + CO\* → CHCHCO\* + \*) as the most kinetically feasible step to compete with the corresponding hydrogenation on both flat Cu(100) and stepped Cu(511) facets featuring four-fold square sites. Specifically, facets combining (100)-like square sites and step sites, such as Cu(511), were found to exhibit notably lower HCCH\*-CO\* coupling barriers ( $\Delta G_a = 0.51$  eV at  $-0.9$  V *vs.* RHE (pH 7)) than flat Cu(100) ( $\Delta G_a = 0.80$  eV at  $-0.9$  V *vs.* RHE). This finding well explains the experimentally observed higher C<sub>3</sub> selectivity on stepped Cu(100) facets.<sup>56</sup> In addition, they further elucidated the feasibility of CO\*-CH<sub>3</sub>CHO\* coupling (CH<sub>3</sub>CHO\* + CO\* → OCCHOCH<sub>3</sub>\* + \*) under alkaline conditions. Thanks to the substantial stabilization of an interfacial electric field on its transition state, this step exhibits a low barrier of  $\Delta G_a = 0.61$  eV at  $-0.6$  V *vs.* RHE (pH 13) on Cu(100), which opens up a supplementary pathway towards C<sub>3</sub> on non-stepped Cu surfaces. As the (100)-like square sites are identified to be crucial for C-C coupling, Tsai *et al.* investigated the strain effect on Cu(100) model surfaces and found that biaxial strains, namely compression along one axis and elongation along the other, significantly lowered C<sub>2</sub>-CO coupling barriers although two different C<sub>2</sub> intermediates of CCH\* and CCOH\* were considered.<sup>57</sup> The symmetry distortion from standard square sites to a rectangle or parallelogram site was shown to enable two scales for stabilizing the C<sub>2</sub>\* and CO\* adsorbates, respectively.

Since OD-Cu has been experimentally probed as an excellent catalyst promoting C<sub>3</sub> formation, theoretical efforts have been devoted to this specific system as well.<sup>55,58</sup> By devising a divide-and-conquer strategy that combines reaction network graphs, DFT calculations equipped with an implicit solvation model and a voltage polarization correction, and model co-reduction experiments, López *et al.* thoroughly examined the reaction thermodynamics and kinetics of 586 C<sub>1</sub>-C<sub>2</sub> coupling steps and identified the coupling between C<sub>2</sub> hydrocarbons (e.g. CH<sub>2</sub>CH\*) and C<sub>1</sub> mono-oxygenates (e.g. CO\* and CHO\*) as the most likely step (Fig. 2b).<sup>55</sup> Although the proposed intermediates to derive C<sub>3</sub> backbones are slightly different from those identified by Abild-Pedersen *et al.*,<sup>54</sup> this distinction could be attributed to the OD-Cu model considered for DFT calculations, where the Cu sites are polarized and thus different from the metallic sites in pure Cu models. Nevertheless, both studies identified a late C<sub>2</sub>\* hydrocarbon intermediate as the key precursor for C<sub>3</sub> products in the eCO<sub>2</sub>RR. While the previous work focused only on the C<sub>3</sub> backbone,<sup>54</sup> López *et al.* further explained the absence of propylene (CH<sub>3</sub>CH=CH<sub>2</sub>) in eCO<sub>2</sub>RR products on routine Cu-based catalysts, which was ascribed to the inaccessible allyl alkoxy (CH<sub>2</sub>CHCH<sub>2</sub>O\*) intermediate as a kinetic trap.

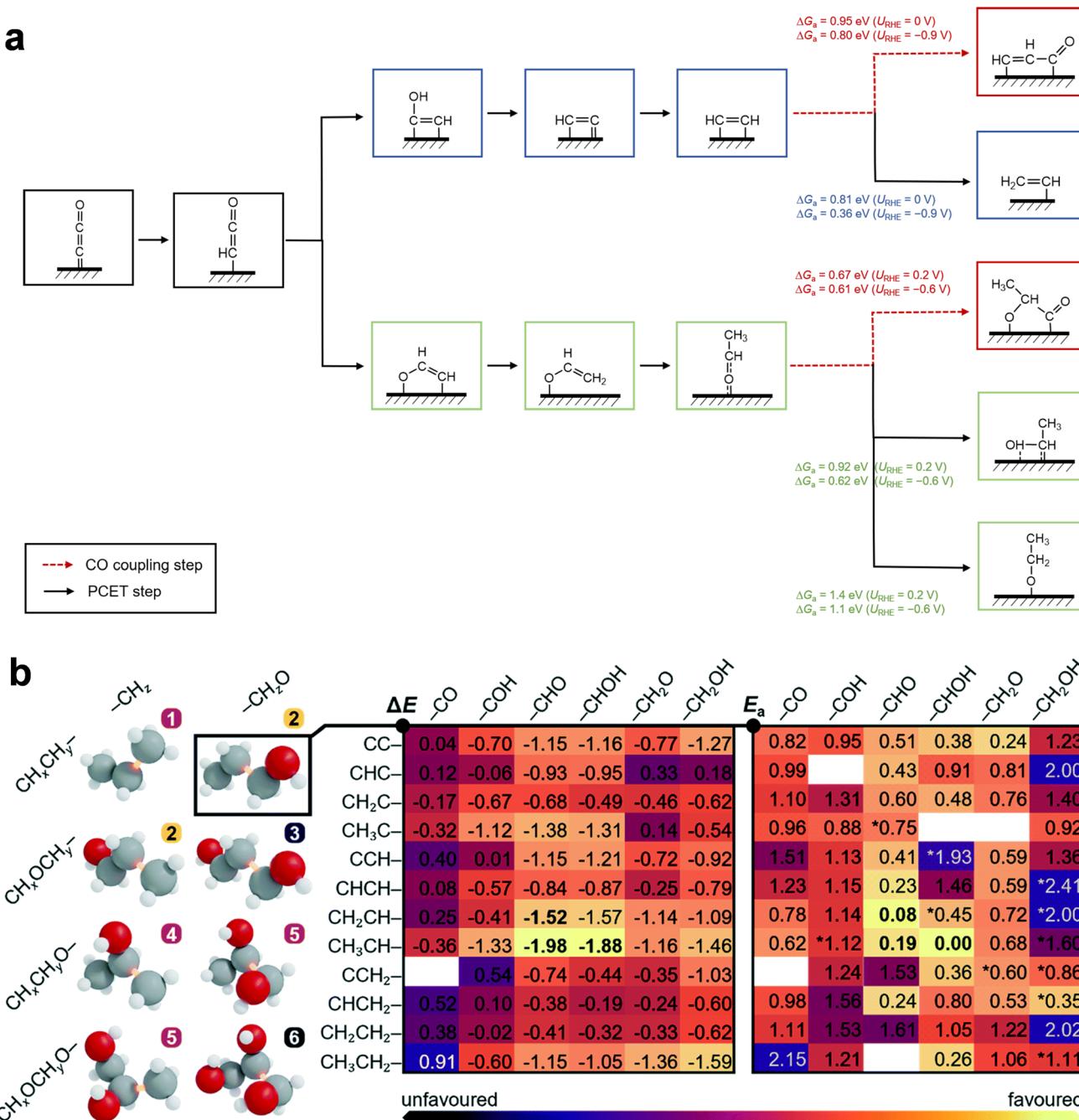
In addition to DFT calculations, *in situ* spectroscopic observations provide experimental evidence to elucidate the C<sub>3</sub> formation mechanism. Employing isotopic labeling and *in situ* surface enhanced infrared absorption spectroscopy, Xu *et al.* investigated alkaline eCORR behaviors on OD-Cu with an addition of CH<sub>3</sub>CHO in the electrolyte, revealing that CO attacks the carbonyl carbon of CH<sub>3</sub>CHO during the coupling and the carbon from CO ends up in the hydroxymethyl (-CH<sub>2</sub>OH) group of the 1-propanol product.<sup>59</sup> Interestingly, only a small fraction of C<sub>3</sub> was observed to come from CH<sub>3</sub>CHO + CO coupling, while the majority remained as the result of self-coupling of CO. This suggested that there is an intermediate that forms before CH<sub>3</sub>CHO, and can be derived from both CH<sub>3</sub>CHO and CO. This intermediate was then proposed to be methylcarbonyl (CH<sub>3</sub>CO\*), and the possibility of it acting as a precursor for C<sub>3</sub> formation is also supported by López *et al.*'s calculation results, as the coupling barrier between CH<sub>3</sub>CO\* and CHO\* could be as low as 0.32 eV.<sup>55</sup> So far, the *in situ* spectroscopic evidence is mostly obtained for the coupling step involving a C<sub>2</sub> oxygenate intermediate. The *in situ* characterization of the C<sub>2</sub> hydrocarbon-dominated cross-coupling mechanism, however, still remains limited.

The aforementioned mechanistic studies shed light on the C<sub>3</sub> formation mechanism during the eCO<sub>2</sub>RR or eCORR on Cu-based catalysts, greatly promoting the rational design of catalytic materials and reactors to optimize C<sub>3</sub> yields. Yet it is still arguable which pathway is the most dominant and what the most crucial C<sub>2</sub> intermediate is. As C<sub>2</sub> formation could proceed *via* multiple pathways, the dominance of which is dependent on the potential, microenvironment, and catalytic surface, the governing C<sub>3</sub> formation pathways are anticipated to be dynamic as well. More in-depth theoretical and *in situ/operando* spectroscopic investigations would be necessary. Moreover, while Cu is focused on in the current mechanistic investigations, other catalysts may exhibit distinctive reaction mechanisms. In the next sections, we will discuss the strategies to further improve Cu-based catalysts and the efforts in seeking for alternative non-Cu catalysts.

### 2.3. Cu-based catalysts

As Cu-based materials are recognized as the most widely adopted catalysts for direct eCO<sub>2</sub>RR or eCORR to C<sub>3+</sub> chemicals, a variety of strategies have been proposed to regulate the nature and number of Cu active sites. Furthermore, catalyst engineering is often combined with reaction microenvironment modulation, synergistically shifting the concentration and/or coverage of key reaction intermediates (e.g. CO) to the optimal range for selective C<sub>3+</sub> formation. Overall, these strategies can be categorized as micro-/nanostructure engineering, defect engineering, oxidation state regulation, and foreign element regulation. In addition, the rare case of selective eCO<sub>2</sub>RR to C<sub>3</sub> by single-site Cu catalysts is also discussed.

**2.3.1. Micro-/nanostructure engineering.** Regarding the structural engineering of pristine Cu catalysts, we first revisit the series of single-crystal-Cu studies by Hori *et al.*<sup>56,60</sup> Substantial improvements in C<sub>3</sub> selectivity could be achieved by



**Fig. 2**  $C_3$  formation mechanisms through the eCO<sub>2</sub>RR on Cu and OD-Cu. (a) CO coupling mechanisms with late  $C_2$  species such as HCCH\* and CH<sub>3</sub>CHO\* to yield  $C_3$  species (red), as well as the corresponding competing protonation steps of HCCH\* (blue) and CH<sub>3</sub>CHO\* (green) that result in  $C_2$  species such as ethylene and ethanol. DFT-calculated barrier  $\Delta G_a$  is presented at two typical potentials vs. RHE ( $U_{RHE}$ ). The presented data were reproduced from ref. 54 for the Cu(100) surface. (b) Typical  $C_xH_yO_z$  backbones, and reaction and activation electronic energies ( $\Delta E$  and  $E_a$ ) for CH<sub>x</sub>CH<sub>y</sub>–CH<sub>2</sub>O coupling steps on an OD-Cu surface model. The most likely steps are indicated in bold. Adapted from ref. 55 with permission from The Royal Society of Chemistry.

replacing polycrystalline Cu with single-crystal Cu(S)-[ $n(100) \times (111)$ ] electrodes (including 1-propanol, propionaldehyde ( $\text{CH}_3\text{CH}_2\text{CHO}$ ), and allyl alcohol ( $\text{CH}_2=\text{CHCH}_2\text{OH}$ )) at a constant current density of 5 mA cm<sup>-2</sup> in an electrolyte of 0.1 M  $\text{KHCO}_3$ .<sup>56</sup> The single-crystal Cu electrode follows the microfacet notation proposed by Somorjai *et al.*,<sup>61</sup> and Cu(S)-

[ $n(100) \times (111)$ ] refers to the Cu(100) facet modified by close-packed (111) steps. The fact that the best  $C_3$  FE of 12% can be achieved on Cu(711) with a moderate density of step sites points out the significance of both (100)-like terrace and (111) step sites. While the former type of site is essential for selective eCO<sub>2</sub>RR to  $C_2$  hydrocarbon intermediates, the coupling of these

$C_2$  hydrocarbon fragments with CO was theoretically predicted to be more facile on under-coordinated sites.<sup>54</sup> The adoption of single-crystal substrates is, however, not practical for industrial-scale catalytic processes, due to the limited scalability of single-crystal material fabrication. Although the possibility of scalable synthesis of single-crystal metal foil has been recently showcased,<sup>62,63</sup> a great number of pieces of single-crystal Cu foil exhibited strong tendency to reconstruct under routine eCO<sub>2</sub>RR conditions.<sup>64</sup> Therefore, alternative approaches to tailor active site types and distributions on Cu catalysts are required.

Since the general understanding on nanocatalysts has revealed that a decrease in nanoparticle size gives rise to an increase in step site densities,<sup>65</sup> it becomes straightforward to adopt Cu nanoparticles (NPs) and other nanostructures to enrich under-coordinated sites in the eCO<sub>2</sub>RR. Moreover, coating nano-particulate Cu catalysts onto the gas diffusion layer (GDL) or integrating them into a membrane electrode assembly (MEA) is also rather convenient, endowing these approaches with desirable applicability in practical electrolyzers. For instance, Cuellar *et al.* investigated the particle size effect of four commercial Cu powders loaded on the GDL and demonstrated the advantage of CO as a reactant over CO<sub>2</sub> in improving the selectivity towards both C<sub>2</sub> and C<sub>3</sub>.<sup>35</sup> Specifically, the partial current density for C<sub>3</sub> formation through the eCORR was roughly 4 times higher than that of the eCO<sub>2</sub>RR. The highest C<sub>3</sub> selectivity (FE of  $\sim 28\%$ ) was achieved using Cu NPs of a size  $< 100$  nm for the eCORR, which presents around a 6-fold increase compared to Cu powders of 5  $\mu\text{m}$ . Further experiments in a flow cell for the eCORR at a constant current density of 300 mA cm<sup>-2</sup> still present a high 1-propanol FE of 18%. These results demonstrate the new possibility to enhance C<sub>3</sub> selectivity through the combination of Cu NPs and the eCORR.

Despite their great catalytic potential, CuNPs may suffer from inevitable structural reconstruction, which can alter the initial morphology and active sites. Either precise control over catalyst reconstruction or suppression of such reconstruction through rational nanostructure design is therefore highly desirable. For instance, the Yang group has proposed an ensemble catalyst derived from well-assembled Cu NPs for selective eCO<sub>2</sub>RR to C<sub>2</sub>-C<sub>3</sub> products at low overpotentials<sup>66</sup> (Fig. 3a) and unraveled a dynamic “electrochemical scrambling” mechanism that drove the reconstruction of Cu NPs to disordered Cu nanocrystals through systematic *ex situ*, passivated *ex situ* and *in situ* characterization.<sup>67</sup> The electrochemically scrambled Cu nanocrystal was probed as the critical component leading to enhanced selectivity towards C<sub>2</sub>-C<sub>3</sub> products. Note that although this type of catalyst facilitated overall C-C coupling, the enhancement in C<sub>3</sub> selectivity was not as profound as that of C<sub>2</sub>. More precise manipulation of the reconstruction process therefore remains valuable to further optimize the yields of higher-carbon products beyond those of C<sub>2</sub>. Alternatively, the reconstruction can be suppressed by the design of robust nanostructured Cu catalysts. For instance, Zhao *et al.* developed a facile surfactant-free synthesis method to obtain Cu<sub>2</sub>O nanocrystals with various architectures, which can be further transformed into Cu catalysts under eCORR conditions without much change in the morphology (Fig. 3b).<sup>68</sup>

Among all the directed architectures, the catalyst with a morphology of a branching cubic framework (BCF-Cu<sub>2</sub>O) exhibited fivefold higher partial current density of 1-propanol at  $-0.45$  V *vs.* RHE than the surfactant-coated nanocube catalyst. According to DFT calculations, the clean surface and the exposure of Cu(100) and Cu(110) were found to facilitate 1-propanol production, which corroborated with the high catalytic activity of BCF-Cu<sub>2</sub>O exhibiting the highest exposure of Cu(100) and Cu(110) facets. In addition to the sophisticated engineering of synthesis procedures, the adoption of a support to anchor the nanoparticles presents another possibility to stabilize the Cu nanostructure. According to Lu *et al.*, dispersing Cu/Cu<sub>2</sub>O nanoparticles on nitrogen-doped graphene enabled nearly a one-fold increase in the 1-propanol FE during the eCO<sub>2</sub>RR.<sup>69</sup> In brief, all above studies highlight the importance of morphology control for Cu catalysts in enhancing C<sub>3</sub> formation.

In addition to regulating the characteristics and densities of active sites, micro-/nanostructure engineering on Cu catalysts can also tune the concentration and coverage of key reaction intermediates such as C<sub>2</sub> and CO. For instance, studies on porous or hollow-structured catalysts have demonstrated the effectiveness of exploiting the confinement effect to steer the selectivity from C<sub>1</sub> to C<sub>2+</sub>.<sup>71,72</sup> This idea can be further leveraged to increase C<sub>3</sub> yields. Sargent *et al.* developed a methodology to synthesize open Cu nanocavities and adjust their geometry by varying the acid-etching time of Cu<sub>2</sub>O pre-catalysts.<sup>73</sup> The finite-element method (FEM) was adopted to verify the restricted diffusion of locally produced C<sub>2</sub> species in the cavity, a higher concentration of which was found to be responsible for increasing its probability to couple with CO, leading to a higher C<sub>3</sub> production rate. With an optimized Cu nanocavity, the FE of 1-propanol from the eCORR can reach a maximum of  $21 \pm 1\%$  at a conversion rate of  $7.8 \pm 0.5$  mA cm<sup>-2</sup>. Alternatively, Zeng *et al.* leveraged a different synthetic method based on Ostwald ripening and fabricated a series of Cu<sub>2</sub>O hollow multi-shell structures (HoMSs) with tunable shell numbers (Fig. 3c). They demonstrated that the 3-shell HoMSs with a stronger nanoconfinement effect exhibited a sharp increase of 1-propanol FE from negligible (1-shell HoMSs) and  $< 2\%$  (2-shell HoMSs) to  $> 15\%$  (at  $-0.65$  V *vs.* RHE).<sup>70</sup> Similar effects of multi-shell structures on promoting C<sub>3</sub> formation were also demonstrated with the eCORR.<sup>74</sup> These studies showcase rational micro-/nanostructuring as a promising physical route, in addition to the conventional active site regulation approach, to steer the product selectivity towards C<sub>3</sub> products during the eCO<sub>2</sub>RR and eCORR.

**2.3.2. Defect engineering.** While the aforementioned efforts can be summarized as a “bottom-up” strategy to engineer the micro-/nanostructure of Cu-based catalysts, there also exists a “top-down” strategy that has been leveraged more frequently in eCO<sub>2</sub>RR research.<sup>48,51,75-79</sup> For instance, OD-Cu type materials, usually resulting from electroreduction of Cu (hydro) oxide pre-catalysts (*e.g.* CuO, Cu<sub>2</sub>O, and Cu(OH)<sub>2</sub>), contain enriched structural defects such as grain boundaries. Adopting the Cu<sub>2</sub>O/Cu(OH)<sub>2</sub> film as a precursor for preparing defect-rich Cu catalysts, Yeo *et al.* estimated the population of defects using cyclic voltammetry and then established a linear correlation

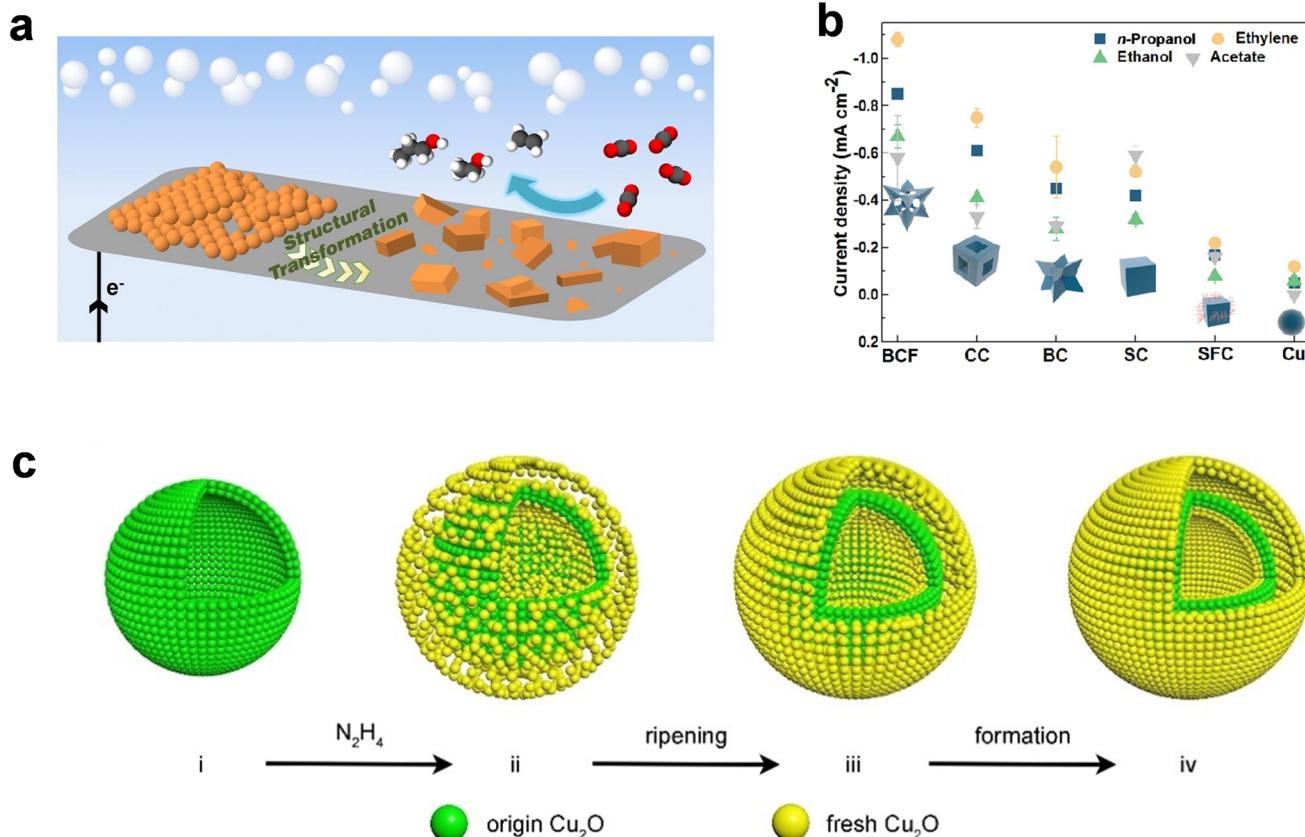
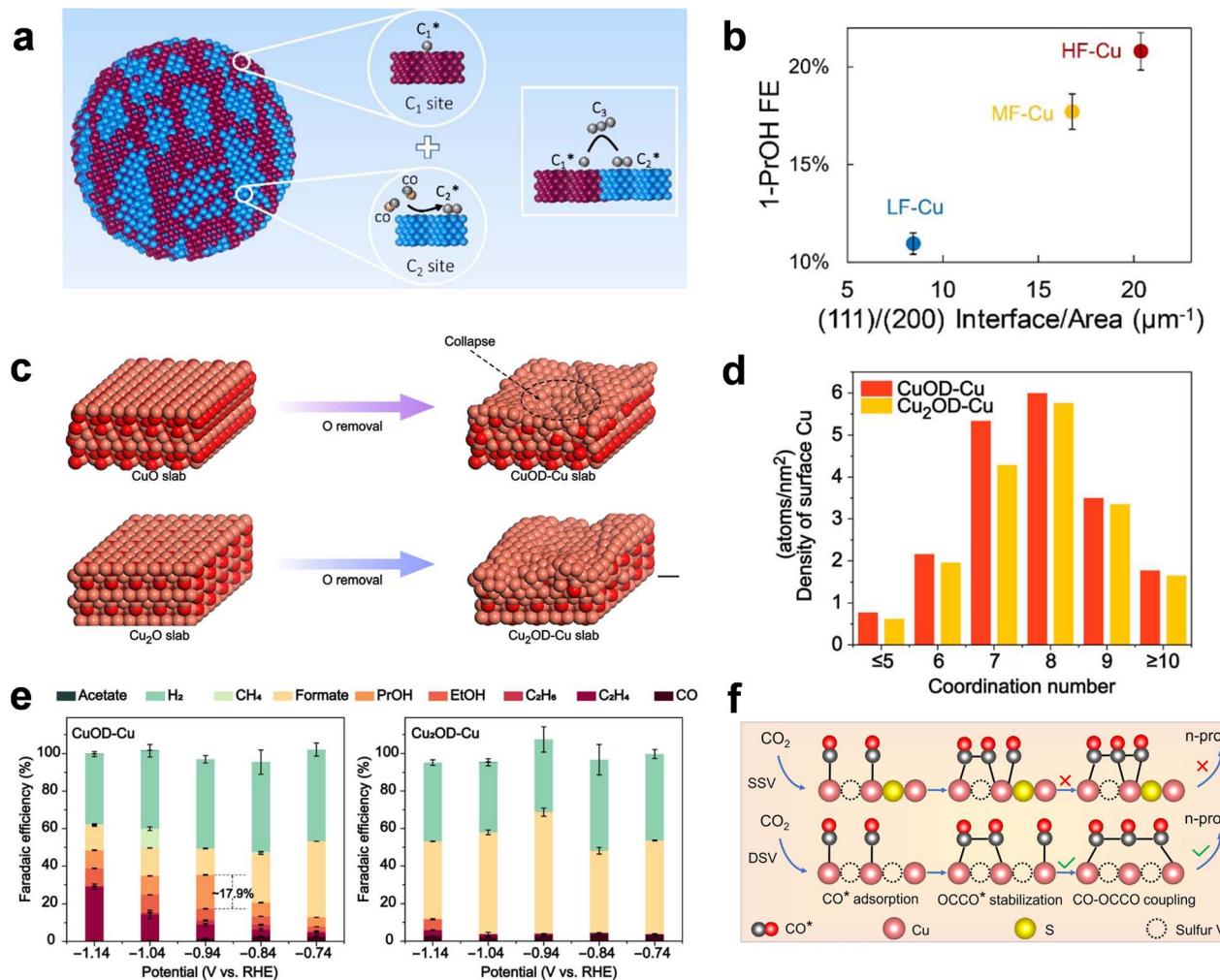


Fig. 3 Micro-/nanostructure engineering of Cu-based catalysts. (a) Schematic illustration of the transformation process of Cu NP ensembles into an active catalyst for C<sub>3</sub> product formation. Adapted from ref. 66 with permission from National Academy of Sciences. (b) Partial current density of 1-propanol through the eCORR on a variety of catalysts derived from surfactant-free Cu<sub>2</sub>O nanocrystals at -0.45 V vs. RHE. Adapted from ref. 68 with permission from American Chemical Society. (c) Schematic illustration of Cu<sub>2</sub>O HoMSs with different numbers of layers. Adapted from ref. 70 with permission from Wiley-VCH GmbH.

between the defect population and the yield of 1-propanol.<sup>48</sup> This work highlights the role of defects in stabilizing key intermediates for C–C coupling, which are presumably CO\* and C<sub>2</sub>H<sub>4</sub> precursors. The type of defect in OD-Cu that correlates with C<sub>3</sub> production was further investigated. Since the Cu(111) and Cu(100) facets have been proved to be C<sub>1</sub>-selective and C<sub>2</sub>-selective, respectively,<sup>46,80,81</sup> the boundary between these two facets was hypothesized as the C<sub>3</sub>-specific sites in OD-Cu. Along this line, Sinton *et al.* synthesized high-fragmented copper (HF-Cu) by controlling the crystalline domains of CuO pre-catalysts (Fig. 4a).<sup>51</sup> The HF-Cu catalyst was shown to contain the largest density of fragments consisting of adjacent Cu(100) and Cu(111) facets. With other possible effects brought by Cu atomic environments (oxidation states, coordination, or strain) ruled out through comprehensive characterization, the Cu(100)/Cu(111) interface per area, measured from the high-resolution transmission electron microscopy images, was found to linearly correlate with the 1-propanol FE (Fig. 4b). The promotion of C<sub>1</sub>–C<sub>2</sub> cross-coupling by the Cu(100)/Cu(111) interface was also rationalized by the lowered coupling barrier from DFT calculations. However, the grain boundaries were also identified to promote other products such as acetate and methane.<sup>82</sup> Thus, the specificity of the grain boundary in promoting C<sub>3</sub> products

requires further investigation and the precise modulation of desirable grain boundaries presents a promising direction in future research.

Besides grain boundaries, other types of defects in OD-Cu or other Cu catalysts derived from compound pre-catalysts can also efficiently promote C<sub>3</sub> formation. Shown as under-coordinated protuberances on ordinary crystal planes, Cu adparticles were proved by DFT calculations to be excellent site motifs to enhance the chemisorption of CO as well as its coupling with C<sub>2</sub> surface species such as OCCOH\* and CCH<sub>2</sub>\*.<sup>76</sup> Further manipulating the reconstruction of an OD-Cu catalyst in a CO-enriched environment allowed for the creation of an adparticle structure, which achieved an exceptional FE of 23% for 1-propanol, surpassing that of OD-Cu only rich in grain boundaries with no adparticles. The density of under-coordinated sites can be regulated by not only a gas atmosphere but also OD-Cu precursors. For instance, Gong *et al.* systematically compared different precursors (*i.e.* Cu<sub>2</sub>O, CuO, and Cu(OH)<sub>2</sub>) of OD-Cu and found that OD-Cu from Cu(OH)<sub>2</sub> possessed a relatively high density of stepped Cu(110) and Cu(100), which allowed for higher CO coverage and more facile CO–CO coupling, respectively. The highest FE of ~11% for 1-propanol (at -0.98 V vs. RHE) can be consequently achieved



**Fig. 4** Defect engineering of Cu-based catalysts. (a) Schematic illustration of HF-Cu with enriched (100)/(111) grain boundaries. (b) The Cu(111):(100) interface per area, measured from the high-resolution transmission electron microscopy images, plotted against the 1-propanol selectivity performance. Adapted from ref. 51 with permission from Springer Nature. (c) Simulated initial models and final configurations after oxygen removal (from CuO to CuOD-Cu and from Cu<sub>2</sub>O to Cu<sub>2</sub>OD-Cu) using neural network potential-based molecular dynamics simulation. (d) Coordination number dependent surface Cu density on CuOD-Cu and Cu<sub>2</sub>OD-Cu. (e) FE of products on CuOD-Cu (left) and Cu<sub>2</sub>OD-Cu (right) during the eCO<sub>2</sub>RR in a H-cell. Adapted from ref. 83 with permission from American Association for the Advancement of Science. (f) Schematic illustration of the 1-propanol formation mechanism on adjacent CuS<sub>x</sub>-DSV. Adapted from ref. 75 with permission from Springer Nature.

among the three types of OD-Cu.<sup>77</sup> Leveraging neural network potential based molecular dynamics and *in situ* X-ray absorption spectroscopy, Tang *et al.* also demonstrated that OD-Cu from CuO possessed an intrinsically higher population of undercoordinated Cu sites than the counterpart from Cu<sub>2</sub>O owing to the vigorous oxygen-removal-induced structural collapse during CuO electroreduction (Fig. 4c and d).<sup>83</sup> The high-density under-coordinated defect sites were suggested to increase the population of CO\* and \*HOCCOH intermediates by both *in situ* spectroscopy and computational simulations, leading to a promising FE of 17.9% for 1-propanol at -0.94 V vs. RHE (Fig. 4e). The reconstruction process can be further regulated by decorating CuO with Au NPs, which aided the formation of more disordered Cu structures and resulted in a record high 1-propanol FE of 46.6% obtained in a flow cell.<sup>78</sup>

In contrast to the “protuberant” under-coordinated defect sites out of a standard crystal facet, vacancies can be deemed as a “dented” counterpart by removing either cationic or anionic atoms and leaving holes on the surfaces. Sargent *et al.* reported that the modification of the Cu<sub>2</sub>S core with Cu surface vacancies led to an impressive FE of  $8 \pm 0.7\%$  for 1-propanol production, but it was also notable that the Cu vacancies were not only selective to 1-propanol but also ethanol.<sup>79</sup> An alternative strategy to selectively enhance 1-propanol production over other alcohols is to precisely generate double sulfur vacancies (DSVs) on CuS using a controllable lithium electrochemical tuning method.<sup>75</sup> As demonstrated by Zheng *et al.*, DSV-rich CuS<sub>x</sub> catalysts, obtained after 10 charge/discharge cycles in a lithium electrochemical cell, exhibited the highest FE of 15.4% for 1-propanol; whereas neither decreasing nor increasing the cycle

numbers gave rise to better yields of 1-propanol, highlighting the pivotal role of DSV that possessed an optimized Cu–Cu distance in facilitating CO trimerization (Fig. 4f). Similar to the observations on grain boundaries, defect sites such as vacancies, albeit enabling an increase in  $C_3$  selectivity in some cases, require delicate structural control to realize the  $C_3$ -specific eCO<sub>2</sub>RR. The structure–property relationships are so far case-specific, and somewhat lack generality. The synthetic methods to manipulate the types and population of, if  $C_3$ -specific, certain active defect sites also diverge.

**2.3.3. Oxidation state regulation.** Other than the defect generation during *in situ* transformation of OD-Cu, there exists another perspective to rationalize the enhanced  $C_{2+}$  formation on OD-Cu, that is, the regulation of oxidation states and consequently the electronic structure of Cu sites induced by residual oxygen.<sup>84–89</sup> While a large body of existing studies have revealed the key role of polarized Cu $^{\delta+}$  ( $0 < \delta \leq 1$ ) sites,<sup>84,85,88</sup> as well as the interface between Cu $^0$  and Cu $^+$  phases,<sup>86,87</sup> in facilitating C–C coupling, only a few studies attempted to tie the oxidation states of Cu with  $C_{3+}$  formation. For example, Lee *et al.* observed  $C_3$ – $C_4$  production during the eCO<sub>2</sub>RR for a limited duration and suggested a metastable bi-phasic Cu<sub>2</sub>O–Cu surface accounting for such an activity.<sup>90</sup> Cuenya *et al.* compared Cu nanocube catalysts with different plasma pretreatments and found that O<sub>2</sub> plasma induced the highest initial oxygen content and led to the highest FE of 9% for 1-propanol despite the reduced surface roughness. Though presenting great catalytic potential, the long-term stabilization of Cu $^{\delta+}$  sites under reductive conditions, however, remains a challenge.<sup>91</sup> Because of the enormous thermodynamic driving force of reducing Cu $^{\delta+}$  to Cu $^0$  within the voltage window of the eCO<sub>2</sub>RR, the dynamics of the reducing process could play a vital role in securing the stable presence of Cu $^{\delta+}$  active sites. By applying pulsed electrolysis programs in which anodic and cathodic potentials were alternately sequenced, desirable defects and Cu $^+$  species were *in situ* generated to favor the continuous eCO<sub>2</sub>RR with high  $C_{2+}$  selectivity.<sup>85</sup> It was found that the parameters for pulsed electrolysis, such as the values of anodic potential<sup>85</sup> and the pulsed intervals,<sup>86</sup> exhibited strong impacts on the product selectivity. A moderate anodic potential of 0.6 V *vs.* RHE and a narrow range of cathodic/anodic pulse durations allowed for an optimized dynamic balance between oxidized and reduced Cu species. The above experimental observation can be further rationalized by neural-network-potential-based, large-scale molecular dynamics of OD-Cu reconstruction.<sup>92</sup> As demonstrated by Lian *et al.*, while it is true that OD-Cu would be fully reduced to metallic Cu after long-term electrolysis, agreeing well with the reduction thermodynamics, the dynamics of removing all trapped oxygen were actually slow. Therefore, this work lays a theoretical foundation for the dynamic stabilization of Cu $^{\delta+}$  sites in pulsed experiments. Other effects such as the Mott–Schottky effect were also leveraged to stabilize Cu $^{\delta+}$  sites.<sup>93</sup> Nevertheless, similar to structural defects, the specificity of positively charged Cu sites, if necessarily stabilized in the catalytic phases, to  $C_3$  products as opposed to other multicarbon products such as  $C_2$  still remains elusive.

**2.3.4. Foreign element regulation.** The introduction of foreign atoms into Cu, such as by alloying and doping, is considered as another effective way to modulate the active sites of Cu-based catalysts. The foreign element either offers additional sites to enable pathways that are unfavorable on pristine Cu, or to regulate the coordination environment as well as the electronic structure of adjacent Cu sites. As the generation of  $C_3$  products relies on successive C<sub>1</sub>–C<sub>1</sub> coupling and C<sub>1</sub>–C<sub>2</sub> coupling where CO presents the most likely C<sub>1</sub> precursor, it is essential for the catalytic surface to simultaneously provide moderate CO binding and increase CO coverage. Therefore, the addition of a second elemental component capable of producing CO selectively presents a viable route to facilitate C–C coupling on Cu-based surfaces.<sup>94</sup> Elemental candidates to achieve more selective CO production than Cu include Zn, Ag, Au, and Pd.<sup>95</sup> Although Cu-based alloys consisting of these metals have been widely reported for the eCO<sub>2</sub>RR/eCORR towards  $C_{2+}$  products,<sup>96–100</sup> the specific improvement of  $C_3$  selectivity can be realized by further engineering. And the mechanisms of favoring  $C_3$  production over other  $C_{2+}$  chemicals vary with the identity of foreign elements, as well as their concentration.

Manipulating the phase segregation of initial alloys could be a useful strategy to isolate CO formation and C–C coupling on different domains. For instance, Broekmann *et al.* prepared a binary CuPd alloy with a nominal composition of Cu<sub>91</sub>Pd<sub>9</sub> and further adopted a sequential treatment of air annealing and *in situ* reduction to induce phase segregation, which resulted in Pd-rich and Cu-rich domains, respectively (Fig. 5a).<sup>101</sup> While the Pd-rich domains promoted CO formation during the eCO<sub>2</sub>RR, CO was then transported to the Cu-rich ones for subsequent C–C coupling. The increased CO availability induced by Pd-rich domains led to higher propensity of C–C coupling. Interestingly, the seminal work by Kenis *et al.* unraveled the key role of phase segregation in generating more  $C_2$  than  $C_1$  chemicals during the eCO<sub>2</sub>RR.<sup>97</sup> The distinctive product distributions of phase-separated CuPd alloy systems in the above two studies can be rationalized through the different ratios of Pd : Cu. While the equal-molar system (Cu : Pd = 1 : 1) primarily facilitates  $C_2$  products such as ethylene (FE > 40% at –0.65 V *vs.* RHE) and ethanol (FE > 14% at –0.65 V *vs.* RHE), as shown by Kenis *et al.*,<sup>97</sup> the work by Broekmann *et al.*<sup>101</sup> showed that decreasing Pd content favored  $C_3$  (FE = 13.7 ± 0.8% at –0.65 V *vs.* RHE) over  $C_2$  products (*e.g.* FE<sub>ethanol</sub> = 7.1 ± 0.3% at –0.65 V *vs.* RHE). A similar effect by dilute alloying was also demonstrated by Ye *et al.*, who introduced a family of facet-defined dilute CuAu alloy nanorods (NRs) with precisely controlled Au atomic content from *ca.* 1% to 16%.<sup>102</sup> All these NR alloy catalysts possessed uniform crystal shapes with preferentially exposed (100) surfaces, among which the NR2 catalyst with a nominal composition of Cu<sub>98</sub>Au<sub>2</sub> exhibited the highest FE of 18.2 ± 0.3% for 1-propanol at –0.41 V *vs.* RHE (Fig. 5b). Note that NR5 and NR6 with more than 12 at% Au exhibited phase separation, yielding nanocrystalline Au. However, phase separation in this case favored  $C_1$  formation rather than  $C_3$ . Therefore, it is pivotal to precisely control both the mixing patterns and content of the

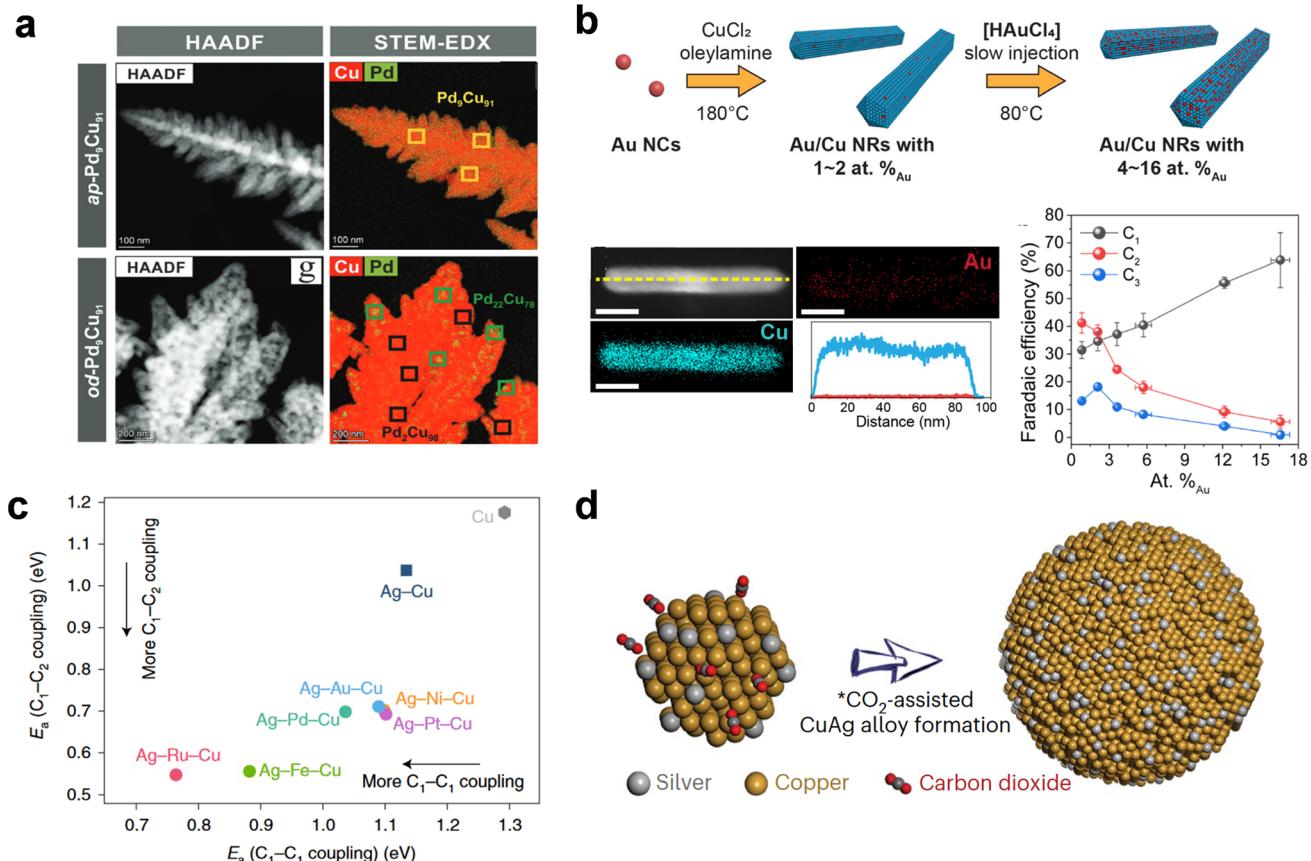


Fig. 5 Foreign element regulation of Cu-based catalysts. (a) Phase segregation from the as-prepared CuPd alloy (ap-Pd<sub>9</sub>Cu<sub>91</sub>) to the activated sample (od-Pd<sub>9</sub>Cu<sub>91</sub>), as revealed by high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) and STEM energy-dispersive X-ray spectroscopy (EDS) images. Adapted from ref. 101 with permission from The Royal Society of Chemistry. (b) (Upper) schematic illustration of Au/Cu NR synthesis, (bottom left) HAADF-STEM image and STEM-EDS elemental maps of a single NR with  $2.1 \pm 0.3$  at.%<sub>Au</sub>, and (bottom right) FEs of C<sub>1</sub>, C<sub>2</sub>, and C<sub>3</sub> products vs. the Au contents of NRs. Adapted from ref. 102 with permission from American Chemical Society. (c) DFT-calculated activation energy ( $E_a$ ) for C<sub>1</sub>-C<sub>1</sub> and C<sub>1</sub>-C<sub>2</sub> coupling on screened Ag-X-Cu systems (X = Au, Pd, Pt, Ni, Fe and Ru). Adapted from ref. 103 with permission from Springer Nature. (d) Schematic illustration of a supersaturated CO<sub>2</sub>-stabilized CuAg alloy with highly dispersed Ag atoms. Adapted from ref. 104 with permission from Springer Nature.

foreign element in the Cu-based alloys for selective eCO<sub>2</sub>RR towards C<sub>3</sub> products.

Compared to the eCO<sub>2</sub>RR, the eCORR could take advantage of alkaline electrolysis and direct gas feeding. The former enables higher C<sub>2</sub> yields than acidic or neutral electrolysis, and the latter leads to better mass transport management. In addition, the absence of a CO<sub>2</sub>-to-CO pathway in the eCORR allows for better mechanistic understanding of the effect induced by foreign elements on C<sub>2</sub>/C<sub>3</sub> selectivity tuning. Combining Cu-based dilute alloys with the eCORR has been demonstrated to yield significant improvement in C<sub>3</sub> selectivity. For example, Sargent *et al.* theoretically designed an Ag-doped Cu alloy surface with asymmetric C-C coupling active sites, which exhibited the lowest activation barriers for both C<sub>1</sub>-C<sub>1</sub> and C<sub>1</sub>-C<sub>2</sub> coupling to form C<sub>3</sub> products among various single metal (*i.e.* Ag, Au, Ru, Rh, and Pd) doped Cu surfaces.<sup>105</sup> Such a design was experimentally realized using a dilute Cu<sub>96</sub>Ag<sub>4</sub> alloy for the eCORR in a flow cell reactor with a GDE, which exhibited a promising FE of  $33 \pm 1\%$  for 1-propanol at  $-0.46$  V *vs.* RHE (in 1 M KOH solution). The FE towards 1-propanol can be further

enhanced to  $37 \pm 3\%$  at a high current density of  $300$  mA cm<sup>-2</sup> in a MEA-based reactor, by introducing only 1 at% of Ru to the dilute Ag-in-Cu system.<sup>103</sup> The theoretical calculations further revealed that the additional isolated Ru dopant can induce higher coverage of CO\* and lower activation barriers for both C<sub>1</sub>-C<sub>1</sub> and C<sub>1</sub>-C<sub>2</sub> coupling (Fig. 5c). Alternatively, the specific CuAg system for alkaline eCORR can be engineered by altering the precursor from pure Cu to Cu compounds. Fontecave *et al.* investigated a series of catalysts derived from metal-doped Cu nitrides (denoted as CuM<sub>x</sub>%N<sub>t</sub> h with x giving the mol% of the doping metal M and t the time for nitridation), among which CuAg<sub>5%</sub>N<sub>20</sub> h showed an optimized FE of 45% for 1-propanol at  $150$  mA cm<sup>-2</sup>.<sup>106</sup> The higher C<sub>3</sub> selectivity of CuAg<sub>5%</sub>N<sub>20</sub> h compared to CuAg<sub>5%</sub> was attributed to increased roughness and porosity, while the introduction of Ag, consistent with other reports, was reported to lower C<sub>1</sub>-C<sub>2</sub> coupling barriers.

Interestingly, the product distributions within the dilute bimetallic CuAg systems that deliver the most promising C<sub>3</sub> selectivity are still highly tunable by regulating the reaction conditions and local environments. For example, in the above

work by Fontecave *et al.*, systematic investigations were performed to unravel the effect of the CO feeding rate and electrolyte properties.<sup>106</sup> Key findings include that (1) a moderate CO feeding rate enables a delicate balance between surface-adsorbed CO\* and C<sub>2</sub> intermediates inclined to coupling, which corroborates well with the competitive co-adsorption study of CO and acetaldehyde by Koper *et al.*,<sup>107</sup> (2) the formation of 1-propanol exhibits an exceptional interfacial electric field effect, which becomes profound on increasing the concentration of cations or changing the cation from K<sup>+</sup> to Cs<sup>+</sup>; (3) a too-high alkaline concentration is detrimental to 1-propanol production due to the reaction pathway bifurcation to acetate,<sup>42</sup> which offsets the advantage of the cation-induced field effect at high concentrations. The regulation of reaction environments can even alter the identity of major C<sub>3</sub> products. Adopting CO<sub>2</sub>-supersaturated conditions, Voiry *et al.* reported the electrosynthesis of a rarely observed C<sub>3</sub> product, 2-propanol, from the eCO<sub>2</sub>RR on a Cu<sub>94</sub>Ag<sub>6</sub> alloy catalyst with a high FE of 56.7% at -0.70 V *vs.* RHE (in 1 M CsHCO<sub>3</sub> solution with ~3 M CO<sub>2</sub>).<sup>104</sup> The CO<sub>2</sub>-supersaturated condition was found to provide combinatorial effects by suppressing the phase segregation and modulating CO\* adsorption strength/coverage (Fig. 5d). The resultant highly dispersed Ag atoms in Cu weakened the surface-O binding and thus protected the secondary C-O bond from cleavage, leading to favorable formation of 2-propanol over 1-propanol. This mechanism is possibly dominated by the ligand effect of Ag, as evidenced by the charge transfer between Ag and Cu.

In addition to the ligand effect, the doping atom could induce a strain effect through atomic size misfitting. Zhang *et al.* reported that doping Pb (~2.9 at%), an extremely heavy element with a large atomic radius, in Cu resulted in numerous atomic Pb-concentrated grain boundaries and induced a large number of under-coordinated Cu sites after geometric structural distortion.<sup>108</sup> The FE of CO-to-1-propanol can consequently reach 47 ± 3% at -0.68 V *vs.* RHE. Note that Pb is classified as a formate-producing rather than CO-producing element in the eCO<sub>2</sub>RR. This work clearly showcased an approach of regulating Cu with an “inactive” foreign element, distinctive from designing Cu-based alloys with CO-active elements.

Despite the great potential of dilute Cu-based alloys for selective eCO<sub>2</sub>RR/eCORR to C<sub>3</sub>, it should be noted that due to the complexity in reaction pathways and sensitivity of key steps to reaction conditions/environments, the operational window for attaining desirable selectivity is rather narrow. Thus, combinatorial investigation is advocated in future research when focusing on complex products like C<sub>3</sub>.

**2.3.5. Single-site Cu catalysts.** Single-site Cu catalysts present a unique class of Cu-based catalysts with atomic Cu embedded in a solid substrate (usually carbon), coordinated frameworks, or macrocyclic molecules. The site geometry places an upper limit for the maximum number of adsorbates (*i.e.* usually one), and thus the products of the eCO<sub>2</sub>RR/eCORR on such types of catalysts are limited to C<sub>1</sub> products in most cases. However, Chen *et al.* reported the observation of acetone as a major product during the eCO<sub>2</sub>RR on single-atom Cu encapsulated on nitrogen-doped porous carbon (Cu-SA/NPC).<sup>109</sup> The

highest FE for acetone was obtained as 36.7% at -0.36 V *vs.* RHE. Systematic control experiments and theoretical calculations confirmed that both the single-site Cu and surrounding pyrrolic-N remained crucial to acetone formation and that changing the coordination atoms from pyrrolic-N to pyridinic-N hindered efficient CO-CO coupling. While it is interesting that coordination N atoms can participate in chemical bonding and break the single-metal-site geometric limitation to multiple chemisorptions, there are other studies discussing the reconstruction of single-site Cu catalysts to Cu clusters or nanoparticles, in which the *in situ* formed Cu multisite ensembles were believed to account for the C<sub>2+</sub> formation.<sup>110,111</sup> Therefore, the ability of single-site Cu catalysts for selective C<sub>3</sub> formation requires further investigations with the assistance of *in situ* structural and surface-state characterization studies.

#### 2.4. Non-Cu catalysts

Cu-based catalysts, though yielding impressive FEs towards C<sub>3+</sub> products, still require rather large overpotentials to achieve satisfactory production rates. And the vast possibilities in coupling routes also make the selectivity control of Cu-based catalysts challenging. The suitable operational window for Cu-based materials to selectively catalyze C<sub>3</sub> formation largely hinges on the type of reactors, the carbon source (*e.g.* CO<sub>2</sub>, CO, or their mixtures), and the electrolyte. One reason for such sensitivity could be attributed to the highly polar nature of key intermediates such as CO<sub>2</sub>\* and its protonated forms, the CO dimer, as well as other carbonyl compounds. These polar intermediates are prone to complex interfacial effects from the solvent, ion, and electric field. Thus, the journey to search for non-Cu-based catalysts with lower overpotentials or alternative C-C coupling mechanisms never ceases.

Promising substitutes for Cu include various metal compounds such as molybdenum (Mo)-based and nickel (Ni)-based materials. The original pure metallic Mo and Ni exhibit much stronger CO\* adsorption than Cu and present negligible eCO<sub>2</sub>RR activity due to the severe CO poisoning and undesirable reaction energetics of CO protonation or CO-CO coupling. Their compounds with nonmetal elements, however, have generally weaker binding due to the more filled metal d-states, thereby exhibiting potential catalytic capability of interest. For instance, Lewis *et al.* observed 1-propanol to be the major eCO<sub>2</sub>RR product on single-crystal or thin-film MoS<sub>2</sub> electrodes, although the maximum FE (~3.5%) was far below that of the competing hydrogen evolution reaction (HER, FE of ~50–60%).<sup>112</sup> They also revealed the active sites for the eCO<sub>2</sub>RR to 1-propanol to be MoS<sub>2</sub> terraces rather than its edges. Despite the substantial HER activity and self-decomposition, MoS<sub>2</sub> indeed demonstrates unique eCO<sub>2</sub>RR properties compared with Cu. Understanding the origin of such unique eCO<sub>2</sub>RR activity would be valuable for guiding the design of non-Cu catalysts.

Other than MoS<sub>2</sub>, Ni-based catalysts present another group of promising candidates to yield higher-order products from the eCO<sub>2</sub>RR. In the seminal work by Paris and Bocarsly, Ni<sub>3</sub>Al alloy films on glassy carbon electrodes have been demonstrated to produce 1-propanol (FE = 1.9 ± 0.3%) in addition to

a substantial FE ( $\sim 30\%$ ) of forming CO.<sup>113</sup> Their subsequent work further pointed out the necessity of the intermetallic character at the atomic scale to provide distinct yet complementary sites for the eCO<sub>2</sub>RR to 1-propanol.<sup>114</sup> The investigation of the H/D isotope effect also suggested a reaction mechanism different from that on Cu. Combining theory and the experiment, Yeo *et al.* achieved success in stabilizing the polarized Ni<sup>δ+</sup> active sites, which exhibited moderate CO binding and generated a variety of mixed long-chain C<sub>3</sub>–C<sub>6</sub> hydrocarbons with a total FE of up to 6.5% in the electrolyte of 0.1 M KHCO<sub>3</sub>.<sup>115</sup> In contrast, metallic Ni remained inactive. In addition, the authors proposed a novel reaction mechanism reminiscent of the Fischer–Tropsch synthesis: COOH + CH<sub>x</sub> coupling followed by successive CH<sub>x</sub> insertions, which remains rather distinctive from CO–C<sub>2</sub> coupling or even CO trimerization on other catalysts (Fig. 6a). This mechanism was further validated through the invariant C<sub>3+</sub> yield with the addition of CO or formaldehyde (CH<sub>2</sub>O) feedstock.

Similarly, new reaction mechanisms have also been proposed on nickel phosphides (Ni<sub>x</sub>P<sub>y</sub>). For instance, Dismukes *et al.* verified the capability of P-rich Ni<sub>x</sub>P<sub>y</sub> (Ni<sub>12</sub>P<sub>5</sub>, Ni<sub>2</sub>P, Ni<sub>5</sub>P<sub>4</sub>, and NiP<sub>2</sub>) to convert CO<sub>2</sub> to C<sub>3</sub> (methylglyoxal) and C<sub>4</sub> (2,3-furandiol) products at a very low overpotential ( $< 50$  mV) with high selectivity (maximum FE = 84% for C<sub>3</sub> on NiP<sub>2</sub> at  $-0.1$  V vs. RHE; maximum FE = 71% for C<sub>4</sub> on Ni<sub>2</sub>P at 0 V vs. RHE), successfully simulating the catalytic performance of Ni-based enzymes.<sup>116</sup> In addition, the authors suggested a reaction mechanism radically different from that of Cu; formation of formate as the oxygenated precursor happens first, followed by the formation of CH<sub>2</sub>O, and self-condensation of CH<sub>2</sub>O

(Fig. 6b). Rappe *et al.* further adopted DFT calculations to investigate such a mechanism on Ni<sub>x</sub>P, revealing that the rate-determining step was surface hydride transfer to physisorbed CO<sub>2</sub>, a non-electrochemical step.<sup>117</sup> Therefore, simultaneous engineering of both thermal and electrochemical steps remains a valuable strategy to further optimize Ni<sub>x</sub>P<sub>y</sub>-based catalysts.

According to the above studies, it is evident that the reaction steps on non-Cu catalysts are significantly different from the ones reported on Cu-based systems. The precursors for C–C coupling vary from CO (for the majority of Cu-based materials) to hydrocarbons and formate (for non-Cu catalysts). Consequently, more comprehensive understanding of the specific reaction mechanism remains extremely vital to ensure rational design of future catalysts with enhanced catalytic activity and selectivity towards C<sub>3+</sub> products. Another challenge and opportunity lies in the suppression of the HER side reaction. A Mo- and Ni-based compound catalyst, albeit with decent C<sub>3+</sub> selectivity in eCO<sub>2</sub>RR products, normally suffer from severe HER competition. The most promising C<sub>3+</sub> activity and selectivity are mainly observed at low overpotential and low current density. In fact, at higher overpotential where industrially desired current density is achievable, most metal sulfides and phosphides were found to be predominantly active towards the HER rather than the eCO<sub>2</sub>RR.<sup>118</sup> Seeking for compatible HER-suppression strategies might offer opportunities to fully exert the catalytic properties of non-Cu catalysts. In this sense, Asadi *et al.* reported imidazolium-functionalized Mo<sub>3</sub>P NPs with an ionomer coating for selective eCO<sub>2</sub>RR to propane.<sup>119</sup> Both the imidazolium functionalization and ionomer coating created a relatively hydrophobic environment and increased the

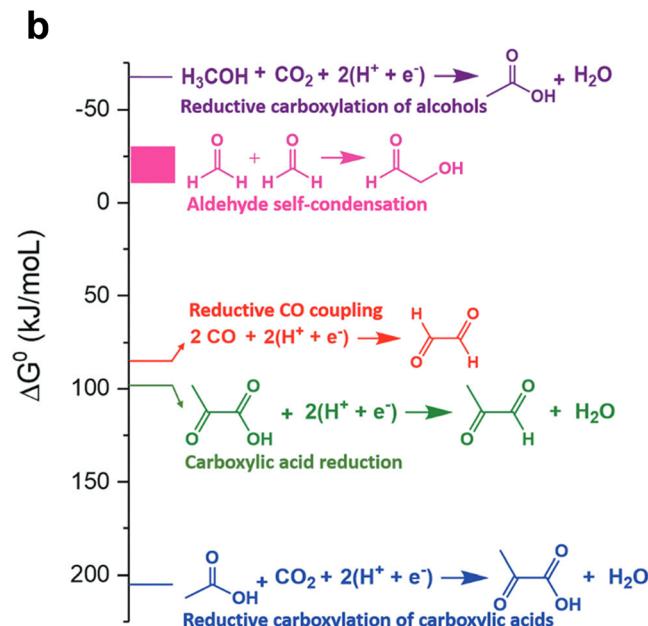
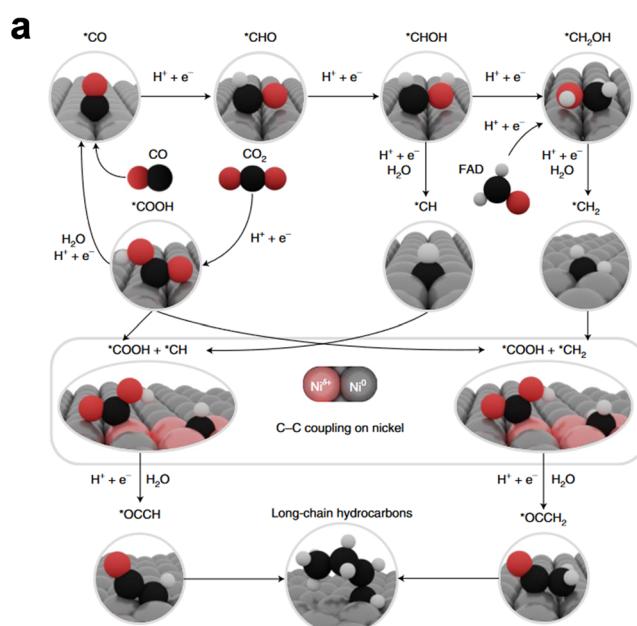


Fig. 6 Non-Cu catalysts. (a) Initial steps in the C–C coupling towards long-chain hydrocarbons on polarized Ni sites. The insertion mechanism, schematically depicted at the bottom of the figure, is expected to sustain the production of C<sub>3+</sub> products. Adapted from ref. 115 with permission from Springer Nature. (b) Standard Gibbs free energy changes of possible C–C bond forming reactions (298 K and pH 7), supporting the formaldehyde condensation mechanism on Ni<sub>x</sub>P<sub>y</sub> catalysts at near-thermoneutral potentials. Adapted from ref. 116 with permission from The Royal Society of Chemistry.

$\text{CO}_2$ /water ratio near the catalytic surface, resulting in suppressed HER. The positively charged imidazolium further modified the interfacial electric field and adsorption energies of carbon-based intermediates on Mo atoms, directing to a propane-formation pathway in which the trimerization was initiated from co-adsorption of  $\text{CO}^* + \text{CH}^* + \text{CO}^*$ . Thanks to the synergistic effects between  $\text{Mo}_3\text{P}$ , imidazolium, and ionomer coating, a promising FE of 91% for propane was obtained at  $-0.8$  V vs. RHE, demonstrating the great potential of non-Cu catalysts for  $\text{C}_3$  electrosynthesis from  $\text{CO}_2/\text{CO}$  with desirable selectivity/activity after rational catalyst-reaction microenvironment co-design.

### 3. "Electrochemical + X" approaches

Direct electrochemical approaches for converting  $\text{CO}_2$  to  $\text{C}_{3+}$  chemicals have seen significant progress in novel catalyst development and industrially applicable reactor design.  $\text{C}_3$  molecules such as 1-propanol have been reported as the primary product from direct electrocatalysis.<sup>66,68</sup> While the success of generating species beyond  $\text{C}_3$  has been established in a few cases mostly with non-Cu catalysts having distinctive reaction mechanisms,<sup>115,116</sup> the production of  $\text{C}_4$  molecules such as 1-butanol ( $\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{OH}$ ) has rarely been reported to occur with measurable quantities through a single electrochemical process. Although studies have suggested that minor and yet-to-be-quantified amounts of 1-butanol can be produced through the e $\text{CO}_2\text{RR}$ <sup>120</sup> or co-electroreduction of acetaldehyde and CO on OD-Cu electrodes,<sup>59</sup> the extremely low selectivity makes these processes impractical for direct  $\text{C}_4$  production. More importantly, a greater gap exists between the products from direct e $\text{CO}_2\text{RR}$  and desirable  $\text{C}_{5+}$  target chemicals, such as synthetic gasoline ( $\text{C}_4\text{--C}_{12}$ ), sustainable aviation fuels ( $\text{C}_8\text{--C}_{16}$ ), aromatics ( $\text{C}_{6+}$ ), and life-related organic chemicals including saccharides, amino acids, and lipids. Electrochemical upgrading of  $\text{CO}_2$  towards more complex chemicals therefore requires

complementary processes to leverage e $\text{CO}_2\text{RR}$ -attainable chemicals for advanced synthesis in tandem.

#### 3.1. "Electrochemical + homogeneous reaction" approaches

Before the discussion on coupling different processes, it is prerequisite to reveal the  $\text{C}_4$  formation mechanism on the most investigated Cu-based catalysts. In this regard, Yeo *et al.* conducted systematic e $\text{CO}_2\text{RR}$  experiments on OD-Cu in a GDE-based flow cell.<sup>121</sup> By improving the detection and quantification of liquid products with low FEs through a flow cell and headspace gas chromatography, they did observe the formation of 1-butanol in alkaline electrolyte, but the FE was as low as 0.056% and the partial current density was only  $0.080 \text{ mA cm}^{-2}$ . Unlike the formation of  $\text{C}_3$  species that usually involves one individual  $\text{C}_1$  adsorbate (*e.g.*  $^*\text{CO}$ ), the aldol condensation of two acetaldehyde molecules was found to account for C-C bond formation, yielding an initial formation of 3-hydroxybutanal ( $\text{CH}_3\text{CH}(\text{OH})\text{CH}_2\text{CHO}$ ) (Fig. 7a). The subsequent dehydration then produces crotonaldehyde ( $\text{CH}_3\text{CH}=\text{CHCHO}$ ) as a critical  $\text{C}_4$  intermediate, the further  $2\text{e}^-$ -reduced products of which could either be crotyl alcohol ( $\text{CH}_2\text{CH}=\text{CHCH}_2\text{OH}$ ) or butanal ( $\text{CH}_3\text{CH}_2\text{CH}_2\text{CHO}$ ). Parallel electrolysis experiments of acetaldehyde, crotonaldehyde, crotyl alcohol, and butanal confirmed that the synthetic route towards 1-butanol followed the sequence of acetaldehyde  $\rightarrow$  crotonaldehyde  $\rightarrow$  butanal  $\rightarrow$  1-butanol while crotyl alcohol remained electrochemically inert. This mechanism was supported by DFT calculations, as well as the promotion of aldol condensation by hydroxide ions ( $\text{OH}^-$ ) and dehydration of 3-hydroxybutanal on Cu surfaces. Collectively, such a mechanism can well explain the low selectivity of  $\text{C}_4$  products on Cu during the e $\text{CO}_2\text{RR}$  or eCORR: (1) the low selectivity towards acetaldehyde on Cu; (2) the competition between acetaldehyde electroreduction to ethanol and aldol condensation; and (3) the hydration of crotonaldehyde to an unreactive form in alkaline solution. Overcoming the above limitations could be realized through a cascade reaction

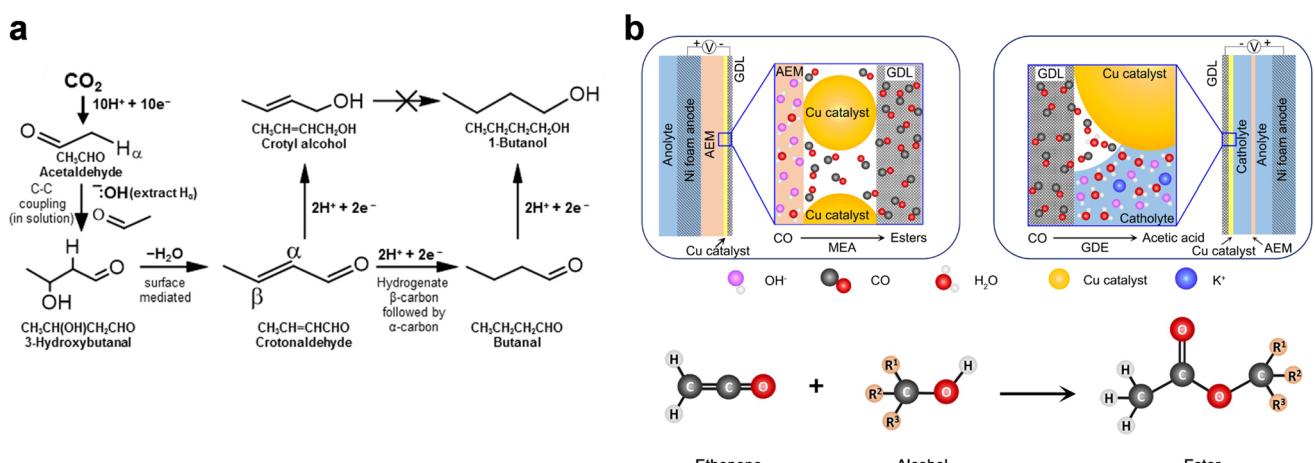


Fig. 7 "Electrochemical + homogeneous reaction" approaches. (a) Simplified domino reaction mechanism for the e $\text{CO}_2\text{RR}$  to 1-butanol. Adapted from ref. 121 with permission from Wiley-VCH GmbH. (b) Schematic illustration of (upper) the eCORR process in the cathodic compartment of a MEA cell and a GDE embedded in a flow cell, and (bottom) ester formation from the reaction between ethenone and alcohol. Adapted from ref. 122 with permission from Wiley-VCH GmbH.

engineering approach, where  $\text{CO}_2$ -to-acetaldehyde conversion, aldol condensation, and crotonaldehyde electroreduction are optimized separately. Such a design might be worthy of future investigations.

In line with the above mechanistic study, it is fundamentally feasible to couple direct electrolysis with controllable homogeneous reactions to steer the product selectivity. Interestingly, Yeo *et al.* demonstrated the production of  $\text{C}_3$ – $\text{C}_6$  acetate esters through the eCORR in an MEA cell (Fig. 7b).<sup>122</sup> The absence of esters was attributed to the “water-rich” interfacial conditions in conventional H-cells or GDE-based flow cells, which favor the nucleophilic reaction between  $\text{OH}^-$  and the key intermediate ethenone ( $\text{CH}_2=\text{C}=\text{O}$ ) that leads to acetate production. In the MEA-based eCORR, in contrast, ethenone preferentially reacts with alcohols to yield esters, resulting in an unprecedented total FE of 22% for esters (~20% for ethyl acetate) at 250 mA  $\text{cm}^{-2}$ . It should be noted that as the major alcohol product during the eCORR was ethanol, the dominant ester product was ethyl acetate ( $\text{C}_4$ ).  $\text{C}_5$  and  $\text{C}_6$  ester production thus critically relies on the yields of  $\text{C}_3$  and  $\text{C}_4$  alcohols.

Since the rates of homogeneous reactions remain independent of electrochemical potential, on-site homogeneous reactions can be rate-limiting in the entire integrated process. While introducing catalysts for homogeneous reactions may facilitate the post-e $\text{CO}_2$ RR transformation, the alignment of different reaction conditions and the separation of homogeneous catalysts from the original e $\text{CO}_2$ RR systems can be challenging. In addition, the number of homogeneous reactions that can operate under mild ambient conditions is limited. Therefore, we will then move on to discussing the coupling of an electrochemical approach with another type of complementary process, heterogeneous thermocatalytic reactions, in a tandem or cascade manner. Compared to homogeneous reactions, heterogeneous thermocatalytic reactions are more common in industrial manufacture of bulk chemicals and thus offer greater opportunities for process coupling.

### 3.2. “Electrochemical + thermochemical” approaches

The dependence on fossil-fuel-derived feedstocks (*e.g.*  $\text{H}_2$  production *via* extremely energy-consuming steam reforming) in a conventional thermochemical approach for chemical manufacture usually results in positive net  $\text{CO}_2$  emissions.<sup>123</sup> Switching from fossil fuels to renewable feedstocks, such as CO, hydrocarbons, and oxygenates derived from direct electrochemical  $\text{CO}_2$  reduction, could then potentially offer an alternative to alleviate  $\text{CO}_2$  emissions in the conventional chemical industry. The coupling of the e $\text{CO}_2$ RR with downstream thermochemical synthesis, namely the “electrochemical + thermochemical” approach, seems to be simple and straightforward. However, the separation of required feedstocks from a mixture of products derived from the e $\text{CO}_2$ RR can be energetically demanding. Integrating a separation unit between the electrochemical and thermochemical modules may offset the advantage of reducing  $\text{CO}_2$  emissions but remains necessary in some cases to optimize the reaction conditions of thermochemical reactors. For instance, Wang *et al.* demonstrated that simply

inserting a  $\text{CO}_2$ -absorption procedure between an e $\text{CO}_2$ RR reactor and a cascade  $\text{C}_2\text{H}_4$  oxidation reactor can double the production rate of ethylene glycol.<sup>124</sup>

To make the entire process more economically favorable, it is of course more desirable to leverage the mixed products as feedstocks directly for thermochemical conversion without further purification. Reactions requiring mixed reactants therefore naturally emerge as suitable candidates. Due to the ubiquity of CO as the most common product of the e $\text{CO}_2$ RR, various efforts have been reported to integrate carbonylation reactions in the tandem process. For instance, Chen *et al.* proposed a two-step tandem electrochemical-thermochemical approach to synthesize value-added  $\text{C}_3$  oxygenate molecules from  $\text{CO}_2$  (Fig. 8a),<sup>125</sup> in which  $\text{CO}_2$  was first electrochemically reduced to mixed gas products of  $\text{C}_2\text{H}_4$ , CO, and  $\text{H}_2$  on Cu with a MEA reactor. Due to the low solubility of these gas products in aqueous solution, it is convenient to separate them from other liquid products. A thermochemical hydroformylation reaction was then employed to produce propanal ( $\text{C}_2\text{H}_4 + \text{CO} + \text{H}_2 \rightarrow \text{CH}_3\text{CH}_2\text{CHO}$ ) and 1-propanol ( $\text{CH}_3\text{CH}_2\text{CHO} + \text{H}_2 \rightarrow \text{CH}_3\text{CH}_2\text{CH}_2\text{OH}$ ) from a mixed  $\text{C}_2\text{H}_4/\text{CO}/\text{H}_2$  feedstock (Fig. 8). It was shown that both the Cu-catalyst-dependent gas inlet composition and the reaction temperature can influence  $\text{C}_3$  yields and selectivity. The optimized  $\text{C}_3$  oxygenate selectivity on a reduced  $\text{CO}_2$ -basis was up to 18%, corresponding to a 4-fold improvement compared to direct electrochemical  $\text{CO}_2$  conversion in a flow cell. Meanwhile, dilute  $\text{C}_3$  oxygenate products could be easily isolated from the gaseous outlet stream of the second hydroformylation reactor. A similar concept was also leveraged by Li *et al.*,<sup>126</sup> who adopted an optimized Rh-complex catalyst for the hydroformylation reaction, increasing the  $\text{C}_3$  oxygenate selectivity to 44%. Other carbonylation reactions such as hydrocarboxylation, alkoxy carbonylation, and aminocarbonylation are also possible, as suggested by some very recent reports.<sup>127,128</sup>

In addition to the major reactants, the e $\text{CO}_2$ RR or eCORR can also supply activity- or selectivity-regulating species that do not directly participate in the reaction to thermochemical reactors. For instance, Sargent *et al.* showcased a different electrochemical and thermochemical route for converting  $\text{CO}_2$  to butane ( $\text{C}_4\text{H}_{10}$ ) *via* a  $\text{C}_1(\text{CO}/\text{CO}_2)$ – $\text{C}_2(\text{C}_2\text{H}_4)$ – $\text{C}_4(\text{C}_4\text{H}_{10})$  cascade (Fig. 8b).<sup>129</sup> CO in the humidified outlet stream of the direct  $\text{CO}_2$  or CO electrolyzer was found to promote  $\text{C}_2\text{H}_4$  dimerization to  $\text{C}_4\text{H}_{10}$  with a selectivity of up to 95% in a secondary thermochemical reactor under ambient conditions. The best overall CO-to- $\text{C}_4\text{H}_{10}$  cascade selectivity was as high as 43%. Mechanistic insights into the role of CO were obtained through DFT calculations, demonstrating that an increase in CO coverage can result in lowered dimerization and hydrogenation barriers, both of which promote the conversion of  $\text{C}_2\text{H}_4$  to  $\text{C}_4\text{H}_{10}$  according to the Cossee-Arlman olefin polymerization mechanism. More interestingly, a recent work by Chen *et al.* presents a proof-of-the-concept of leveraging e $\text{CO}_2$ RR-derived compositionally tunable syngas (*i.e.* CO +  $\text{H}_2$ ) for thermocatalytic synthesis of carbon nanofibers on the FeCo alloy under relatively mild conditions (370–450 °C, 1 atm).<sup>130</sup> The co-feeding of both CO and  $\text{H}_2$  was necessary for high solid carbon yields; while with CO feed only, the FeCo alloy catalyst deactivated rapidly.

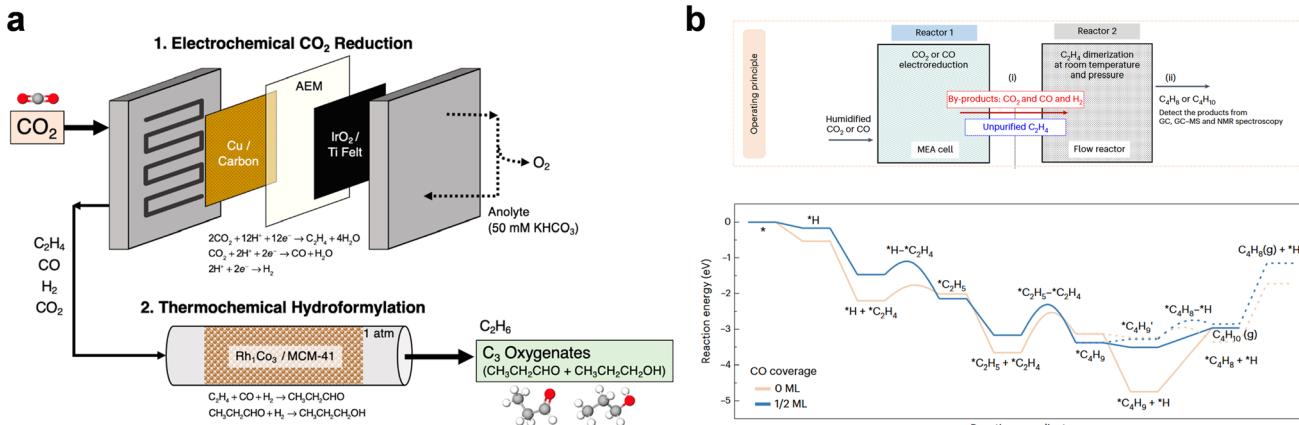


Fig. 8 “Electrochemical + thermochemical” approaches. (a) Schematic illustration of the eCO<sub>2</sub>RR + hydroformylation tandem process for the synthesis of C<sub>3</sub> oxygenate products. Adapted from ref. 125 with permission from American Chemical Society. (b) (Upper) schematic illustration of the eCO<sub>2</sub>RR + C<sub>2</sub>H<sub>4</sub> dimerization cascade process for the synthesis of C<sub>4</sub> hydrocarbons, and (bottom) DFT-based reaction energy diagram of C<sub>2</sub>H<sub>4</sub> dimerization towards C<sub>4</sub>H<sub>10</sub> (solid lines) and C<sub>4</sub>H<sub>8</sub> (short dashed lines) under 0 ML (beige) and 1/2 ML (blue) CO\* coverage, respectively. Adapted from ref. 129 with permission from Springer Nature.

The above success, as well as the vast number of well-established thermochemical reactions, has demonstrated the great potential of the “electrochemical + thermochemical” approach to extend the reach of electrochemical CO<sub>2</sub> upgrading to the synthesis of C<sub>3+</sub> products or even advanced solid carbon materials. Other promising reactions include Fischer–Tropsch synthesis and syngas–methanol–aromatic conversion.<sup>131,132</sup> While the gas product stream of the eCO<sub>2</sub>RR has been primarily focused on so far, the liquid product stream, such as alcohols and carboxylic acids, remains less considered for further thermochemical conversion, probably due to their low concentration in the blended electrolyte solution. A number of opportunities therefore still remain for engineering tandem or cascade “electrochemical + thermochemical” CO<sub>2</sub> conversion processes, which call for further exploration by future researchers.

### 3.3. “Electrochemical + biological” approaches

Similar to thermochemical reactions, there are many well-established biocatalytic reactions or processes capable of producing C<sub>3+</sub> chemicals with high selectivity and product specificity. More interestingly, biological processes can produce long-chain natural products that are absent in the product spectra of conventional electrolysis or thermocatalysis. For instance, glucose and fatty acids are key elements for human nutrition and important raw materials for fine chemical engineering and the food industry.<sup>133,134</sup> Producing them by mimicking the natural process of CO<sub>2</sub> fixation therefore presents great potential to revolutionize agriculture, reshape the bioeconomy, maintain biodiversity, and minimize the carbon footprint.<sup>135–137</sup> In light of this, coupling biological processes with electrocatalysis provides a potential route to this goal, where renewable electricity is adopted to transform CO<sub>2</sub> into reduced products, and various organisms or extracted enzymes are employed to produce value-added long-chain compounds from the reduced products.<sup>138</sup> Note that in a broader context of

bioelectrocatalysis, the electrochemical and biochemical processes can be integrated directly or indirectly. In direct bioelectrocatalysis, organisms compatible with the complex electrochemical environments are attached to catalytic electrodes directly, the number of which however remains limited. Thus, we primarily focus on the indirect coupling strategy, namely the spatially separated electro-biosystem, in this review.

Along this line, Schmid *et al.* described a scalable hybrid system by placing a fermentation module after a GDE-based CO<sub>2</sub> electrolyzer yielding syngas for subsequent anaerobic fermentation (Fig. 9a).<sup>139</sup> The CO<sub>2</sub> electrolyzer was carefully engineered with a commercial Ag-based GDE, exhibiting high energy efficiency of converting CO<sub>2</sub> to syngas at hundreds of mA cm<sup>-2</sup>. Such a high current density is generally unattainable in the direct bioelectrolysis scheme. Two kinds of anaerobic fermenters were then adopted for the relay biochemical conversion; *C. autoethanogenum* was first responsible for converting syngas to acetate and ethanol, *C. kluyveri* was then used to convert acetate and ethanol to butyrate and hexanoate, and finally *C. autoethanogenum* was adopted again to produce 1-butanol and 1-hexanol from their corresponding carboxylates. The total carbon selectivity to C<sub>4</sub> and C<sub>6</sub> alcohols was found to be significantly higher than that of the pure electrochemical approach.

Nevertheless, the low solubility of the gas feed could limit the productivity, volumetric efficiency, and economic viability of the “electrochemical + biological” system. In this regard, liquid carbon sources remain more desirable. While formic acid and methanol have high biotoxicity, acetic acid, or acetate as its salt form, is more readily metabolizable by a broad range of organisms. Separate studies have demonstrated the feasibility of leveraging eCO<sub>2</sub>RR-derived acetic acid/acetate for the biocatalytic production of long-chain organic compounds. As proposed by Xia *et al.*, acetate can be employed to organically link the two-step eCO<sub>2</sub>RR-eCORR and yeast fermentation, generating glucose with high yields (Fig. 9b).<sup>33</sup> In the two-step

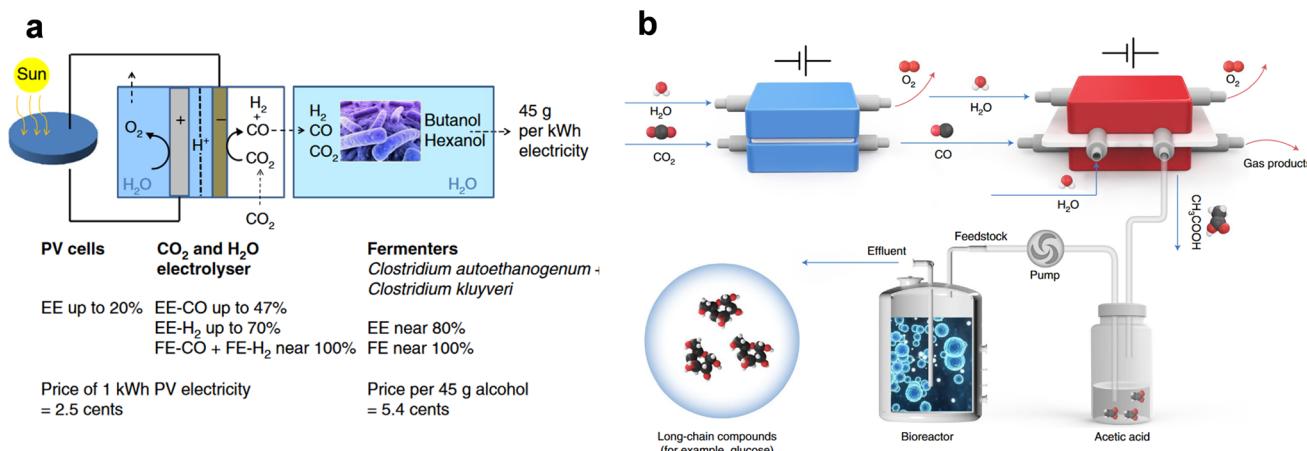


Fig. 9 “Electrochemical + biological” approaches. (a) Schematic illustration of the  $\text{CO}_2$  electrolyzer coupled to a fermentation module for the synthesis of 1-butanol and 1-hexanol. Adapted from ref. 139 with permission from Springer Nature. (b) Schematic illustration of the *in vitro* artificial sugar synthesis system based on the two-step  $\text{eCO}_2\text{RR}$ – $\text{eCORR}$  and yeast fermentation. Adapted from ref. 33 with permission from Springer Nature.

$\text{eCO}_2\text{RR}$ – $\text{eCORR}$  process, a Ni–N–C single atom catalyst and a defect-rich Cu catalyst were employed as the CO producer and acetate producer, achieving a FE of nearly 100% for CO from the  $\text{eCO}_2\text{RR}$  and a FE of 52% for acetate from the  $\text{eCORR}$ , respectively. Moreover, the adoption of a porous solid electrolyte in the second CO electrolyzer allowed for continuous generation of pure and concentrated acetic acid without pollution from electrolyte salts. The authors then genetically engineered *S. cerevisiae* to realize glucose production from the as-electrogenerated pure acetic acid *in vitro* with a high glucose yield of  $8.90 \mu\text{mol}$  per gram yeast per hour. In contrast, acetate with salt impurities resulted in no glucose production. The wide applicability of this work has attracted much attention, as it can be readily extended to the production of other chemicals as well (*e.g.* free fatty acids). Nearly at the same time, Jinkerson *et al.* reported a similar two-step electrochemical  $\text{CO}_2$ -to-acetate conversion route and used acetates for the heterotrophic cultivation of food-producing organisms such as yeast, fungi, algae, and crop plants, presenting another valid example of the promising perspectives of a decoupled electro-biosystem.<sup>34</sup> The available products of the “electrochemical + biological” scheme have been further extended to polymers. Dai *et al.* presented a systematic multi-tier chem-bio design to seamlessly integrate the  $\text{eCO}_2\text{RR}$  with microbial metabolism.<sup>140</sup> By taking soluble  $\text{C}_2$  intermediates as the feedstock, microorganisms showed better metabolic kinetics than  $\text{C}_1$  intermediates and  $\text{H}_2$  to produce medium-chain-length polyhydroxyalkanoates for applications such as biodegradable plastics.

In general, the technical feasibility and huge potential of “electrochemical + biological” approaches have been demonstrated by a handful of studies. The ability to produce long-chain compounds that are rarely observed in electrochemical and thermochemical  $\text{CO}_2$  conversion by the “electrochemical + biological” approach makes it particularly attractive. In addition, biological processes usually require only mild reaction conditions, in contrast to the majority of thermochemical

processes demanding elevated temperature or pressure, which can be valuable for process decentralization.

## 4. Summary and outlook

Due to the higher energy density and economic value of  $\text{C}_{3+}$  fuels and chemicals than their  $\text{C}_1$  and  $\text{C}_2$  counterparts, upgrading  $\text{CO}_2$  to  $\text{C}_{3+}$  products with renewable electricity is undoubtedly a promising direction to realize “Net Zero” emission and lay the foundation for a sustainable chemical industry. In the past few decades, many efforts have been devoted to the development and optimization of direct  $\text{eCO}_2\text{RR}$  technologies, showing a great leap in  $\text{C}_3$  selectivity and activity. Aiming to produce  $\text{C}_{4+}$  species with higher molecular weights, coupling the  $\text{eCO}_2\text{RR}$  with a complementary process has emerged in recent years to allow for tandem or cascade conversion leveraging reduced products in the outlet stream of the  $\text{eCO}_2\text{RR}$ . Despite the continuous research progress and constantly renewed records of  $\text{C}_{3+}$  selectivity/activity, challenges towards industrialization persist. Here we discuss some perspectives on these challenges and opportunities for addressing them.

### 4.1. Direct electrochemical approach

The direct electrochemical approach presents the simplest way of converting  $\text{CO}_2$  to  $\text{C}_{3+}$  products as the least number of processes are involved. The overall process can often run at near room temperature and ambient pressure. Along with the scalability of electrolyzers, this approach is extremely suitable for decentralized applications. To reveal the general trend of the  $\text{eCO}_2\text{RR}$ – $\text{eCORR}$  to  $\text{C}_{3+}$  products, the optimal FE and partial current density ( $j_{\text{C}_3}$ ) of  $\text{C}_{3+}$  products are summarized in Table S1† and Fig. 10. It can be generally concluded that after decades of research, the conversion efficiency of  $\text{CO}_2$  to a specific  $\text{C}_{3+}$  product is still the major bottleneck of direct  $\text{CO}_2$  electrolysis.

In addition to the above general conclusion, several key aspects can be extracted from Fig. 10: (1) the structural

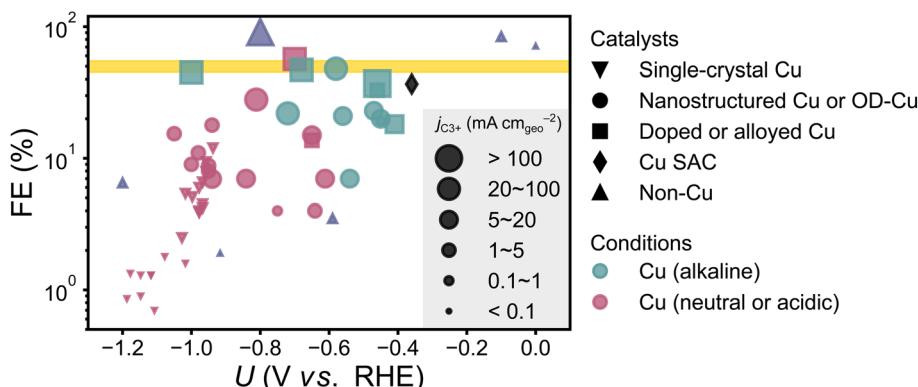


Fig. 10 A summary on catalytic performance of direct electrochemical  $\text{CO}_2/\text{CO}$ -to- $\text{C}_{3+}$  conversion using different types of catalysts (including single-crystal Cu, nanostructured Cu or OD-Cu, doped or alloyed Cu with foreign element regulation, Cu SAC, and Mo- or Ni-based non-Cu catalysts). The first three types of catalysts are further divided into two groups to reveal the influence of electrolyte pH; cyan for the alkaline conditions where KOH electrolytes are adopted, and red for the neutral or acidic conditions where bicarbonates, halides, or other oxy salts are adopted. The size of markers indicates the magnitude of partial current density of  $\text{C}_{3+}$  formation. Data for plotting are tabulated in Table S1.†

sensitivity of Cu-based catalysts. With Cu-based materials still being the only widely justified catalytic systems for the  $\text{eCO}_2\text{RR}$  to  $\text{C}_{3+}$  chemicals, most of the reports have demonstrated optimal FEs of 5–15%, which is quite close to the results obtained on single-crystal Cu(S)-[ $n(100) \times (111)$ ] electrodes according to the 20 year-old report by Hori *et al.*<sup>56</sup> This finding accentuates the necessity of combining different Cu sites with distinct coordination numbers, local geometries and electronic structures, and binding affinities to critical surface species (e.g. CO and  $\text{C}_2$  precursors). Therefore, a focus shift from active site to active site ensemble may facilitate future Cu-based catalyst design. By comparing the nanostructure Cu/OD-Cu (shown as circles in Fig. 10) systems with doped/alloyed Cu (shown as squares in Fig. 10) systems, it is found that dilute alloying presents the most promising route for selective  $\text{C}_3$  production; nevertheless, we also note that these bimetallic or multimetallic materials still benefit from desirable micro-/nanostructure and defect-rich surfaces. Untangling the intertwined structural and electronic effects is still of great interest from a fundamental perspective.

(2) The conditional sensitivity of direct electrolysis.  $\text{CO}_2/\text{CO}$  electrolysis under alkaline conditions (shown in cyan in Fig. 10) generally demonstrates higher catalytic efficiency than electrolysis under neutral or acidic conditions (shown in red in Fig. 10). This is in accordance with the well-understood pH effect that high pH favors  $\text{C}_2$  formation, giving rise to higher coverage of  $\text{C}_2$  for further coupling reactions.<sup>36–42</sup> Also, the HER is suppressed at high alkalinity. However, it must be pointed out that the inevitable reaction between  $\text{CO}_2$  and hydroxide is detrimental to the local alkalinity maintenance and overall carbon efficiency. The conversion from  $\text{CO}_2$  to CO is suggested to be spatially decoupled from the subsequent CO-to- $\text{C}_{3+}$  process; otherwise, improving the catalytic efficiency in acidic media would be another promising direction.

(3) The narrow optimal operational window. Through combinatorial efforts in engineering catalysts,  $\text{CO}_2/\text{CO}$  feedings, reaction microenvironments, and reactors, the highest  $\text{C}_{3+}$

FEs were reported in the range of approximately 45–55% (highlighted as the region in yellow, Fig. 10). However, such an optimal performance is only attainable in a quite narrow potential (or current-density) window,<sup>104,106</sup> severely limiting the compatibility of pure electrochemical systems with renewable power generations showing huge variations in output current. Therefore, it is necessary to further widen the high- $\text{C}_3$ -selectivity window for practical decentralized applications.

(4) The inherent mechanistic limitations of the carbonyl-dominated C–C coupling mechanism. It is rare for Cu-based catalytic systems to yield products beyond  $\text{C}_3$  through direct  $\text{eCO}_2\text{RR}$ . Although recent studies have validated the possibility to produce  $\text{C}_{4+}$  through domino reactions coupling homogeneous non-electrocatalytic and heterogeneous electrocatalytic steps in one-pot electrolysis, their FEs are at the level of ~20%, far from satisfactory for industrialization.<sup>121,122</sup> The lack or scarcity of  $\text{C}_{4+}$  products in the Cu-catalyzed  $\text{eCO}_2\text{RR}$  suggests the inherent limitation of the carbonyl-dominated C–C coupling mechanism. On one hand, moderately binding catalytic surfaces like Cu are essential for suppressing the HER. On the other hand, high  $\text{CO}^*$  coverage is essential for the initial C–C bond formation. Nonetheless, it is difficult to obtain sufficient coverage of higher-order  $\text{C}_{2+}$  as well under such a prerequisite. Balancing the surface distribution of various key  $\text{C}_1$ – $\text{C}_3$  intermediates would require a variety of active site ensembles possessing different propensities to bind specific intermediates. This could explain the high structural sensitivity of Cu-based catalysts. However, Cu surfaces are often extremely sensitive to the environment and quite dynamic under specific reaction conditions,<sup>66–68</sup> which is in stark contradiction to the above requirements to stabilize  $\text{C}_3$ -specific active site ensembles and balance the propensities of key surface intermediates. The origin of the above limitation still remains a missing piece in the current mechanistic understanding of the  $\text{eCO}_2\text{RR}$ . Further investigations combining advanced characterization and simulation tools to reveal corresponding mechanistic understandings therefore remain nontrivial.

(5) The promise and problem of non-Cu catalysts. Exploring non-Cu catalysts having different mechanisms (e.g. hydrocarbon oligomerization and oxygenate condensation) can effectively circumvent the above fundamental limitations that conventional Cu-based catalysts may pose. As a consequence, some non-Cu catalysts such as Mo and Ni phosphides have shown very promising FEs (>90%) and overpotentials (<300 mV) for C<sub>3</sub> production (Fig. 10).<sup>116,119</sup> These Mo- and Ni-based non-Cu catalysts generally feature intermediate chemisorption strengths between Cu and strong-binding surfaces known for being HER-active only (e.g. Ni metal), allowing for favorable stabilization of certain C<sub>2+</sub> surface species. However, the enhancement of the HER can emerge as an undesirable side effect.<sup>118</sup> Therefore, the increased carbon selectivity towards C<sub>3+</sub> usually comes at the price of lowered electron selectivity, which is also the main reason why C<sub>3</sub> FE decreases drastically with increasing overpotential (Fig. 10). Seeking appropriate HER-suppression strategies without sacrificing the unique C<sub>3+</sub> productivity is a promising future direction. As the exploration of novel non-Cu catalysts is less reported than that of Cu-based catalysts, more justification on the validity of non-Cu catalysts for both carbon- and electron-selective eCO<sub>2</sub>RR would be necessary.

#### 4.2. "Electrochemical + X" approaches

Compared to pure electrochemical approaches, "electrochemical + X" approaches present several unique advantages (Table 1). The first exceptional advantage lies in the high production efficiency (combining both selectivity and activity) of C<sub>3+</sub> products from CO<sub>2</sub>. This is attributed to the discrete nature of process units, which allows for individual design and separate optimization of each unit without interference from other processes, as opposed to reactions in one pot. Moreover, complementing a spatially decoupled "X" process can strictly isolate the needs for CO<sub>2</sub> activation and C-C coupling into different sectors. And the vast possibilities in complementary

processes significantly expand the product scope from single-electrolysis-accessible C<sub>3</sub>/C<sub>4</sub> alcohols and hydrocarbons to inaccessible C<sub>5+</sub> chemicals. In addition, we propose that the spatially separated yet still in-place tandem process is more advantageous than deploying multiple individual plants in different locations, due to the elimination of safety risks associated with long-range transportation and long-term storage of hazardous chemicals (e.g. CO and H<sub>2</sub>).

However, "electrochemical + X" approaches also face a series of challenges for practical implementation: (1) the system complexity is higher than that of the direct electrochemical approaches as there are more unit processes, which inevitably results in increased costs for construction, operation, and maintenance, offsetting the benefits of more valuable C<sub>4+</sub> chemicals as well as their enhanced yields in a tandem process. More importantly, some of the "X" processes, especially thermochemical reactions demanding high temperature and pressure, are obviously energy-intense and carbon-emission-positive, while biological processes often rely on non-autotrophic organisms, the life maintenance of which also needs extra energy inputs and emits CO<sub>2</sub>. Both aspects mitigate the ability of such hybrid approaches to reduce CO<sub>2</sub> emission compared to their purely renewable-powered electrochemical counterparts. Therefore, critical techno-economic and carbon footprint analyses are of utmost urgency and importance in future research, especially for unexplored products (e.g. aromatics).

(2) Another key aspect is the interaction between electrochemical and complementary modules. The product outlet streams of the eCO<sub>2</sub>RR are typically mixed gas and liquid products, as currently it is only possible to control the product selectivity to near-unity for 2e<sup>-</sup> products (CO and formate) *via* the eCO<sub>2</sub>RR. Although some studies have showcased the possibility of leveraging a mixture feedstock without purification,<sup>125,129</sup> the influence of impurities is usually nontrivial and deserves in-depth investigations.<sup>33,34</sup> Implementation of extra separation units can further introduce economic burden. In

**Table 1** A summary on advantages and challenges of "electrochemical + X" and direct electrochemical approaches for upgrading CO<sub>2</sub> to C<sub>3+</sub> products

	"Electrochemical + X"	Direct electrochemical
Advantages	<ul style="list-style-type: none"> <li>• High conversion efficiency</li> <li>• Decoupled process optimization</li> <li>• Expanded scope of available products</li> <li>• Eliminate risky chemical transportation and storage</li> </ul>	<ul style="list-style-type: none"> <li>• Simple processes</li> <li>• Mild conditions</li> <li>• Suitable for decentralized applications</li> </ul>
Challenges	<p><i>Overall</i></p> <ul style="list-style-type: none"> <li>• Complex processes</li> <li>• Increased capitalized costs</li> <li>• Interface or connection between modules (e.g. stream purification)</li> </ul> <p><i>"X" – homogeneous reactions</i></p> <ul style="list-style-type: none"> <li>• Reaction rate alignment</li> <li>• Mass-transport management of reactive intermediates</li> </ul> <p><i>"X" – thermochemical</i></p> <ul style="list-style-type: none"> <li>• Additional energy consumption and CO<sub>2</sub> emission</li> <li>• Unsuitable for decentralized applications</li> </ul> <p><i>"X" – biological</i></p> <ul style="list-style-type: none"> <li>• Extra energy input for non-autotrophic organisms, and CO<sub>2</sub> emission</li> <li>• Product separation from organisms</li> </ul>	<p><i>Cu-based catalytic systems</i></p> <ul style="list-style-type: none"> <li>• Low conversion efficiency</li> <li>• Limited availability of products</li> <li>• Narrow operational window</li> </ul> <p><i>Non-Cu catalytic systems</i></p> <ul style="list-style-type: none"> <li>• HER competition</li> <li>• Wider and more rigorous demonstration</li> </ul>

this case, adoption of solid-electrolyte-based electrolyzers may alleviate the issue of separating liquid products from electrolyte salts.<sup>33</sup> Seeking complementary approaches with high tolerance to impurities or capabilities to utilize multiple feedstocks presents another promising future direction. Apart from the inlet stream purification, the separation of products and organisms also presents a technical hurdle and deserves further techno-economic analyses and investigations.

(3) The actual efficiencies of “electrochemical + X” approaches require more rigorous and comprehensive evaluation. There are a number of factors leading to deteriorated efficiency. For “electrochemical + homogeneous reaction” approaches, homogeneous reactions (e.g. aldol condensation or esterification) under ambient conditions often possess lower rates than the prior electrochemical reactions, limiting the conversion of C<sub>1–3</sub> intermediates to C<sub>4+</sub> products.<sup>121,122</sup> The mass transport of reactive intermediates also needs to be well managed towards full utilization. When “X” stands for thermochemical or biological processes, the overall energy efficiency might not be as promising as the conversion efficiency (based on either carbon or electron utilization). The extra energy required for driving thermochemical reactions or sustaining organism lifespan is nontrivial, and developing better practices to maintain the superiority of “electrochemical + X” remains indispensable.

In sum, the electrochemical upgrading of CO<sub>2</sub> to high-value C<sub>3+</sub> products has remained and will stay a challenging yet attractive research area for decades to come. Collaborative research studies from multidisciplinary and interdisciplinary perspectives are highly desirable to spur innovations on advanced materials and processes. And we believe that the successful industrialization of electrochemical and sustainable CO<sub>2</sub> upgrading will eventually present a viable solution to the environmental and energy crises faced by human society.

## Data availability

No primary research results have been included and no new data were generated or analyzed as part of this review.

## Conflicts of interest

The authors have no competing interests.

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