PERSPECTIVE

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In vitro-based human toxicity effect factors: challenges and opportunities for nanomaterial impact assessment

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The growing number of nanomaterials being produced represents a challenge for the assessment of their toxicity impacts in life cycle assessment (LCA). The human toxicity effect factor, indicating the population incidence risk caused by chemical exposure, is traditionally estimated from *in vivo* animal test data; however, this kind of study is being reduced in favor of *in vitro* testing. In this perspective, we identify the peculiarities of nanomaterials compared to chemicals, and how this affects, or should affect, the LCA toxicity characterization methodology within the life cycle impact assessment (LCIA) step. Then, we also discuss both the challenges and the opportunities of integrating *in vitro* data into LCIA, such as the scarcity of chronic *in vitro* experiments and avoiding inter-species extrapolation. Moreover, we show the acceptable uncertainty space for *in vitro*-derived toxicity effect factors for nanomaterials, based on the range of uncertainty of toxicity effect factors for chemicals. Last, we advocate that using *in vivo* data as a benchmark for the accuracy of derived human toxicity effect factors may in certain cases be misleading. While the adaptation of the LCIA toxicity characterization methodology for nanomaterials and *in vitro* data is not yet achieved, cross-discipline discussions are a fundamental step towards a successful integration of both new data sources and new substance types into LCIA.

Environmental significance

Life cycle assessment studies of nanomaterials often disregard the potential toxicity impacts caused by nanomaterial emissions, due to a lack of respective characterization factors. Among the causes, there is the difficulty of calculating human effect factors, not only due to the scarcity of data but also due to the uncertainty on whether the standard toxicological impact assessment methodology developed for organic chemicals and metal ions can be applied to nanomaterials as well. This perspective gives a new interdisciplinary overview of the critical issues for the adaptation of the human toxicity effect factor calculation to nanomaterials, and investigates the challenges and opportunities connected to the use of *in vitro* toxicity data instead of animal toxicity data.

1 Introduction

The increasing number of nanomaterials that are being developed requires a careful assessment before entering the market, to make sure their use is safe for humans.[1] Besides, such materials could provide additional functionalities and enhanced performances compared to existing technologies

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and chemicals, thus representing a more sustainable alternative.[2,3] Two methodologies address these issues: human health risk assessment (HRA) aims at evaluating whether the health risks posed by nanomaterials to humans in specific exposure situations are acceptable or not,[4] while life cycle assessment (LCA) aims at comparing products or processes based on the environmental impacts that they generate along their life cycle, including their (negative) effects on human health.[5] Despite differences in goals, procedures and boundary conditions, these two methodologies rely on the same kind of data to provide information about nanomaterial toxicity, *i.e.* human toxicological data or data from animal studies.[6] While human toxicological data are rare and can only be obtained after the population has been already exposed, animal data are becoming scarcer as well, as the toxicology field moves from a phenomenological approach to a mechanistic approach,

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where *in vitro* testing is preferred to investigate if and how toxicity arises.[7]

Over the past few years, different approaches have been investigated and developed in the HRA and nanotoxicology fields to accelerate the evaluation of nanomaterial toxicity and to derive human-relevant information from *in vitro* data instead of animal data.[8] Among many, the development of more advanced *in vitro* models has brought these experiments closer to realistic conditions, both in terms of exposure and dose–response;[9] the development of adverse outcome pathways (AOPs) provides insights on the link between initial events that can be observed *in vitro* and the progression of toxicity up to human pathology;[10] grouping approaches are more and more used to infer toxicity based on the similarity in properties of untested and tested nanomaterials.[11,12]

USEtox, the UNEP/SETAC global scientific consensus model for the characterization of human toxicological and ecotoxicological impacts of chemicals,[13] is a widely applied life cycle impact assessment (LCIA) model.[14,15] It defines the methodological steps for the calculation of toxicity-related characterization factors (CFs), which represent the potential toxicity-related impacts on human health and on ecosystem quality caused by the emission of substances into the environment. Other impact assessment methods, such as Recipe 2016, use similar approaches.[16] For human toxicity impacts, a CF is obtained by the combination of a fate factor (FF), indicating the distribution of a substance in the environmental compartments, an exposure factor (XF), indicating the intake of a substance by humans from an environmental compartment and through different exposure pathways, and a toxicity effect factor (EF), which indicates the disease incidence in the human population linked to the intake of a substance.[13,17] The toxicity effect factor is calculated either from human data or by extrapolating to humans the information from animal studies, separating cancer and non-cancer effects. As non-cancer effects are the ones more easily tested *in vitro*, hereafter the term "toxicity effect factor" will be used to indicate non-cancer toxicity effect factors only.

Considering that the market for nanomaterials and nanomaterial-containing products is now in a phase of fast growth,[18] calculating human toxicity effect factors for nanomaterials becomes a much-needed as well as tedious task. In this perspective, we explore and discuss the challenges and opportunities of integrating *in vitro* data into human toxicity characterization of nanomaterials in LCIA. In the frame of these activities, we identified two main types of hurdles that currently hinder the development of toxicity effect factors for nanomaterials: a) the lack of nano-specific LCIA toxicity characterization methods, and b) the scarcity of animal toxicity studies with respect to the number of existing nanomaterials. For the first point, we describe consecutively the strategies that have been proposed to adapt to nanomaterials the toxicity effect factor calculation procedure originally developed for organic chemicals and metal ions,

pointing out which challenges remain today yet to be solved. As a potential solution to the second point, we discuss the challenges as well as the advantages of using *in vitro* toxicity data in place of *in vivo* toxicity data.

2 Toxicity effect factor calculations

2.1 The USEtox 2.0 methodology

The human toxicity effect factor "relates human health effects to the mass taken in by humans *via* different exposure pathways",[13] discriminating between the inhalation and ingestion routes. The toxicity effect factors for each route are derived from the lifetime human ED_{50} (hED50), *i.e.* the lifetime dose inducing non-cancer diseases in 50% of the population, considering 70 years of lifetime, a 70 kg body weight for ingestion and a 13 m^3 d^{-1} inhalation rate for inhalation,[19] with the formula:

$$EF = \frac{0.5}{hED_{50}}$$

In the absence of human toxicological data, a human-equivalent ED_{50} is calculated from animal data, by applying the following extrapolation and correction factors as needed:

- Interspecies extrapolation factor: divide by the factor 1 for inhalation or varying from 1.1 for pigs to 7.3 for mice for oral exposure;
- Route-to-route: multiply by 1;
- Discontinuous to continuous exposure correction factor: multiply by $\frac{\text{Days per week}}{7} \cdot \frac{\text{hours per day}}{24}$;
- Sub-chronic or sub-acute to chronic extrapolation factors: divide respectively by 2 or 5;
- Acute LD_{50} to chronic ED_{50}: divide by 26;
- NOAEL to ED_{50} extrapolation factor: multiply by 9;
- LOAEL to NOAEL extrapolation factor: divide by 4.

2.2 Proposed changes to the methodology

In 2002, Pennington *et al.*[20] suggested that ED_{10} would be a better reference point in dose–response curves compared to ED_{50}, since this measure better represents the marginal toxicity slope at environmentally-relevant exposure levels.

A recent publication[21] proposes an update of the methodology for the calculation of toxicity EFs for non-cancer endpoints. The new human toxicity dose–response framework is based on probabilistic dose–response assessment; a probabilistic approach is applied as well for the extrapolation between toxicological dose descriptors (*e.g.* NOAEL to LOAEL) and the related uncertainty.[22] The new method adopts the benchmark dose (BMD) approach to estimate a human lifetime ED_{10}, and even though multiple dose descriptors can be used (*e.g.* NOAEL, LOAEL), they are all converted to a BMD value *via* extrapolation factors.[23] The choice of the BMD approach aligns the LCIA methodology with the current consensus on risk assessment (RA).[24,25] Compared to NOAEL, which was widely used in RA in the past,[26] BMD presents multiple advantages,

such as: 1) the full non-linear dose–response curve is used for its calculation, as described in Chiu *et al.*;[22] 2) BMD is less dependent on the number and spacing of the selected doses; 3) the uncertainty of BMD is quantifiable and can be reported as confidence intervals.[27,28]

3 Why we cannot treat nanomaterials as chemicals

Fundamental differences between chemicals and nanomaterials entail that the approaches developed for the former cannot be simply applied to the latter.

First of all, nanomaterials cannot be defined solely by their chemical composition, as the same material can exist in multiple forms, *i.e.* have different sizes and size distributions, crystalline structures, coatings, shapes, *etc.*[29] The combination of these characteristics determines then the individual material properties, which differ from those of their bulk counterparts.[30,31] This requires additional effort in terms of material characterization, and represents a challenge in terms of reproducibility and comparability.[31]

During storage, use, and disposal of nanomaterials and nano-enabled products, these properties can change due to transformation processes such as oxidation, aggregation, and dissolution;[32] in the case of smart nanomaterials, the change in properties and/or activation of specific functions is designed to occur in reaction to specific stimuli, thus adding an additional level of complexity to the characterization and toxicity assessment.[33] When released into the environment, nanomaterials can undergo chemical, physical, and biological transformations, as well as interact with macro-molecules.[34–36] Upon entering biological systems, they can again be subject to bio-transformations that modify their properties and behavior, such as the formation of a protein corona.[37]

Not only the behavior, but also the toxicity of nanomaterials is delineated by the combination of these properties, while for chemicals, the biological effects are governed by the chemical identity only.[38] For this reason, nanoparticle toxicity is better expressed as a function of the property/ies driving it; for example, surface area can be a better reference dose than mass for inhaled low-toxicity low-solubility particles.[39] However, understanding which and how properties affect toxicity is not a trivial task, especially when considering that a nanomaterial reaching a biological target is not anymore as homogeneous as the pristine material, but consists of a population of different materials with different physico-chemical properties.[37]

This complexity in the structure and properties of nanomaterials distinguishes them from chemicals, and calls for *ad hoc* approaches.

4 Nano-specific challenges

Compared to chemicals, the development and use of toxicity effect factors for nanomaterials presents some intrinsic and some methodological challenges.

As stipulated in section 3, nanomaterials exist in a potentially endless number of nanoforms, determined by their physico-chemical properties, and are often heterogeneous mixtures once they reach and enter the human body. How should this vastness of property combinations be managed in LCIA? At which point do we consider two nanomaterials/nanoforms as different enough to require for each of them a specific toxicity effect factor? It is obviously not possible to develop toxicity effect factors for each single form; instead, a more realistic approach could be to group nanomaterials based on their toxicity, and use a single toxicity effect factor for each group. However, to classify nanomaterials without testing each one of them, we need to understand how their physico-chemical characteristics affect their toxicity.[40] At which point does the change in properties determine a significant shift in toxicity, as for example the fiber paradigm identifying nanofibers as carcinogenic only if they are at the same time stiff, long, and biopersistent?[41] In this direction, multiple grouping strategies have been developed, in which nanomaterials are classified based on their intrinsic and extrinsic properties, their behaviour, or their mode of action (see ref. 42 for a comprehensive overview). Establishing groups of nanomaterials is though made difficult by the scarcity of data, the lack of harmonized experimental methods, and concerns about the quality of the data.[42]

Walser *et al.*[43] faced a similar challenge when developing a derivation strategy for the calculation of EFs for nanomaterials. In their procedure, the critical first step is the assignment of a clear chemical identity to the substance, which would be representative of nanomaterials with similar toxic effects, thus allowing the reduction of the need for new toxicity effect factors for materials that are not comparable with those already existing. However, developing such a scientifically-justifiable hierarchy for grouping is not an easy task because of the large number of combinations of physical and chemical properties of nanomaterials, and requires a consensus among a variety of specialists such as risk assessors and LCA scientists. If a nanomaterial requires a new EF, Walser *et al.*[43] suggests a tiered approach to manage data scarcity, where, in the absence of animal data, the EF is extrapolated based on classification into either poorly soluble, low-toxicity nanoparticles, persistent high aspect ratio nanofibers, or soluble metals and metal oxides. While not yet included in such a strategy, *in vitro* data could play an important role in LCIA as a basis of comparison of the potency of nanomaterials with a similar mode of toxicity action.[43] Building on the work from Walser *et al.*,[43] Fransman *et al.*[44] defined a step-by-step procedure to calculate EFs for inhaled nanomaterials. For the determination of ED_{50}, the dose should be expressed in the most relevant dose metric, based on the recognition of the impact that surface area and particle number may have on toxicity. Normalizing the EF by a unit specific surface area or a specific number of particles would then allow covering the whole spectrum of these two properties, whereas a mass-based EF would be unique to each nanomaterial with *e.g.* a different specific surface area.

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Despite the fact that such initial frameworks have been developed in order to calculate EFs for nanomaterials, it is clear that the challenges connected to the peculiarities of the broad variety of nanomaterials cannot be answered by the LCA field and scientists alone. But LCA practitioners need to be aware of all this, for example in order to define the applicability range of the toxicity effect factors they develop, or to calculate the toxicity effect factor as a function of the most relevant properties, similar to how Laurent *et al.*[45] calculated NOAEL values for titanium dioxide as a function of its primary size.

From a methodological point of view, the extrapolation factors used to convert different dose descriptors (*e.g.* LOAEL, NOAEL) to ED_{50} and non-chronic to chronic exposures have been obtained from the analysis of organic chemical toxicity data.[46–48] The suitability of these factors for nanomaterials is yet unknown, but they have been used up to now in the absence of better options.[45] To verify existing factors as well as to develop nano-specific ones, we would need *in vivo* toxicity data reporting pairs of, for example, NOAEL and ED_{50} values, or effects under sub-acute and chronic exposure conditions. Hence, a good number of data points covering different types of nanomaterials would be actually needed; for organic chemicals, the number of pairs used has ranged from 21, for the NOAEL–ED_{50} comparison of non-cancer effects,[47] to more than 200 pairs for sub-chronic to chronic NOAEL values.[46]

Animal toxicity studies about nanomaterials are quite scarce, especially chronic ones. Moreover, combining results from different studies is not trivial: on one side, a transparent and comprehensive reporting of the nanomaterial physico-chemical properties is often lacking; on the other side, the nanomaterial properties (when reported) are often not the same between studies. For these reasons, calculating nano-specific extrapolation factors seems a remote possibility.

5 Existing toxicity effect factors for nanomaterials

Most LCA studies overlook the potential impacts caused by nanomaterial release, often because of the lack of CFs for such materials.[56] The few existing toxicity effect factors for nanomaterials have been calculated by applying the USEtox approach for bulk chemicals, with slight adaptations in some cases (Table 1). The main differences pertained to the dose used in the calculation of the toxicological dose descriptors (*e.g.* ED_{50} or ED_{10}), which in some cases was expressed in deposited dose instead of intake dose, or in surface area instead of mass. In the former case, the EF was then calculated in cases per intake dose by converting the dose descriptor from deposited to intake dose using size-specific deposition fractions calculated *via* a lung dosimetry model;[50,57] in this case, while the EF calculation deviates from the consensus model, the obtained EF is expressed in the same unit as USEtox EFs, thus allowing its use for the calculation of characterization factors without further

adaptations. In contrast, when the toxic effects were proportional to the surface area of the particle rather than the mass, *i.e.* the relevant dose metric was the surface area, it affected not only the dose descriptor calculation but also the EF, which was normalized by the specific surface area of the nanoparticle. In this way, the EF could be applied to nanoforms with different surface areas. Only in two studies were the human toxicity effect factors calculated from *in vitro* toxicity data: in one case the EF was calculated by assuming the *in vitro* endpoint (reactive oxygen species production) to be predictive of the incidence of inflammation in humans, therefore considering the *in vitro* ED_{50} in mg per million neutrophils as corresponding to the human ED_{50}, and requiring only extrapolation from cellular dose to intake dose.[54] The other study instead used a comparative approach, as suggested also by Walser *et al.*;[43] the EF was estimated *via* a relative potency approach, by multiplying the EF of the corresponding ion (*e.g.* copper ions and copper oxide nanoparticles) by the difference in potency between ions and nanoparticles, measured *in vitro*.[55]

6 Challenges and advantages of the use of *in vitro* data

In addition to human and animal toxicological data, *in vitro* toxicity data are a more recent but already richer source of toxicological information, and could potentially be used to calculate human toxicity effect factors for nanomaterials as well as chemicals (Fig. 1).

Using *in vitro* data would have many advantages—beyond the simple fact that producing such data is much simpler compared to producing animal data—but this introduces at the same time also new challenges and requires that the respective LCIA methodologies are adapted accordingly. While these advantages and challenges are not necessarily nanomaterial-specific but more generally apply to the use of *in vitro* data for any kind of substances (*e.g.* endocrine disruptors[58]), the nanomaterial perspective is nevertheless required when developing a practical approach to overcome these hurdles for this material category.

First of all, compared to animal-based nanotoxicology, *in vitro* nanotoxicology is a fast-evolving and very active field, meaning that any consideration we do based on current technologies, practices, and experimental systems should account for the fact that those practices will be further improved over the years, and we therefore can expect more realistic systems and higher-quality results in the future.

A comparison of *in vitro* and *in vivo* toxicity screening tests showed that the former, in addition to sparing the life of many animals, was cheaper than the latter (see Meigs *et al.*[59] for figures on specific comparisons of *in vivo vs. in vitro* experiments). While the costs increase with the complexity of *in vitro* systems, the results obtained using these systems are also more informative.[60] Considering that *in vitro* tests can be both high-content and high-throughput, their application offers the possibility to test many more nanomaterials and

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Table 1 Toxicity effect factors for non-cancer effects of nanomaterials. Differences from the USEtox methodology can be observed in the units of the toxicity effect factor, in the toxicological dose descriptor calculations, and in the source of toxicological information. SWCNTs: single-walled carbon nanotubes; MWCNTs: multi-walled carbon nanotubes

Nanomaterial	Effect factor	Units	Exposure route	Differences from the USEtox methodology	Source data	Ref.
SWCNTs	5.3×10^{-2}	Cases/kg$_{intake}$	Inhalation	—	In vivo	49
SWCNTs	1.1×10^{-3}	Cases/kg$_{intake}$	Ingestion	—	In vivo	49
MWCNTs	1.4×10^{-2}	Cases/kg$_{intake}$	Inhalation	—	In vivo	49
MWCNTs	13	Cases/kg$_{intake}$	Ingestion	—	In vivo	49
MWCNTs	530	Cases/kg$_{intake}$	Inhalation	Dose descriptor calculated in mass deposited in the lungs	In vivo	50
MWCNTs	2.5×10^{3}	Cases/kg$_{intake}$	Inhalation	Dose descriptor calculated in mass deposited in the lungs	In vivo	50
Carbon black	2.9×10^{-2}	Cases/(m^2 g^{-1} kg$_{intake}$)	Inhalation	Surface area as dose metric	In vivo	50
Titanium dioxide	1.72×10^{-2}	Cases/kg$_{intake}$	Inhalation	Indoor workplace exposure (45 years, 240 days per year)	In vivo	51
Titanium dioxide	7.26×10^{-3}	Cases/kg$_{intake}$	Inhalation	—	In vivo	51
Titanium dioxide	1.15	Cases/kg$_{intake}$	Inhalation	—	In vivo	52
Titanium dioxide	2.94×10^{-2}	Cases/kg$_{intake}$	Ingestion	—	In vivo	52
Titanium dioxide	1.21×10^{6}	Cases/(kg$_{deposited}$ g$_{lung}^{-1}$)	Inhalation	Dose descriptor calculated in mass deposited per lung unit mass	In vivo	53
Titanium dioxide	5.6×10^{-2}	Cases/(m^2 g^{-1} kg$_{intake}$)	Inhalation	Surface area as dose metric	In vivo	50
Titanium dioxide	5.6×10^{-2}	Cases/(m^2 g^{-1} kg$_{intake}$)	Inhalation	Surface area as dose metric	In vivo	44
Copper	5.96×10^{-1}	Cases/kg$_{intake}$	Ingestion	Calculated from in vitro experiments	In vitro	54
Copper oxide	4.5×10^{-2}	Cases/kg$_{intake}$	Inhalation	Dose descriptor calculated via the relative potency approach	In vitro	55
Copper oxide	7.5×10^{-3}	Cases/kg$_{intake}$	Ingestion	Dose descriptor calculated via the relative potency approach	In vitro	55
Silver	6.5×10^{-1}	Cases/(m^2 g^{-1} kg$_{intake}$)	Inhalation	Surface area as dose metric	In vivo	50
Silver	1.2	Cases/kg$_{intake}$	Inhalation	Dose descriptor calculated via the relative potency approach	In vitro	55
Silver	5.9×10^{-1}	Cases/kg$_{intake}$	Ingestion	Dose descriptor calculated via the relative potency approach	In vitro	55
Zinc oxide	2.9×10^{-2}	Cases/kg$_{intake}$	Inhalation	Dose descriptor calculated via the relative potency approach	In vitro	55
Zinc oxide	2.5×10^{-2}	Cases/kg$_{intake}$	Ingestion	Dose descriptor calculated via the relative potency approach	In vitro	55

also react faster to the development of new materials than what would be possible using only animals.[61,62]

Being able to do more tests in less time also means, with respect to the toxicity effect factor calculation, that different cell lines could be used to test both the inhalation and the ingestion exposure routes, avoiding the need for route-to-route extrapolation. Moreover, multiple doses can be used to obtain a dose–response curve and identify resulting BMD or ED$_{50}$ values, instead of extrapolating from NOAEL or LOAEL values.

Since in vitro tests are based on human cells, we can avoid the need for extrapolating from animals to humans, but we need to extrapolate a cellular response to a human response instead. To do so, we need the in vitro system to mimic as much as possible at least the early events driving the toxic effects that we would observe in humans. Unfortunately, in vitro systems cannot currently capture the complexity of in vivo pathophysiological conditions. However, in vitro

technologies are starting to anticipate this complexity by moving from cancer cell lines to primary human cells, from mono-cultures to co-cultures, from 2D to 3D systems, and from static to dynamic conditions, creating novel systems such as organs-on-a-chip.[63]

While submerged mono-cultures can be considered quite rudimentary systems, co-cultures, where different cell lines are cultured together to represent the complexity of cell–cell interactions, more realistically respond to nanomaterial exposure.[63–66] Depending on the case, additional factors have to be considered and integrated in the in vitro system to mimic physiological conditions. Cells exposed to a flow (e.g. blood and lymph) are subjected to sheer stress, which affects the cellular structure and function.[67] Microfluidic technologies, thanks to their ability to replicate steady and transient flows, have revolutionized the study of the microenvironment of cells, even though their complexity is still a limit to their wide application.[68] The liver is another

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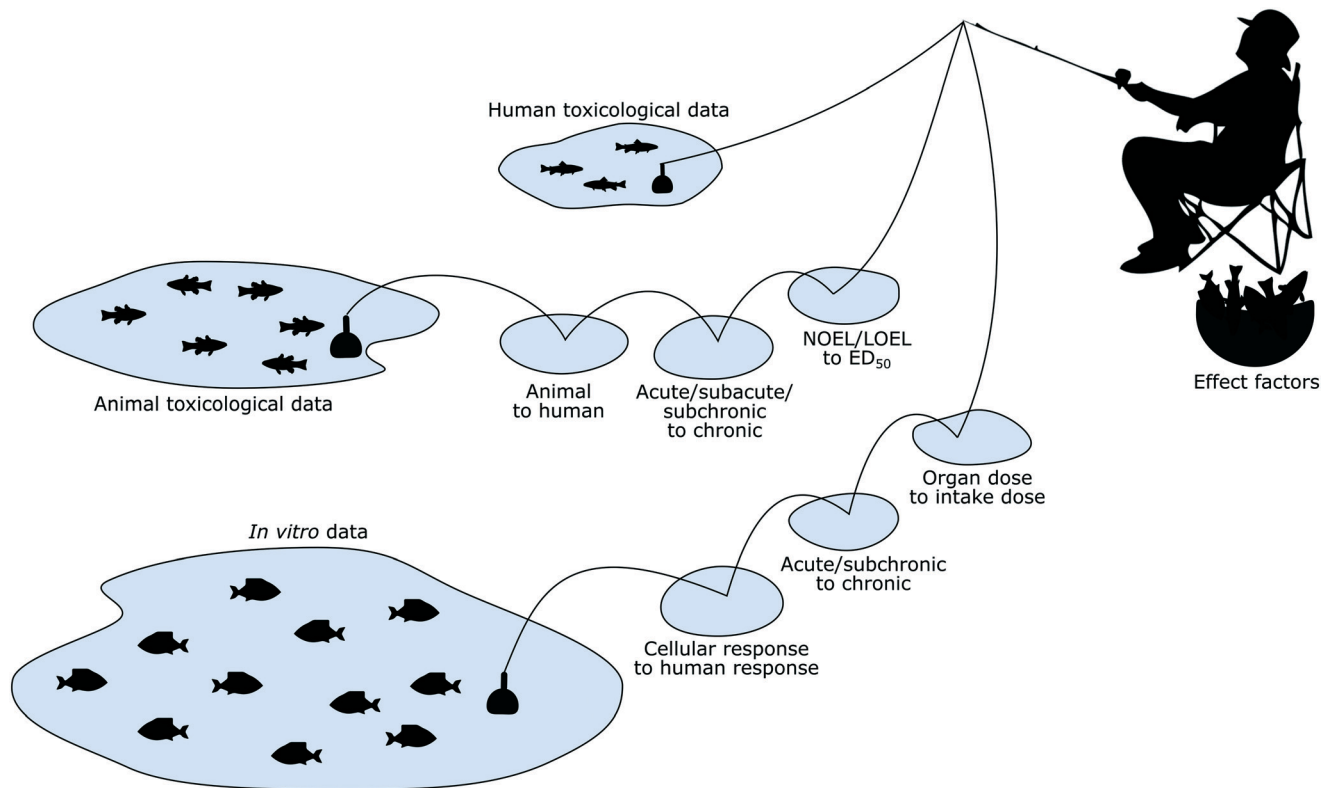
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Fig. 1 The landscape of data sources and extrapolation factors needed to calculate toxicity effect factors from each data type. When human toxicological data are available, the EF can be directly calculated. In the case of animal toxicity data, which represent a bigger data pool compared to human data, multiple extrapolation steps may be needed to calculate the EF, respectively accounting for the differences in species, exposure time, and toxicological dose descriptor. *In vitro* data represent the richest data source, but would require as well additional extrapolation procedures to be used to calculate the EF. In particular, the response at the cellular level would have to be related to a response at the human level (due to the difference between *in vitro* and human endpoints); shorter exposure times would have to be extrapolated to chronic exposures; organ doses measured *in vitro* would have to be linked to the corresponding intake doses.

example: in this organ, CYP450 enzymes are fundamental for the metabolism of substances, but *in vitro* mono-cultures of hepatocytes lose this function. However, growing the cells on specific extracellular matrices or co-culturing them with other liver cells restores the CYP enzyme activity.[69]

More and more used, organoids are 3D multicellular *in vitro* systems in which stem cells organize and differentiate into complex tissue structures, thus mimicking specific organs.[60,70,71] Recognized by the World Economic Forum as a top emerging technology in 2016, organs-on-a-chip allow a level of emulation of biological systems never seen before.[72] By combining living cell tissues (that can go from simple 2D cultures to complex organoids) with a microfluidic system, the organ-on-a-chip creates a physiological microenvironment where the complex responses to stimuli or substances can be monitored.[73,74]

There is therefore great potential for *in vitro* tests to better mimic human responses, even though the current costs and complexity of these advanced systems limit their systematic application,[60,74] making the use of these data difficult in the LCIA context. Moreover, additional work is needed to verify the predictivity and reliability of these technologies,[63] and until then LCIA should prefer to extrapolate human toxicity from animal data (if available).

An additional criticality resides in the choice of *in vitro* endpoints predictive of the effects at the level of the whole organism. Here, rather than focusing on acute toxic responses, the emphasis should be on disrupted cell functions or non-lethal injuries which are seen as suitable indicators of the early phases of a chronic response.[75] In multiple cases, the release of cytokines *in vitro* was shown to correlate well with acute *in vivo* inflammation in the lungs, indicating the inflammation pathway as promising for predictive purposes.[76–80] On the other hand, a large-scale comparison of *in vitro* and *in vivo* points of departure of chemicals (*i.e.* doses at which low effects were observed) showed low predictivity of *in vivo* adverse effects using *in vitro* bioactivity data: in 89% of the cases, the *in vitro* dose descriptor was lower than the *in vivo* one, but the ratio between the two values ranged several orders of magnitude.[81] Hence, more studies are still needed in this issue in order to verify if and which *in vitro* data might be predictive of *in vivo* effects.

The exposure length is another critical aspect for the implementation of *in vitro* data into LCIA. While the methodology requires chronic ED_{50}, either from chronic experiments or extrapolated from shorter exposure times with

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the corresponding extrapolation factors (developed for organic chemicals), *in vitro* studies mostly focus on acute effects and exposures. While *in vitro* tests have been shown to be predictive of acute *in vivo* effects, especially inflammation,[82–84] a correlation with chronic effects is not yet known. However, recent advancements in cell culture methods are making it possible to maintain cells alive for longer periods of time, thus allowing sub-chronic toxicity testing *in vitro*.[85–88]

A further challenge for the use of *in vitro* data is the need to link observed effects on the cells to intake doses instead of the dose delivered to the cells, *i.e.* combine the toxicodynamics of the material (*i.e.* the interaction of the toxicant with the target, in this case the cells) with its toxicokinetics (*i.e.* the fate of the toxicant in the body).[89] When considering inhaled nanomaterials and their effect on the lungs, the MPPD dosimetry model[57] is widely used in risk assessment to estimate the deposition of particles in the lungs; moreover, such a model has been recommended also for the development of toxicity effect factors *via* inhalation.[50] When the target organ is not the original point of entry of the nanomaterial, the back-calculation of the intake dose from the organ dose is more complex; in this case, physiologically-based pharmaco-kinetic (PBPK) models can be used to model the distribution, excretion, and metabolism of the nanomaterial in the human body. Unfortunately, the existing PBPK models cover only a handful of nanomaterials, and generalizing them to expand their applicability is made difficult by the complexity of the biotransformations nanomaterials are subjected to in biological systems.[37,90]

All in all, while we cannot afford to ignore the *in vitro* data pool, its implementation into LCIA is not (yet) straightforward and we still need further, novel procedures that make these data compatible with the methodology, such as the examples presented above. At the same time, the methodology itself requires both adaptations and benefits from the peculiarities of *in vitro* data.

7 Uncertainty space for the integration of *in vitro* data in LCIA

Due to the high uncertainty of the EF, the human health impacts calculated in LCA *via* USEtox or similar methodologies should be used qualitatively to identify the most impacting substances, only comparing the magnitude of the results rather than the precise value.[13] The level of uncertainty of the EF depends on the uncertainty of the extrapolation factors used to extrapolate animal data to chronic human data (Table 2).

For log-normally distributed data, the uncertainty factor k is defined based on a 95% confidence interval, so that

$$P\left\{\frac{M}{k} < x < M \cdot k\right\} = 0.95 \qquad (1)$$

with P being the probability, x the variable being calculated and M the median.[91]

Table 2 The uncertainty factor k associated to each EF extrapolation factor. Each study calculated the uncertainty factor according to Slob,[91] *i.e.* so that 95% of the data used for the determination of the extrapolation factor was within a factor k from the median (eqn (1))

Extrapolation factor	Uncertainty factor k	Ref.
Interspecies	19	46
Route-to-route	50	48
NOAEL to ED_{50}	11	47
LOAEL to NOAEL	4	47
Acute LD_{50} to chronic	46	48
Sub-acute to chronic	12	46
Sub-chronic to chronic	12	46
Sub-acute to sub-chronic	15	46

The uncertainty factor of the toxicity effect factor is a combination of the uncertainties of each extrapolation factor used; to calculate it, we followed the analytical method of Slob[91] which is based on the assumption of log-normal-distributed uncertainties for multiplicative models, as also done in USEtox.[13,47] The uncertainty factor of the toxicity effect factor k_{EF} is calculated according to the formula:

$$k_{EF} = \exp\sqrt{\ln^2 k_1 + \ln^2 k_2 + \dots + \ln^2 k_n} \qquad (2)$$

with k being the uncertainty factor of each extrapolation factor.

Route-to-route extrapolation is the factor with the highest uncertainty; the possibility to perform specific *in vitro* experiments for each exposure route would make this extrapolation factor, and its connected uncertainty, unnecessary. The uncertainty in extrapolating from animals to humans and from NOAEL/LOAEL to ED_{50} is avoided as well given the use of human cells and the possibility to construct a dose–response curve by testing multiple doses. Based on eqn (2), the combined uncertainty of these extrapolation factors, which may be avoided using *in vitro* data, is of a factor 277. However, as discussed before, the focus of *in vitro* studies on acute effects can be a challenge for their use, not only for their predictivity but also in terms of uncertainty contribution; as the acute LD_{50} to chronic extrapolation factor for chemicals has the second highest uncertainty, we may expect a similar impact for *in vitro* data. Hence, a shift towards sub-acute and sub-chronic *in vitro* experiments would help reduce this source of uncertainty.

All in all, we could consider *in vitro* data a good alternative to animal data if the uncertainty of the *in vitro* toxicity effect factor is equal to or smaller than the one from animal data. As the extrapolation factors for *in vitro* data do not exist yet, we calculated the uncertainty space into which the *in vitro* toxicity effect factor should fall, based on the uncertainty of *in vivo* extrapolation factors and eqn (2).

For example, the toxicity effect factor for inhalation from Pini *et al.*[51] from Table 1 (EF = 7.26×10^{-2} cases per kg_{intake}) was calculated from the NOAEL value obtained from a sub-chronic oral study on mice. The combination of the uncertainties of the NOAEL-to-ED_{50} extrapolation factor, the

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sub-chronic to chronic extrapolation factor, the interspecies extrapolation factor, and the route-to-route extrapolation factor results in a toxicity effect factor with an uncertainty of 400. Excluding the route-to-route extrapolation, *i.e.* if the exposure had been *via* inhalation, the uncertainty factor would have been 93. If instead of a NOAEL value the study had provided an ED_{50} value, the uncertainty would have been 47. Assuming the worst case possible, *i.e.* an acute LD_{50} value requiring acute-to-chronic extrapolation, route-to-route extrapolation, and interspecies extrapolation, an uncertainty factor of 500 will be obtained. Similar ranges have been reported also using a probabilistic approach, with a 400-fold uncertainty when using sub-chronic LOAEL values.[22]

The space of uncertainty of the toxicity effect factors calculated from *in vivo* data can be very wide, but they are still accepted into *e.g.* USEtox as the best option available, as having no toxicity effect factor would result in completely disregarding the impacts of a substance in each LCA study based on the concerned impact assessment method. The same attitude is needed towards the estimation of toxicity effect factors from *in vitro* data; uncertain results are inevitable, but they can still be fit for purpose as long as their uncertainty factor is equal to or below 500.

8 On the risks of using animal data as a benchmark

When evaluating the predictivity and accuracy of *in vitro* data, animal studies are often used as a benchmark.[41,92,93] Similarly, the toxicity effect factors calculated from *in vitro* data may be compared with the ones calculated from *in vivo* data to verify whether they are in accord, assuming the latter to be the most accurate of the two. This assumption is though not necessarily true, since the reproducibility of *in vivo* results and their inter-species predictivity have been shown to be poor.[94] For example, studies on the effects of inhaled particles on rats have been used to calculate both non-cancer and cancer toxicity effect factors;[53,50] however, the rats have been shown to be particularly susceptible to inhaled particles compared to other animals, and the same mechanism causing the emergence of cancer has not been observed in humans.[95,96] Even in the same animal family (*Muridae*, which includes rats and mice), the average interspecies predictive power was around 50% for both long- and short-term effects, based on the analysis of 37 chemicals.[97] While detecting toxicity in an animal increases the probability of the substance to be toxic to other species, the opposite was not found to be true: the lack of toxicity in an animal had very little predictive power towards human (lack of) toxicity.[98]

With the goal of the toxicity effect factors being to represent the potency of the nanomaterial toxicity to humans, an ED_{50} or ED_{10} value (from now on called ED_x) extrapolated from *in vitro* data may be more accurate than the one extrapolated from animal data (Fig. 2). However, this depends on how close the extrapolated ED_x values are to the
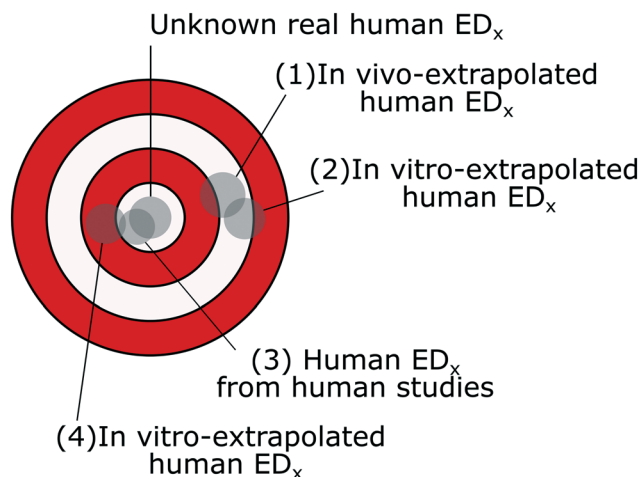

Fig. 2 Using *in vivo* data as a benchmark to judge the quality of the *in vitro*-extrapolated ED_x values could lead to selecting values (2) that are more similar to the animal-extrapolated ED_x values (1), while other *in vitro*-extrapolated ED_x values (4) might be closer to the real, unknown human ED_x values. Only through epidemiological studies (3) can we verify the accuracy of the extrapolated values in describing the toxicity of nanomaterials to humans. It should be noted that each ED_x value is not a single point, but rather an area representing the variability and uncertainty of the measure.

real human ED_x values, which is unknown. For example, an *in vitro*-extrapolated ED_x value may be close to the real human ED_x value, but be very different from the animal-extrapolated ED_x value; on the other hand, we could also have *in vitro*-extrapolated ED_x values very similar to the animal values, but less similar to the real human ED_x values. Only through human toxicological studies can we benchmark both animal- and *in vitro*-extrapolated ED_x values and verify their accuracy.

9 Conclusions

A change in the LCIA methodologies is needed if we want to cover the impacts on human health of nanomaterials in LCA, in particular with respect to the toxicity effect factor calculations. First, we need to acknowledge that nanomaterials are not chemicals, meaning that we cannot rely on traditional approaches, and we need to explicitly address the multidimensionality of nanomaterial identity and its implications for LCIA/LCA. Chemical composition cannot be the only distinguishing property reported, but other relevant properties such as the shape and size should be included as well, both in the calculation of characterization factors and in the inventory data.[99] For the toxicity effect factor calculation, understanding the relationship between nanoparticle properties and toxicity is needed to develop EFs as a continuous or discrete function of the relevant property/ies.[41,45]

All in all, implementing *in vitro* data into LCIA has to become a priority to avoid nanomaterial effects being ignored due to the scarcity of animal toxicity data. However, this

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adaptation is not an easy task, as it falls midway between LCA and nanotoxicology; while good propositions already exist,[54,55,100] additional (new) ideas and comprehensive strategies are still needed. Rather than a single solution, an iterative and collaborative process is needed; a kind of prospective toxicity effect factor calculation strategy where proofs of concept based on the available knowledge go hand in hand with the development of adaptable theoretical structures based on the foresight of future advancements in the nanotoxicology field. Such a strategy will be characterized, especially in the beginning, by a high level of uncertainty, but, as we showed in section 7, this can be the case for animal-based EFs as well. The uncertainty space delimited by the range of uncertainty that a traditional EF can have (k between 19 and 500) provides a reference for comparison for *in vitro*-based EFs.

In the end, such cross-discipline discussions will assure that, once the nanotoxicology field is ready, *in vitro* data can be smoothly and efficiently implemented into LCIA. Until then, human data first and *in vivo* data secondly should be the preferred source of toxicological information.

Notably, while we focused on human toxicity impacts of nanomaterials and the calculation of EFs, the challenges and opportunities we described go beyond this specific case. For example, a similar reasoning could be done for ecotoxicity impacts, as the use of animal cells instead of whole organisms would speed up the toxicity testing of new substances.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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