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Noncovalently bound molecular complexes beyond diatom–diatom systems: full-dimensional, fully coupled quantum calculations of rovibrational states

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The methodological advances made in recent years have significantly extended the range and dimensionality of noncovalently bound, hydrogen-bonded and van der Waals, molecular complexes for which full-dimensional and fully coupled quantum calculations of their rovibrational states are feasible. They exploit the unexpected implication that the weak coupling between the inter- and intramolecular rovibrational degrees of freedom (DOFs) of the complexes has for the ease of computing the high-energy eigenstates of the latter. This is done very effectively by using contracted eigenstate bases to cover both intra- and intermolecular DOFs. As a result, it is now possible to calculate rigorously all intramolecular rovibrational fundamentals, together with the low-lying intermolecular rovibrational states, of complexes involving two small molecules beyond diatomics, binary polyatomic molecule–large (rigid) molecule complexes, and endohedral complexes of light polyatomic molecules confined inside (rigid) fullerene cages. In this Perspective these advances are reviewed in considerable depth. The progress made thanks to them is illustrated by a number of representative applications. Whenever possible, direct comparison is made with the available infrared, far-infrared, and microwave spectroscopic data.

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1 Introduction

Molecular complexes bound by noncovalent, hydrogen-bonded (HB) and van der Waals (vdW), interactions have been the focus of intense research activity by experimentalists and theorists alike for decades, and the attention they receive continues unabated. The main motivation driving this interest has been the profound importance of the HB and vdW intermolecular interactions. They are ubiquitous in nature and shape the structural and dynamical properties of matter on all scales: small molecular complexes, molecular clusters, macromolecules of biological importance and their complexes, solid and liquid condensed phases, and key biological structures such as cellular membranes. For both theory and experiment, noncovalently bound molecular complexes, dimers in particular, represent most attractive targets for studying HB and vdW interactions at the level of detail and accuracy that would be impossible for more complex systems.

Noncovalently bound molecular complexes have two distinct classes of vibrational modes. One of them is comprised of low-frequency intermolecular vibrations which typically exhibit strong anharmonicities and mode coupling, large-amplitude motions, and extensive wave function delocalization. The latter often involves two or more multiple equivalent and shallow minima on the PES separated by low barriers and quantum tunneling between them, giving rise to characteristic patterns of tunneling splittings. In the other class are the high-frequency intramolecular vibrations of the monomers in the complex. Their frequencies are typically at least an order of magnitude higher than those of the intermolecular vibrational modes.

Far-infrared (FIR) spectroscopy directly probes the intermolecular vibrations which, owing to their large-amplitude character, are highly informative about extended portions of the intermolecular PES of the molecular complex considered. On the other hand, the infrared (IR) spectra reveal the frequency shifts of the intramolecular vibrations from their gas-phase values caused by the complex formation, thus providing complementary information about the intermolecular interactions. Also present in the IR spectra are combination bands associated with the intermolecular vibrations originating in excited intramolecular vibrational manifolds, containing information about the coupling between the intra- and intermolecular vibrations.

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Clearly, the FIR and IR spectra of weakly bound complexes encode a wealth of information regarding their intricate rovibrational dynamics dominated by nuclear quantum effects and the underlying PESs on which it takes place. However, extracting this information fully and reliably is not an easy task. The only practical way of accomplishing this is to perform high-level quantum calculations of the rovibrational eigenstates, and possibly spectra, of the complex, preferably in full dimensionality and utilizing the best PES available. Direct comparison of the theoretical results with the available spectroscopic data allows the assessment of the accuracy of the (usually *ab initio* calculated) PES employed as well as its refinement if warranted, and at the same time permits the assignment of the measured spectra. Thus, theory and experiment are intertwined in a highly symbiotic and fruitful relationship.

Carrying out this approach in practice hinges on having the ability to compute accurately the rovibrational states of a noncovalently bound complex for a given PES. This requires dealing effectively with three major challenges. The first one is the high dimensionality of the vibrational problem to be solved. Assuming that all vibrational degrees of freedom (DOFs) are considered explicitly, it is six-dimensional (6D) for complexes of two diatomic molecules (*e.g.*, HF dimer), 9D for diatom-triatom complexes (*e.g.* HCl–H₂O), and 12D for complexes of two triatomic molecules (*e.g.*, H₂O dimer). The second challenge, compounding the first, stems from the characteristics of the vibrational modes, intermolecular in particular. As mentioned previously, they exhibit large-amplitude motions, are strongly anharmonic, and coupled among themselves and to the intramolecular monomer vibrations. For such systems, the only way to obtain accurate rovibrational states (for the PES employed) is

by computing rigorously the eigenstates of the appropriate full-dimensional Hamiltonian of the complex. The third challenge arises when one wants to calculate full-dimensional rovibrational states of a complex not only for monomers in their ground vibrational states but also when one or both monomers are vibrationally excited. As discussed in more detail below, this makes the calculations much more demanding computationally.

In order to avoid the costly full-dimensional treatments, the large majority of the calculations of the rovibrational levels of noncovalently bound molecular dimers to date have relied on the adiabatic, Born-Oppenheimer separation of their intramolecular and intermolecular vibrations. The basis for this approximation is the large disparity in the frequencies of the two sets of vibrations. In practice, this has most often meant that the monomers are taken to be rigid, and only the coupled intermolecular DOFs of the complex are taken into account. Several reviews devoted primarily to the calculations of the rovibrational states of noncovalently bound binary molecular complexes assuming rigid monomers are available.^{1–5} A more sophisticated vibrationally adiabatic treatment was developed and implemented for (H₂O)₂,⁶ allowing the inclusion of the monomer flexibility in an approximate manner when computing the intermolecular vibrational eigenstates. The rigid-monomer approach continues to be used widely, as illustrated by just a small sample of recent papers dealing with the rovibrational states of H₂O/D₂O–CO₂,⁷ (NH₃)₂,⁸ H₂O–HF,^{9,10} HCS⁺–H₂,¹¹ and CH₄–H₂O.^{12,13}

The rigid-monomer calculations are capable of delivering results in respectable agreement with a range of experimental data pertaining to the monomers in their ground vibrational states,



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provided that the PES used is accurate. Nevertheless, already the earliest fully coupled quantum bound-state calculations of diatom–diatom complexes demonstrated that the intermolecular vibrational states computed in 4D (rigid monomers) and 6D (flexible monomers) differ by 1–2 cm^{-1} for $(\text{HF})_2$, $(\text{DF})_2$, and HFDF ,^{14,15} and by 2–5 cm^{-1} in the case of $(\text{HCl})_2$.^{15,16} Thus, even when the monomers are in the ground vibrational state, comparison with spectroscopic data and the assessment of the quality of the dimer PES employed benefit from rigorous full-dimensional quantum calculations, for flexible monomers, treating the intra- and intermolecular DOFs of a dimer as fully coupled.

The rigid-monomer approaches cannot address a number of important, widely measured spectroscopic properties of molecular dimers that involve vibrational excitation of one or both monomers. Among them are intramolecular vibrational frequencies and their shifts from the gas-phase monomer values caused by the complexation, that are sensitive to the vibrationally averaged structure of the dimer. In addition, the observed changes in intermolecular frequencies and tunneling splittings upon intramolecular vibrational excitations, reflect the coupling between the two sets of DOFs. These features can be described accurately only by rigorous quantum calculations in full dimensionality that extend to excited vibrational states of flexible monomers. The increase in dimensionality when going from the rigid- to flexible-monomers is very significant, for example, from 4D to 6D for $(\text{HF})_2$, from 5D to 9D for $\text{HCl-H}_2\text{O}$, and from 6D to 12D for $(\text{H}_2\text{O})_2$. This makes such calculations much more demanding computationally than those performed assuming rigid monomers, even when the former are restricted to monomers in the ground vibrational state. The reason for this is the need to include additional basis functions covering the intramolecular DOFs and, consequently, having to evaluate higher-dimensional potential matrix elements and compute the eigenstates of larger rovibrational Hamiltonian matrices.^{14–16}

But extending full-dimensional quantum computations of this kind to the case when one or both monomers are vibrationally excited gives rise to an entirely new set of difficult challenges. The reasons for this are twofold. One of them is immediately apparent: accurate description of vibrationally excited monomers in the dimer requires a basis for the intramolecular DOFs that is considerably larger than when they are in the ground state, increasing greatly the dimension of the overall problem. The second obstacle originates from the already mentioned order-of-magnitude (or greater) difference between the frequencies of the intramolecular and intermolecular vibrations in noncovalently bound molecular complexes. A typical example is the $\text{HCl-H}_2\text{O}$ complex,¹⁷ whose frequencies (calculated in 9D) of the symmetric and asymmetric stretch fundamentals of H_2O are 3650 and 3750 cm^{-1} , respectively, and 1596 cm^{-1} for its bending vibration; the HCl stretch fundamental is at 2727 cm^{-1} . In contrast, the frequencies of the intermolecular vibrational fundamentals of $\text{HCl-H}_2\text{O}$ are less than 150 cm^{-1} .¹⁷

Consequently, there are hundreds, possibly thousands, of very highly excited intermolecular vibrational states lying in the

intramolecular vibrational ground-state manifold having energies below those of the intramolecular vibrational excitations considered. In other words, the density of the intermolecular states at the energies of the intramolecular fundamentals and overtones is very high. Prior to our recent work reviewed in this Perspective, for decades the prevailing view was that for weakly bound molecular dimers, fully coupled quantum bound-state calculations that encompass their intramolecular vibrational fundamentals and overtones require converging either all intermolecular vibrational states below the energies of these intramolecular excitations, or at least a dense set of highly excited intermolecular states energetically close to them. This task seemed daunting, and as a result, until a just few years ago it was undertaken only a handful of times, in two ways. In one of them, employed in the earlier quantum 6D calculations of the vibrational levels of the HF-stretch excited $(\text{HF})_2$ ^{18,19} and the HCl -stretch excited $(\text{HCl})_2$,²⁰ a (contracted) intermolecular basis was tailored to the fundamental (and overtone) intramolecular vibrational levels of interest by truncating it from both above and below their respective energies. This left bands of intermolecular basis functions, each centered on the particular intramolecular fundamental, in the belief that they must be present in the final full-dimensional basis. The second venue opened up recently, when it became possible, at great computational effort, to compute directly the vibrational levels of $(\text{HF})_2$ from the ground state up, with both HF monomers in their ground vibrational states and also when one is vibrationally excited.²¹ A very large basis set of dimension 3 600 000 had to be used for this purpose. Similar bottom-up 12D calculations of the vibrational levels of $(\text{H}_2\text{O})_2$ managed to reach the manifold of the excited water bend vibrations.²² But, dimer vibrational levels in the region of the water OH stretches were not computed, citing the high density of states in this energy range.²²

It was evident that if full-dimensional quantum calculations of the monomer fundamentals and overtones in molecular dimers truly demand converging highly excited intermolecular vibrational states in the ground-state manifold all the way up to these intramolecular excitations, then extending them to larger complexes beyond diatom–diatom systems would remain prohibitively costly in most instances, for the foreseeable future. Indeed, in about a quarter of a century since the first such treatments of the HX -stretch excited $(\text{HX})_2$ ($\text{X} = \text{F}, \text{Cl}$),^{18–20} not a single theoretical study of noncovalently bound molecular complexes with more than four atoms has been reported in which all intramolecular monomer vibrational fundamentals (stretch and bend), together with low-energy intermolecular (ro)vibrational states, were computed by means of rigorous full-dimensional quantum calculations.

But, a paper published in 2019²³ revealed that what was perceived as the biggest obstacle really did not exist. The fully coupled quantum 6D calculations reported in that study, of the vibration–translation–rotation (VRT) eigenstates of H_2 , HD , and D_2 inside the clathrate hydrate cage,²³ taken to be rigid, resulted in a very surprising finding: the first excited ($\nu = 1$)

intramolecular vibrational state of the caged H_2 at $\approx 4100 \text{ cm}^{-1}$ could be calculated accurately while having converged only a modest number of low-frequency intermolecular TR states in the $\nu = 0$ zero manifold up to at most $400\text{--}450 \text{ cm}^{-1}$ above the ground state, and none within several thousand wave numbers of the H_2 intramolecular fundamental at $\approx 4100 \text{ cm}^{-1}$. The density of TR states must be very high in this energy range, and yet not including them in the calculations had no effect on the accuracy with which this high-energy intramolecular excitation was computed.²³ This resulted in a large reduction of the dimension of the basis set employed, transforming what would be a formidable computation into one that was readily tractable.²³

The explanation put forth invoked extremely weak coupling between the intramolecular $\nu = 1$ state of H_2 and the highly excited intermolecular TR states in the $\nu = 0$ zero manifold close in energy.²³ Formal theoretical explanation is still lacking. However, the sharp disparity between the very smooth nodal pattern of the $\nu = 1$ state, exhibiting a single node, and the highly oscillatory, irregular nodal patterns of the highly excited TR states is likely to play a key role in any theoretical model.

The broader implications of this finding were grasped immediately. Noncovalently bound molecular dimers (and larger clusters) also exhibit very weak coupling between the high-frequency intramolecular modes and the low-frequency intermolecular vibrations. Therefore, as in the case of H_2 in the hydrate cage, it should be possible to calculate efficiently accurate energies of their intramolecular vibrational excitations, as well as the low-lying intermolecular vibrational states, by means of full-dimensional, fully-coupled quantum bound-state calculations, that would employ a modest-size basis for the intermolecular DOFs covering only a small portion of the energy range far below the fundamental and overtone excitations of interest. Finally, extending such rigorous treatments to complexes larger than diatom–diatom systems was within reach. What remained was to develop a strategy that would best exploit this new insight.

This was done shortly thereafter, by introducing a general approach for high-dimensional, fully coupled quantum computation of intra- and intermolecular (ro)vibrational excitations of noncovalently bound binary molecular complexes.²⁴ Its initial application was to $(\text{HF})_2$, for the monomers in their ground and excited intramolecular vibrational states. The subsequent publication²⁵ provided a complete description of this computational methodology extended to the (9D) rovibrational states of the triatom–diatom noncovalently bound complexes. Its effectiveness was demonstrated by the fully coupled 9D quantum bound-state $J = 0, 1$ calculations of $\text{H}_2\text{O}\text{--}\text{CO}$ and $\text{D}_2\text{O}\text{--}\text{CO}$ complexes, encompassing all their intramolecular vibrational fundamentals (including $\text{H}_2\text{O}/\text{D}_2\text{O}$ stretch and bend modes) together with the low-energy intermolecular rovibrational states.²⁵ This was the first such rigorous treatment of a noncovalently bound molecular complex with more than four atoms in its full dimensionality.

The key element of the computational scheme employed in these calculations is the partitioning of the full rovibrational

Hamiltonian of the binary molecular complex into a rigid-monomer intermolecular vibrational Hamiltonian, two intramolecular vibrational Hamiltonians – one for each monomer, and a remainder term. The three reduced-dimension Hamiltonians are each diagonalized separately and small portions of their low-energy eigenstates are included in a compact final product contracted basis covering all internal, intra- and intermolecular, DOFs of the complex. The use of the contracted eigenstate basis for the intermolecular vibrational DOFs is novel and its importance can hardly be overstated. It makes it easy to take advantage of the crucial weak-coupling insight above, and select just a small number of lowest-energy intermolecular vibrational eigenstates for inclusion in the final product contracted basis, in which the full (ro)vibrational Hamiltonian of the dimer is diagonalized.^{24,25} It is this feature, more than anything else, that is responsible for our ability to compute rigorously, in full dimensionality, all intramolecular vibrational fundamentals of noncovalently bound dimers beyond those with four atoms.

The use of the eigenstates of intermediate reduced-dimension Hamiltonians for the purpose of decreasing the size of the final full-dimensional basis has a long history. The sequential diagonalization-truncation scheme introduced by Bačić and Light^{26–29} proved very successful in the applications to a range of fluxional molecules and molecular complexes such as LiCN/LiNC , HCN/HNC , $(\text{HF})_2$,¹⁴ $(\text{HCl})_2$,¹⁶ and others. For polyatomic molecules such as acetylene, H_2O_2 , CH_4 , and CH_5^+ , Carter and Handy³⁰ and Carrington and co-workers^{31–34} implemented similar ideas of dividing the internal coordinates into two groups, stretch and bend in their case, and using the eigenvectors of the two corresponding reduced-dimension Hamiltonians in the final product contracted basis. Moreover, they used different energy cutoffs for the two groups of the contracted basis functions. Due to strong mode coupling in these molecules, much stronger than in noncovalently bound molecular complexes, high accuracy usually could not be achieved by including just a small number of contracted basis functions for one group of coordinates.

Monomer vibrational eigenstates have been used as the contracted basis for the intramolecular vibrational DOFs of noncovalently bound complexes.^{22,35} However, the basis for the intermolecular DOFs was not contracted in these studies. Going only “halfway” in contracting the final basis, and also not taking advantage of the weak coupling between the intra- and intermolecular DOFs, precluded the possibility of a straightforward major reduction in the size of the intermolecular vibrational basis. It also limited the range of the intramolecular vibrational excitations of the molecular complexes amenable to rigorous calculations.

Besides $\text{H}_2\text{O}/\text{D}_2\text{O}\text{--}\text{CO}$,²⁵ in the past couple of years the computational approach described above, that uses compact contracted bases for both intra- and intermolecular DOFs, has enabled full-dimensional (9D) and fully coupled quantum treatments of several additional noncovalently bound triatom–diatom complexes – $\text{HDO}\text{--}\text{CO}$,³⁶ $\text{H}_2\text{O}\text{--}\text{HCl}$ ¹⁷ and several H/D isotopologues.^{37,38} These calculations have provided an

unprecedented comprehensive description of their rovibrational levels structure, intramolecular vibrational frequencies and their shifts from the isolated-monomer values, and the coupling between the inter- and intramolecular DOFs. They have also permitted unusually detailed comparison with the available microwave, FIR, and IR spectroscopic data.

The vital importance of employing a contracted basis for intermolecular vibrational DOFs, to exploit the weak-coupling insight, is evident from the fact that prior to this series of studies there were no papers in the literature reporting rigorous full-dimensional quantum calculations of all intramolecular vibrational fundamentals (and the overtones of some intramolecular modes) of noncovalently bound molecular complexes having more than four atoms.

The methodology outlined above has proved to be remarkably versatile. In addition to the triatom–diatom complexes already mentioned, it has been implemented successfully in several studies of diverse highly challenging noncovalently bound systems in excited intramolecular vibrational states: rigorous 8D quantum calculations of the intramolecular stretch fundamentals and frequency shifts of two H₂ molecules in the large clathrate hydrate cage,³⁹ the fully coupled 9D quantum treatment of the intra- and intermolecular vibrational levels of H₂O in (rigid) C₆₀,⁴⁰ and the fully coupled 9D quantum calculations of flexible H₂O/HDO intramolecular excitations and intermolecular states of the benzene–H₂O and benzene–HDO complexes (for rigid benzene), together with their IR and Raman spectra.⁴¹

This Perspective provides an in-depth survey of these methodological advances and their applications to a variety of challenging noncovalently bound binary molecular complexes. They illustrate the wide range of applicability of this approach. Whenever possible, direct comparison is made between the theoretical results and spectroscopic measurements. The remainder of this perspective is organized as follows. The methodology for performing full-dimensional and fully coupled quantum calculations of the (ro)vibrational states of three types of noncovalently bound molecular dimers is presented in Sections 2–4. While the overall computational approach is the same for all three classes of complexes considered, each of them requires the use of a distinct coordinate system, which lead to different (ro)vibrational Hamiltonians. Thus, Sections 2–4 describe the bound-state methodologies tailored to binary complexes of two small molecules beyond diatomics, polyatomic molecule–large molecule complexes, and endohedral complexes of light polyatomic molecules in fullerene cages, respectively. The large molecules and the fullerenes involved are treated as rigid. Inclusion of endofullerenes in this review may seem surprising. However, they possess the salient features of the gas-phase complexes, such as weak interactions between the monomers (the guest molecule and the host cavity) and having both high-frequency intramolecular and low-frequency intermolecular vibrational modes. Applications to molecular complexes belonging to each of the three categories above are discussed in Section 5. Finally, summary and prospects are given in Section 6.

2 Theory: binary complexes of two small molecules beyond diatomics

Here we follow the presentation in ref. 25, denoted hereafter as paper I, in which this computational methodology was applied to H₂O/D₂O–CO complexes. In fact, all of its applications so far have been to the water-containing triatom–diatom complexes,^{17,25,36–38} the choices being dictated by the available 9D PESs. Therefore, for simplicity, in the following it will be assumed that the triatomic moiety is water. Replacing it by another triatomic molecule would require only minor modifications of the procedure.

2.1 Axis frames, coordinates and the rovibrational Hamiltonian

The approach of Brocks *et al.*⁴² for a generic molecular dimer of two small molecules was adapted to the treatment of this type of noncovalently bound complexes comprised of a nonlinear triatomic molecule and a diatomic molecule. Both molecules are assumed to be flexible. The triatomic molecule (water) is taken to be moiety A and the diatomic molecule (CO, HCl, *etc.*) as moiety B. A suitable dimer-fixed (DF) frame is defined so as to have its \hat{z}_D axis along the vector \mathbf{r}_0 pointing from the center of mass (c.m.) of A to that of B. The Euler angles $\Omega \equiv (\alpha, \beta, 0)$ fix the orientation of the DF frame with respect to a space-fixed frame (SF). The body-fixed frame of the water moiety (MF_A), centered at the c.m. of A, is defined by bisector-z Radau embedding.³⁵ The \hat{z}_A axis taken to be parallel to the bisector of the Radau vectors \mathbf{R}_1 and \mathbf{R}_2 and pointing toward the O atom of water. The \hat{y}_A axis is defined to be parallel to $\mathbf{R}_1 \times \mathbf{R}_2$ (*i.e.*, perpendicular to the water plane), and $\hat{x}_A = \hat{y}_A \times \hat{z}_A$. The orientation of the MF_A frame relative to the DF frame is fixed by the Euler angles $\omega_A \equiv (\alpha_A, \beta_A, \gamma_A)$. The intramolecular vibrational coordinates of water are taken to be the three Radau coordinates^{43–45} \mathbf{R}_1 , \mathbf{R}_2 and Θ and are collectively denoted as \mathbf{q}_A . For the diatom-fixed MF_B frame, centered at the c.m. of the diatomic moiety, the \hat{z}_B axis is taken to be along the vector \mathbf{r}_B connecting the two atoms, *e.g.*, from the C nucleus to the O nucleus in the case of CO. Its orientation with respect to the DF frame is fixed by the two Euler angles $\omega_B \equiv (\alpha_B, \beta_B)$. The diatomic vibrational coordinate, corresponding to the distance between its two nuclei, is labeled as $r_B \equiv |\mathbf{r}_B|$.

With these definitions, the full (flexible-monomer) triatom–diatom rovibrational Hamiltonian can be written as

$$\hat{H} = \hat{T}_{\text{rot,int}}(r_0, \omega_A, \omega_B, \Omega) + \hat{T}^A(\omega_A, \mathbf{q}_A) + \hat{T}^B(\omega_B, r_B) + V(r_0, \omega_A, \omega_B, \mathbf{q}_A, r_B). \quad (1)$$

The meaning of the terms in eqn (1) is as follows. $\hat{T}_{\text{rot,int}}(r_0, \omega_A, \omega_B, \Omega)$ is the rotation-intermolecular kinetic-energy (KE) operator, the same as that given by eqn (2) of I, and is adapted from results in ref. 42. The monomer-A KE operator $T^A(\omega_A, \mathbf{q}_A)$ is from ref. 35 and is the sum of a vibrational term $\hat{T}_V^A(\mathbf{q}_A)$, a rotational term $\hat{T}_R^A(\omega_A, \mathbf{q}_A)$, and a coriolis term $\hat{T}_{\text{cor}}^A(\omega_A, \mathbf{q}_A)$. These are given, respectively, by eqn (4)–(6) of I. The monomer-B KE operator $\hat{T}^B(\omega_B, r_B)$ is the sum of a rotational term $\hat{T}_R^B(\omega_B, r_B)$ and a vibrational term $\hat{T}_V^B(r_B)$, which are respectively given by eqn (9)

and (10) of paper I. $V(r_0, \omega_A, \omega_B, \mathbf{q}_A, r_B)$ is the 9D PES of the complex. (Note that V is a function of nine coordinates, not ten, since it only depends on the difference between α_A and α_B .)

2.2 General procedure for computing the eigenstates of \hat{H}

As outlined in the Introduction, \hat{H} in eqn (1) is partitioned in the following four terms.^{24,25} The first one is the (rigid-monomer) intermolecular Hamiltonian, \hat{H}_{inter} , defined as

$$\hat{H}_{\text{inter}}(\mathbf{Q}, \Omega) \equiv \hat{T}_{\text{rot,inter}}(\mathbf{Q}, \Omega) + \hat{T}_r^A(\omega_A, \mathbf{q}_A^{\text{eq}}) + \hat{T}_r^B(\omega_B, r_B^{\text{eq}}) + V_{\text{inter}}(\mathbf{Q}), \quad (2)$$

where \mathbf{q}_A^{eq} and r_B^{eq} are the equilibrium values for the pertinent monomer vibrational coordinates, $\mathbf{Q} \equiv (r_0, \omega_A, \omega_B)$ denotes the intermolecular coordinates, and

$$V_{\text{inter}}(\mathbf{Q}) \equiv V(\mathbf{Q}, \mathbf{q}_A^{\text{eq}}, r_B^{\text{eq}}) - V(\mathbf{Q}_\infty, \mathbf{q}_A^{\text{eq}}, r_B^{\text{eq}}), \quad (3)$$

with \mathbf{Q}_∞ corresponding to a fixed set of intermolecular coordinates with r_0 set to a large value (thus representing noninteracting monomers).

The second and third terms are the two isolated-monomer Hamiltonians, \hat{H}_v^A and \hat{H}_v^B , in 3D and 1D, respectively, defined as

$$\hat{H}_v^A(\mathbf{q}_A) = \hat{T}_v^A(\mathbf{q}_A) + V^A(\mathbf{q}_A), \quad (4)$$

where

$$V^A(\mathbf{q}_A) \equiv V(\mathbf{Q}_\infty, \mathbf{q}_A, r_B^{\text{eq}}), \quad (5)$$

and

$$\hat{H}_v^B(r_B) = \hat{T}_v^B(r_B) + V^B(r_B), \quad (6)$$

where

$$V^B(r_B) \equiv V(\mathbf{Q}_\infty, \mathbf{q}_A^{\text{eq}}, r_B). \quad (7)$$

The use of the above isolated-monomer 1D potential $V^B(r_B)$ in the definition of $\hat{H}_v^B(r_B)$ in eqn (8) is appropriate when the monomers A and B are bound by weak vdW interactions, as in the water-CO complex.^{25,36} However, this choice is not optimal for HB complexes where inter-monomer interaction is stronger. Therefore, in the case of the HCl-H₂O complex and isotopologues^{17,37,38} the 1D HCl dimer-adapted vibrational Hamiltonian was defined as

$$\hat{H}_v^B(r_B) = \hat{T}_v^B(r_B) + V_{\text{DA}}^B(r_B). \quad (8)$$

Here,

$$V_{\text{DA}}^B(r_B) \equiv V(\mathbf{Q}_0, \mathbf{q}_A^0, r_B). \quad (9)$$

\mathbf{Q}_0 values correspond closely to the vibrationally averaged geometry of the ground state of \hat{H}_{inter} . Thus, \hat{H}_v^B represents the vibrational Hamiltonian of HCl for the effective 1D potential corresponding to the interaction of flexible HCl with rigid H₂O *via* a geometry that approximates that of the dimer ground state. Since interaction is rather strong, the eigenstates of the 1D dimer-adapted Hamiltonian are expected to provide a more compact basis for the HCl stretching coordinate than the vibrational eigenstates of the isolated HCl.

Finally, the fourth term in \hat{H} is the difference $\Delta\hat{H} \equiv \hat{H} - \hat{H}_{\text{inter}} - \hat{H}_v^A - \hat{H}_v^B$, which is given by

$$\Delta\hat{H}(\mathbf{Q}, \Omega, \mathbf{q}_A, r_B) = \Delta\hat{T} + \hat{T}_{\text{cor}}^A + \Delta V, \quad (10)$$

where

$$\Delta\hat{T} \equiv \hat{T}_r^A(\omega_A, \mathbf{q}_A) - \hat{T}_r^A(\omega_A, \mathbf{q}_A^{\text{eq}}) + \frac{\hat{j}_B^2}{2\mu_B} \left(\frac{1}{r_B^2} - \frac{1}{(r_B^{\text{eq}})^2} \right), \quad (11)$$

and

$$\Delta V(\mathbf{Q}, \mathbf{q}_A, r_B) = V(\mathbf{Q}, \mathbf{q}_A, r_B) - V_{\text{inter}}(\mathbf{Q}) - V^A(\mathbf{q}_A) - V^B(r_B). \quad (12)$$

The next step is to build up the product contracted 9D basis in which to diagonalize \hat{H} . We first compute the low-energy eigenstates of \hat{H}_{inter} , \hat{H}_v^A , and \hat{H}_v^B , which are designated as $|\kappa, J\rangle$, $|\mathbf{v}_A\rangle$, and $|\nu_B\rangle$, respectively. The 9D basis states are then constructed as products of the form

$$|\kappa, J, \mathbf{v}_A, \nu_B\rangle \equiv |\kappa, J\rangle |\mathbf{v}_A\rangle |\nu_B\rangle. \quad (13)$$

The dimension of this product contracted basis is equal to the product of the numbers of eigenstates of each of these three lower-dimension Hamiltonians that are included in it.

The only part of \hat{H} that has nonzero off-diagonal matrix elements in this basis is $\Delta\hat{H}$. It is the calculation of these matrix elements that poses the principal computational challenge.

2.3 Calculation of \hat{H}_{inter} eigenstates

2.3.1 Primitive basis. To solve for the eigenstates of \hat{H}_{inter} the following primitive basis is employed:⁴⁶

$$|s, j_A, k_A, m, j_B; JK\rangle \equiv |r_{0,s}\rangle |j_A, k_A, m\rangle |j_B, K - m\rangle |JK\rangle. \quad (14)$$

Here, the $|j_A, k_A, m\rangle$ are symmetric-top eigenfunctions

$$|j_A, k_A, m\rangle = \sqrt{\frac{2j_A + 1}{8\pi^2}} \left[D_{m, k_A}^{(j_A)}(\omega_A) \right]^*, \quad (15)$$

with the $D_{m, k_A}^{(j_A)}$ denoting Wigner rotation matrix elements and $j_A = 0, \dots, j_A^{\text{max}}$. The

$$|j_B, K - m\rangle \equiv Y_{j_B}^{K-m}(\omega_B) \quad (16)$$

are spherical harmonics and $j_B = 0, \dots, j_B^{\text{max}}$. The

$$|JK\rangle \equiv \sqrt{\frac{2J + 1}{2}} d_{0, K}^J(\beta) \quad (17)$$

where $d_{0, K}^J$ is a ‘‘little- d ’’ Wigner matrix element and J equals either 0 or 1. Finally, the $|r_{0,s}\rangle$, $s = 1, \dots, N_s$ are the functions of a potential-optimized discrete variable representation (PODVR)^{47,48} covering the r_0 DOF. The $|r_{0,s}\rangle$ PODVR is constructed by solving the 1D Schrödinger equation

$$-\frac{1}{2\mu} \frac{\partial^2 \psi}{\partial r_0^2} + V_{\text{eff}}(r_0) \psi = E_0 \psi \quad (18)$$

in a sinc-DVR basis. The $V_{\text{eff}}(r_0)$ in eqn (18) is $V_{\text{inter}}(\mathbf{Q})$ minimized with respect to all the \mathbf{Q} except r_0 at each one of the r_0 sinc-DVR points. A certain number of lowest-energy eigenfunctions from eqn (18) are then used to construct the PODVR.

For the triatom–diatom complexes investigated so far,^{17,25,36–38} \hat{H}_{inter} has been diagonalized in the basis of eqn (14) by using the Chebyshev version⁴⁹ of filter diagonalization.⁵⁰ The successive operation of \hat{H}_{inter} on a random initial state vector that is required by this algorithm is accomplished as follows. Operation with the kinetic-energy portion of \hat{H}_{inter} is effected by direct matrix-on-vector multiplication, all the relevant matrix elements having been computed prior to such multiplication. For operation with V_{inter} the state vector is transformed to a $(r_0, \cos \beta_A, \gamma_A, \cos \beta_B, (\alpha_A - \alpha_B))$ grid. That representation of the vector is then multiplied by the value of V_{inter} at each grid point. Finally, the result is transformed back to the basis representation. A more detailed description of this procedure is given in I.

2.3.2 Symmetry considerations. Exploiting symmetry is very valuable when considering high-dimensional quantum systems (9D for triatom–diatom complexes), for at least two reasons. First, it allows block diagonalization of the full Hamiltonian matrix into blocks corresponding to one of the irreducible representations of the molecular symmetry group to which the system belongs. The eigenstates of each block can be calculated separately, thus greatly reducing the computational effort. Second, the eigenstates obtained in this way come with symmetry labels, which are useful for many purposes.

As an example, complexes such as $\text{H}_2\text{O}/\text{D}_2\text{O}-\text{CO}$ and $\text{H}_2\text{O}/\text{D}_2\text{O}-\text{HCl}$ belong to the molecular symmetry group $G_4 = [E, E^*, (12), (12)^*]$, where E^* is the inversion operation and (12) permutes the H/D-nucleus coordinates of water. Therefore, the matrix of \hat{H}_{inter} (and \hat{H}) can, in principle, be block-diagonalized into four irreducible representations of G_4 . Block-diagonalization of \hat{H}_{inter} with respect to parity is straightforward. As detailed in I, it is accomplished by projecting out of a random state vector that part which has either even or odd parity. That parity-filtered vector is then used as the initial state vector in the filter diagonalization procedure. Hence, the complete diagonalization of \hat{H}_{inter} involves two filter diagonalization runs, one for each parity. It should be noted that the parity of an eigenstate of \hat{H}_{inter} also determines the parity of each of the 9D basis functions to which it contributes, since all of the eigenstates of the intramolecular Hamiltonians \hat{H}_V^A and \hat{H}_V^B are symmetric with respect to E^* . Thus, parity block-diagonalization of the matrix of \hat{H} in the 9D basis is easily accomplished.

One important consequence of the E^* symmetry of the dimers and the way in which the intermolecular basis functions transform with respect to E^* is that the matrix elements of \hat{H}_{inter} are all real, as proven in I. This property has been used to enhance the efficiency of the calculations, by obviating the need to work with complex-number amplitudes.

As noted above, \hat{H}_{inter} and \hat{H} of species like $\text{H}_2\text{O}/\text{D}_2\text{O}-\text{CO}$ and $\text{H}_2\text{O}/\text{D}_2\text{O}-\text{HCl}$ are also invariant with respect to hydrogen-nucleus interchange. This additional symmetry can be used to further block-diagonalize the \hat{H}_{inter} matrices. Full use of G_4 symmetry was made in the 9D quantum calculations of the $J=1$ intra- and intermolecular rovibrational states of $\text{HCl}-\text{H}_2\text{O}$ and $\text{DCl}-\text{H}_2\text{O}$ complexes,³⁸ because of the need to deal with considerably larger 9D basis sets relative to those used previously.

Explicit incorporation of the hydrogen-exchange symmetry into the 9D basis is somewhat more involved than making that basis parity-adapted.³⁸ The parity of the 9D basis function $|\kappa, J, \mathbf{v}_A, \mathbf{v}_B\rangle$ is entirely determined by that of the intermolecular eigenstate $|\kappa, J\rangle$. In contrast, the symmetry of the 9D basis function with respect to the hydrogen exchange is determined by the way in which both $|\kappa, J\rangle$ and $|\mathbf{v}_A\rangle$ transform under this operation. The procedure by which this is handled is described in ref. 38.

2.4 Diagonalization of \hat{H}_V^A and \hat{H}_V^B

The eigenstates of the two intramolecular vibrational Hamiltonians, \hat{H}_V^A [eqn (4)] and \hat{H}_V^B [eqn (8)], are rather easy to calculate owing to their low-dimensionality, 3D and 1D, respectively for the triatom–diatom systems studied thus far.^{17,25,36–38} The following procedure has been employed for \hat{H}_V^A (see I). A 3D product DVR basis consisting of two 1D PODVRs^{47,48} covering the R_1 and R_2 Radau coordinates, typically consisting of eight functions each, and a 1D PODVR covering the $\cos \Theta$ Radau coordinate and consisting of fifteen functions, has been utilized. The matrix of \hat{H}_V^A in this basis was then diagonalized by Chebyshev filter diagonalization.⁴⁹

The vibrational eigenstates of \hat{H}_V^B for the diatomic moiety (CO, HCl) have been computed by direct diagonalization of the matrix of that operator in a PODVR basis whose construction is described in ref. 25 and 17.

2.5 Calculation of the eigenstates of \hat{H}

As mentioned previously, when diagonalizing \hat{H} , the main challenge is posed by the calculation of the matrix elements of $\Delta\hat{H}$ in eqn (39) in the 9D $|\kappa, J, \mathbf{v}_A, \mathbf{v}_B\rangle$ basis. This task is facilitated by taking advantage of the fact that the matrix is block diagonal in J and with respect to the parity of the basis states. The rather lengthy procedure involved in the calculation of $\Delta\hat{T}$, ΔV , and \hat{T}_{cor}^A is presented in I (it is similar similar to the F matrix evaluation of Carrington^{31,51}). Whenever possible, profitable use is made of the hydrogen-exchange symmetry of the dimer, that allows one to reduce the number of $\Delta\hat{H}$ matrix-element calculations by about a factor of two.^{17,25,37,38}

2.6 Crucial advantage of using the intermolecular eigenstates of \hat{H}_{inter} in the product contracted 9D basis

It was stated already in Section 2.2 that the dimension of the final product contracted basis in eqn (13) is equal to the product of the numbers of eigenstates of each of these three lower-dimension Hamiltonians that are included in it. As emphasized in the Introduction, the main benefit of using the eigenstates of \hat{H}_{inter} as the contracted basis for the intermolecular DOFs is the ease with which one can capitalize on the weak-coupling insight and include only a small number of them, with the lowest energies, in the final basis. This reduces drastically the final basis-set size, making it small enough to allow direct diagonalization of the corresponding \hat{H} -matrix blocks, as illustrated by two examples below.

In the case of the $J=0,1$ 9D calculations of $\text{H}_2\text{O}-\text{CO}$,²⁵ the numbers of such 5D intermolecular states, N_{inter} , corresponding

to each of the parity/ J blocks were as follows. $N_{\text{inter}} = 60$ for even/0, 52 for odd/0, 90 for even/1, and 90 for odd/1. In all the blocks, the 5D intermolecular eigenstates included in the 9D bases extended to at most 230 cm^{-1} above the lowest-energy state of the given parity. This is far below the intramolecular vibrational fundamentals of H_2O ($1600\text{--}3750 \text{ cm}^{-1}$) and CO (2147 cm^{-1}).

In addition, 21 of the lowest-energy 3D intramolecular eigenstates of \hat{H}_V^A (up to 9000 cm^{-1} in excitation energy) and 5 of the lowest-energy 1D vibrational eigenstates of \hat{H}_V^B (up to the vibrational energy of 8300 cm^{-1}) were employed in the final 9D basis.

This resulted in the modest sized final 9D product contracted basis-sets for each of the four parity/ J blocks of $\text{H}_2\text{O}\text{--CO}$ of only 6300, 5460, 9450, and 9450 for even/0, odd/0, even/1, and odd/1, respectively. These are sufficiently small to permit direct diagonalization of the corresponding \hat{H} -matrix blocks.

In the $J = 0$ 9D calculations of $\text{HCl}\text{--H}_2\text{O}$,¹⁷ the matrix of \hat{H} in the 9D basis is block diagonal in the two parity blocks. Consequently, two different sets of basis states were constructed and two different matrix diagonalizations were performed to obtain the 9D eigenstates. The blocks differ in respect to the 5D \hat{H}_{inter} eigenstates, $|\kappa\rangle$, that were included. For the even-parity basis states 90 lowest-energy $|\kappa\rangle$ with even parity were included, up to 870 cm^{-1} above the \hat{H}_{inter} ground state. For the odd-parity basis states the 90 lowest-energy $|\kappa\rangle$ of odd parity were included as well, covering the energy range of about 930 cm^{-1} above the ground state. For both parities these energies are low in comparison with the intramolecular vibrational fundamentals of H_2O ($1596\text{--}3650 \text{ cm}^{-1}$) and HCl (2730 cm^{-1}).

Each of these blocks was composed of the same set of H_2O and H^{35}Cl states: the 21 lowest-energy $|v_A\rangle$ and the five lowest-energy $|v_B\rangle$. Hence, both blocks consist of just 9450 states, allowing their direct matrix diagonalization, as for $\text{H}_2\text{O}\text{--CO}$ above.

Thus, in the $J = 0, 1$ 9D quantum bound-state calculations of both $\text{H}_2\text{O}\text{--CO}$ and $\text{HCl}\text{--H}_2\text{O}$ complexes it sufficed to include only about 100 low-energy contracted intermolecular basis functions in the final 9D product contracted basis of dimension $\sim 10\,000$, and obtain converged all intramolecular vibrational fundamentals together with low-lying intermolecular vibrational eigenstates. A primitive, uncontracted intermolecular basis would certainly be several orders of magnitude larger, making such calculations prohibitively expensive and likely impossible.

3 Theory: binary polyatomic molecule-large molecule complexes

The coordinate system described in Section 2.1, with the \hat{Z}_D axis of the DF frame defined to be along the vector \mathbf{r}_0 connecting the c.m. of one monomer to that of another, is tailored for non-covalently bound complexes comprised of two small molecules, both capable of undergoing extensive internal rotations. However, this coordinate system, and the rovibrational Hamiltonian associated with it, is not appropriate for weakly bound binary complexes where one molecule is large and the other is small, and

as a result the intermolecular PES shows large anisotropy while the motions of the large molecule are strongly hindered.

In order to address this problem, in ref. 41 quantum bound-state methodology was formulated with molecule-large molecule complexes in mind, specifically benzene- H_2O and benzene-HDO. It allows rigorous, fully coupled 9D quantum calculations of the intramolecular vibrational excitations of a flexible small molecule (H_2O and HDO) weakly bound to a large molecule (benzene) taken to be rigid, together with the low-energy intermolecular vibrational states within each intramolecular vibrational manifold. The method incorporates the general approach of partitioning the vibrational Hamiltonian^{24,25} presented in Section 2.2. The DF frame of the complex is affixed to the large molecule (benzene), building on the previous quantum 6D (rigid-monomer) treatment of the intermolecular vibrations of benzene- H_2O .⁵² This in turn is an extension of the earlier quantum 3D calculations of the intermolecular level structure of atom-large molecule vdW complexes⁵³⁻⁵⁵ (see also ref. 4 for a general discussion of this topic).

The presentation here follows that in ref. 41. It refers to the benzene-water (BW) dimer, but adapting the procedure to other molecule-large molecule complexes (*e.g.*, those involving naphthalene or tetracene) would require relatively modest adaptations.

3.1 Vibrational Hamiltonian of the dimer

The DF frame is defined such that its origin is at the c.m. of the complex, its \hat{X} and \hat{Y} axes are parallel to the two principal axes in the plane of benzene, respectively, and its \hat{Z} axis is $\hat{X} \times \hat{Y}$, perpendicular to the benzene plane. The classical nuclear KE of this system can then be written as

$$T = \frac{|\mathbf{p}_d|^2}{2\mu_d} + \sum_i \frac{(j_i^B)^2}{2I_i} + T^{\text{WF}}, \quad (19)$$

where μ_d is the reduced mass of the complex, $m_{\text{water}}m_{\text{benzene}}/(m_{\text{water}} + m_{\text{benzene}})$, \mathbf{p}_d is the momentum conjugate to \mathbf{d} , the vector going from the benzene c.m. to that of the water moiety, the index i runs over the DF-axis directions, j_i^B is the component of the rotational angular momentum of the benzene measured along the i th axis, I_i is the moment of inertia about the benzene principal axis parallel to i , and T^{WF} is the kinetic energy of the water moiety due to the motion of its nuclei referred to a water-fixed (WF) frame.

Starting with eqn (19) and proceeding along the lines of the development in ref. 52, the quantum vibrational Hamiltonian of the BW complex is obtained as

$$\hat{H} = -\frac{\nabla_d^2}{2\mu_d} + \sum_{i=\hat{X},\hat{Y},\hat{Z}} \left[\frac{\hat{L}_i^2(\mathbf{d}) + (j_i^W(\omega))^2 + 2\hat{L}_i(\mathbf{d})j_i^W(\omega)}{2I_i} \right] + \hat{T}^{\text{WF}}(\omega, \mathbf{q}) + V_{\text{tot}}(\mathbf{d}, \omega, \mathbf{q}). \quad (20)$$

In the above equation, $\omega \equiv (\phi, \theta, \chi)$ stands for the Euler angles that fix the orientation of the WF frame relative to the DF frame, \mathbf{q} denotes the vibrational coordinates of the water moiety, ∇_d^2 is the Laplacian associated with \mathbf{d} , \hat{L}_i is the

component, measured along the i th axis, of the orbital angular momentum associated with the rotation of the c.m.s of the benzene and water moieties about the dimer c.m., and \hat{j}_i^{WF} is the operator corresponding to the component of water's angular momentum measured along the i th DF axis. $\hat{T}^{\text{WF}}(\omega, \mathbf{q})$ is the operator associated with rotational-vibrational KE of water monomer:³⁵

$$\hat{T}^{\text{WF}}(\omega, \mathbf{q}) = \hat{T}_{\text{vr}}^{\text{WF}}(\omega, \mathbf{q}) + \hat{T}_{\text{cor}}^{\text{WF}}(\omega, \mathbf{q}) + \hat{T}_{\text{vib}}^{\text{WF}}(\mathbf{q}). \quad (21)$$

Detailed expressions for $\hat{T}_{\text{vr}}^{\text{WF}}$, $\hat{T}_{\text{cor}}^{\text{WF}}$, and $\hat{T}_{\text{vib}}^{\text{WF}}$ are given in ref. 41. Finally, $V_{\text{tot}}(\mathbf{d}, \omega, \mathbf{q})$ is the 9D PES of the benzene-water dimer, which we express as

$$V_{\text{tot}}(\mathbf{d}, \omega, \mathbf{q}) = V_{\text{B-W}}(\mathbf{d}, \omega, \mathbf{q}) + V_{\text{intra}}^{\text{WF}}(\mathbf{q}), \quad (22)$$

where $V_{\text{B-W}}$ is the 9D benzene-water interaction PES and $V_{\text{intra}}^{\text{WF}}$ is the isolated water-monomer PES.

3.2 The procedure for calculating the eigenstates of \hat{H}

The coupled inter- and intramolecular vibrational eigenstates of \hat{H} in eqn (20) are calculated following the general procedure described in Section 2.2 for computing the rovibrational states of noncovalently bound complexes.^{24,25} The difference is that in this case only one of the two monomers, the small molecule (water), is treated as flexible, while the other monomer, the large molecule (benzene), is taken to be rigid.

\hat{H} is partitioned into a reduced-dimension 6D (rigid-monomer) intermolecular Hamiltonian \hat{H}_{inter} , a reduced-dimension 3D intramolecular vibrational Hamiltonian \hat{H}_{intra} of the flexible water molecule, and a remainder term $\Delta\hat{H}$. From the low-energy eigenstates of \hat{H}_{inter} (denoted as $|\kappa\rangle$, with eigenenergies $E_{\kappa}^{\text{inter}}$) and those of \hat{H}_{intra} , (denoted as $|\gamma\rangle$, with eigenenergies $E_{\gamma}^{\text{intra}}$) a contracted product basis $|\kappa, \gamma\rangle \equiv |\kappa\rangle|\gamma\rangle$ is built up, which is then used to diagonalize the full 9D vibrational Hamiltonian.

\hat{H}_{inter} is defined as

$$\hat{H}_{\text{inter}} \equiv -\frac{\nabla_{\mathbf{d}}^2}{2\mu_{\mathbf{d}}} + \sum_{i=X,Y,Z} \frac{\hat{L}_i^2(\mathbf{d}) + (\hat{j}_i^{\text{W}}(\omega))^2 + 2\hat{L}_i(\mathbf{d})\hat{j}_i^{\text{W}}(\omega)}{2I_i} + \hat{T}_{\text{vr}}^{\text{WF}}(\omega; \mathbf{q}_0) + V_{\text{inter}}(\mathbf{d}, \omega), \quad (23)$$

where

$$V_{\text{inter}}(\mathbf{d}, \omega) \equiv V_{\text{B-W}}(\mathbf{d}, \omega; \mathbf{q}_0), \quad (24)$$

and \mathbf{q}_0 denotes vibrational coordinates of the rigid water moiety fixed to constant values near to those characterizing the minimum-energy geometry of water monomer.

\hat{H}_{intra} is defined as

$$\hat{H}_{\text{intra}} \equiv \hat{T}_{\text{vib}}^{\text{WF}}(\mathbf{q}) + V_{\text{adap}}(\mathbf{q}), \quad (25)$$

where the dimer-adapted 3D intramolecular potential, V_{adap} , is the hydrogen-exchange-symmetrized version of

$$V_{\text{adap}}(\mathbf{q}) \equiv V_{\text{intra}}^{\text{WF}}(\mathbf{q}) + V_{\text{B-W}}(\mathbf{d}_0, \omega_0, \mathbf{q}). \quad (26)$$

Here, \mathbf{d}_0 and ω_0 are fixed values of \mathbf{d} and ω . These values are chosen to correspond to those at, or near, the minimum of $V_{\text{B-W}}$ when the Radau coordinates are fixed to $(R_{1,0}, R_{2,0}, \Theta_0)$.

As a result, V_{adap} includes some of the effects of the benzene-water interaction on the water vibrational PES. This is similar in spirit to the the 1D HCl dimer-adapted Hamiltonian for HCl-H₂O,¹⁷ defined in eqn (8) and (9).

Finally,

$$\Delta\hat{H} \equiv \Delta\hat{T}_{\text{vr}}^{\text{WF}}(\omega, \mathbf{q}) + \hat{T}_{\text{cor}}^{\text{WF}}(\omega, \mathbf{q}) + \Delta V(\mathbf{d}, \omega, \mathbf{q}), \quad (27)$$

where

$$\Delta\hat{T}_{\text{vr}}^{\text{WF}}(\omega, \mathbf{q}) \equiv \hat{T}_{\text{vr}}^{\text{WF}}(\omega, \mathbf{q}) - \hat{T}_{\text{vr}}^{\text{WF}}(\omega; \mathbf{q}_0), \quad (28)$$

and

$$\Delta V(\mathbf{d}, \omega, \mathbf{q}) \equiv V_{\text{B-W}}(\mathbf{d}, \omega, \mathbf{q}) - V_{\text{B-W}}(\mathbf{d}, \omega; \mathbf{q}_0) - V_{\text{B-W}}(\mathbf{d}_0, \omega_0, \mathbf{q}). \quad (29)$$

In the 9D $|\kappa, \gamma\rangle$ basis the matrix elements of \hat{H} are thus given by

$$\begin{aligned} \langle \kappa', \gamma' | \hat{H} | \kappa, \gamma \rangle &= (E_{\kappa}^{\text{inter}} + E_{\gamma}^{\text{intra}}) \delta_{\kappa', \kappa} \delta_{\gamma', \gamma} \\ &+ \langle \kappa', \gamma' | (\Delta\hat{T}_{\text{vr}}^{\text{WF}} + \hat{T}_{\text{cor}}^{\text{WF}} + \Delta V) | \kappa, \gamma \rangle. \end{aligned} \quad (30)$$

3.3 Coordinates and kinetic-energy operators

The coordinates on which \hat{H} depends are specified in the following way. For the components of the intermolecular vector \mathbf{d} in eqn (20) the cylindrical coordinates (d_z, ρ, Φ) are used, where d_z is the Cartesian component of \mathbf{d} along the \hat{Z} axis, $\rho \equiv \sqrt{d_x^2 + d_y^2}$, and $(\cos \Phi, \sin \Phi) \equiv (d_x/\rho, d_y/\rho)$, with d_x and d_y the Cartesian components of \mathbf{d} along the \hat{X} and \hat{Y} axes, respectively. For the intra-water \mathbf{q} the Radau coordinates (R_1, R_2, Θ) ⁴³⁻⁴⁵ are employed. Radau bisector- z embedding is used to define the WF frame,³⁵ as described in Section 2.1.

The kinetic-energy portion of \hat{H} in eqn (20), apart from \hat{T}^{WF} , can now be written as⁵²

$$\begin{aligned} &\left[-\frac{\nabla_{\mathbf{d}}^2}{2\mu_{\mathbf{d}}} + \sum_i \frac{\hat{L}_i^2(\mathbf{d})}{2I_i} \right] + \left[\sum_i \frac{(\hat{j}_i^{\text{W}}(\omega))^2}{2I_i} \right] + \left[\sum_i \frac{2\hat{L}_i(\mathbf{d})\hat{j}_i^{\text{W}}(\omega)}{2I_i} \right] \\ &= \hat{T}_1 + \hat{T}_2 + \hat{T}_3. \end{aligned} \quad (31)$$

The expressions for \hat{T}_1 , \hat{T}_2 , \hat{T}_3 , and \hat{T}^{WF} are given in Section IIC of ref. 41.

3.4 Diagonalization of \hat{H}_{inter} and \hat{H}_{intra}

A ‘‘cylindrical-Wigner’’ basis⁵² chosen to represent the matrix of \hat{H}_{inter} consists of basis states of the form

$$|\alpha, \nu, l, j, m_j, k\rangle = |d_{z,\alpha}\rangle |\nu, l\rangle |j, m_j, k\rangle. \quad (32)$$

Here, the $|d_{z,\alpha}\rangle$, $\alpha = 1, \dots, N_z$, comprise a 1D Gauss-Hermite DVR covering the d_z coordinate, the $|\nu, l\rangle$ ($|l| = 0, 1, \dots, \nu_{\text{max}}$ and $\nu = |l|, |l| + 2, \dots, \nu_{\text{max}}$), are eigenfunctions of a degenerate 2D harmonic oscillator (HO) dependent on ρ and Φ , and the $|j, m_j, k\rangle$ ($j = 0, 1, \dots, j_{\text{max}}$, $m_j, k = -j, -j + 1, \dots, j$) are symmetric-top rotational eigenfunctions dependent on the ω .

A very significant increase in computational efficiency in respect to the diagonalization of \hat{H}_{inter} and, more critically, in

respect to the 9D diagonalization of \hat{H} , can be achieved by the symmetry factorization of the cylindrical-Wigner basis. The matrix of \hat{H}_{inter} was factorized into symmetry blocks associated with the irreps of the G_{12} molecular symmetry group. This choice made it possible to employ the same code to handle both the H₂O and HDO versions of single-sided BW complex. Details of the symmetry-factorization procedure can be found in Section IID2 of ref. 41.

It should be pointed out that the same block diagonalization due to symmetry that the above accomplishes for \hat{H}_{inter} carries over to the \hat{H} matrix when constructed in the $|\kappa, \gamma\rangle$ representation. This is because \hat{H} is invariant to the operations of G_{12} , the $|\gamma\rangle$ are unaffected by those operations, and the $|\kappa\rangle$ transform like G_{12} irreps.

\hat{H}_{inter} was diagonalized by means of the Chebyshev version⁴⁹ of filter diagonalization,⁵⁰ which involves successive application of the operator on an initial, randomly generated state function. This operation is straightforward for the kinetic-energy portion of \hat{H}_{inter} .

The operation with the potential term in eqn (23) [*i.e.*, $V_{\text{inter}}(\mathbf{d}, \omega)$] is slightly more involved. The state function is transformed from the $|\alpha, \nu, l, j, m, k\rangle$ representation to the quadrature-grid representation $|\alpha, \rho, \beta, \Phi, \gamma, \theta, \phi, r, \chi, s\rangle$. Next, each term in the resulting grid expansion of the state function is multiplied by the value of $V_{\text{inter}}(\mathbf{d}, \omega)$ at the associated grid point. Finally, the result is back-transformed to the $|\alpha, \nu, l, j, m, k\rangle$ basis.

It can be readily shown that the matrix elements of \hat{H}_{inter} in the primitive basis are all real, even though the basis functions themselves are complex.⁴¹ Consequently, if the expansion coefficients in the primitive basis of the initial state function in filter diagonalization are chosen to be real initially, the analogous expansion coefficients of the state functions resulting from successive operations of \hat{H}_{inter} will remain real. Working with real state vectors decreases the computational effort by about a factor of two relative to working with complex ones, and advantage was taken of that.

The eigenfunctions of \hat{H}_{intra} were computed by a procedure very similar to that outlined in Section 2.4. For a given species, H₂O or HDO, a primitive basis was used consisting of the product of two tridiagonal-Morse DVRs⁴⁸ covering the R_1 and R_2 coordinates ($|\eta_1\rangle$ and $|\eta_2\rangle$), and a potential-optimized DVR ($|\eta_3\rangle$) covering the Θ coordinate. In the above bases, the matrix elements of V_{adapt} are diagonal, with each nonzero element equal to that of the potential function evaluated at the relevant 3D quadrature point. Kinetic-energy (*i.e.*, $\hat{T}_{\text{vib}}^{\text{WF}}$) matrix elements were evaluated as described in Section IID of ref. 40. The intramolecular eigenvectors of \hat{H}_{intra} were obtained by filter diagonalization.

3.5 Diagonalization of \hat{H}

It was already mentioned in Section 3.4 that the matrix of \hat{H} is block diagonal in the $|\kappa, \gamma\rangle$ basis, with the different blocks corresponding to the different irreps to which the various $|\kappa\rangle$ belong. In the case of benzene-H₂O, for all irreps, we set $N_{\text{inter}} = 40$ and $N_{\text{intra}} = 34$. For benzene-HDO, $N_{\text{inter}} = 40$ and $N_{\text{intra}} = 42$ were employed for all irreps. For this value of N_{inter} , the eigenvectors of

\hat{H}_{inter} included in the final 9D basis extended up to at most 270 cm⁻¹ above the ground state of \hat{H}_{inter} , far below the intramolecular vibrational fundamentals of water. This resulted in the very modest sizes of the 9D symmetry-factored bases employed, $N_{\text{inter}} \times N_{\text{intra}}$, equal to 1360 for each G_{12} irrep of the H₂O complex and 1680 for each one of the HDO complex. It should be pointed out all of these bases are small enough to allow for direct diagonalization.

Computationally, the most intensive step of diagonalizing \hat{H} , the computation of matrix elements of $\Delta \hat{T}_{\text{vr}}^{\text{WF}}$, $\hat{T}_{\text{cor}}^{\text{WF}}$, and ΔV [eqn (30)], is described in ref. 40.

4 Theory: light polyatomic molecules inside fullerene cages

Rigorous 9D quantum treatment of the intramolecular vibrational excitations of H₂O inside the fullerene C₆₀ coupled to the low-energy intermolecular translation-rotation (TR) states was presented in ref. 40. It represents the culmination of theoretical studies over many years aimed at elucidating the quantum TR dynamics of molecules of increasing complexity (H₂,⁵⁶ HF,⁵⁷ H₂O⁵⁸) nanoconfined inside fullerenes. A comprehensive review of these studies is available.⁵⁹

The 9D quantum methodology described here is from ref. 40.

4.1 Vibrational Hamiltonian of H₂O@C₆₀

A flexible H₂O molecule encapsulated in an isolated and rigid C₆₀ with I_h symmetry is considered. The effects of symmetry breaking^{57,60} on the intramolecular vibrational excitations are not included at this point. This is because the dominant contribution to this is likely to come from the dependence of the quadrupole moment of H₂O on the intramolecular coordinates, which is not known.

For this case, the 9D vibrational Hamiltonian of H₂O@C₆₀ can be written as

$$\hat{H}(\mathbf{R}, \omega, \mathbf{q}) = -\frac{\nabla_{\mathbf{R}}^2}{2M} + \hat{T}_{\text{vr}}(\omega, \mathbf{q}) + \hat{T}_{\text{cor}}(\omega, \mathbf{q}) + \hat{T}_{\text{v}}(\mathbf{q}) + V_{\text{tot}}(\mathbf{R}, \omega, \mathbf{q}), \quad (33)$$

where M is the mass of H₂O. The nine coordinates on which this operator depends fall into three groups. In the first are the three associated with the position vector, \mathbf{R} , of the water c.m. measured with respect to a cage-fixed (CF) Cartesian axis system ($\hat{X}, \hat{Y}, \hat{Z}$) with origin at the center of the C₆₀ cage. These axes are chosen such that \hat{Z} is along a C_5 symmetry axis of the icosahedral cage, \hat{Y} is along one of the C_2 symmetry axes, and $\hat{X} = \hat{Y} \times \hat{Z}$. For the components of \mathbf{R} we work with the spherical coordinates (R, β, α) , with $R \equiv |\mathbf{R}|$, $\cos \beta \equiv \hat{\mathbf{R}} \cdot \hat{\mathbf{Z}}$, and $(\cos \alpha, \sin \alpha) \equiv (\hat{\mathbf{R}} \cdot \hat{\mathbf{X}}, \hat{\mathbf{R}} \cdot \hat{\mathbf{Y}}) / \sin \beta$. In the second group represented by ω are the three Euler angles (ϕ, θ, χ) that fix the orientation of the water moiety's molecule-fixed (MF) axis system relative to the CF frame. In the third group represented by \mathbf{q} are the three vibrational coordinates of the water moiety, for which the Radau coordinates

$(R_1, R_2, \Theta)^{43-45}$ were chosen. Radau bisector- z embedding³⁵ is used to define the water MF frame, as described in Section 2.1.

In eqn (33), going from left to right, the terms in \hat{H} correspond respectively to the KE of the c.m. translational motion of the water, the vibration-rotation KE of the water (\hat{T}_{vr}), the Coriolis contribution to the KE of the water (\hat{T}_{cor}), the vibrational KE of the water (\hat{T}_{v}), and the 9D PES of flexible H₂O inside C₆₀ (V_{tot}). The explicit forms of \hat{T}_{vr} , \hat{T}_{cor} , and \hat{T}_{v} , from ref. 35, are given by eqn (3)–(5) of ref. 40. V_{tot} is expressed as the sum of a water–cage interaction term, $V_{\text{H}_2\text{O-cage}}$, and an isolated-water term, V_{intra} :

$$V_{\text{tot}}(\mathbf{R}, \omega, \mathbf{q}) = V_{\text{H}_2\text{O-cage}}(\mathbf{R}, \omega, \mathbf{q}) + V_{\text{intra}}(\mathbf{q}). \quad (34)$$

4.2 Computing the eigenstates of \hat{H}

The overall approach to calculating the coupled intramolecular and TR eigenstates of \hat{H} in eqn (33) is similar to that described in Section 3.2 for binary molecule-large molecule complexes.⁴¹ This is natural, as in both cases the large molecule, benzene or C₆₀, is taken to be rigid, while the water molecule is treated as flexible.

Following the well-established general procedure^{24,25} outlined already in Sections 2.2 and 3.2, \hat{H} is partitioned into three parts, in the following way. The first part is a 6D intermolecular Hamiltonian \hat{H}_{inter} , with the eigenfunctions $|\kappa\rangle$ and eigenvalues $E_{\kappa}^{\text{inter}}$. It is defined as

$$\hat{H}_{\text{inter}}(\mathbf{R}, \omega; \mathbf{q}_0) \equiv -\frac{\nabla_{\mathbf{R}}^2}{2M} + \hat{T}_{\text{vr}}(\omega; \mathbf{q}_0) + V_{\text{inter}}(\mathbf{R}, \omega), \quad (35)$$

where $\mathbf{q}_0 \equiv (R_{1,0}, R_{2,0}, \Theta_0)$ are constants set to values of the water vibrational coordinates close to those of the equilibrium geometry of the monomer, and V_{inter} is defined as

$$V_{\text{inter}}(\mathbf{R}, \omega) = V_{\text{H}_2\text{O-cage}}(\mathbf{R}, \omega, \mathbf{q}_0). \quad (36)$$

The second part is a 3D intramolecular Hamiltonian \hat{H}_{intra} (of the water molecule), with the eigenfunctions $|\gamma\rangle$ and eigenvalues $E_{\gamma}^{\text{intra}}$. It is defined as

$$\hat{H}_{\text{intra}}(\mathbf{q}) \equiv \hat{T}_{\text{v}}(\mathbf{q}) + V_{\text{adap}}(\mathbf{q}), \quad (37)$$

where V_{adap} is the C₆₀-adapted 3D intramolecular potential (akin to the dimer-adapted potential in Section 3.2). It is defined as the proton-exchange-symmetrized version of

$$V_{\text{adap}}(\mathbf{q}) \equiv V_{\text{intra}}(\mathbf{q}) + V_{\text{H}_2\text{O-cage}}(\mathbf{R}_0, \omega_0, \mathbf{q}). \quad (38)$$

Here, \mathbf{R}_0 and ω_0 are fixed values of \mathbf{R} and ω . By choosing these values to correspond to the minimum of $V_{\text{H}_2\text{O-cage}}$ when the Radau coordinates are fixed to $(R_{1,0}, R_{2,0}, \Theta_0)$, one obtains a 3D H₂O vibrational potential that includes some of the effects (perhaps the bulk thereof) of the H₂O–C₆₀ interaction on the vibrational PES of H₂O.

From the above 6D and 3D reduced-dimension eigenfunctions a 9D contracted product basis is constructed composed of functions of the form $|\kappa, \gamma\rangle \equiv |\kappa\rangle |\gamma\rangle$ and then used to diagonalize the full \hat{H} .

This leaves a 9D remainder term $\Delta\hat{H}$. From eqn (33) and the definitions of eqn (35) and (37), one has

$$\Delta\hat{H}(\mathbf{R}, \omega, \mathbf{q}) = \Delta\hat{T}_{\text{vr}}(\omega, \mathbf{q}) + \Delta V(\mathbf{R}, \omega, \mathbf{q}) + \hat{T}_{\text{cor}}(\omega, \mathbf{q}), \quad (39)$$

where

$$\Delta\hat{T}_{\text{vr}}(\omega, \mathbf{q}) = \hat{T}_{\text{vr}}(\omega, \mathbf{q}) - \hat{T}_{\text{vr}}(\omega; \mathbf{q}_0), \quad (40)$$

and

$$\Delta V(\mathbf{R}, \omega, \mathbf{q}) \equiv V_{\text{H}_2\text{O-cage}}(\mathbf{R}, \omega, \mathbf{q}) - V_{\text{H}_2\text{O-cage}}(\mathbf{R}, \omega; \mathbf{q}_0) + V_{\text{intra}}(\mathbf{q}) - V_{\text{adap}}(\mathbf{q}). \quad (41)$$

In the $|\kappa, \gamma\rangle$ basis, the matrix elements of \hat{H} are given by

$$\langle \kappa', \gamma' | \hat{H} | \kappa, \gamma \rangle = (E_{\kappa}^{\text{inter}} + E_{\gamma}^{\text{intra}}) \delta_{\kappa', \kappa} \delta_{\gamma', \gamma} + \langle \kappa', \gamma' | \Delta\hat{H} | \kappa, \gamma \rangle. \quad (42)$$

When diagonalizing \hat{H} , computing the matrix elements of $\Delta\hat{H}$ represents the main task.

4.3 Diagonalizing \hat{H}_{inter} and \hat{H}_{intra}

\hat{H}_{inter} is diagonalized in a primitive basis composed of functions of the form

$$|n, l, m_l, j, m_j, k\rangle = |n, l, m_l\rangle |j, m_j, k\rangle, \quad (43)$$

where the $|n, l, m_l\rangle$ are normalized eigenfunctions of the isotropic 3D harmonic oscillator Hamiltonian^{61,62} and the $|j, m_j, k\rangle$ are symmetric-top rotational eigenfunctions.⁶³ The latter are normalized Wigner rotation matrix elements

$$|j, m_j, k\rangle = \sqrt{\frac{2j+1}{8\pi^2}} [D_{m_j, k}^j(\phi, \theta, \chi)]^*. \quad (44)$$

With the basis of eqn (43) and the particular choice made in Section 4.1 for the CF axes, it is straightforward to take advantage of the high symmetry of the system. In particular, rotation of the water moiety by $p2\pi/5$ radians (p an integer) about \hat{Z} transforms $\alpha \rightarrow \alpha + p2\pi/5$ and $\phi \rightarrow \phi + p2\pi/5$ while leaving \hat{H}_{inter} (and \hat{H}) unchanged. Therefore, only those $|n, l, m_l, j, m_j, k\rangle$ states that have the same symmetry with respect to such rotations can be coupled by \hat{H}_{inter} (or \hat{H}). It is easy to see that such states must have the same value of $(m_l + m_j) \bmod 5$. Hence, the intermolecular basis can be factored into five different sets characterized by values of $(m_l + m_j) \bmod 5 = 0, \pm 1$ and ± 2 . The 6D intermolecular problem is then solved by diagonalizing separately the blocks of the \hat{H}_{inter} matrix corresponding to these different basis sets.

The same type of symmetry factorization is employed in Section 4.4 to block diagonalize \hat{H} in the $|\kappa\rangle |\gamma\rangle$ basis. This is possible because the $|\kappa\rangle$ have well-defined symmetry with respect to the $p2\pi/5$ \hat{Z} -axis rotations and \hat{H} is invariant with respect to them.

The other symmetry used to block diagonalize the \hat{H}_{inter} matrix (and that of \hat{H}) further is parity, the symmetric or antisymmetric transformation of states due to inversion of the coordinates of the water particles though the CF origin. The states of the intermolecular basis transform upon inversion, \hat{E}^* , as

$$\hat{E}^* |n, l, m_l, j, m_j, k\rangle = (-1)^{m_l + j + k} |n, l, m_l, j, m_j, -k\rangle. \quad (45)$$

Rather than building parity directly into the primitive basis, we chose to build it into the random initial intermolecular state vector (by applying a parity projection operator) that is used in

the iterative matrix-on-vector diagonalization routine. Additional important details pertaining to the exploitation of symmetry in calculating the eigenstates are given in Section IIC2 of ref. 40. \hat{H}_{inter} is diagonalized by using the Chebyshev version⁴⁹ of filter diagonalization.⁵⁰ Details of this procedure, including the utilization of the C_5 symmetry about the \hat{Z} axis, can be found in Section IIC2 of ref. 40.

The eigenstates of \hat{H}_{intra} [eqn (37)] were calculated as outlined in Section 3.4, utilizing a product basis of three 1D DVR functions covering each of the vibrational (Radau) coordinates.⁴⁰ \hat{H}_{intra} was diagonalized by using the Chebyshev version of filter diagonalization.

4.4 Diagonalization of \hat{H}

Having computed the inter- and intramolecular eigenstates, the symmetry-adapted 9D bases $|\kappa, \gamma\rangle$, in which \hat{H} is diagonalized, are constructed by including the thirty-four lowest-energy intramolecular states, $|\gamma\rangle$, corresponding to $\Delta E^{\text{intra}} \leq 11\,300\text{ cm}^{-1}$, and all intermolecular states, $|\kappa\rangle$, with the appropriate symmetry having $\Delta E^{\text{inter}} \leq 410\text{ cm}^{-1}$ (far below the intramolecular vibrational fundamentals of H_2O). Appropriate symmetry refers to the way in which the $|\kappa\rangle$ transform with respect to (a) the operations of I_h and (b) the specific C_5 operations corresponding to rotations about the \hat{Z} axis. Owing to the extensive symmetry factorization, the final 9D symmetry-adapted basis-set sizes are very small, ranging from 34 (A_u) to 816 (H_g), depending on the irrep, as shown in Table IV of ref. 40.

Moreover, since the 9D basis sets shown in this table include $N_{\text{intra}} = 34$ intramolecular states $|\gamma\rangle$ for all symmetry blocks, the number of intermolecular states $|\kappa\rangle$ (N_{inter}) in the 9D basis is obtained by dividing the basis-set size for each symmetry block in Table IV (ref. 40) by 34. One finds that N_{inter} ranges from 1 for the A_u irrep to 24 for the H_g irrep. It is these very small values of N_{inter} , made possible by (a) the efficient exploitation of the weak coupling between the intra- and intermolecular vibrational DOFs and (b) symmetry factorization, that account for the small sizes of the 9D basis sets.

The most demanding task in diagonalizing any of the symmetry blocks of \hat{H} in the 9D basis is to compute the matrix elements of $\Delta\hat{H}$ [eqn (39)], given by

$$\langle \kappa', \gamma' | \Delta\hat{H} | \kappa, \gamma \rangle = \langle \kappa', \gamma' | \Delta T_{\text{vr}}(\omega, \mathbf{q}) + \Delta V(\mathbf{R}, \omega, \mathbf{q}) + \hat{T}_{\text{cor}}(\omega, \mathbf{q}) | \kappa, \gamma \rangle. \quad (46)$$

The somewhat involved procedure for doing this is described in Section IIE of ref. 40.

Given the small sizes of the 9D symmetry blocks of the \hat{H} matrix, their eigenstates are obtained by diagonalizing each of them directly.

5 Applications

5.1 HCl–H₂O complex and isotopologues

Mixed $(\text{HCl})_n(\text{H}_2\text{O})_m$ clusters have long been of interest to experimentalists and theorists alike,⁶⁴ owing to the role these complexes play in atmospheric chemistry, ozone depletion in

particular. In addition, small HCl–water clusters provide a microscopic view of the steps that ultimately result in the dissociation of HCl in bulk water.^{64,65}

The HCl–H₂O binary complex, the smallest of $(\text{HCl})_n(\text{H}_2\text{O})_m$ clusters, is of considerable significance in its own right. It has been the subject of numerous high-resolution spectroscopic studies, including microwave spectroscopy,^{66,67} ragout-jet FTIR^{68,69} and infrared (IR) cavity ringdown spectroscopy⁷⁰ in the gas phase, and IR spectroscopy in liquid helium, as well as in nanodroplets.^{71–75} The vibrational predissociation dynamics of the HCl–H₂O dimer following excitation of the HCl stretch have been studied as well,^{76,77} leading to the first determination of the dimer dissociation energy $D_0 = 1334 \pm 10\text{ cm}^{-1}$.⁷⁶

Theoretical studies of HCl–H₂O dimer over the years^{78–82} have established that the equilibrium, minimum-energy structure has a near-linear hydrogen bond with HCl serving as the proton donor to the O atom of water which is the proton acceptor. This global minimum is non-planar, and one of its two symmetrically equivalent pyramidal C_s structures is shown in Fig. 1.

Mancini and Bowman⁸³ developed the *ab initio* based 9D PES of this complex, constructed by means of a permutationally invariant fit to over 44 000 CCSD(T)-F12b/aug-cc-pVTZ configurations and energies, with the overall root-mean-square error (RMSE) of 24 cm^{-1} . Hereafter, this PES is referred to as PES-2013. Quantum diffusion Monte Carlo (DMC) calculations performed on this PES⁸³ gave for the dimer's dissociation energy (D_0) the value of $1348 \pm 3\text{ cm}^{-1}$, in good agreement with the experimental result.⁷⁶ The vibrationally averaged ground-state geometry of HCl–H₂O was also characterized.

Until recently, no rigorous, high-dimensional quantum calculations of excited intra- and intermolecular vibrational states of the HCl–H₂O dimer were reported. This motivated the theoretical study presented in ref. 17, that had two objectives. The first one was to report a new full-dimensional (9D) PES for this dimer, denoted hereafter as PES-2021. The methodology utilized in its construction is similar to that utilized to generate the 9D PES for the H₂O–CO interaction.⁸⁴ The HCl–H₂O PES-2021 is based on *circa* 43 000 data points computed at the level of CCSD(T)-F12a/aug-cc-pVTZ with the basis-set-superposition-error (BSSE) correction. The *ab initio* points are fit using the ultraflexible permutation invariant polynomial-neural network (PIP-NN) approach,^{85–87} with the RMSE of only 10.1 cm^{-1} .



Fig. 1 Equilibrium geometry of the HCl–H₂O complex (distances in Å and angles in deg.), on the *ab initio* calculated PES-2021 (ref. 17). α presents the angle between H–O (H in HCl) vector and C_2 axis of H₂O. H, Cl, and O atoms are depicted as white, green, and red, respectively.

The second objective of this paper was to present the results of the first fully coupled 9D quantum calculations of the intra- and intermolecular vibrational states of the HCl–H₂O dimer. PES-2021 and the bound-state methodology described in Section 2 were employed. The 9D calculations yielded the three intramolecular vibrational fundamentals of the H₂O moiety and the stretch fundamental of the HCl subunit, as well as their frequency shifts from the gas-phase monomer values, together with the low-energy intermolecular vibrational states of the complex within each intramolecular vibrational manifold. These and other theoretical results were compared with the available experimental data.

The binding energy D_0 of the complex calculated in 9D on PES-2021 is 1334.63 cm^{-1} (and 1336.66 cm^{-1} in 5D), which agrees extremely well with the experimental D_0 value of $1334 \pm 10 \text{ cm}^{-1}$.⁷⁶ Thus, for this quantity, PES-2021 yields better agreement with experiment than the PES by Mancini and Bowman,⁸³ for which DMC calculations gave $D_0 = 1348 \pm 3 \text{ cm}^{-1}$. Since the global minimum of PES-2021 is at -1878.2 cm^{-1} , the 9D ZPE of the HCl–H₂O dimer is 543.6 cm^{-1} .

The effective, vibrationally averaged ground-state geometry of the HCl–H₂O complex has been the subject of considerable attention.^{66,67,83} The PES of the complex has two symmetrically equivalent global minima, one of which is depicted in Fig. 1, corresponding to a nonplanar, pyramidal equilibrium geometry with C_s symmetry. These two minima are separated by a barrier with the height of only 49 cm^{-1} . This suggests the possibility of the ground-state wave function delocalization over the double-well minimum of the complex, which would result in an effective C_{2v} geometry. In fact, the DMC calculations on PES-2013 did show the hydrogen atoms of the H₂O moiety as delocalized across the two global minima, resulting in a vibrationally averaged planar C_{2v} geometry.⁸³

However, the calculations on PES-2021 arrived at a different conclusion.¹⁷ There, the degree of nonplanarity of H₂O moiety is described by β_A , the water polar angle between the C_2 axis of H₂O and the vector \mathbf{r}_0 connecting the c.m. of H₂O to that of HCl. To within a small fraction of a degree, β_A is the complement of the angle α in Fig. 1. For any eigenstate of HCl–H₂O, the expectation value of β_A , $\langle \beta_A \rangle$, is calculated as $\langle \beta_A \rangle = \cos^{-1}(\langle \cos \beta_A \rangle)$, where $\langle \cos \beta_A \rangle$ is the expectation value of $\cos \beta_A$ for a given state.

In the ground state of the complex, $\langle \beta_A \rangle = 33.80^\circ$, which implies that on PES-2021 the vibrationally averaged ground-state geometry of the HCl–H₂O complex is distinctly nonplanar. Moreover, the calculated $\langle \beta_A \rangle$ of 33.80° agrees remarkably well with the experimentally determined value of 34.7° for this out-of-plane bend angle (denoted ϕ).⁶⁷

The intermolecular vibrational states calculated in this study are assigned to the fundamentals, first and second overtones, and combinations of the following three intermolecular modes: (1) the inversion mode, $\nu_{\text{inversion}}$, at 85.00 cm^{-1} (9D), which corresponds to the large-amplitude motion (rotation) of the H₂O moiety about the \hat{x}_A axis (its a principal axis). (2) The intermolecular stretch mode, ν_{stretch} , at 132 cm^{-1} (9D). (3) The water rock mode, ν_{rock} , at 146 cm^{-1} (9D), corresponding to the rotation of the H₂O moiety about the out-of-plane \hat{y}_A axis (its c principal axis).



Fig. 2 Contour plots of the reduced probability densities, as a function of the coordinates x and y defined in the text, of the ground state of HCl–H₂O (top left) and the following inversion states: (top right) $\nu_{\text{inversion}}$, (bottom left) $2\nu_{\text{inversion}}$, and (bottom right) $3\nu_{\text{inversion}}$. Reproduced from ref. 17 with permission from the PCCP Owner Societies.

These assignments, for this complex and others, are made in part by inspecting contour plots of the reduced probability densities (RPDs) associated with the water-c.m.-to-HCl-c.m. vector \mathbf{r}_0 for each in suitably chosen coordinates. For example, Fig. 2 shows such plots for the ground state and three members of the inversion-mode progression: $\nu_{\text{inversion}}$, $2\nu_{\text{inversion}}$, and $3\nu_{\text{inversion}}$. Clear nodal patterns make it easy to count the number of quanta in the inversion mode. The contour plot of the RPD of the ground state, in the top left panel of Fig. 2 exhibits two prominent symmetrically placed maxima of the same magnitude, associated with the two equivalent nonplanar vibrationally averaged ground-state geometries of HCl–H₂O having $\langle \beta_A \rangle = 33.80^\circ$.

A complementary way of making the assignments is by inspecting how the expectation values, and the corresponding root-mean-square amplitudes, of judiciously chosen coordinates vary from one state to another. These quantities tend to be sensitive indicators of the excitation, or lack thereof, of certain vibrational modes. Less essential, but also helpful, for the assignments are the quantities which we refer to as the basis-state norm (BSN). They measure the contribution of the dominant product inter/intra-basis state to the given full-dimensional eigenstate. A BSN value close to 1 means that the eigenstate is highly “pure”, *i.e.*, dominated by a single inter/intra-basis state.

The large-amplitude water inversion mode stands out for its strong negative anharmonicity. In the inversion mode progression, the states $\nu_{\text{inversion}}$, $2\nu_{\text{inversion}}$, and $3\nu_{\text{inversion}}$ have energies of 85.0 , 217.29 , and 382.04 cm^{-1} , respectively. Clearly, the energy differences between the neighboring inversion states grow with the increasing number of quanta. The other intermolecular modes show weak positive anharmonicity.

Concerning the intramolecular vibrational frequency shifts of the monomers in the HCl–H₂O complex, the HCl stretch frequency shift (redshift) from the 9D calculations

($\Delta\nu^{9D}$), -157.9 cm^{-1} , is rather large due to hydrogen bonding with H_2O , and agrees very well with the measured HCl stretch redshift in the complex of -161.9 cm^{-1} .^{68,70} In contrast, the 9D frequency shifts ($\Delta\nu^{9D}$) of the H_2O vibrational modes are small. The water stretches ν_1 and ν_3 exhibit redshifts of -5.50 and -2.61 cm^{-1} , respectively. The water bend ν_2 and its overtone $2\nu_2$ are blueshifted by $+3.43$ and 9.58 cm^{-1} , respectively. The small magnitude of the frequency shifts of the vibrational modes of H_2O in comparison to the large redshift of the HCl stretch is readily understood. In the dimer, the O atom of H_2O is the proton acceptor, and the two H atoms are free, not involved in the hydrogen bonding with HCl. Consequently, the H_2O vibrations are only weakly perturbed by HCl, resulting in their small frequency shifts.

Unfortunately, experimental data are lacking for comparison with the above theoretical results for the H_2O vibrational frequency shifts. The O–H stretching vibrations of $\text{HCl-H}_2\text{O}$ fall in the highly congested spectral region, where they overlap with the vibrations of pure water clusters.⁶⁹ This makes their reliable assignment very difficult and precludes definitive comparison with theory.

The quantum 9D calculations in ref. 17 also reveal the appreciable effects that the excitation of different intramolecular modes has on the intermolecular vibrational states of $\text{HCl-H}_2\text{O}$. The large-amplitude inversion mode $\nu_{\text{inversion}}$ is the most sensitive, as the excitation of any of the intramolecular modes changes its energy relative to that in the ground intramolecular vibrational state by $6\text{--}16\text{ cm}^{-1}$. Not surprisingly, the intermolecular vibrational modes of (primarily) the H_2O moiety couple most strongly to the intramolecular ν_{HCl} mode. Its excitation causes the largest changes in the energies of the intermolecular vibrational modes: the $\nu_{\text{inversion}}$ energy decreases by 16.3 cm^{-1} , that of ν_{stretch} increases 5.6 cm^{-1} , and the energy of the ν_{rock} mode increases by 18.5 cm^{-1} .

In ref. 37, the full-dimensional and fully coupled quantum calculations of the inter- and intramolecular vibrational states performed previously for $\text{HCl-H}_2\text{O}$ (HH)¹⁷ were extended to three additional isotopologues of the hydrogen chloride–water complex: $\text{DCl-H}_2\text{O}$ (DH), $\text{HCl-D}_2\text{O}$ (HD), and $\text{DCl-D}_2\text{O}$ (DD). Their purpose was to elucidate the isotopologue variations of a range of bound-state properties of the hydrogen chloride–water complex, and compare them to those of the HH complex. The results largely mirror those obtained for the HH complex.¹⁷ But, the energies of $\nu_{\text{inversion}}$ and ν_{rock} , which primarily involve the motions of the water moiety, are particularly sensitive to the deuteration of H_2O , much more so than the ν_{stretch} . This results in the reversal of the energy ordering of the ν_{rock} and ν_{stretch} fundamentals in the HD and DD complexes, relative to that in the HH and DH complexes. The DCl stretch frequency shift (redshift) computed in 9D for the DD complex, -114.91 cm^{-1} , is in excellent agreement with the corresponding experimental value of -115.20 cm^{-1} .⁷⁰

In the same paper,³⁷ $J = 1$ rovibrational states of the four isotopologues were computed in the rigid-monomer approximation, allowing the calculation of the principal rotational constants of the complexes for a given vibrational state. For all

isotopologues, calculated and measured⁶⁷ values of the ground-state B and C rotational constants differ by about 1%, well within the uncertainty introduced by taking the monomers to be rigid in the analysis of the experimental data.

Fully coupled 9D quantum calculations of the $J = 1$ intra- and intermolecular rovibrational states of $\text{HCl-H}_2\text{O}$ and $\text{DCl-H}_2\text{O}$ were reported in ref. 38, complementing the previous $J = 0$ 9D calculations.^{17,37} They revealed significant variations of the energy differences between the $K = 1$ and $K = 0$ eigenstates with the intermolecular rovibrational states, for which a qualitative explanation was given.

5.2 $\text{H}_2\text{O-CO}$, $\text{D}_2\text{O-CO}$, and HDO-CO complexes

The interactions of water with other molecules are of great fundamental and practical significance. This has motivated spectroscopic and theoretical studies of numerous binary water-containing complexes. $\text{H}_2\text{O-CO}$, and its isotopologues, figures prominently among them, since both constituent species are abundant in the atmosphere and of utmost importance. Water and CO are encountered, in weakly bound complexes and as collision partners, in a variety environments, including Earth's atmosphere, as the products of combustion reactions, in the interstellar medium, as well as in the coma of comets and in protoplanetary disks.^{88–90} Due to its ubiquity, the water–CO complex has been in the focus of numerous high-resolution spectroscopic investigations, including microwave spectroscopy,^{91,92} and IR spectroscopy in the regions of the C–O stretch,⁹³ the O–H stretch,⁹⁴ the D_2O bend,⁹⁵ and the H_2O bend.⁸⁹ The most recent IR spectroscopic measurements⁹⁶ have probed the C–O stretch regions of $\text{H}_2\text{O-CO}$, $\text{D}_2\text{O-CO}$, and HOD-CO , and the O–D stretch regions of $\text{D}_2\text{O-CO}$, HOD-CO , and DOH-CO .

From these studies, it emerged that the equilibrium structure of the water–CO complex is planar, with the heavy atoms arranged in a roughly collinear configuration and a hydrogen bond between the water moiety and the C atom of the CO; the CO bond axis is almost parallel to the intermolecular axis connecting the centers of mass of the two monomers. In addition, there is a local minimum in which the O atom of CO points towards the hydrogen atoms of the water molecule. All observed spectroscopic transitions are doubled, indicating the large-amplitude tunneling motion of the water molecule within the complex that exchanges the free and the bound hydrogen atoms in the intermolecular bond. This gives rise to two tunneling states with different symmetry along the tunneling coordinate, the spatially symmetric state A and the spatially antisymmetric B state. Both H_2O and D_2O have two identical particles, H with the nuclear spin $1/2$ (a fermion) and D with the nuclear spin 1 (a boson), respectively. The Pauli principle requires that the total molecular wave function, *i.e.*, its spatial and nuclear-spin components, must be antisymmetric with respect to the exchange of the two fermionic H nuclei of H_2O , and symmetric with respect to the exchange of the two bosonic D nuclei of D_2O . This requirement leads to a particular entanglement of the spin and spatial quantum states. In the case of $\text{H}_2\text{O-CO}$, the symmetric tunneling state

A correlates with *para*-H₂O (total nuclear spin $I = 0$, antisymmetric spin function) while the antisymmetric B state correlates with *ortho*-H₂O (total nuclear spin $I = 1$, symmetric spin function). Since D nuclei are bosons, the situation is reversed for D₂O-CO, and its A state correlates with *ortho*-D₂O (total nuclear spin $I = 0, 2$, symmetric spin functions) and B with *para*-D₂O (total nuclear spin $I = 1$, antisymmetric spin function). Excitation of certain intramolecular modes in H₂O-CO⁹³ and D₂O-CO⁹⁵ was found to affect measurably the magnitudes of the tunneling splittings, relative to those in the ground vibrational state of the complexes. The tunneling splittings are also known to depend strongly on the quantum numbers $K = 0$ and 1 .⁹⁶

Complete description of the multidimensional and strongly coupled intra- and intermolecular rovibrational dynamics, including tunneling, of water-CO complexes requires a full-dimensional and fully coupled quantum treatment. A prerequisite for this is an accurate 9D PES, which was not available until recently. Various 5D and 6D PESs were developed, starting from *ab initio* calculations followed by morphing to achieve improved agreement with experiment.⁸⁹ These PESs were used in the quantum 5D calculations of the intermolecular rovibrational levels. The latest 5D (rigid monomer) intermolecular PES for H₂O-CO computed at a higher level of *ab initio* theory by Kalugina *et al.*⁹⁰ was utilized in $J = 0, 1$ bound-state and scattering calculations. The same 5D PES was employed by Barclay *et al.*⁹⁶ in the quantum bound-state calculations of the $J = 0-2$ rovibrational levels of H₂O-CO and D₂O-CO. None of these calculations could include the coupling between the inter- and intramolecular vibrations.

The situation changed with the publication of the first full-dimensional (9D) PES for the H₂O + CO system by Liu and Li,⁹⁷ hereafter referred to as the LL PES. This PES is based on $\sim 102\,000$ points calculated at the level of an explicitly correlated coupled-cluster method with single, double, and perturbative triple excitations with the augmented correlation-consistent polarized triple zeta basis set (CCSD(T)-F12a/AVTZ), using the permutation invariant polynomial-neural network (PIP-NN) method.

Combined with the methodology in Section 2, the LL PES was utilized for the 9D quantum $J = 0, 1$ rovibrational bound-state calculations of H₂O-CO and D₂O-CO in ref. 25, that covered the intramolecular rovibrational excitations of the monomers, together with the low-energy intermolecular rovibrational states of the complexes within each intramolecular vibrational manifold.

For H₂O-CO and D₂O-CO in their ground states, the 9D computed $K = 1$ hydrogen-exchange tunneling splittings, -0.228 and -0.016 cm⁻¹, respectively, are significantly smaller by magnitude than those obtained for $K = 0$ (0.727 and 0.027 cm⁻¹, respectively), consistent with the 5D rigid-monomer results in the literature (for a different PES).⁹⁶ However, the actual magnitudes of the tunneling splittings from 9D and 5D (rigid-monomer) calculations differ appreciably. Although the individual $K = 0$ and $K = 1$ splittings cannot be measured directly, the sums of their absolute values are measurable, with the experimental values of 1.1130 and 0.0676 cm⁻¹ for H₂O-CO

and D₂O-CO, respectively.⁹² The 9D calculated sums of $K = 0$ and $K = 1$ splittings are 0.955 and 0.043 cm⁻¹, meaning that they are smaller than the measured values by about 14% for H₂O-CO and 36% for D₂O-CO.

The $K = 0$ tunneling splittings were computed in 9D also for the intramolecular vibrational fundamentals of the monomers in H₂O-CO and D₂O-CO. The results showed that they are sensitive to the intramolecular vibrational excitation. Thus, for H₂O-CO, excitation of any of the intramolecular fundamentals of H₂O decreases the magnitude of the tunneling splitting relative to that in the ground state, by up to 23% for the ν_1 mode.

The 9D calculations allowed a detailed analysis of the frequency shifts ($\Delta\nu^{9D}$) of the intramolecular vibrational modes of the two complexes. In H₂O-CO, the H₂O bend mode ν_2 (and its overtone) and the CO stretch are blueshifted, by about 4 and 11 cm⁻¹, respectively, relative to the values calculated for the isolated monomers. The corresponding experimental values are 3.8 ⁸⁹ and 10.3 cm⁻¹,^{93,96} respectively. For D₂O-CO, the blueshifts calculated for the ν_2 the CO stretch modes are about 3.5 and 12.4 cm⁻¹, respectively, similar to the corresponding blueshifts computed for H₂O-CO. The agreements with the experimental results, about 2.2 ⁹⁵ and 11 cm⁻¹,⁹⁶ respectively, is very good.

Unlike the ν_2 and ν_{CO} modes, the 9D computed water stretching modes ν_1 and ν_3 are redshifted in both H₂O-CO and D₂O-CO, the ν_1 mode much more in magnitude than the ν_3 mode, -17 and -3 cm⁻¹, respectively, for H₂O-CO. This agrees qualitatively with the available experimental redshifts for these modes,^{94,96} but the quantitative agreement is not as good as for the water bend mode and the CO stretch. Given that the calculations are rigorous and highly converged, these discrepancies must reflect certain deficiencies of the water-CO PES.

In ref. 36 the 9D quantum calculations of the $J = 0, 1$ rovibrational states were extended to the HDO-CO complex, using the same methodology of Section 2. Unlike the two isotopically symmetric isotopologues, H₂O-CO and D₂O-CO, the isotopically asymmetric HDO-CO does not exhibit hydrogen-interchange tunneling, since the H and D atoms are distinguishable.

While quenching the tunneling splitting, the presence of the distinguishable H and D atoms gives rise to two isomers, the D-bonded HOD-CO and the H-bonded DOH-CO. Their origin is entirely quantum mechanical, as the two (symmetrically equivalent) minima on the PES of the complex associated with each of the isomers have identical well depths. Based on the relative intensities of the spectra of these two isomers, the energy difference between their ground states was estimated⁹³ to be 12.4 ± 2.5 cm⁻¹.

The 9D calculations revealed that the eigenstates of the D-bonded and H-bonded isomers, designated as D and H respectively, can be readily distinguished in two ways, based on the expectation values of the distances of the D and H atoms of HDO to the C atom of CO, and by inspecting the contour plots of the RPDs of the eigenstates. The latter show that almost all excited intermolecular vibrational states of HDO-CO up to

about $\Delta E = 160 \text{ cm}^{-1}$ are completely localized in either the D-bonded or H-bonded potential minimum, allowing their unambiguous assignment as D or H isomers.

This is evident from Fig. 3. Its left column displays the contour plots of the RPDs of the four lowest-energy $J = 0$ D states, while the RPDs of the corresponding H states are in the right column.

The coordinate that differs the most for the two isomers is the Euler angle γ_A of HDO, which refers to the rotation about the water \hat{z}_A axis (Section 2.1). The D-bonded isomer has γ_A values near 0° , while for the H-bonded isomer γ_A values are near 180° . For this reason γ_A is on the horizontal axis of the panels in Fig. 3. On the vertical axis is β_A , the Euler angle between the \hat{z}_A axis of the water molecule and the intermonomer vector connecting the c.m. of A to that of B. Its values are similar for the two isomers.

Concerning the two isomer-mixed low-energy exceptions evident in Fig. 3, the states in question are at nearly the same energy relative to the D ground state. From the 9D quantum calculations, the ground-state energy of the H-bonded isomer is 30.6 cm^{-1} higher than that of the D-bonded isomer. The main contribution to the energy difference comes from the potential

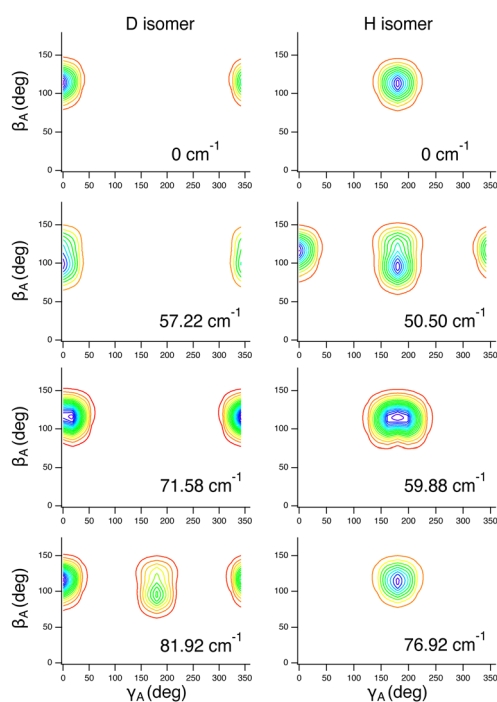


Fig. 3 Contour plots of the reduced probability densities (RPDs), as a function of the Euler angles γ_A and β_A , of the four lowest-energy $J = 0$ intermolecular vibrational states of the D-bonded (D) and H-bonded (H) isomers of HDO-CO, from 5D calculations. The energies shown are relative to those of $J = 0$ D/H ground states. The two topmost panels display the RPDs of the D and H ground states, peaking at, and localized around, $\gamma_A = 0^\circ$ and $\gamma_A = 180^\circ$, respectively. The next three panels show the RPDs of the first three excited D and H intermolecular states. The D state at 81.92 cm^{-1} and the H state at 50.50 cm^{-1} are delocalized over both minima as a result of accidental near-degeneracy. For additional discussion, see the text. Reprinted with permission from ref. 36. Copyright 2022 American Chemical Society.

energy. In the ground state of the D-bonded isomer, the expectation value of the potential energy is about 22 cm^{-1} smaller than that for the ground state of the H-bonded isomer, corresponding to about 70% of the total energy difference. The experimental estimate⁹³ of the energy gap between the two isomers is $12.4 \pm 2.5 \text{ cm}^{-1}$. Therefore, experiment and theory agree, although not perfectly, about the small energy separation between the two isomers.

The D- and H-bonded isomers exhibit the same trends in the calculated frequency shifts of the intramolecular vibrational modes. They also agree qualitatively with what was observed in the calculations regarding the frequency shifts for $\text{H}_2\text{O-CO}$ and $\text{D}_2\text{O-CO}$ ²⁵ discussed previously. In both isomers, the HDO bend mode ν_2 and the CO stretch ν_{CO} are blueshifted relative to the values calculated for the isolated monomers, that for the CO stretch being appreciably larger than the blueshifts of the HDO bend fundamental. In contrast, the HDO stretching modes ν_{OD} and ν_{OH} of both isomers are redshifted. As expected, the ν_{OD} redshift is much larger in the D-bonded isomer than in the H-bonded isomer. Conversely, the ν_{OH} redshift is much larger in the H-bonded isomer than in the D-bonded isomer.

The redshifts calculated for the ν_{CO} mode of the D- and H-bonded isomers are 12.0 and 11.6 cm^{-1} , respectively. They compare well with the corresponding experimental values⁹⁶ of 11.2 and 10.5 cm^{-1} , respectively. Comparison with experiment is possible also for the ν_{OD} mode of the two isomers. The calculated redshifts are -12.0 (D) and -0.8 cm^{-1} (H), respectively, while the respective measured values are -14.2 and $+1.8 \text{ cm}^{-1}$.⁹⁶ Experimental frequency shifts are not available for the other modes HDO-CO.

5.3 Benzene-H₂O and benzene-HDO complexes

Numerous spectroscopic studies have been directed at benzene-H₂O and benzene-HDO complexes.⁹⁸⁻¹⁰⁴ A major impetus for them was the realization that benzene-H₂O and benzene-HDO are highly nonrigid, which emerged first from the matrix isolation study.¹⁰⁵ Their fluxional character was characterized more precisely by the subsequent microwave^{98,99} and IR spectra.^{101,103} The picture that emerged from these studies has the vibrationally averaged position of the c.m. of the water molecule on the C_6 axis of benzene, with both hydrogens oriented preferentially towards the ring, in the π hydrogen-bonding configurations. The water molecule undergoes multiple intermolecular large-amplitude motions (LAMs) already in the ground vibrational state, in particular the internal rotation about the sixfold axis, and the facile in-plane torsional (or rocking) motion that exchanges its two H atoms, in such a way that, on the vibrationally averaged basis, the complex retains the sixfold symmetry of the benzene ring. In benzene-HDO, HDO preferentially forms the π hydrogen bond *via* the D atom.^{102,105}

The low-energy intermolecular levels also give rise to a number of transitions in the OH stretch region of the IR spectrum, that in the absence of the LAMs would be negligibly weak.^{101,103} The features arising from the LAMs are present in the Raman spectra of benzene-H₂O as well, as four

prominent intermolecular bands in the low-energy region below 60 cm^{-1} .¹⁰⁰

Concerning theoretical treatments, three 6D (rigid-monomer) quantum calculations of the LAMs of benzene–H₂O were reported.^{52,106,107} One of them was a ground-state diffusion Monte Carlo simulation.¹⁰⁷ In the quantum 6D approach of Linse,¹⁰⁶ the intermolecular vibrational levels of rigid H₂O were calculated inside the external potential of benzene molecule, but assuming that benzene is infinitely heavy, thereby leaving out a great deal of angular momentum coupling present in the complex. Only the quantum 6D calculation of the intermolecular vibrational states of benzene–H₂O by Kim *et al.*⁵² was dynamically rigorous.

A quantitative treatment of the vibrational level structure of benzene–H₂O/HDO capable of accounting for the combination bands prominent in the measured IR and Raman spectra as well as the OH-stretch vibrational frequency shifts can be achieved only by fully coupled 9D quantum bound-state calculations for flexible H₂O and rigid benzene, extending to the energies of the H₂O vibrational fundamentals and overtones.

The methodology for such a rigorous quantum treatment was presented in ref. 41; it is described in Section 4. In the absence of an *ab initio* calculated 9D PES of the benzene–water dimer, the PES employed in these calculations was constructed by combining the atom–atom pairwise-additive benzene–water interaction potential from Karlström *et al.*¹⁰⁸ with the 3D PES for the isolated, gas-phase water molecule from Mizus *et al.*¹⁰⁹

The 9D quantum bound state calculations and the simulations of the IR and Raman spectra (the latter using the methodology also introduced in ref. 41) have revealed numerous interesting facets of the highly quantum behavior of the H₂O and HDO complexes. Thus, plots of the probability density functions show that in the ground intermolecular state of the H₂O complex, both H atoms of water interact equivalently with the benzene, as deduced experimentally.^{98,105} For the HDO complex, the same plots show that only the D nucleus is localized close to the benzene plane while the H atom is further away, in accord with the experimental observations.^{102,105}

Based on the 9D quantum calculations, the stretching modes of H₂O (ν_1 and ν_3) and HDO (ν_{OD} and ν_{OH}) are redshifted, while the H₂O/HDO bend fundamentals (ν_2) and overtones ($2\nu_2$) are blueshifted, relative to the ones calculated for the respective isolated monomers. For the ν_1 and ν_3 modes of H₂O and the ν_{OH} mode of HDO, the prediction of their redshift agrees with the experimental results,¹⁰³ but the redshift magnitudes are roughly a factor of two smaller than the corresponding experimental values. In addition, calculations provide rich data about the effects that the excitation of various intramolecular modes of H₂O and HDO has on the LAM low-frequency intermolecular states of the two dimers.

The comparison between theory and experiment is the most complete when contrasting the simulated and measured IR¹⁰³ and Raman¹⁰⁰ spectra of the dimers. The dominant bands in the computed IR spectra of the H₂O complex in the OH-stretch region, shown in Fig. 4, are in excellent agreement with the experimental results and analysis of Pribble *et al.*,¹⁰³ regarding

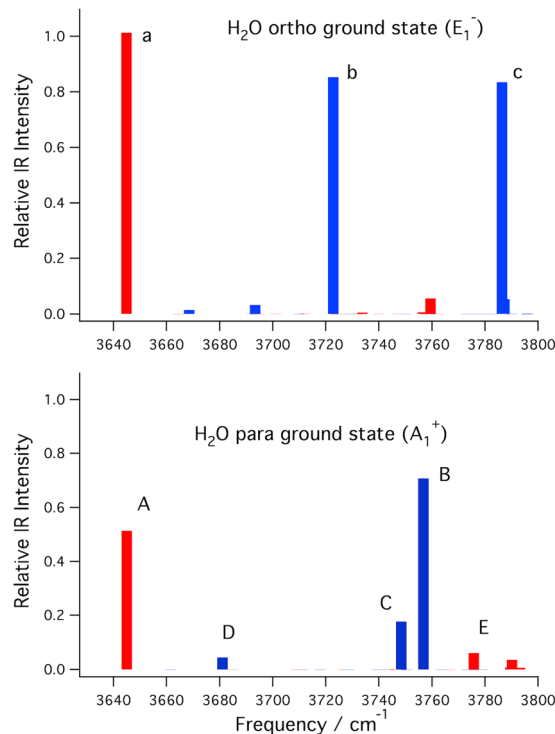


Fig. 4 Calculated infrared spectra in the region of the OH stretching fundamentals of benzene–H₂O. Top: Initial state is the *ortho* ground state. Bottom: Initial state is the *para* ground state. In both spectra red bars signify parallel bands and blue bars signify perpendicular bands. For more information see ref. 41. Reproduced from ref. 41, with the permission of AIP Publishing.

the relative band positions and band assignments. On the other hand, a number of smaller-intensity bands cannot be assigned with confidence to particular features in the spectra, reflecting the limitations in the accuracy of the PES.

In the case of the HDO complex, while the IR spectrum computed in the region of the OH stretch does not match quantitatively with the measured spectrum,¹⁰³ it agrees with it in a number of important features, allowing its tentative interpretation after making some reasonable assumptions about the energies of a couple of intermolecular modes.⁴¹

Further, more quantitative theoretical treatment must await the development of an accurate *ab initio* 9D PES. Once at hand, the bound-state and spectroscopic calculations on it utilizing the methods in ref. 41 will allow a definitive interpretation of the wealth of the IR and Raman spectroscopic data available for the benzene–H₂O and benzene–HDO complexes.

5.4 Flexible H₂O molecule in C₆₀

H₂O@C₆₀ is a fascinating endohedral complex where a polar molecule, H₂O, is encapsulated inside a highly symmetric, nonpolar interior of C₆₀, as depicted in Fig. 5. It belongs to the family of light-molecule endofullerenes (LMEFs), that have molecules such as H₂, HF, and CH₄, characterized by small masses and large rotational constants, encapsulated inside the cages of C₆₀, C₇₀ and other fullerenes.^{59,110} These inclusion compounds have all been synthesized^{111–114} utilizing the approach known as molecular surgery.^{115–117}



Fig. 5 Schematic depiction of the molecular structure of $\text{H}_2\text{O}@\text{C}_{60}$. Reproduced from ref. 40, with the permission of AIP Publishing.

The spectroscopy and dynamics of guest molecules in $\text{H}_2\text{O}@\text{C}_{60}$ and other LMEFs are dominated by nuclear quantum effects (NQEs),^{59,110,118} especially for the low temperatures (typically ranging from 1.5 K to about 30 K) at which the spectroscopic measurements are usually carried out. The NQEs arise from a combination of quantization of the translational c.m. DOFs of the encapsulated molecules due to their confinement and widely spaced quantized rotational states of the light molecules. In addition, in the case of H_2O as outlined in Section 5.2, satisfying the Pauli principle gives rise to two nuclear spin isomers, *para* and *ortho*, having total nuclear spins $I = 0$ and 1, respectively. The rotational states of H_2O are conventionally labelled with the asymmetric top quantum numbers $j_{k_a k_c}$; for *para*- H_2O , $k_a + k_c$ has even parity, while for *ortho*- H_2O , $k_a + k_c$ has odd parity.¹¹⁹

Quantized rotational dynamics of $\text{H}_2\text{O}@\text{C}_{60}$ have been probed by NMR spectroscopy.^{120–125} But the most comprehensive information about the TR eigenstates of $\text{H}_2\text{O}@\text{C}_{60}$ has emerged from the low-temperature inelastic neutron scattering (INS) spectra,^{120,126} that exhibit numerous peaks corresponding to the transitions out the ground states of *para*- and *ortho*- H_2O to a broad range of excited TR states of these two nuclear spin isomers.

These experimental studies have motivated a number of theoretical investigations of the quantum TR dynamics and spectroscopy of $\text{H}_2\text{O}@\text{C}_{60}$. The TR eigenstates of *para*- and *ortho*- H_2O in an (isolated) C_{60} cage with I_h symmetry were determined means of fully coupled quantum 6D calculations, treating both H_2O and C_{60} as rigid.⁵⁸ They allowed tentative assignments of a number of transitions observed in the experimental INS spectra of $\text{H}_2\text{O}@\text{C}_{60}$ ¹²⁶ that were not assigned previously. These calculations⁵⁸ also showed that the TR level structure of $\text{H}_2\text{O}@\text{C}_{60}$ exhibits all of the key qualitative features identified previously for $\text{H}_2@\text{C}_{60}$.^{56,59,118,127}

The encapsulation of H_2O in C_{60} is expected to shift significantly the frequencies of the H_2O intramolecular vibrations away from those of the isolated molecule in the gas phase. Earlier IR spectroscopic measurements of the intramolecular

stretch fundamentals of H_2 ¹²⁸ and HF ¹¹³ in C_{60} found them to be redshifted by -98.8 and -170.5 cm^{-1} , respectively.

A rigorous approach to the intramolecular vibrational excitations of H_2O , and their frequency shifts, coupled to the intermolecular TR motions, requires fully coupled 9D quantum bound-state calculations for flexible H_2O and rigid C_{60} that include the H_2O vibrational fundamentals and overtones. The earlier quantum treatments of the TR dynamics of $\text{H}_2\text{O}@\text{C}_{60}$ ^{57,58,60,129,130} could not achieve this, either because H_2O was treated as rigid,^{57,58,60,130} or because the calculations were restricted to H_2O in the ground intramolecular vibrational state.¹²⁹

This was accomplished in ref. 40, which reported the first fully coupled 9D quantum calculations of the intramolecular vibrational frequencies of a flexible H_2O molecule in (rigid) C_{60} , together with the intermolecular TR states within each intramolecular vibrational manifold considered. The calculations utilized the methodology described in Section 4.

While the quantum bound-state methodology was available, no *ab initio*-calculated 9D PES for H_2O inside C_{60} existed (not even in 6D, for rigid H_2O). Therefore, the 9D PES employed in these calculations was obtained by combining in an *ad hoc* fashion the high-accuracy 3D PES for the isolated H_2O molecule¹⁰⁹ with the intermolecular PES represented by pairwise-additive atom–atom interactions between H_2O and C_{60} parametrized by Aluru and co-workers.¹³¹

The 9D calculations showed that the four lowest-energy intramolecular vibrational levels of H_2O in C_{60} , corresponding to the fundamental (ν_2) and the overtone ($2\nu_2$) of the water bend, as well as the fundamentals of the ν_1 and ν_3 stretching modes, are blueshifted relative to those of the gas-phase H_2O . The two stretching fundamentals, ν_1 and ν_3 , are blueshifted significantly, by $\approx 24 \text{ cm}^{-1}$. The blueshifts of the bend fundamental (ν_2) and overtone ($2\nu_2$) are much smaller, 2.19 and 3.38 cm^{-1} , respectively.⁴⁰

This was consistent with the results of the earlier, less rigorous treatments,^{131–133} which also predicted blueshifts of the intramolecular vibrational modes of H_2O in C_{60} . However, whether the intramolecular excitations are blue- or redshifted depends on a subtle balance between the attractive and repulsive interactions of the guest molecule with the C_{60} cage. It was far from certain that the 9D PES employed and the earlier lower-level treatments can capture the competing effects accurately enough to yield reliable values. What was lacking at that time were the spectroscopic measurements of the frequency shifts for comparison with theory, which would settle this issue.

This crucial experimental information was finally provided in the IR spectroscopic study of $\text{H}_2\text{O}@\text{C}_{60}$ published about a year later.¹³⁴ It showed that the stretching mode frequencies are redshifted by about 2.4% (-84 and -96 cm^{-1}) relative to those of free H_2O , while the frequencies of the bending mode fundamental and overtone are redshifted by 1.6% (-26 cm^{-1}) and 1.5% (-46 cm^{-1}), respectively.

Clearly, these experimental data contradict the theoretical predictions of the blueshifted vibrational modes H_2O in C_{60} . Since the 9D calculated vibrational levels of $\text{H}_2\text{O}@\text{C}_{60}$ are highly

converged, the discrepancy with experiment can be caused only by the shortcomings of the improvised 9D PES used in the quantum calculations. This underscores the urgent need for a high-quality *ab initio* 9D PES for this system, currently nonexistent. Once it is available, together with the two other essential, already existing components, the 9D quantum bound-state methodology⁴⁰ and the IR spectroscopic data,¹³⁴ it will enable a rigorous first-principles description of the water vibrations and TR motions inside C₆₀.

6 Summary and prospects

The theoretical advances made over the past several years discussed in this Perspective have significantly enlarged the range of noncovalently bound, hydrogen-bonded and van der Waals, binary molecular complexes for which it is possible to perform full-dimensional and fully coupled quantum calculations of their inter- and intramolecular rovibrational states. They all incorporate (1) the surprising realization^{23,24} that intramolecular vibrational excitations of these complexes can be calculated accurately by including in the final basis only a small number of low-lying intermolecular eigenstates with energies far below those of the intramolecular vibrational states of interest, and (2) the use of the eigenstates of reduced-dimension Hamiltonians as compact contracted bases for all, intramolecular and intermolecular, vibrational DOFs of the complexes.^{24,25}

Amenable to this rigorous treatment are binary complexes of small molecules beyond diatomics, those involving small polyatomic and large molecules (the latter treated as rigid), and endohedral complexes of light polyatomic molecules inside fullerene cavities. Such calculations can yield all intramolecular rovibrational fundamentals (and some overtones), and frequency shifts, of the complexes, together with their low-lying intermolecular rovibrational states in each intramolecular vibrational manifold. They provide a comprehensive description of their rovibrational level structure, including the tunneling splittings when they are present and their dependence on the inter- and intramolecular excitations, the couplings between the intra- and intermolecular modes, and vibrationally averaged geometries. This enables direct comparison with, and the interpretation of, an unusually wide range of IR, FIR, and microwave spectroscopic data, allowing for an exceptionally thorough assessment of the quality of the PES involved.

In the domain of dimers of small molecules, the general approach presented in this Perspective has so far been applied to triatom-diatom complexes. But it is clear that the same approach can be readily extended to triatom-triatom complexes, *e.g.*, the water dimer, including all their intramolecular, stretch and bend, fundamentals. With additional effort, and the availability of the relevant full-dimensional PESs, one can envision its applications to even higher-dimensional bimolecular systems such as the NH₃ dimer and possibly the formic acid dimer.

There is no reason to stop at dimers. Noncovalently bound molecular trimers are amenable to the same rigorous treatment, and the insight of weak coupling between the intra- and intermolecular DOFs is valid for them as well, ready to be exploited. In fact, the 9D quantum (rigid-monomer) calculations of the intermolecular vibrational states of the HF trimer have been completed in our group. The next step are rigorous 12D quantum calculations with full coupling between the inter- and intramolecular DOFs. Another obvious target is the HCl trimer. The ultimate goal in this direction is the H₂O trimer, initially for rigid monomers (12D) and later for flexible monomers (21D) if possible.

The methodology for the vibrational states of weakly bound molecule-large molecule complexes described here, so far implemented on benzene-H₂O/HDO, is applicable to a wide range of combinations of other small and large molecules, provided that the necessary accurate PESs exist or can be calculated. With minor modifications, the same approach can be used to compute the intramolecular vibrational excitations of molecules physisorbed on solid surfaces, coupled to their low-frequency in-plane and out-of-plane vibrational modes (frustrated translations and rotations).

Further methodological advances, combining novel algorithms, fresh physical insights, and access to ever more powerful computational resources, in close interaction with experiments, will undoubtedly continue to push the boundaries of our quantitative understanding of noncovalently bound molecular complexes.

Conflicts of interest

There are no conflicts of interest to declare.

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