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**Review of in-vitro studies evaluating respiratory toxicity of aerosols: impact of cell types, chemical composition, and atmospheric processing**

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## Environmental Significance

In recent decades, particulate matter (PM) has been associated with several diseases. PM mass concentrations are typically used as the metric to estimate the health effects caused by PM. However, investigations in the past two decades have focused on the idea that mass might not be an accurate measure of PM toxicity, arising the need to find a better metric that could more accurately represent the health effects of PM. Accordingly, several assays have been developed to assess toxicity of PM which include both cell-based and acellular methods. Among the two, cellular assays allow a more comprehensive assessment of PM toxicity. However, there are numerous types of cells and cell lines differing widely in their physiology, functions, and responses to toxicants, which have been used in these studies. The choice of cell types along with other experimental factors such as exposure duration, dose, and chemical composition of PM, dictate the results of cell-based assays. These aspects of cell-based assays are often ignored in PM toxicological studies, which tend to generalize the results derived from single types of cells or cell lines. Thus, a comprehensive review focusing on the differences among the responses of various cell types (and cell lines) observed in current toxicity studies could help to highlight the pitfalls of such generalizations and provide effective guidelines for better interpretation of the results in future studies.

In this review, we discuss various aspects of the *in-vitro* studies, focusing on the morphological and immunological differences among various macrophage and epithelial cells, belonging to the respiratory system of human and murine species, used in the *in-vitro* studies evaluating PM toxicity. We also review the current state of knowledge on the role of different PM chemical components influencing PM toxicity and the relevance of atmospheric processing and aging of aerosols in PM toxicity. We anticipate that our review will guide future research towards the development of more physiologically relevant cellular models for studying PM toxicity, which will eventually lead to a better understanding of the health effects of PM exposure.

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3 **Review of *in-vitro* studies evaluating respiratory toxicity of aerosols: impact of**  
4 **cell types, chemical composition, and atmospheric processing**  
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26 aerosol aging  
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30 **Abstract**  
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33 In recent decades, several cell-based and acellular methods have been developed to evaluate  
34 ambient particulate matter (PM) toxicity. Although cell-based methods provide a more  
35 comprehensive assessment of PM toxicity, their results are difficult to comprehend due to the  
36 diversity in cellular endpoints, cell types, assays, and the interference of PM chemical components  
37 with some of the assays' techniques. In this review, we attempt to clarify some of these issues. We  
38 first discuss the morphological and immunological differences among various macrophage and  
39 epithelial cells, belonging to the respiratory system of human and murine species, used in the *in-*  
40 *vitro* studies evaluating PM toxicity. Then, we review the current state of knowledge on the role  
41 of different PM chemical components and the relevance of atmospheric processing and aging of  
42 aerosols in the respiratory toxicity of PM. Our review demonstrates the need to adopt more  
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3 physiologically relevant cellular models such as epithelial (or endothelial) cells instead of  
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5 macrophages for oxidative stress measurement. We suggest limiting macrophages for  
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7 investigating other cellular responses (e.g., phagocytosis, inflammation, and DNA damage).  
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9 Unlike monocultures (of macrophages and epithelial cells) which are generally used to study the  
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11 direct effects of PM on a given cell type, the use of co-culture systems should be encouraged to  
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13 investigate a more comprehensive effect of PM in the presence of other cells. Our review has  
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15 identified two major groups of toxic PM chemical species from the existing literature, i.e., metals  
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17 (Fe, Cu, Mn, Cr, Ni, and Zn) and organic compounds (PAHs, ketones, aliphatic and chlorinated  
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19 hydrocarbons, and quinones). However, the relative toxicities of these species are still a matter of  
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21 debate. Finally, results of the existing studies investigating the effect of aging on PM toxicity are  
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23 ambiguous, with varying results due to different cell types, different aging conditions, and the  
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25 presence/absence of specific oxidants. More systematic studies are necessary to understand the  
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27 role of different SOA precursors, interactions between different PM components, and aging  
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29 conditions, on the overall toxicity of PM. We anticipate that our review will guide future  
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31 investigations by helping the researchers in choosing appropriate cell models resulting into a more  
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33 meaningful interpretation of the cell-based assays, and thus ultimately leading to a better  
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35 understanding of the health effects of PM exposure.  
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## 44 **1 Introduction**

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46 In recent decades, ambient particulate matter (PM) has been associated with several respiratory  
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48 diseases such as asthma<sup>1</sup>, wheeze<sup>2</sup>, chronic obstructive pulmonary disorder<sup>3</sup> and lung cancer<sup>4</sup>  
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50 Globally, PM mass is used as a metric in epidemiological models to estimate the morbidity and  
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52 mortality caused by PM<sup>5-9</sup>. However, investigations in the past two decades have focused on the  
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54 idea that mass might not be an accurate measure of the respiratory toxicity of PM, raising the need  
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3 to find a better metric that could more accurately represent the health effects of PM. Oxidative  
4 stress has been speculated to be the underlying pathology for a number of diseases and it has been  
5 proposed that measuring the ability of PM to induce oxidative stress could be used as a surrogate  
6 for certain health-related damages<sup>10-14</sup>.  
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12 Accordingly, several assays have been developed to measure the oxidative potential (OP) of PM  
13 which include both cell-based and acellular methods. Rapid progress has been made in acellular  
14 assays as they are less labor-intensive and cumbersome and provide high throughput as compared  
15 to cell-based assays. They can also be easily employed in developing online instruments for real-  
16 time measurement of OP<sup>15-18</sup>. In recent years, a few articles have conducted a comprehensive  
17 review of the acellular assays<sup>19-21</sup>, which demonstrate the utility of OP in providing a preliminary  
18 assessment of the health effects of PM. These articles have also discussed the role of different  
19 components of PM in driving the response of these OP assays. However, despite all the advantages  
20 of cell-free assays, they have faced some criticism in the recent past due to several reasons. First,  
21 most acellular assays focus on a single biochemical reaction (or a set of reactions) involving only  
22 a couple of compounds of biological relevance and thus perhaps oversimplify the complex  
23 mechanisms involved in the toxicity of the aerosols. Second, the biological relevance of measuring  
24 OP of the PM in a purely chemical environment is yet to be fully established and, in many cases,  
25 poor correlation has been found between acellular OP and cellular responses<sup>22-25</sup>. Third, although  
26 oxidative stress is presumably the underlying pathology of many pulmonary diseases, there are  
27 several oxidative stress-independent toxicity mechanisms<sup>26-29</sup>, and it is unknown if PM can trigger  
28 these mechanisms as well. Finally, cells have often shown the ability to protect, recover, and repair  
29 themselves in the events of an assault by a foreign agent<sup>30,31</sup> and the assumption that pulmonary  
30 toxicity has a linear relationship with a single triggering event is not entirely true. Since the  
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3 pathology of pulmonary diseases often involves complex mechanisms and a cascade of events  
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5 which might be complementary to one another<sup>32,33</sup>, studying the expression of all important  
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7 biological markers is essential to fully understand PM toxicity mechanisms.  
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10 Cell-based assays, on the other hand, allow a more comprehensive assessment of PM toxicity.  
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12 These assays help in evaluating precise biological markers and investigating the mechanisms  
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14 involved in the expression of these markers. Additionally, they can also be used to assess the  
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16 impact of PM (and its constituents) on the specific physiological system of interest. For example,  
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18 using cell-based assays, one might be able to investigate the specific impact of PM on the  
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20 cardiovascular or respiratory system by using cells or cell lines belonging to those systems.  
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22 Consequently, cell-based assays have been used in several studies in the past few decades to  
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24 evaluate the respiratory toxicity of PM. Such studies have revealed crucial details about the  
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26 mechanisms of toxicities of various PM chemical species<sup>34–37</sup>. However, there are numerous types  
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28 of cells and cell lines differing widely in their physiology, functions, and responses to toxicants,  
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30 which have been used in these studies<sup>38</sup>. The choice of cell types along with other experimental  
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32 factors such as exposure duration, dose, and chemical composition of PM, dictate the results of  
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34 cell-based assays<sup>39–42</sup>. This aspect of cell-based assays is often ignored in PM toxicity studies,  
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36 which tend to generalize the results derived from single types of cells or cell lines. Thus, a  
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38 comprehensive review focusing on the differences among the responses of various cell types (and  
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40 cell lines) observed in current toxicity studies could help highlight the pitfalls of such  
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42 generalizations and provide effective guidelines for better interpretation of the results in future  
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44 studies.  
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52 Several review articles have been published in the last decade on different aspects of PM toxicity<sup>43–</sup>  
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55 <sup>63</sup>. For example, Peixoto et al.<sup>52</sup> reviewed the mechanisms involved in PM-induced cell death  
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3 without delving into the effect of PM chemical composition. They also discussed different cell  
4 models used in PM studies investigating cell death while focusing majorly on human cell lines.  
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6 Similarly, Chen et al.<sup>55</sup> also focused their discussion only on the cell death mechanism induced by  
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8 PM while including some discussion regarding the PM chemical species that are responsible for  
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10 triggering those pathways. Several other similar articles have reviewed specific cellular responses  
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12 such as oxidative stress<sup>53</sup>, genotoxicity<sup>62</sup>, cell signaling<sup>59</sup>, inflammation<sup>44,57,59,63</sup>, mitochondrial  
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14 function<sup>43</sup>, and metabolic dysregulation<sup>49</sup> focusing more on the mechanisms involved in these  
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16 responses rather than the PM components responsible for triggering them. Nemmar et al.<sup>50</sup>  
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18 discussed the role of PM in inducing various mechanisms responsible for diseases such as cancer  
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20 and Chronic obstructive pulmonary disease (COPD) based on *in-vitro* and *in-vivo* studies, but  
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22 dedicated most of their discussion to specific particles such as diesel exhaust (DEP) and engineered  
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24 nanoparticles. Jia et al.<sup>45</sup> discussed the effect of PM<sub>2.5</sub> chemical composition on toxicity in different  
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26 types of cell models such as macrophages, epithelial cells, endothelial cells, and co-cultures, but  
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28 without discussing the role of atmospheric processing in altering chemical composition and  
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30 toxicity. Kermani et al. discussed the effect of metals and PAHs on cytotoxicity while limiting  
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32 their discussion only to epithelial cells<sup>46</sup>. Pardo et al. also discussed the role of metals and PAHs  
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34 in PM-induced toxicity, but only in the context of triggering the Nrf2/antioxidant system<sup>51</sup>.  
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36 Finally, Liu and Ng et al. provided an introductory overview of a few *in vitro* and *in vivo* techniques  
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38 to assess PM toxicity and the various cell models used in PM toxicological studies, with an  
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40 intention to serve as a primer of the various methodologies and assays used in the toxicity research  
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42 of atmospheric aerosols<sup>47</sup>. There have been other articles as well which have focused on reviewing  
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44 existing literature on the effect of PM in inducing certain specific diseases such as asthma and  
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46 rhinitis<sup>58</sup>, neurodegenerative diseases<sup>43,54</sup>, and cardiovascular diseases<sup>60,61</sup>. Although these reviews  
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3 provide valuable insights into cellular responses to PM, there are several aspects which are left  
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5 unaddressed. For example, a majority of these articles provide limited discussion on the  
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7 morphological and immunological differences among the most important cell types belonging to  
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9 the respiratory system (i.e., macrophages and epithelial cells) used in *in-vitro* toxicological studies  
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11 evaluating PM toxicity. Moreover, the role of murine cell lines (which are widely used in PM  
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13 studies) and the differences in their responses as compared to human cell lines have seldom been  
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15 explored. Finally, there is also a lack of reviews focusing on the effects of aerosol aging on the  
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17 toxicity of secondary organic aerosol (SOA). Thus, there is a need for a review of existing literature  
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19 that gives a broad perspective on the fundamental aspects influencing PM toxicity such as cell  
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21 types, role of PM chemical species, and the atmospheric transformation of PM. The primary  
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23 objective of this review is to discuss the cell-type-dependent responses to PM and summarize the  
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25 vast differences in results one might encounter while employing various macrophage and epithelial  
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27 cells of the respiratory system.  
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34 In this review, we first discuss the morphological and immunological differences among various  
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36 macrophage and epithelial cells, belonging to the respiratory system of human and murine species,  
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38 used in *in-vitro* studies (section 2.1) evaluating PM toxicity. Next, we briefly discuss the relevance  
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40 of different co-culture systems using these cells and their advantages for a better understanding of  
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42 PM toxicity mechanisms (section 2.2). We then review the current state of knowledge on the role  
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44 of different PM chemical components influencing PM toxicity (section 3). Finally, we explore the  
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46 relevance of atmospheric processing and aging of aerosols in PM toxicity (section 4). To maintain  
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48 focus, we limit our discussion to the studies involving macrophage and epithelial cells belonging  
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50 to the respiratory system of human and murine species. We anticipate that our review will guide  
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52 future research towards better choice of cell models, interpretation of cell-based toxicity studies,  
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3 and the development of more physiologically relevant models for studying cellular PM toxicity,  
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5 which will eventually lead to a better understanding of the respiratory effects of PM exposure.  
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## 8 9 **2 Cell models used to evaluate respiratory toxicity of PM**

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11 Although it is widely established that PM is associated with several diseases, the specific  
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13 mechanisms through which PM causes these diseases are not yet well-established. Moreover, it is  
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15 also not yet clear which of the PM chemical species are most hazardous to human health.  
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17 Investigating these aspects of PM has been a topic of research for several decades. Consequently,  
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19 several experimental techniques have been established using animals such as rodents and monkeys  
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21 to study the effects of PM exposure. However, these experiments are rather complex, expensive,  
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23 and often complicated by ethical issues. Therefore, *in-vitro* studies could play an important role.  
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25 One of the major advantages of *in-vitro* studies is their reductionist approach, which allows for a  
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27 detailed investigation of toxicity mechanisms using a variety of doses, experimental conditions,  
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29 chemical species and cell models. They are also cheaper, quicker, and easier to handle compared  
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31 to *in-vivo* and clinical trials. Most *in-vitro* studies involve measuring 4 broad groups of  
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33 fundamental cellular responses to PM exposure. These are oxidative stress, inflammatory or  
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35 immune response, cell death, and mutagenicity or genotoxicity. The various assays and methods  
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37 to measure these responses are given in Table 1. As is evident from Table 1, there are several  
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39 assays and techniques to measure a variety of cellular responses. Several publications have already  
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41 reviewed these responses extensively in the past<sup>64-78</sup>. However, very few reviews have discussed  
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43 the various cell models used in PM *in-vitro* studies investigating these cellular responses.  
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52 There are several cell models derived from different organisms which could be used in PM toxicity  
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54 studies and given the diversity in the origins of these cell models, it is reasonable to expect a  
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3 diverse range of cellular responses leading to varied interpretations about PM toxicity. In this  
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5 section, we will discuss the current state of knowledge on the various cell models being used in  
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7 PM studies. Specifically, we will first discuss the differences between macrophages and epithelial  
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9 cells belonging to the respiratory system, mostly focusing on the fundamental differences between  
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11 these two cell types observed in monocultures (Section 2.1). We will limit our discussion to  
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13 macrophages and epithelial cells of human and murine origin only. Next, in Section 2.2, we will  
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15 discuss various co-culture systems used in PM studies. Here also, we will focus our discussion on  
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17 only those systems which use macrophages and/or epithelial cells, while briefly touching on more  
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19 complex and advanced co-culture systems containing other cell types.  
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## 24 2.1 Respiratory macrophages and epithelial cells used in PM toxicity studies

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27 Given the differences in the uptake and metabolism of the PM chemical components by different  
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29 cell lines, the results of PM toxicity analysis are prone to depend on the type of cell line chosen  
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31 (discussed further in section 3 and 4 of the paper). Two species – human and murine – have been  
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33 most widely used in PM studies. Within these species, a number of cell lines have been established  
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35 and used by researchers based on the ease of availability and reliability of the results. Nearly 25  
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37 different human and murine cell lines of respiratory origin, as listed in Table 2, have been used in  
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39 PM studies so far. Note, unlike murine cell lines, there are currently no human macrophage cell  
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41 lines available for the *in-vitro* studies. Hence, a lot of *in-vitro* studies evaluating the effect of PM  
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43 on human pulmonary macrophages use either primary macrophages (i.e., macrophage cells derived  
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45 freshly from patients) or two non-pulmonary macrophage cell lines: THP-1 (derived from blood)  
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47 and U937 (derived from pleural fluid), to study respiratory toxicity caused by PM. We have  
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49 included both of these cell lines in this review. On the other hand, there exist only two murine  
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51 macrophage cell lines of respiratory origin – NR8383 and MH-S, which have been used in PM  
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3 studies. In addition to these, we have also included two other murine macrophage cell lines of non-  
4 respiratory origin, RAW264.7 and J774 (established from tumors in mice), in our review as they  
5 have also been widely used as a proxy for macrophages to study respiratory toxicity. Note, a  
6 majority of cell lines shown in Table 2 are derived from cancerous tissues. Therefore, although  
7 they do exhibit the properties of real macrophages and epithelial cells, there are slight  
8 physiological differences, which could make them behave differently from the “true”  
9 macrophages/epithelial cells. Nevertheless, they are crucial in investigating the toxicity  
10 mechanisms of PM because they are easy to handle and are immortalized (i.e. they can be cultured  
11 “theoretically” forever in laboratories).  
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24 We will first briefly discuss the differences between various respiratory macrophage cells,  
25 followed by the difference between different epithelial cells and finally compare macrophages with  
26 epithelial cells.  
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### 32 2.1.1 Differences among different macrophage cell models 33

34 Both human and murine macrophages perform a similar set of functions, such as neutralizing  
35 infectious agents (e.g., microbes), clearing foreign particles, dead cells, and cell debris, and  
36 assisting in wound healing<sup>79</sup>. Indeed human and murine pulmonary macrophages show great  
37 similarities<sup>80</sup> and murine macrophages are considered good predictive models for estimating  
38 macrophage responses in humans to different toxicants<sup>81–83</sup>. However, macrophages of the two  
39 species also have some distinct characteristics that could influence their *in-vitro* responses to PM  
40 exposure. For example, primary murine alveolar macrophages are 4 times smaller in size than  
41 primary human alveolar macrophages, which could result in different phagocytic abilities of  
42 inhaled particles<sup>84</sup>. Similarly, there is a marked difference between the pulmonary injury responses  
43 (expression of RNS and related enzymes) in murine vs. human macrophages. For example,  
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3 inducible nitric oxide synthase and nitric oxide, which are essential participants in pulmonary  
4 injuries, were found to be expressed more explicitly in primary rat alveolar macrophages than in  
5 primary human alveolar macrophages<sup>85</sup>. Moreover, the phagocytic ability of the primary murine  
6 macrophages is more resistant to acidic environment as compared to the phagocytic ability of  
7 primary human macrophages<sup>86</sup>. Lastly, there also exist differences between the phenotypic and  
8 genome markers of murine and human macrophages. For example, murine and human  
9 macrophages show different gene expression levels for chemokines. The expression of mannose  
10 receptor (a macrophage membrane protein) is seen only in M2 macrophages [macrophages that  
11 have been modified to perform specific functions (a process also called polarization) such as  
12 promoting cell proliferation and tissue repair] of mice, unlike human macrophages, where both  
13 polarized and unpolarized versions express this receptor<sup>87</sup>. These differences between the cells of  
14 the two species are perhaps responsible for the distinct responses observed when both are exposed  
15 to the same chemical species. For example, primary human alveolar macrophages have shown  
16 greater ROS activity as compared to primary murine alveolar macrophages when both were  
17 exposed to TiO<sub>2</sub> and silica particles of similar size and concentrations<sup>88</sup>. Similarly, primary human  
18 alveolar macrophages showed a greater inflammatory response [expression of a protein called  
19 tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )] and particle uptake as compared to primary murine alveolar  
20 macrophages when exposed to TiO<sub>2</sub> particles<sup>89</sup>. Thus, a comparison of the responses between  
21 murine and human macrophage responses requires a consideration of all these differences in their  
22 phagocytic abilities, secretion of specific enzymes, gene expressions, and their individual  
23 sensitivity to different chemical components of PM.  
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52 Note, even among macrophages of the same species, the organ from which they are derived could  
53 substantially influence their responses to a toxicant. For example, in comparison to primary  
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3 interstitial macrophages (macrophages residing in the spaces between alveoli and blood vessels  
4 surrounding them) derived from BALB/c mice, primary alveolar macrophages from the same  
5 animal have been shown to secrete higher amounts of inflammatory cytokines and greater ROS  
6 (reactive oxygen species) and RNS (reactive nitrogen species) production<sup>90,91</sup>. Similarly, there is  
7 also a marked difference in some physiological and immunological properties of human  
8 macrophages derived from different pulmonary regions. For example, alveolar macrophages in  
9 humans are typically larger (2 times) and show higher phagocytic activity as compared to  
10 interstitial macrophages<sup>92</sup>. Moreover, among U937 and THP-1 (both cell lines of human origin),  
11 U937 shows greater similarity to primary cells with respect to the nature of their interaction with  
12 particles<sup>93</sup>.

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27 Lastly, while comparing the responses of different macrophages, it may also be beneficial to  
28 consider their polarization to pro-inflammatory (M1) and anti-inflammatory (M2) forms induced  
29 by the toxicant. For example, certain toxicants (e.g., cypermethrin, an insecticide found in ambient  
30 PM<sup>94</sup>) can stimulate M2 polarization resulting in the growth and progression of cancer in the  
31 human body<sup>95</sup>. On the other hand, cigarette smoke can stimulate M1 polarization leading to the  
32 development of chronic obstructive pulmonary disease (COPD)<sup>96</sup>. However, currently, the role of  
33 ambient PM in inducing macrophages' polarization is not yet clear. Limited studies conducted on  
34 primary cells have demonstrated that ambient PM could induce both M1 and M2 polarizations<sup>97,98</sup>.  
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However, the ability of PM to cause such a change in behavior needs to be explored for other  
commonly used immortalized macrophage cell lines. Overall, all of these aspects indicate that one  
has to be careful in generalizing the results obtained from these studies employing a single  
macrophage cell line (or a single type of primary cells).

### 2.1.2 Differences among different epithelial cell models

Similar to macrophages, human and murine epithelial cells too have several morphological and biochemical similarities<sup>99,100</sup>, as well as some differences<sup>101–105</sup>. For example, murine airway epithelial cells show a more enhanced expression of Ca<sup>2+</sup> activated chloride channels (a group of proteins present in cell membranes responsible for transporting ions into and out of the cell) as compared to human epithelial cells<sup>104</sup>. Moreover, although both A549 (human) and MLE-15 (murine) cell lines represent the alveolar TYPE-II epithelial cells, MLE-15 cells are more efficient in forming selectively permeable monolayers that are more representative of physiological conditions<sup>105</sup>, whereas A549 cells are comparatively more resistant to hyperoxia<sup>103</sup>. Similarly, although both A549 and RLE-6TN (murine) also represent alveolar TYPE-II epithelial cells, they show different phenotypes such as differential secretion levels of certain important proteins [e.g., actins (a group of proteins responsible for providing structural support to the cell)]<sup>102</sup> which affects how they respond to PM exposure. Human epithelial cell lines (A549 and BEAS-2B) also have shown lower sensitivity to the toxicity of dioxin-like compounds as compared to murine epithelial cell lines (MLE-12 and RLE-6TN) and this could be attributed to the distinct binding affinities of murine and human aryl hydrocarbon receptor (AhR; an important protein that regulates the enzymes which metabolize xenobiotic chemicals)<sup>101</sup>. Similarly, primary human bronchial epithelial cells (PHBE) have also shown lower sensitivity to Ag particle-toxicity as compared to murine epithelial cells (RLE-6TN) and this was attributed to the differential expression of the metallothionein gene (a protein which plays a substantial role in metal detoxification within cells) between the two species<sup>106</sup>. Thus, these differences between human and murine cells must be considered while interpreting the results from the studies employing murine epithelial cell lines using PM with high concentrations of metals and organic species such as PAHs.

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3 However, we must also be cautious even when employing different human epithelial cell lines as  
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5 different cell lines display different characteristics due to the differences in their origin (e.g.,  
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7 whether the cell line was derived from cancerous tissue or it was turned into cancerous cells by  
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9 using a virus to inactivate the tumor suppression genes in healthy cells). For example, although  
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11 Calu-3, 16HBE14o<sup>-</sup> (16HBE), H292, and BEAS-2B cell lines are all representative of human  
12  
13 epithelial cells, it has been observed that only Calu-3 cells have the ability to retain the monolayer  
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15 structure and maintain a strong tight junction in long-term air-liquid interface (ALI) cultures to  
16  
17 study the toxicity of inhaled aerosols<sup>107</sup>. In another study comparing PHBEs with BEAS-2B,  
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19 BEAS-2B was observed to be functionally very different from primary cells<sup>108</sup>. For example,  
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21 compared to primary cells, BEAS-2B was significantly inefficient in forming tight junctions [the  
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23 adhesion between epithelial cells playing a crucial role in regulating the selective movement of  
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25 molecules (e.g., water, ions, soluble components of PM) across the epithelial barrier]. Moreover,  
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27 although 16HBE14o<sup>-</sup> and NuLi-1 are both considered representative of bronchial epithelial cells,  
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29 they have been demonstrated to differ widely in their sensitivity to oxidative stress induction by  
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31 dust particles<sup>109</sup>. Comparisons between A549 and BEAS-2B cell lines' response to PM<sub>2.5</sub>  
32  
33 demonstrated clear chemical composition-dependent variations in the response of A549 cells, but  
34  
35 not so much in BEAS-2B cells<sup>110</sup>. Similarly, comparisons between A549 and BEAS-2B show that  
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37 the former is more resistant to cytotoxicity and cell-cycle arrest (stopping of cell-cycle and ceasing  
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39 the ability to duplicate and divide), while BEAS-2B shows more pronounced activation of  
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41 PAHs<sup>111</sup>. Similarly, A549 cells have shown more resistance to Palladium(Pd)-toxicity as compared  
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43 to PHBE and this was due to the susceptibility of PHBE to caspase-dependent apoptosis triggered  
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45 by Pd<sup>112</sup>, which is not exhibited by A549 cells. All these differences between different cells show  
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47 that although they are all representative of epithelial cells, their applicability in PM studies may  
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3 largely depend on the chemical composition of PM. More studies are needed to establish  
4 appropriate chemical-composition-based cell models for PM studies.  
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### 7 8 2.1.3 Comparing the responses of macrophage and epithelial cells 9

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11 As discussed earlier, both macrophages and epithelial cells perform different functions in the  
12 respiratory system. Accordingly, there are differences in the way these cells interact with inhaled  
13 PM. However, interpreting the results of existing PM toxicity studies employing these cells gets  
14 complicated because these studies vary widely in the techniques they adopt for exposing PM to  
15 the cells. For example, the cells could either be directly exposed to the particles as happens in the  
16 lungs, or the cells could be exposed to water, or organic extracts of PM collected over a filter. The  
17 responses of the two cell types in both cases could be vastly different given differences in the  
18 ability of cell lines to interact with particles. Thus, it is possible for these two cell types to show  
19 contrasting results when exposed directly to the particles, but similar results when exposed to PM  
20 extraction and ALI.  
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34 Comparisons among different cell lines of macrophage vs. epithelial origin raise the question of  
35 which of these would be a better model for the *in-vitro* studies. The answer is complicated given  
36 a lot of studies have used a combination of murine and human cell lines. Some of these studies  
37 show a similarity in the response between human epithelial and murine macrophage cell lines to  
38 ultrafine particles<sup>113,114</sup>. However, there are studies showing murine macrophages to be more  
39 sensitive to particle-induced effects than human epithelial cells when the cells were directly  
40 exposed to particle suspensions<sup>115</sup>. Other studies in which the cells were exposed to PM extracts  
41 have shown that human epithelial cells had a higher ROS activity<sup>116,117</sup>. One of the reasons for  
42 these differences could be that macrophages and epithelial cell lines respond differently to soluble  
43 vs. non-soluble components owing to the different PM collection methods. For example, BEAS-  
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3 2B cells showed higher secretion of interleukins and TNF- $\alpha$  when exposed to particle suspensions  
4 (containing both soluble and non-soluble components) versus PM filter extracts (containing only  
5 soluble components)<sup>118</sup>. It is hypothesized that macrophages are better at internalizing the particles  
6 than epithelial cells<sup>115,119</sup> and hence they might respond better when exposed to particle  
7 suspensions, whereas the inability of epithelial cells to convert thiol agents to glutathione leads  
8 them to be more vulnerable to cytotoxicity and producing greater inflammatory cytokines when  
9 exposed to PM extracts<sup>116</sup>. However, there are a few studies which also show that human  
10 macrophages are more sensitive than human epithelial cells when exposed to both particle  
11 suspensions<sup>40</sup> and PM extracts<sup>40,120</sup>. Therefore, it is not entirely clear what exact mechanisms drive  
12 the varied responses of macrophages vs. epithelial cells to PM exposure.  
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27 It appears that certain types of cells are more sensitive to specific groups of PM constituents. For  
28 example, early evidence regarding the susceptibility of different types of lung cells to metal ions  
29 indicated alveolar macrophages to be more affected than alveolar type II cells<sup>121</sup>. Also, studies  
30 comparing A549 cells (human epithelial cell line) and RAW264.7 (murine macrophage-like cell  
31 line) showed that human epithelial cells respond better to endotoxins and hydrocarbon  
32 components, whereas the murine macrophages are more responsive to metals<sup>122,123</sup>. However, such  
33 detailed comparison between macrophages and epithelial cells is currently lacking in PM studies.  
34 Therefore, more studies are required to understand the endpoint-specific responses of similar cell  
35 types obtained from different species when exposed to different PM components. It must also be  
36 recognized that most of the studies so far have used cell cultures with only one type of cells  
37 (monocultures). Although monocultures provide excellent insights into cell-specific  
38 characteristics and behavior, they do not represent physiological conditions where several types of  
39 cells are in contact with each other. Therefore, it is necessary to investigate PM toxicity using co-  
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3 culture techniques to get a more realistic picture of PM toxicity. A few cell models which are  
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5 generally considered physiologically more relevant, are discussed in the next section.  
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## 8 2.2 Co-culture models of macrophages and epithelial cells used in PM toxicity evaluation 9

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11 It is well known that different cells of the body act in tandem with each other and their responses  
12  
13 are often interconnected. Moreover, not all types of cell lines may be directly exposed to particles  
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15 *in-vivo* and their responses could be due to cellular communication alone. Therefore, co-culturing  
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17 different cells could provide a physiologically more relevant cell model to assess the biological  
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19 effects of PM exposure. Three major types of *in-vitro* co-culture models have been used in PM  
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21 studies: 2D co-culture models, air-liquid interface (ALI), and lung organoids (both ALI and lung  
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23 organoids are 3D co-culture models). Although there are other *in-vitro* cell models such as lung-  
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25 on-a-chip (a microfluidic device mimicking complex mechanical and biochemical processes of  
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27 our respiratory system)<sup>124,125</sup> and precision-cut-lung slices (thin 3D slices cut from real lungs which  
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29 maintain a majority of tissue structure and functions for *in-vitro* studies)<sup>126</sup>, these are not very  
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31 popular and are rather in their infancy stage. Therefore, in this section, we will provide an overview  
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33 of only those three models by first discussing the 2D co-culture models followed by 3D co-culture  
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35 models.  
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41 2D co-culture systems are well-established and have been reviewed extensively by several  
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43 publications in the recent past<sup>127–133</sup>. Here, we will focus on the differences observed in these  
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45 systems as compared to monocultures of macrophages and epithelial cells. 2D co-cultures involve  
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47 two (and sometimes three) different types of cells cultured together in the same petri dish or well  
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49 of a multi-well plate<sup>127</sup>. Essentially, in these models, the cells are all grown in a single layer as a  
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51 flat sheet, mostly submerged in a cell culture medium. The different types of cells could either be  
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53 layered on one another (e.g., macrophage layer over a layer of epithelial cells) or be cultured as a  
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3 mixed population (mixing two different cell types and culturing them as a monolayer of cells) or  
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5 be separated using a membrane while being submerged in the same culture medium (indirect co-  
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7 culture method). These arrangements of the cells could depend on the type of interactions between  
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9 cell types that are being investigated. For example, if cell-to-cell interactions are being studied,  
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11 then the layered method and mixed population method would be preferable. However, if the effect  
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13 of cellular communication which affects only nearby cells is to be studied then the indirect co-  
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15 culture method is preferable.  
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20 2D co-cultures have provided interesting insights into the probable behavior of different cell types  
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22 in a real physiological system and how different they could be as compared to *in-vitro* monoculture  
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24 systems most commonly used in PM studies. For example, different types of cells could have a  
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26 synergistic relationship with each other in the expression of some biomarkers (such as chemokines)  
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28 when exposed to a toxicant. Such interactions between macrophages and epithelial cells have been  
29  
30 seen in both murine<sup>134,135</sup> and human cell lines<sup>136,137</sup>, and lead to an amplification of inflammatory  
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32 responses. Co-cultures could also reveal interesting aspects of the induction of bystander effect.  
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34 Bystander effect refers to the response observed due to the transmission of the stimuli from one  
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36 cell type to the adjacent cell types which are not directly interacting with the stimulation. An  
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38 example of this is a reduction in adhesivity and cell motility in the unexposed neighboring cells,  
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40 which is induced by the cells exposed to PM<sup>138</sup>. Certain cell lines can even protect others from  
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42 toxicants and reduce the damage. For example, co-cultures of macrophages with lung epithelial  
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44 cells show that macrophages have a protective effect on the epithelial cells against ROS-induced  
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46 DNA damage and this could be due to the higher ability of macrophages to resist oxidative damage  
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48 and/or due to their non-proliferative nature as compared to the epithelial cells<sup>136,139</sup>. The protective  
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50 effect induced by co-culture models of macrophages and epithelial cells is also perhaps due to the  
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3 changes in the expression of cytokines such as LIF and the activation of related pathways such as  
4 the TNF-signaling pathway<sup>140</sup>. However, some co-culture models have also shown that the  
5 cytotoxicity observed in a monoculture of A549 cells was much lower than in a co-culture of A549  
6 and MRC-5 cells (which are fibroblasts) when both cultures were exposed to similar  
7 concentrations of PM<sub>2.5</sub><sup>141</sup>. Thus, monocultures could also sometimes underestimate PM toxicity.  
8 Overall, a 2D co-culture provides a more physiologically relevant system to evaluate PM toxicity.  
9  
10 However, similar to monocultures, cells in the 2D co-culture are submerged in the culture medium  
11 and are often grown in monolayered flat structures. Moreover, these culture techniques are not  
12 airway-specific, meaning, the same culture technique is applied to all types of cells, irrespective  
13 of the organ to which they belong. For example, both liver cells and lung cells are grown using  
14 similar *in-vitro* techniques, although they are physiologically exposed to the external environment  
15 very differently. Moreover, in the lungs, the cells are multilayered in 3-D structures with a portion  
16 of the cells in contact with the inhaled air. Therefore, to be more physiologically relevant, more  
17 complex systems are needed which can capture these characteristics of lung physiology. ALI and  
18 lung organoids generally serve as two of such complex 3D co-culture systems. Both of these  
19 systems, i.e. ALI<sup>142–146</sup> and lung organoid systems<sup>147–152</sup> have been also discussed extensively in  
20 recent publications. Here, we will only briefly discuss some important features of these systems  
21 relevant to PM toxicity evaluation.  
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45 ALI is a special type of cell culture in which the base of the cells is in contact with the culture  
46 medium and the top of the cells is exposed to air. Most importantly, ALI systems try to mimic  
47 stratification in the epithelium (i.e., the multilayer nature of epithelial tissue)<sup>153</sup> which is absent in  
48 normal *in-vitro* cell cultures. ALI systems facilitate the investigation of the phenomena caused by  
49 PM that would be impossible to evaluate using monoculture or 2D co-culture models. For example,  
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3 ALI makes it possible to investigate the effect of varying chemical composition of PM on the  
4 permeability of the epithelial barrier and the locations of epithelial damage (whether basal or  
5 apical)<sup>154</sup> which would not be possible with a 2D co-culture model. Similarly, integrating  
6 pulmonary epithelial cell lines (such as A549) with cardiovascular cell lines (such as EA.hy926)  
7 in an ALI system could help us investigate the proportion of fine and ultrafine PM penetrating the  
8 epithelial barrier and causing direct damage to cardiovascular cells<sup>155</sup>. These investigations are  
9 possible because ALI systems promote the differentiation of cells (to a more specialized cell  
10 type)<sup>143</sup>, given the differences in cell culture technique and culture medium composition. For  
11 example, epithelial cells in the bronchial region display a number of characteristics such as mucus  
12 production, display of cilia, formation of tight junctions [structural formations between epithelial  
13 cells that permit (and prevent) the transport of ions, particles, and water], and polarization<sup>146</sup>.  
14 Although these characteristics are not displayed by most epithelial cell lines in commonly used  
15 monocultures<sup>146</sup>, they are encouraged in ALI cultures as the cells are exposed to greater  
16 concentrations of oxygen which is crucial for these processes<sup>156</sup>.  
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36 Among the ALI systems used to evaluate the toxicity of PM so far in the literature, the most  
37 common choices for epithelial cell lines are A549 and BEAS-2B<sup>142,157,158</sup>. Moreover, among the  
38 various epithelial-macrophage combinations used in ALI cultures, the combination of A549 and  
39 THP-1 is one of the most frequently used combination<sup>157</sup>. These ALI studies have revealed some  
40 distinctive aspects regarding the cellular toxicity of PM. For example, there were varied results  
41 when A549 and THP-1 cells were co-cultured in submerged cultures (PM suspensions) vs. when  
42 they were cultured in ALI<sup>159</sup>. The ROS response and release of inflammatory markers were much  
43 higher in submerged cultures vs. ALI. In another study investigating the toxicity of Saharan dust  
44 and crystalline quartz on A549 and THP-1 ALI cultures, it was found that ALI cultures could lead  
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3 to the production of pulmonary surfactants that could influence the solubilization of certain  
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5 compounds, thus affecting the overall particle toxicity<sup>160</sup>. Some studies have also used ALI  
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7 cultures featuring a combination of epithelial cells (A549 or BEAS-2B) and endothelial cells (e.g.,  
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9 EA.hy926). These studies have also revealed important differences in the results obtained from  
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11 mono-cultures vs. ALI co-cultures. For example, when BEAS-2B cells were co-cultured with  
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13 EA.hy926, BEAS-2B cells showed both higher cell proliferation and higher permeability as  
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15 compared to monocultures<sup>161</sup>. However, an opposite trend was observed for A549 co-cultured with  
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17 EA.hy926, indicating the importance of considering cell-line-dependent differences in ALI  
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19 studies. Overall, it can be concluded that ALI systems are crucial in capturing several important  
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21 cellular responses, although the choice of cell lines might also play a major role in the expression  
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23 of those responses. Moreover, ALI protocols are still quite complex compared to monocultures  
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25 and 2D co-cultures and this is one of the reasons for a majority of studies showing lesser preference  
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27 for ALI. Thus, there is a need to investigate ways to simplify the ALI culture protocols to make  
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29 them more accessible.  
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36 Similar to ALI, lung organoids are other major co-culture systems which could provide crucial  
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38 insights into physiologically relevant toxicity mechanisms of PM. Lung organoids are miniature  
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40 3D models of lungs and are among the most accurate *in-vitro* cellular models possible so far<sup>151</sup>.  
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42 Lung organoids are more complex systems compared to ALI and can be generated through several  
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44 routes, most commonly involving different forms of stem cells<sup>151</sup>. Limited studies have evaluated  
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46 the toxicity of PM using lung organoids. A few of these studies have focused on the role of PM  
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48 exposure on viral infections and demonstrated that high PM exposure could possibly exacerbate  
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50 the infectivity of these viruses<sup>162,163</sup>. Other studies have focused on specific health effects of PM.  
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52 For example, a study investigating the effect of PM<sub>2.5</sub> exposure on fetal lung development used  
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3 lung organoids to mimic the early stages of fetal lung development and found that PM<sub>2.5</sub> exposure  
4 severely hampered the expression of several important transcriptional factors that are crucial for  
5 lung development<sup>164</sup>. A few more studies have used lung organoids for investigating the toxicity  
6 mechanisms of specific types of particles such as diesel exhaust<sup>165</sup> and tire wear<sup>166</sup> particles. Both  
7 of these studies revealed some important aspects related to the effect of PM on the expression of  
8 certain genes that are critical in the detoxification and elimination of ROS. However, further  
9 research is required to fully understand how PM exposure affects the interactions among different  
10 cell types in lung organoids. To summarize, lung organoids are still in their early stages of  
11 development and their complex culturing protocol has led to their slow adoption in the *in vitro*  
12 studies.  
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27 Overall, it can be concluded from the discussion in this section that although there are several cell  
28 lines representing macrophages and epithelial cells, they widely differ from one another and  
29 display different responses to the same chemical components. Moreover, despite immunological  
30 and biochemical similarities between murine and human cell models, there are important  
31 differences which might yield misleading interpretations about PM toxicity when relying only on  
32 murine cell lines. Finally, the use of co-culture studies could provide significant advantages in  
33 understanding the toxicity of PM. However, interpretations of such models should be made with  
34 caution considering the influence of the specific cell line used in the model.  
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46 It must also be noted that besides factors such as cell types, species and organs of origin, and  
47 culturing techniques, cellular responses observed in PM studies are also highly influenced by  
48 particle properties such as size, shape, and chemical composition. In the next section, we will  
49 discuss how different cell lines differ in their responses to differences in the physicochemical  
50 properties of PM.  
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### 3. Physicochemical properties of PM

The physical properties of particles such as size and shape affect their transport and fate (i.e. site of action) in the human respiratory tract. Along with physical characteristics, the chemical composition of the particles is also important in determining the biochemical reactions and subsequent toxicity of the particles. In this section, the role of physicochemical properties of PM in inducing various cellular responses in macrophage and epithelial cells of human and murine origin is discussed.

#### 3.1 Effects of physical properties

The most important physical property of particles (with respect to pulmonary diseases) is size, which primarily influences their site of deposition and clearance mechanisms and is thus responsible for their different pathophysiological pathways<sup>167–170</sup>. Size also determines their ability to cross the lung epithelium barrier of the respiratory tract and their transportation to various organs of the body via blood. Interestingly, size has also been found to influence the interaction of non-soluble particles with phagocytes. Renwick et al.<sup>171</sup> found in their study on the J774 cell line that the phagocytotic activity of the cells was hindered to a greater extent when they were exposed to ultrafine TiO<sub>2</sub> and Carbon Black particles (mean size = 29 nm) in comparison to the exposure to fine particles with same chemical composition (mean size = 250 nm). Particles which are smaller than the typical size of phagocytes such as macrophages and neutrophils (5-10 μm) have been shown to induce greater toxicity than bigger particles<sup>172</sup>. Generally, the clearance efficiency of pulmonary macrophages for various particles has also been shown to depend on their size, with the efficiency being much lower for ultrafine particles as compared to fine particles<sup>173,174</sup>. Experiments on rat macrophages (J774 and RAW264.7) have revealed that macrophages could show a preferred recognition for a particular size range (0.5-3 μm)<sup>175–177</sup>. Such bias in particle



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3 attachment and phagocytosis is also perhaps responsible for the differences in the inflammatory  
4 responses (e.g., cytokine (TNF- $\alpha$ ) and mRNA expression) in murine macrophages (J774 and  
5 NR8383) when exposed to different size fractions of particles having similar chemical  
6 composition<sup>173,178</sup>. Similarly, exposing NR8383 cells to ultrafine TiO<sub>2</sub> particles (mean size = 25  
7 nm) induced extracellular ROS, heme-oxygenase mRNA, and TNF- $\alpha$  expression but a similar  
8 response was not obtained when the cells were exposed to fine TiO<sub>2</sub> particles (mean size = 250  
9 nm)<sup>178</sup>. These studies indicate a clear relationship between particle size and inflammatory  
10 responses, with fine and ultrafine particles inducing different cytokine and gene expression  
11 behaviors in the macrophages.  
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24 Along with particle size, particle shape could also play an important role in phagocytosis. It has  
25 been shown that murine macrophages (J774 and RAW264.7) show a preferential recognition of  
26 rod or oblate ellipsoid-shaped particles<sup>176,179</sup>. Some studies even indicate that a particle's shape  
27 could be more important than its size in determining the response of macrophages<sup>180,181</sup>.  
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34 It is important to note here that the studies cited above involved engineered/artificial particles of a  
35 single chemical composition whereas ambient PM are often mixtures of different chemical species  
36 with varying solubilities in water. Major chemical species in ambient PM such as transition metals,  
37 organic carbon, and inorganic salts (e.g., ammonium, sulfate, nitrate, chloride, etc.) have been  
38 shown to be highly soluble in water<sup>182-185</sup>. Therefore, once PM is inhaled, the particle morphology  
39 (shape, size, and surface area) may no longer be relevant due to the dissolution of a major portion  
40 of the PM in the respiratory tract lining fluid. Moreover, it has been shown that the chemical  
41 composition of ambient particles varies with size (different sources emit different-sized  
42 particles)<sup>186-189</sup>. Therefore, in case of ambient PM, it is complicated to attribute the differences in  
43 cellular responses to merely particle size. This is further evident from the studies conducted on  
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3 ambient PM for assessing the role of different-sized particles on cytotoxicity, inflammatory  
4 responses, and oxidative stress. Many of these studies have found that the relationship between  
5 inflammatory response and cytotoxicity with particle size depends on season, location, and time  
6 of the day<sup>190–193</sup>, with generally both coarse and fine particles dominating cellular responses during  
7 different seasons. This spatiotemporal effect could be attributed to the presence of specific  
8 chemical species that drive a particular cellular response and therefore the physiological relevance  
9 of these results should probably be interpreted in the context of chemical composition rather than  
10 size alone. It implies that the overall toxicity of the ambient PM is influenced more by chemical  
11 composition, which could partly be determined by the size but is not an explicit function of it.  
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### 24 3.2 Effects of chemical composition

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27 PM consists of a broad range of chemical components including metals, organic, and inorganic  
28 species. Usually, coarse particles are dominated by crustal elements compared to fine particles,  
29 which consist of a greater fraction of combustion-derived organic and inorganic species. But the  
30 chemical composition even within a single size fraction of PM could show substantial  
31 spatiotemporal variations. The constituents of PM could also be divided based on their water-  
32 solubilities, based on which they could induce very different biological responses in the cells. In  
33 this section, we will first briefly discuss the *in-vitro* studies involving macrophages and epithelial  
34 cells of human and murine origin which show differential toxicities of water-soluble vs. non-  
35 soluble PM chemical species followed by the studies that discuss the toxicities of metals and  
36 organic species.  
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#### 50 3.2.1 Water-soluble versus insoluble chemical species

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53 Both water-soluble and insoluble fractions of PM have been observed to be capable of inducing  
54 genotoxicity<sup>194</sup>. Some studies have found the water-soluble fraction of PM to be largely associated  
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3 with oxidative stress<sup>195,196</sup> and inflammation<sup>197</sup> in both epithelial and macrophage cells, whereas  
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5 the insoluble fraction has been found to induce cell membrane damage<sup>195</sup>, cell cycle  
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7 dysregulation<sup>197</sup> and in some cases oxidative DNA damage and apoptosis<sup>198</sup>. Certain studies have  
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9 shown that aqueous extracts of PM<sub>2.5</sub> (containing metals and inorganic ions) were found to be more  
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11 cytotoxic to epithelial cells (A549) as compared to the dichloromethane (DCM) extracts (organic  
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13 extracts) which had the majority of PAHs<sup>110,199</sup>. Moreover, these aqueous extracts also induced  
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15 more pro-inflammatory cytokines as compared to DCM extracts<sup>110</sup>. Note, the water-soluble and  
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17 DCM-soluble extracts used in these studies were extracted separately, thus the DCM extracts may  
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19 also contain an appreciable portion of water-soluble compounds, making it difficult to compute  
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21 the actual contribution of water-insoluble compounds to PM toxicity. However, a study by Ma et  
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23 al.<sup>200</sup> compared the toxicities of water-soluble and insoluble fractions separately by sequentially  
24  
25 extracting the PM, i.e. first extracting the water-soluble fraction in water, followed by DCM to  
26  
27 extract the remaining water-insoluble fraction. Interestingly, the water-soluble fraction turned out  
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29 to be more efficient in inducing apoptosis in BEAS-2B cells than the DCM extracts, thus indicating  
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31 that water-soluble components were more toxic to epithelial cells.  
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38 However, we must be careful in making such generalizations because several other studies have  
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40 suggested that water-insoluble fractions may sometimes contribute to PM toxicity more than  
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42 water-soluble fractions. For example, it was found in a study comparing different urban, rural, and  
43  
44 industrial sites in France that the DCM extracts were more closely associated with the cell  
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46 membrane and DNA damage of BEAS-2B cells than water-soluble extracts<sup>201</sup>. In another study,  
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48 the water-insoluble fraction of urban dust aerosols was observed to contribute to most of the LDH  
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50 release in A549 cells<sup>202</sup>. Mohseni Bandpi et al.<sup>203</sup> had also reported higher DNA damage by organic  
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52 [DCM/methanol (v/v) = 3] extracts of PM<sub>2.5</sub> as compared to water-soluble components in A549  
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3 cells<sup>203</sup>. Similarly, in a study conducted in rural China, it was found that the organic [DCM/acetone  
4 (v/v) = 1] fraction induced significantly higher cell death and cellular ROS response as compared  
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6 to water-soluble fraction in A549 cells<sup>204</sup>. Finally, the organic (heptane) fraction of diesel emission  
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8 particles (DEP) has also been found to induce a significantly higher release of pro-inflammatory  
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10 cytokines in BEAS-2B cells<sup>205</sup>. The assessment of contributions of water-soluble and insoluble  
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12 species can be indirectly used to yield some insights into the relative roles of metals and organic  
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14 species, respectively. This is primarily based on a rough assumption that organic compounds are  
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16 concentrated in the organic extracts (e.g., DCM which is water-insoluble), while aqueous extracts  
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18 largely contain metals and other inorganic ions, although chemical composition analysis of organic  
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20 and aqueous extracts was not conducted in those studies. However, this assumption may not  
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22 always be true because, as indicated earlier, the organic extracts may also contain significant  
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24 amounts of water-soluble compounds<sup>206</sup>. Therefore, to gain better insights into the relative  
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26 contributions of water-soluble and insoluble components, it is better to adopt sequential extraction  
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28 (i.e., water extraction followed by extraction of the same PM filter in an organic solvent) of PM  
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30 instead of extracting the two fractions separately. However, currently, there is a lack of PM toxicity  
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32 studies adopting such procedures. It is perhaps also beneficial to chemically analyze individual  
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34 species (such as metals, water-soluble organic carbon, PAHs, etc.) in these two fractions to confirm  
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36 their contribution to the overall PM toxicity. Therefore, in the next sub-section, we will discuss  
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38 the *in-vitro* studies involving macrophages and epithelial cells comparing specific roles of metals  
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40 and organic species.  
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### 50 3.2.2 Role of metals

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52 Table 3 summarizes the most important elemental species including metals showing strong  
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54 associations with cellular responses in various ambient PM studies. From this table, Fe, Cu, Mn,  
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3 Cr, Ni, and Zn seem to be the most important metallic species which play a major role in PM  
4 toxicity as consistently suggested by the current literature. A conventional method for assessing  
5 the role of various chemical components in biological responses is to correlate the spatiotemporal  
6 variation in cellular responses with that in PM chemical composition. Studies investigating these  
7 correlations have revealed metals to be largely associated with cell membrane damage, DNA  
8 damage, lower cell viability, and oxidative stress. For example, in some studies, the high potency  
9 of coarse particles to induce cellular responses in human epithelial cells (BEAS-2B and A549) and  
10 murine macrophages (RAW264.7), such as the release of specific pro-inflammatory  
11 cytokines<sup>111,207,208</sup> was primarily associated with the presence of crustal metals such Al and Fe,  
12 which have been shown to induce pulmonary inflammations. Similarly, coarse particles from  
13 industrial and traffic sources were also found to contain large fractions of Fe and Al as compared  
14 to the finer-sized (< 2.5) particles and exhibited higher LDH release and reduction in ATP in both  
15 murine J774 cells and human A549 cells<sup>209</sup>. Lag et al.<sup>210</sup> found As, Zn, and Cd to be associated  
16 with more inflammatory response and oxidative stress whereas Fe, Mn, and Al have been  
17 positively correlated with pro-inflammatory cytokines such as IL-6 and IL-8 in BEAS-2B cells<sup>211</sup>.  
18 Similarly, more adverse biological responses such as lower cell viability, higher LDH release, and  
19 higher IL-6 induced in A549 cells exposed to particle suspensions in winter vs. summer samples,  
20 were attributed to certain specific metals such as Cu, Mn, As, Zn, and Al, which were at least two  
21 times higher in the winter samples<sup>212,213</sup>. Species such as As and Ni have been found to be  
22 associated with altering the cell cycle in human bronchial epithelial (HBE) cells<sup>214</sup>. Such  
23 significant correlations between metals and various cellular responses have also been found in  
24 RAW264.7 cells and A549 cells, with one group of metals (Al, Fe, Mg, Co, V, Mn, and Ca) more  
25 closely associated with inflammatory and cytotoxic responses, while another group (Zn, Cd, Cu,

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3 and Pb) associated with the induction of oxidative stress<sup>122,215</sup>. Among the metals, Fe and Cr seem  
4 to have the most consistent association with PM toxicity. Fe has been associated with both ROS  
5 response and DNA damage in BEAS-2B cells<sup>216</sup> and its water-soluble form is believed to play an  
6 important role in PM-mediated hydroxylation of DNA through Fenton reaction in A549 cells<sup>194</sup>.  
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8 Moreover, Fe has been associated with the induction of higher cytotoxicity and inflammatory  
9 response when A549 cells were exposed to PM<sub>2.5</sub> collected during dust storms<sup>217</sup>, PM<sub>2.5</sub> emitted  
10 from coal combustion<sup>218</sup>, and PM<sub>2.5</sub> from a photochemical smog event<sup>219</sup>. Similarly, Cr is also  
11 shown to be toxic to a variety of cell lines such as A549, BEAS-2B, and NR8383 (see Table 3).  
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13 Other than Fe and Cr, Mn, Cu, and Zn are the metals most commonly associated with cellular  
14 toxicity in PM studies.  
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27 There are few studies showing the correlation of some other metals as well with toxicity. For  
28 example, in a study on the impact of snowfall events on the toxicity of aerosols, metals, and semi-  
29 metals like Sr, Ni, V, and As were found to be strongly associated with inflammatory markers such  
30 as TNF- $\alpha$  and IL-6<sup>220</sup>. Se was found to be more strongly correlated with oxidative stress than Fe  
31 and Cu when A549 cells were exposed to solid fuel combustion-derived PM<sub>2.5</sub><sup>221</sup>. Ni and Pb were  
32 more significantly associated with inflammatory response than Fe, Mn, and Cu in A549 cells<sup>217</sup>.  
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34 However, more studies are needed to investigate the specific toxicity mechanism of different  
35 metals to establish their relative importance. Moreover, a lack of correlation between an element  
36 and a cellular response may not always mean that it is non-toxic as these studies have not  
37 mechanistically investigated the reasons behind correlations (or lack of correlations). Note,  
38 oxidation state is a major parameter influencing the reactivity of metals and it has been shown in  
39 some studies that it could impact the overall toxicity of PM. For example, Fe (II) has been shown  
40 to induce greater ROS response in NR8383 cells as compared to Fe (III)<sup>222</sup>. Similarly, in a study  
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3 using 16HBE cells, it was shown that Cr (VI) induced a larger reduction in cell viability as  
4 compared to Cr (III)<sup>223</sup>. Further investigations into the significance of the oxidation state of metals  
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6 might better clarify their toxicity mechanisms.  
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### 10 3.2.3 Role of organic compounds

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13 Several studies have also shown the importance of organic compounds in inducing cellular ROS  
14 and cytotoxicity in both macrophages and epithelial cells<sup>207,224–226</sup>. Organic compounds  
15 supposedly play an important role in  $\gamma$ H2AX generation (a phosphorylated protein indicating DNA  
16 damage), LDH release, and ROS generation in human macrophages and epithelial cells<sup>224,227</sup>. In a  
17  
18 study comparing the toxicity of metals and organic compounds in human small airway epithelial  
19 cells (SAEC), organic compounds induced greater expression of genes related to certain  
20 antioxidants (SOD-1 and -2, catalase, HO-1, NQO-1)<sup>228</sup> as compared to metals. Water Soluble  
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22 Organic Carbon (WSOC) has been widely studied for its role in inducing oxidative stress as its  
23 distribution across the size-spectrum of PM seems to drive the size-segregated biological response  
24 of the particles. For example, in the study conducted by Wang et al.<sup>229</sup>, a two-times higher  
25 concentration of WSOC in the finest fraction of PM (<0.4  $\mu$ m) than the coarse fraction was  
26 speculated to be the chief driver of the highest inflammatory effects in NR8383 cells of that size  
27  
28 fraction. Besides being directly responsible for the induction of oxidative stress in NR8383 cells,  
29  
30 WSOC has also been demonstrated to play an indirect role through the complexation of Fe<sup>230</sup>.  
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32 WSOC has also been associated with other cellular responses such as apoptosis in BEAS-2B  
33 cells<sup>200</sup> and DNA methylation in A549 cells<sup>110</sup>. However, it is not yet clear how toxic WSOC is  
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35 relative to the metals. WSOC has been found to be more strongly associated with the induction of  
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37 ROS in NR8383 cells<sup>231</sup> and NF- $\kappa$ B activation in THP-1 cells<sup>232</sup> than metals such as Cu, Mn, and  
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3 Ni, although the association of inflammation in A549 cells with WSOC was weaker in comparison  
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5 to most metals<sup>220</sup>.  
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8 Among the organic compounds, polycyclic aromatic hydrocarbons (PAHs) have received great  
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10 attention and have often been cited as the primary driver of oxidative stress and cytotoxicity<sup>224,233–</sup>  
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12 <sup>235</sup> in macrophages and epithelial cells of both human and murine origin. In fact, PAHs have been  
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14 shown to be more strongly associated with cytotoxicity than metals in A549 cells<sup>236</sup>. Higher  
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16 organic carbon and PAH content have been speculated to be responsible for depleted glutathione  
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18 in cells as well as the expression of heme oxygenase-1<sup>237</sup> in RAW264.7 and BEAS-2B cells. PAHs  
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20 have also been shown to be highly associated with altering cell cycles in HBE cells<sup>214</sup> and THP-1  
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22 cells<sup>197</sup>. Experiments on human lung epithelial cells exposed to PM have revealed that PAHs could  
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24 elicit the expression of mRNA genes such as cytochrome P450 (cyp) 1a1, cyp2e1, and cyp2f1,  
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26 which are necessary for the metabolic transformation of these organic compounds to induce  
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28 cytotoxicity<sup>238,239</sup>. Studies comparing emissions from traditional fossil fuels and biodiesel blends  
29  
30 have found that the reduction in cytotoxicity and genotoxicity in U937 and A549 cells by PM  
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32 emitted by vehicles using biodiesel could primarily be due to a lower PAH content<sup>233,240</sup>. However,  
33  
34 the exact role of PAHs in inducing inflammatory responses is not entirely clear. In a study  
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36 conducted on the PM<sub>2.5</sub> collected in both industrial and urban areas, Chen et al.<sup>241</sup> found a largely  
37  
38 negative correlation for the expression of IL-6 and TNF- $\alpha$  in A549 cells with the majority of PAHs  
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40 in the industrial area. However, in urban areas, these biological responses were positively  
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42 correlated with several PAHs, despite a negative correlation between PAHs and LDH release.  
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44 Another study investigating the correlation between PAHs and inflammatory cytokines such as  
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46 IL-6, IL-8, and IL-1 $\beta$  in a co-culture of A549 and THP-1 cells found no significant relationship  
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48 between PAHs and cytokine release<sup>242</sup>. In fact, PAHs have also been found to be negatively  
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3 correlated with inflammatory responses in BEAS-2B cells<sup>211</sup> in one study. However, at least two  
4  
5 different studies using the same cell line have found that PAHs were positively correlated with the  
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7 release of inflammatory cytokines such as IL-6<sup>243</sup> and the expression of genes related to  
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9 inflammation and myocardial fibrosis<sup>244</sup>.

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13 There are other organic compounds that probably play a more significant role than PAHs in  
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15 inducing biological responses. In a study investigating the genotoxicity of organic extracts of  
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17 PM<sub>2.5</sub>, Oh et al.<sup>245</sup> showed that compared to PAHs and their alkyl derivatives, aliphatic and  
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19 chlorinated hydrocarbon fractions caused partially greater DNA breakage in BEAS-2B cells.  
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21 Moreover, compounds such as nitro-PAHs, ketones, and quinones were almost similar to PAHs in  
22  
23 genotoxicity<sup>245</sup>. Oxygenated PAHs and nitro-PAHs have been found to be more toxic than PAHs  
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25 in a few studies<sup>246–248</sup> and this toxicity seems to have been linked to their ability to exert direct  
26  
27 toxic effects, in contrast to parent PAHs, which first require bio-activation by certain enzymes<sup>249</sup>.  
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29 Between nitro-PAHs and oxygenated PAHs, it is possible that nitro-PAHs are perhaps more  
30  
31 toxic<sup>221</sup>, although more studies systematically comparing the toxicity of the two groups of  
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33 compounds in lung cell lines are needed. It has been previously shown that quinones could be more  
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35 efficient than PAHs in O<sub>2</sub><sup>-•</sup> generation<sup>250</sup>. This redox cycling induced by quinones could ultimately  
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37 play an important role in the cytotoxicity of PM. Few studies have suggested that the  
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39 transformation of PAHs into quinones through atmospheric processing often induces more  
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41 cytotoxicity and oxidative potential. Further discussion in this regard is included in section 4. Other  
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43 compounds such as n-alkanes, hopanes, and steranes have also been shown to have a good  
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45 correlation with several biological responses such as oxidative stress and inflammatory damage in  
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47 A549 cells<sup>241,251</sup>. N-alkanes have also displayed a strong correlation with neutrophilic  
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49 inflammation in BEAS-2B cells<sup>252</sup>.

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3 Note, none of the studies cited above have considered the interactions between various chemical  
4 components of PM in cytotoxicity. There is very limited work conducted in this area. Studies  
5 exploring the relationship between the chemical composition of aerosols and cellular responses  
6 have found that the response of the total PM is not equal to the sum of responses of the individual  
7 fractions<sup>224,253</sup>. Moreover, interactions between different metals may also influence PM toxicity.  
8 For example, Al<sup>3+</sup> has been shown to have an antagonistic effect on Cr<sup>6+</sup>, Pb<sup>2+</sup>, and Zn<sup>2+</sup> induced  
9 oxidative stress in 16HBE cells<sup>254</sup>. Therefore, further research into these interactions is needed to  
10 advance our understanding of the net effect of PM chemical composition on oxidative stress,  
11 toxicity, and health effects.  
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24 Apart from the interaction among different chemical species, varying exposure doses and durations  
25 used in different studies could also contribute to the disparities in the observed toxicities of  
26 different chemical species. Table 4 summarizes the different exposure concentrations and  
27 durations used by different studies evaluating the toxicity of ambient PM. The extract  
28 concentrations used in these studies ranged from 1 to 1100 µg/mL, while the exposure duration  
29 ranged from 2.5 to 72 h for oxidative stress evaluation and 6 to 72 h for cytotoxicity and other  
30 cellular responses. This could also influence the interpretation of the observations made in those  
31 studies in two ways. First, the toxicity thresholds for various chemical species vary among  
32 different cell lines<sup>255,256</sup>, so it is possible that the lack of toxicity observed for a specific chemical  
33 species at a particular exposure concentration could be simply due to a higher toxicity threshold  
34 for that chemical to that cell line. Second, the toxicity kinetics for different chemical species also  
35 vary for different cell lines<sup>257,258</sup>. Moreover, different cell lines may also differ widely in their  
36 growth or proliferation rate profiles<sup>259</sup>. Thus it is difficult to compare the inhibitory effects of PM  
37 on different cell lines if their exposure durations are different. In conclusion, we should be cautious  
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3 in comparing the results of the studies using varying exposure durations and doses. Future studies  
4 might consider testing the toxicity of PM using a range of concentrations (e.g., 1-1000  $\mu\text{g}/\text{mL}$ ) and  
5 exposure durations (e.g., 6-72 h) rather than a single concentration and exposure duration to  
6 remove such biases.  
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12 Finally, it is also necessary to remember that the chemical composition of the aerosols itself is  
13 highly dynamic and evolves with atmospheric processing, which eventually affects its  
14 toxicological properties. Therefore, to properly assess the health impacts of aerosols, it is necessary  
15 to also understand the effects of atmospheric processing on aerosol toxicity. In the next section,  
16 we discuss the current state of knowledge on how the toxicity of aerosols could vary due to various  
17 environmental factors.  
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#### 28 **4 Role of atmospheric processes in altering the cellular activity of PM**

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31 Physicochemical properties of PM not only depend on emission sources but also on environmental  
32 conditions such as relative humidity, temperature, and mixing height, along with prevalent aerosol  
33 and gaseous mixtures, which result in the physicochemical transformation of aerosols. Processes  
34 like gas-particle partitioning towards the particle phase (which is often favored at lower  
35 temperatures) and the presence of background organic aerosols [which could provide the surfaces  
36 for condensational uptake of the volatile organic compounds (VOCs)], also play a crucial role in  
37 atmospheric processing of aerosols. Higher background concentrations of organic aerosol often  
38 lead to greater partitioning towards the particle phase<sup>260</sup>. Recent studies have also shown a  
39 significant influence of aerosol liquid water (ALW) content on the chemical composition of the  
40 aerosols, particularly SOA<sup>261</sup>. ALW could also cause a change in aerosol acidity, enhancing the  
41 dissolution of certain metals and thus affecting their oxidative potential<sup>262</sup>. Note, ALW is  
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3 dependent on both the relative humidity (RH) and hygroscopicity of the aerosol which in turn is  
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5 influenced by the presence of other species such as isoprene and sulphate in urban environments<sup>261</sup>.  
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7 Similarly, the adsorption and condensation of VOCs could further alter the chemical composition  
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9 of the aerosols<sup>263,264</sup>. Such modifications in the chemical composition due to atmospheric  
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11 processing and aging could significantly alter the toxicity of aerosols. To the best of our  
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13 knowledge, only one article (Weitekamp et al.<sup>265</sup>) has reviewed the existing studies investigating  
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15 the effects of aging and atmospheric processing on the toxicity of aerosols. However, Weitekamp  
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17 et al.<sup>265</sup> has majorly focused on *in-vivo* studies while briefly discussing the effect of aging only on  
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19 human lung cells (such as A549), and with a very limited discussion on the toxicity of secondary  
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21 organic aerosols (SOA) on human and murine lung macrophages and epithelial cells. Therefore,  
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23 in this section, we will discuss the results of *in-vitro* studies using human and murine cells of  
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25 respiratory origin, that have assessed the impact of atmospheric processing on the toxicity of  
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27 ambient aerosols. We will also discuss existing literature on the toxicity of SOA.  
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#### 33 34 4.1 Aging of carbonaceous aerosols 35

36 The chemical composition of carbon-containing aerosols dramatically changes with aging. There  
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38 is an increase in the molecular weight of organic compounds during the initial stages with a  
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40 corresponding decrease in the volatility<sup>266</sup>. Moreover, organic compounds such as catechol, maleic  
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42 acid, and oxalic acid could also react with transition metals such as iron to form metal-organic  
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44 complexes<sup>267</sup>. Such a change in chemical composition could further lead to alteration in toxicity  
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46 and oxidative potential of aerosols. For example, an increased cytotoxicity and inflammatory  
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48 response in RAW 264.7 cells when they were exposed to aged biomass burning aerosols was  
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50 attributed to the change in PAH composition<sup>268</sup> which were most likely oxidized to oxy or nitro-  
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52 PAHs when the aerosols were aged in the presence of ozone.  
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3 The influence of oxidation of carbonaceous particles on cellular responses has been a subject of  
4 great interest in recent studies. An appreciable increase in ketonic, carboxylic, and quinone-like  
5 functional groups has been reported during the oxidation of carbonaceous particles<sup>269</sup>. The  
6 presence of such oxygenated functional groups in aged aerosols as compared to fresh particles has  
7 been found to increase their oxidative potential and lower cell viability<sup>270</sup>. Investigations on aged  
8 gasoline exhaust PM at atmospherically relevant inhaled concentrations showed that there were  
9 significant consequences such as increased cell death and impaired pro-inflammatory cytokine  
10 release (such as IL-6, IL-8, and monocyte chemotactic protein (MCP)-1 which are essential in  
11 immunological responses) in BEAS cells and Human Bronchial epithelium<sup>271</sup>. A similar increase  
12 in cytotoxicity was also observed when human epithelial A549 cells were exposed to  
13 photochemically oxidized products of 1,3-butadiene (BD)<sup>272,273</sup> and isoprene<sup>273</sup> compared to the  
14 cases when the cells were exposed to BD and isoprene. Such an increase in toxicity due to aging  
15 was also found for other carbonaceous aerosols such as black carbon. For example, experiments  
16 conducted on A549 cells using oxidized black carbon showed a significant dose-dependent  
17 increase in the expression of the heme oxygenase-1 (HO-1) protein, mitochondrial damage,  
18 activation of apoptosis, and accumulation of autophagy<sup>274</sup>. Similarly, when oxidized black carbon  
19 was exposed to A549 cells co-cultured with THP-1 cells, there was a significant increase in  
20 genotoxicity and immunosuppression<sup>275</sup>.

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45 However, the elevated cellular responses to oxidized particles have not been ubiquitous for all  
46 endpoints. For example, oxidation of soot has been reported to cause at least a 37% decrease in  
47 cell viability as compared to fresh soot, but only a negligible difference in IL-8 response in 16HBE  
48 cells<sup>276</sup>. However, another study using the same cell line found that oxidation of black carbon in  
49 the presence of ozone did not cause any significant change in cell viability<sup>277</sup>. Oxidized carbon  
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3 particles have also been shown to induce greater LDH activity and lower cell proliferation in J774  
4 cells, but they did not induce a significant change in their metabolic activity<sup>278</sup>. In A549 cells, fresh  
5 and oxidized black carbon particles did not differ much in their cytotoxicity, although DNA  
6 damage was higher in the case of fresh particles as compared to oxidized particles<sup>274</sup> and the genes  
7 related to oxidative stress, inflammation, and autophagy were largely different in both cases<sup>279,280</sup>.  
8  
9 Another study conducted using 16HBE cells showed that although cell viability and COMET  
10 length were significantly higher in the case of ozone-oxidized carbon black particles, the ROS  
11 response did not vary significantly as compared to the case of fresh carbon black particles<sup>281</sup>. Such  
12 distinct results could probably be explained by different experimental conditions used in these  
13 studies. For example, the concentrations of particles used in these studies ranged from as low as  
14 10 µg/mL to as high as 2 mg/mL. Moreover, the exposure duration of the cells to particles varied  
15 from 2-48 hours, although most studies maintained an exposure time of 24 hours. Most  
16 importantly, the studies have employed a variety of cell lines which include macrophages and  
17 epithelial cells of both human and murine origin, which further complicates the interpretation of  
18 responses due to the reasons discussed earlier in section 2.1.  
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#### 38 4.2 Secondary Organic Aerosols (SOA)

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41 SOA are important contributors to ambient PM<sub>2.5</sub> mass (7-60%)<sup>282-284</sup> and elevated SOA  
42 concentrations have been linked to increased aerosol toxicity and premature mortality<sup>285,286</sup>. For  
43 example, an increase in the oxidative potential of the ambient PM during the afternoon hours and  
44 in foggy conditions has been attributed to the formation of SOA<sup>287,288</sup>. SOA could have both  
45 biogenic and anthropogenic origin and the presence of specific anthropogenic gaseous pollutants  
46 such as SO<sub>2</sub>, NO<sub>x</sub>, and O<sub>3</sub> could further alter their formation pathways<sup>289-291</sup>. Besides, SOA consists  
47 of a variety of chemical compounds such as alcohols, ketones, aldehydes, organic peroxides, and  
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3 esters, and the chemical composition is dictated by an abundance of precursors and particular  
4 environmental conditions<sup>292,293</sup>. Thus, the complex chemistry of its formation pathways and higher  
5 prevalence in ambient PM emphasizes the importance of evaluating the toxicity of SOA. However,  
6 the toxicity studies related to SOA are scarce and often limited to the most abundantly found  
7 precursors of SOA in ambient environments such as  $\alpha$ -pinene, naphthalene, m-xylene, and  
8 isoprene. Some of these studies include comparisons between anthropogenic and biogenic SOA,  
9 all of which show that anthropogenic SOA causes more oxidative stress in A549, NR8383, and  
10 MH-S cells<sup>294–296</sup> and greater expression of inflammatory cytokines such as IL-6, IL-8, and TNF-  
11  $\alpha$  in MH-S, A549, and BEAS-2B cells<sup>120,294,297</sup> compared to the biogenic SOA. This difference in  
12 the toxicity of the two types of SOA is perhaps due to the presence of more oxidized and aromatic  
13 compounds in SOA of anthropogenic origin as indicated by Offer et al.<sup>298</sup> although more studies  
14 are needed to confirm this hypothesis.

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31 Other studies focusing on specific precursors and their products reveal that a number of factors,  
32 such as RH, precursors of hydroxyl radicals, the presence of oxidative gases such as ozone, and  
33 aging could influence the toxicity of SOA. The effect of various factors on the toxicity of SOA  
34 observed in the studies so far is summarized in Figure 3. Note, one has to be cautious in  
35 generalizing these results because some of these factors have been investigated in a limited number  
36 of studies. For example, only one study has explicitly investigated the effect of RH and it  
37 demonstrated that the production of inflammatory cytokines such as TNF- $\alpha$  and IL-6 in MH-S  
38 cells when exposed to naphthalene and pentadecane SOA was directly proportional to the RH at  
39 which the SOA was formed<sup>294</sup>. Similarly, studies exploring the influence of aging on SOA are also  
40 limited and currently, they provide conflicting results. For example, it has been demonstrated in  
41 some studies that toxicity and cellular ROS production in A549 cells from SOA from  
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3 naphthalene<sup>296,299</sup>,  $\alpha$ -pinene<sup>296,299</sup>, and anisole<sup>300</sup> precursors increased with aging in NO<sub>x</sub>-rich  
4 environments. On the other hand, in a separate study, naphthalene SOA displayed a reduction in  
5 cytotoxicity in BEAS-2B cells, when it was aged in an ozone-rich environment<sup>301</sup>. Similarly, the  
6 cytotoxicity and oxidative stress induced in A549 cells by phenol and guaiacol SOA have also  
7 been shown to decrease with aging (in NO<sub>x</sub>-rich environments) and this reduction was attributed  
8 to the formation of ring-opening products which are relatively less toxic compared to their parent  
9 compounds<sup>302</sup>. Overall, these results show that the toxicity of SOA is dependent on the chemistry  
10 of the reaction products (which are in turn highly influenced by environmental factors) and  
11 perhaps, also on the cell type being used to evaluate the toxicity.  
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24 Since in most of the studies cited above oxidative stress has been speculated as the major pathway  
25 for the toxicity of SOA, detailed information regarding the specific ROS formed during the  
26 interaction of SOA with cells, and the correlation of these ROS with cytotoxicity and inflammatory  
27 responses could help us understand which organic compounds drive SOA toxicity. For example,  
28 Liu et al.<sup>303</sup> found in their investigations on naphthalene SOA that H<sub>2</sub>O<sub>2</sub> was the main ROS driving  
29 oxidative stress in MH-S cells. This information could then be combined with the ability of  
30 different organic compounds to generate H<sub>2</sub>O<sub>2</sub> measured either through cellular or acellular assays  
31 to understand their toxicity. There could also be other factors influencing the toxicity of SOA such  
32 as the oxidation state of carbon which has been shown to be directly proportional to the ROS  
33 response and caspase 3/7 activity of the murine macrophage (MH-S) cells<sup>304</sup>. There is also a need  
34 for more studies including other cell types such as murine epithelial (e.g., MLE-12 and RLE-6TN)  
35 and human macrophage (such as THP-1, U937) cells to confirm whether the observations made  
36 about SOA are not biased by species-specific cellular responses. For assessing the macrophage's  
37 response to SOA exposure, more emphasis should be placed on the induction of oxidative stress  
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3 and phagocytosis as these are crucial characteristics of macrophages. On the other hand, for  
4 epithelial cells, inflammatory response measurements combined with oxidative stress and different  
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6 modes of cell death (apoptosis and necrosis) could provide a more thorough analysis of SOA  
7  
8 toxicity. In addition to these, investigating the effect of SOA on tight junctions of epithelial cells  
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10 using ALI cultures could provide an even more physiologically relevant evaluation of SOA  
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12 toxicity as these properties of epithelial cells are crucial in the transport of PM components to other  
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14 parts of the body<sup>305</sup>. In summary, a more comprehensive and systematic evaluation of particle  
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16 toxicity using a variety of cell lines with different endpoints is required to properly assess the  
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18 cellular responses of SOA formed from different precursors under varied atmospheric conditions.  
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## 25 **5. Conclusions**

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28 In this review, we have discussed various macrophage and epithelial cell models used in PM  
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30 studies and the current state of knowledge on several experimental and environmental factors, such  
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32 as PM extraction procedure, exposure duration and concentration, and aerosol aging that affect  
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34 PM toxicity. We first discussed the differences among various macrophages and epithelial cells of  
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36 human and murine origin belonging to the respiratory system. There are marked genotypic and  
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38 phenotypic differences between different cell lines and conclusions of toxicity should not be based  
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40 on a single cell line, but rather verified with other relevant cell lines. While comparing responses  
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42 of commonly used macrophage cell lines, the effect of polarization induced by the PM needs to be  
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44 considered. Moreover, future studies should also investigate the association between the chemical  
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46 composition of PM and the polarization state induced in different macrophage cell lines.  
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52 Comparison of different cell lines raises two important questions – 1. Do murine macrophages  
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54 provide a suitable model to understand PM effects on human pulmonary system? 2. Within the  
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3 same species, are macrophages more sensitive to PM-induced effects than epithelial cell lines, and  
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5 if such sensitivity is dependent on specific PM components? Since these questions are yet to be  
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7 answered, it would be wise to design PM toxicity experiments based on specific role of the cells  
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9 in the pulmonary system and the relevance of their biological response to the actual function of  
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11 the cell in the human body. For example, the primary function of macrophages is phagocytosis and  
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13 therefore, how efficiently macrophages respond to particle intrusion into the human pulmonary  
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15 system depends on how efficiently they can phagocytose the particles as well as express related  
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17 inflammatory cytokines. Thus, combining oxidative stress measurements in macrophages with  
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19 other cellular responses such as phagocytosis and change in inflammatory responses could help us  
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21 understand the consequences of PM-induced oxidative stress. We recommend the use of epithelial  
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23 cells instead of macrophages for oxidative stress measurement and limit macrophages for  
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25 investigating phagocytosis, inflammatory responses, and other cellular responses such as DNA  
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27 damage and cell death when using monocultures.  
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34 We must also consider the use of co-cultures to study PM toxicity, as co-culture studies could help  
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36 us understand the kinetics of toxicity and progression of cellular responses while considering the  
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38 influence of neighboring cells in inhibiting or enhancing such responses. Thus, unlike  
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40 monocultures (of macrophages and epithelial cells) which could be used to study the direct effects  
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42 of PM, co-culture systems could be used to investigate the indirect effect of PM on one cell type  
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44 (e.g., macrophages) in the presence of some other cell types (e.g., epithelial cells). For example,  
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46 the interactions between macrophages and epithelial cells when exposed to PM suspensions have  
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48 shown that macrophages inhibit the impact of PM exposure on epithelial cells. Thus, it is possible  
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50 to overestimate PM toxicity when relying solely on monocultures. We recommend co-culture (e.g.,  
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52 macrophages + epithelial cells) studies especially when using PM suspensions to obtain a more  
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3 physiologically relevant understanding of PM toxicity. Similarly, 3D co-cultures such as ALI  
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5 cultures could provide a comparatively better evaluation of PM toxicity as they allow epithelial  
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7 cells to develop certain features, such as cilia and tight junctions and an ability to secrete mucus,  
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9 which are absent in monocultures and 2D co-cultures.  
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13 PM exposure techniques also influence the results and robust conclusions cannot be drawn based  
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15 on a single exposure technique. Comparison between different methods and a combination of  
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17 different methods are required to further understand different modes (e.g., particulate vs. soluble)  
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19 of PM toxicity. Currently, in most PM studies, cells are exposed to water or an organic solvent  
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21 extract obtained from filters using sonication or other extraction (e.g., shaking) methodologies.  
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23 Such extraction procedures do not necessarily capture the bioavailability of the PM chemical  
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25 species and thus could produce a distorted picture of PM toxicity. It is also important to consider  
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27 that the solubility of various PM components in pulmonary fluids could differ from their solubility  
28  
29 in water. Moreover, although organic solvents are typically used to capture water-insoluble  
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31 compounds to measure their OP, one could question the physiological relevance of using such  
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33 solvents. If the solubility of PM compounds in organic solvent differs significantly than in the  
34  
35 respiratory fluids, it will also cause an overestimation of the toxicity stemming from the  
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37 compounds, which otherwise would never leach from PM into the respiratory fluid. Thus, there is  
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39 a clear need for better extraction and cellular exposure procedures that can closely replicate the  
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41 fate of PM in our respiratory system. Perhaps the use of a simulated lung fluid, which is not only  
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43 a mixture of antioxidants present in the respiratory tract lining fluid (RTLFL) but is also calibrated  
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45 for parameters such as viscosity, conductivity, surface tension, and density to better mimic  
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47 respiratory exposure, should be explored. Some recent studies have already been using these  
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3 simulated lung fluids to assess the solubility and ultimate fate of common anti-inflammatory drugs  
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5 (such as corticosteroids) in the lungs<sup>306–308</sup>.  
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9 Next, we discussed various chemical species responsible for PM toxicity. Studies investigating the  
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11 role of chemical composition in toxicity have identified two major groups of toxic species, i.e.,  
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13 metals such as Fe, Cu, Mn, Cr, Ni, and Zn, and organic compounds such as PAHs, ketones,  
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15 aliphatic and chlorinated hydrocarbons, and quinones. However, the relative toxicity of these  
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17 species is still a matter of debate. This is partially because most studies so far have relied on a  
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19 simple correlation between concentrations of PM components and cellular responses, which gives  
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21 varied results due to several reasons. First, differential solubilities of PM components in the  
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23 exposure medium could lead to varied cellular responses. Second, certain cells may lack the ability  
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25 to activate receptors such as AhR which are essential for biotransformation of compounds like  
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27 PAHs. In such cases, the lack of a cellular response does not mean PM is less toxic because it can  
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29 exert equivalent toxicity in the presence of the relevant cells carrying AhR, rather indicates the  
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31 need for further evaluation. Third, the toxicity of a given species could be enhanced (synergistic  
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33 interaction) or inhibited (antagonistic interaction) by the presence of other species. Few studies  
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35 have shown the strong interactions among species such as metals (Fe, Al, Cr, Pb, and Zn) and  
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37 organic compounds. There could be more interactions, however, currently, there is a lack of studies  
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39 which have explored this in detail. Therefore, various PM chemical species should not only be  
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41 evaluated individually for toxicity but also in mixtures. Synthetic mixtures may be prepared with  
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43 pure solutions of chemical species at ambient concentrations and analyzed for toxicity to  
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45 parametrize the intrinsic toxicities of various PM components and their interactions to yield net  
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47 toxicity. Moreover, it is also important that the exposure duration and PM concentrations used in  
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3 cellular toxicity studies be standardized as per the reaction kinetics and toxicity thresholds of  
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5 different chemical species for various cell lines.  
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8 Finally, we discussed the role of atmospheric processing, primarily aging in modifying the toxicity  
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10 of aerosols. The effect of aging on PM toxicity is unclear as the *in-vitro* studies using macrophages  
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12 and epithelial cells show contradictory results, which could also be due to different SOA products  
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14 formed as a result of relative humidity and the presence of oxidants (O<sub>3</sub>, NO<sub>x</sub>, and NH<sub>3</sub>) in the  
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16 SOA chamber in these studies. Moreover, SOA studies so far have focused on limited types of  
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18 macrophages (only murine cell lines: MH-S and NR8383) and epithelial (only human cell lines:  
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20 A549 and BEAS-2B) cell lines, thus making it difficult to ascertain if the diversity in results is due  
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22 to cell-specific responses or aging-induced changes in the PM chemical composition. More  
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24 systematic studies need to be performed using a variety of precursors while applying different  
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26 aging techniques and using the same cell lines to investigate the effects of aging on the resultant  
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28 toxicity of PM.  
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34 In conclusion, a more nuanced approach that adopts physiologically relevant cell models and PM  
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36 exposure techniques, and interactive effects of different chemical components as PM ages, is  
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38 necessary for a more advanced understanding of the cellular toxicological effects of PM.  
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#### 42 **Conflicts of interest**

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45 There are no conflicts to declare.  
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**Table 1:** Various cellular responses and techniques to measure them

Cellular response	Endpoint	Technique	Assay or Method
Oxidative stress	ROS measurements	Fluorescence-based	DCFH-DA and its many forms, Dihydroethidium (DHE), Amplex Red,
		Electron paramagnetic resonance/Electron paramagnetic spin resonance	Cyclic hydroxylamine spin probes, pyrroline-based cyclic nitrones
		Chemiluminescence-based	Luminol and lucigenin assays
	Antioxidant measurements	GSH depletion measurement	Monochlorobimane assay, o phthaldialdehyde assay, High performance chromatography (HPLC) assay
		Antioxidant enzyme activity	Superoxide dismutase (SOD) assay, catalase activity assays, peroxidase activity assays, glutathione reductase activity assays
Inflammation	Chemokine and cytokine production	Antibody specificity measurement	Enzyme-linked immunosorbent assay (ELISA)
		mRNA transcript expression measurement	Quantitative polymerase chain reaction (Q-PCR)
		Flow cytometry	Cytometric beads
	Nitric Oxide (NO) production	Colorimetric-based	Griess assay
		Chemiluminescence based	Ozone assay, luminol assay, luciferin-luciferase assay,
		Fluorescence-based	2,3-diaminonaphthalene (DAN) assay, diaminofluoresceins (DAF) assay, DAF-2-DA assay, Copper-based probes
		Other techniques	Electrochemical method, Gas chromatography, Electron paramagnetic resonance/Electron paramagnetic spin resonance
Cell death	Cell Viability	Metabolic activity	MTT, XTT, MTS, WST,



			ATP, resazurin reduction assays
		Membrane integrity	LDH assay
		Dye uptake and pH gradient maintenance	NRU assay
		Cell adherence	Crystal violet assay
		Dye exclusion	Trypan blue assay
		Protease activity	Glycylphenylalanyl aminofluorocoumarin; GF AFC assay, Western blot
	Apoptosis	Flow cytometry	Fluorescein Isothiocyanate (FITC) Annexin V, Hoechst dye, monitoring the cell size,
		Caspase detection	Caspase 3/8 Assay, caspase activity assay,
		Mitochondrial detection	Cytochrome C Assay, Mitochondrial Membrane Potential assay, JC-1 dye based assay
	Necrosis	Membrane integrity	LDH assay, propidium iodide assay
Mutagenicity and genotoxicity	DNA damage	Microgel electrophoresis	COMET assay
		DNA double-stranded break detection	$\gamma$ H2AX assay
		Micronucleus formation	Micronucleus assay
		structural chromosomal abnormalities	Chromosomal aberration tests

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**Table 2:** Different macrophage and epithelial cell lines of both human and murine origin

<b>Cell line</b>	<b>Species</b>	<b>Cell type</b>	<b>Cancerous origin</b>	<b>Representative of</b>
16HBE14o-	Human	Epithelial	No	Bronchial epithelial cells
A-427	Human	Epithelial	Yes	General lung epithelial cells
A549	Human	Epithelial	Yes	Alveolar Type-II epithelial cells
BEAS-2B	Human	Epithelial	No	Bronchial epithelial cells
Calu-1	Human	Epithelial	Yes	Bronchial epithelial cells
Calu-3	Human	Epithelial	Yes	Bronchial epithelial cells
Calu-6	Human	Epithelial	Yes	Bronchial epithelial cells
hAELVi	Human	Epithelial	No	Alveolar epithelial cells
HCC-827	Human	Epithelial	Yes	General lung epithelial cells
HLC-1	Human	Epithelial	Yes	General lung epithelial cells
LC-2/ad	Human	Epithelial	Yes	General lung epithelial cells
NCI-H1299	Human	Epithelial	Yes	General lung epithelial cells
NCI-H1975	Human	Epithelial	Yes	General lung epithelial cells
NCI-H292	Human	Epithelial	Yes	General lung epithelial cells
NCI-H358	Human	Epithelial	Yes	Epithelial cells of the bronchioles
NuLi-1	Human	Epithelial	No	Bronchial epithelial cells
PC-14	Human	Epithelial	Yes	General lung epithelial cells
RPMI 2650	Human	Epithelial	Yes	Nasal epithelial cells
THP-1	Human	Macrophage	Yes	Blood monocyte-derived macrophages
U937	Human	Macrophage	Yes	Blood monocyte-derived macrophages
J774	Murine	Macrophage	Yes	Tissue-dwelling macrophages
MH-S	Murine	Macrophage	No	Alveolar macrophages
MLE-12	Murine	Epithelial	No	Both bronchial and alveolar epithelial cells
NR8383	Murine	Macrophage	No	Alveolar macrophages
RAW 264.7	Murine	Macrophage	Yes	Bone-marrow derived macrophages
RLE-6TN	Murine	Epithelial	No	Alveolar epithelial cells

**Table 3:** Elemental species showing strong associations with various cellular responses in ambient PM studies. The shaded cells here represent the metals which have shown a strong association with a particular cellular response in a particular *in-vitro* study. Unshaded cells indicate that no strong correlation was found between a particular elemental species and the corresponding cellular response. The information about the cell line used in the study has also been included. Here, only those studies are included, which have explicitly determined the correlation of cellular responses with the concentration of metals in ambient PM. Criteria chosen for strong association was  $r > 0.5$  and  $p < 0.05$ .

Cellular Response	Fe	Cu	Mn	Zn	Al	Pb	Cr	Ni	As	Sr	Ba	V	Cd	Co	Se	Br	Ca	Mg	Cell line	Reference	
Cell death																			RAW264.7	Lyu et al. <sup>122</sup>	
																			BEAS-2B	Yang et al. <sup>216</sup>	
																			A549	Zhang et al. <sup>217</sup>	
																			A549	Das et al. <sup>219</sup>	
Inflammation																			RAW264.7	Lyu et al. <sup>122</sup>	
																			BEAS-2B	Låg et al. <sup>309</sup>	
																			BEAS-2B	Shao et al. <sup>211</sup>	
																			BEAS-2B	Yang et al. <sup>216</sup>	
																			A549	Zhang et al. <sup>217</sup>	
																			A549	Huang et al. <sup>220</sup>	
																			NR8383	Hamad et al. <sup>310</sup>	
Oxidative Stress																			BEAS-2B and THP-1	Cao et al. <sup>311</sup>	
																			RAW264.7	Lyu et al. <sup>122</sup>	
																			BEAS-2B	Låg et al. <sup>210</sup>	
																			A549	Sun et al. <sup>215</sup>	
																			BEAS-2B	Yang et al. <sup>216</sup>	
																			A549	Zhang et al. <sup>217</sup>	
																			A549	Niu et al. <sup>218</sup>	
																			A549	Das et al. <sup>219</sup>	
																			A549	Huang et al. <sup>220</sup>	
																			A549	Sun et al. <sup>221</sup>	
																				NR8383	Hamad et al. <sup>310</sup>
																				NR8383	Wang et al. <sup>229</sup>
																				NR8383	Wang et al. <sup>312</sup>
Genotoxicity																			BEAS-2B	Yang et al. <sup>216</sup>	
																			A549	Barzgar et al. <sup>313</sup>	

**Table 4:** PM extract Dose and exposure duration used in various studies evaluating cellular responses to ambient PM. Only those studies which have explicitly mentioned mass concentrations of PM extracts are included here. WS = Water soluble, WIS = water insoluble, DCM = dichloromethane, Hex = Hexane and Meth = Methanol.

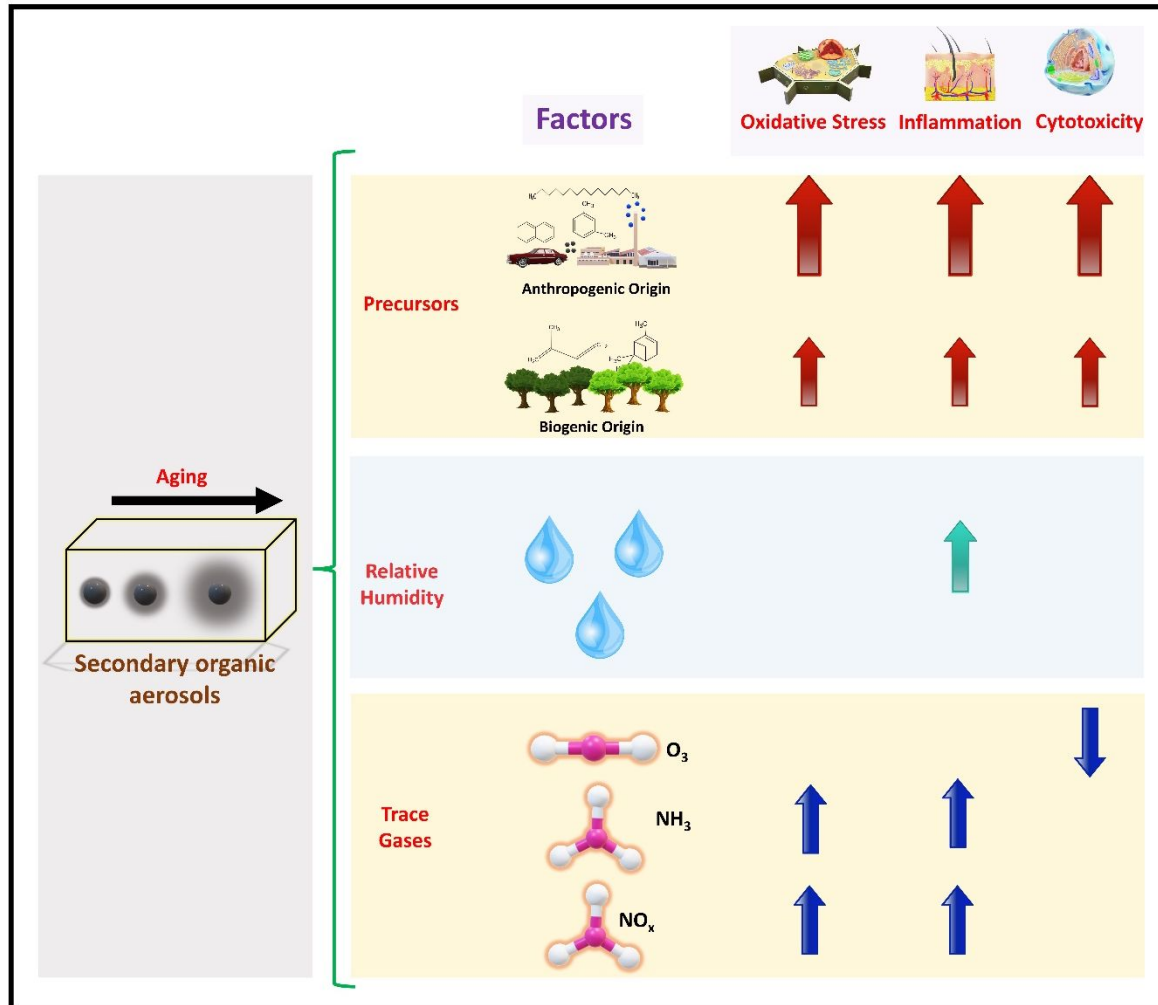
Study	Cellular Response	Cell Type	Dose	Exposure Duration	Exposure Condition
Knaapen et al. <sup>194</sup>	DNA damage	A549	5 and 20 $\mu\text{g}/\text{cm}^2$	3 h	WS extracts
Zou et al. <sup>195</sup>	cell viability cellular ROS	A549	50-400 $\mu\text{g}/\text{mL}$	2-48 h	WS and WIS extracts
An et al. <sup>197</sup>	inflammation	THP-1	50 $\mu\text{g}/\text{mL}$	12 h	WS and DCM extracts
Yi et al. <sup>198</sup>	DNA damage and apoptosis	A549	10 $\mu\text{g}/\text{mL}$	24 h	WS and WIS extracts
Ma et al. <sup>110</sup>	inflammation	A549, BEAS-2B	16.8-90.9 $\mu\text{g}/\text{cm}^2$	72 h	WS and DCM extracts
Ma et al. <sup>200</sup>	Apoptosis	A549, BEAS-2B	16.8-90.9 $\mu\text{g}/\text{cm}^2$	72 h	WS and DCM extracts
Mouffareet al. <sup>201</sup>	Oxidative stress, inflammation	BEAS-2B	24 $\mu\text{g}/\text{cm}^2$	48 h	WS and DCM extracts
Mohseni Bandpi et al. <sup>203</sup>	DNA damage	A549	25-100 $\mu\text{g}/\text{mL}$	24 h	WS and DCM extracts
Lai et al. <sup>204</sup>	Oxidative stress, cytotoxicity	A549	50 and 240 $\mu\text{g}/\text{mL}$	5 and 24 h	WS and DCM extracts
Franzi et al. <sup>207</sup>	inflammation	RAW264.7	1 $\text{mg}/\text{mL}$	0.5-24 h	WS extracts
Gualtieri et al. <sup>111</sup>	inflammation	A549, BEAS-2B	25 $\mu\text{g}/\text{cm}^2$	24 h	WS extracts
Schwarze et al. <sup>208</sup>	inflammation	A549	0-120 $\mu\text{g}/\text{cm}^2$	24 h	PM suspension
Thomson et al. <sup>209</sup>	inflammation, cytotoxicity	A549, J774	0-300 $\mu\text{g}/\text{cm}^2$	4 and 24 h	Meth extracts
Chen et al. <sup>212</sup>	Oxidative stress, cytotoxicity, inflammation	A549	0-400 $\mu\text{g}/\text{mL}$	48 h	WS extracts

**Table 4 (Contd.):**

<b>Study</b>	<b>Cellular Response</b>	<b>Cell Type</b>	<b>Dose</b>	<b>Exposure Duration</b>	<b>Exposure Condition</b>
Perrone et al. <sup>213</sup>	cytotoxicity, DNA damage, inflammation	A549	12 µg/cm <sup>2</sup>	24 h	WS extracts
Yang et al. <sup>214</sup>	cell cycle arrest	HBE	1 µg/mL	24 h	WS extracts
Lyu et al. <sup>122</sup>	oxidative stress, cytotoxicity, inflammation	A549, RAW264.7	50-400 µg/mL	24 and 45 h	WS extracts
Yang et al. <sup>216</sup>	oxidative stress, inflammation	BEAS-2B	0-300 µg/mL	12-72 h	WS extracts
Zhang et al. <sup>217</sup>	cytotoxicity, inflammation	A549	80 µg/mL	24 h	WS extracts
Das et al. <sup>219</sup>	Inflammation, oxidative stress	A549	100-1100 µg/mL	24 h	WS extracts
Huang et al. <sup>220</sup>	cytotoxicity, DNA damage	A549	80 µg/mL	24 h	WS extracts
Liu et al. <sup>223</sup>	cytotoxicity	16HBE	10-800 µg/mL	48 h	WS extracts
Landkocz et al. <sup>224</sup>	cytotoxicity	BEAS-2B	1.25-80 µg/cm <sup>2</sup>	24-72 h	WS and DCM extracts
Palleschi et al. <sup>225</sup>	cytotoxicity	A549	500 µg/mL	24 h	WS extract
Saint-Georges et al. <sup>226</sup>	cytotoxicity, oxidative stress	Human alveolar macrophages	18.84-150.72 µg/mL	24-72 h	WS extract
Longhin et al. <sup>227</sup>	cytotoxicity, oxidative stress, DNA damage	A549, THP-1	10 µg/cm <sup>2</sup>	24 h	WS extract
Wang et al. <sup>312</sup>	oxidative stress	NR8383	30 µg/mL	2.5 h	WS extracts
Wang et al. <sup>230</sup>	oxidative stress	NR8383	30 µg/mL	2.5 h	WS extracts
Zhang et al. <sup>232</sup>	inflammation	THP-1	5 µg/mL	24 h	WS extracts

**Table 4 (Contd.):**

<b>Study</b>	<b>Cellular Response</b>	<b>Cell Type</b>	<b>Dose</b>	<b>Exposure Duration</b>	<b>Exposure Condition</b>
Hetland et al. <sup>234</sup>	inflammation	primary rat alveolar macrophages	2 mg/mL	20 h	Meth extracts
den Hartigh et al. <sup>235</sup>	inflammation, oxidative stress	THP-1	50 µg/mL	3 h	WS extracts
Li et al. <sup>237</sup>	oxidative stress	RAW264.7, BEAS-2B	50 µg/mL	16 h	Water suspension
Billet et al. <sup>238</sup>	cytotoxicity	A549	18.84-150.72 µg/mL	24-72 h	WS extracts
Abbas et al. <sup>239</sup>	cytotoxicity, inflammation, genotoxicity, cell cycle regulation	BEAS-2B	1-30 µg/cm <sup>2</sup>	6-72 h	DCM extracts
Chen et al. <sup>241</sup>	cytotoxicity, oxidative stress, inflammation	A549	80 µg/mL	24,48 h	DCM-Hex extracts
Li et al. <sup>243</sup>	oxidative stress, inflammation	BEAS-2B	50-200 µg/mL	24 h	Meth extracts
Xing et al. <sup>244</sup>	oxidative stress	BEAS-2B	200 µg/mL	24 h	WS extracts
Oh et al. <sup>245</sup>	oxidative stress, DNA damage	BEAS-2B	1-50 µg/mL	24 h	DCM-Hex, DCM-Meth, DCM, Meth extracts
Niu et al. <sup>251</sup>	cytotoxicity	A549	50 µg/mL	24 h	WS extracts



**Figure 1:** Effects of some important factors influencing the toxicity of SOA generated in laboratory environmental chambers and flow reactors observed in the studies so far. The up-arrow shows that the particular effect increases with increasing level of that factor, while down-arrow shows that the effect decreases with increasing level. The difference in relative size of the arrows for the effects of biogenic vs. anthropogenic precursors indicates a higher degree of the effect from anthropogenic SOA than biogenic. The effect of relative humidity shown in this figure is based on a single study.

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- No primary research results, software or code have been included and no new data were generated or analyzed as part of this review.