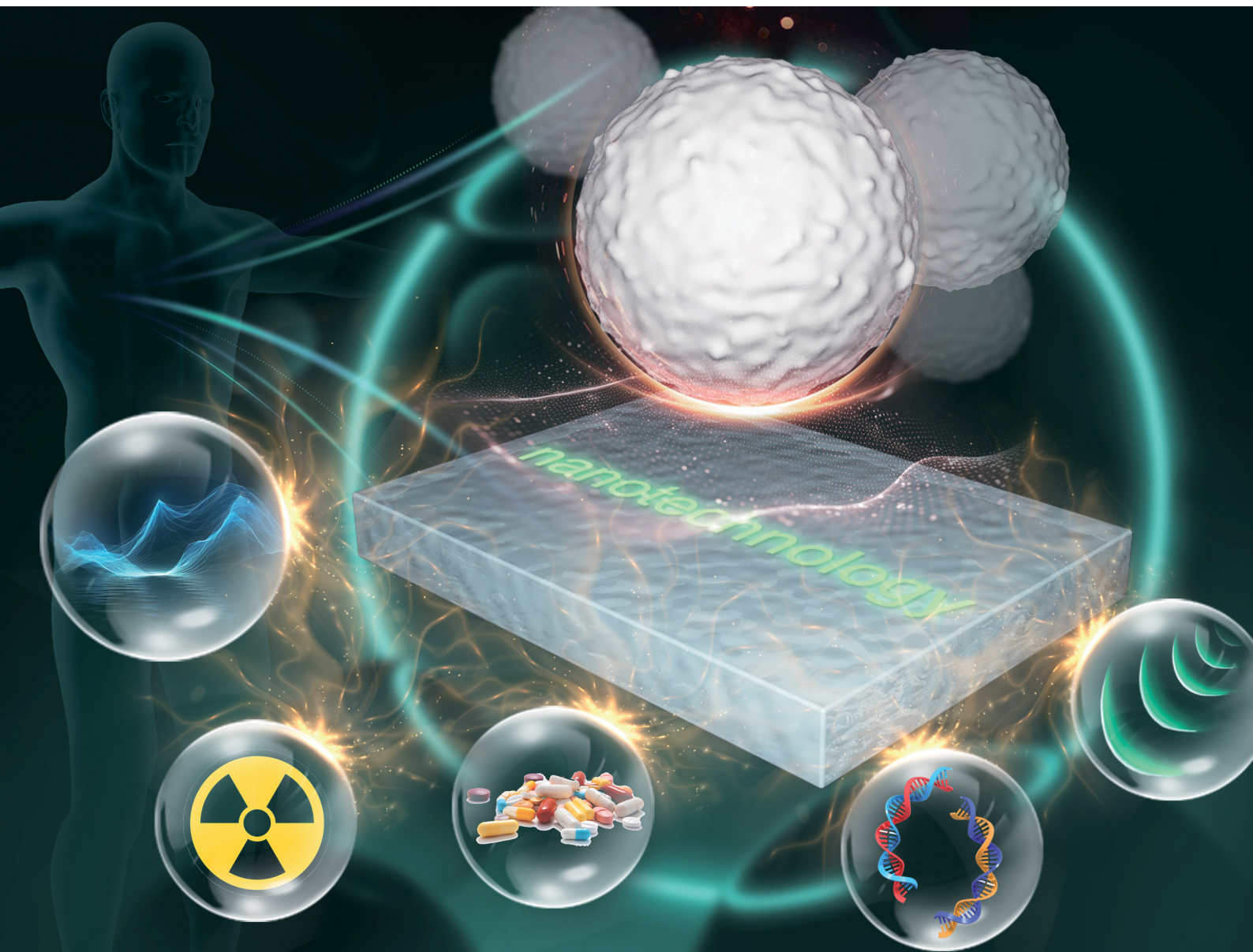


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**TUTORIAL REVIEW**

Jianhua Zou, Zhengwei Mao, Xiaoyuan Chen *et al.*  
Advancing nanotechnology for neoantigen-based cancer  
theranostics



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# Advancing nanotechnology for neoantigen-based cancer theranostics

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Neoantigens play a pivotal role in the field of tumour therapy, encompassing the stimulation of anti-tumour immune response and the enhancement of tumour targeting capability. Nonetheless, numerous factors directly influence the effectiveness of neoantigens in bolstering anti-tumour immune responses, including neoantigen quantity and specificity, uptake rates by antigen-presenting cells (APCs), residence duration within the tumour microenvironment (TME), and their ability to facilitate the maturation of APCs for immune response activation. Nanotechnology assumes a significant role in several aspects, including facilitating neoantigen release, promoting neoantigen delivery to antigen-presenting cells, augmenting neoantigen uptake by dendritic cells, shielding neoantigens from protease degradation, and optimizing interactions between neoantigens and the immune system. Consequently, the development of nanotechnology synergistically enhances the efficacy of neoantigens in cancer theranostics. In this review, we provide an overview of neoantigen sources, the mechanisms of neoantigen-induced immune responses, and the evolution of precision neoantigen-based nanomedicine. This encompasses various therapeutic modalities, such as neoantigen-based immunotherapy, phototherapy, radiotherapy, chemotherapy, chemodynamic therapy, and other strategies tailored to augment precision in cancer therapeutics. We also discuss the current challenges and prospects in the application of neoantigen-based precision nanomedicine, aiming to expedite its clinical translation.

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## Key learning points

1. Neoantigens can be generated from single-nucleotide variants, gene fusions, insertions or deletions, splice mutations, mitochondrial DNA mutations and viruses.
2. The combination of nanotechnology and neoantigens can facilitate neoantigen release, promote neoantigen delivery to APCs, augment neoantigen uptake, shield neoantigens from protease degradation, and optimize interactions between neoantigens and the immune system.
3. Different therapeutic modalities (e.g., PDT, PTT, RT, CT, and CDT) can induce ICD to release tumor-specific antigens (TSAs) that can be internalized and cross-presented by APCs, thus promoting the maturation of DCs to activate the immune responses and inhibit tumor recurrence and metastasis.

## 1. Introduction

Cancer represents a significant global public health challenge<sup>1,2</sup> and approximately 19 to 20 million individuals

receive a cancer diagnosis worldwide, leading to around 10 million cancer-related deaths annually.<sup>2</sup> Existing cancer treatments include chemotherapy (CT), surgery, radiotherapy (RT), and immunotherapy (IT).<sup>3</sup> CT can activate various signaling

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pathways and promote the release of inflammatory agents.<sup>4</sup> Inflammation, however, serves as an innate immune response, inhibiting tumour growth but also creating conditions favorable for tumour promotion and recurrence.<sup>4</sup> Surgical resection is the preferred approach for treating locally malignant solid tumours.<sup>5</sup> However, it can potentially accelerate tumour recurrence or metastasis by stimulating residual tumour cell growth.<sup>5</sup> RT inflicts macromolecular damage that hampers tumour cell proliferation while affecting non-malignant cells.<sup>6</sup> Nevertheless, RT can activate multiple cytoprotective pathways, reducing its overall therapeutic efficacy.<sup>6</sup> Immune checkpoint molecules, such as programmed cell death ligand 1 (PD-L1), are not exclusive to tumour cells; they are also expressed in normal tissues like the liver, skin, lung, intestine, and endocrine

glands, leading to immune-related adverse events during immune checkpoint-based immunotherapy. Additionally, only a small percentage of cancer patients exhibit immune checkpoint expression.<sup>7</sup> This differential expression across tumours diminishes the effectiveness of immune checkpoint inhibitors (ICIs) when used as monotherapy. Furthermore, a notable challenge faced by current cancer therapies is their limited ability to specifically target tumours. Therapeutic agents often struggle to accumulate effectively within tumour tissues, resulting in suboptimal therapeutic outcomes. Therefore, ongoing efforts are necessary to explore innovative therapeutic approaches.

Neoantigens usually originate from somatic mutations in cancer cells and virus-driven antigens, and the quantity and forms of mutations vary with cancer types.<sup>7–9</sup> The somatic



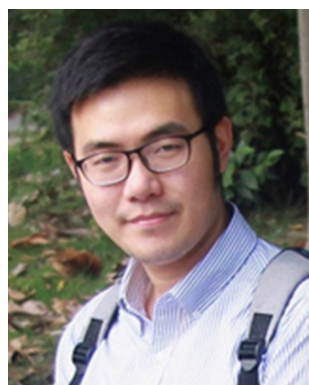
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**Yuanbo Pan**

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**Zhengwei Mao**

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mutations mainly include single nucleotide variants (SNVs), insertions or deletions (INDEL), gene fusions (GFs), splice mutations and mitochondrial DNA (mtDNA) mutations.<sup>8–10</sup> The operational principle of neoantigens can be generally outlined as follows: the tumour microenvironment (TME) contains an infiltrate of immune cells, primarily antigen-presenting cells (APCs), such as B cells, macrophages, and dendritic cells (DCs).<sup>8</sup> Neoantigens are initially taken up by APCs through various ways, including the macropinocytosis of soluble antigens, the phagocytosis of tumour cells by tumour-associated macrophages, the receptor-mediated uptake of apoptotic vesicles by DCs, or the ingestion of immunocomplexes through fragment crystallizable receptors.<sup>8</sup> As a result, these mature DCs activate CD4<sup>+</sup> and cytotoxic CD8<sup>+</sup> T cells specific to the neoantigens, thereby triggering an immune response.<sup>11–16</sup> Neoantigens trigger T-cell responses that are specific to the tumour, bypassing the tolerance mechanisms that typically apply to self-epitopes. This mechanism ultimately amplifies the effectiveness of tumour therapy.<sup>9</sup> Furthermore, the sustained presence of neoantigen-specific T-cell responses and their ability to establish immunological memory post-therapy offer the potential for long-term prevention of tumour recurrence.<sup>9</sup> Additionally, neoantigens based on the tumour cell membrane exhibit significant homologous targeting ability in the process of tumour therapy.<sup>17</sup> Cancer with genetic diversity not only presents differences between individuals but also intensifies as the disease advances.<sup>18</sup> Consequently, the effectiveness of standard treatment approaches varies significantly from one patient to another, underscoring the pressing need for precision therapy. The specificity of neoantigens holds promise for personalized tumour treatment, offering tremendous potential for precision therapy.<sup>19</sup> Therefore, there is an urgent demand for precision neoantigen-based therapies for curbing tumour growth, preventing recurrence, and halting metastasis.



**Xiaoyuan Chen**

*Xiaoyuan Chen received his PhD in chemistry from the University of Idaho (USA) in 1999. After being a faculty member at the University of Southern California (2002) and Stanford University (2004), he became the senior investigator and lab chief in the National Institutes of Health (2009). He is currently Nasrat Muzayyin Chair Professor in Yong Loo Lin School of Medicine, National University of Singapore. His research interests focus on*

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Recently, the development of nanotechnology has played a significant role in improving the efficacy of precision neoantigen-based cancer theranostics.<sup>20</sup> The benefits of utilizing nanotechnology in neoantigen-based therapies are primarily evident in the following aspects: first, nanomaterials enhance the spontaneous release of endogenous neoantigens during tumour therapy.<sup>21,22</sup> They facilitate the efficient delivery of neoantigens to APCs and enhance their uptake by DCs.<sup>23,24</sup> In addition, nanomaterials provide protection to neoantigens, preventing their degradation and thereby bolstering anti-tumour immune responses.<sup>21,24</sup> Last but not least, they can extend the interaction between neoantigens and the immune system.<sup>17,25,26</sup> The latest advances in the discovery and research of neoantigens has expedited the development of tumour therapeutics, including cancer vaccines, antibody-based therapeutics, and adoptive cell therapies, especially for malignant solid tumours, which have significantly enhanced the anti-tumour efficacy.<sup>27–29</sup> Typically, Zhao *et al.* constructed MPO nanovaccines to promote the delivery of exogenous neoantigens to lymph nodes (LNs), improve cross-presentation of exogenous neoantigens and lysosomal escape, boost immunogenic cell death (ICD) to release endogenous tumour neoantigens as well as repolarize macrophages to an M1 phenotype.<sup>30</sup> To enhance the efficacy of precision neoantigen-based therapy, Xu *et al.* constructed F<sub>13</sub>-PEI/Mem based on fluoroalkane-grafted cationic polymer F-PEI (fluoroalkane-grafted polyethyleneimine), which effectively prevented post-surgery tumour relapse and metastasis in combination with ICB.<sup>31</sup> Overall, rapidly advancing nanotechnology is of tremendous significance.

This tutorial review provides an overview of neoantigen sources and their mechanisms as tumour therapeutics. It also highlights advanced nanotechnology for different therapeutic modalities, including phototherapy, radiotherapy, chemotherapy, immunotherapy and other therapies (Fig. 1). The working mechanisms have also been summarized, encompassing the promotion of neoantigen release, safeguarding neoantigen integrity, enhancing targeted neoantigen delivery, and extending neoantigen efficacy within the tumour microenvironment for precision neoantigen-based therapy. The design and preparation of nanomaterials have been summarized. Furthermore, it addresses the current challenges in neoantigen-based therapy and outlines potential future prospects in the clinic.

## 2. Sources of cancer neoantigens and the mechanisms of neoantigen-induced immune responses against cancer

Neoantigens originate from somatic mutations that are specific to tumour cells, expressing genes that are absent in normal tissue genomes.<sup>32,33</sup> These mutations give rise to tumour-specific neoepitopes presented on major histocompatibility complex class I (MHC I) molecules.<sup>8</sup> Tumour-specific neoepitopes, displayed by MHC I, can be recognized by tumour-infiltrating lymphocytes





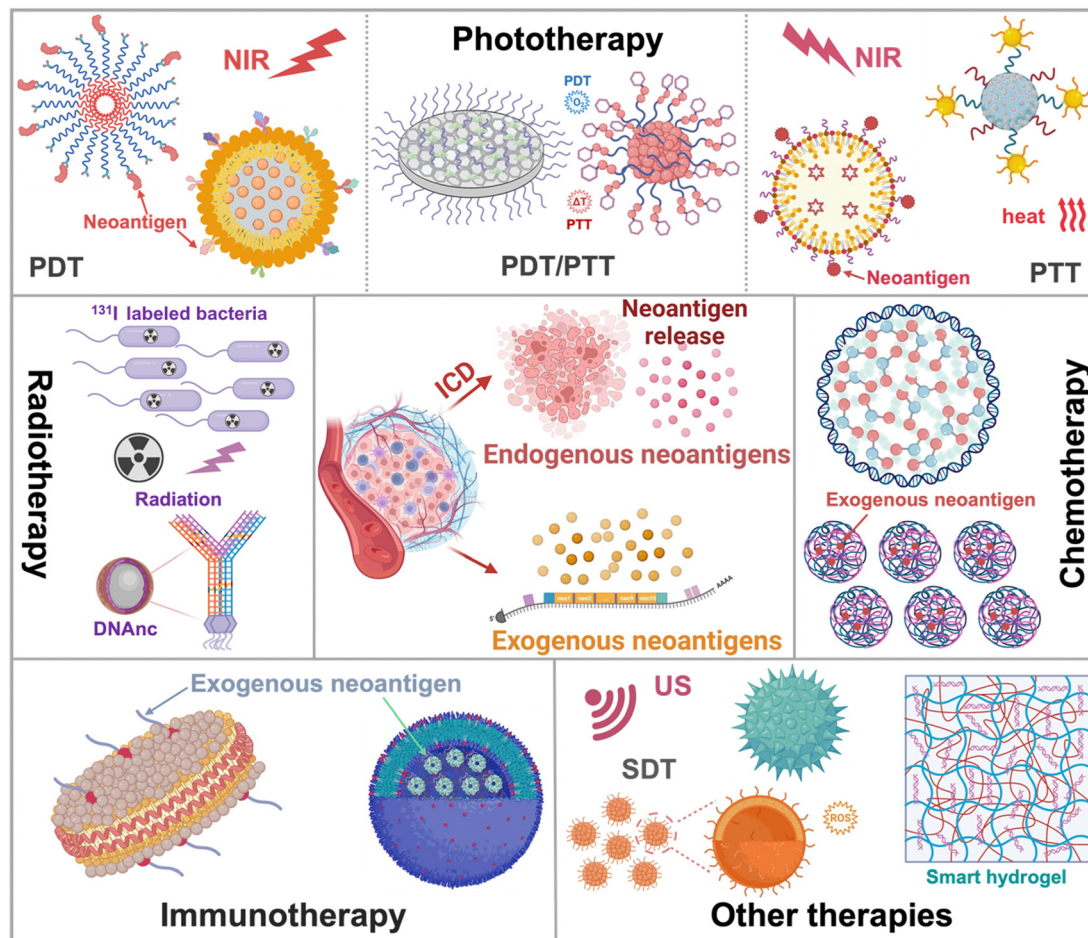


Fig. 1 Schematic illustration of developing nanotechnology for neoantigen-based precision therapy, including photodynamic therapy, photothermal therapy, radiotherapy, chemotherapy, immunotherapy, and other therapies.

(TILs) in individuals with cancer and represent appealing targets for adoptive T-cell therapy.<sup>34</sup> In this section, we will delineate the diverse sources of cancer neoantigens, including single-nucleotide variants, gene fusion mutations, insertion or deletion mutations, splice mutations, and mitochondrial DNA mutations. Additionally, we will explore the mechanisms behind neoantigen-induced immune responses, aiming to achieve precision in cancer therapeutics.

## 2.1 Sources of cancer neoantigens

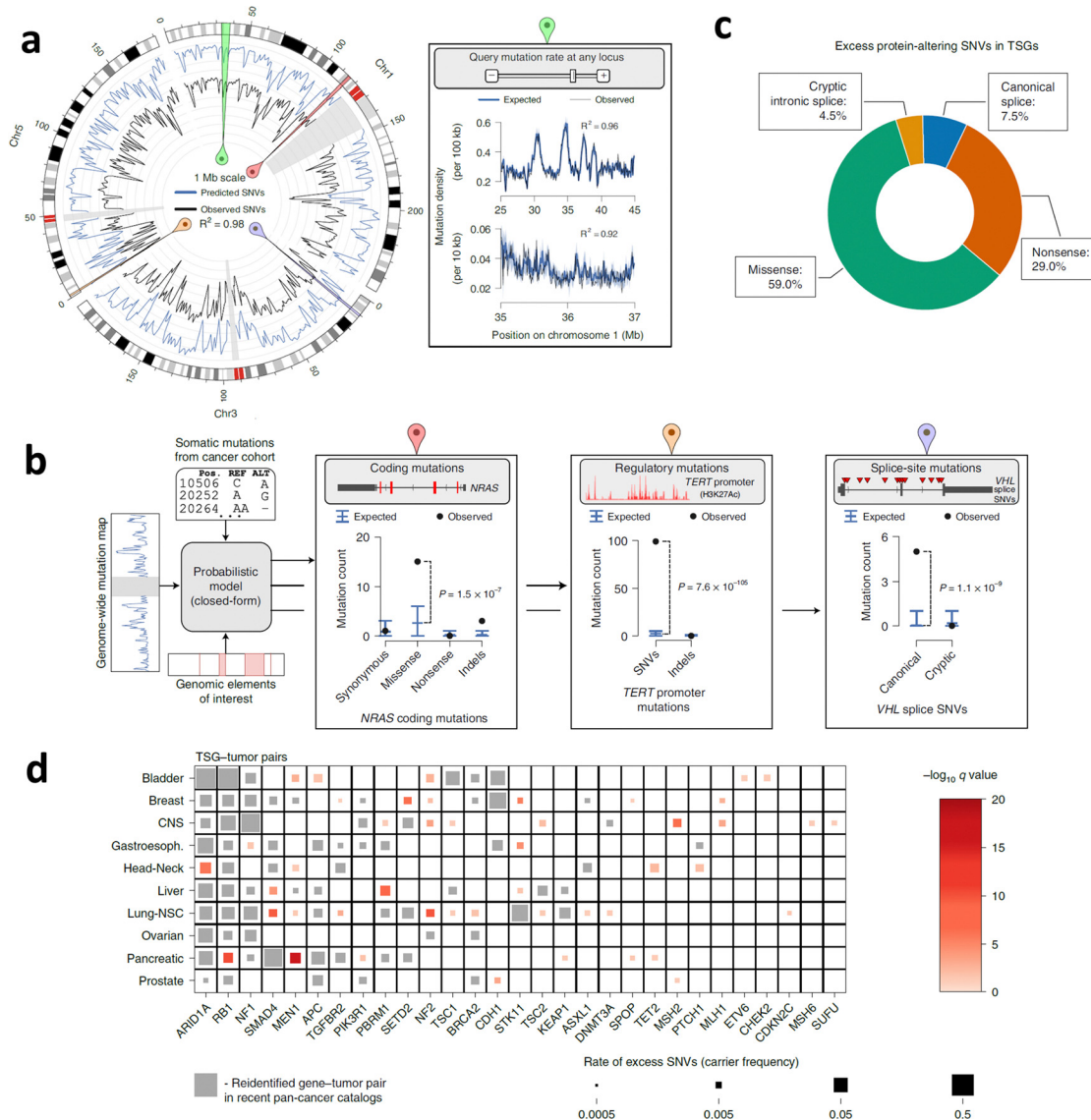
Neoantigens emerge because of non-synonymous somatic mutations, which encompass various genetic alterations such as single-nucleotide variants, gene fusions, insertions or deletions, splice mutations, and mitochondrial DNA mutations.<sup>29,35–37</sup> These mutations become expressed within cancerous cells due to the genomic instability characteristic of cancer. This instability leads to the accumulation of non-synonymous somatic genetic changes, ultimately giving rise to tumour-specific mutated peptides, commonly referred to as tumour-specific neoantigens.<sup>38</sup> Genes with a higher burden of mutation-derived neoantigens exhibit a greater diversity and frequency of non-synonymous somatic mutations.<sup>39</sup> Furthermore, tumour

neoantigens can also arise from mutations resulting from viral integration events within tumour cells.<sup>29</sup> In this part, the different sources of neoantigens will be discussed.

**2.1.1 Single nucleotide variations (SNVs).** Numerous SNVs associated with human traits and genetic disorders are known to modulate the function of existing regulatory elements.<sup>40,41</sup> SNVs are point mutations occurring at specific positions in the genome.<sup>41</sup> The majority of neoantigens originate from SNVs, leading to non-synonymous alterations in proteins and subsequently eliciting antigen-specific T cell responses that inhibit tumour growth.<sup>35</sup>

In the Pan-Cancer Analysis of Whole Genomes (PCAWG) database, the median variance in SNV rates, observed in 1 million base pair (Mb) windows across thirty-seven different cancers, was 94.6% (Fig. 2a).<sup>42</sup> Positive selection detected mutations in the Neuroblastoma RAS viral (v-ras) cancer gene homolog (NRAS) coding region, noncoding mutations in the telomerase reverse transcriptase (TERT) promoter, and splice site SNVs in Von Hippel-Lindau (VHL) (Fig. 2b). Overall, intronic recessive splicing SNVs accounted for 4.5% of excess (potentially driver) SNVs in tumour suppressor genes (TSGs), a magnitude similar to the 7.4% observed for canonical spliced





**Fig. 2** SNVs across cancer types and neoantigen predictions. (a) The SNV map of whole genome neutrophils and the SNV density observed in the 1 Mb window of the PCAWG queue ( $n = 2279$  cases). (b) The illustrations of burden testing for coding mutations in NRAS, noncoding mutations in TERT promoters, and SNV splicing sites in VHL employing the PCAWG dataset. (c) The ratio of excessive SNVs in TSGs was contributed by each protein changing the SNV classification. (d) TSG-tumour matched pairs have a remarkable encumbrance of carcinogenic or protein-truncation SNVs. Reproduced with permission from ref. 42. Copyright 2022, Springer Nature Ltd.

SNVs (Fig. 2c). Additionally, there was a significant co-occurrence of SNVs in TSGs and oncogenic or protein-truncated SNVs (Fig. 2d).<sup>42</sup>

**2.1.2 Gene fusion mutations.** Gene fusions are commonly found in various types of human neoplasms, occurring with differing frequencies in both hematologic and solid tumours.<sup>43–46</sup> These gene fusions emerge when segments of two genes become linked together due to DNA rearrangements caused by processes like deletions, translocations, or chromosomal inversions. This process has the potential to generate fused immunogenic neoantigens.<sup>36,43,47</sup> For example, Weber and his research team conducted a study to assess the prevalence and association of gene fusions (GFs) as a novel category of epitopes.<sup>46</sup> They utilized the EasyFuse tool to

predict the presence of GFs in a substantial collection of 57 freshly frozen breast cancer specimens. The median number of predicted fusion neoantigens was 1246 per sample, highlighting the widespread occurrence of these gene fusions in breast cancer. Additionally, Yang and colleagues have demonstrated that GFs serve as the source of immunogenic neoantigens capable of eliciting immune responses in the context of immunotherapy.<sup>44</sup>

Through the application of whole-genome sequencing (WGS) and RNA sequencing (RNA-seq), researchers identified an original GF in head and neck squamous cell carcinoma (HNSCC). This GF was proven to generate neoantigens that effectively trigger cytotoxic T cell responses.<sup>44</sup> In the WGS analysis of 28 frozen tissues from primary HNSCC tumours, a



low rate of non-synonymous mutations was observed, including an average of 0.47 mutations per 1 megabase, along with 14 single nucleotide variations (SNVs) distributed among 12 genes. Notably, they identified one original in-frame GF known as DEK-AFF2 (Fig. 3a and b).

Furthermore, when autologous peripheral blood mononuclear cells (PBMCs) were exposed to DKESEEEVS (DEK-AFF2 fusion-derived peptides), T cells were stimulated and activated, leading to an increased secretion of interferon-gamma (IFN- $\gamma$ ) and an elevated proportion of CD137-positive CD8<sup>+</sup> T cells (Fig. 3c). Moreover, the secretion of IFN- $\gamma$  was significantly enhanced when the constructed DEK-AFF2 fusion was introduced into SCC-9 cells, providing further confirmation that DEK-AFF2 GFs induce the activation of cytotoxic T cell immune responses (Fig. 3d). These findings underscore the significance of gene fusions as potential targets for immunotherapy in the context of cancer treatment.

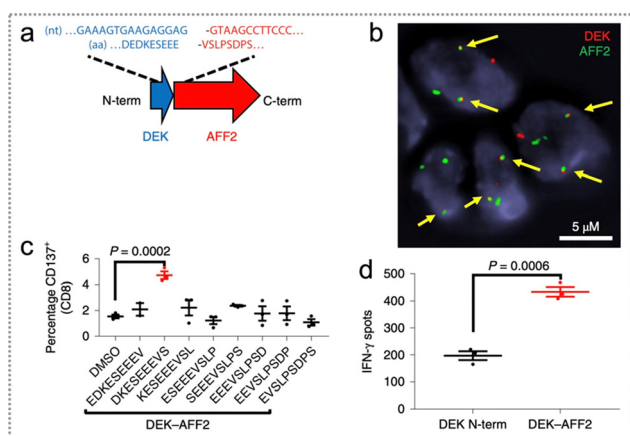
**2.1.3 Insertion or deletion mutations.** Insertion or deletion (INDEL) mutations occur in most cancer cells and arise from small insertions or deletions of base pairs in the genome that are not present in normal cells.<sup>29,48,49</sup> INDEL mutations that induce frameshifts have the potential to create fresh open reading frames (ORFs) and produce a multitude of neoantigen peptides with significant self-diversity. This could represent an ideal source for the emergence of tumour-derived neoantigens.<sup>35,48,49</sup> Whole-exome sequencing (WES) of solid tumours shows that INDEL accounts for about 5% of the overall cellular variation of cancer cells,<sup>48</sup> and CRISPR-Cas9 is used to specifically attack multiple INDEL mutations generated during carcinogenesis for tumour treatment (Fig. 4a).<sup>49</sup> The Cancer Genome Atlas (TCGA) data reveal that there are different

absolute numbers of INDEL mutations in all 19 solid tumours (Fig. 4b).<sup>48</sup> In addition, varying amounts of frameshift indel-derived neoantigens were detected in all 19 solid tumours (Fig. 4c). Remarkably, in the pan-cancer analysis, the top 15 classic tumor suppressor genes exhibited an abundance of frameshift mutations in more than 500 samples, resulting in the prediction of over 2400 high-affinity neoantigens (Fig. 4d).<sup>48</sup>

**2.1.4 Splice mutation.** RNA splicing is integral to a wide array of vital biological processes, including cell growth, viability, and specialization.<sup>50</sup> In the human genome, about 95% of genes undergo selective splicing, resulting in the generation of transcripts with varying exon compositions.<sup>50–53</sup> Although DNA mutations are the origin of neoantigens that decide immune checkpoint blockade (ICB) responses, RNA splicing mutations within tumour cells can change basic cellular processes. RNA splicing plays a role in promoting tumour progression, the evolution of tumours, and the development of resistance to therapy. Additionally, it has the potential to generate splice-derived neoepitopes.<sup>51,54,55</sup> According to the TCGA data, splice mutation occurred in 1607 unique genes out of 8656 tumour samples, with one mutation of 1359 genes and more than one of 248 genes.<sup>53</sup> Among them, TP53 has maximum splice mutation sites, which occurred in a variety of tumours. The frequency of 18 GATA3 splicing mutations unique to breast cancer and 6 ATRX splicing mutations mainly in low-grade gliomas was also relatively high (Fig. 5a and b). Additionally, the important spliced forms of genes (e.g., SMAR1, KDM6A, NOTCH1) in tumour development are highly immunogenic, generating over 40 distinctive neoantigens (Fig. 5c).<sup>53</sup>

**2.1.5 Mutations in mitochondrial DNA.** Mitochondria are crucial to biosynthesis, signal transduction and maintenance of cell growth.<sup>56,57</sup> Since mitochondrial DNA (MtDNA) mutations play an essential role in carcinogenesis and tumour progression,<sup>58</sup> Yuan *et al.* extracted MtDNA-mapped reads from the WGS dataset of 2658 cancers containing 38 specific cancer categories and matched them to control tissue pairs from the Pan-Cancer Analysis of Whole Genomes (PCAWG) alliance. Notably, multiple mutational hotspots were observed in the regulatory D-loop area and ND4 gene in all cancer cases (Fig. 6a). The mutation patterns of mtDNA in various types of tumours exhibit remarkable similarities, with T:A > C:G mutations accounting for 34.2% and C:G > T:A mutations representing the more prevalent form at 58.3% (Fig. 6b).

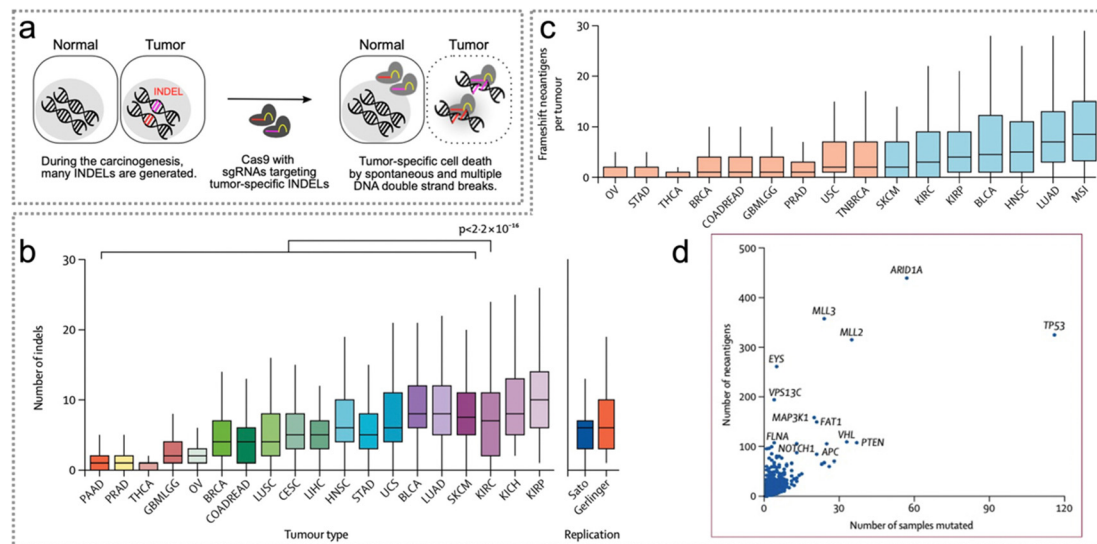
**2.1.6 Virus-driven neoantigens.** At present, approximately 20% of global cancers are induced by seven main viral infections, including Epstein-Barr virus (EBV),<sup>59</sup> hepatitis B virus (HBV),<sup>60</sup> hepatitis C virus (HCV),<sup>61</sup> human papillomavirus (HPV),<sup>62,63</sup> Kaposi's sarcoma-associated herpesvirus (KSHV),<sup>64</sup> Merkel cell polyomavirus (MCPyV)<sup>65</sup> and human T-cell lymphotropic virus type 1 (HTLV1).<sup>66,67</sup> Notably, virus-encoded antigens only exist in virus-induced malignancies and are nearly not expressed in healthy tissues. For example, EBV-driven antigens can be used in targeted therapy of EBV-positive lymphomas.<sup>68</sup> HPV-driven antigens including HPV-16 E6 and E2 have also been adopted to recognize and eliminate head and neck cancer and cervical cancer.<sup>62,69</sup> Additionally, DNA vaccines



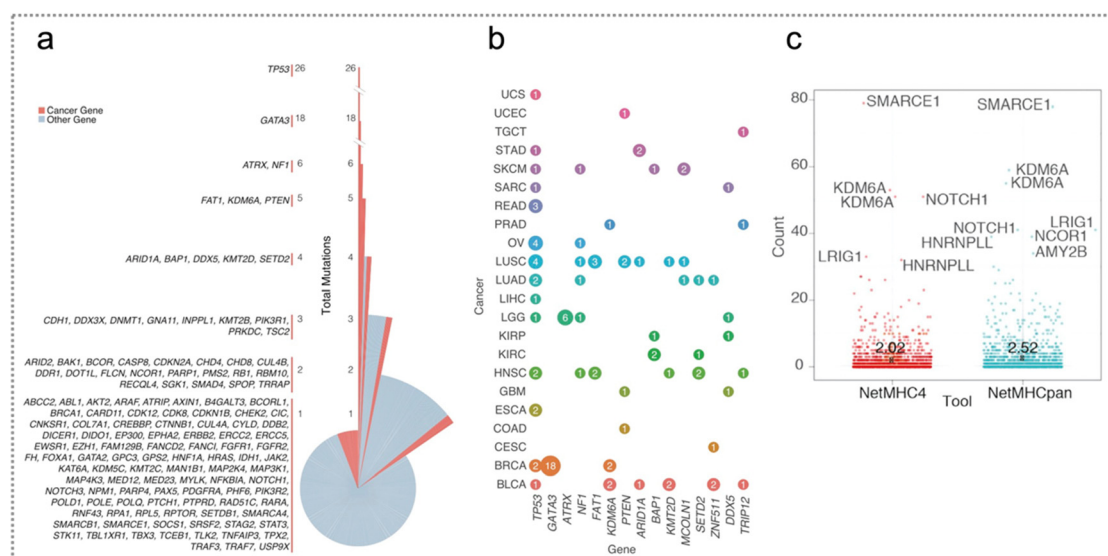
**Fig. 3** Gene fusion and neoantigen predictions. (a) The DEK-AFF2 GF map displays amino acid (AA) and nucleotide sequences around connect points indicated. (b) FISH test of DEK-AFF2 GF; the arrow points denote colocalization of AFF2 and DEK. (c) The CD137 expression after co-culture of MSK-HN1 T cells with autogenous peripheral blood mononuclear cells (PBMCs) pulsed with 10  $\mu$ M AFF2-DEK-derived or DEK-AFF2-derived peptides, which was analyzed via flow cytometry. (d) The abundance of IFN- $\gamma$  spots in MSK-HN1T cells following co-culture with SCC-9 cells expressing DEK-AFF2 or DEK-N-term ( $n = 3$ ) (Dunnett correction performed after one-way ANOVA for multiple comparisons). Reproduced with permission from ref. 44. Copyright 2019, Springer Nature Ltd.







**Fig. 4** INDEL mutation across cancer types and neoantigen predictions. (a) Schematic illustration of the cancer-specific insertions–deletions attacker (CINDELA). Reproduced with permission from ref. 49. Copyright 2022, PNAS. (b) Absolute count of insertion or deletion mutations in 19 solid tumour types derived from TCGA. (c) Neoantigens derived from indel-induced frameshift. (d) Relapse genes with frameshift indel-derived neoantigens. Reproduced with permission from ref. 48. Copyright 2017, Elsevier.



**Fig. 5** Splice mutations across cancer types and genes and neoantigen predictions. (a) The mutation distribution generated by splicing sites in every gene is divided by total mutations in every gene. The splice site of *TP53* generated the highest number of mutations, followed by *GATA3* and *ATRX*. (b) The genes with the largest quantity of mutations at the pan-cancer splice-sites. The size of the circle is related to total mutations in every gene and is colored according to tumour class. The mutations generated by splicing sites in *TP53* exist in numerous tumour classes, while mutations in *GATA3* and *ATRX* are particular to *BRCA* and *LGG*, respectively. (c) Neoantigen distribution calculated by NetMHCpan and NetMHC4. Reproduced with permission from ref. 53. Copyright 2018, Cell Press.

directed against the small T antigens of MCPyV have also achieved significant efficacy for the treatment of Merkel cell cancer (MCC).<sup>70</sup> Therapeutic modalities targeting oncogenic virus-driven antigens have demonstrated remarkable efficacy in virus-triggered cancer therapies. Thus, virus-driven antigens can be a potential substitute source of neoantigens for cancer immunotherapy.

## 2.2 Endogenous and exogenous neoantigens

According to the different sources of production, neoantigens can be divided into endogenous neoantigens and exogenous neoantigens. Endogenous neoantigens are mainly released by the ICD of tumour cells induced by different therapeutic modalities, such as phototherapy, radiotherapy, and chemotherapy.<sup>71,72</sup> Tumour cells undergo the ICD process, during

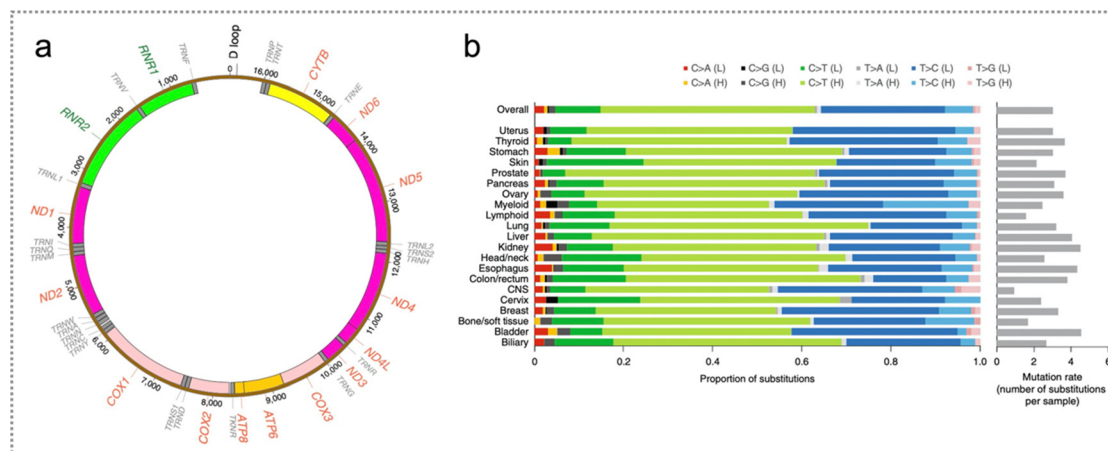


Fig. 6 Mutation in mitochondrial DNA. (a) The outlook of mtDNA somatic replacements. Mitochondrial genome coordinates are indicated by numbers. (b) Highly consistent mtDNA mutational profiles spanning 21 cancer tissues. The average quantity of somatic replacements in each sample is also displayed. Reproduced with permission from ref. 58. Copyright 2020, Springer Nature Ltd.

which they release endogenous neoantigens and damage-associated molecular patterns (DAMPs), including molecules like calreticulin (CRT), heat shock proteins (HSP), high-mobility group box1 (HMGB1), and adenosine triphosphate (ATP).<sup>72</sup> ICD in cancer cells can activate the immune response, and thus effectively eliminate tumours. Exogenous neoantigens primarily consist of neoantigen peptides synthesized based on amino acid sequences of neoantigens (*e.g.*, ovalbumin, a model antigen) and tumour cell membranes.<sup>31,73</sup>

Possible unique neoantigens were identified by performing computational analysis on the whole exome sequencing (WES) data and RNA-seq data from tumour cells, and their validity was confirmed using enzyme-linked immunospot (ELISPOT) assays (Fig. 7).<sup>74,75</sup> Subsequently, exogenous neoantigen peptides can be chemically synthesized based on the amino acid sequence of these neoantigens.<sup>74–76</sup> Additionally, tumour cell membranes can also serve as a source of exogenous neoantigens because they contain tumour holoantigen proteins with similar targeting capabilities.<sup>73,77</sup>

### 2.3 Mechanisms of neoantigen-induced immune responses

Effective immunity against malignancy in humans is strongly related to the existence of endogenous T cells targeting tumour-specific neoantigens.<sup>7</sup> However, tumour-induced immunosuppression and immune resistance in the TME pose a major challenge to realize complete elimination of human malignancies.<sup>78</sup> After treatment, tumour cells undergo spontaneous ICD, leading to the release of tumour-specific antigens (TSAs). Within the TME, these tumour antigens can be internalized and cross-presented by APCs, such as DCs.<sup>78</sup> This process not only promotes the maturation of DCs but also facilitates the uptake, processing, and presentation of antigens on major histocompatibility complex (MHC) or human leukocyte antigen (HLA) molecules.<sup>12,78</sup> Then, DCs loaded with antigens move to the secondary lymphoid organs.<sup>78</sup> The interplay between MHC-peptide compound-T cell receptor (TCR) and homologous receptor–ligand pairs induces the secretion of

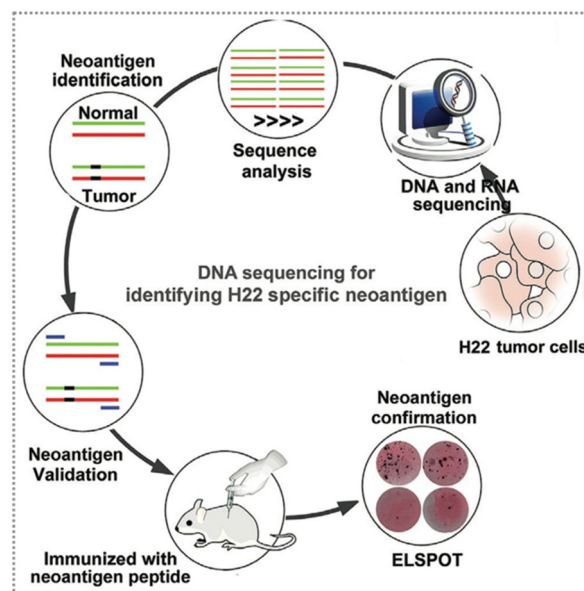
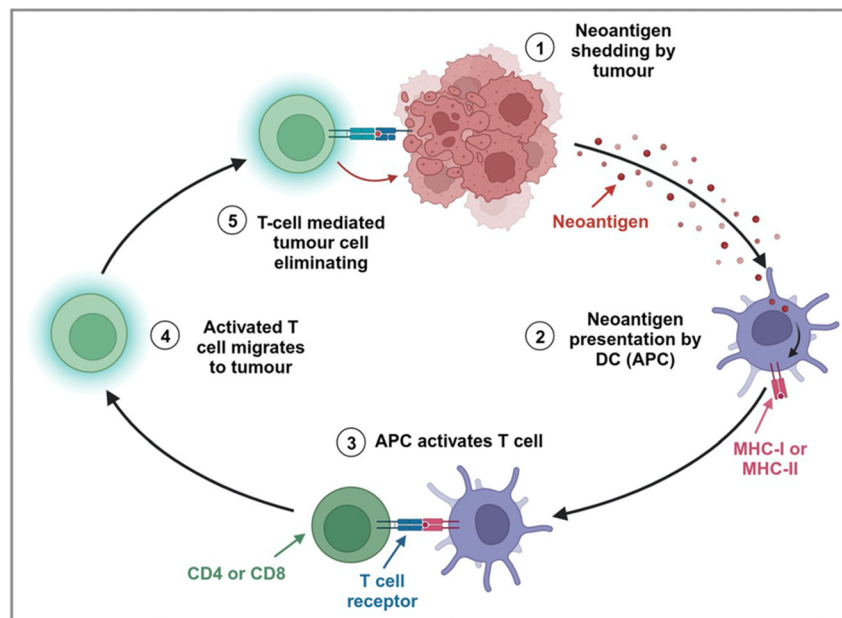


Fig. 7 Schematic diagram of the neoantigen screening and identification process. The specific neoantigens of H22 tumour cells were screened by employing WES and RNA-seq, and the potential neoantigens were predicted through computer analysis and their immunogenicity was confirmed by ELISPOT assay. Reproduced with permission from ref. 74. Copyright 2021, Wiley.

cytokines by DCs to stimulate T cells. The responses of CD8<sup>+</sup> T cells are magnified by IL-2 secreted from CD4<sup>+</sup> T cells. Then, the activated T cells enter the TME and kill the tumour cells (Fig. 8).<sup>78</sup>

The immune reactions of MHC-II-restricted CD4<sup>+</sup> T cells to tumour neoantigens have been reported in the context of tumour immunotherapy.<sup>75,79</sup> Oliveira *et al.* revealed that the helper and regulatory CD4<sup>+</sup> T cells specifically respond to human melanoma HLA-II-restricted neoantigens.<sup>80</sup> Notably, single-cell TCR sequencing (scTCR-seq) analysis of 22 869 CD4<sup>+</sup> TILs from four melanoma patients (Pt-A, -B, -C, and -D)





**Fig. 8** The mechanisms of neoantigen-induced immune responses against cancer. First, neoantigens will be released after treatment. Then these tumour antigens can be internalized and cross-presented by APCs, thus promoting the maturation of DCs and facilitating the uptake, processing, and presentation of antigens. Eventually, the T cells will be activated for inhibiting tumor proliferation, recurrence and metastasis.

revealed that the cellular phenotypes within the expanded  $CD4^+$  TIL TCR clonotype primarily included the exhaustion phenotype ( $T_{EX}$ ), non-depleted memory phenotype ( $T_{NEM}$ ) and T regulatory phenotype ( $T_{REG}$ ). The amplification of  $CD4^+$   $T_{REG}$  TILs was higher in the HLA-II-positive ( $HLA-II^{POS}$ ) tumour TME. Besides,  $CD8^+$  cytotoxic T lymphocytes' (CTL) responses to MHC-I-restricted neoantigens have also been demonstrated in both human and mouse tumour immunotherapy.<sup>29,81,82</sup> In addition, Sumit *et al.* have provided evidence for increased intratumoral  $CD8^+$  T cell density, an  $IFN-\gamma$  responsive gene signature, and robust antigen-specific T cell responses in clinical cases of prostate cancer.<sup>81</sup> Recently, Puig-Saus *et al.* also demonstrated that polyclonal  $CD8^+$  T cells in tumours and peripheral blood are positively correlated with immunotherapy efficacy for human melanoma and can repeatedly recognize tumour-specific neoantigens during therapy.<sup>83</sup> Meanwhile, some reports have proved that both  $CD4^+$  and  $CD8^+$  T cells specifically respond to tumour neoantigens in human and mouse tumour immunotherapy.<sup>84–87</sup> For example, Spencer *et al.* revealed that neoantigen-specific  $CD4^+$  T cells provided help to  $CD8^+$  T cells, such as driving the amplification of  $CD8^+$  T cells to realize squamous cell carcinoma (SCC) immunotherapy.<sup>86</sup> Additionally, Ott *et al.* demonstrated that patients with advanced malignant solid tumours (including melanoma, bladder cancer and non-small cell lung cancer) exhibited neoantigen-specific  $CD4^+$  and  $CD8^+$  T cell responses after immunotherapy with personalized neoantigen-based vaccine combined with PD-1 blocking.<sup>88</sup>

Although immunogenic cell death (ICD) shows promise as a therapeutic approach in cancer treatment, it is not without its constraints. Some notable limitations can be summarized as

follows. First, the heterogeneity of cancer cells within a tumor poses a challenge, as not all cells will undergo ICD in response to treatment. Some cells might develop resistance mechanisms, allowing non-immunogenic cells to survive and potentially leading to tumor relapse. Second, the TME can be immunosuppressive due to factors like regulatory T cells, myeloid-derived suppressor cells, and cytokines, creating an inhibitory setting. This environment may restrict the effectiveness of the immune response triggered by ICD. Effective immune responses require the recognition of specific antigens on cancer cells. Some tumors may have limited antigenicity, lacking the necessary markers for effective targeting by the immune system, even when ICD occurs. Third, immunosuppressive checkpoint pathways: cancer cells can exploit immune checkpoint pathways (*e.g.*, PD-1/PD-L1 and CTLA-4) to evade the immune response. Despite induction of ICD, these checkpoints can impede the immune system's ability to mount a sustained and effective attack on cancer cells. In addition, cancer cells can evolve and develop mechanisms to escape immune surveillance, including downregulating antigen expression, mutating to avoid immune recognition, or altering the TME to resist immune attack. Last but not least, ICD may be less effective in advanced cancer stages when tumor burden is high and the immune system is compromised. The presence of numerous immunosuppressive cells in the tumor microenvironment may further hinder the success of immunotherapy. Implementing ICD-based therapies can face logistical challenges, including issues related to the efficient delivery of treatment and the potential for adverse effects.

Despite these challenges, researchers are actively exploring strategies to overcome these limitations and improve the





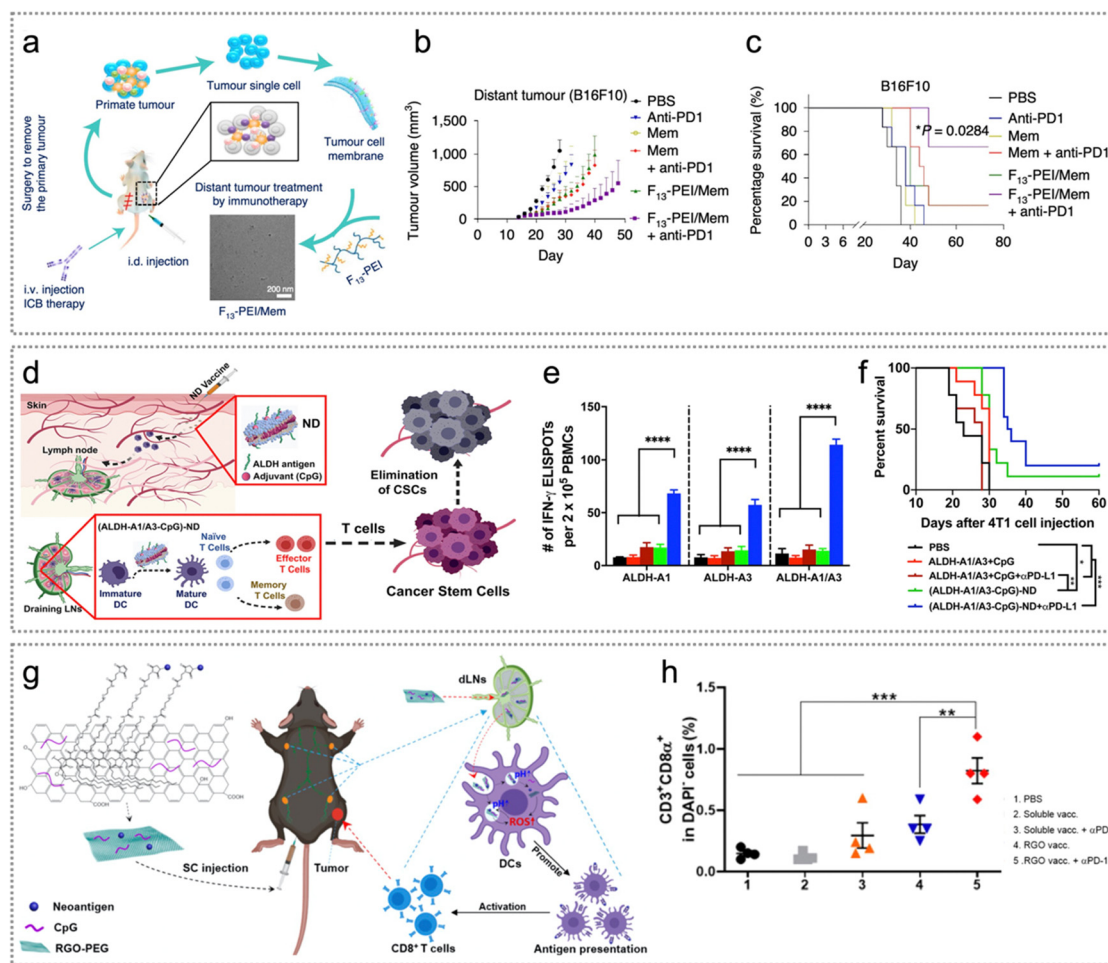
efficacy of ICD-based approaches. Combining ICD-inducing therapies with other immunotherapeutic or targeted strategies may provide a more comprehensive and effective treatment approach for cancer patients.

## 2.4 Neoantigen-based immunotherapy

Immunotherapy harnesses the immune system to eliminate cancer cells *via* boosting or suppressing the immune responses, which has shown significant therapeutic efficacy in many malignancies.<sup>89</sup> This section will discuss various immunotherapy strategies combined with tumour neoantigens for achieving precision neoantigen-based cancer immunotherapy.

Cancer vaccines can induce tumour specific immunoreactions and have been applied in immunotherapy for various cancers.<sup>27</sup> Currently, cancer nanovaccines mainly deliver

exogenous neoantigens and immune adjuvants to APCs to stimulate and proliferate tumour-specific T cells. Nanovaccines have shown remarkable efficacy in tumour immunotherapy. Among them, the presentation efficiency of neoantigens is closely related to the therapeutic effect of cancer nanovaccines.<sup>27</sup> The effects of APC activation and cytotoxic T cells, however, still need to be improved. Different nanoformulations have been developed to solve this problem. Typically, Xu *et al.* constructed F<sub>13</sub>-PEI/Mem nano-vaccine based on fluoroalkane-grafted cationic polymer F-PEI (fluoroalkane-grafted polyethyleneimine), which effectively prevented post-surgery tumour relapse and metastasis in combination with ICB (Fig. 9a).<sup>31</sup> F<sub>13</sub>-PEI/Mem obviously suppressed the proliferation of distant tumours compared to those immunized with Mem alone and unimmunized (Fig. 9b). The synergistic treatment of F<sub>13</sub>-PEI/Mem



**Fig. 9** Neoantigen-based immunotherapy. (a) Illustration of F<sub>13</sub>-PEI/Mem NPs from tumour cytomembranes derived from surgically excised tumours as individual tumour nanovaccines, which were adopted in cooperation with ICB therapy to suppress tumour proliferation. (b) and (c) Distant tumour proliferation curves (b) of B16F10 tumours and disease-free survival of mice (c) in various treatment groups of mice. Reproduced with permission from ref. 31. Copyright 2020, Springer Nature Ltd. (d) ND vaccination illustration for ALDH<sup>high</sup> CSCs. (e) The outcomes from an IFN- $\gamma$  ELISPOT assay conducted using PBMCs collected on day 15, followed by *in vitro* restimulation with the specified peptides. (f) The overall survival of 4T1 tumour-bearing mice treated with (ALDH-A1/A3-CpG)-ND or a soluble mixture of ALDH-A1/A3 (15.5 nmol per dose per antigen peptide) and CpG (15  $\mu$ g per dose) on day 1 and day 8. Reproduced with permission from ref. 91. Copyright 2020, American Chemical Society. (g) Schematic representation of RGO(CpG)-PEG-neoantigen for LN-targeted delivery of antigens and adjuvants. (h) At day 20, tumour infiltrating CD8<sup>+</sup> T cells in different therapeutic groups, including control, soluble vaccine with/without anti-PD-1 and RGO vaccine with/without anti-PD-1. Reproduced with permission from ref. 92. Copyright 2020, American Chemical Society.



and anti-PD1 further promoted the antitumour efficacy and significantly prolonged the disease-free survival of mice (Fig. 9c). However, cationic F<sub>13</sub>-PEI may also bring about potential systemic cytotoxicity in the long term. Moon *et al.* developed high-density lipoprotein-based nanodiscs (NDs) which can enhance the delivery efficiency of tumour neoantigens/adjuvants to LNs and induce T cell reactions.<sup>90</sup> Nanodiscs eliminated established MC-38 and B16F10 tumours when combined with anti-PD-1 and anti-CTLA-4 therapy.

The proliferation of cancer stem cells (CSCs) is a significant contributor to tumour recurrence and metastasis. While CSCs have been identified in various types of cancer, further research is required to develop effective strategies for targeting and eliminating CSCs *in vivo*.<sup>93</sup> Among the various surface markers of CSCs, aldehyde dehydrogenase (ALDH) is a well-known one. For efficient delivery of ALDH epitope peptides to APCs, Moon *et al.* constructed (ALDH-A1/A3-CpG)-ND for eliciting T cell reactions directly against ALDH<sup>high</sup> CSCs.<sup>91</sup> (ALDH-A1-CpG)-ND induced strong ALDH-specific T cell reactions, reduced ALDH-specific CSCs and exhibited significant anti-tumour effects in 4T1 mastocarcinoma and D5 melanoma mouse models (Fig. 9d). Remarkably, the combination of ND vaccine and anti-PD-L1 in immunotherapy showed synergistic effects by significantly inhibiting tumour growth and extending the survival of mice, surpassing the outcomes of other treatment groups (Fig. 9e and f).<sup>91</sup> Reduced graphene oxide nanosheets (RGO) have been widely studied for drug delivery, including photothermal immunotherapy and cancer vaccines; however, they are nondegradable, and the potential concerns of long-term exposure remain. Moon *et al.* also developed a PEGylated RGO nanoplatform (RGO-PEG) (20–30 nm in diameter) as a convenient, biodegradable nanoplatform for loading of neoantigens and the CpG oligodeoxynucleotide (CpG ODN, a Toll-like receptor-9 agonist). The ROS-inducing RGO-PEG nanovaccine system can support highly modular and facile production of personalized neoantigen vaccines. After just a single round of vaccination, it remarkably improved the rate of CD3<sup>+</sup>/CD8 $\alpha$ <sup>+</sup> T cells in tumours as well as significantly activated DCs (Fig. 9g and h).<sup>92</sup>

### 3. Advantages of nanotechnology

The advantages of nanotechnology based neoantigens are generally summarized as follows: first, nanomaterials enhance endogenous neoantigen release during tumour therapy. They can significantly improve ICD of tumour cells by triggering DNA structure destruction to release numerous neoantigens.<sup>23,25</sup> The process can be induced by phototherapy/radiotherapy through ROS or hyperthermal production,<sup>21,22,25,26,94–101</sup> chemotherapy/chemodynamic therapy, and sonodynamic therapy.<sup>30,102–105</sup> Xie *et al.* developed programmable cytosine-phosphate-guanine (CPG) DNA nanoclusters (DNAnC) for promoting ICD of tumour cells, thus releasing abundant tumour endogenous neoantigens.<sup>94</sup> In addition, nanomaterials can efficiently capture tumour neoantigens through hydrophobic interactions or due to the presence of different functional groups, such as maleimide,

amino, and catechol. They facilitate the delivery of these neoantigens to APCs,<sup>25,26,32</sup> improve the cross-presentation of neoantigens, and enhance their uptake by DCs.<sup>92,98,105,106</sup> Consequently, this promotes the maturation of DCs and augments the effectiveness of anti-tumour immune responses.<sup>23,30,101,106,107</sup> To mention a typical example, Li *et al.* constructed 1-MT@OMV-Mal to activate systemic immune responses against the tumour by coordinating endogenous neoantigen capture and immune modulation, thereby significantly suppressing both primary and distant tumours.<sup>108</sup> Nanomaterials also protect neoantigens from degradation, and thereby boost anti-tumour immune therapy.<sup>21,24,30</sup> To achieve this goal, Li *et al.* constructed hydrophobic microdomains of neoantigens loaded with 2-formyl-phenylboronic acid-nanochaperone (PBA-nChap) to protect neoantigens from clearance by protease.<sup>24</sup> Last but not least, nanomaterials prolong the interaction between neoantigens and the immune system. Concretely, the enhanced permeability and retention effect (EPR) of nanomaterials significantly prolongs the residence time of neoantigens in the TME and the interaction time between neoantigens and immune cells, thus enhancing anti-tumour immune responses.<sup>17,25,26</sup> Recently, Dai *et al.* developed PCN-224-chloroquine@cancer cell membranes (PCN-CQ@CCM) to significantly enhance homologous tumour-targeting capability, avoid phagocytosis of macrophages, as well as prolong the residence time of exogenous neoantigens in the TME, thus realizing precision targeted therapy.<sup>17</sup>

## 4. Neoantigen based different therapeutic modalities

### 4.1 Neoantigen-based phototherapy

Over the past several decades, phototherapy has emerged as an attractive treatment for cancer.<sup>109</sup> Light-activated, photosensitizer-based phototherapies have made a profound impact on tumour elimination in numerous cancer cases.<sup>109,110</sup> Cancer nanomedicine has embraced two leading-edge nanotechnologies: photodynamic therapy (PDT) targets specific lesions for chemical destruction, and photothermal therapy (PTT) induces localized thermal damage.<sup>109–111</sup> Improving the tumour specificity of phototherapy could produce a better therapeutic effect and less side effects. During the phototherapy process, ROS or hyperthermia produced by photosensitizers under irradiation induces ICD of tumour cells to release abundant endogenous neoantigens, which trigger robust immune responses.<sup>26,71,76</sup> Additionally, exogenous neoantigens loaded with phototherapeutic agents also play a synergistic role in tumour therapy.<sup>76,97,112</sup> In this part, we will discuss the combination of neoantigen and phototherapy in tumour therapy.

**4.1.1 Neoantigen-based photodynamic therapy.** PDT is a tumour intervention approach that depends on photosensitizers to absorb photons and excite electrons into various ROS, including hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), superoxide anion radicals ( $\bullet$ O<sub>2</sub><sup>−</sup>) and hydroxyl radicals ( $\bullet$ OH).<sup>113–117</sup> There are three major cancer cell death pathways during PDT, including autophagy-associated cell death, necrosis and apoptosis.<sup>117</sup> In addition,



photosensitizers and exogenous neoantigens can be co-delivered,<sup>17,76,77</sup> then DCs can identify these exogenous neoantigens and present them to cytotoxic T cells, thereby enhancing immune responses and improving the elimination of neoantigen-expressing cancer cells. The combination of PDT and exogenous neoantigens exhibits a synergistic effect in cancer therapy.<sup>76,112</sup> Herein, we will discuss exo/endogenous neoantigen-based PDT in this section.

Soild tumors may suffer from hypoxia but PDT is usually dependent on the oxygen level in tumors. Designing hypoxia responsive nanomaterials may have the potential for enhanced theranostics. Inspired by this, Liang and co-workers constructed a light-activatable immunological adjuvant (LIA) consisting of a hypoxia-responsive amphiphilic dendrimer (HAD) NP loaded with Ce6 (Fig. 10a and b).<sup>26</sup> Strikingly, the LIA promoted endogenous tumour neoantigen release to form an *in situ* cancer vaccine and activated DCs, thereby inducing tumour cell death and endogenous tumour neoantigen release. At the same time, the hypoxic

environment led to the rapid reduction of the 2-nitroimidazole group of the dendrimer to 2-aminoimidazole (rHAD). LIA plus laser therapy effectively enhanced the proportion of CD8<sup>+</sup> and CD4<sup>+</sup> T cells in infiltrating distal tumours and spleen, suppressing both primary and distant tumours (Fig. 10c and d). Meanwhile, LIA + laser therapy significantly promoted T cells (CD8<sup>+</sup> and CD4<sup>+</sup> T cells) secreting IFN- $\gamma$  in distant tumours as well as obviously decreased the ratio of CD4<sup>+</sup>/CD25<sup>+</sup>/foxp3<sup>+</sup> T cells (Fig. 10e).

The efficacy of neoantigen-based therapy is limited by the specificity and effectiveness of neoantigens and the side effects of adjuvants.<sup>15,118</sup> To improve the anti-tumour immune reactions of neoantigen-based PDT while reducing the complexity of nano-vaccines, Luo *et al.* synthesized self-assembling, endogenous neoantigen-harvesting and molecular activator nano-vaccine Mal-PEG<sub>5000</sub>-b-PC7A<sub>45</sub> NPs (Mal-NPs) for enhancing cancer immunotherapy.<sup>119</sup> Maleimide is capable of capturing antigens through Michael reactions between thiol and maleimide groups.<sup>119</sup> Then the endogenous tumour neoantigens

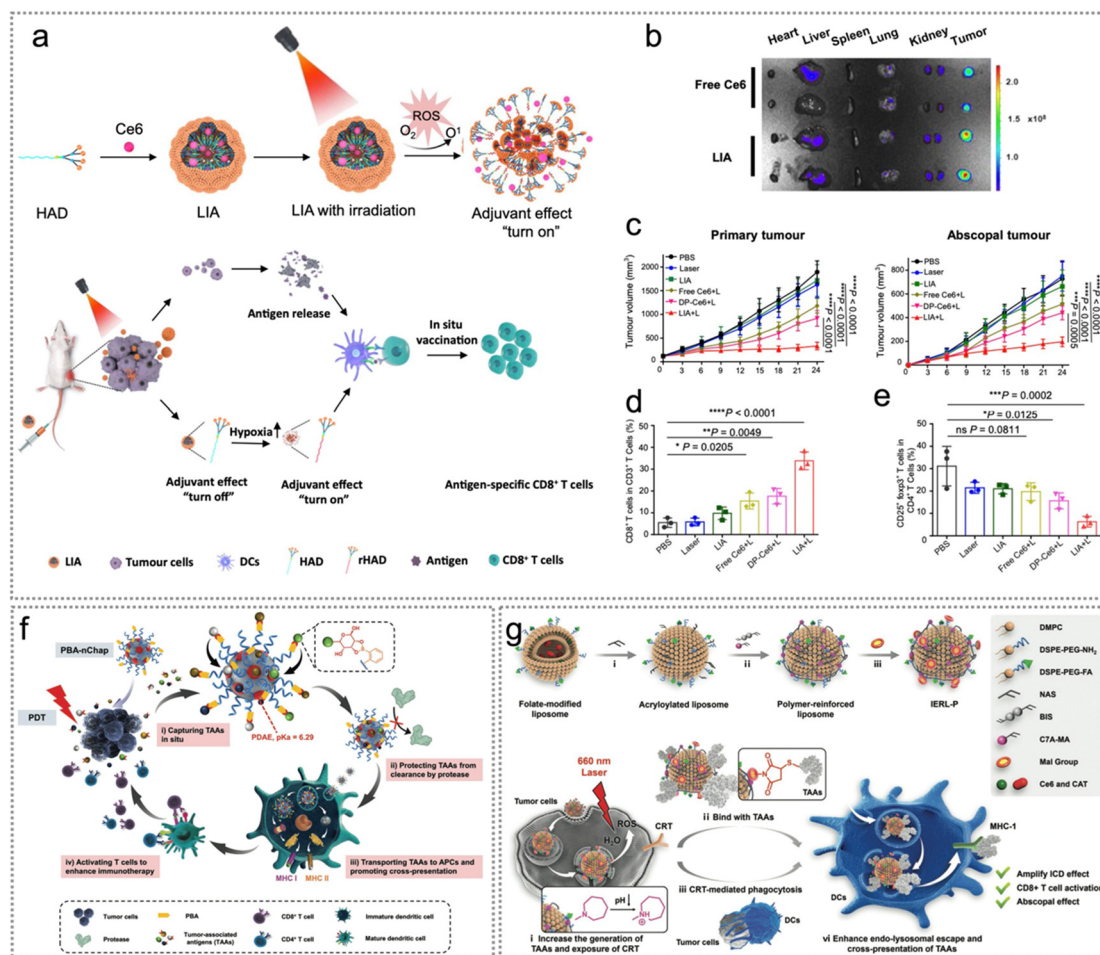


Fig. 10 Endogenous neoantigen-based photodynamic therapy. (a) The scheme of a light-activatable immunological adjuvant (LIA) for enhanced orthotopic cancer vaccination. (b) The fluorescence images of heart, liver, spleen, lung, kidney and tumour tissues at 24 h after injection. (c) The growth curves of 4T1 primary and distant tumours. (d) and (e) Statistical analysis of CD3<sup>+</sup>/CD8<sup>+</sup> T cell percentage in the spleen (d), as well as the proportion of CD4<sup>+</sup>/CD25<sup>+</sup>/foxp3<sup>+</sup> CD4<sup>+</sup> T cells (e). Reproduced with permission from ref. 26. Copyright 2021, Springer Nature Ltd. (f) The schematic diagram of PBA-nChap capturing TAAs *in situ* and boosting tumour immune therapy. Reproduced with permission from ref. 24. Copyright 2022, Wiley. (g) IERL-Ps promote ICD induced by PDT by promoting cross-priming. Reproduced with permission from ref. 21. Copyright 2022, Wiley.



were harvested by Mal-NPs. The synthesized nano-vaccine could promote DC maturation and rapid accumulation in lymph nodes (LNs), thereby improving the amplification of cytotoxic CD8<sup>+</sup> T cells.<sup>119</sup> To capture neoantigens *in situ*, protect neoantigens from protease degradation and promote cross-presentation of neoantigens, Li *et al.* constructed 2-formyl-phenylboronic acid-nanochaperone (PBA-nChap) and used it in combination with PDT for personalized cancer immunotherapy.<sup>24</sup> Notably, PBA-nChap could effectively capture endogenous tumour neoantigens *in situ* through hydrophobic interactions, thereby significantly protecting neoantigens from degradation and delivering the neoantigens to APCs. It was worth noting that PBA could recognize the main categories of neoantigens (glycoproteins) and recruit them to the vicinity of the hydrophobic microdomain, thus improving the capture efficiency of neoantigens. Furthermore, PBA-nChap transported neoantigens to APCs and escaped from lysosomes to facilitate antigen cross-presentation, therefore stimulating T cells to boost immune responses (Fig. 10f).

Additionally, to improve the cross-presentation of tumour endogenous neoantigens and ICD-related anti-tumour immune response after PDT, Zhao *et al.* constructed an immune-enhancing polymer-reinforced liposome (IERL).<sup>21</sup> The IERL avoided the interception of neoantigens by internal lysosomes and significantly enhanced the cross-presentation of neoantigens, thereby systematically activating anti-tumour immune responses. IERL-Ps generated numerous ROS in tumour cells upon laser irradiation, and then induced ICD of tumour cells to release abundant tumour endogenous neoantigens. The released neoantigens were covalently bound to the Mal group on the surface of IERL-P to achieve efficient capture of neoantigens. After being ingested by DCs, the IERL avoided the interception of neoantigens in inner lysosomes, which was ascribed to the protonation of the 2-(hexamethyleneimino) (C7A) group (proton sponge effect, PSE) (Fig. 10g).<sup>21</sup>

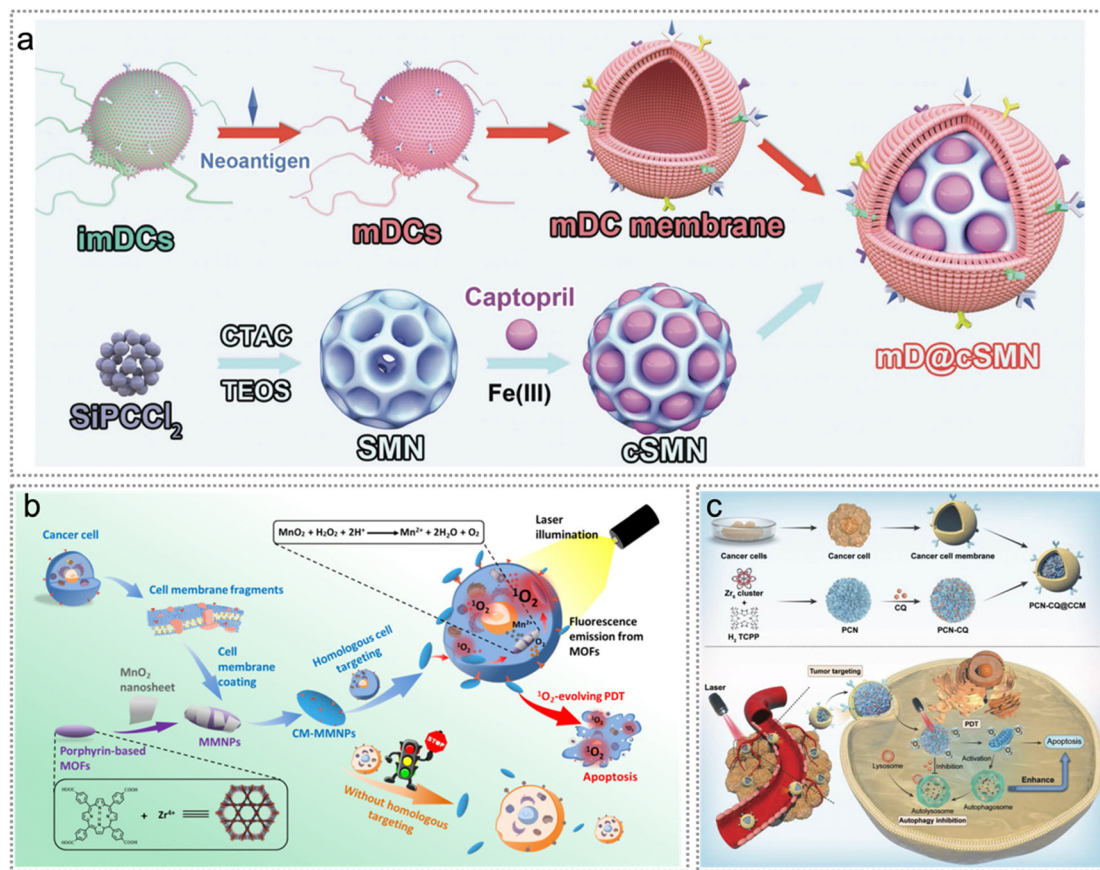
Exogenous neoantigens co-loaded with photosensitizers can be identified by DCs and presented to cytotoxic T cells, thereby boosting homologous tumour targeting ability, immunoreactions, and the elimination of neoantigen-expressing cancer cells.<sup>17,76,77</sup> PDT, in return, induces ICD of tumour cells to release endogenous tumour neoantigens, thus boosting immune responses.<sup>76,112</sup> Therefore, the combination of PDT and exogenous neoantigens may work synergistically in tumour therapy. Wang and co-workers constructed a neoantigen nanovaccine mD@cSMN based on an acid/photo-sensitive DC membrane to potentiate anti-tumour immune response for hepatocellular carcinoma immunotherapy (Fig. 11a).<sup>76</sup> MnO<sub>2</sub> can be degraded in the TME and release oxygen for the relief of hypoxia. Therefore, Zhang *et al.* constructed a nanostructure made of a MnO<sub>2</sub> nano-sheet-coated metal-organic framework core and a cancer cell membrane shell (CM-MMNPs).<sup>77</sup> CM-MMNPs improved the homologous tumour targeting ability in the hypoxic TME. Tumour cell membranes were coated on the outside of MMNPs to generate CM-MMNPs (Fig. 11b). CM-MMNPs enhanced the tumour-targeting capability and increased the oxygen content in tumour cells, thus significantly improved the efficacy of PDT. Since the phototherapeutic

efficacy can be enhanced by avoiding the phagocytosis of macrophages, PCN-CQ@CCM<sup>17</sup> was developed by Dai and collaborators to homologously adhere to tumour cells and evade the phagocytosis of macrophages, thus improving the retention of nanomaterials in the TME. This phenomenon was attributed to homologous targeting capability and immunological escape of oral squamous cell carcinoma (OSCC). Furthermore, PCN-CQ was activated in cancer cells under 660 nm laser irradiation, leading to the generation of ROS that induced apoptosis in cancer cells. And the released CQ could simultaneously inhibit autophagy effectively (Fig. 11c).

**4.1.2 Neoantigen-based photothermal therapy.** Compared to PDT, PTT refers to thermal ablation of cancer by converting light energy into heat. Heat can be produced from energy released from photothermal agents through non-radiative decay.<sup>111</sup> Light-induced heat can increase the permeability of cell membranes and then induce cell death. Irreversible damage occurs when tissue temperature is above 42 °C, including degeneration and disruption of the plasma membrane. Tissues usually undergo necrosis from 42 to 46 °C for 10 minutes. Hyperthermia can result in necrosis when laser was applied in PTT.<sup>120,121</sup> Although high temperature (46–52 °C) can induce rapid cancer cell apoptosis, the surrounding normal tissues may also be damaged due to heat propagation.<sup>111,120,121</sup> The elevation of tissue temperature to 41 °C initiates heat shock response (HSR). Then, the HSR causes a range of speedy alterations in gene expression patterns; for example, the production of HSPs will alleviate the effects of the heat damage.<sup>111</sup> Similar to PDT, PTT also can induce ICD to promote tumour cell apoptosis and release tumour endogenous neoantigens,<sup>71,95,119,122,123</sup> which further boosts the immune responses and obviously inhibits tumour proliferation, metastasis, and recurrence.<sup>97</sup> Thus, we will discuss exo/endogenous neoantigen-based PTT in this section.

The immunosuppressive TME substantially diminishes both the immune responses and the effectiveness of PTT based on endogenous neoantigens.<sup>71</sup> Since TME modulation has some potential to transform the “cold” tumours to “hot” ones, Liu *et al.* constructed a multi-responsive immune adjuvant nanoparticle R837@MSN-mannose-AuNPs-Glu/Lys (RMmAGL) for tumour-specific PTT.<sup>22</sup> Such NPs polarized macrophages from an immunosuppressive to an inflammatory phenotype, and thereby effectively boosted the efficacy of anti-tumour therapy. Besides, RMmAGL responded simultaneously to pH, enzymes and NIR laser for the treatment of metastatic malignancies (Fig. 12a).<sup>22</sup> The innate immune clearance mechanisms *in vivo* swiftly removed endogenous tumour neoantigens released from apoptotic tumour cells, resulting in the ineffective activation of anti-tumour immune responses and the inability to induce abscopal effects. Recently, a variety of nanocarriers with antigen-capturing functions have been constructed based on chemically grafted protein-capturing moieties, including amine, catechol and maleimide.<sup>119,122,123</sup> These nanocarriers not only extended the retention period of endogenous tumour neoantigens at the tumour site but also delivered tumour antigens to DCs, eliciting robust immune responses. Typically,





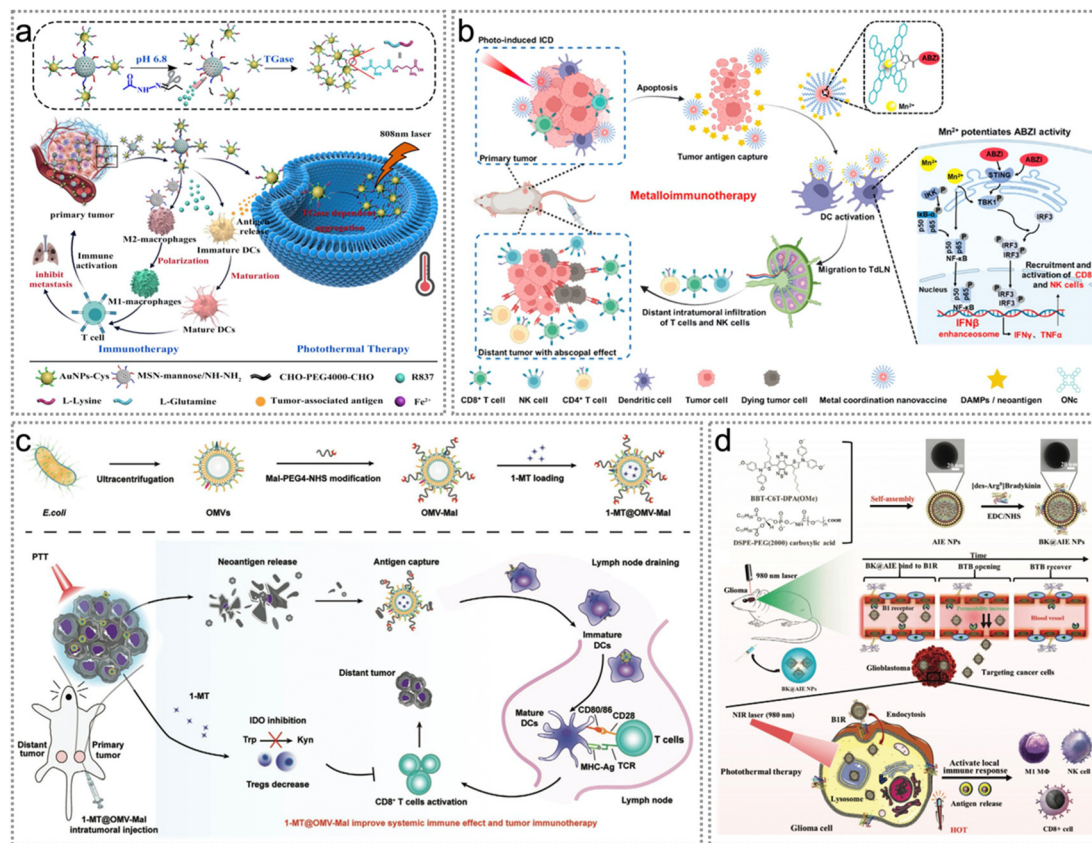
**Fig. 11** Exogenous neoantigen-based photodynamic therapy. (a) Schematic diagram of the preparation of the mD@cSMN nanovaccine. Reproduced with permission from ref. 76. Copyright 2022, Wiley. (b) CM-MMNPs for homologous targeting and MRI/fluorescence dual-mode imaging guided PDT against cancer cells. Reproduced with permission from ref. 77. Copyright 2019, American Chemical Society. (c) Schematic illustration of PCN-CQ@CCM for PDT induced autophagy inhibition to boost immunotherapy. Reproduced with permission from ref. 17. Copyright 2022, The Royal Society of Chemistry.

Li *et al.* constructed a Mn-ONc-A-malF127 coordination nanovaccine for complete eradication of the primary and distant tumours.<sup>95</sup> Mn-ONc-A-malF127 nanomicelles significantly increased the secretion of IFN- $\beta$  and TNF- $\alpha$  in BMDCs. Notably, Mn-ONc-A-malF127 nanomicelles can co-deliver Mn<sup>2+</sup> and ABZI, thereby effectively activating the cyclic GMP-AMP synthase (cGAS)-stimulator of interferon genes (cGAS-STING) pathway (Fig. 12b). In another example, Li *et al.* constructed a multifunctional orthotopic nanovaccine (1-MT@OMV-Mal) with neoantigen-capturing and immunomodulatory capabilities to boost immune-mediated tumour elimination after PTT (Fig. 12c).<sup>108</sup> 1-Methyltryptophan (1-MT) could effectively suppress IDO-mediated transformation of tryptophan (Trp) to kynurenine (Kyn), and significantly reduce the proportion of tumour-infiltrating CD3<sup>+</sup>/CD4<sup>+</sup>/Foxp3<sup>+</sup> Tregs in CT26-luc tumour-bearing mice, thereby inhibiting the Tregs-mediated immunosuppressive microenvironment (Fig. 12c).

Second near-infrared (NIR-II) PTT, featuring reduced photon scattering and deeper penetration depth, can induce ICD more effectively against malignant solid tumours.<sup>124,125</sup> NIR-II photothermal agents based on various organic (*e.g.*, small molecules, lanthanide compounds, conjugated polymers) and inorganic

materials (*e.g.*, transition metals, quantum dots) have been extensively developed.<sup>121,126–132</sup> NIR-II AIE luminogens (AIE-gens) stand out as exemplary organic photothermal agents as they display amplified fluorescence, remarkable fluorescence stability, improved tissue penetration, and efficient hyperthermia generation when exposed to NIR-II light irradiation.<sup>133</sup> Benefiting from these, Zhang *et al.* synthesized photostable and strongly absorbing bradykinin (BK) AIE nanoparticles (BK@AIE NPs) in the NIR-II window for accurate photothermal elimination of deep-seated tumour and activation of topical immune response (Fig. 12d).<sup>96</sup> Under NIR-II laser irradiation, BK@AIE NPs boosted endogenous tumour neoantigen release and reversed the immunosuppressive TME by improving the proportion of T cells (CD3<sup>+</sup>, CD4<sup>+</sup> and CD8<sup>+</sup> T), M1 M $\Phi$  and NK cells, thereby enhancing the therapeutic effect (Fig. 12d).

Similarly, exogenous neoantigens can be co-delivered with the photothermal agents as well. Indocyanine green (ICG), a near-infrared (NIR) dye, holds great promise for application in both PDT and PTT due to its favorable optical properties.<sup>134</sup> Since ICG is the sole NIR agent approved by the United States Food and Drug Administration (FDA) for clinical use,<sup>135</sup> Pan *et al.* constructed an ovalbumin-indocyanine green (OVA-ICG)



**Fig. 12** Endogenous neoantigen-based photothermal therapy. (a) Schematic illustration of the treatment procedure of pH-enzyme-NIR multi-stimuli responsive immune-adjuvant NPs (R837@MSN-mannose-AuNPs-Glu/Lys, RMmAGL), which combines tumour-specific PTT and photothermal-assisted immunotherapy. Reproduced with permission from ref. 22. Copyright 2023, Wiley. (b) Schematic illustration of manganese-coordinated micelles for PTT-mediated ICD, tumour antigen capture, and improved cGAS-STING pathway stimulation for the eradication of primary and distant tumours. Reproduced with permission from ref. 95. Copyright 2022, American Chemical Society. (c) Illustration of construction of 1-MT@OMV-Mal as an orthotopic vaccine for PTT induced neoantigen release. Reproduced with permission from ref. 108. Copyright 2022, Wiley. (d) Schematic diagram of constructing BK@AIE NPs for PTT and topical immune-response stimulation. Reproduced with permission from ref. 96. Copyright 2021, Wiley.

multifunctional nanovaccine for tumour photothermal-immunotherapy (Fig. 13a).<sup>136</sup> OVA-ICG effectively elevated tumour temperature to promote tumour elimination due to the synergistic effect of exogenous neoantigen immunotherapy and PTT. OVA-ICG treated mice exhibited significant increases in both the amount of CD8<sup>+</sup> cytotoxic T cells in the tumour and the concentration of specific anti-OVA-immunoglobulin G (IgG) in serum, revealing excellent anti-tumour immunotherapeutic efficacy.<sup>136</sup> To achieve better photothermal therapeutic efficacy, Li *et al.* constructed a mesoporous polydopamine-R848@ cancer cell membrane (MPDA-R848@CM) nanovaccine for tumour therapy and preventing postoperative tumour relapse (Fig. 13b).<sup>97</sup> The combination of MPDA-R848@CM and photothermal therapy (PTT) significantly amplified the maturation of BMDCs, compared to MPDA-R848@CM alone. This enhancement can be attributed to the release of endogenous tumour neoantigens from apoptotic tumour cells and the abundant supply of R848 from MPDA-R848@CM nanoparticles.<sup>97</sup>

**4.1.3 Neoantigen-based photodynamic/photothermal therapy.** PDT/PTT synergistic therapy can improve the therapeutic efficacy and may provide a promising strategy for ablating the complicated

and metastatic malignancies while minimizing side effects.<sup>137–139</sup> Gan *et al.* developed indocyanine green@covalent organic framework-1@polydopamine (ICG@COF-1@PDA) nanosheets as phototherapeutic agents for PDT/PTT dual-modal tumour therapy.<sup>98</sup> ICG@COF-1@PDA improved tumour elimination to release abundant endogenous tumour neoantigens, thus triggering effective anti-tumour immune responses (Fig. 14a). Organic semiconducting materials feature outstanding optical performance, excellent photostability and bio-benign components.<sup>140</sup> Inspired by the observations, Li *et al.* synthesized an organic semiconducting pro-nanostimulator (OSPS) with NIR light-activated immunotherapeutic effects. Importantly, OSPS achieved PDT and PTT synergistic anticancer immunotherapy (Fig. 14b).<sup>99</sup> OSPS significantly facilitated tumour endogenous neoantigen release as well as reversed the TME to suppress regulatory T (Treg) cells and enhance effector T cell proliferation and activation (Fig. 14b).

Apart from organic semiconducting compounds, upconversion nanoparticles (UCNPs) benefit from deeper tissue penetration, better optical stability and lower autofluorescence background.<sup>141,142</sup> Yan *et al.* constructed polydopamine@NaGdF<sub>4</sub>:Yb/Er-polyethylene



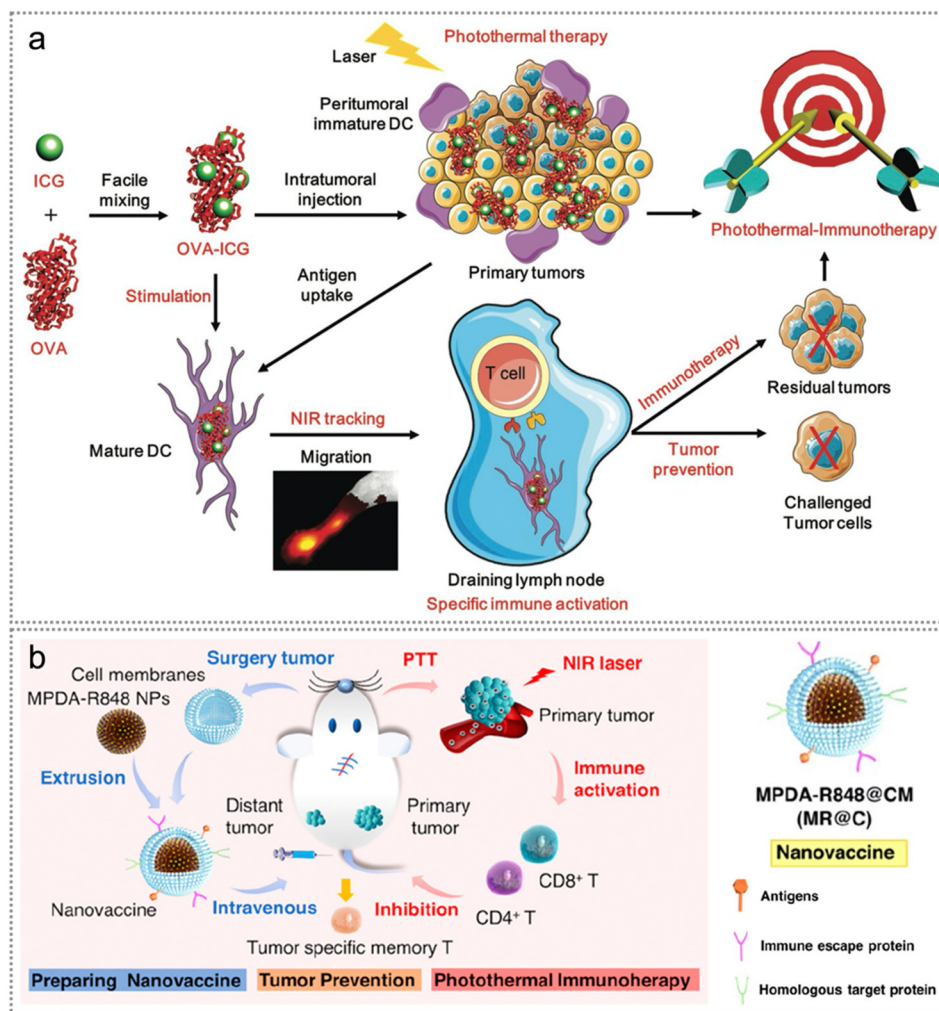


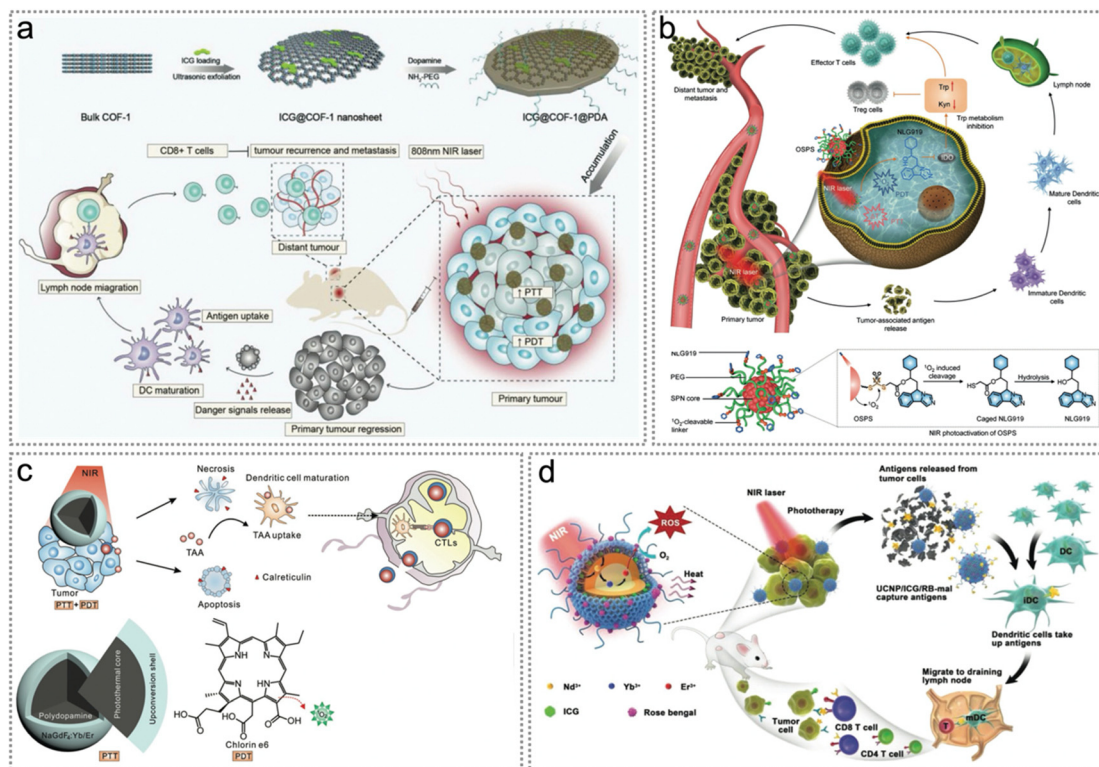
Fig. 13 Neoantigen-based photothermal therapy. (a) Illustration of the manufacturing and elucidation of the OVA-ICG nanovaccine for anti-tumour photothermal-immunotherapy, DC maturation/tracking, and tumour prevention. Reproduced with permission from ref. 136. Copyright 2018, Wiley. (b) Illustration of MR@C NPs nanovaccine for tumour therapy and prevention of postoperative tumour relapse. Reproduced with permission from ref. 97. Copyright 2022, American Chemical Society.

glycol/chlorin e6 (PDA@UCNP-PEG/Ce6) NPs for boosting primary tumour ablation and antitumour immunity (Fig. 14c and d).<sup>100</sup> Under 980 nm laser irradiation, PDT/PTT promoted the ablation of primary tumours and increased the exposure of CRT on the cell surface. Tumour neoantigens were released during synergistic phototherapy, triggering the maturation of DCs and activating CTLs and memory T cells, therefore suppressing tumour recurrence and metastasis (Fig. 14c). To achieve better PDT/PTT efficacy, Wang *et al.* developed a near-infrared light triggered antigen-capture nanoplatfrom  $\text{NaYF}_4\text{:Yb/Er@NaYF}_4\text{:Nd/ICG/rose bengal-maleimide}$  (UCNP/ICG/RB-mal) for synergistic photoimmunotherapy (Fig. 14d).<sup>25</sup> As a NIR fluorescent dye, ICG improved the upconversion luminescence for PTT while RB was used to induce PDT. Importantly, due to the antigen-trapping ability of maleimide, endogenous neoantigens could be trapped and reserved *in situ*. Overall, the synergistic PTT/PDT of light-activated UCNPs/ICG/RB-mal can induce immune responses for tumour-specific immunotherapy.<sup>25</sup>

## 4.2 Neoantigen-based radiotherapy

Radiotherapy (RT), an important strategy in clinical anticancer treatment, is used in more than 50% of all patients with cancer.<sup>6,143–145</sup> Radiosensitizers are chemical substances or agents that can improve the killing effect on cancer cells by expediting DNA structure destruction and indirectly generating free radicals. Several studies have reported the synthesis of efficient radiosensitizers with low toxicity.<sup>144</sup> RT-triggered DNA structure destruction and ROS production promote tumour cell necrosis and apoptosis, thereby releasing tumour endogenous neoantigens.<sup>146–148</sup> Similar to that in phototherapy, the captured endogenous neoantigens can be delivered to APCs, thereby promoting DC maturation and triggering a powerful immune reaction to enhance the efficacy of RT.<sup>106</sup> This section will discuss various applications of radiosensitizers to enable neoantigen-based precision tumour RT.

Similar to PDT, RT also can promote tumour cell apoptosis and release tumour endogenous neoantigens, which can serve



**Fig. 14** Neoadjuvant-based synergistic photodynamic/photothermal therapy. (a) Schematic illustration of the fabrication procedure and photoimmunotherapy activity of ICG@COF-1@PDA nanosheets. Reproduced with permission from ref. 98. Copyright 2019, Wiley. (b) The structure of OSPS and its NIR photoactivation mechanism. Photoactivation of OSPS produces synergistic therapeutic effects, including phototherapy and checkpoint blockade immunotherapy. Reproduced with permission from ref. 99. Copyright 2019, Wiley. (c) Illustration of the design of core-shell particles for synergistic phototherapy to release tumour neoantigens, trigger the maturation of DCs and activate CTLs and memory T cells. Reproduced with permission from ref. 100. Copyright 2019, Wiley. (d) Synthesis of the NIR-triggered antigen-harvesting nanoplateform to *in situ* capture and reserve endogenous neoantigens by reactions with maleimide for synergistic photo-immunotherapy. Reproduced with permission from ref. 25. Copyright 2019, Wiley.

as an *in situ* cancer vaccine.<sup>147</sup> Serving as natural carriers, bacteria possess notable advantages in tumor-targeted delivery and in eliciting immune responses.<sup>149</sup> Antigen-capturing (AC) bacteria were prepared by coating *Salmonella* (VNP20009) with polyamidoamine dendrimer NPs by Wang *et al.* to capture neoantigens and deliver them to the DCs in tumour. Notably, neoantigens were captured by positively charged AC bacteria through ionic interactions and spontaneously moved out of the tumour core to activate normal DCs in the surrounding tumour margin tissues, reversing the immunosuppressive microenvironment and promoting the efficacy of radiotherapy (Fig. 15a).<sup>106</sup> Intratumoral injection of AC bacteria ( $B^+$ ) after RT significantly suppressed the proliferation of primary tumours and secondary tumours, and effectively enhanced the local therapeutic effect of radiotherapy. Similarly, Pei *et al.* constructed an inactivated and attenuated *Salmonella* (VNP20009) vector with <sup>131</sup>I labeling (<sup>131</sup>I-VNP).<sup>107</sup> Remarkably, DNA fragments generated by internal radioisotope therapy (IRT) and bacteria further activated the cGAS-STING pathway, initiating innate immune assisted anti-tumour immune response. Besides, ICD co-induced by IRT and bacteria significantly facilitated the release and cross-presentation of tumour endogenous neoantigens.

Excessive accumulation of tumour-associated macrophages (TAMs) in irradiated neoplasms will occur during radiotherapy. Nonetheless, excessive TAMs promote the restoration of blood flow in irradiated tumours, ultimately leading to tumor recurrence.<sup>150,151</sup> CpG oligonucleotides (CpG ODNs), effective immunostimulatory elements, are naturally able to polarize TAMs and enhance immunoreactions to effectively treat cancer.<sup>152,153</sup> Encouraged by this, Xie *et al.* constructed a programmable CpG DNA nanocluster (DNanc) which was self-assembled from Y-shaped double-stranded DNA vectors loaded with CpG-ODNs under special complementary base pairing rules (Fig. 15c).<sup>94</sup> DNanc reversed the TME and induced tumour cell ICD to release abundant endogenous tumour neoantigens, thus improving long-term anti-tumour immunity. Notably, RT + DNanc completely suppressed the recurrence of newly inoculated CT26 tumours.<sup>94</sup> Additionally, Luo *et al.* constructed a two-dimensional nanoplateform cGAMP/MOL based on nanoscale metal organic layers (MOLs) to maximize the surface area in contact with tumours during the distinct radiotherapy–radiodynamic therapy (RT–RDT) process.<sup>101</sup> cGAMP/MOL achieved effective radiosensitization during radiotherapy, while cGAMP (STING agonist) promoted STING pathway activation.<sup>101</sup> cGAMP/MOL plus 2 Gy X-ray therapy triggered



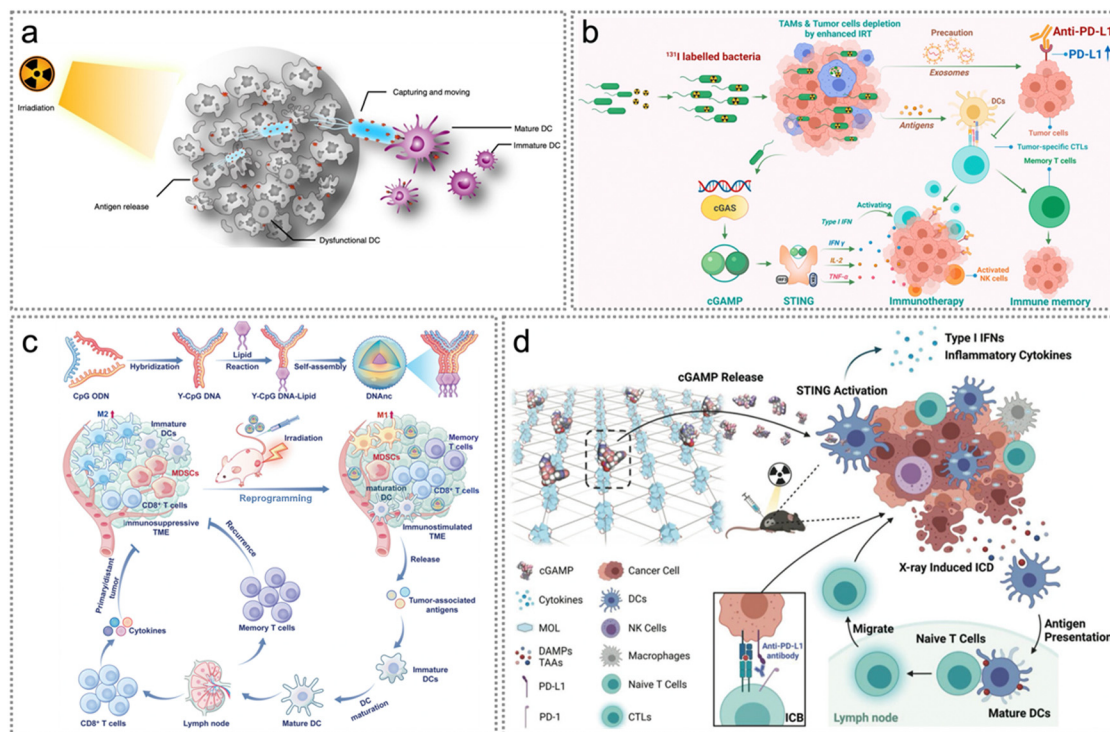


Fig. 15 Neoadjuvant-based radiotherapy. (a) Illustration of radiotherapy induced neoadjuvant capture and delivery to APCs in tumour. Reproduced with permission from ref. 106. Copyright 2022, Springer Nature Ltd. (b) The mechanism of inactivated bacteria boosting multiple anti-tumour immune responses in radioimmunotherapy. Reproduced with permission from ref. 107. Copyright 2022, American Chemical Society. (c) Illustration of DNAnC self-assembly and the antitumour mechanism of DNAnC. Radiotherapy repolarizes M2-like macrophages into M1-like ones and inhibits the proliferation and migration of the residual tumour cells. Reproduced with permission from ref. 94. Copyright 2023, Wiley. (d) Summary of the mechanism of synergistic radiosensitization and immune activation of cGAMP/MOL. Reproduced with permission from ref. 101. Copyright 2022, Wiley.

apoptosis of murine colon cancer MC38 cells while inducing rapid secretion of type-I interferons and inflammatory cytokines containing IFN- $\beta$ , interleukin 6 (IL-6) and TNF- $\alpha$ . Overall, cGAMP/MOL served as a nanovehicle of STING agonists and a powerful radiosensitizer in tumour stroma (Fig. 15d).<sup>101</sup>

#### 4.3 Neoadjuvant-based chemotherapy/chemodynamic therapy (CDT)

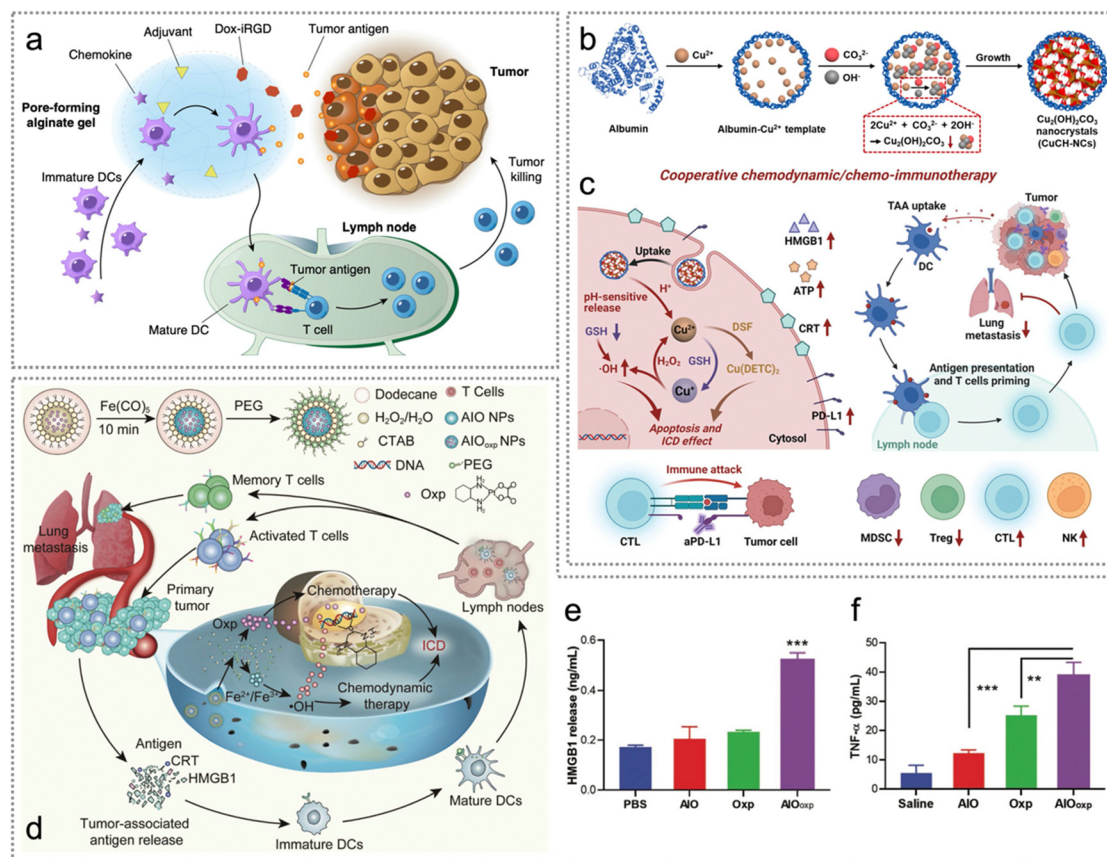
Chemotherapy (CT) has been employed as the standard approach in cancer therapy for a long time<sup>154</sup> while chemodynamic therapy (CDT) is an emerging therapeutic approach based on Fenton or Fenton-like reactions.<sup>155</sup> Similar to photo/radiotherapeutic agents, both chemo- and chemodynamic therapeutic drugs can trigger ICD of tumour cells, thereby releasing tumour endogenous neoantigens and DAMPs.<sup>155–159</sup> Besides, the combination of exogenous neoantigens (including synthetic neoantigen peptides, proteins and the tumour cell membrane) with CT or CDT facilitates immune escape, promotes intratumoral accumulation and enhances anti-tumour immune responses.<sup>30,71,84,160–163</sup> In this part, we will discuss various strategies for developing therapeutic agents to enable exo/endogenous neoantigen-based cancer CT/CDT.

Malignant solid tumours with low immunogenicity continue to exhibit resistance to existing immunotherapies. Although conventional chemotherapy can induce ICD, the anti-tumour

effect is not satisfactory in malignant solid tumours.<sup>78,164</sup> To improve the therapeutic efficacy, Wang *et al.* developed a GM-CSF/Dox-iRGD/CpG gel (a macroporous alginate gel) loaded with the doxorubicin-iRGD (CRDGKGPDC, a nine-AA cyclic peptide) conjugate, granulocyte macrophage colony-stimulating factor (GM-CSF) and CpG oligonucleotides for *in situ* chemoimmunotherapy against poorly immunogenic tumours.<sup>102</sup> Excitingly, the GM-CSF/Dox-iRGD/CpG gel promoted ICD to facilitate endogenous tumour neoantigen release, enhanced DC recruitment and activation and repolarized TAMs into the pro-inflammatory M1 phenotype, thus boosting anti-tumour immune responses (Fig. 16a).

Nanozymes are nanomaterials with inherent enzyme-like catalytic activity.<sup>165</sup> Based on the catalytic mechanism of nanozymes, they can be divided into hydrolase nanozymes, peroxidase nanozymes, oxidase nanozymes, and superoxide dismutase nanozymes.<sup>165</sup> Nanozymes have the advantages of high catalytic stability, low manufacturing cost and easy modification.<sup>165,166</sup> Since the catalytic capability of nanozymes can be modulated by different microenvironments,<sup>165,167,168</sup> Li *et al.* constructed a pH-activatable zymogen, namely, biomimetic copper(II) carbonate hydroxide nanocrystals (CuCH-NCs), for tumour-selective chemodynamic/chemoimmunotherapy against triple-negative breast cancer (TNBC) (Fig. 16b and c).<sup>103</sup> As a pH-activatable zymogen, CuCH-NCs triggered the release of





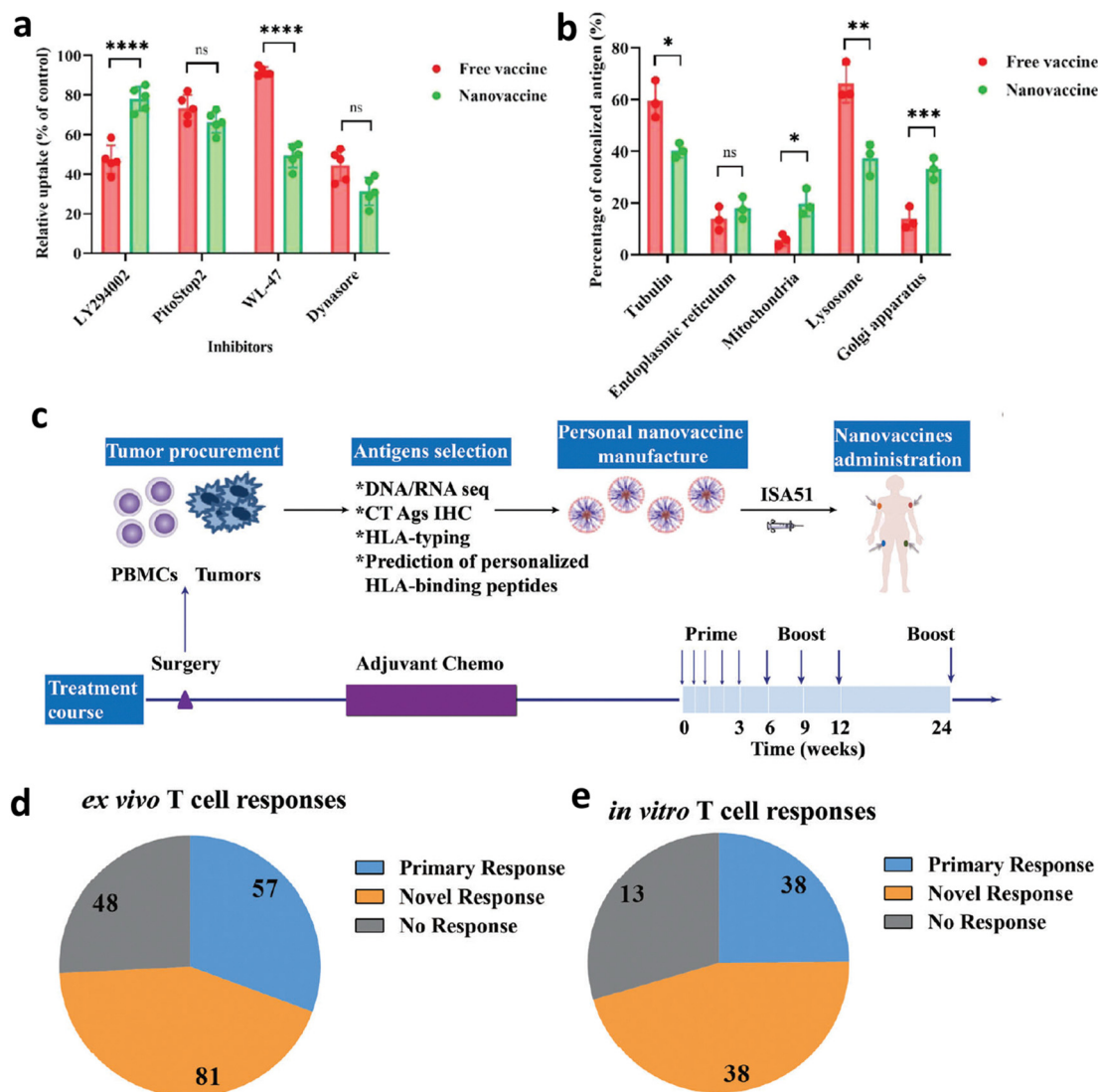
**Fig. 16** Neoantigen-based chemo-/chemodynamic therapy. (a) Schematic illustration of cancer vaccine consisting of Dox-iRGD, adjuvants and chemokines for chemo-immunotherapy. Reproduced with permission from ref. 102. Copyright 2020, Springer Nature Ltd. (b) Synthetic procedure of CuCH-NCs. (c) *In vivo* synergistic chemodynamic/chemoimmunotherapy of CuCH-NCs combined with DSF and anti-PD-L1. Reproduced with permission from ref. 103. Copyright 2022, Wiley. (d) Schematic representation of AIO<sub>oxp</sub> NPs as orthotopic cancer vaccines for chemo/chemodynamic therapy-mediated ICD. (e) The concentrations of released HMGB1 from 4T1 cells treated with PBS, AIO, Oxp and AIO<sub>oxp</sub>. (f) The secretion levels of TNF- $\alpha$  by splenocytes from tumour-bearing mice treated with saline, AIO, Oxp and AIO<sub>oxp</sub>. Reproduced with permission from ref. 104. Copyright 2021, The Royal Society of Chemistry.

$\text{Cu}^{2+}$  in the acidic TME, and  $\text{Cu}^{2+}$  was spontaneously reverted to  $\text{Cu}^+$  by glutathione (GSH) and  $\text{H}_2\text{O}_2$  was catalyzed to generate  $\text{OH}^\bullet$ . In addition,  $\text{OH}^\bullet$  induced damage to tumour cells, and stimulated nontoxic disulfiram (DSF) to inhibit cancer cell proliferation and promote apoptosis.<sup>103</sup> Apart from Cu(II) based nanomaterials, Fe(II) or Fe(III) based ones can also induce CDT. For example, Ding *et al.* developed amorphous iron oxide (AIO,  $\text{Fe}_2\text{O}_3$ )-packaged oxaliplatin (AIO<sub>oxp</sub>) NPs, which induced dual ICD effectively through the synergistic effect of chemotherapy and chemodynamic therapy.<sup>104</sup> Enhanced ICD-induced release of numerous neoantigens and DAMPs (e.g., CRT and HMGB) effectively promotes DC maturation and T cell ( $\text{CD4}^+$  and  $\text{CD8}^+$  T) activation (Fig. 16d and e). Furthermore, AIO<sub>oxp</sub> also significantly boosted the secretion of TNF- $\alpha$  and IL-6 (Fig. 16f).

Tumour heterogeneity and enzymatic degradation significantly reduce the efficacy of neoantigens in clinical tumour therapy.<sup>169</sup> To overcome this barrier, Liu *et al.* constructed a personalized neoantigen nanovaccine (PNVAC) to enhance tumour immunotherapy (Fig. 17a).<sup>23</sup> The fluorescence intensity of PNVAC in bone marrow-derived dendritic cells (BMDCs) dropped significantly after incubation with caveolin inhibitor

WL-47 and dynamin inhibitor dynasore, indicating that PNVAC uptake mainly depends on caveolin and dynamin (Fig. 17a). Once ingested, the free vaccine was mainly distributed in lysosomes and easily degraded. Part of PNVAC was shown to be located on the surface of Golgi apparatus, and this is responsible for post-translational modification, indicating that nanotechnology is conducive to lysosomal escape and antigen presentation (Fig. 17b). A phase I clinical trial (ChiCTR1800017319) was initiated to evaluate the immunogenicity, safety, and prophylactic effect of PNVAC in preventing tumor recurrence in patients diagnosed with gastric cancer after surgery, including IIIB/IIIC/IVA. It was worth mentioning that PNVAC induced T cell reactions in all 275 patients, with 256 patients experiencing new immune reactions. The immunized antigens elicited T cell responses in all patients and 93.1% of patients generated novel immune responses. Furthermore, 77.8% (214/275) of immunized peptides induced positive T cells because the IFN- $\gamma$  secretion was double than that of the control group (Fig. 4c). An *ex vivo* IFN- $\gamma$  cytometric bead array (CBA) of the immunized neoantigens induced primary responses and 43.5% (81/186) induced novel antigen reactive





**Fig. 17** Exogenous neoantigen-based chemotherapy. (a) Quantification of the fluorescence intensity of the cells incubated with four inhibitors (micropinocytosis inhibitor: LY294002, clathrin inhibitor: PitoStop2, caveolin inhibitor: WL-47, dynamin inhibitor: dynasore) before incubation with PNVAC. (b) Quantification of fluorescence intensity of endoplasmic reticulum, mitochondria, lysosomes, or Golgi apparatus after mouse BMDC incubation with the free vaccine or PNVAC by total internal reflection fluorescence microscopy. (c) Procedure of the Phase I clinical trial. First, tumor somatic mutations of gastric cancer were identified using WES and gene expression was confirmed using RNA-seq. Then the cancer-testis antigen (CTA) expression was investigated by immunochemical staining and immunized neoantigens were identified based on HLA binding affinity predictions and manufactured into PNVAC. PNVAC and adjuvant Montanide ISA 51 were subcutaneously injected at four designated sites. (d) *Ex vivo* and (e) *in vitro* stimulation. The patients' immune responses to PNVAC were evaluated by detecting and monitoring IFN- $\gamma$  released from peripheral blood mononuclear cells (PBMCs) using CBA assay. The IFN- $\gamma$  expression of mutant peptide-stimulated PBMCs greater than twice the negative control was considered to have positive immune responses. Reproduced with permission from ref. 23. Copyright 2022, Wiley.

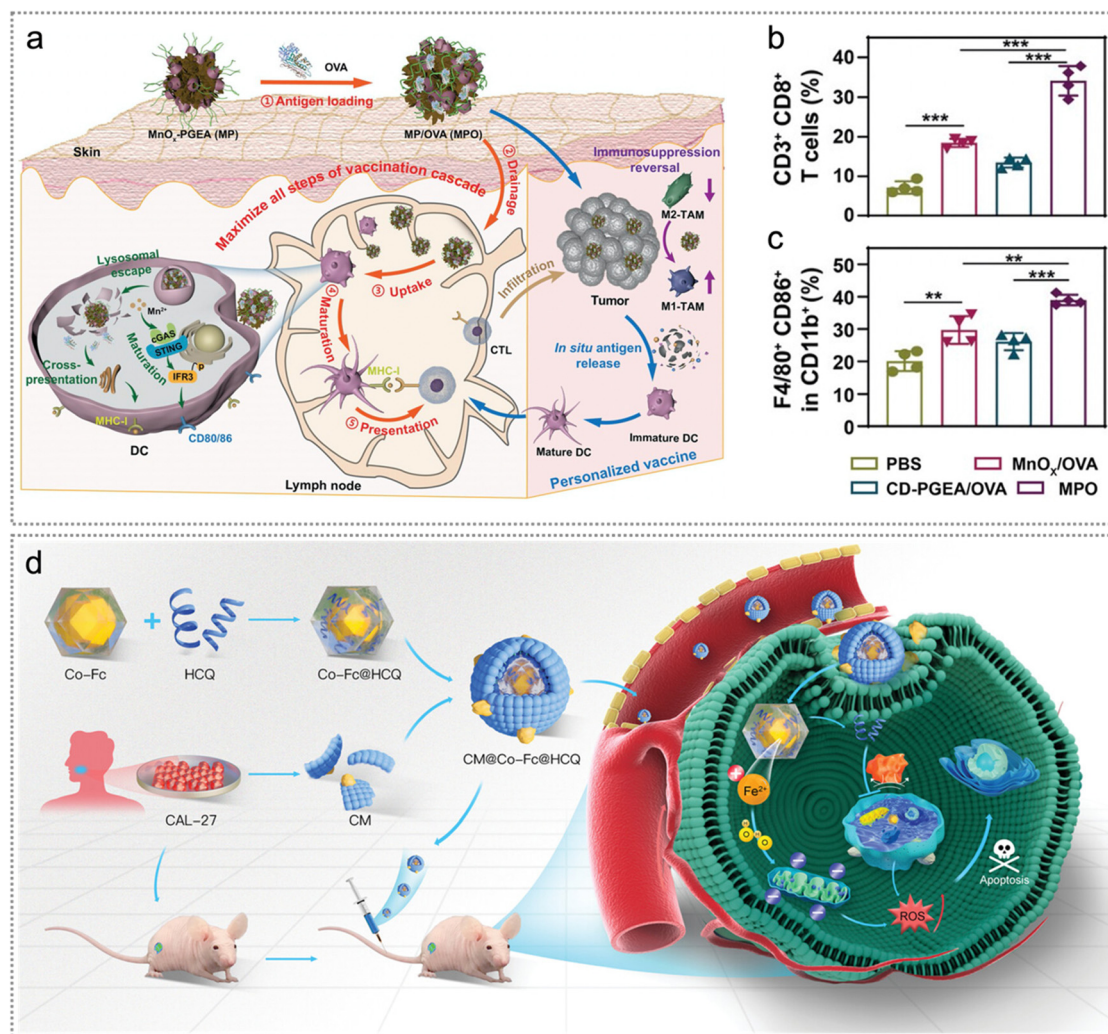
responses after treatment (Fig. 4d). In some cases, the reactivity of T cells was assessed using *in vitro* IFN- $\gamma$  CBA assay. The primary and novel responses to the given neoantigens were found to be 42.7% (38/39) and 42.7% (38/39), respectively (Fig. 4d). This work provides a feasible strategy with excellent biosafety for developing neoantigen vaccines to delay gastric cancer recurrence after surgery.

To maximize effectiveness after vaccination, Zhao *et al.* constructed MPO nanovaccines to enhance antitumour immunity by promoting the vaccine cascade and inducing ICD.<sup>30</sup> Specifically, MPO nanovaccines promoted exogenous neoantigen

delivery to LNs, improved cross-presentation of exogenous neoantigens and lysosomal escape, boosted tumour cell ICD to release endogenous neoantigens as well as repolarized macrophages to an M1 phenotype (Fig. 18a and b). Additionally, MPO effectively repolarized macrophages to an M1 phenotype (CD11b<sup>+</sup>F4/80<sup>+</sup>CD86<sup>+</sup>) with anti-tumour capabilities while reducing the proportion of the M2 phenotype (CD11b<sup>+</sup>F4/80<sup>+</sup>CD206<sup>+</sup>) (Fig. 18c). Overall, MPO effectively inhibited tumour proliferation and enhanced the survival rate, which was attributed to its immunomodulatory effect and enhanced antitumour immunity.<sup>30</sup>







**Fig. 18** Exo/endogenous neoantigen-based chemotherapy/chemodynamic therapy. (a) Illustration of MPO for promoting exogenous neoantigen delivery to LNs, improving cross-presentation of exogenous neoantigens and lysosomal escape, boosting endogenous neoantigen release as well as repolarizing macrophages to an M1 phenotype. (b) and (c) The quantification of CD8<sup>+</sup> T cells (gated on CD3<sup>+</sup> T cells) in tumours (b) and M1 macrophages (CD11b<sup>+</sup>F4/80<sup>+</sup>CD86<sup>+</sup>) in tumours (c) on day 25 ( $n = 4$ ). Reproduced with permission from ref. 30. Copyright 2023, Wiley. (d) Schematic illustration of the preparation procedure and intracellular mechanism of CM@Co-Fc@HCQ NPs. Reproduced with permission from ref. 160. Copyright 2023, Wiley.

Side effects such as systemic chemotherapy and multidrug resistance are the most frequently encountered issues in cancer chemotherapy.<sup>170</sup> It remains a huge challenge to improve therapeutic efficacy as well as minimize the adverse effect. Inspired by this, Chen *et al.* developed cancer cell membranes (CM)@cobalt-ferrocene metal-organic framework (Co-Fc)@hydroxychloroquine (HCQ) (CM@Co-Fc@HCQ) for effective ablation of OSCC (Fig. 18d).<sup>160</sup> Notably, CM@Co-Fc@HCQ significantly enhanced tumour-targeting ability, accumulated at tumour locations and facilitated immune escape, thereby boosting therapeutic efficacy and effectively minimizing the adverse effects from systemic chemotherapy. Acting as external neoantigens, CMs derived from CAL-27 cell lines markedly promoted immune evasion, consequently amplifying the accumulation of CM@Co-Fc@HCQ within the tumor (Fig. 18d). Moreover, the enhanced tumour-targeting ability of CM@Co-Fc@HCQ significantly reduced the side effects of systemic chemotherapy.

## 5. Neoantigen-based other therapies

Besides PDT, PTT, RT, CT and CDT, sonodynamic therapy (SDT) can also promote highly cytotoxic ROS generation to induce ICD of tumour cells, triggered by ultrasound (US).<sup>171–174</sup> Due to the fact that SDT can specially stimulate tumour immune reactions, Lu *et al.* developed MnO<sub>2</sub>-poly(I:C)@COF nanoparticles for reshaping the TME and activating immune responses to enhance SDT.<sup>105</sup> Enhanced SDT significantly amplified the ICD effect and promoted cancer cell death, releasing an abundance of tumour endogenous antigens and other damage-related model molecules. Besides, GSH depletion triggered the production of Mn<sup>2+</sup> and the released poly(I:C) further promoted the production of tumour endogenous antigens. Furthermore, tumour endogenous antigens promoted DC maturation and cytokine secretion (Fig. 19a). Such NPs effectively suppressed the proliferation of both primary and distant





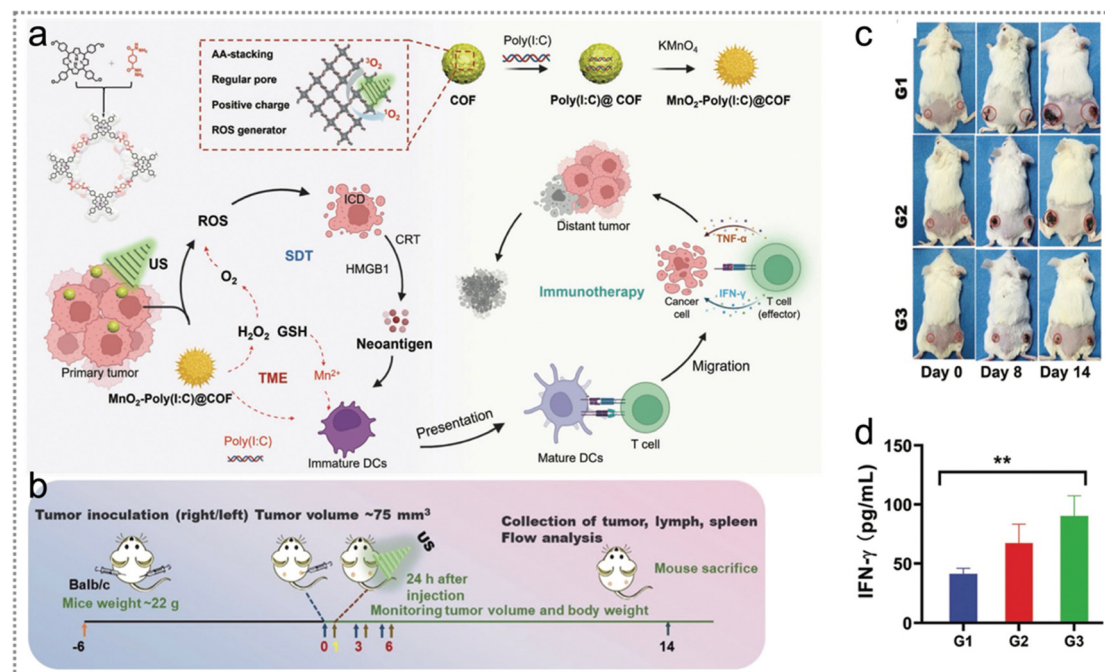


Fig. 19 Neoadjuvant-based sonodynamic therapy. (a) The schematic diagram of the reasonable construction of MnO<sub>2</sub>-poly(l:C)@COF NPs to boost SDT by reshaping the TME and stimulating immune responses. (b) Schematic diagram of the experimental project of the bilateral model strategy for 4T1 subcutaneous tumours. (c) Representative visual pictures of various therapy groups on days 0, 8, and 14. (d) Cytokine levels of IFN-γ in the blood serum of mice were measured on the final day after multiple therapeutics. Reproduced with permission from ref. 105. Copyright 2022, Wiley.

tumours as well as significantly increased various cytokines' expression (Fig. 19b–d).

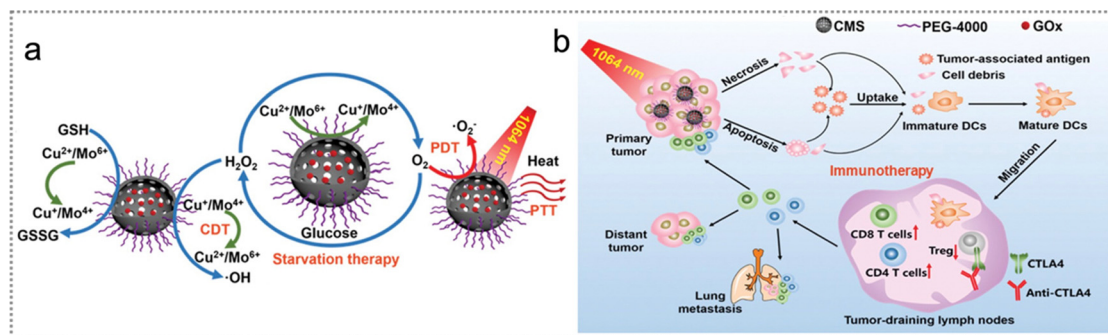
Tumour proliferation and survival depend on sustenance provided by the host.<sup>175</sup> Besides, general tumour cells consume sustenance significantly faster than normal cells.<sup>176</sup> Starvation therapy is a non-invasive cancer treatment strategy by effectively interrupting the nutrient supply to tumour cells and starving them to death.<sup>176,177</sup> Since the combination of starvation therapy and other therapies (*e.g.*, PDT, PTT, CDT) will further improve the efficacy of tumour therapy,<sup>176,177</sup> Chang *et al.* constructed a multifunctional cascade biological reactor based on hollow mesoporous Cu<sub>2</sub>MoS<sub>4</sub> (CMS) with glucose oxidase (GOx) for starvation photo-/chemodynamic/immunotherapy of cancer (Fig. 20a).<sup>178</sup> PEGylated CMS@GOx elicited a vaccine-like immune reaction by tumour endogenous antigens released from the elimination of the primary tumour. Moreover, PEGylated CMS@GOx (anti-CTLA4) triggered a strong immune response to effectively inhibit tumour metastasis (Fig. 20b).

## 6. Prospects and challenges

In this tutorial review, we have provided an overview of the origins of neoantigens and their mechanisms as potential cancer therapeutics. Additionally, we have outlined strategies to improve the effectiveness of neoantigen-based treatments, which encompass facilitating neoantigen release, enhancing neoantigen capture, promoting delivery to APCs, protecting

them from degradation, prolonging retention time, and increasing the immunogenicity of neoantigens (Table 1). Meanwhile, the complete names and abbreviations are listed in Table 2.

Neoantigens are able to evade central tolerance, effectively inhibiting tumour progression, metastasis, and relapse in the treatment of diverse cancers. They accomplish this by facilitating the maturation of APCs and eliciting immune responses. ICD can be induced by ROS generated by PDT, RT, CDT, and SDT, the hyperthermia induced by PTT, as well as double-strand DNA breaks caused by RT and CT,<sup>179</sup> thereby releasing sufficient tumour neoantigens. Besides, exo/endogenous neoantigens could increase the delivery efficiency of neoantigens to APCs, improve the adsorption of neoantigens by APCs and promote antigen presentation, further inducing the maturation of DCs and effectively activating effective T cells. In addition, the EPR effect of NPs promotes the binding of neoantigens to tumor cells or APCs, prolonging the retention time in the TME and resulting in a long-term immune response.<sup>25,26,30,164</sup> The combination of nanomedicine and neoantigens synergistically augmented the therapeutic efficacy because multiple therapeutic modalities could significantly reshape the TME, including suppressing Tregs cell proliferation, promoting CD8<sup>+</sup> T cell and NK cell proliferation, repolarizing macrophages from the M2 phenotype to M1 phenotype as well as enhancing the release of cytokines, including IFN-β, IL-2, TNF-α, *etc.* The reshaping of the TME can accurately and effectively inhibit tumour growth, recurrence and metastasis.<sup>180</sup>



**Fig. 20** Neoaentigen-based starvation therapy. (a) Illustration of PEGylated CMS@GOx for PTT/PDT/CDT/starvation therapy by photogeneration of superoxide radicals, depletion of GSH and Fenton-like reaction. (b) The mechanism of CMS@GOx activated antitumour immune response. The NPs elicited a vaccine-like immune reaction triggered by endogenous antigens released from the primary tumour. Reproduced with permission from ref. 178. Copyright 2019, Wiley.

**Table 1** Overview of representative strategies for neoaentigen-based theranostics

Strategy	Nanoparticles	Therapeutic types	Cell lines	Ref.
Facilitating neoaentigen release	LIA	PDT	4T1	26
	RMmAGL	PTT	LoVo	22
	BK@AIE NPs	PTT	U87-MG	96
	ICG@COF-1@PDA	PDT/PTT	4T1	98
	OSPS	PDT/PTT	4T1	99
	PDA@UCNP-PEG/Ce6	PDT/PTT	4T1	100
	131I-VNP	RT	CT26	107
	cGAM/MOL	RT-RDT	MC38, CT26	101
	DNanc	RT	4T1, CT26	94
	GM-CSF + Dox-irGD + CpG gel	CT	4T1	102
	CuCH-NCs	CDT/CIT	4T1, MDA-MB-231, B16F10	103
	MnO <sub>2</sub> -poly(I:C)@COF	SDT	4T1	105
	MPO	CDT	B16-OVA	30
	AlOoxp	CT/CDT	4T1	104
	MR@C	PTT	4T1	97
	PBA-nChap	PDT	B16F10	24
	IERL-Ps	PDT	4T1	21
Enhancing neoaentigen capture	Mal-PEG <sub>5000</sub> -b-PC7A45	PDT	CT26, B16F10	119
	Mn-ONc-A-malF127	PTT	CT26	95
	1-MT@OMV-Mal	PTT	CT26	108
	AC bacteria	RT	CT26, 4T1, B16F10	106
	UCNP/ICG/RB-mal	PDT/PTT	4T1	25
	PBA-nChap	PDT	B16F10	24
Promoting neoaentigen delivery to APCs	IERL-Ps	PDT	4T1	21
	mD@cSMN	PDT	H22	76
	AC bacteria	RT	CT26, 4T1, B16F10	106
	Mn-ONc-A-malF127	PTT	CT26	95
	F <sub>13</sub> -PEI/Mem	IT	B16F10, 4T1	31
	(ALDH-A1/A3-CpG)-ND	IT	4T1, D5	91
	RGO(CpG)-PEG	IT	MC38, B16F10	92
	MPO	CDT	B16-OVA	30
	MR@C	PTT	4T1	97
	PBA-nChap	PDT	B16F10	24
Protecting neoaentigens from degradation	PBA-nChap	PDT	B16F10	24
	IERL-Ps	PDT	4T1	21
Prolonging the retention time of neoaentigens	LIA	PDT	4T1	26
	UCNP/ICG/RB-mal	PDT/PTT	4T1	25
	MPO	CDT	B16-OVA	30
Increasing the immunogenicity of neoaentigens	AC bacteria	RT	CT26, 4T1, B16F10	106
	PBA-nChap	PDT	B16F10	24
	IERL-Ps	PDT	4T1	21

Although neoaentigen-based therapeutics have achieved certain achievements in precision therapy, there are still many challenges in the clinic. First, tumour heterogeneity is a notable characteristic, evident both among different individuals and

within the development of the same tumour. Consequently, the accurate identification and selection of neoaentigens are pivotal for achieving clinical precision and personalized treatments.<sup>27</sup> At present, identification of neoaentigens mainly relies on deep



Table 2 Full names and the corresponding abbreviations in the text

Full name	Abbreviation	Full name	Abbreviation
Single nucleotide variant	SNV	Interferon gamma	IFN- $\gamma$
Insertions or deletions	INDEL	Enzyme-linked immunosorbent assay	ELISPOT
Mitochondrial DNA	mtDNA	Single-cell TCR sequencing	scTCR-seq
Antigen-presenting cell	APC	Hydroxyl radical	$\bullet$ OH
Dendritic cell	DC	Superoxide radical	$\bullet$ O <sub>2</sub>
Chlorin e6	Ce6	Hydrogen peroxide	H <sub>2</sub> O <sub>2</sub>
Light-activatable immunological adjuvant	LIA	Tumour associated antigen	TAA
Reactive oxygen species	ROS	Polyethylene glycol	PEG
Near-infrared	NIR	1-Methyltryptophan	1-MT
Hypoxia-responsive amphiphilic dendrimer	HAD	Tryptophan	Trp
Upconversion nanoparticle	UCNP	Kynurenine	Kyn
Photodynamic therapy	PDT	Bradykinin	BK
Photothermal therapy	PTT	Indocyanine green	ICG
Covalent organic framework	COF	Polydopamine	PDA
Immunogenic cell death	ICD	Organic semiconductor pro-nanostimulator	OSPS
Nanodisc	ND	Regulatory T	Treg
Major histocompatibility complex	MHC	Rose Bengal	RB
Tumour-infiltrating lymphocyte	TIL	Upconversion luminescence	UCL
Single-nucleotide alteration	SNA	Radiotherapy	RT
Pan-cancer analysis of whole genomes	PCAWG	Antigen-capturing	AC
Neuroblastoma RAS viral oncogene homolog	NRAS	Cancer stem cell	CSC
Telomerase reverse transcriptase	TERT	Aldehyde dehydrogenase	ALDH
Von Hippel-Lindau	VHL	Sonodynamic therapy	SDT
Tumour suppressor gene	TSG	Ultrasound	US
Gene fusion	GF	Granulocyte macrophage colony-stimulating factor	GM-CSF
Head and neck squamous cell carcinoma	HNSCC	Chemodynamic therapy	CDT
Amino acid	AA	Personalized neoantigen nanovaccine	PNVAC
Whole-exome sequencing	WES	N-Ethyl-hexamethyleneimine	C7A
Immune checkpoint blockade	ICB	Oral squamous cell carcinoma	OSCC
Tumour microenvironment	TME	Immunoglobulin G	IgG
Tumour-specific antigen	TSA	Triple-negative breast cancer	TNBC
Human leukocyte antigen	HLA	Amorphous iron oxide	AIO
T cell receptor	TCR	Adenosine triphosphate	ATP
RNA sequencing	RNA-seq	Hepatitis B virus	HBV
Radiodynamic therapy	RDT	Human papillomavirus	HPV
Cytotoxic T lymphocyte	CTL	Merkel cell polyomavirus	MCPyV
Squamous cell carcinoma	SCC	Merkel cell cancer	MCC
Peripheral blood mononuclear cell	PBMC	Oxaliplatin	Oxp
Metal organic layer	MOL	High-mobility group box 1	HMBG1
Photosensitizer	PS	Proton sponge effect	PSE
Calreticulin	CRT	Epstein-Barr virus	EBV
Interleukin 6	IL-6	Hepatitis C virus	HCV
Tumour-associated macrophage	TAM	Kaposi's sarcoma-associated herpesvirus	KSHV
DNA nanocluster	DNanc	Human T-cell lymphotropic virus type 1	HTLV1
Cytosine-phosphate-guanine	CpG	Cyclic GMP-AMP synthase-stimulator	cGAS
Oligonucleotide	ODN	Reduced graphene oxide	RGO
Doxorubicin	Dox	Chloroquine	CQ
Glutathione	GSH	Ovalbumin	OVA
Disulfiram	DSF	Food and Drug Administration	FDA
Mesoporous polydopamine	MPDA		
2-Formylphenylboronic acid	PBA	Internal radioisotope therapy	IRT
Immune-enhancing polymer-reinforced liposome	IERL	Stimulator of interferon gene	STING

sequencing,<sup>118</sup> bioinformatics prediction and protein mass spectrometry identification technology,<sup>19,181–184</sup> whose duration is relatively longer. In addition, the efficacy of neoantigens varies from each other, necessitating the screening of neoantigens with the highest affinity for HLA. Hence, advanced neoantigen identification technology should be developed to accelerate the clinical translation. Second, although mouse tumour models have been universally employed, the cancer cell lines lack the characteristic of tumour heterogeneity. A majority of the tumours adopted in the research are subcutaneous tumours, which are easy to operate and monitor but cannot mimic the human tumour and TME nicely. Humanized

mice are costly, and presently, researchers create tumour models by grafting human carcinoma cell lines or patient-derived tumour tissues into immunodeficient mice. However, notable disparities exist in the immune systems of mice and humans, making it challenging to accurately reflect the immune responses and therapeutic outcomes in humans. Therefore, more rationalized big animal tumour models (*e.g.*, pig, dog, monkey) need to be developed for neoantigen-based therapy. The rapid development of nanotechnology has shown an excellent synergistic effect, including reshaping the TME, promoting the release of neoantigens, enhancing the adsorption of neoantigens by APCs, antigen presentation, *etc.*





However, there are still numerous challenges to overcome before clinical translation. The *in vivo* biosafety evaluation of most nanomaterials has been evaluated based on animal models and the evaluation cycle is relatively short. In addition, the assessment of biosafety mainly focuses on main organs, without considering the overall body (e.g., brain, skin, eye, skeleton, and muscle). Currently, most of the current research is focused on the innovation of nanomaterials. Although innovative nanomaterials have revealed excellent synergistic effects in neoantigen-based therapeutics, there is still a long way to go before FDA approval and certification. Therefore, it is necessary to simultaneously consider the innovation of nanomaterials and the feasibility of clinical applications.

## Conflicts of interest

There are no conflicts to declare.

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