



Durability challenges of anion exchange membrane fuel cells

William E. Mustain,^a Marian Chatenet,^b Miles Page^c and Yu Seung Kim^{*d}Cite this: *Energy Environ. Sci.*,
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As substantial progress has been made in improving the performance of anion exchange membrane fuel cells (AEMFCs) over the last decade, the durability of AEMFCs has become the most critical requirement to deploy competitive energy conversion systems. Because of different operating environments from proton exchange membrane fuel cells, several AEMFC-specific component degradations have been identified as the limiting factors influencing the AEMFC durability. In this article, AEMFC durability protocol, the current status of AEMFC durability, and performance degradation mechanisms are reported based on the discussion during the US Department of Energy (DOE) Anion Exchange Membrane Workshop at Dallas, Texas, May 2019. With additional recent progress, we provide our perspectives on current technical challenges and future action to develop long-lasting AEMFCs.

Broader context

The fuel cell converts the chemical energy of hydrogen to produce electricity. Cost-effective fuel cell technology has become highly desirable because hydrogen is anticipated to become an essential integrator for renewable and grid electricity. Current state-of-the-art acid-based fuel cells use expensive platinum catalysts for electrochemical reactions and therefore much of the R&D focuses on approaches that will reduce or eliminate precious metal catalysts. Anion exchange membrane fuel cells (AEMFCs) are a promising alternative since earth-abundant non-precious metal catalysts showed high activity and stability under high pH conditions. Over the past three years, the performance of AEMFCs have remarkably improved, but the durability of AEMFCs is still inferior to that of acid-based fuel cells. In this perspective article, we present the status of AEMFC durability and the degradation behaviors of AEMFCs based on both discussions at the 2019 US DOE Anion Exchange Membrane Workshop in Dallas, Texas, and additional input from other experts. We also provide comprehensive degradation mechanisms of AEMFCs and in-depth discussions on the mitigation strategies at both a single cell and system level. Lastly, we highlight current durability challenges and propose future actions to improve AEMFC durability.

1. Introduction

This paper primarily combines contributions made in talks and discussions at the US Department of Energy (DOE) Anion Exchange Membrane Workshop (Dallas, Texas, May 2019)¹ on the subject of anion-exchange membrane fuel cell (AEMFC). Furthermore, Mustain and Kim have edited the manuscript after adding more recent data, receiving additional input from other experts in this field, and highlighting the current status and challenges to provide critical insights for future actions.

Over the past decade, substantial progress on AEMFC performance has been made. In fact, the performance has

approached that of state-of-the-art proton exchange membrane fuel cells (PEMFCs) ($\geq 2 \text{ W cm}^{-2}$ peak power density for polyolefin-based AEMFCs at 60–80 °C^{2,3} and $\geq 1.5 \text{ W cm}^{-2}$ peak power density for polyaromatic-based AEMFCs at 80–95 °C^{4–6}). Research efforts to lowering the loading of platinum group metal (PGM) catalysts⁷ or implementing PGM-free catalysts^{8–12} have been successful as well. This research progress opens the door for the development of low-cost polymer electrolyte fuel cells.

The most significant remaining challenge of AEMFC technology is durability. The reported lifetime of the AEMFCs is significantly inferior to that of the PEMFCs.¹³ Most AEMFC membrane electrode assemblies (MEAs) have shown a substantial reduction in performance over the first 100–200 hours of operation.^{14–16} While a few reports showed a longer AEMFC lifetime (500–1000 hours) under steady-state operating conditions,^{17–19} the longevity of AEMFCs seemed at least one order of magnitude lower than that of the PEMFCs.^{20,21} In the early stages of AEMFC research before 2012, researchers had

^a Department of Chemical Engineering, University of South Carolina, Columbia, SC, USA. E-mail: mustainw@mailbox.sc.edu

^b Univ. Grenoble Alpes, Univ. Savoie Mont Blanc, CNRS, Grenoble INP (Institute of Engineering, Univ. Grenoble Alpes), LEPMI, 38000 Grenoble, France

^c PO-CellTech Ltd., Caesarea, Israel

^d MPA-11: Materials Synthesis & Integrated Devices, Los Alamos National Laboratory, Los Alamos, NM, USA. E-mail: yskim@lanl.gov



investigated the chemical stability of anion exchange membranes (AEMs), focusing on the stability of organic cation functional group because the stability of organic cations under high pH conditions is inferior to the chemical stability of organic anions under low pH conditions.^{22–25} However, further studies (2012–2014) revealed that the cation functionalized polymer backbone is also susceptible to degradation, particularly for aryl ether linkages (C–O–C bond), leading to the preparation of all AEMs with C–C-bond backbone.^{26–29} It is important to note that the development of alkaline stable aryl ether-free polymers significantly contributed to the development of cationic group stable polymers

because of the difficulties in investigating the cation degradation for aryl ether containing polymers as polymer segments containing cationic groups are easily dissolved in water. As a result of studies on alkaline stable cationic groups, the most commonly used benzyl ammonium functional groups have been replaced with more stable alkyl chain tethered polymers (2013–2015)^{30–33} or more stable cationic functional groups such as piperidinium (2015–2017).^{34–40} Currently several alkaline-stable AEMs are available.^{41–46} However, it is important to note that the lifetime of most AEMFCs employing even alkaline-stable AEMs and stable electrocatalysts^{47–53} is still <1000 h. Therefore, researchers have



William E. Mustain

William E. Mustain is a Professor in the Department of Chemical Engineering at the University of South Carolina. He received his PhD from the Illinois Institute of Technology in 2006. He spent two years as a postdoc at Georgia Tech before beginning his academic career in 2008. He has received several prestigious awards including the DOE Early Career Award and the Fulbright Scholar Fellowship. His current research programs focus extensively on anion exchange membrane fuel cells and electrolyzers as well as high capacity materials for Li-ion batteries and catalysts for electrosynthesis.

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Marian Chatenet

Marian Chatenet graduated as an engineer in materials-sciences and a master in electrochemistry in 1997 from Grenoble Institute of Technology (Grenoble-INP). He defended his PhD in Electrochemistry in 2000 (Grenoble-INP) and moved to the University of Minnesota as a post-doc. Appointed associate professor in electrochemistry (2002), he is professor in Grenoble-INP since 2011. He studies electrocatalysis of complex reactions and activity/durability of electrocatalysts for fuel cell/electrolyzer applications. Best young scientist in Electrochemistry of the French Chemical Society (SCF, 2009), he received the Oronzio and Niccolò De Nora Foundation Prize of the International Society of Electrochemistry on Applied Electrochemistry (ISE, 2010).

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Miles Page

Miles Page is CTO at POCeCellTech, Ltd., a company commercializing AEM fuel cell technology. He holds a PhD (2003) in Physical Chemistry from The University of Sydney, Australia in surfactant self-assembly. He engaged in postdoctoral research in biomineralization, jointly with the Max-Planck-Institute for Colloids and Interfaces, Potsdam (Germany) and the Commissariat à l'Energie Atomique at Saclay (France). He undertook further postdoctoral studies at the Weizmann Institute of Science in Rehovot (Israel) in thin film solar cells before taking an R&D team leader role at pioneering AEMFC developer Celleria Technologies, on whose background IP the POCeCellTech fuel cell development is based. At POCeCellTech he has overseen development of high power density and ultra-low PGM, AEMFC membrane-electrode assembly and stack technologies.

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Yu Seung Kim

Yu Seung Kim is a technical staff scientist at Los Alamos National Laboratory, USA. He received his BS degree from Korea University (1994) and his PhD degree from Korea Advanced Institute of Science and Technology (1999) in the field of Chemical Engineering. He spent three years as a post-doctoral fellow at the Chemistry Department of Virginia Tech before joining the fuel cell group at Los Alamos (2003). He received the Outstanding Technical Contribution and Achievements Award from US DOE Hydrogen and Fuel Cell Program (2016). His research interest is materials for fuel cells and electrolyzers. He currently leads Membrane Working Group of US DOE Hydrogen and Fuel Cell Technologies Office.

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tried to understand the degradation factors that impact the lifetime of AEMFCs. Reviewing the AEMFC degradation mechanisms at this moment is particularly desirable because not only have we accumulated substantial data regarding water management, carbonation and component stability that impact the AEMFC durability, but also the AEMFC degradation study helps to understand the longevity of other AEM-based electrochemical devices.^{54,55}

This paper reviews the progress on AEMFC durability between 2017–2019, as earlier durability data were well documented in the previous review paper.¹³ In detail, we explain the AEMFC performance requirement during continuous operation at a constant condition. Then we discuss the durability test protocol of AEMFCs and the MEA components that researchers have implemented. The current status of the AEMFC durability using PGM and PGM-free catalysts is reported. Next, we discuss the AEMFC degradation behaviors that cause recoverable performance loss and MEA component degradation mechanisms that cause unrecoverable performance loss. We mostly focus our review on hydrogen-fueled AEMFCs, as liquid or other gas-fed AEMFCs have more complex operating parameters and did not have much-accumulated data to address up to date. We emphasize the transient performance change behaviors by water management and carbonation for recoverable performance loss. For the unrecoverable performance loss, we focus on the degradation of MEA components during AEMFC operations. Proposed remediation strategies are reviewed here in some details. We do not provide exhaustive discussion on alkaline stability of AEMs as excellent papers on this topic are available.^{46,56–59} All in all, this paper reports the progress on AEMFC durability to date, providing insight into the operation of AEMFC stacks to operate over thousands of hours, which may be an affordable option for next-generation energy conversion devices.

2. AEMFC performance requirement and test protocols

2.1 AEMFC performance requirement

In practical fuel cell applications, the fuel cell stack is designed to deliver a certain power. For example, for the automotive application, current US DOE target for the power density of AEMFCs is $\geq 1.0 \text{ W cm}^{-2}$ at 0.76 V at 80 °C (rated power), $P \leq 250 \text{ kPa}$; PGM-free, under H_2/air conditions.⁶⁰ To assure constant power delivery, any loss in the cell performance has to be compensated with higher current density. Fig. 1a shows the performance change over time of a hypothetical single cell that illustrates the change of cell current density and voltage to generate the target power density. For the required power density of 1.0 W cm^{-2} , the cell current density has to be increased from 1.3 to 2.1 A cm^{-2} for the voltage loss from 0.76 to 0.49 V. However, once the voltage reaches 0.49 V, the system fails to generate the power needed, which is indicated by the red plot on the “performance for required power density” staying above the blue polarization curve. In addition



Fig. 1 (a) Fuel cell performance loss overtime in a hypothetical fuel cell system designed to deliver the power density of 1.0 W cm^{-2} . The initial performance starts with rated power (0.76 V at 80 °C). (b) Illustration of recoverable and unrecoverable AEMFC performance loss during constant current operation mode.

to the ultimate failure of the fuel cell system from generating required power, performance degradation leads to a decrease in the total efficiency of the fuel cell *via* a decrease in voltage efficiency. In the example shown in Fig. 1a, the simulated performance degradation during device life (red path) leads to a decrease in voltage efficiency at the required power density, the initial efficiency of 54% at the no-loss case to 41% in the cell operating voltage.

2.2 Protocols for MEA durability

While the lifetime of an AEMFC system may be determined by operating the system at a required power, the constant power density mode is rarely adopted for fuel cell durability testing. Instead, the durability of an AEMFC system is usually evaluated in either constant-current or constant-voltage mode of operation. Constant current density mode is the most popular method among others, including the AEMFC durability protocol of US DOE Hydrogen and Fuel cell Technologies Office (HFTO) (Table 1).⁶⁰



Table 1 US DOE AEMFC MEA durability milestones⁶⁰

Year	Milestone
2022	$\leq 10\%$ voltage degradation over 1000 h at 0.6 A cm^{-2} ; $T \geq 80 \text{ }^\circ\text{C}$; $P \leq 150 \text{ kPa}$; total PGM loading $\leq 0.2 \text{ mg cm}^{-2}$
2023	CO_2 tolerance: $\leq 65 \text{ mV}$ loss for steady state operation at 1.5 A cm^{-2} in H_2/air scrubbed to 2 ppm CO_2
2024	Catalyst: H_2/air (CO_2 -free) after AST $\leq 40\%$ loss after 10 000 square-wave cycles 0.6–0.95 V, PGM loading $\leq 0.125 \text{ mg cm}^{-2}$ Membrane: H_2 crossover $\leq 15 \text{ mA cm}^{-2}$ (H_2/N_2) during 1000 h open circuit voltage (OCV) hold at 70% RH and $\geq 80 \text{ }^\circ\text{C}$

Constant current density mode better simulates operating conditions of a practical fuel cell system, allowing for constant consumption and generation of water in the oxygen reduction reaction (ORR) at the cathode and the hydrogen oxidation reaction (HOR) at the anode, respectively. This is suitable for studying performance degradation processes related to water management and reaction transport. Constant voltage mode, on the other hand, is more convenient to study degradation processes that depend on the electrode potentials, such as stability of electrocatalysts and electrochemical oxidation of the MEA materials.

Under constant current density or cell voltage mode, certain performance losses incurred during the steady-state operation can be recovered by adjusting appropriate operational parameters or transient cell treatment (Fig. 1b). Such “recoverable” performance losses are associated with reversible phenomena occurring in the fuel cell, such as cell dehydration, carbonation, catalyst surface contamination, or incomplete water removal from the catalyst layer and gas diffusion layer (GDL). One common cell operational parameter change to recover AEMFC performance is cell voltage pulsing. Another common treatment is the cell replenishment by dilute alkali metal hydroxide solution, *e.g.*, 1 M NaOH. Li *et al.* observed that the replenishing with 1 M NaOH made the performance recovered the cell voltage at a level of 98% after continuous run after 210 h.⁶¹ The replenishment can effectively remove carbonated species from the MEA and neutralize acidic phenol from electrochemical oxidation of phenyl groups at the fuel cell cathode. If the performance loss is related to dehydration or electrode flooding, changing the relative humidity (RH) of the supplied reactant gases can be an effective method to recover AEMFC performance.³

The AEMFC performance losses that cannot be reversed are referred to as “unrecoverable” performance losses. The magnitude of unrecoverable performance loss can be determined by subtracting the current density (or cell voltage) measured after every cell performance recovery process from the current density (or cell voltage) measured at the beginning-of-life test (Fig. 1b). More accurately, polarization curve measurements after reconditioning of the cell show the unrecoverable performance loss. They are usually caused by the degradation of MEA components, *e.g.*, AEM degradation, catalyst nanoparticles aggregation or detachment from their support, electrochemical oxidation of ionomer, delamination of catalyst layers, or permanent impurity deposition on the catalyst surface. Several test protocols for cell components have been proposed to evaluate the component durability. Since the unrecoverable performance loss comes from permanent damage to the cell components,

this is more critical to cell lifetime. However, one should also note that the operational parameter changes and transient cell treatment may lead to a shorter cell lifetime. Therefore, minimizing recoverable performance loss will be beneficial to achieve a longer life.

In some cases, AEMFC durability is carried out under the conditions that are more extreme than the expected operating conditions of a practical system to shorten the time needed for specific degradation processes to take place and manifest themselves. Two most popular accelerated stress test (AST) conditions are oxygen supply *vs.* CO_2 -free air and elevated operating temperature ($> 80 \text{ }^\circ\text{C}$). Another AST condition that has been adopted is high voltage, voltage cycling or start/stop cycling, which rapidly degrades the electrode performance. Current US DOE HFTO component durability protocol use AST protocols for membrane and catalyst durability evaluation (Table 1).⁶⁰ However, one should note that no good AEMFC lifetime prediction from ASTs yet exists and, therefore, ASTs have not been fully implemented for AEMFCs to date.

2.3 Protocols for MEA component durability

2.3.1 Anion exchange membrane. Several AEM stability protocols have been developed. The most popular method to evaluate AEM stability is to measure the hydroxide conductivity or ion exchange capacity (IEC) after immersion of the AEM in aqueous NaOH or KOH solution.⁶² In this type of test, often chemical structural changes and mechanical properties are examined. The degradation accelerating factors in this test are the molarity of alkali metal hydroxide and temperature. Another method for AEM stability evaluation is to measure the change in the IEC of AEMs after exposing it to reduced RH conditions. Kreuer and Jannasch suggested a thermogravimetric method for quantifying the IEC of AEMs during intrinsic degradation under reduced RH conditions.⁶³ The advantage of this method over the method using alkali metal hydroxide is avoiding possible inaccuracies arising from the presence of additionally introduced alkali metal hydroxide solutions (cations and hydroxide counter ions). Therefore, it may simulate the degradation of AEM better with low hydration number in which the extent of AEM degradation is much accelerated.⁵¹ The degradation accelerating factors in this test are the temperature and RH level. Typical temperature and RH ranges are 40–100 $^\circ\text{C}$ and 10–65%, respectively. However, it is arguable how relevant such low RH conditions are for practical AEMFC operation.

The chemical stability of AEMs is also evaluated using Fenton's reagent, *ca.* 4 ppm FeSO_4 in 3% H_2O_2 ,^{64,65} which simulates a hydroxyl radical-rich environment by Fenton's



reagent and provides additional information about oxidative stability of AEMs. In addition, since the formation of radicals from H_2O_2 decomposition is only one possible source of radicals, and not the most likely one in AEMFCs due to the very high self-dissociation of peroxide in alkaline media, other (yet to be identified) radical species resulting from the alkaline electrochemical reactions need to delineate the role and selectivity of direct and indirect potential-dependent routes.

2.3.2 Electrocatalysts/ionomer. The test for electrocatalyst stability is well established using rotating disk electrodes (RDEs) (or rotating ring-disk electrodes). Typically, nanoparticle catalysts are deposited on a RDE inert tip and the ORR voltammograms are measured during the cell potential cycling (0.6–1.0 V *vs.* RHE [reversible hydrogen electrode]) up to 10 000 cycles in dilute alkali metal hydroxide solution, *ca.* 0.1 M KOH.^{66–68} The ORR current density, onset potential or half-wave potential are measured during the potential cycling and then linked to catalyst degradation due to catalyst dissolution, agglomeration or detachment of metal particles. An alternative, and less common, stability test is to hold the RDE at a constant potential, *e.g.*, 0.65 V *vs.* RHE, and to measure the current density as a function of time.^{51,52,68}

Similar to the catalyst durability test protocols, ionomeric binder stability can be assessed by microelectrode studies.^{69,70} In this experimental set-up, a thin ionomer film, *ca.* 5 μm , is coated onto either a Pt disk or catalyst particles and then

placed into contact with the reference electrode using an AEM. The ionomer stability can be measured either in dilute alkali metal hydroxide or under fixed RH conditions. Another useful durability test for the ionomeric binder is the RDE test in organic cation solutions.^{71–73} Organic cations such as tetramethylammonium hydroxide, tetraethylammonium hydroxide or benzyl trimethyl ammonium hydroxide can be added or replaced to the conventional alkali metal hydroxide. The advantage of this method over the microelectrode approach is simplicity. However, more complex stability behavior of ionomeric binders, such as polymer backbone degradation, cannot be properly evaluated.

3. Current status of AEMFC durability

The achievable lifetime for AEMFCs has improved significantly recently, even in just the past two years. In an earlier review, former generation AEMFCs generally suffered from very poor operational stability, even at low temperatures (40–50 °C) and current densities (*ca.* 0.1 A cm^{-2}).¹³ Currently, AEMFC durability with > 500 h tests at 65–80 °C and 0.6 A cm^{-2} is almost becoming routine. Fig. 2 shows the best reported durability of AEMFCs reported in the literature. Fig. 2a compares the recent AEMFC durability (2019)⁷⁴ with the durability data of previously reported AEMFC (Tokuyama Corps, 2011)⁷⁵ and PEMFC (2005).²⁰

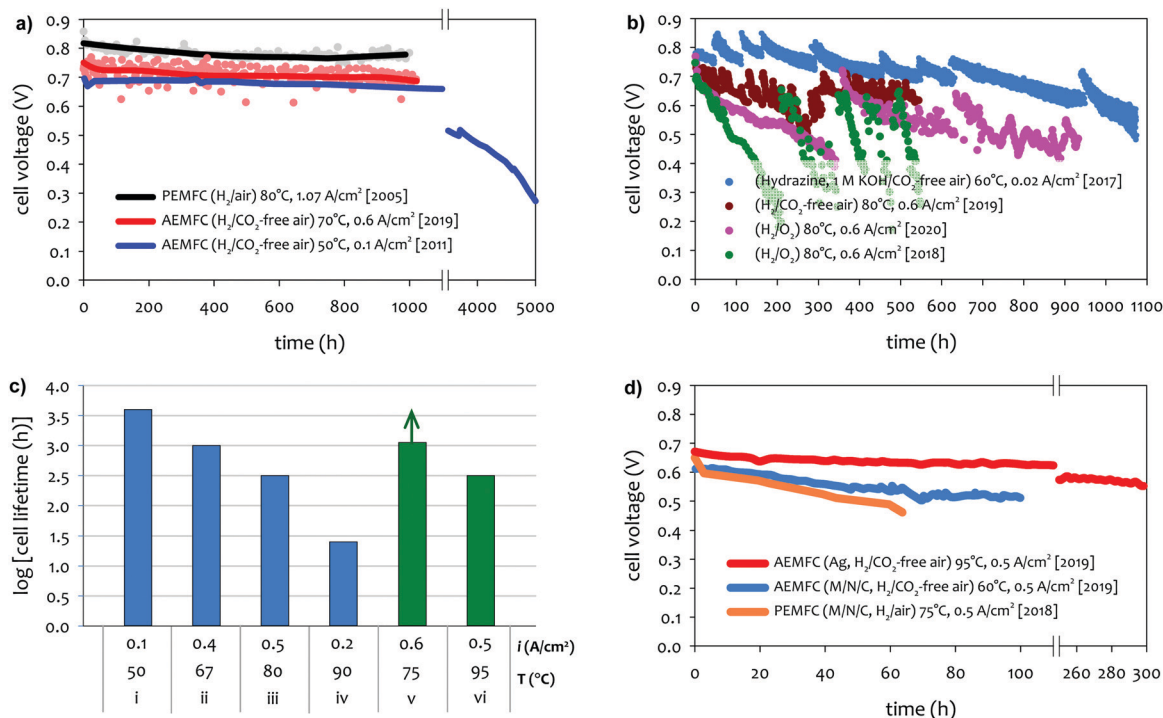


Fig. 2 AEMFC durability reported during 2017–2020 (a) durability comparison between AEMFC (2019,⁷⁴ 2011⁷⁵) and PEMFC (2005²⁰). (b) Other significant AEMFC durability data (2017,⁷⁶ 2018,⁴ 2019,³ and 2020⁷⁷). (c) Durability of MEA's based on materials from Tokuyama Co. (blue data set) under increasingly demanding conditions of current density and temperature, compared with current results using new ionomer chemistries (green data set). Source data: (i) Fukuta 2011;⁷⁵ (ii) and (iii) PO-CellTech (unpublished data); (iv) ref. 6, ESI; (v) USC 2020 under H_2/O_2 conditions. This cell has been operating for 1400 h as of the submission of this article with a voltage decay rate of only $7.5 \mu\text{V h}^{-1}$, and the cell is still running; (vi) polyaryl-based membrane & ionomer.⁶ (d) Durability of PGM-free ORR catalyzed MEAs (AEMFC (Ag),⁶ AEMFC (M/N/C),¹⁰ and PEMFC⁷⁸).



The 2019 AEMFC based on a quaternized HDPE AEM and ethylene tetrafluoroethylene (ETFE) electrode (red) achieved the lifetime (>1000 h) at 70 °C and a constant current density of 0.6 A cm^{-2} . The cell voltage decay rate was 60 $\mu\text{V h}^{-1}$. Though the 2011 AEMFC (blue) showed a lower voltage decay rate (34 $\mu\text{V h}^{-1}$) at 50 °C during the first 1000 h, it should be noted that in 2019 the cell was operated at a much higher temperature and current density. Also, even at higher current density, the obtained cell voltage was higher as a result of recent efforts on AEMFC performance improvement. The substantially higher operating temperature of 2019 AEMFC may at least partially explain the much higher performance, although numerous contributing technological advancements were also incorporated including, for example, a PtRu/C anode, a very high-conductivity thin membrane, a novel electrode preparation process, and carefully optimized operational conditions. Critically, however, the 6 times higher current density at which the 2019 AEMFC result was obtained is a very significant accelerating factor (in part due to limitations of current technology) for AEMFC degradation, especially concerning chemical degradation of the membrane and ionomer, as will be discussed in Sections 5.1 and 5.2, and water management challenges, discussed in Section 4, which causes both reversible and irreversible losses. Therefore, the durability status of the 2019 MEA can be considered significantly higher than that shown in the 2011 data.

Next, we compare the durability between AEMFC (2019) and PEMFC (2005). The voltage decay rate of the PEMFC (black) was slightly lower (54 $\mu\text{V h}^{-1}$) at higher current density (1.07 A cm^{-2}) and higher operating temperature, *ca.* 80 °C, indicating that the performance and durability of the PEMFC is higher than that of the AEMFC. Several other differences in catalyst loadings and operating conditions between the AEMFC and PEMFC are noted: (i) the catalyst loading for PEMFC is lower (0.43 $\text{mg}_{\text{Pt}} \text{cm}^{-2}$ (PEMFC) *vs.* 0.6 $\text{mg}_{\text{PGM}} \text{cm}^{-2}$ (AEMFC)), (ii) AEMFC used CO_2 -free air *vs.* normal air for PEMFC, (iii) the reactant gas flowrate for AEMFC is higher ($133/550$ sccm for the 5 cm^2 cell (PEMFC) *vs.* $1000/1000$ sccm (AEMFC)), and (iv) some of the operating variables (reacting gas dew points and back pressurization) for the AEMFC test were dynamically changed throughout the experiment, while the PEMFC was run maintenance-free. The comparison indicated that the AEMFC durability has been improved, but is still inferior to that of the PEMFC.

Fig. 2b shows other significant AEMFC durability data reported over the last three years. Miyatake *et al.*⁷⁶ reported >1000 h lifetime for Ni/C catalyzed hydrazine AEMFC at 60 °C and a constant current density of 0.02 A cm^{-2} . The MEA was fabricated with quaternized perfluoroalkylene AEM and ionomer. Although the average voltage decay rate was high, *ca.* 300 $\mu\text{V h}^{-1}$, the data is significant when considering they circulated 1 M KOH liquid electrolyte. An AEMFC based on a quaternized poly(phenylene) AEM³⁰ and polyfluorene electrode⁴ showed a lifetime of ~ 950 h at 80 °C (pink).⁷⁷ The unrecoverable voltage decay rate changed throughout the durability test. For the first 350 h, the voltage decreased from

0.78 to 0.72 V (170 $\mu\text{V h}^{-1}$). However, for the next 550 h, the voltage decreased from 0.72 to 0.56 V (290 $\mu\text{V h}^{-1}$). Such a significantly higher voltage decay rate may be partly attributed that the test was performed using pure O_2 instead of air. It was noted that the 950 h longevity was achieved from a polyfluorene ionomer with a high IEC (3.5 meq. g^{-1}), which enabled cell operation at a reduced cathode RH. The previous MEA based on a polyfluorene electrode with a lower IEC (2.5 meq. g^{-1}) (green) showed 550 h lifetime under 100% RH conditions.⁴ The effect of low cathode RH on AEMFC durability is discussed in Section 5.2.2. Another critical note is that the polyaromatics MEAs show a significant recoverable loss during the continuous operation of the cell. Kohl *et al.* reported the durability of an AEMFC based on a quaternized poly(norbornene) AEM and ETFE-based ionomer electrode (dark red) at 80 °C under H_2/CO_2 -free air conditions.³ The MEA showed one of the best performances to date (peak power density of 3.4 W cm^{-2} under H_2/O_2 , 80 °C conditions). The MEA showed >500 h lifetime with an overall voltage decay rate of 140 $\mu\text{V h}^{-1}$, although it should be noted that the cell showed significant changes in voltage loss, which were recovered by changing the water content in the cell. Using a similar ETFE-based electrode, Pivovar *et al.* demonstrated >500 h longevity for several MEAs at temperatures between 60 – 70 °C.^{79,80}

Although we reported a few selected AEMFC durability above, durability data in general is reported relatively rarely in the literature to date, and further, comparative reports employing MEA's under similar conditions with controlled variability, in high-performing MEA's, is virtually non-existent. However, surveying durability data employing commercial Tokuyama membrane and ionomer (one of the very few widely-employed and well-characterized standard membrane/ionomer materials) *vs.* temperature and current density, it can be surmised that the operating temperature plays a key role in AEMFC durability (Fig. 2c). Improvements over the past decade or so in other aspects of the technology have largely been realized against the backdrop of this baseline. Advances in ionomer chemistry that provide for improved chemical stability and facilitated water management, appear to be a key factor behind recent tests (Fig. 2a and b) starting to break out of that durability/current/temperature window, while further advancement is still clearly demanded.

There are a number of papers showing excellent stability of PGM-free catalysts under alkaline environments.^{81–85} However, there is a limited number of reports for AEMFC durability using PGM-free catalysts. In general, PGM-free catalyzed AEMFCs are known for lower durability compared to the PGM-catalyzed AEMFCs. Wang *et al.* compared the durability of low-density polyethylene (LDPE)-based AEMFC using three different ORR catalysts, *ca.* Pt/C (PGM), Ag/C (PGM-free) and FeCoPc/C (PGM-free). Although the MEAs using both PGM-free catalysts showed an excellent performance (>1 W cm^{-2} under H_2/O_2 conditions), the durability of the MEAs using the PGM-free catalysts is lower compared to that of Pt/C catalyzed MEA.⁸ Piana *et al.* prepared an MEA using in-house produced transition metal carbon-based catalyst (HYPERMEC 4020 from Acta



S.p.A) on the cathode side.⁸⁶ They compared the AEMFC durability between commercial Pt/C (40% Pt on Vulcan) and the carbon-based catalyst (metal loading < 10%). The performance of both MEAs decreased by more than a factor of 2 during 24 h at a constant voltage of 0.4 V, but more importantly, the decay rate of the PGM-free catalyst was higher. However, one area where best-reported AEMFCs currently outperform PEMFCs is cell stability with PGM-free cathodes, as demonstrated in Fig. 2d. Operationally, it would be expected for PGM-free cathodes to operate at higher potentials (translating to higher cell voltages) because of the intrinsically enhanced ORR activity in alkaline vs. acidic pH. Another possible advantage is that at high pH it is expected that metal dissolution, and hence electrochemical surface area (ECSA) loss, would be less. Third, hydrogen peroxide is much less chemically stable in alkaline media than acid media (in fact the decay rate is several orders of magnitude higher at alkaline vs. acidic pH). For carbon-based catalysts, this would mean much less opportunity for peroxy attack or the formation of radicals on M–N–C catalysts (e.g., M = Fe).

For an MEA using Ag-based ORR catalyst,⁶ 300 h of longevity was reported with an MEA based on piperidinium functionalized polyphenylene AEM and ionomer. The voltage decay rate is $\sim 400 \mu\text{V h}^{-1}$ at a constant current density of 0.5 A cm^{-2} and H_2/CO_2 -free air. This result is significant mainly due to the high operating temperature of 95°C . Indeed, it has only been in the past few years that durability tests at temperatures above $50\text{--}70^\circ\text{C}$ have been reported,^{14,17,87–89} and serves to showcase remarkable advances in recent years in AEM chemistry, as well as improved understanding of operating requirements especially with regard to water management in the MEA.

Several other stability studies with PGM-free MEA cathodes have been reported. Rao and Ishikawa⁹⁰ reported 30 hour stability for an MEA using nitrogen-doped carbon nanotube ORR catalysts at a constant current of 20 mA cm^{-2} . Huang *et al.* reported that the performance of the AEMFC using the transition metal N/S doped carbon ORR catalyst is only 16.5% of the initial value after 1 h.⁹¹ The lowest AEMFC degradation rate using PGM-free ORR catalysts was demonstrated during a 100 h test. Sanetuntikul and Shanmugam reported 8% current density loss of Fe–N–C cathode catalyzed MEA at 60°C and a constant voltage of 0.4 V in H_2/O_2 .⁹² Peng *et al.*¹⁰ reported 15% voltage loss of N–C–CoOx catalyzed MEA at 65°C and a constant current density of 0.6 A cm^{-2} . However, the power density loss of the MEA after the 100 h life test was rather significant (40%). The AEMFC durability using PGM-free HOR catalysts is even scarcer. Kabir reported that PGM-free NiMo HOR catalyzed AEMFCs showed that the current density decay from 50 to 40 mA cm^{-2} over ~ 100 h at 60°C and a constant voltage of 0.7 V.¹¹ They explained that the possible performance loss may be due to the composition of the NiMo catalysts: nickel lost all metallic components and became $\text{Ni}(\text{OH})_2$ while molybdenum changed to a mixture of nickel molybdenum oxide and MoO_3 .

Based on the data shown in Fig. 2d, despite the fact that the durability of PGM-free ORR catalyzed AEMFCs presently

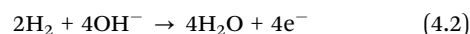
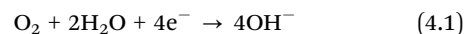
exceeds the durability of their PEMFC counterpart, significant improvement is still needed to create a commercially viable system.

4. Degradation factors that impact AEMFC recoverable performance loss

4.1 Water management

4.1.1 Why is proper AEMFC water management difficult?

One of the most critical challenges that AEMFCs face with regards to operational stability is not caused by materials limitations. In fact, it is well known that there exist at least a handful of polymers (membranes and ionomers) that show excellent *ex situ* alkaline stability. It is also well-known that commercial PGM-based catalysts (Pt/C at the cathode and PtRu/C at the anode) are resistant to chemical and electrochemical degradation for thousands of hours in alkaline media (at least in potenti- or intensio-static conditions). So, why do many reported AEMFCs fail to survive for even 100 hours? Why are there wild swings in the operating voltage that appear to be recoverable, as shown in Fig. 2b? One of the most widely cited controlling variables for explaining this recoverable voltage loss, or the voltage instability during operation, is poorly balanced cell-level water.⁹³ To understand this, illustrated in Fig. 3, first consider the half-reactions in alkaline media:



There is a six water-molecule difference in the consumption/generation of water (per oxygen) from the reaction, compared to a two water molecule difference in PEMFCs (where water is only generated at the cathode). Additionally, it has been estimated that at high hydration, every hydroxide that moves by migration from the cathode to the anode to complete the electrochemical circuit can bring with it up to 8 H_2O molecules by electro-osmotic drag (as opposed to 1–2 water molecules per H^+ in PEMFCs).⁹⁴ Hence, PEMFCs have an 8–12 H_2O imbalance per

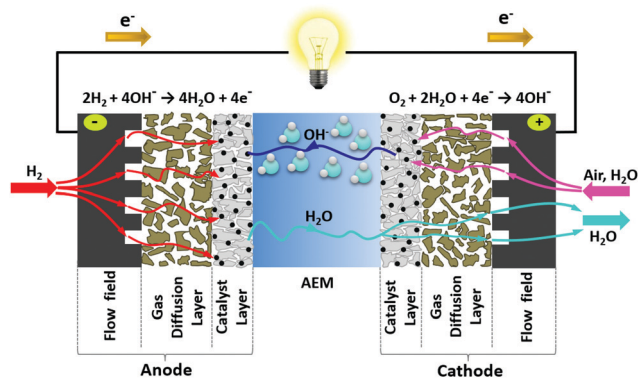


Fig. 3 Illustration of the water dynamics in operating AEMFCs – showing the water produced and consumed by the reactions, electro-osmotic drag from the cathode to anode, and back-diffusion of water from the anode to the cathode. Reproduced with permission from ref. 93.



O₂, which has been the source of a lot of development for PEMFC cells and systems. In AEMFCs, the water imbalance is up to 38 water molecules per O₂, considerably worse.

While the HOR generates a significant amount of water, the diffusion of water through AEMFC anode is slow due to cation-hydroxide-water adsorption on HOR catalysts. Cumulative cation-hydroxide-water adsorption on the surface of HOR catalysts at the hydrogen oxidation potential, *ca.* 0.1 V *vs.* RHE was observed by several researchers.^{72,95–99} Surface infrared and neutron reflectometry studies indicated that the chemisorbed layer contains highly concentrated ammonium hydroxide and does not allow fast hydrogen and water transport.^{72,100} This means the water distribution in the AEMFC anode is non-uniform and the AEMFC anode requires high porosity for fast water transport, yet is more prone to flooding.

On the other hand, water in the cathode is consumed to generate current. What this means is that a substantial amount of the reacting water in the cathode should be supplied from the anode under high current density operation.^{101,102} The implications of this are twofold. First, if the water diffusivity for a given AEM is too low, the mass-transport limiting process in the cell is the diffusion of water through the AEM and the cell simply has no chance to achieve high performance. This helps to explain why the highest performing cells in the literature have also deployed very thin AEMs, 5–25 μm. That is not to say that thickness is the only important variable, as the physical chemistry of alkaline ionomers can play a significant role on their ionic conductivity, water mobility, and state of hydration.¹⁰³ The second implication of low water mobility is that the cathode hydration state gets very low, and the activation energy for nucleophilic attack of the quaternary ammonium groups decreases significantly,^{104,105} causing irreversible physico-chemical damages to the polymer in the cathode. This also leads to irreversible performance loss, which will be discussed in detail in Section 5.2.3. Meanwhile, even subtle loss of cross-membrane water transport, that can be caused for example by partial membrane dehydration near the cathode interface, leads to a positive feedback loop causing the steadily increasing degradation rates that typically become apparent after 100's to 1000's of hours (depending on current density and temperature). This process was well captured in models by Dekel^{106,107} that showed quite good quantitative agreement and phenomenologically very similar voltage degradation profiles to experimental systems.

4.1.2 Water management at high operating temperature and current density. High temperature operation as shown in Fig. 2d likely adds further difficulties in water management due to increased susceptibility to dehydration. High current densities are similarly challenging, in part due to the increasing rate demand for cross-membrane water transport, but also due to the increasing excess of local temperature near the catalyst surfaces: The dew point of the reactant gas feeds cannot (in practice) be raised above the operating temperature without active heating, which would then incur a high parasitic power cost, and that high local temperature, relative to the stack operating temperature, can lead to an undesirably

low local relative humidity. This effect is amplified especially in the AEMFC cathode due to active (faradaic) water consumption. Because of this, current density and temperature are stronger degradation-accelerating parameters than would otherwise be observed for example in PEM systems. While the need for high current density in applied fuel cells is self-explanatory, high-temperature operational capability (at least up to 80 °C and preferably higher) is also highly desirable, especially for high-end applications such as automotive drive trains, due to the challenges of heat dissipation under possible ambient temperatures up to at least 50 °C. Today, typically assumed heat exclusion limits demand the equivalent of *ca.* 95 °C operation at >0.65 V for automotive applications.¹⁰⁸

4.1.3 Approaches to improve water management. Because of the role of water imbalance in causing AEMFC reversible performance loss (as well as even limiting initial cell performance), it is important to identify approaches that alleviate the water imbalance. Since the most pressing concern in the cell is anode flooding, operating conditions that promote convective evaporation from the anode catalyst layer to the reacting gas flowing through the anode column have become a generally accepted strategy. This has included reducing the reacting gas dew points^{109,110} and operating AEMFCs near ambient pressure. Now, it is possible to reduce the dew points too low, resulting in a drying out of the catalyst layers and poor ionic conduction,³ so there is a delicate balance that must be achieved. It has also been shown that increasing dew points during cell operation can recover performance during long-term testing.³ The same increase in convective evaporation can also be achieved by increasing the anode flowrate.¹¹¹ However, with poorer performing cells/AEMFCs, such variations have a lower or null effect on the cell performance.^{112,113} It should also be noted that from a systems perspective, it is not preferred to use high anode flowrate to remove excess water. High anode flowrates need extensive fuel handling loops to maintain high fuel efficiency, which would make the balance of plant more complex and costly. Therefore, a more practical approach to balancing cell water must rely heavily on the back-diffusion illustrated in Fig. 3. Rapid back-diffusion would allow much of the water to be taken up by the AEM, where it would be transported to the cathode. Here, a portion of the back-diffused water would be reacted and the rest could be carried out of the cell through the cathode reacting gas, where higher flowrate (higher stoichiometry) is more acceptable since it has a lower impact on the overall efficiency than the anode reacting gas flowrate. If the AEM water uptake were not sufficient, additional passive water management systems or temperature gradient¹¹⁴ could also be employed.

Another approach to reducing the degree of anode flooding is to redesign the electrodes themselves.^{2,7,115,116} In general, avoiding extremely thin electrodes is advantageous. This is because the anode ionomer provides a sink for liquid water to be absorbed. Also, increasing the hydrophobicity of the anode¹¹⁷ is helpful because it helps the anode layer to reject the formed liquid water. Increasing hydrophobicity also improves



operational stability, and was necessary to achieve the high AEMFC operational stability for the 2019 cell in Fig. 2a.

The water conditions at the cathode are also important to determine the cell operational stability. One design criteria for the cathode that can be useful is to make the cathode hydrophobic as well. This is not intended to reject water, but to reduce the amount of liquid water that is allowed access to the cathode flowfield. Hydrophobicity can be added to the cathode catalyst layer through either the reduced RH with more hydrophilic ionomer⁷⁷ or the addition of hydrophobic agents.¹¹⁷ Operating the cathode at a minimal degree of back-pressurization can also lead to the same effect. Another approach that has been used to overcome low cathode water by feeding the reacting gases at dew points above the cell operating temperature.¹¹⁰ In essence, this allows for liquid water to be fed to the cathode, which both can react and provide the necessary humidification to avoid performance loss. It is also possible to recover performance intermittently by pulsing the cell current or voltage, or making a voltage sweep; these will be further discussed later (Section 4.2).

4.2 Carbonation

4.2.1 Performance loss mechanisms from exposure to CO₂.

Another reversible, but more severe and rapid, source for performance loss is carbonation. AEMFC carbonation occurs when the cell is exposed to CO₂. The most obvious source for CO₂ is from the air that is being fed to the cathode; however, CO₂ from the electrochemically oxidative product of cathode materials or the anode feed needs to be considered as well. When the cell is exposed to CO₂, the hydroxide anions in the AEM (or a product of the ORR) react with it to form (bi)carbonates, as shown in eqn (4.3) and (4.4).



In the early days of AEMFC research, it was thought that the ability of AEMs to freely transport carbonates would mean that operating cells would be mostly unaffected by their presence, other than the reduced mobility of those anions compared to hydroxide, which would increase the Ohmic losses in the cell. However, recent experimental^{74,118–122} and theoretical^{101,123–125} work has enabled a much more complete understanding of the effects of CO₂ on AEMFC performance. It has been found that there are primary mechanisms by which the cell voltage is lowered upon exposure to CO₂.

The first mechanism indeed is related to the mobility of the carbonates from eqn (4.3) and (4.4). As stated above, the mobilities of (bi)carbonate are lower than OH[−], which leads to an increase in the Ohmic resistance. The second mechanism is caused by the fact that the (bi)carbonate anions are not able to directly oxidize H₂ in the anode at typical AEMFC anode potentials. This means that the carbonates formed at the cathode are not consumed at the anode by the reaction; hence, they are not immediately released to the anode gas flowfield as CO₂ as they arrive. Instead what happens is that there is a time lag between CO₂ exposure and CO₂ release. During this time

lag, the carbonates accumulate at the anode, causing the pH of that electrode to drop.¹²⁶ As the pH drops and carbonates are accumulated, the reverse of eqn (4.3) and (4.4) occurs, resulting in the eventual release of CO₂. The drop in the anode pH results in a Nernstian increase in the anode potential, reducing the overall cell voltage. The third mechanism is also related to the inability of (bi)carbonates to react directly with H₂. The anode has a given IEC; therefore, the accumulation of carbonates creates a concentration gradient to manifest in the anode, and there are areas of the anode with low OH[−] concentrations. Combined with the fact that OH[−] is no longer the sole charge carrier, the anode reaction must now procure the reacting OH[−] anions through both migration and diffusion. This forces the anode current density to be concentrated close to the anode/AEM interface, increasing the effective local current density of the anode and forcing higher reaction overpotentials.

4.2.2 Degradation as a result of carbonation by air CO₂.

Some recent discussion in the literature addresses the issue of carbonation in AEMFCs. The motivation stems mainly from the desire to avoid entirely the use of a CO₂ filter in the AEMFC system, from a performance perspective. There has been less attention to the long-term effects of carbonation on an operating AEMFC. In the current state-of-the-art, there is no question of operating an AEMFC under ambient, unfiltered air: The loss of operating potential at a given current density is such that achievable areal power density is non-relevant for any real-world application,⁷⁴ even allowing for the drastically reduced effects at high current density.¹²⁴

An AEMFC system can effectively deal with air CO₂ down to a few ppm with an appropriate filtration strategy.¹²⁷ But in their recent comprehensive study, Zheng and Mustain reported,⁷⁴ for example, that even 5 ppm of CO₂ can generate a >100 mV loss *versus* CO₂-free air even at 1 A cm^{−2}, with the effect significantly more pronounced still at lower current densities. Their detailed analysis confirmed that losses due to carbonation (thermodynamic and kinetic losses) are primarily the result of anode carbonation, and that performance loss due to increased Ohmic resistance across the membrane due to carbonate ion mobility are only secondary (typically <10% of the overall CO₂-related voltage loss). As a CO₂-loaded air stream hits the cell, near-full carbonation occurs within a few minutes to tens of minutes, depending on the air CO₂ concentration, air stoichiometry, cell size and length of CO₂ penetration loop.⁷⁴ The process of decarbonization, meanwhile, is somewhat more complicated. Shutting off the CO₂ supply results in a rapid (tens of minutes), partial recovery *via* “self-purging”. Cell voltage then plateaus, reaching a pseudo-steady state voltage well under the initial level. This voltage however also recovers slowly, achieving full recovery only tens of hours after removing the source of CO₂ contamination.¹²⁸

The remaining, long-term recovery process is a slow approach to chemical equilibrium of aqueous CO₂ with the CO₂-free atmosphere of hydrogen/water over the fuel cell anode.⁷⁴ The question of an acceptable performance loss is outside the current scope of this discussion. However, the implication of carbonate sensitivity for cell, stack and system durability is also important to consider.



We discuss here the effects of (a) a “failure event” in a system filtration unit resulting in a temporary, 400 ppm exposure leading to more or less full carbonation of the cell, and (b) a constant, few ($< \sim 10$) ppm CO_2 concentration being fed to the stack.

4.2.2a Recovery from filtration failure event. It can be shown in a straightforward manner, that a filtration failure event is fully reversible,¹¹⁵ in contrast to CO_2 buildup in alkaline liquid electrolyte fuel cells, where the process can cause irreversible damage *via* buildup of insoluble carbonate salts. Fig. 4a, adapted from DOE AMFC Workshop 2016,¹²⁸ shows the effect of such a carbonation event occurring in a technical cell under current, and the above-described partial recovery. However, a simple perturbation of the cell operation shown in Fig. 4b, can quickly recover the remaining voltage: Following the removal of the contamination source in the air stream, a cell current above that of the normal operating window is drawn. The resulting high anode potential induces the so-called self-purge at the anode, decomposing carbonate at a greatly increased rate. The voltage recovery again stalls as described above, but at a lower carbonate concentration due to the higher anode potential at higher current density. The new steady state carbonate concentration is then lower than the steady state at the lower current

density and the recovery is thus effectively complete. On return to the ‘normal’ current density, any remaining carbonate at the anode is at a far lower concentration such that the remaining cell potential loss (*vs.* the pre-contamination level) is negligible.

4.2.2b The effect on cell durability of continuous $[\text{CO}_2]$ in the air stream. The effects of a continuous low CO_2 input are more subtle, and the effect on cell durability less clear. For the purposes of this discussion, the concentration value considered “low” is presumed to be $[\text{CO}_2]_{\text{air}} < 10$ ppm. It should first be recalled that the acute effect of 10 ppm CO_2 on cell voltage at a given current density is far from negligible. However, the kinetics of the process is greatly dependent on $[\text{CO}_2]$ in the air feed.⁷⁴ At 5 ppm, they report > 60 min to full carbonation at 1 A cm^{-2} , *vs.* ~ 2 min at 400 ppm. A periodic, low-intensity “self-purge” process (of slightly higher current density) at sub-10 ppm concentrations is relatively straightforward in an AEMFC system (including, of course, at the startup phase of each discharge cycle). Bursts of higher currents naturally demanded by the power consumer mid-cycle also provide the same effect under normal operation. Therefore significant defense against the effects of this “background contamination” exists, and the data presented in Fig. 4a and b and in previous



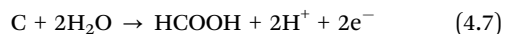
Fig. 4 Carbonation and de-carbonation of an operating AEMFC in response to application and removal of a concentration of CO_2 from the air stream (a) at constant current without perturbation to the cell load, and (b) at constant current, with a temporary applied high current for ~ 15 min following the switch back to CO_2 -free air. Adapted from ref. 128. (c) The impact of humidification change on the cell voltage and HFR. Arrow points denote the humidification temperature change. Reproduced from ref. 61. (d) Cell voltage and HFR change of MEAs during 100 h short-term durability test.⁶¹ AEM: quaternized poly(polyphenylene) anode ionomer poly(flourene) (IEC = 3.5 meq. g^{-1}), cathode ionomer: poly(flourene) (IEC = 2.5 meq. g^{-1}), anode catalyst (Pt–Ru/C, 50 wt% Pt, 25 wt% Ru on high surface area carbon, $0.5 \text{ mg}_{\text{Pt}} \text{ cm}^{-2}$), cathode catalyst (Pt/C, 60 wt% Pt on high surface area carbon, $0.6 \text{ mg}_{\text{Pt}} \text{ cm}^{-2}$); H_2/O_2 AEMFC testing was performed at 80°C and a constant current density of 0.6 A cm^{-2} . Adapted from ref. 77.



report⁷⁴ suggest that the continuous low [CO₂] feed unlikely lead to actual failure, although potential side-effects could be envisaged. For example, the less acutely important Ohmic loss component, leading to a lower conductivity/higher area-specific resistance (ASR), is a result of membrane (as opposed to anode) carbonation. In a carbonated membrane, water uptake and permeability are typically lower, which will likely affect the rate of ionomer degradation. Secondly, cationic degradation products from the ionomer in either electrode or membrane could form a carbonate solid salt and buildup in the system akin to a known liquid alkaline electrolyte degradation mode. Both these examples however, require already underlying degradation processes.

4.2.3 Degradation as a result of carbonation by the electrochemical oxidation product. While CO₂ from unfiltered air is the major source of carbonation, CO₂ or carbonated ions can be introduced in AEMFC MEAs from other sources. One common source is carbonated ions from the water supply. Typical AEMFC is run nearly water-saturated conditions in which often condensed water containing a small amount of carbonated species is supplied to the hydrogen or air streams. Maurya *et al.* reported slow cell high-frequency resistance (HFR) increase of an MEA when the cell was over humidified, due to the carbonation from the condensed water of cathode and anode water supply (Fig. 4c). The HFR change is recoverable when the MEA was replenished with 1 M NaOH, confirming that the cause of HFR is the accumulation of carbonation.

Another significant source of carbonation is electrochemical carbon oxidation reaction (COR) of cathode materials. The COR in low temperature fuel cells is a major concern as carbon in various forms is the most used electrocatalyst's support materials, ionomer, GDL and graphite plate. At a high cathode potential carbon surface is converted to CO₂, hydroxyl, carbonyl and carboxyl groups according to following reactions:



Negative effects of electrochemical oxidation (corrosion) of carbon supporting materials including reducing the intrinsic activity of ORR catalysts,¹²⁹ catalyst particle migration,¹³⁰ reduction of electronic conductivity of cathode,¹³¹ and electrode flooding are well documented in PEMFCs^{132–134} and catalyst particle growth/agglomeration/detachment under alkaline conditions is discussed in Section 5.3. Although the electrochemical oxidation of carbon-containing cathode materials mostly impacts the non-recoverable performance loss (see Section 5.2.2), the produced CO₂ also can impact the recoverable performance loss. Since water is involved with the reactions of electrochemical oxidation of carbon, the amount of water at the cathode plays a key role in CO₂ generation. Fig. 4d shows the cell voltage change of two identical cells with reduced RH on the anode or cathode. It is noted that the recoverable performance loss of the MEA with the reduced RH on the anode is much more significant. The cell running at 50% RH anode required five separate 1.0 M NaOH

treatment to survive the 60 h test. Leonard *et al.* explained that the degradation is related to the accumulation of carbonates in the MEA and their transport to the anode.⁷⁷ When operating at reduced RH at the cathode, the rate of CO₃²⁻ production is greatly reduced, while when operating at reduced anode RH, it increased, leading to CO₃²⁻ accumulation at the anode more quickly.

In summary, the potential contribution of background carbonation to long-term performance degradation plays a significant role in recoverable performance change, as well as being likely to amplify existing non-recoverable degradation mechanisms.

4.2.4 Approaches to mitigate carbonation effects. Of course, the most obvious mitigation strategy is to pre-scrub the air of CO₂ before feeding it to the cathode (and maybe even the H₂ before feeding it to the anode). However, early work has suggested that lowering the CO₂ concentration in the cathode alone is not sufficient. Even when the CO₂ concentration was lowered to 5 ppm, operating AEMFCs incurred CO₂-related voltages losses of about 100 mV or higher.^{74,101} Therefore, the operating variables of the cell and the properties of the cell materials need to be considered.

One of the most obvious variables to manipulate is the current density. In fact, even just a couple of years ago modeling work¹²⁴ suggested that if AEMFCs were able to operate above 1 A cm⁻², they would be able to “self-purge”; however, this has since been disproven experimentally⁷⁴ and it is now known that AEMFCs remain carbonated at all operationally relevant current densities. Because the current density is related to the OH⁻ production rate, increasing the current density can provide some relief to the CO₂-related voltage loss, it only has a moderate effect. A second variable, the operating temperature, can be increased to somewhat lessen the negative effects of CO₂. A third variable, the total CO₂ dose to the cell can influence carbonation.¹³⁵ Hence, lower cathode flowrates are preferred. Fourth, the level of cell hydration can play a role, where higher levels of water in the cell can slightly lessen the impacts of CO₂. Finally, because the transport number, number of charge groups and interaction with water are all dictated by the AEM, the backbone and functional group of the AEM also can be manipulated to reduce the impacts of carbonation.

5. MEA component degradation that impacts AEMFC unrecoverable performance loss

In the early stages of the AEMFC research, it was hypothesized that durable AEMFC performance may be obtained with AEMs that show good stability under liquid alkaline conditions. However, as further research proceeded, the stability of other MEA components was shown to play a critical role in the device durability. Lu *et al.* observed that HFR of their MEA based on 1,4-diazabicyclo octane functionalized polybenzimidazole membrane and Pt-based electrocatalysts changed little over 100 h at a constant current density of 0.1 A cm⁻²; however, the charge-transfer resistance at low frequency increased from



0.7 to 1.2 $\Omega\text{ cm}^2$, indicating the electrode was degraded more than the AEM during the durability test.¹³⁶ This was surprising given the fact that it is well-known that Pt-based catalysts are highly stable under the test conditions. As an independent study, Liu reported that an MEA using alkaline stable long side-chain quaternized poly(phenyleneoxide) (PPO) showed poor durability compared to another MEA using less-alkaline stable benzyltrimethyl ammonium functionalized PPO, which showed an excellent fuel cell durability.¹⁶ Those two examples suggest that the alkaline stability of AEMs may be relevant to only part of the AEMFC performance degradation behavior over time. Therefore, in this section, we review the degradation of MEA components that have been shown to impact the AEMFC durability (*operando* stability) rather than general stability discussion of MEA components under high pH conditions.

5.1 AEM degradation

5.1.1 Chemical degradation of AEMs.

Most AEMs published in literature report alkaline stability of AEMs in a dilute NaOH or KOH solution. Possible degradation pathways of AEMs under high pH conditions are shown in Fig. 5. The chemical structure of the cationic group and the polymer backbone plays a major role in alkaline stability. For example, extensive work has been done to make stable imidazolium cationic groups by modifying their chemical structure (Fig. 5f–h).^{149–157} It is also important to note that several degradation pathways are possible for an AEM. For example, it was observed that benzyltrimethyl ammonium functionalized poly(aryl ether sulfone) underwent both ammonium cationic group degradation (Fig. 5a) and polymer backbone degradation (Fig. 5e).¹⁵⁸ The degradation pathways also differ depending on the test conditions. For example, β -elimination occurs for an AEM when tested at low NaOH concentration ($\leq 4\text{ M}$) and 80 °C, but methyl substitution reaction is predominant when tested at higher NaOH concentration (8 M) and 120 °C or high temperature (100 °C) under reduced RH (5%).¹⁵⁹ These results bring up a question on how *ex situ* alkaline stability tests are relevant to the degradation of AEM during fuel cell operations. Unfortunately, very limited number of papers reported that the same degradation mechanism of AEMs takes place during the AEMFC durability test. One reason for this is, after AEMFC durability test, many AEMs are often insoluble due to the crosslinking reaction (see Section 5.1.3), and thus, precise structural analysis by ¹H NMR is difficult. Another reason is that AEMFC performance loss by other component degradation is much faster than chemical degradation of the AEM, so it is difficult to obtain severely degraded AEMs. Nevertheless, there are some reports that discuss the *operando* durability of AEMs.

Quaternized aryl ether-free AEMs showed good chemical stability. Mohanty *et al.* prepared aryl ether-free quaternized polystyrene-*b*-poly(ethylene-*co*-butylene)-*b*-polystyrene (SEBS) membrane.¹⁶⁰ The AEMFC using the SEBS AEM showed voltage loss of 80 mV at 0.1 A cm^{-2} after running the fuel cell for 110 h at a constant voltage of 0.3 V at 60 °C. However, the chemical structure of AEM remained unchanged, as determined by elemental analysis and FTIR spectra. The cell resistance also

showed stable behavior. Another stable performance of MEA using a SEBS AEM was reported by Su *et al.* for 100 h operation with flowing 1 M KOH solution to anode at 50 °C.¹⁶¹ Kuroki *et al.* prepared aryl ether-free spirobifluorene AEMs for direct formate alkaline fuel cells.¹⁶² The MEA stability assessed at 80 °C flowing 2 M KOH solution for 50 h indicated no AEM degradation. Kim reported the chemical stability the hexamethyl ammonium functionalized Diels Alder poly(phenylene) in an MEA at 80 °C under H₂/O₂ conditions.¹⁶³ After 100 h test, the cationic functional group was intact with no change of cell HFR. Other aryl ether-free quaternized polyaromatics also showed stable performance for ~100 h at 60 and 80 °C,^{164,165} supporting a good AEM chemical stability. Zhang *et al.* reported a stable fluoro-olefin-based AEM during 80 h-operation of AEMFC at 60 °C under H₂/O₂ conditions.¹⁶⁶ However, it should be noted that most *post mortem* AEM analyses reported in the literature were performed after a relatively short time (<200 h). Therefore, it is too early to say that all current alkaline stable AEMs have enough chemical stability for long time operation of AEMFCs, and further research on this subject is necessary.

Aryl ether-containing AEMs degrade during AEMFC operation. Li's group reported several papers that analyzed the *post mortem* structural analysis of PPO-based AEMs after AEMFC test.^{16,43,167} All of the AEMs they tested did not survive >50 h during AEMFC even at relatively low temperature, *ca.* 60 °C. The most striking finding they made is that the degradation mechanism of the PPO-based AEMs during AEMFC operation is different from that of the AEMs in alkaline solution. They demonstrated alkaline-stable long side chain functionalized PPO degrades much faster than less-stable benzyltrimethyl ammonium functionalized PPO in fuel cell. They explained this behavior by oxidative degradation by super oxide anion radicals,¹⁶⁸ which promotes S_N2 substitution.

AEM degradation studies by radical species have been performed less extensively. While it is well known that the benzylic C–H bond of sulfonated polystyrene ionomers is susceptible to degrade by radical species,¹⁶⁹ it seems that degradation rate of quaternized polystyrenes is much lower and at a similar level to the non-functionalized polystyrenes.^{64,170}

5.1.2 Mechanical failure of AEMs.

AEMFC durability is influenced by the mechanical properties of AEMs. The degradation mode by the mechanical failure of AEM is characterized by a catastrophic performance loss. In the previous report,²⁶ the abrupt change of current density change by mechanical failure of AEM was demonstrated. The performance loss is often accompanied by HFR increase, suggesting that interfacial failure between AEM and electrode may occur concurrently. It is difficult to predict the time for this type of failure to happen as many different factors are associated. However, once the AEM failure happens, the AEMFC becomes inoperable within 10 h.^{26,171} *Post mortem* analysis indicated that the mechanical failure of AEMs took place at the edge of the MEA active area in which mechanical stress was maximized. Another example is the AEMFC durability comparison between LDPE and HDPE AEMs which have similar IEC, thickness, water



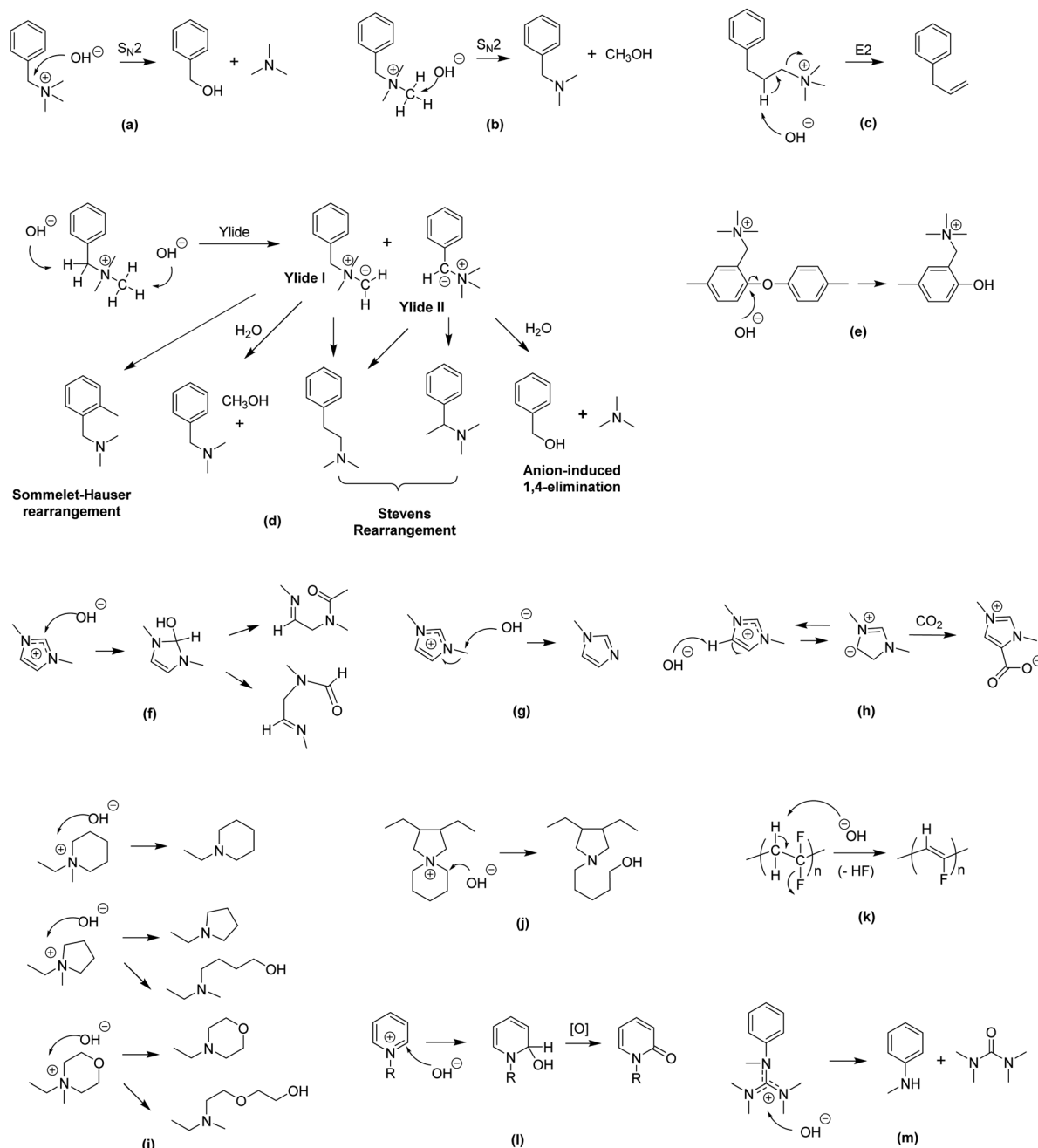


Fig. 5 Various degradation pathways of AEMs (a) S_N2 benzyl substitution,¹³⁷ (b) S_N2 methyl substitution,¹³⁷ (c) β -elimination substitution,¹³⁸ (d) ylide-intermediated rearrangements,^{139,140} (e) S_NAr aryl ether cleavage (polymer backbone),²⁶ (f) ring opening (imidazolium),¹⁴¹ (g) S_N2 methyl substitution (imidazolium),¹⁴¹ (h) heterocycle deprotonation (imidazolium),¹⁴¹ (i) S_N2 and ring opening (piperidinium, pyrrolidinium and morpholinium),¹⁴² (j) ring opening (*N*-spirocyclic ammonium),¹⁴³ (k) dehydrofluorination (polymer backbone),^{144,145} (l) nucleophilic addition and displacement (pyridinium),¹⁴⁶ and (m) nucleophilic degradation (guanidinium).^{147,148}

uptake and conductivity, but notably different mechanical properties.¹⁷² The HDPE-based AEM has the stress at break of 35 MPa with the elongation at break of 283%, while the LDPE-based AEM has the stress at break of 23 MPa with the elongation at break of 35%. The HDPE-based MEA had the lifetime over 440 h at 600 mA cm⁻² at 70 °C under H₂/CO₂-free air conditions, while the LDPE-based MEA was stopped to test at ~100 h due to a rapid cell degradation.

Prevention of such mechanical failure of MEAs is relatively easy with several mitigating strategies. First, use an edge-protect gasket to avoid the sharp boundary between wet-dry of the AEM.^{173,174} Second, prepare AEMs with minimal dimensional change between wet and dry state.¹⁷⁵⁻¹⁷⁷ The minimum requirement of the dimensional change of AEMs for the durable operation of AEMFCs varies. Typically, less than 50% water uptake for rigid polyaromatics polymers and 100% water



uptake for flexible polyolefinic polymers are required for stable AEMFC operation. AEMFCs employing AEMs with elongation at break of > 100% show stable performance without edge-failure. Third, prepare AEMs with ductile mechanical properties.^{178–180} Fourth, avoid AEMs with backbone degradation. The mechanical property of quaternized poly(arylene ether) AEMs deteriorated by the aryl ether cleavage reaction.¹⁸¹ The degradation mechanism of aryl ether cleavage reaction is well documented in previous literature.^{26,158,181–183} Briefly, the electron-donating aryl ether group in the polymer backbone is destabilized by a positively charged (electron-withdrawing) ammonium cationic group close to the backbone. The energy barrier of the aryl ether cleavage in the benzyl ammonium functionalized polymer backbone is 85.8 kJ mol^{-1} which is lower than the energy barrier of α -carbons on benzyl trimethyl ammonium (90.8 kJ mol^{-1}) and mechanical failure of AEMs often appears before cationic group degradation.¹⁵⁸ The best strategy to avoid backbone degradation is to prepare aryl ether-free AEMs.^{164,184–188} Other mitigation strategies have also been employed including crosslinking^{157,189,190} incorporating cationic functional groups far from the polymer backbone aryl ether bond,^{79,191,192} and avoiding an electron withdrawing functional group in the polymer backbone.^{193,194} However, one should note that even without an electron-withdrawing functional group in the polymer backbone, *e.g.*, quaternized PPOs, aryl ether-containing polymer backbones are not as robust as aryl ether-free polymers.¹⁸⁴

5.1.3 Crosslinking of AEMs. In general, it was found that the solubility of AEMs after the MEA durability test is reduced. Often the AEMs are not soluble in any solvent, which makes it challenging to examine the structural change of the AEMs during the durability test. Park *et al.* reported that the solubility decrease of AEM is due to the Williamson ether synthesis of unreacted primary alkyl bromides (Fig. 6a).¹⁹⁵ Miyanishi and Yamaguchi reported another crosslinking reaction of poly(flourene-*alt*-tetrafluorophenylene) in which one of the fluoride

groups in the polymer backbone is substituted by a hydroxyl group *via* S_N2 reactions^{196,197} (Fig. 6b).

Notable characteristics for the AEM crosslinking reaction are reported; (1) The chemical structural change by spectroscopic methods such as FTIR is insignificant, (2) the change of IEC of AEM is minimal, (3) significant decreases (30–40%) in AEM water uptake and hydroxide conductivity. The reaction rate of the crosslinking reaction depends on the concentration of the unreacted alkyl halide, which is varied from the AEM synthetic route. For example, direct polymerization of aminated monomers can minimize the amount of unreacted alkyl halide.²⁶ For the post polymerized polymers, non-aqueous quaternization in ethyl alcohol in which the methylamine is too weak to remove a proton from ethyl alcohol; thus, the formation of alkyl hydroxyl group can be suppressed.¹⁹⁵

Although the crosslinking reaction takes place over a few thousand hours at *ca.* 80°C , the majority of the reaction may occur within the first few hundred hours of operation. Therefore, if the crosslinking reaction occurs, HFR increase accompanying fuel cell performance reduction for the early 100–200 hours is expected.

5.2 Degradation of ionomeric binder

5.2.1 Interfacial delamination between AEM and electrode.

Due to the significant differences in physicochemical properties of the AEM and the electrodes, interfacial delamination between AEM and electrode can occur and negatively impact the AEMFC performance. The interfacial delamination was well-studied in PEMFCs and direct methanol fuel cells.^{198,199} In AEMFCs, the interfacial delamination may be more significant compared to the PEMFC system because of the imbalanced water distribution in the MEA, as more water is generated at the anode and water is consumed at the cathode in AEMFCs. However, no systematic study regarding interfacial delamination in AEMFCs has been accomplished primarily due to the fact that the durability of AEMFCs is more limited by other factors.

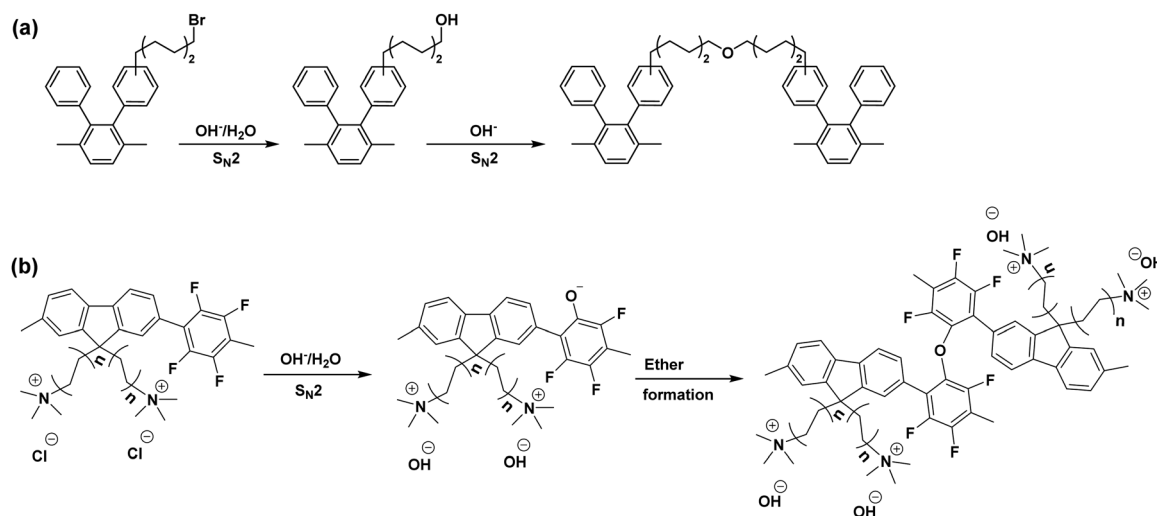


Fig. 6 The proposed crosslinking mechanism (a) side chain crosslinking: the scheme is reproduced from ref. 195 (b) backbone crosslinking: the scheme is reproduced from ref. 197.



A few critical notes regarding interfacial delamination observed in PEMFCs may help to understand the degradation behavior of AEMFCs induced by interfacial delamination. First, poor adhesion/wetting properties and water uptake mismatch between the membrane and ionomeric binder are viewed as two primary factors initiating the failure.²⁰⁰ Typically, less than 50% water uptake for rigid polyaromatics polymers and less than 100% water uptake for flexible polyolefinic polymers are required for robust interface. However, slightly higher water uptake may be possible for AEMs with less x - y (in-plane) direction swelling, higher adhesion properties, and lower density. Second, the interfacial delamination can occur over long periods up to a few hundred hours of operation.²⁰¹ Complete delamination between membrane and electrode results in low yet still measurable fuel cell performance. Third, interfacial delamination results in increased cell Ohmic resistance, increased electrode overpotential and sometimes causes electrode flooding.^{202,203} The cell Ohmic loss due to the interfacial resistance build-up can contribute several tens of $\text{m}\Omega \text{ cm}^2$ to the total cell resistance.

Several approaches to mitigate the interfacial delamination including changing from a gas diffusion electrode (GDE) to catalyst-coated membrane (CCM), enhancing electrode adhesion through electrophoretic deposition, plasma treatment or wet-glue process,^{204–206} and reducing membrane water swelling.²⁰⁷

5.2.2 Electrochemical oxidation of cathode ionomer.

During the AEMFC operation, the fuel cell cathode is exposed to relatively high potentials, *ca.* $>0.6 \text{ V vs. RHE}$. In such conditions, ionomeric binders and carbon catalyst supporting materials which contact with ORR catalysts are easily oxidized. The most detrimental moiety of ionomer for the electrochemical oxidation is the phenyl group, which forms phenolic compounds (Fig. 7). In contrast with acid fuel cells in which electrochemically stable perfluorinated ionomer (Nafion) is used, most quaternized ionomers for AEMFCs contain phenyl groups in the polymer backbone or side chain. Li *et al.* first observed a phenolate compound (the conjugate base of phenol) from benzyl trimethyl ammonium hydroxide solution which was contacted to the IrO_2 electrode for 100 h at high cell potential (2.1 V vs. RHE).²⁰⁸ Later, Maurya *et al.* observed that AEMFC lifetime decreases as the

constant current density decreases (cathode potential increases), suggesting a possible electrochemical oxidation of the cathode ionomer.⁶¹ Subsequent experiment indicated that a portion of phenyl group of the cathode ionomer was converted to phenol after an extended-term (75 h) test at 0.9 V .

There are a few critical points regarding the phenyl oxidation. First, phenyl oxidation is detrimental because the oxidation product phenol is acidic (the $\text{p}K_{\text{a}}$ values of 2-phenyl phenol and 2,2'-biphenol are 9.6 and 7.6, respectively), which neutralizes the basic hydroxide ion. The neutralization process negatively impacts not only hydroxide conductivity but also electrochemical activity of the ORR catalyst. Second, although the phenol concentration detected by $^1\text{H NMR}$ is small, the local phenol concentration at the catalyst-ionomer interface is much higher and hard to remove from the interface as the phenyl group is covalently bonded to the ionomer. Third, the phenyl adsorption energy of phenyl group on the most active Pt is high. For example, the phenyl adsorption energy of the metal oxide terminated surface of PtO_2 and IrO_2 is -2.2 and -1.0 eV , respectively.²⁰⁸

Mitigating strategies for phenyl oxidation have been discussed. The first mitigating strategy is to use less-phenyl group adsorbing ionomer. Polyolefin ionomers have less phenyl group in the polymer backbone. Although some phenyl groups in the side chain can adsorb on to the ORR catalyst, the side chain phenyl group can be minimized with cationic group substitution.²⁰⁹ Matanovic *et al.* showed that some phenyl groups, *e.g.*, polyfluorene, have relatively low phenyl adsorption energy,²¹⁰ and thus, the AEMFC durability can be improved.^{61,211} The second mitigating strategy is to use less-phenyl group adsorbing catalysts. It has been shown that Pt-bimetallic catalysts such as PtRu, PtNi and PtMo have much less phenyl adsorption energy than pure Pt: for example, the phenyl adsorption energy in parallel to the surface of Pt(111) and PtRu(111) is -2.3 eV and -1.32 eV , respectively. Therefore, it may be considered to use a catalyst with low phenyl adsorption at the cathode, even though this could yield issues in terms of catalyst stability (as cathodes experience unavoidable potential cycling in start/stop operation – see Section 5.3). The third mitigating strategy is to operate fuel cell with low RH conditions. Since water is the reactant for phenyl oxidation, reducing cathode RH may help to reduce phenyl oxidation rate. This approach is clearly illustrated in Fig. 2b and 4d. If the MEA is operated under reduced RH at the cathode, the lifetime of MEA could increase due to the reduced rate of phenyl oxidation. However, as the ORR at the cathode requires water, operation of AEMFCs without humidification may be difficult. In this case, cell voltage should be also considered. If the cell voltage is not high enough, *ca.* $<0.6 \text{ V}$, then the phenyl oxidation at the cathode may be negligible and the impact of cathode RH may be reduced. Therefore, this degradation pathway is more relevant to high cell voltage condition (high efficiency). Under high cell current conditions (high power), cathode ionomer degradation may occur with different degradation pathways, described in the next section.

5.2.3 Chemical degradation of cathode ionomer. During AEMFC operation at high current densities, the cathode can

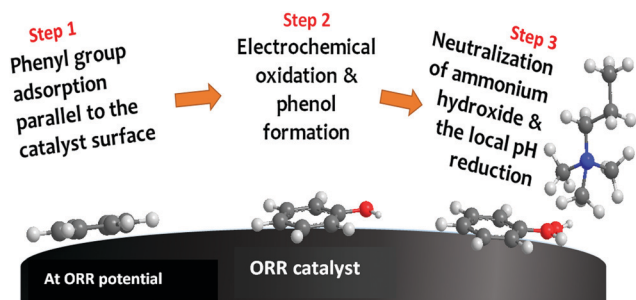


Fig. 7 Quaternary ammonium neutralization process by electrochemical phenyl oxidation at ORR potential. The scheme is reproduced from ref. 208.



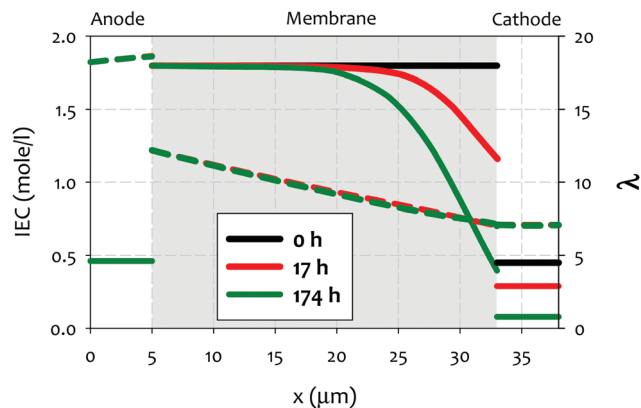


Fig. 8 Ion exchange capacity (IEC) (full lines) and hydration number (λ) (dashed lines) across the cell, at the initial stage ($t = 0$ h) as well as at 17 h and 174 h after onset of AEMFC operation. The (constant) current density is 0.2 A cm^{-2} and the thickness of the AEM is $28 \mu\text{m}$. Reproduced from ref. 212.

encounter low hydration levels as a result of water consumption *via* ORR. A recent model indicated that the hydration number (λ) of cathode ionomer may reduce to below 5,¹⁰⁶ in which the chemical degradation of the ionomer is accelerated under reduced RH conditions.^{105,107,211} Fig. 8 shows a simulated illustration of IEC change of an MEA for the continuous operation at 0.2 A cm^{-2} .

A few noteworthy observations on the chemical degradation of quaternized polymers at low RH. First, all quaternized ionomers are less stable under lower RH conditions. Temperature plays a significant role in low RH stability of ionomer. Kreuer and Jannasch showed that increasing temperature from 60 to 100 °C increases the degradation at RH = 50% more than decreasing RH from 50 to 10% does.⁶³ Second, the stability of quaternized ionomers at a given RH may be significantly influenced by the ionomer backbone structure. Quaternized ionomers with stiffer backbone may have limited swelling, thus, higher degradation rate is expected.⁶³ Third, the extent of cation stability at lower RHs cannot be projected by alkaline stability of the cations under higher RH conditions. For example, 6-azonia-spiro undecane has a much longer half-life compared to trimethylbenzyl ammonium at $\lambda = 9$, but the much faster degradation occurs at $\lambda = 4$.¹⁰⁷ Fourth, the degradation mechanism of cationic functional groups may be different between alkaline and low RH conditions. Park *et al.* showed that the degradation of alkyl ammonium under 4 M NaOH, 80 °C conditions occurs *via* β -elimination while the degradation under reduced humidity (10% RH, 100 °C) proceeded *via* $\text{S}_{\text{N}}2$ methyl substitution.¹⁹⁵ One mitigating strategy to prevent the chemical degradation of cathode ionomer is to use a thinner and highly water permeable AEM which helps the hydration of the cathode ionomer.²¹² Besides, operating AEMFCs under fully humidified cathode or high current generating conditions may reduce the possible cathode ionomer degradation. However, no experimental evidence that the cathode ionomer degrades during AEMFC operation has been shown in the literature, although some papers speculated such degradation might occur with their AEMs.¹⁷⁸

This degradation pathway may become important with high power generating AEMFC system under low RH operations.²¹²

Poor water management, considered above as a factor in recoverable losses, has a high risk in the AEMFC to lead to non-recoverable losses including chemical degradation of cathode ionomer. We propose mitigation *via* thinner membranes (to improve water delivery to the cathode) and improved chemical stability of the ionomer to dry conditions, while applying perturbations that could be considered “active water management”, such as periodic re-setting as described in the previous paper.¹⁰² Not considered directly by the model is possible rearrangement of polymer chains near the membrane surface leading to interfacial water transport effects. First reported in PEMFC systems,²¹⁴ such effects are likely still more dominant at the cathode interface in AEMFCs, especially since it could exacerbate low-hydration induced ionomer degradation. The need for improved chemical stability in dry conditions is thus clear. A second factor to consider in a real system is that current densities and thus “cathode dryness” will vary significantly during operation. A fuel cell may spend much of its operating life, for example, at 0.2 A cm^{-2} while frequently being raised to much higher current densities for transient periods. The rate at which an ionomer and/or cathode electrode responds to such transients in terms of “releasing” water to reach a new steady state in response to such changes is therefore also an important consideration and can potentially be influenced by water management tools including ionomer chemistry, electrode layer morphology and additives, choice of gas diffusion media *etc.*, as well as stack/system-level tools. A final consideration, because the proper cathode humidification is so critical to AEMFC long-term stability, is that it might be advisable to run AEMFCs at slightly higher water contents than what were previously described as “optimized” by Omasta *et al.*¹² There, they were trying to maximize performance, which requires less water in the anode, and possibly lower water content in the cathode in order to encourage rapid back diffusion and high reaction rate. However, because of the lower water content of the cell, it is likely that the conditions that would result in the highest peak power or mass-transfer limiting current density are not the conditions that also result in the highest stability. What is needed from an experimental perspective is to develop combinations of electrodes, AEMs and operating conditions that allow for AEMFC operation near 100% RH without anode flooding or cathode dryout (or possibly flooding also). One caveat with this degradation mitigation strategy with water management is over-humidification of cathode may accelerate another degradation *via* electrochemical oxidation (see Section 5.2.2).

5.2.4 Chemical degradation of anode ionomer. One of the unexplored areas of the MEA component degradation study is anode ionomer. Under normal AEMFC operations, anode ionomer is fully hydrated and the anode potential is low ($< 0.3 \text{ V vs. RHE}$); it has been believed that the degradation mechanism of anode ionomer may be different from that of cathode ionomer. One possible degradation pathway is cation-hydroxide-water coadsorption on the surface of HOR catalysts⁷² that may trigger



cationic group degradation. Dumont *et al.* showed that the co-adsorbed layer of tetramethyl ammonium hydroxide on Pt has unusually high ammonium hydroxide concentration (tetramethyl ammonium hydroxide to water molecular ratio = 5 : 1) at the HOR potential of *ca.* 0.1 V vs. RHE.¹⁰⁰ While the concentration of the ammonium functional group of anode ionomer should be much lower due to the low mobility of the polymer tethered functional group, it is highly probable that relatively high concentration of cationic functional groups in the vicinity of the HOR catalyst surface becomes unstable at such conditions. Due to the high concentration of the ammonium hydroxide, the solubility of carbonated species significantly increases which may increase the longevity of AEMFCs. However, introducing carbonated species can further decrease the HOR activity of electrocatalysts. It was reported that introducing less cation-adsorbing ionomer such as triethyl ammonium hydroxide (TEAOH) functionalized ionomer instead of trimethylammonium hydroxide (TMAOH) functionalized ionomer may increase the stability of AEMFCs (Fig. 9).²¹³ Less cationic group adsorbing HOR catalysts such as Pd-based catalysts may improve the durability, yet the AEMFC performance of using such catalysts is inferior to the Pt-based catalysts.¹⁸ Further research may need to identify the degradation pathway of anode ionomers.

5.2.5 Physical aging of anode ionomer. Physical aging of ion exchange polymers is a kinetic process of reaching thermodynamic equilibrium. Since the ionomer in liquid media is a metastable dispersion rather than a solution, polymers prepared from different environments undergo a slow relaxation process under fuel cell operating conditions. Under fuel cell operating conditions of high humidity and elevated temperature, mechanical properties of the anion exchange polymers are deteriorated over time. During the early stages of polymer relaxation, fuel cell performance typically increases as ion transport from the local movement of polymer chain increases (break-in). However,

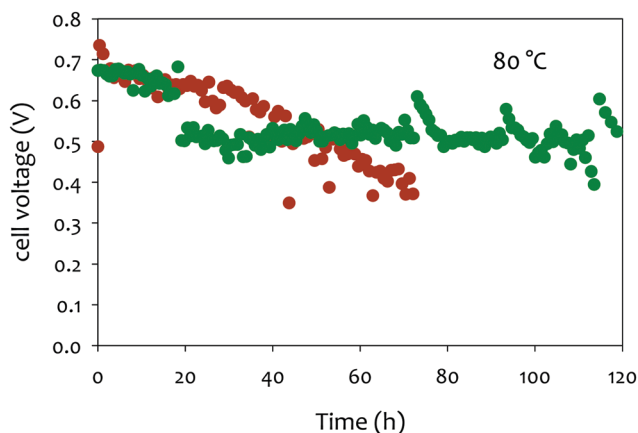


Fig. 9 Impact of anode ionomer on AEMFC short-term stability of Pt anode catalysed MEAs. Measured the performance at 80 °C under H₂/O₂ (2000/300 sccm) at 147 kPa backpressure. AEM: *m*-TPN (~35 μm thickness), ionomer: TMAOH functionalized poly(biphenylene) and TEAOH functionalized poly(biphenylene); anode: Pt/C (0.6 mg_{Pt} cm⁻²); cathode: Pt/C (0.6 mg_{Pt} cm⁻²), humidification: 80%. Reproduced from ref. 213.

the optimum three-phase interface at the electrode after break-in is eventually destroyed.²¹⁵ While the structural change of the three-phase interface is difficult to detect, it is common to observe ionomer distribution change²¹⁶ or crack propagation^{217,218} as a result of the physical aging process. Previously, the physical aging process was known as one of the key degradation mechanisms in PEMFCs and very few studies were done on AEMFCs. In AEMFCs, the anode ionomer may be more susceptible to physical aging because water is generated at the anode, although more significant catalyst particle aggregation at the cathode may also accelerate the physical aging process of the cathode ionomer. Since rigid polyaromatics polymers have more resistance to polymer relaxation, the physical aging process may occur over a very long time (> a few thousand hours), but further research on the physical aging process may be required particularly for homogeneous (non-particulate) polyaromatics or non-crosslinked polyolefinic ionomers.

5.3 Electrocatalyst degradation

Durability is often flagged as a critical issue for AEMFC systems to compete with PEMFCs.^{219,220} Although the research efforts to unveil the degradation mechanisms of AEMs (and ionomers) have been intense (see the previous sections), much less effort has been devoted to the elucidation of electrocatalyst materials degradation at high pH. This stems firstly from the fact that AEMs were, until very recently, not enough durable to make electrocatalyst stability a major reason of failure for operating AEMFCs. Only very recently have long-term tests been successfully carried out with AEMFCs;²²¹ the performances reached by several researchers were impressive, including in terms of durability in operation (> 1000 h), suggesting that electrodes were stable also; however, this high stability was reached at constant polarization, *i.e.*, not a representative test to monitor the field's durability (it will be seen below, that alternation of high/low potential can be very problematic to usual AEMFC electrocatalysts). In essence, the “common knowledge” often led (and still leads) researchers to speculate that electrocatalysts will be stable in alkaline conditions (at least more than in acidic conditions), an assumption which relies on the well-known calculated thermodynamic Pourbaix diagrams.²²² In line with these predictions, “bulk structures”, like RANEY[®] nickel^{223,224} or fritted silver,²²⁵ which are used for the hydrogen evolution reaction (HER)/HOR and ORR, respectively at aerial loads of several mg cm⁻², have of course shown some stability in circulating liquid electrolyte operation, because these materials are intrinsically robust (there is plenty of electrocatalyst material to oxidize/dissolve/degrade and their texture is not as “sensitive” as present AEMFC active layers); whatever these advantages, it must be pointed out that the performances reached were far inferior to the present state-of-the-art (especially when expressed per gram metal), and not stable on the long-term. Besides, materials availability and cost constraints advise the research community to look for electrode materials that consume less metals. In that context, the Holy Grail is nanostructured electrocatalysts, and the present state-of-the-art consists of nanostructured carbon-supported nanoparticles;



more recently, hierarchically porous M–N–C cathode catalyst layers (M = Co or Fe)^{226,227} as well as active layers based on Metal Organic Frameworks (MOF)²²⁸ have also been developed, even though durability studies on these materials remain scarce.

Materials stability issues are of course not less expected for supported and nanostructured electrocatalysts. Tests performed starting in the 1980s soon showed that usual carbon-supported electrocatalysts (the present standard in PEMFCs) can be unstable in alkaline conditions. The seminal work of Ross *et al.* for instance demonstrated that high surface area carbon materials were prone to gasification (into CO₂) or dissolution (into (bi)carbonates) in strong alkaline environments, these processes being emphasized in presence of transition metal (or metal oxide) catalytic moieties at their surface.^{229–232} This work was essentially directed to the durability of electrode materials for circulating liquid electrolyte alkaline fuel cell (AFC), a solution that was developed with success for the space conquest but which is only marginally envisaged nowadays. Later on, Kiros and Schwarz evaluated the durability of Pt/C + Pd/C composites (C = charcoal) for the HOR in 6 M KOH at 60 °C, and discovered that the nanoparticles suffered intense coarsening upon a 3600 h-long polarization ($j = 100 \text{ mA cm}_{\text{geometric}}^{-2}$), provoking large electrochemical surface area losses and resulting in depreciated performances *versus* time.²³³ Chatenet *et al.* also found that a Pt/C (C = Vulcan XC72) electrocatalyst used for the ORR in 11 M NaOH at 80 °C (for brine electrolysis applications) experienced non-negligible Ostwald ripening and ECSA losses; in these conditions, the nanoparticles coarsening was inferior for AgPt/C alloyed nanoparticles.²³⁴ More recently, Olu *et al.* confirmed these findings, still for Vulcan XC72 carbon-supported Pd or Pt-based electrocatalysts operated in liquid alkaline electrolytes: pronounced loss of nanoparticles from the carbon substrate, agglomeration and coarsening of the remaining ones were noted after rounds of tests in direct borohydride fuel cell conditions, the degradations being more intense for Pt/C than for Pd/C.²³⁵

This short literature review shows that the benchmark electrocatalysts for AFC/AEMFC electrodes (carbon-supported Pt and Pd) suffer some degradation in operation. The group of Mayrhofer confirmed that, indeed, Pt can suffer intense dissolution in basic electrolytes when polarized below/above its surface oxidation potential,²³⁶ which suggests that classical carbon-supported Pt nanoparticles would suffer in AFC/AEMFC load cycling. On this basis, Zadick *et al.* initiated studies in which identical-location transmission electron microscopy (IL-TEM) was used as a tool to survey how Pt/C nanoparticles (C = Vulcan XC72) would react upon potential cycling in 0.1 M NaOH at 25 °C. Surprisingly, only 150 voltammetry cycles in the stability domain of water (0.1–1.2 V *vs.* RHE) is enough to dramatically alter the structure of an initially well-defined electrocatalyst; *ca.* 60% of its ECSA is lost, and this essentially proceeds by detachment of the Pt nanoparticles from their carbon support (neither real carbon corrosion was witnessed by XPS and Raman spectroscopy, nor Pt dissolution).²³⁷ In comparison, the same electrocatalyst is only minorly degraded

in similar acidic electrolytes. More recently, Lafforgue *et al.* rationalized the mechanism of degradation by using *in situ* FTIR coupled with IL-TEM, and proved that the weak point of Pt/C in these conditions, lies in the propensity of Pt nanoparticles to assist the local corrosion of their carbon substrate into CO₂ and then carbonates, thereby breaking their binding to the carbon substrate and hence provoking their detachment and agglomeration or loss.^{238,239} The detachment is believed to be emphasized when solid carbonates are formed at the interface between the Pt nanoparticle and the carbon substrate, as evidenced by the strong effect of the alkaline electrolyte (larger detachment in the sequence LiOH > NaOH > KOH > CsOH).²³⁸ In this process, Pt facilitates the oxidation of nearby carbon surface groups (that spontaneously form on carbon above 0.207 V *vs.* RHE) as soon as it nucleates water, in a process that resembles the Langmuir–Hinshelwood CO-stripping process (Fig. 10).

In that extent, it is no surprise that PtRu/C, the most-active HOR electrocatalyst in AEMFC conditions,²⁴⁰ but also a very active electrocatalysts to oxidize CO, shows very little durability when potential cycled in liquid alkaline environments;²²⁰ in comparison, Pd nanoparticles are subjected to smaller (but non-negligible) degradations^{238,239,241} (Fig. 11a).

In this mechanism, it is precisely the potential cycling between a reduced and an oxidized state of surface of the electrocatalyst that is at the origin of the nanoparticles detachment. The amplitude of the potential window tested here (0.1–1.2 V *vs.* RHE) corresponds to that experienced by any electrocatalyst in an AFC/AEMFC operated in start/stop mode; at stop, the oxygen and the hydrogen electrodes will be at *ca.* 1 V *vs.* RHE (under air), while the anode will be close to 0 V *vs.* RHE in normal operation and the cathode will transiently reach close to 0 V *vs.* RHE in the early stages of the stop period, where the H₂ present at the anode will naturally crossover to exhaust the cell. Tests performed by reducing the amplitude of the potential variations (0.1–0.6 V *vs.* RHE or 0.6–1.2 V *vs.* RHE) did not suppress these degradations, but simply lower their magnitude.²⁴² So, even

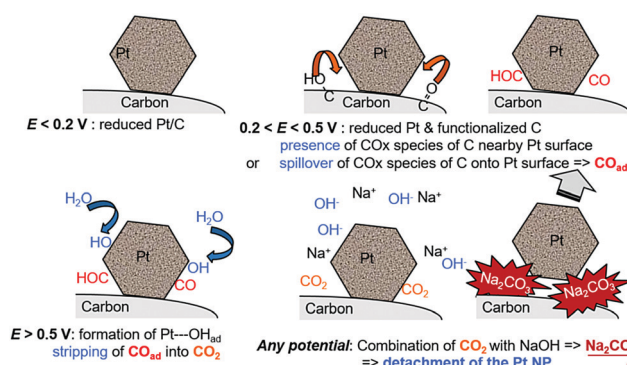


Fig. 10 Schematic representation of the processes leading to the Pt nanoparticles' detachment from their carbon support during repeated potential cycling from reducing (e.g., $E = 0.1 \text{ V vs. RHE}$) to oxidizing (e.g., $E = 1.23 \text{ V vs. RHE}$) conditions in MOH (M = Na, K, etc.) electrolytes with Pt/C electrocatalysts. The surface groups presented are indicative and do not pretend to be exhaustive. Freely adapted from ref. 238 with permission from Wiley.



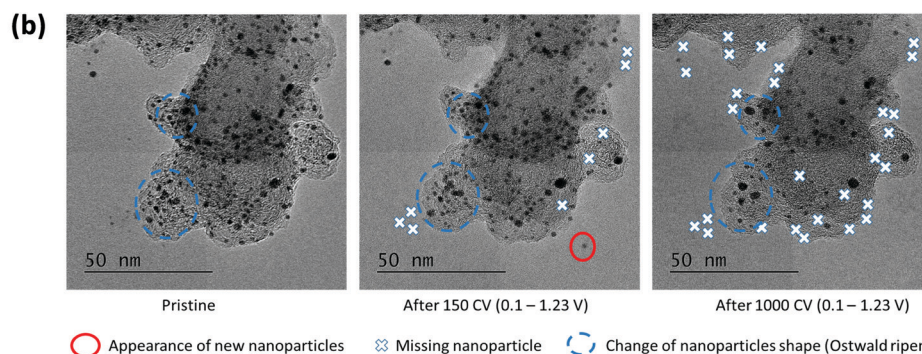
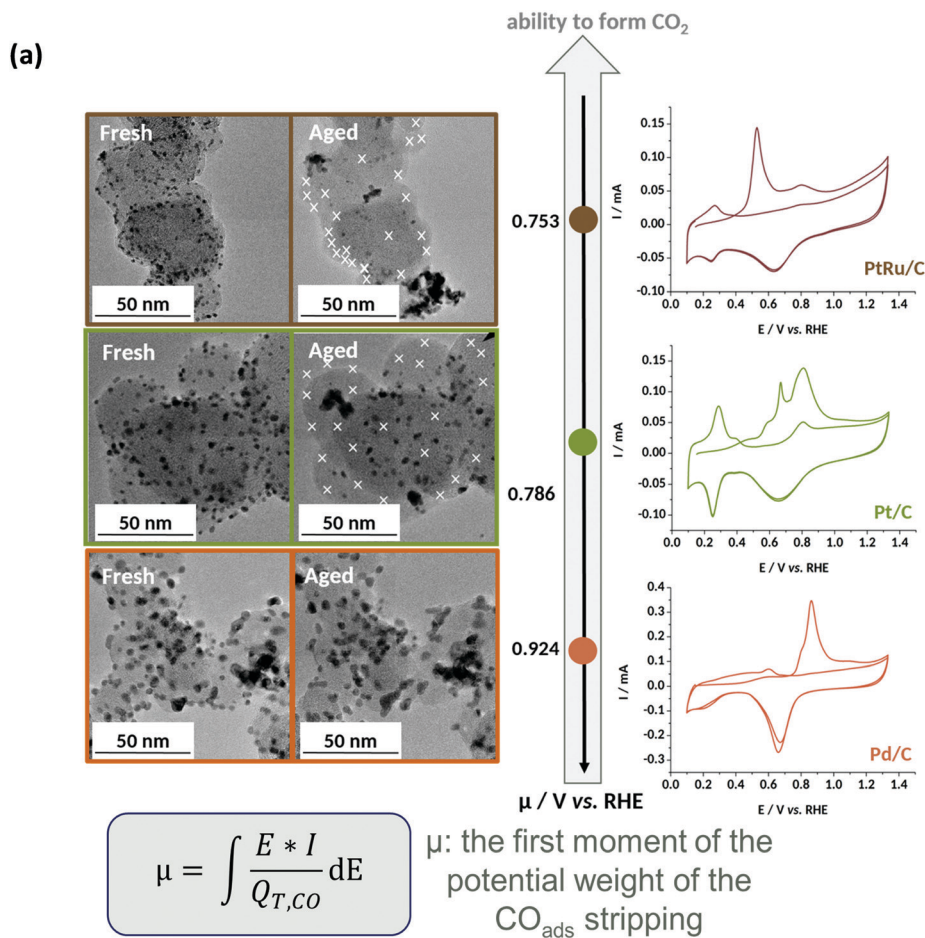


Fig. 11 (a) IL-TEM micrographs pre-AST and post-AST and CO_{ads} stripping voltammograms recorded at $v = 20 \text{ mV s}^{-1}$ in 0.1 M NaOH on 10 wt% PtRu/C (brown), 10 wt% Pt/C (green), and 10 wt% Pd/C (orange). The extent of nanoparticles detachment is correlated with the average CO_{ads} stripping potential (first moment of the potential weight of the CO_{ads} stripping). (b) Representative IL-TEM micrographs of Pt/C nanoparticles before (pristine) and after 150 or 1000 CV cycles performed at $v = 100 \text{ mV s}^{-1}$ between 0.1 and 1.23 V vs. RHE in interface with an anion-exchange membrane in the dry cell at $T = 25 \text{ }^\circ\text{C}$. The markers are not comprehensive and just illustrate the main degradation mechanisms at stake during the potential cycling procedure. Reproduced from ref. 242 with permission from the American Chemical Society.

in “classical” load cycling in HOR or ORR operation, would Pt/C experience such nanoparticles detachment from the carbon support (if operated in liquid alkaline electrolytes). The size and loading of the nanoparticles, and the nature of the carbon substrate have marginal effects, both for Pt (10, 20 and 40 wt% Pt/C experience the same degradation phenomena)^{237–239} and Pd.²⁴¹ the former is believed to influence (slightly) the propensity

of the metal nanoparticles to be reduced at the lower vertex potential (the same applies to the presence of reducers in the electrolyte), while the latter mostly influences the initial definition of the nanoparticles but not so much the overall process of metal-assisted local carbon corrosion.²⁴³ In principle more robust graphitic carbon structures are not much more stable than amorphous ones, because they also get functionalized by



O-containing groups above 0.207 V *vs.* RHE and the metal nanoparticles assist the oxidation of these groups into CO₂ in an identical manner as for initially defective carbons.

Using a “buffer” layer between the metal nanoparticles and the carbon substrate (*e.g.*, Pd/CeO₂/C) can help to mitigate such degradations.²⁴⁴ In that case, though, the aging is not suppressed: it appears that if the nanoparticles detachment is significantly slowed down, another mechanism of degradation can proceed, like Ostwald ripening, a process that Pd (and Pt) nanostructures are prone to undergo upon potential cycling below/above the onset of their surface oxidation.²⁴⁵

It is also possible to minimize the effects of the metal nanoparticles detachment by using materials that cannot promote CO_{ads} stripping, and Ni alloys seem a good solution in that direction.²⁴⁶ Mayrhofer *et al.* also studied the fate of nanostructured Ni-based electrocatalysts (Ni/C and bimetallic Ni₃M/C, M = Co, Fe, Cu, Mo) *vs.* (electro)chemical oxidation/dissolution in HOR-relevant conditions.²⁴⁷ Whereas Mo was found to suffer intense dissolution owing to its thermodynamic instability, Cu was stable below 0.4 V *vs.* RHE, though it underwent non-negligible transient electrochemical dissolution above 0.4 V *vs.* RHE. On the contrary, Ni, Co, and Fe were found to negligibly dissolve below 0.7 V *vs.* RHE. The absence of dissolution must, however, not be taken as a guarantee to maintain high electrochemical activity, as all catalysts do lose their HOR properties upon incursions to potentials above 0.4 V *vs.* RHE, as a result of detrimental surface oxidation of the metal nanoparticles, that triggers the HOR deactivation. The authors conclude that all the electrocatalysts but Ni₃Mo/C exhibit non-negligible stability in conditions relevant to an AEMFC anode in “normal operation”; however, as fuel starvation (or simply stop of the AEMFC system) would result in anodic potentials above 0.5 V *vs.* RHE, none of the tested materials would be robust against passivation/HOR deactivation without “system-like” strategies to control their state of surface (and these strategies are yet to be built).

Finally and importantly, the fate of Pt/C was also studied in interface with an AEM, the experiments being performed in a so-called “dry-cell”. In these conditions, the detachment of Pt nanoparticles is greatly slowed-down, but it is not suppressed²⁴⁸ (Fig. 11b). This shows that nucleation of solid carbonates (M₂CO₃, M = Li, Na, K, *etc.*) is not mandatory to provoke the detachment of nanoparticles; instead, the formation of soluble carbonates from the oxidation of the carbon substrate at the vicinity of the metal nanoparticles would be enough to break the binding between the Pt nanoparticles and their carbon substrate, eventually leading to their detachment. It is clear that if solid carbonates form, the detachment is emphasized, as shown in the previous sections.^{238–242}

These selected results show that PGM/C catalysts are subjected to non-negligible degradations when operated in potential-cycling conditions, especially for large amplitudes of potential variation between reduced and oxidized states. In these conditions, the main degradation pathway consists of the detachment of the metal nanoparticles from their support following a metal-assisted local corrosion of the carbon

substrate into carbonates, hence breaking the binding between the nanoparticle and the substrate. Other degradation phenomena are also possible (but slower) if this process is mitigated, and in particular Ostwald ripening; this mode of degradation will proceed in the long term if the nanoparticles detachment is “suppressed”. This highlights that reaching durable AEMFCs not only implies to work on the durability of its AEM and ionomer, but also that of its electrodes. More importantly, electrocatalyst degradation must be studied at the interface with the polymer electrolyte, if possible in AEMFC-relevant conditions. The interfaces between these two components must also be surveyed upon real operation, as it is likely that typical phases of the AEMFC usage will lead to specific (and local) degradations; start-stops are a typical example,²⁴⁹ in which heterogeneous operation is witnessed. Such heterogeneities of operation will likely lead to heterogeneities of aging, as was the case for PEMFCs,^{133,250–252} but this remains to be studied for AEMFCs.

6. Durability in AEMFC systems

Up to this point, discussion has focused mainly on component materials and fuel cell tests on small active area, so-called “differential” cells (usually *ca.* 5 cm² in active area). While the fundamentals of the system do not change in “technical” scale cells, a designation typically conceded for cells of 50 cm² or greater,²⁵³ additional variables and limitations need to be taken into account, and this is still further complicated in a multi-cell stack. A further layer of complexity is added when the stack is operated in a stand-alone system rather than a dedicated and well-controlled test bench.

One of the challenges for AEMFC commercialization, is that a would-be developer of AEMFC MEAs and the component materials, cannot rely on existing PEM stack or system technology, operating procedures, control systems, *etc.* as a platform for testing, due to the fundamental differences especially in water management and the behavior of core material performance parameters in response to variations in, for example (but not limited to), humidity, temperature and pressure, in addition to the need for CO₂ filtration in the AEM.

Therefore, we discuss some of these issues with respect to technical AEMFCs, stacks and systems. While less published data is available for these effects, we survey some of the considerations that must be applied to cell and stack operations as well as MEA design principles and fabrication requirements, and the implications of these for research requirements at the core material and differential cell levels.

Although transition to multi-cell stack and then standalone system are very significant, the switch to a large active area AEMFC is perhaps the most consequential. A feature of the impressive power densities recently achieved (up to and above 3 W cm⁻² in 5 cm² cells operating under hydrogen/oxygen³), and indeed the beginnings of successful durability tests as shown in Fig. 2, is the carefully balanced temperature, reactant flow rates and RH to tune cell operation to maintain optimum water balance in the MEA.



In the technical cell, such delicate control cannot be achieved. MEAs (and indeed flow fields) need to be designed not to one ideal condition but rather a spectrum of conditions corresponding to the intra-cell variations as well as, in an operational setting, changing external conditions, most significantly the power demand and ambient temperature.²⁵⁴

Fig. 12 shows a durability test of an application-sized MEA (ca. 250 cm² active area) using the same Tokuyama materials as Fig. 2a, recorded at 0.4 A cm⁻² and 67 °C. The larger active area cell results in some significant variability in output voltage, making determination of linear degradation rate somewhat difficult, but it can be seen that the cell holds to a negative slope of $\sim 50 \mu\text{V h}^{-1}$ for ~ 600 hours, with a larger slope setting in over 600–1000 h. Thus, while this MEA is able to pass current for $\gg 1000$ h at 0.4 A cm⁻², performance as well as degradation rate are still inferior to the 2019 data (Fig. 2a), while the cell is operating at a lower temperature and current density. The data presented in Fig. 2 shows that, at least at moderate temperatures and current densities, an effective strategy in flow field design + operating conditions, can lead to cell durability that is comparable to that achievable in differential cells. The primary cell failure mode can be attributed with reasonable confidence to a steadily worsening water management paradigm, that can be understood when considering the AEMFC cathode as described in Section 4.1. The rate of this underlying degradation mode is likely non-linear, monotonically increasing over the whole cell lifetime, and although initially obscured by reversible voltage variability, ultimately revealing itself as it converges on catastrophic failure somewhat above 1000 h, as also seen towards 4000 h in the 2011 data of Fig. 2a.

6.1 Water management in technical cells

Gottesfeld *et al.*²⁰⁴ described the water management challenge in a technical AEMFC cell, showing an *operando* neutron radiography image of a 240 cm² device and highlighting the variations in the overall cell water content over the active area. Without covering all the details here, the important conclusion is that, in the technical cell, the delicate balance between

under-hydration that leads to cathode dry-out, and over-hydration leading to anode and even cathode flooding, is recast in such a manner that both phenomena can easily be observed simultaneously and in different areas of the same cell.

The question then arises as to the strategy to avoid such a situation from occurring, or at least from causing irreversible losses in cell performance. Gases entering at low humidity quickly become humidified by the cell itself. In any reasonable configuration, the outward gas streams will be fully heated and humidified. Temperature variation around the cell active area is also a feature and can be as high as 10 °C at higher current densities.

As a first order optimization, flow fields can be designed to minimize coincidence of high (or low) reactant humidity in the anode and cathode. Natural or induced temperature variations within the cell/stack can also be exploited to alleviate the issue to an extent. These technical scale water management considerations that call for hardware and system level design are of course not special to AEMFCs. However, the high sensitivity of especially the AEM – in terms of degradation¹⁹⁵ as well as performance characteristics¹⁰² – to changes in humidity and temperature create a more significant barrier to effective operation.

Second-order strategies could include region-specific variations in gas diffusion layers or even catalyst layers – although such added complexities are undesirable (though not inconceivable) when considering device production and manufacturing costs. It is important to recognize that the very need for such approaches – the solutions to which must translate to actual costs somewhere in the value chain – stems from the ongoing limitations of this basic component of AEM technology – namely the lack of resilience of ionomers to departures from ideal hydrothermal conditions. With all the advances in the field from recent decades, this sensitivity presents itself as an emerging challenge to technology developers.

The technical water management strategy employed in Fig. 12 is to minimize the potential damage caused by drying of any part of the MEA, while accepting and addressing the resulting over-hydrated conditions in some regions of the active area.

In this approach, the humidity settings near both anode and cathode gas inlets are tailored such that the operation at these points is similar to that found in differential cell “sweet spots”, corresponding to RH values that are high but $\ll 100\%$, thus assuring that these locations which are those most susceptible to drying conditions remain well hydrated and performing optimally. This operational choice leads to a steadily increasing levels of hydration along the gas flow fields from an already well-hydrated starting point, and results in a large proportion of the active area in what would normally be called a “flooded” state.

The approach to achieving durability is then to fortify the MEA and its components against modes of degradation arising from such flooding. This can include for example, cross-linking of electrodes,^{255–257} and providing for effective liquid water

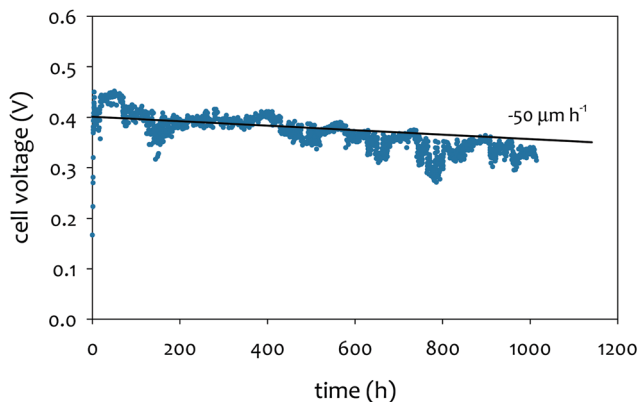


Fig. 12 A ca. 250 cm² MEA operated at 67 °C 0.4 A cm⁻² over 1000 h. The indicated degradation line of $-50 \mu\text{V h}^{-1}$ holds for ~ 600 h. Data recorded at PO-CellTech.



gress *via* electrode formulation, selection of gas diffusion layers, and design of flow fields.

This is virtually the opposite of a common operational approach in PEMFCs, in which a portion of the MEA is allowed to remain somewhat dry, in order to avoid flooding in other parts of the active area. In the AEMFC, ionomeric degradation under dry conditions as well as the need to supply water as a reactant in the cathode, means that erring on the side of over-hydrating yields a better outcome at the present state-of-the-art of component materials. A further advantage to this direction is that the more flooded anode is normally supplied with 100% reactant, rather than the 20% found in the water-generating PEM air electrode.

There is of course a significant price to be paid in performance as a result of large portions of the MEA operating under a perpetual state of over-hydration. Choosing conditions optimized for power density, the MEA employed to generate the data in Fig. 12 is able to achieve ~ 0.75 V at the same current density in a 5 cm^2 differential cell at beginning of life [For example, Fig. 7 in ref. 219], and > 0.7 V in the technical cell [unpublished data].

To achieve higher cell performance in such an approach, pursuing higher operating temperatures is a reasonable way to mitigate the effects of over-hydration. However, due to the sensitivity of the ionomeric material to low RH increasing, with temperature, higher RH settings are also required thus limiting the effectiveness of this approach. Higher temperature does have a positive effect on achievable power density (though presumably due more to increased catalytic activity than facilitated water management), and so the high temperature durability of component materials remains a key area for development.

6.2 Air and hydrogen stoichiometry

Assuming it should be limited to applicable operating conditions, a technical cell may not be operated at the high reactant gas stoichiometries typically employed in differential cells. Due to cost/sizing considerations of the air feed subsystem, acceptable air stoichiometry is well below 2.5, and product targets significantly lower still, especially for automotive applications where an air stoichiometry of 1.5 is targeted for PEM fuel cell systems.²⁵⁸

The H_2 feed meanwhile must approach 1.0 to avoid fuel waste, although this can be achieved by an identical fuel circulation subsystem as for a PEM device,²⁵² albeit with modified specifications and control parameters.

In the light of the above, the high flow rates employed in the literature for differential AEMFCs may look unrealistic. However, high flows in differential cells are needed to provide a certain gas velocity to remove excess water droplets that in technical cells is achieved with much lower stoichiometries. Any given (for example) 5 cm^2 portion of a technical cell sees a local reactant stoichiometry that is a function of the number of parallel gas flow channels in the given design, and the total active area of the cell, and can easily be in the range of 10–15 \times greater than the global stoichiometric ratio. It is

therefore rather futile to try to target stoichiometry as a merit parameter in differential cells.

That said, the high stoichiometries typically used in the differential cell and the technical cell water management features described above are related, stemming from the extreme current-specific water generation rate in the anode, the fact that that water generation is on the fuel side and not the air side, and the active status of water as a reactant in the alkaline cathode. Different strategies have been reported to handle this challenging paradigm. Earlier experiments in differential cells typically employed full humidification on both anode and cathode, a reasonably effective strategy in the by then already well-studied PEM equivalents.

In attempts to improve power density by alleviating flooding, it was found perhaps counterintuitively, to be more effective to release some excess water as vapor *via* reduced humidity in the nominally dry cathode, rather than the nominally wet/flooded anode.²⁵⁹ This can be understood when considering that the most effective way to supply reactant water to the cathode is *via* the membrane (back diffusion), which is liable to lose a significant portion of that functionality if the anode surface is anything less than fully hydrated. Mustain *et al.* found that by carefully balancing humidification on both anode and cathode, and employing gas diffusion media free of microporous layer that helped prevent excessive buildup of liquid water.²⁶⁰ This strategy allows a strongly positive water mass balance to be maintained without strongly flooding either electrode and allows exceptional areal power density to be achieved.

In the technical cell meanwhile, a fully humidified anode at a nominal stoichiometry $\gg 1$ can be considered a simple simulation of conditions generated in an H_2 recirculation system (although a “knockout” element may be employed, with added system complexity, to operate at lower RH). Meanwhile an RH much below $\sim 85\%$ (at 80°C) at the cathode presents a very strong challenge to the durability of currently available ionomers, and can cause acute dryout near the cathode inlet of the technical cell leading to immediate (though possibly reversible) loss of performance simply due to the low concentration of reactant water. This combination leads to a strong challenge in reducing the cathode stoichiometry since either durability (due to the relatively dry operation at the cathode) or performance (due to a flooded anode from a strongly positive mass balance) is sacrificed when a “high stoichiometry/high humidity” combination is disallowed. The trade-off must be alleviated to the extent possible by electrode design and operational parameters, including the functionality of the gas diffusion media and their microporous layers, adequate hydrophobicity of catalyst layers (*via* the ionomer directly or *via* other additives),²²¹ as well as active water management in cell operations as touched upon recently in several published durability tests.^{2,3}

It is relevant here to survey some advanced approaches from PEM systems. Toyota Motor Company employed an innovative mesh-type air flow field (“3D fine mesh flow channels”²⁶¹) that incrementally directs air flow from the flow field to the MEA,



such that each portion of the cathode “feels” the same low local stoichiometry. Another such approach already employed by PEMFCs to good effect are the so-called “water transport plates” developed at United Technologies by Perry and others.^{262–264} Here a porous bipolar plate with hydrophilized, water-filled pores provides humidification of incoming air and H₂ by allowing water exchange directly between the anode of one cell and the cathode of its adjacent cell, while simultaneously extracting excess liquid water in a combined humidification-water management-cooling system. Engineering approaches such as these, optimized for the unique requirements of the AEMFC,²⁶⁵ can be considered towards alleviating the simultaneous flooding/drying paradigm. Recently, an innovative GDL concept was developed at the Paul Scherrer Institut by Forner-Cuenca and Boillat,^{266–268} comprising hydrophobized GDLs that were selectively hydrophilized, in patterns controlled by a masked radiation grafting process, to allow passage of liquid water through the selected regions.

Perhaps because their benefits will only be fully realized in technical cells and stacks, these above approaches have not yet been well-explored for AEMFC systems, although their beneficial effects may prove even stronger than for PEMFC because of the more stringent water management demands, and so comprise areas of significant potential for development.

6.3 AEMFC stack durability during intermittent operation

It is almost axiomatic that electrochemical device degradation will be accelerated by cycling – variations in temperature, humidity, pressure differentials *etc.* all provide mechanochemical perturbations that test the hardiness of soft matter-based devices. While most of the key aspects of shutdown/restart are not fundamentally different to those of PEM systems, the peculiarities of the AEMFC system are as important to this aspect of the operational cycle as they are to long-term continuous operation.

6.3.1 Status. Intermittent operation and stability of AEMFC's under cycling is almost untouched in the literature. Fig. 13 shows an example of a 2 kW AEMFC stack operated intermittently over a period of six months, without observable

losses in stack performance, demonstrating that these stages of the operational cycle can, in principle, be safely navigated with an AEM device.¹²⁸ However, given what is nowadays understood regarding alkaline MEA characteristics as reviewed above, we should expect additional challenges when compared with either continuous discharge of AEM systems or shutdown/restart of PEM systems. Conversely, reversible losses, which as discussed above are especially significant in AEMFC's, can in principle be recovered each time a stack goes through a shutdown/off/restart “partial cycle”. During shutdown, excess water buildup can be removed from the electrodes. Mild anode carbonation can be quickly reversed by a brief application of a high anode overpotential/high current density (as discussed in Section 4.2.2). A well-designed startup protocol can thus then help to place the cell in a good starting condition, filtering out reversible losses and gives the stack a good durability trajectory. That said, these procedures might also be expected to accelerate some non-reversible degradation modes, in particular for the electrode materials (see Section 5.3).

6.3.2 Ionomer hydration management. One of the key challenges in this respect with an AEMFC is in maintaining adequate ionomer hydration. Shutdown typically includes a purge step to remove excess water (this is of course essential if a stack is potentially to be exposed to strong freezing conditions during the “off” stage). However, over-drying of an AEM in hydroxide form will likely lead to chemical degradation, even during an electrochemically idle period. Thus the stack used to generate the data in Fig. 13, from the perspective of chemical degradation of the AEM/ionomer, is effectively 4000 h old even if the actual operation time is less than 10% of that. Furthermore, restart includes re-hydration by generation of excess water in the overall fuel cell reaction, whereas active water consumption in the cathode begins from the moment a current is drawn, not from the moment the cell is adequately hydrated. This implies that some development may be required especially in terms of optimal hydration to allow a restart from freezing conditions. Furthermore, the time the stack needs to reach its rated power is dependent on the rate at which one allows it to heat up (where heat is provided by generating current at low voltage efficiency). In most applications this rate is important, and the tradeoff with ionomer preservation therefore becomes an important criterion for the AEMFC system.

Meanwhile, as demonstrated by Kreuer,¹⁰³ the cost to ionomer performance – both ion conductivity and water mobility – of low hydration levels is stronger than in PEMFC systems, and serves as positive feedback to ionomer chemical degradation in the same manner described in Section 5.2. Mitigating this process, especially at startup, shapes to be a considerable challenge for commercial operational AEMFC systems.

The choice of maximum current density accessed during a restart is an informative example: As shown above, an extended period of high current density is a strong accelerating factor for stack degradation – especially if hydration is inadequate. However, the osmotic pressure generated also helps to hydrate the cathode *via* delivery of water from the anode, and the high anode potential and OH[−] flux help to reverse any carbonation

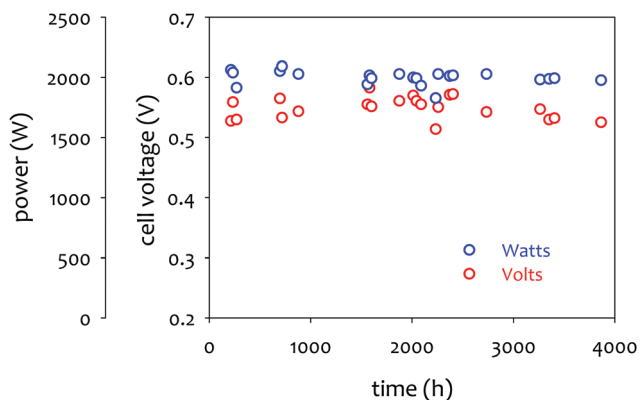


Fig. 13 Durability of AEMFC stack during intermittent operation. Reproduced data from ref. 128.



that may have occurred during the previous cycle, while the potential 'damage' caused by a relatively short, high-current step during startup is unclear and certainly time- and peak current dependent. Thus the design point likely affects both short-term performance and long-term durability, needing to be struck with care and tailored to the materials employed in the device in question.

6.3.3 Other considerations. The voltages to which the stack is exposed, as with PEM systems, is also important to the AEM stack. The degree of importance is material-dependent, but minimizing exposure to open circuit potentials (with reactants present) is likely to be nearly, or as important, to an AEM as to a PEM cathode. Cell voltage management should be built in to a control system following empirical evaluation of the specific cathode catalyst in the fuel cell stack.

Hydrogen starvation at the anode, even for a very short period of time, can cause significant and irreversible damage (Section 5.3), as can internal currents caused by coexistence of O₂ and H₂ in either electrode cavity. These invariably lead to catastrophic failure in just a few cycles. Extended 'off' periods, especially, are liable to result in such situations, either during the 'off' period itself or at the time of restart, and so the stack condition left at the start of the 'off' period is especially critical. In general, many of the degradation processes noted above for the various individual elements (*e.g.*, catalysts, ionomer, carbon supports *etc.*) are more likely if "uncontrolled" potential situations are allowed to occur in the cell. However, these effects and mitigation strategies are not particular to AEMFC systems.

7. Current durability challenges and future action

7.1 Durability challenges

The history of AEMFCs development is short compared to that of PEMFCs. Over the past decade, significant progress has been made for performance and durability improvement. At the initial stage of AEMFC development (2000–2010), researchers reported hydroxide conducting materials that have the potentials to be used for AEMFCs. After this period (2011–2015), promising AEMFC performance using hydroxide-conducting materials including Tokuyama's commercialized materials (AS4 and A201) were reported. From the research point, some important findings such as polymer backbone stability,^{26,27} particulate ionomeric binder,²⁶⁰ or stable cationic functional group^{34,137} were reported. These results derived substantial AEMFC performance improvement during (2016–2020) particularly using Pt-Ru anode catalyst and Pt cathode catalyst. At this period, researchers realized that the AEMFC system is not just a high pH version of PEMFCs: some peculiar characteristics may impact fuel cell performance and durability. Major research topics during this period include water management, carbonation, and catalyst-ionomer interactions. Those peculiar characteristics of AEMFCs have been investigated with broader materials sections such as PGM-free catalysts, non-alkyl ammonium functionalized AEMs under extended operating conditions (higher temperature, low stoichiometries, lower RH, *etc.*).

From the durability standpoint, researchers realized that a stable performance output for AEMFCs is considerably more challenging compared to PEMFCs. One should note that the durability challenges of AEMFCs do not only come from different HOR and ORR reaction mechanisms between AEMFCs and PEMFCs but also materials availabilities. For PEMFCs, perfluorosulfonic acids (PFSA)s are known to be chemically, and electrochemically stable and interact only minimally with electrocatalysts. However, for AEMFCs, no such materials are available for now, and thus control of available operation parameters cannot meet the targeted durability. Although recent improvement in performance and durability of AEMFCs is impressive even with hydrocarbon-based materials, the current durability of AEMFCs needs to be significantly further improved to achieve commercially viable systems.

The performance degradation mechanisms are largely related to the peculiar characteristics of AEMFCs. However, the exact degradation mechanisms of AEMFCs are still largely unknown. For the anode of AEMFCs, the degradation mechanism related to the electrode flooding should be better understood. It is still puzzling why AEMFC anode is easily flooded even with very low current generating conditions while PEMFC cathode is robust even in over-humidified conditions. This flooding issue makes it very difficult not only for development of PGM-free anode catalysts that have more hydrophilic characteristics than Pt-based catalysts but also for general water management (see Section 4.1.1). Carbonation is another important topic for anode durability (Section 4.1.2). While carbonated species of the anode can be removed by replenishing the cell with dilute caustic solutions, it is challenging to achieve a perfect carbonate-free environment. Unlike the initial assumption that carbonation would largely impact the membrane conductivity, the anode performance decrease with carbonated species may be a critical research topic. Particularly, this topic is interesting as the carbonation issue is much less significant for the hydrogen evolution electrode of alkaline water electrolyzers, that often use potassium carbonate as a liquid electrolyte.²⁶⁹ Possible degradation of anode ionomeric binder is unexplored and is likely related to the water management and carbonation issue (Sections 5.2.4 and 5.2.5).

7.2 Future actions

7.2.1 AEM development. The approach for AEM development before 2010, focused on alkaline stable AEMs before considering the performance of AEMs. As some of the critical durability limiting factors of AEMs began to be understood, achieving both high performance and stability of AEMs simultaneously became important. We have found that in some cases, there is a trade-off between AEM performance and durability. In other cases, we have found a synergistic effect between performance and durability.

The first design strategy for advanced AEMs which has been implemented in the field is to prepare an aryl ether-free polymer backbone. Preventing aryl ether cleavage reactions for aryl ether-containing quaternized polymers is not a trivial task and has not yet been successful. Polyolefinic and aryl



ether-free polyaromatics are two representative families of aryl ether-free backbone polymers. Polyolefinic AEMs such as polyethylene, polynorbornene, ethylene tetrafluoroethylene and polystyrene-block copolymers have advantages over polyaromatics-based polymers in terms of water permeability and film-forming ability. However, high temperature properties ($>80\text{ }^{\circ}\text{C}$) of highly quaternized polyolefinic AEMs is less desirable for high temperature operations of AEMFCs. Developing dimensionally stable polyolefinic AEMs may further improve the performance and durability of AEMFCs. Possible strategies include introducing crystallinity, crosslinking, and hydrophobic cationic functional groups. Also reinforced AEMs can improve the dimensional stability. Aryl ether-free polyaromatic polymers such as polyphenylene, polyfluorene and poly(alkyl phenylene) polymers have benefits at a higher temperature ($\geq 80\text{ }^{\circ}\text{C}$). However, aryl ether-free polyaromatics are often brittle due to the absence of the flexible ether linkage in the polymer backbone and low molecular weight. Possible strategies to resolve this issue is to obtain high molecular weight, minimize chain branches and, introduce kinked structure and reinforcement.

The second design strategy is to choose suitable cationic groups. For the most popular trimethyl ammonium functional group, introducing alkyl spacers between the polymer backbone and side chain has proven to be an effective way to increase cationic group stability. Introducing more stable cationic functional groups, such as piperidinium, has been successfully implemented. Further research efforts to prepare polymers with stable cationic functional groups such as spirocyclic compounds,³⁴ should be continued. Enhancing the stability of the known cationic groups is another area that needs continued research. Hindering the hydroxide attack center cation by Holdercroft's group²⁷⁰ is a good example for mitigating cationic group degradation. Even when achieving a good cationic group stability, one should note that the real benefits of adopting non-conventional ammonium group over a alkyltrimethyl ammonium group have not been clearly demonstrated yet. As both alkyl ammonium and other cationic groups showed high alkaline stability, other aspects such as conductivity at high and low RH and water transport should be examined as well.

A third design strategy is to increase water transport properties because the most high-performing AEMFCs are using high back-diffusion of water (water diffusion from anode to cathode), it is critical to develop AEMs with high water permeability and rapid water uptake. Different approaches are possible including: increasing membrane free-volume, introducing flexible polymer backbone, and increasing IEC. Particularly, preparation of mechanically stable thin-film (5–30 μm thickness) is beneficial for water transport (see Sections 5.1.2 and 5.1.3). While *ex situ* characterization of AEMs is simple and standardized, the relevancy between *ex situ* and *operando* AEM stability has not been established. Also, water permeability of AEMs under different hydration conditions including water concentration gradient needs to be investigated by experimental and modeling studies.

A fourth design strategy is to operate highly conductive AEMs under low RH conditions. While current low RH operation of

AEMFCs is limited by electrode performance, low RH operation is desirable for automotive fuel cell applications. Similar approaches that have been implemented in PEMFCs can be used for AEMFCs. The most common approach is to enhance the phase-separated morphology of AEMs. Synthesizing multi-block copolymers or introducing hydrophobic polymer backbones may be two possibilities. It is also noted that the hydroxide conductivity of AEMs does not only depend on the concentration of cationic functional groups but also the meso-scale structure of the polymer system. In particular, order-disorder transition in the nano-phase separated domains may affect the ionic conductivity and a number of chemical events that is related to the stability of the AEMs.^{271–273} Further understanding on hydroxide conduction and chemical interaction at fully and partially humidified conditions may be required to develop advanced AEMs.

Lastly, AEM interaction with catalyst layers is another largely unknown field and needs to be better understood. Transporting a significant amount of water and hydroxide ions through the interface between AEM and catalyst layers requires a robust interface for long-term operation of AEMs (Section 5.2.1).

7.2.2 Ionomer development. Ionomer should be designed separately from AEMs. Furthermore, ionomers for anode and cathode catalysts layers may be designed differently as the catalyst-ionomer interactions at the electrode potentials are different. For the anode ionomeric binders, cation-hydroxide-water coadsorption is a concern for AEMFC performance and durability. The most commonly used methylammonium functional group is known to be highly adsorbed on the surface of catalysts at HOR potentials. To prevent adverse cation adsorption, developing less adsorbing cationic groups is a plausible approach. It is questionable whether alkaline stable cyclic cationic groups are a better choice or not. However, some studies show that more bulky cations have a tendency to have lower adsorption energy.²⁷⁴ Other engineering approaches such as reducing the interfacial contact between anode ionomers and anode catalysts using particulated ionomers and therefore, decreasing ionomer IECs may find a sweet spot for the performance-durability of AEMFCs. Operating fuel cells at higher anode potential (high current density) may mitigate the cation adsorption effect as the cation adsorption is most significant at $\sim 0.1\text{ V vs. RHE}$. Phenyl adsorption is another significant factor that impacts the performance of AEMFCs. The best approach is to use phenyl-free ionomers. However, due to the technical challenges in preparing phenyl-free ionomers, using ionomers with a non-adsorbing phenyl group is a good alternative.

For the ionomeric binder for cathodes, the most significant concern is the electrochemical oxidation of ionomers at high electrode potentials (Section 5.2.2). The most significant element for electrochemical oxidation is the phenyl group in the ionomer because the phenyl group is converted to acidic phenol. The best solution is again to prepare phenyl-free ionomer but this approach is currently unrealistic. It is questionable how ionomers with non-adsorbing phenyl groups are effective as we observed that even ionomers with non-adsorbing



phenyl groups (in parallel position) can be oxidized at high electrode potential. Possibly, phenyl group having substituent groups may help reduce the phenyl oxidation rate. Alternatively, making a stacking configuration for phenyl group can reduce the oxidation process. It has been shown that graphitic carbon (phenyl) has much less corrosion at high cell potential in PEMFCs. Also, we believe that it is urgent to have a proper method to evaluate the oxidative stability of ionomers at a high electrode potential. Another critical future action for cathode ionomer development is related to the low RH operation of AEMFCs. While low RH operation typically requires highly conductive AEMs under low RH conditions, for AEMFCs, low RH operation may be possible with proper control of water transport and an adequate cathode ionomeric binder. In this case, the two most critical issues are the chemical stability of the cathode ionomer at low RH and the proper water supply from the AEM to water deficient cathodes. A possible solution to enabling low RH AEMFC operation is ionomer with high IEC. Much efforts in developing stable cationic functional groups under low RH and high potential conditions are required for the development of these ionomers. In addition, a modeling study under high current density and low RH conditions may be desirable to correlate the *ex situ* degradation rate to ionomer degradation during AEMFC operations.

7.2.3 Catalyst development. Catalyst development for high-performance and durable AEMFCs may be classified with four categories: PGM-free anode and cathode catalysts, low-PGM anode and cathode catalysts. For PGM-free anodes, Ni-based materials have been suggested.^{11,132} The main issues associated with Ni-based materials are their intrinsically low hydrogen oxidation activity (except if their degree of oxidation is tightly controlled,^{275,276} which might be difficult in real operating conditions), their tendency to surface oxidation (passivation) leading to progressive catalytic activity loss over time and specific interaction with anode ionomers. Besides system-like strategies to prevent incursions to operating points that can be detrimental to the catalysts, the proposed materials-based mitigation strategies include using higher loading, making intermetallics and alloys with higher resistivity to oxidation.^{11,277} The development of anode PGM-free catalysts should be performed with consideration to the inherent hydrogen oxidation activity and mass-transfer hindrances. For example, increased catalyst loading increases the hydrogen oxidation current but often causes electrode flooding and hydrogen transport limitation. In general, advanced PGM-free anode catalysts require more resources compared to PGM-free ORR catalysts, which have been developed extensive although with limited success, and this could induce a high payoff. For low PGM anode catalyst development, there are several papers that report relatively good fuel cell performance but the durability is still questionable.^{7,278} In terms of catalytic activity, the monometallic Pt catalyst showed inferiority to the PtRu-based catalyst. However, as the loading of PtRu catalyst decreases, catalyst poisoning by ionomeric binders becomes a critical issue. In addition, the hydrophobicity of catalyst particles should be achieved to prevent anode flooding. Further optimization of bimetallic catalysts may be required, not speaking from the

intrinsic durability issues of PGM/C catalysts, which must be addressed.

For PGM-free ORR catalysts, various types of catalysts, including M–N–C type,^{279,280} metal oxides^{281,282} and silver-based catalysts²⁸³ have been developed. M–N–C type of materials have shown comparable performance to Pt/C. However, the performance and durability of M–N–C catalysts should be evaluated in fuel cells, which has hardly been performed so far. The potential risks associated with poor mass-transfer in thick M–N–C catalyst layers may be mitigated by developing hybrid materials for dense oxide (or silver) catalysts. For non-carbon based metal oxide or silver-based catalysts, catalyst stability in MEAs needs evaluation in addition to the catalytic activity improvement. The ORR electrocatalyst durability studies have been performed mostly with PGM catalysts (Section 5.3), and more in-depth studies with PGM-free or low-PGM catalysts are required for durable AEMFC systems. In general, it has been shown that potential cycling of carbon-supported PGM catalyst is very detrimental to their stability, the PGM nanoparticles favoring local carbon oxidation into carbonate species, hence breaking their binding to the carbon support and provoking intense nanoparticles detachment. This process is linked to the PGM catalyst's ability to complete the oxidation of CO_{ad}-like surface groups that spontaneously form over the carbon surface above 0.2 V vs. RHE. Although such degradations are very critical for PGM/C materials, non-PGM catalysts were shown to be much more resistant to this process. Unfortunately, in that case, metal dissolution and more importantly catalyst passivation (and related deactivation) are not small issues. This means that achieving durable catalysis in AEMFCs is still a very stringent challenge that requires intense research in the forthcoming years.

7.2.4 MEA fabrication and system level. MEA fabrication methodology critically impacts performance and durability of AEMFCs. Many research groups focus on designing MEA components, then struggle to fabricate highly performing MEAs because there is limited information on optimized MEA structure. In this section, we describe two MEA fabrication methods that have shown high MEA performance and durability. The first method is the Los Alamos standard method which uses a homogeneous ionomeric binder. In this process, hydroxide form ionomeric dispersion is prepared (2–5 wt%). Ionomers having halogen counterions should be avoided as the halogen anions severely poison catalysts and are difficult to remove once the anion species have adsorbed on the surface of catalysts.^{284,285} Choosing dispersing agents determines the ionomer particle morphology.^{286,287} Water-based dispersing agents, *e.g.*, water/*n*-propanol mixture (1 : 1) and alcoholic dispersing agents with a high ratio of hydroxyl to methyl ratio, *e.g.*, ethylene glycol are the preferred dispersing agents. Either CCM or GDE method can be used. The CCM method can provide better interfacial adhesion between the catalyst and the AEM; however, the CCM method requires some solubility difference between the AEM and the ionomeric binder otherwise the AEM may dissolve out during the application of the catalyst coating. Therefore, the GDE method is preferred. AEM is prepared in hydroxide form right before MEA fabrication. Typically warm 0.5 M NaOH solution is used for



hydroxide form conversion. The MEA break-in process is performed at 0.6 V at 80 °C under fully-humidified conditions. The current density during the break-in process varies because catalyst activation, electrode flooding and possible CO₂ formation occurs simultaneously. Break-in time also varies depending on the catalyst and the ionomer. A minimum of 2 hours of break-in time is typically required. After the break-in, replenishing cells by flowing dilute NaOH solution and a complete rinse with water is often necessary to ensure there is no carbonated species. In addition, cyclic voltammograms of anodes and cathodes are necessary to ensure that there is no contamination of electrodes by the ionomer component.

The second method is the USC/Surrey method, which was developed using quaternized ionomers with limited solubility.^{2,288} In this method, quaternized powders are synthesized and then ground with a mortar and pestle to limit agglomeration. Then, a small amount of water as well as the catalyst and any additives (*e.g.*, carbon black, polytetrafluoroethylene) are introduced to the mortar and once again ground with pestle to create a slurry. Next, the slurry is transferred to a secondary vessel where additional water and 2-propanol are added, and the vessel is sonicated to create the catalyst ink dispersion. The resulting ink is then sprayed onto gas diffusion layers, creating GDEs, which are preferred to CCMs using this method. The ionomer in the GDEs is converted to the OH⁻ form by soaking in KOH at room temperature for 60 minutes, changing the solution twice during this time. The electrodes are placed on either side of the membrane in the cell with no prior hot pressing. The cell break-in procedure begins by bringing the cell to 60 °C under H₂/O₂ flow at the OCV. Then, the cell voltage is held constant at 0.5 V until a stable current is observed. Next, the cell is switched to constant-current mode and the performance is improved by iteratively manipulating the anode and cathode operating dew points to balance the cell water. Finally, the cell temperature is raised to its operating value (typically 80 °C) in multiple (typically 2 or 3) steps, with the dew points being optimized at each temperature step. A typical break-in procedure takes 2–4 hours. During operation, even for 1000+ hours, cells employing the USC/Surrey method have not needed to be treated with NaOH or KOH. However, the reacting gas dew points do need to be periodically adjusted (typically ±2 °C) over long-duration experiments to ensure optimal performance. Though both of the procedures above have shown promise, it should be noted that much of the MEA fabrication work that has been done has focused mainly on MEA performance. It is therefore necessary to devote more research to understanding how MEA fabrication impacts the durability of operating AEMFCs.

When it comes to the fuel cell stack and the integrated system, several challenges with respect to durability can be identified. At the MEA level, the sensitivity of present-day MEA's to operating conditions, generally with respect to water management, is likely the most significant of these, and is amplified in commercially relevant cell active areas (Section 6.1) and with imposition of real-world limitations to reactant stoichiometry (Section 6.2). This sensitivity is partly fundamental as

discussed in Section 4.1, but also antagonized by potential chemical degradation of ionomer (in catalyst layers and membranes) described in Sections 5.1 and 5.2.

Looking at the overall fuel cell system, including balance-of-plant, additional distinctions from PEM systems are somewhat less pronounced. One important subsystem does present itself with the need at least at the current state of the technology to filter CO₂ from the air stream (Section 4.2). A CO₂ filtration subsystem is a potential failure point, and in addition, the performance of such a filter over time would be an additional degradation trajectory, but the filter and filtration material would be replaceable. Most critical to technical viability is the understanding that an incidental carbonation event due to a system failure is fully reversible, while the effect on durability of continuous operation under a certain (presumably low) CO₂ concentration is an area for further exploration, as is the sensitivity of the fuel cell anode to CO₂ in the fuel stream, especially when robustness to lower purity hydrogen is required.

System operation under realistic conditions presents potential challenges again in the area of general robustness of MEAs that have not been addressed in the literature to date. Intermittent operation (Section 6.3) is one clear example where water management issues are very likely to be amplified. Behavior in response to variable power demand, system operation at various ambient temperatures, and restart from sub-freezing temperatures are other examples of commercial requirements that likely affect durability but have not yet been addressed in the literature, and these should attract further attention of researchers as the core AEMFC technology continues to mature.

8. Concluding remarks

While strong challenges clearly lie ahead in the development of AEMFC technology, especially with respect to durability as outlined in this paper, it does appear that significant advances, including an improved appreciation of the nature of these challenges, have emerged especially in the past few years. Increasing focus in the academic community on research targeting well-identified technology gaps, informed by many decades of PEMFC development, the advent of commercialized PEM systems and a growing understanding of the quirks of the AEMFC system provide significant hope that this promising technology will eventually find its place in the now rapidly emerging Hydrogen Economy.

Conflicts of interest

There are no conflicts to declare.

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