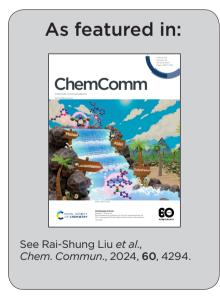


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Synthesis of two nitrogen-containing polyaromatic compounds through gold catalysis/DBU-promoted cyclizations†

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This work reports an efficient synthesis of novel benzo[7,8]indolizino[2,3,4,5-ija]quinazoline derivatives between 2-(2-ethynylaryl)acetonitriles 1 and anthranils 2. The synthetic approach involves the initial formation of 7-formylindole intermediates that can be implemented by DBU to activate a novel indole-nitrilealdehyde cyclization.

Nitrogen-doped polyarenes have attracted immense interest owing to their significance as electronic and pharmaceutical materials. 1,2 Nevertheless, synthetic procedures to access such heteroaromatic compounds are long and tedious. 1,2 Reported examples are largely limited to those polyarenes containing only one nitrogen atom. There is considerable interest in synthesizing polyarenes containing two or more nitrogen atoms, but little success is achieved toward material application.³ Fig. 1 shows those polyarenes containing two nitrogen atoms, which have optoelectronic applications.⁴ New convenient syntheses of such N-doped polyarenes are highly desired to expand the present small scope.

Scheme 1 (eqn (1)) shows a recent example of synthesizing fused four-membered benzenes such as benzo[7,8]indolizino-[2,3,4,5-ija]quinoline (I) containing one nitrogen atom that is embedded in an indole moiety.5 We are aware that the twonitrogen-containing analogues C13H8N2, such as species IV-VII, have not been reported in the literature (eqn (4)). Incorporation of one additional nitrogen in polyaromatic frameworks can alter the optoelectronic properties. The LUMO-HOMO energy levels will be significantly decreased if a pyridine ring replaces a benzene ring.⁴ Gold catalysis proves to be a powerful tool to access all-carbon fused benzene rings; 6 however, their applications to the synthesis of nitrogen-containing fused benzenes are not well explored. Recently, Hashmi reported⁷ gold-catalyzed synthesis of new indole

derivatives such as 2-amino-7-formylindole products (III) from the reactions of alkynes with anthranils (eqn (2)). So far, this catalytic reaction has no imminent impact on materials and medicinal chemistry. This work reports the new development of this catalytic reaction to access two-nitrogen containing fused benzenes such as benzo[7,8]indolizino[2,3,4,5-ija]quinazoline (4a), which is unprecedented in the literature (eqn (3)). This reaction sequence comprises two separate steps involving the initial treatment of 2-(2-ethynylaryl)acetonitriles 1a with anthranil 2a with a suitable gold catalyst in hot DCE, followed by a novel DBU-promoted⁸ indole-nitrile-aldehyde cyclization; intermediate 3a can be isolated and well characterized.

In material applications, 7-phenyl-7*H*-pyrido[3,2-*c*]carbazole (VIII)^{9a} has applications in OLED devices (Fig. 1). 4,7-Diphenyl-1,10-phenanthroline (BPhen, IX)⁴ is a commonly used electron transport material (ETM) with good hole-blocking ability. Tetraphenylbis(indolo[1,2-a])quinoline (TPBIQ, X) 9b has found application as an organic field transistor.

The reactions of 2-(2-ethynylaryl)acetonitriles 1a¹⁰ (2.0 equiv.) with anthranil 2a (1.0 equiv.) are optimized using various gold catalysts; the results are shown in Table 1. Alkyne substrate 1a was used in an excessive amount because protracted heating in this catalysis will cause slow alkyne decomposition. The reaction using IPrAuCl (10 mol%)/AgNTf₂ (10 mol%) in hot DCE for a period of time ($t_1 = 20 \text{ h}$) ensured a nearly complete reaction; subsequently, DBU (1.0 equiv.) was added to the same solution with continuing heating (80 °C, t_2 = 24 h). After workup, a new product 4a was isolated in 56% yield (entry 1). Other gold catalysts, LAuCl/AgNTf2 [L =PPh₃ and P(OPh)₃], gave the same product 4a in 45 and 37% yields, respectively (entries 2 and 3). Next, we altered the silver

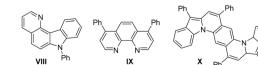
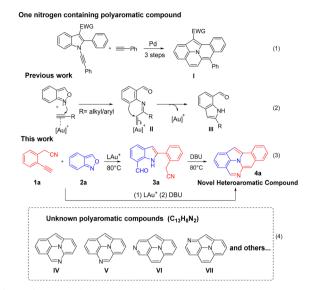


Fig. 1 N-heterocycles as electronic materials

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Scheme 1 Target for N-doped polyaromatic compounds.

Table 1 Optimization of the reaction conditions

1a ^a (2.0 equiv.) so	10 mol% catalyst, olvent, 80°C, t ₁ h DBU (1.0 equiv), t ₂ h, 4A MS,	
2a ^a (1.0 equiv.)		4a

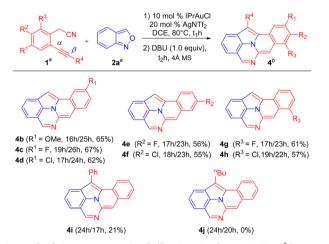
Entry	Catalyst	Solvent	Time	Yield ^b (%)	
	Gutaryst		t_1/t_2 (h)	4a	2a
1	IPrAuCl/AgNTf ₂	DCE	20/24	56	8
2	PPh ₃ AuCl/AgNTf ₂	DCE	22/23	45	13
3	(PhO) ₃ PAuCl/AgNTf ₂	DCE	23/21	37	16
4	IPrAuCl/AgSbF ₆	DCE	20/23	50	9
5	IPrAuCl/AgOTf	DCE	24/19	33	18
6	IPrAuCl/NaBArF	DCE	23/21	41	11
7^c	IPrAuCl/AgNTf ₂	DCE	19/26	69	_
8 ^c	IPrAuCl/AgNTf ₂	Ph-CF ₃	24/21	38	16
9^c	IPrAuCl/AgNTf ₂	Toluene	23/17	20	63
10^c	IPrAuCl/AgNTf ₂	ACN	18/00	_	77
11	IPrAuCl	DCE	24/00	_	71
12	AuCl ₃	DCE	24/20	39	15
13	$AgNTf_2$	DCE	16/00	_	83

^a Reaction conditions: 1a (1.6 mmol), 2a (0.8 mmol), gold catalyst (10 mol%), DBU (1.0 equiv.) in solvent (3.0 mL) under a N₂ atmosphere. ^b Product yields are obtained after purification from a silica column. ^c Additional 10 mol% AgNTf₂. DCE = 1,2 dichloroethane. ACN = acetonitrile.

salts for IPrAuCl (AgX, X = SbF₆ and OTf), further affording 4a in 50 and 33% yields, respectively (entries 4 and 5). Furthermore, silver-free IPrAuCl/NaBARF (BARF = B[3, 5-(CF₃)₂C₆H₃]₄) was prepared, to yield our target 4a in 41% yield (entry 6). Notably, adding AgNTf₂ (10 mol%) to this IPrAuCl/AgNTf₂ (10 mol%) system increased the yield of 4a to 69% (entry 7). With this new IPrAuCl/AgNTf₂ composition, the yields of compounds 4a in different solvents were as follows (entries 8–10): CF₃C₆H₅ (38%), toluene (20%), and acetonitrile (0%). IPrAuCl without silver salts was inactive under the same reaction conditions (entry 11). AuCl₃ was also less efficient, giving 4a in 39% yield (entry 12). AgNTf₂ alone was catalytically inactive as well (entry 13). The molecular structure of compound 4a was characterized by X-ray diffraction; 11 its ORTEP image is shown in Table 1. Our reaction sequence involves readily available reagents 1a and 2a, whereas the method in eqn (1) employs highly functionalized indoles as the starting reagent.

The generality of this new catalysis is assessed using various 2-(2-ethynylaryl)acetonitriles 1 and anthranil 2a; the results are summarized in Scheme 2. The operation used IPrAuCl/AgNTf₂ in 10 mol% and 20 mol%, respectively, in the first step, and DBU (1.0 equiv.) in the second step. For substrates 1b-1d bearing various 5-phenyl substituents ($R^1 = OMe$, F, and Cl), their standard operations yielded the desired products 4b-4d in 62-67% yields. We prepared additional substrates 1e and 1f containing 4-phenyl substituents ($R^2 = F$ and Cl), which delivered the desired products 4e and 4f in 56 and 55% yields, respectively. We also prepared substrates 1g and 1h bearing 3-phenyl substituents ($R^3 = F$ and Cl), and the corresponding products 4g and 4h were obtained in 61 and 57% yields, respectively. Substrate 1i, bearing a phenylethynyl group, afforded the desired product 4i, albeit with only 21% yield. Another internal alkyne substrate, 1i ($R^4 = n$ -butyl), failed to form the C(2)-substituted 7-formylindole intermediate through the $C(\alpha)$ -addition. The low efficiency of internal alkyne substrates is due to a distinct C(β)-regioselectivity for the anthranil attack on gold- π -alkyne, whereas our target 4 is produced from the alkynyl $C(\alpha)$ -regioselectivity. This assessment is further manifested by our control experiments (vide infra, eqn (5)–(7)).

Scheme 3 provides the outcome of the reaction of standard alkyne substrate 1a with various anthranils 2. A wide range of anthranils 2b-2h bearing various functional groups, including R^1 = OMe, Cl, Br, CF₃, CN, CO₂Me, OCOPh, and OTBS, could produce the desired products 5a-5h in 45-75% yield; herein,



Scheme 2 Substrate scope for 2-(2-ethynylaryl)acetonitriles. a Reaction conditions: 1 (1.6 mmol), 2a (0.8 mmol), IPrAuCl (10 mol%), AgNTf₂ (20 mol%), and DBU (1.0 equiv.) in solvent (3.0 mL) under a N_2 atmosphere. ^b Product yields are obtained after purification from a silica column.

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Scheme 3 Substrate scope with respect to the anthranils. a Reaction conditions: **1a** (1.6 mmol), **2** (0.8 mmol), IPrAuCl (10 mol%), AgNTf₂ (20 mol%), DBU (1.0 equiv.) in solvent (3.0 mL) under a N₂ atmosphere. b Product yields are obtained after purification from a silica column.

only product **5h** (R^1 = OTBS) was obtained in low yield (45%). For anthranils **2i–2l** containing R^2 = Me, OMe, Cl, and Br, their reactions gave the desired products **5i–5l** in 49–71% yields. Additional anthranils **2m–2o** bearing R^3 = OMe, Cl, and Br, delivered similar products **5m–5o** in 53–72% yields. For anthranil **2p** with R^4 = Me, the corresponding product **5p** was produced in 68% yield. For disubstituted anthranils **2q** and **2r**, bearing R^1 , R^2 = OMe and OCH₂O, this gold catalysis afforded the desired products **5q** and **5r** in 70 and 38% yields, respectively. In Scheme 3, only a few products such as **5h**, **5l** and **5r** were produced in low yields (<50%).

Control experiments were conducted to study the effect of alkyne substrates. The gold-catalyzed reaction between 2cyanomethyl-1-ethynylbenzene 1a (2.0 equiv.) and anthranil 2a yielded C(2)-substituted 7-formylindole 3a in 74% yield (Scheme 4, eqn (5)). The molecular structure of compound 3a was elucidated by X-ray diffraction. 11 A further treatment of this species with DBU (1.0 equiv.) in hot toluene yielded the desired product 4a in 93% yield. For substrate 1i bearing an internal alkyne, two regioisomeric 7-formylindoles 3i' and 3i, were obtained instead (eqn (6)). Their molecular structures were characterized by X-ray diffraction. Only the $C(\alpha)$ -addition product such as C(2)-substituted 7-formylindole 3i was active towards the DBU-promoted cyclization to yield our target 4i in 92% yield. Finally, we prepared alkyl-substituted alkynes 1j and 1k, herein the $C(\beta)$ -addition products, i.e., C(3)-substituted 7formylindole 3j and 3k, were obtained in low yields (eqn (7)). Importantly, these two species are chemically robust in the presence of DBU.

Scheme 5 depicts the chemical functionalization of compounds **4a** and **5o**. Treatment of species **4a** with PhCOCl (1.5 equiv.) yielded a new acylation product **6a** in 50% yield. Treatment of compound **4a** with HCl/NaNO₂, produced a nitrosyl-derived product **6b** in 84% yield. Bromo-containing

Scheme 4 Control experiments.

Scheme 5 Chemical functionalization.

species **50** was active towards the Sonogashira reaction to yield the cross-coupling product **7a** in 76% yield. The Suzuki-Miyaura cross-coupling of compound **50** with phenylboronic acid led to the formation of product **7b** in 69% yield. Furthermore, the Stille-coupling reaction on species **50** with tributyl(vinyl)tin, delivered product **7c** in 74% yield. The molecular structures of **6a**, **6b**, **7a** and **7c** were elucidated using X-ray diffraction. ¹¹

This gold catalysis and DBU-promoted cyclization involves an initial formation of 7-formylindole 3a (Scheme 6); its formation mechanism follows an early proposal in Hashmi's

Scheme 6 A plausible reaction mechanism.

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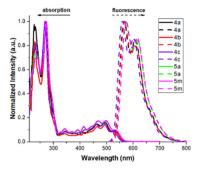


Fig. 2 Emission and absorption spectra

work⁶ (see eqn (2)). The second step comprises an intramolecular reaction among indole, nitrile and aldehyde; such a three-component cyclization is unprecedented in the literature. We postulate an initial deprotonation of the indole N-H group of intermediate 3a to form an amide anion A that attacks the nitrile group to generate an imino anion B; this step does not require a gold catalyst according to our control experiment in eqn (5). A final intramolecular cyclization is likely to occur between this imino anion B and the aldehyde to generate an oxy anion C, which upon protonation by the DBU/H⁺ complex will generate the last intermediate D. A final aromatization of this intermediate D delivers the observed product 4a.

Photophysical properties of representatives 4a-4c, 5a and 5m were measured to examine their potential material applications. Fig. 2 shows the electronic absorption and emission spectra, and Table S1 (see ESI†) shows their corresponding wavelengths, absorption efficiencies and Stokes shifts. All these compounds have very similar values despite various substituents (Cl, F and OMe) on three different benzene rings. One strong absorption band is observed at 268-274 nm, corresponding to π - π * transition with large coefficients (log e = 4.47-4.91). The emission spectra are centered at 565-572 nm in the yellow-orange region. Notably, the Stokes shifts are quite large up to 291-299 nm⁻¹, which reflects a fast relaxation process from the initial state to the emissive state.

In summary, we have developed new gold catalysis between 2-cyanomethyl-1-ethynylbenzene with anthranils to construct benzo[7,8]indolizino[2,3,4,5-*ija*]quinazoline frameworks. this reaction sequence, anthranils attack the gold-π-alkyne intermediate at the alkyne $C(\alpha)$ -regioselectivity forming 7-formylindole intermediates that can be isolated and characterized. Internal alkyne substrates are not efficient because the alkyne C(β)-regioselectivity occurs to yield 7-formylindole intermediates that are not active towards the DBU-activated cyclizations. The use of readily available substrates 1 and 2 to access

two-nitrogen containing fused benzenes highlights the significance of this work.

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Conflicts of interest

There are no conflicts to declare.

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