# Chemical Science

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## Introduction

Diversity oriented synthesis provides efficient access to complex molecular architectures that are present in natural products, pharmaceuticals, agrochemicals, and advanced-materials.<sup>1</sup> This approach has sustained the development of novel therapeutic agents or probes for molecular biology, based on the resilient interaction of heterocycles with biological systems.<sup>2,3</sup> Continuous efforts have therefore been directed towards the conception of straightforward synthetic methods for the construction of complex heteroarenes.<sup>3</sup> In this regard, transition-metal (TM) catalyzed annulations of C-H bonds of (hetero)arenes with alkynes have proven invaluable.4,5 In particular, the TMcatalyzed direct functionalization or annulation of the ortho-C(2)-H bond of fused (hetero)arenes with alkynes are successful with acid/amide directing groups (DGs) via 5/7-membered metallacycle (Fig. 1A-I).5 With -OH, -NHR', and -SR" DGs, the reactivity is shifted towards the peri-C(8)-H bond through 5/7membered metallacycle (Fig. 1A-II).6 On the other hand, the activation of the peri-C(8)-H bond of fused (hetero)arene carboxylic acid derivatives [e.g. 1-naphthoic acid] is much more challenging and underdeveloped, due probably to the

# Double annulation of *ortho-* and *peri-*C–H bonds of fused (hetero)arenes to unusual oxepino-pyridines<sup>†</sup>

Majji Shankar,<sup>a</sup> Raja K. Rit, <sup>b</sup><sup>a</sup> Somratan Sau,<sup>a</sup> Kallol Mukherjee,<sup>a</sup> Vincent Gandon <sup>b\*bc</sup> and Akhila K. Sahoo <sup>\*</sup>

Direct difunctionalization of chemically distinct *ortho-* and *peri-C-H* bonds of fused hetero(arenes) is illustrated through an unusual one-pot domino {[4 + 2] & [5 + 2]} double annulation with alkynes for the first time. This process is viable under Ru(II)-catalysis using a sulfoximine directing group and builds four bonds [(C-C)-(C-N) and (C-C)-(C-O)] in a single operation. Such synthetic manifestation offers access to uncommon [6,7]-fused oxepino-pyridine skeletons. DFT calculations provide mechanistic insight into this double annulation of naphthoic acid derivatives with alkynes and corroborate the participation of a ruthena-oxabicyclooctene intermediate, which is responsible for the rare 7-membered ring formation.

involvement of a strained [6,6,6]-fused metallacycle (Fig. 2A).<sup>7</sup> Insertion of an alkyne would not even funnel such C–H activation step, as it would lead to an even more strained [6,6,8]-fused metallacycle (Fig. 2A). Thus, the molecular rigidity and conformational strain have hampered the development of such annulations at the *peri*-C(8)–H bond to form 7-membered fused compounds (Fig. 2A).<sup>8,9</sup>

Recent domino one-pot double annulation of *o/o'-*C–H bonds of (hetero)arenes with alkynes have led to [6,6]-fused heteroaryls.<sup>10,11</sup> Although important issues of regio- and chemoselectivity, cumbersome mixtures due to incomplete conversion, catalytic viability, *etc.*, could be addressed,<sup>12</sup> such domino double C–H annulations were not extended to the formation of [6,7]-fused heteroarenes. To make such synthetic

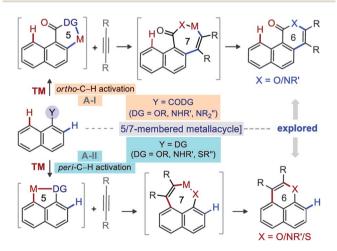


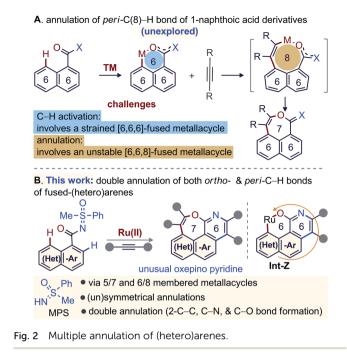
Fig. 1 Background: Annulation of ortho-C(2)–H & peri-C(8)–H bond of 1-naphthalene derivatives with alkynes.

<sup>&</sup>quot;School of Chemistry, University of Hyderabad, Hyderabad-500046, India. E-mail: akhilchemistry12@gmail.com; akssc@uohyd.ac.in

<sup>&</sup>lt;sup>b</sup>Institut de Chimie Moléculaire et des Matériaux d'Orsay, CNRS UMR 8182, Université; Paris-Sud, Université; Paris-Saclay, Bâtiment 420, 91405 Orsay Cedex, France. E-mail: vincent.gandon@universite-paris-saclay.fr

<sup>&</sup>lt;sup>c</sup>Laboratoire de Chimie Moléculaire (LCM), CNRS UMR 9168, Ecole Polytechnique, Institut Polytechnique de Paris, route de Saclay, 91128 Palaiseau Cedex, France

 $<sup>\</sup>dagger$  Electronic supplementary information (ESI) available: Copies of the  $^1H$  NMR,  $^{13}C$  NMR and HRMS data for all products. CCDC 1979334–1979336. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/d0sc01373k

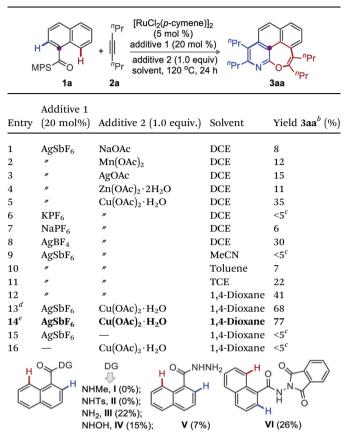


plan feasible, we hypothesized a Ru-catalyzed double annulation of 1-naphthoic acid derivatives with alkynes.

We believed the reaction would be initiated by N-aided C(2)– H activation and annulation with the alkyne to first form an angularly [6,6,6]-fused benzo[h]isoquinolinol. As *peri*-C–H bonds of fused-arenes are susceptible to electrophilic substitution, we anticipated an O-directed ruthenation of the proximal *peri*-C(8)–H bond to provide **Int-Z** (Fig. 2B). Finally, second alkyne incorporation to **Int-Z** and reductive elimination would build the unusual [6,7]-fused oxepino-pyridine motif (Fig. 2B). This one-pot domino double annulation uses the methylphenyl sulfoximine (MPS)-DG.<sup>12b</sup> Thus, the sequential activation of *ortho-* and *peri*-C–H bonds and annulation results in the formation of N- and O-enabled 6- and 7-membered rings on fused (hetero)arenes by generating four bonds (C–C & C–N and C–C & C–O) in a single operation (Fig. 2B).

#### **Results and discussion**

This one-pot [4 + 2] & [5 + 2] annulation was developed under Ru-catalysis using *N*-[1-naphthoyl]methylphenyl sulfoximine (**1a**) and 4-octyne (**2a**). The optimization studies are detailed in Table 1.<sup>13</sup> The oxepino-pyridine **3aa** was detected in 8% yield using {[RuCl<sub>2</sub>(*p*-cymene)]<sub>2</sub> (5.0 mol%), AgSbF<sub>6</sub> (20 mol%), NaOAc (1.0 equiv.)} as catalytic system, in ClCH<sub>2</sub>CH<sub>2</sub>Cl (DCE) at 120 °C for 24 h (entry 1). The cleavage of the sulfoximine motif presumably helps the formation of **3aa**.<sup>11d</sup> In general, metal acetates facilitate Ru-mediated C–H activation through CMD (concerted metalation deprotonation), and also act as oxidant in the regeneration of the active catalyst.<sup>4</sup> Accordingly, the double annulation was slightly improved when the reaction was conducted in the presence of the redox active bases Mn(OAc)<sub>2</sub>, AgOAc, and Zn(OAc)<sub>2</sub>·2H<sub>2</sub>O (entries 2–4), while Cu(OAc)<sub>2</sub>·H<sub>2</sub>O was found more promising as it delivered **3aa** in 35% yield 
 Table 1
 Optimization of reaction conditions<sup>a</sup>



<sup>*a*</sup> Conditions: **1a** (0.3 mmol), **2a** (0.9 mmol),  $[\text{RuCl}_2(p\text{-cymene})]_2$  (5.0 mol%), additive-1 (20 mol%), additive-2 (0.3 mmol), solvent (2.0 mL) at 120 °C. <sup>*b*</sup> Isolated yield. <sup>*c*</sup> <sup>1</sup>H NMR conversion. <sup>*d*</sup> [RuCl}\_2(p-cymene)]\_2 (10 mol%), AgSbF<sub>6</sub> (40 mol%) was used. <sup>*e*</sup> **2a** (1.2 mmol), [RuCl\_2(p-cymene)]\_2 (10 mol%), AgSbF<sub>6</sub> (40 mol%), Cu(OAc)\_2 · H<sub>2</sub>O (1.5 equiv.) was used. DCE = ClCH<sub>2</sub>CH<sub>2</sub>Cl, TCE = 1,1,2,2-tetrachloroethane.

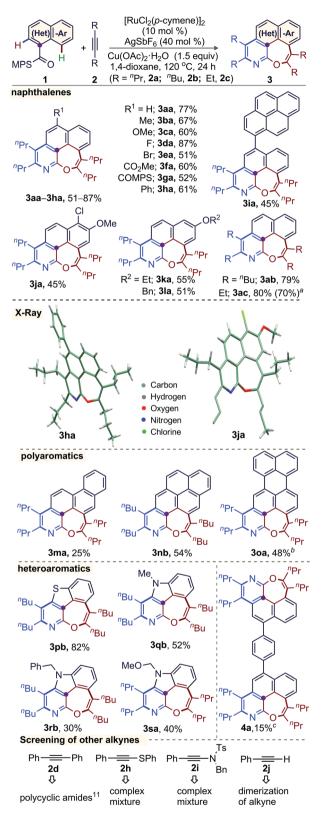
(entry 5). Additives such as KPF<sub>6</sub>, NaPF<sub>6</sub>, or AgBF<sub>4</sub> instead of AgSbF<sub>6</sub> were not beneficial (entries 6–8). The reaction efficiency was low when conducted in MeCN, toluene or TCE (entries 9–11). The domino diannulation in 1,4-dioxane provided **3aa** in 41% yield (entry 12). The yield of **3aa** was significantly improved to 68% when 10 mol% of Ru-catalyst and 40 mol% of AgSbF<sub>6</sub> were used (entry 13). Finally, the catalytic conditions comprising [Ru(*p*-cymene)Cl<sub>2</sub>]<sub>2</sub> (10 mol%), AgSbF<sub>6</sub> (40 mol%), and Cu(OAc)<sub>2</sub>·H<sub>2</sub>O (1.5 equiv.) in 1,4-dioxane at 120 °C for 24 h were found optimum (entry 14), producing **3aa** in 77% yield. Control experiments revealed that the silver salt and the acetate base were crucial (entries 15 and 16).<sup>4d</sup>

To validate the role of DGs in this one-pot domino  $\{[4 + 2] \& [5 + 2]\}$  double annulation strategy, various DG-enabled 1naphthyl bearing amides (I–VI) were subjected to the annulation with 2a under the optimized conditions (bottom of Table 1). The substrates having NH-Me (I) and NH-tosyl (II) DGs proved unreactive, whereas, simple 1-naphthylamide (III) underwent this domino annulations with 2a producing 3aa in poor yield.<sup>6</sup> The N-oxidizable group protected amides [IV (with N–O bond), V, and VI (with N–N bond)] provided 3aa in 15%, 7%, and 26% yield, respectively. Thus, the MPS-DG was found most effective for the construction of the [6,7]-fused oxepino-pyridine skeleton.<sup>13</sup>

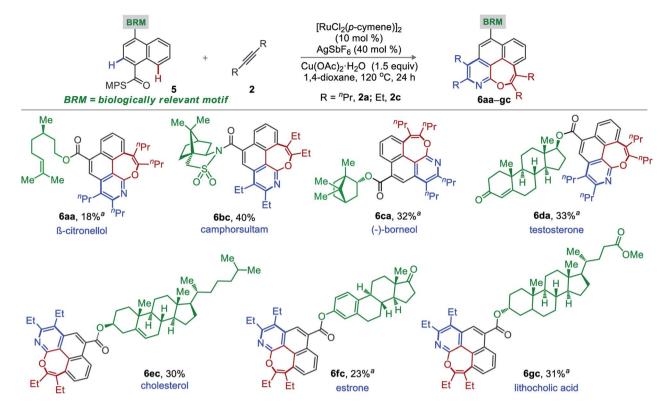
The generality of this annulation among fused (hetero)arenes exhibiting peri-C-H bonds and unactivated alkynes was explored under the optimized catalytic conditions (Scheme 1). The annulation of naphthalene derivatives 1a-l, bearing either electron-donating (Me, OMe, OEt), labile halo (F, Cl, Br), electron-withdrawing (CO2Me, COMPS), arene (Ph, pyrene), and OBn substituents at position 4, 5, or 6, with 2a, was successful in producing the respective 6,7-fused oxepino-pyridine 3aa-la in 45-87% yield. The tolerance of modifiable functionalities (i.e. F, Cl, Br, CO<sub>2</sub>Me, COMPS) offers the possibility of further functionalization. The core structure of 3ha and 3ja were elucidated by X-ray crystallographic analysis.14,15 Likewise, this doubleannulation of 1a with the other internal alkynes 5-decyne (2b) and 3-hexyne (2c) delivered 3ab (79%) and 3ac (80%), respectively. Moreover, the gram scale synthesis of **3ac** (1.15 g) with recovery of PhSOMe (0.44 g) showed the robustness of the catalytic system and the transformable nature of the MPS group.<sup>5g</sup> Polyarene bearing scaffolds, for example: phenanthrene (1m), pyrene (1n), and perylene (10), delivered 3ma, 3nb and 3oa, albeit in moderate yield.

Importantly, benzothiophene derivative 1p smoothly reacted with 2b to afford 3pb in 82% yield. Indole-3-carboxylic acid derivatives 1q-s were used in this double annulation with 2b and 2a. The respective complex heteroarenes 3qb, 3rb, and 3sa were reliably accessed. The common N-protecting groups benzyl and MOM did not prevent the reaction. The yields are moderate in these cases, but the construction of these molecular scaffolds with three heteroatoms (i.e. S-N-O, N-N-O) in a 5,6,7-fused system is remarkable. Notably, the current synthetic plan was successful in making 8 bonds (4 C-C, 2 C-N, and 2 C-O) in a single operation; thus, an extended  $\pi$ -conjugated system 4a with two oxepinopyridine motifs was made. The reaction of 1a with diphenylacetylene provided polycyclic amides through linear diannulation.<sup>11,14</sup> On the other hand, the reaction of a thioalkyne or an ynamide with 1a produced complex mixtures (Scheme 1). Lastly, the terminal alkyne phenylacetylene underwent dimerization under the optimized oxidative condition.

The site-specific introduction of a novel functionality on an unreactive site of a complex motif has tremendous significance to the field of complex molecule synthesis and is often termed as late stage functionalization (LSF).16 In particular, LSF through C-H functionalization is very useful in drug discovery and draws significant attention from the scientific community. Accordingly, a range of biologically relevant motifs moulded with MPS-bearing naphthalene-1-carboxylic acid (5a-g) were synthesized and were independently subjected to the optimized reaction conditions with 2a and 2c (Scheme 2). Thus, the desired oxepino-pyridines 6aa-β-citronellol, 6bc-camphorsultam, 6ca-(-)-boreneol, 6ec-cholesterol, 6fc-estrone, and 6gc-lithocholic acid were constructed without any structural (chemical and stereochemical) changes of the complex architecture.14 The poor-to-moderate synthetic yields are due to low conversions. Isolation of unreacted precursors justifies the mass balance of the transformation.



Scheme 1 Synthesis of 6,7-oxepino[2,3-*b*]pyridine. Reactions were carried out with 1 (0.3 mmol) and 2 (1.2 mmol). <sup>a</sup>Gram scale: 1a (1.54 g, 5.0 mmol); PhS(O)Me (63%) was isolated. <sup>*b*</sup>Reactions were carried out in DCE. <sup>*c*</sup>2a (1.8 mmol).



Scheme 2 Double annulation of MPS-bearing naphthalene-1-carboxylic acid moulded in biologically relevant motifs. Reactions were carried out with 5 (0.3 mmol), 2 (1.2 mmol),  $[RuCl_2(p-cymene)]_2$  (10 mol%), AgSbF<sub>6</sub> (40 mol%), 1,4-dioxane (2.0 mL) at 120 °C for 24 h. <sup>a</sup>Isolation of unreacted precursors (20–55%).

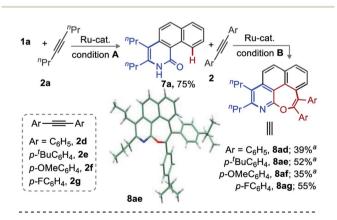
Encouraged by the broad range of oxepino-pyridines derivatives obtained (Schemes 1 and 2), the title reaction was next envisaged with two different alkynes. However, the difference in reactivity, regio- and chemoselectivity with different alkynes led to unexploitable annulation mixtures.12 To make this challenging unsymmetrical transformation viable, a two-step annulation sequence was tested. Accordingly, benzo[h]isoquinolinone 7a (0.5 mmol, 75%) was accessed from 1a and 2a when the reaction was carried out in presence of AcOH under Ru-catalysis (Scheme 3, Conditions A). Presumably the acid suppresses the second annulation through proto-demetallation.<sup>11</sup> Next, the annulation of 7a with 1,2-diaryl alkynes (2d-g) led to the respective [6,7]-fused oxepino-pyridines (8ad-ag) in moderate yields (Scheme 3). The structure of 8ae was unambiguously confirmed by X-ray crystallography.14,15 A deuterium scrambling study and competition experiments were then performed to gain some mechanistic insight into this annulation (Scheme 4).

Exposing **1a** to the optimized conditions in presence of  $CD_3CO_2D$  (2.5 equiv.) resulted in D-incorporation at C2 (65%) and C8 (62%) positions (eqn (1)). Similarly, 55% of deuterium incorporation occurred at C8 in an identical experiment with **7a** (eqn (2)). Therefore, activation of both the *ortho-* and *peri*-C-H bonds of MPS-enabled-1-naphthylamide is reversible. The competitive annulation of an equimolar mixture of **1c** and **1f** with **2a** led to a 2 : 1 ratio of **3ca** and **3fa**; thus, an electron-rich arene reacts faster than an electron-poor one (eqn (3)).

In general, the  $\pi$ -conjugated polyfused heteroarenes show interesting photophysical properties. Thus, the absorption and

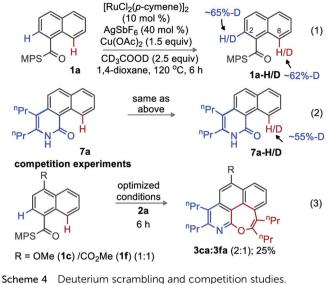
emission spectra of oxepino-pyridines **3nb**, **3oa**, **3pb**, **3qb**, **3sa**, **4a**, and **8ae** were measured in dichloromethane  $(1 \times 10^{-5})$ .<sup>14</sup> Of note, compounds **3nb** and **3ob** show emission maxima at 436–512 nm with broad bandwidths and weak intensities.<sup>14</sup>

The mechanism of the title reaction has been studied computationally, employing the Gaussian 09 software package.<sup>17</sup> Following a recent report, optimizations were carried



Scheme 3 Unsymmetrical double-annulation of arenes with different alkynes. Conditions A: 1 (0.5 mmol), 2a (1.0 mmol),  $[RuCl_2(p-cymene)]_2$  (5.0 mol%), AgSbF<sub>6</sub> (20 mol%), AcOH (4.0 mmol), DCE (2.5 mL) at 120 °C for 20 h. Conditions B: 7a (0.3 mmol), 2 (0.45 mmol),  $[RuCl_2(p-cymene)]_2$  (7.5 mol%), AgSbF<sub>6</sub> (30 mol%), Cu(OAc)<sub>2</sub>·H<sub>2</sub>O (0.3 mmol), KH<sub>2</sub>PO<sub>4</sub> (0.6 mmol), 1,4-dioxane (2.0 mL) at 120 °C for 20 h. <sup>a</sup>Isolation of unreacted mono-annulation product (30–45%).

D/H scramble experiments



out with the M06 functional, the 6-31G(d,p) basis set for all main group elements, and the LANL2DZ+f (ECP)<sup>18</sup> basis set for Ru. Single point calculations were conducted at the M06/6-311++G(d,p)-SDD+f(ECP) level of theory. Solvation energies were obtained at the single point level using SMD approach for 1,4-dioxane. The discussed values are solvent-corrected Gibbs free energies at 393.15 K in kcal mol<sup>-1</sup> ( $\Delta G_{393}$ ). The molecular system **A** [1a, 2-butyne (2.0 equiv.), [RuOAcL]<sup>+</sup> (L = *p*-cymene), AcO<sup>-</sup>] was used as a reference for the free energies (Fig. 3). Thus, **A** contains two acetates to ensure two deprotonation of

1a. The complexation of the putative active species [RuOAc(pcymene)]<sup>+</sup> with **1a** at first provides **B** with a release of 20.5 kcal mol<sup>-1</sup>. Next, C-H metalation occurs through TS<sub>BC</sub> lying 11.9 kcal mol<sup>-1</sup> above **B** to provide metallacycle **C**  $(-23.6 \text{ kcal mol}^{-1})$ . Elimination of acetic acid and insertion of 2-butyne delivers the alkyne-complex E (more stable than C by 2.6 kcal mol<sup>-1</sup>). Alkyne insertion does not yield the proposed metal-alkenyl complex F', but rather its valence isomer F, which is a metallacyclopropene as witnessed by the distortion of the 7membered ring and by the short Ru-C distance of 1.85 Å. The formation of **F** is slightly endergonic by 0.3 kcal  $mol^{-1}$  that requires 14.9 kcal mol<sup>-1</sup> of free energy of activation (TS<sub>EF</sub>). Then, intramolecular nucleophilic addition to the N=S bond gives the annulation intermediate G (see arrows in F'). The conversion of F to G is the rate-determining step with a barrier 25.0 kcal  $\text{mol}^{-1}$  (19.6 kcal  $\text{mol}^{-1}$  from **B**), which is consistent with the temperature of the reaction (120 °C). Although the resulting complex **G** is less stable than **F** by 3.2 kcal  $mol^{-1}$ , the acetate aided dissociation of [Ru(OAc)L]<sup>+</sup> promotes spontaneous elimination of PhSOMe from the free ligand to give H, located as low as  $-69.0 \text{ kcal mol}^{-1}$  on the energy surface. The liberation of PhSOMe, the conjugation of the anion, and the strong H-bond in H assist the loss of the sulfur moiety.

Finally, protonation of **H** by AcOH produces pyridine **I** or the pyridone species **J**. In line with the experimental observations, **J** is significantly more stable. The mechanistic insight directed towards the second annulation for the construction of pyridine-fused 7-membered oxepine ring is depicted in Fig. 4. The complexation of **H** (at -69.0 kcal mol<sup>-1</sup>) with [Ru(OAc)L]<sup>+</sup> is exergonic by 56.4 kcal mol<sup>-1</sup> and yields **K** at -125.4 kcal mol<sup>-1</sup>. Intermediate **K** shows a H-bond between the acetate ligand and

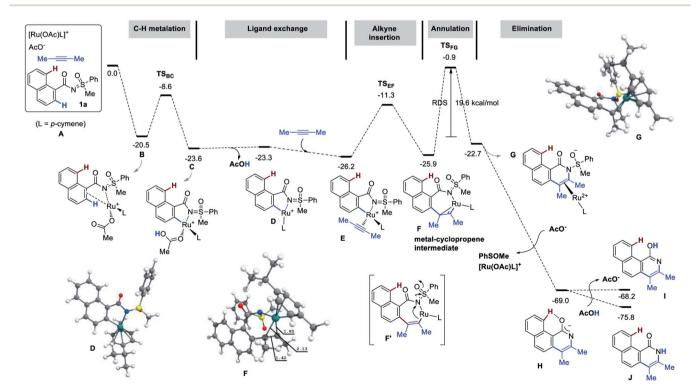
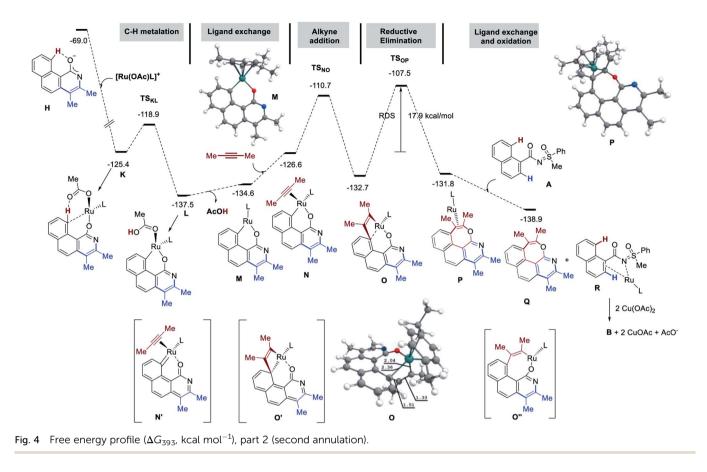


Fig. 3 Free energy profile ( $\Delta G_{393}$ , kcal mol<sup>-1</sup>), part 1 (first annulation).



the peri-H of the naphthalene moiety. The Ru-C bond is short (2.36 Å), due to the coordination of Ru to the *ipso*-carbon and makes the peri-H acidic. The C-H metalation of the preorganized complex K provides L (at -137.5 kcal mol<sup>-1</sup> on the energy surface). This step requires 6.5 kcal mol $^{-1}$  free energy of activation ( $TS_{KL}$ ). Next, the substitution of acetic acid with second alkyne equivalent is endergonic by 10.9 kcal  $mol^{-1}$  to afford N (-126.6 kcal mol<sup>-1</sup>). Of particular interest, the formation of 7-membered ring does not arise from the reductive elimination of a simple 8-membered metallacycle (O"). Instead, at the expense of 15.9 kcal  $mol^{-1}$  of free energy of activation, the ruthena-oxabicyclooctene complex О, located at -132.7 kcal mol<sup>-1</sup>, is achieved from N via TS<sub>NO</sub>. Among the Lewis depiction of O and O', the structure O is supported by the Ru-C<sup>ipso</sup> distance of 2.35 Å and other geometrical parameters. Its formation can be understood as an intramolecular [2 + 2]cycloaddition between the alkyne and a Ru=C bond as shown in N' (a fictive valence isomer of N). This process eventually avoids the participation of a highly strained phenanthrenecontaining 8-membered ring (O''). Then, the reductive elimination of **O** demands 25.2 kcal mol<sup>-1</sup> free energy of activation to give P. This process is slightly endergonic and is the ratedetermining step of this second annulation process. The transfer of the RuL moiety from P to the precursor 1a produces the desired [6,7]-fused oxepino-pyridine skeleton Q and chelate **R.** This step is exergonic by 7.9 kcal  $mol^{-1}$ . Finally, as it is generally accepted, one can then propose that complex R transforms into B by Cu(OAc)<sub>2</sub> mediated oxidation. Based on the experimental observations and insightful computational data, the mechanism of this double annulation is sketched in Fig.  $5.^4$ 

The active Ru-catalyst {generated from  $[Ru(p-cymene)Cl_2]_2$ , AgSbF<sub>6</sub>, and AcO<sup>-</sup>} first coordinates to MPS and activates the C(2)–H bond of **1a** to form **I** (**D** in Fig. 3). The coordination of alkyne to **I** and its migratory insertion leads to **II** (**F** in Fig. 3).

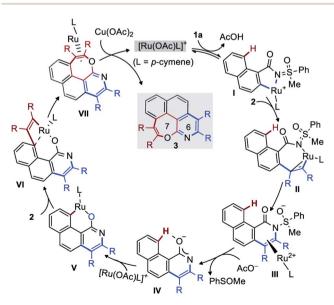


Fig. 5 Plausible catalytic cycle

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Next, the intramolecular nucleophilic addition to the N=S bond provides III (G in Fig. 3), which is the rate-determining step of the mono-annulation. The acetate-aided expulsion of  $[Ru(OAc)L]^+$  and elimination of PhSOMe leads to pyridone species IV (H in Fig. 3). Next, direct C(8)–H ruthenation of IV affords V (M in Fig. 4). Then, alkyne insertion into V generates the unusual ruthena-oxabicyclooctene complex VI (O in Fig. 4). The reductive elimination of VI gives VII (P in Fig. 4) and is the rate-determining step of the second annulation. Finally, Cu(OAc)<sub>2</sub> mediated transfer of RuL moiety to 1a liberates the desired [6,7]-fused oxepino-pyridine skeleton.

## Conclusion

In summary, we have developed an unprecedented Ru-catalyzed sulfoximine-directed one-pot domino  $\{[4 + 2] \& [5 + 2]\}$  double annulation of 1-naphthoic acid derivatives with alkynes for the synthesis of unique [6,7]-fused oxepino-pyridine motifs. This transformation functionalizes both chemically distinct *ortho* and *peri*-C-H bonds of fused-hetero(arenes) through double annulation, making four (C-C & C-N and C-C & C-O) bonds in a single operation. In addition, two-step unsymmetrical annulations with different alkynes are also shown. The detailed DFT calculations endorse the participation of metal-cyclopropene and ruthena-oxabicyclooctene intermediates. The construction of biologically relevant drugs anchored oxepino-pyridine scaffolds, broad scope, and gram scale synthesis make the transformation synthetically viable.

## Conflicts of interest

There are no conflicts to declare.

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