

Cite this: *Chem. Sci.*, 2021, **12**, 15862

All publication charges for this article have been paid for by the Royal Society of Chemistry

Received 29th July 2021
Accepted 15th September 2021
DOI: 10.1039/d1sc04158d
rsc.li/chemical-science

Introduction

Functionalized five-membered carbocyclic frameworks are special core units present in numerous natural products and biologically relevant molecules.^{1–4} Cyclopentenes have also been widely used as versatile building blocks in the total synthesis of complex bioactive molecules (Fig. 1).^{5–10}

Owing to the predominance of polysubstituted cyclopentene and cyclopentane skeletons in bioactive compounds, several strategies have been developed for the stereoselective synthesis of such functionalized rings.

Among the numerous methodologies for the synthesis of functionalized cyclopentenes, the phosphine-catalyzed (3 + 2) annulation of allenotes with electron-deficient olefins, first reported by Lu and co-workers in 1995,¹¹ stands out as the most explored strategy.¹² Therefore, there are several phosphine (3 + 2) annulation methodologies in the literature, including their asymmetric variants.¹³ Recently, Lu's group described a phosphine-catalyzed (3 + 2) annulation of electron-poor allenenes with activated alkenes for the construction of functionalized cyclopentenes bearing quaternary centers¹⁴ (Scheme 1a). Besides, Fu,¹⁵ Lu,^{16,17} Miller¹⁸ and others¹⁹ subsequently

developed and expanded the scope of the enantioselective intramolecular formal (3 + 2) cycloaddition between allenotes and activated alkenes to create fused chiral ring scaffolds. Moreover, distinct formal (3 + 2) cycloadditions which have also been useful for the construction of enantioenriched cyclopentene derivatives employed N-heterocyclic carbene (NHC)-catalyzed reactions,^{20–22} metal carbenoids,²³ and the ring opening of cyclopropanes.^{24–26}

Although less explored, formal (4 + 1) cycloaddition is also a suitable strategy and, according to recent literature,^{27–29} phosphine³⁰ and metal catalysis³¹ are versatile and powerful approaches for the construction of these five membered ring systems. In 2014, Fu and co-workers disclosed a biphenyl phosphine-catalyzed enantioselective (4 + 1) annulation of allenotes with Michael donors (Scheme 1b).³²

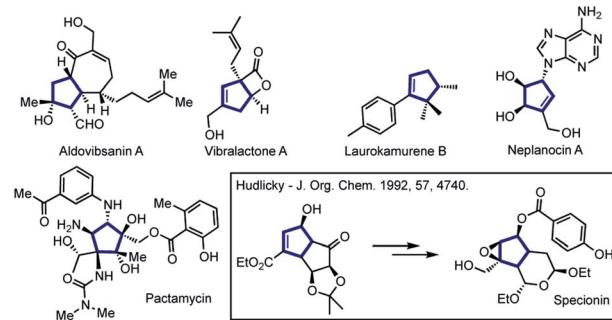


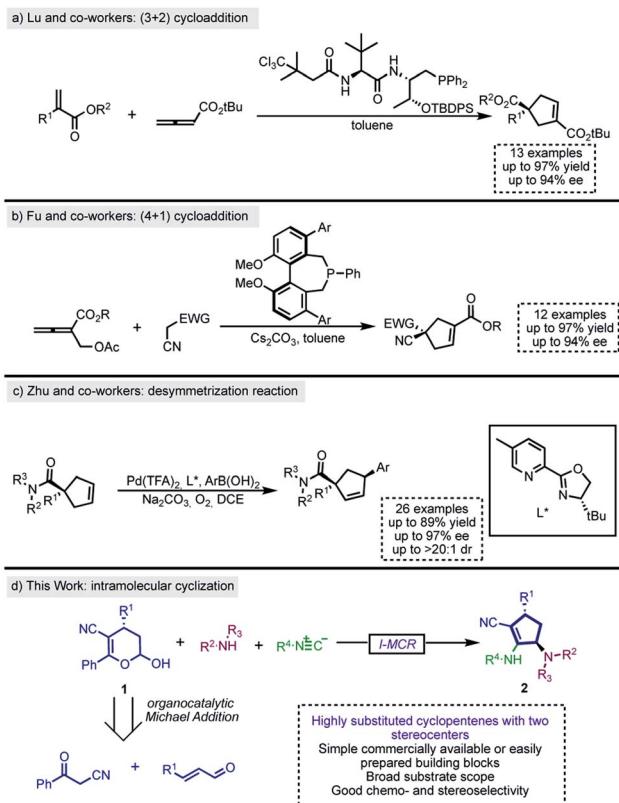
Fig. 1 Representative synthetic and natural bioactive molecules containing polysubstituted cyclopentene or cyclopentane frameworks.

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† Electronic supplementary information (ESI) available: Experimental details, spectroscopic data for all new compounds, and computational methods (PDF). See DOI: [10.1039/d1sc04158d](https://doi.org/10.1039/d1sc04158d)



Scheme 1 Recent examples of stereoselective synthesis of cyclopentenes.

The enantioselective synthesis of pentacyclic cores can also be achieved by desymmetrization reactions.³³ Although there are other approaches for performing such transformation,^{34,35} the most explored is certainly the enantioselective Heck reaction of *C*₂-symmetrical cyclopentenes. Correia,^{36–41} Toste⁴² and others^{43–45} have explored and expanded the scope of this type of transformation over the last few years. In this context, Zhu and co-workers recently reported a palladium-catalyzed oxidative Heck reaction between 4,4-disubstituted cyclopentenes and aryl boronic acids (Scheme 1c).⁴⁵

While these methods provide valuable enantioenriched cyclopentane, they have limitations that include the multistep synthesis of starting materials and the limited variation of input elements contributing to increased skeletal diversity. These factors may restrict applications in skeletal diversification strategies such as those required in modern drug discovery approaches. Consequently, the design of new transformations enabling the rapid construction of structurally complex carbon-cycles from simple and available starting materials is of interest in contemporary organic chemistry.⁴⁶

Multicomponent reactions (MCRs) have proven to be among the most useful processes for the rapid generation of structural diversity and complexity.⁴⁷ Among them, isocyanide-based MCRs (I-MCRs) stand out as powerful approaches to produce highly functionalized natural product-like molecules with high chemical efficiency, convergence and atom economy.^{48,49} Unfortunately, a limitation of I-MCRs is their poor

stereoselectivity, which often leads to mixtures of stereoisomers that are suitable in the drug discovery process but not in lead optimization and development steps.

As part of the ongoing interest in developing diastereoselective I-MCRs towards chiral and biologically relevant scaffolds,^{50–52} we report the discovery of a novel 4-center-3-component reaction (*i.e.*, a novel Ugi-4C-3CR) incorporating accessible hemiacetals⁵³ as a chiral bifunctional substrate, an amine, and an isocyanide component. Novel tetrasubstituted cyclopentene adducts containing two new stereogenic centers are obtained with excellent enantio- and diastereoselectivity through this methodology.

The methodological tactic enabling the discovery of this interesting process followed two main aspects: (a) the early synthesis of an enantiomerically enriched building block bearing two sites of reactivity in a subsequent I-MCR, and (b) the design of a multicomponent event proceeding *via* conformationally restricted intermediates to better control the stereochemical outcome.

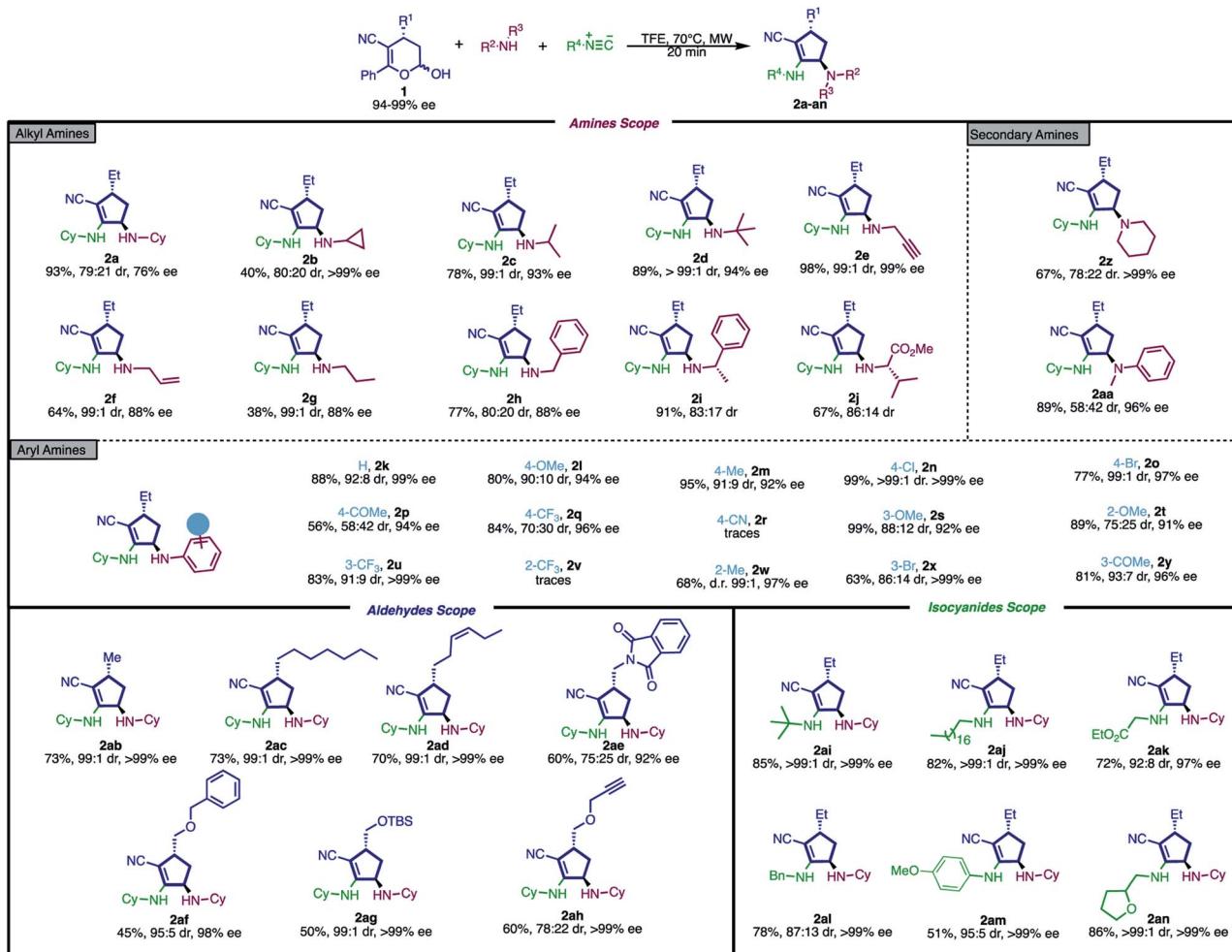
Herein we disclose the results of such a new intramolecular multicomponent process delivering complex and diverse chiral scaffolds – including peptidomimetics and sugar hybrids. Computational studies based on density functional theory (DFT) calculations provided valuable insights into the possible reaction mechanisms and the selectivities (chemo- and stereo-selectivity) of this new procedure.

Results and discussion

Our research group recently developed a powerful Ugi-type MCR for the stereoselective synthesis of tetrahydropyridines (THP) by employing aryl-containing hemiacetals as bifunctional substrates.⁵⁴ The previous approach also showed broad substrate scope for both the amino and isocyanide components. However, only aryl-containing hemiacetals could be incorporated in the reaction sequence, limiting application in the parallel construction of a more diverse library. In an attempt to broaden the substrate scope, we sought to employ hemiacetals bearing alkyl substituents, which upon reaction with a primary or secondary amine generated unexpected cyclopentenyl scaffolds in good yields and great stereoselectivity. The discovery of this unexpected reaction product meant a different reaction pathway and led us to address the versatility and efficiency of this new multicomponent procedure. An important focus was placed on studying the reactivity and stereoselectivity of this intriguing transformation.

We began our investigation by subjecting hemiacetal **1a** to a short optimization, using *tert*-butyl amine and cyclohexyl isocyanide as model substrates for this study. The best reaction conditions were achieved by employing 1,1,1-trifluoroethanol (TFE) as a solvent under microwave irradiation (300 W) at 70 °C for 20 min, affording the cyclopentene **2d** in high yield and excellent stereoselectivity (Scheme 2, 89% yield, 94% ee, >99 : 1 dr). As a consequence of this satisfactory initial result, we decided to apply these conditions to exploit the generality and limitations. In this context, the influence of the amine component was selected to be the first evaluated component in the





Scheme 2 Substrate scope for the synthesis of cyclopentenyl amines. Reaction conditions: hemiacetal **1** (0.15 mmol, 1.0 equiv.), amine (1.0 equiv.) and isocyanide (1.0 equiv.) in TFE (0.3 mL) at 70 °C under microwave irradiation (300 W). TFE = 2,2,2-trifluoroethanol. The diastereoisomeric ratio (dr) was determined by ¹H NMR analysis.

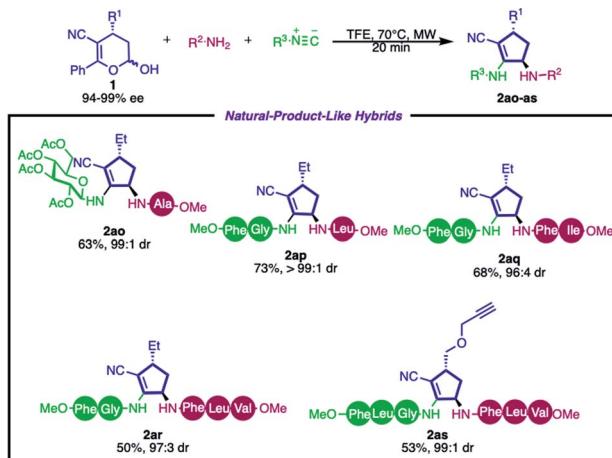
reaction protocol. To broaden the substrate scope, a wide range of amines with distinct electronic and steric properties were chosen (Scheme 2), generating a large set of products in good to high yields with excellent stereoselectivity (28 examples, 38–99%, up to >99% ee, up to >99 : 1 dr).

The reaction proved to be efficient for a wide variety of alkylamines – linear, branched, sterically hindered, cyclic and acyclic ones – affording products **2a–g** in moderate to high yields and good stereoselectivities (Scheme 2, first section). Additionally, propargyl (**2e**) and allyl (**2f**) amines, which are useful moieties for further orthogonal derivatization, *e.g.*, cross-coupling⁵⁵ and metal-catalyzed reactions,^{56–58} as well as bioconjugation, were also amenable to the reaction conditions (**2e** and **2f** in 98% yield, 99 : 1 dr, 99% ee and 64% yield, 99 : 1 dr, 88% ee, respectively). Benzyl amine is also a suitable substrate, affording **2h** in 73% yield with 80 : 20 diastereoselectivity and 86% ee. Moreover, α -methylbenzylamine afforded the desired products in both excellent yield and good diastereoselectivity (**2i** in 91% yield, 83 : 17 dr). Interestingly, *L*-valine methyl ester displayed promising results in both yield and stereoselectivity

(**2j** in 67% yield, 86 : 14 dr), demonstrating that amino acids can be used as suitable substrates in this procedure.

We were pleased to find that less nucleophilic substituted anilines could also be applied in this transformation, generating products in good to excellent yields with high stereoselectivity (56–99% yield, up to 99 : 1 dr and up to 99% ee). Anilines bearing electron-donating (**2k–m**), halogen (**2n** and **2o**) and some electron-withdrawing (**2p** and **2q**) groups at the *para*-position were also found to be competent substrates for this multicomponent reaction. However, only traces of the product were obtained when employing a strong electron-withdrawing group, *e.g.*, cyano, as an aniline substituent, likely due to its reduced nucleophilicity. Furthermore, *meta*- and *ortho*-substituted anilines efficiently underwent this transformation (**2s–y**, 63–99% yield and good stereoselectivities). However, when *ortho*-trifluoromethyl aniline was employed as a substrate, no formation of cyclopentene **2v** was observed. This result can be explained by the hyperconjugative electron-withdrawing nature of the trifluoromethyl group. The *ortho*-methoxy (**2t**) and *-methyl* (**2w**) derivatives were synthesized in good yields





Scheme 3 Stereoselective synthesis of cyclopeptene-peptide and carbohydrate hybrids. Reaction conditions: hemiacetal **1** (0.15 mmol, 1.0 equiv.), amine (1.0 equiv.) and isocyanide (1.0 equiv.) in TFE (0.3 mL) at 70 °C under microwave irradiation (300 W). TFE = 2,2,2-trifluoroethanol. The diastereoisomeric ratio (dr) was determined by ¹H NMR analysis.

(89% and 68% yield, respectively) and selectivities (75 : 25 dr, 91% ee and 99 : 1 dr, 97% ee, respectively). Thus, by analyzing this set of results, it was possible to conclude that the reaction outcome is not significantly influenced by steric hindrance.

We next turned our attention to evaluate the scope of the amino component for secondary amines. To our delight, piperidine and *N*-methylaniline proved to be compatible with the presented method, affording the tertiary amine products (**2z–2aa**) in good yields (67–89%), although with moderate stereoselectivity (up to 78 : 22).

Having examined the reaction scope for the amino component, we focused on defining the scope of the bifunctional component. To our satisfaction, a variety of products with different alkyl substituents were produced in moderate to good yields and excellent stereoselectivities (**2ab–2ah**, 45–73% and up to 99 : 1 dr). The versatility of the method to install functionalized substituents at that position is a clear advantage, as they can be used for further derivatization of the chiral cyclopentenyl scaffold.

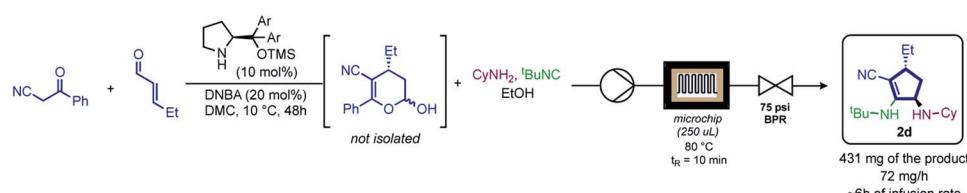
The reaction displayed no influence concerning the length of the carbon chain, presenting good yields and stereoselectivity for methyl (**2ab**, 73%, 99 : 1 dr, >99% ee), heptyl (**2ac**, 73%, 99 : 1 dr, 99% ee) and (*Z*)-hex-3-enyl (**2ad**, 70%, 99 : 1 dr, >99% ee) substituted hemiacetals. Subsequently, hemiacetals bearing

electronegative heteroatoms (e.g., nitrogen and oxygen) in the side chain show diminished yields under the optimized conditions (**2ae–ah**, 45–60%, up to 99 : 1 dr, up to >99% ee). These *O*- and *N*-substituted products are far more interesting as synthetic intermediates because they can be deprotected into free amine (**2ae**) and hydroxy groups (**2af** and **2ag**), enabling additional functionalization *via* conventional synthetic methods.⁵⁹ Likewise, product **2ah**, which contains a terminal alkyne, can be easily employed as a substrate in a wide range of chemical transformations, such as Sonogashira coupling⁶⁰ and Click reactions.⁶¹ Furthermore, it is noteworthy that this method was compatible with all protecting groups employed (*i.e.*, Bn, TBS and Phth), which is, from the synthetic point of view, a desirable aspect due to the ubiquitous presence of protecting groups in the total synthesis of complex molecules.⁶²

Aiming to better cover the reaction, we evaluated the third component of this reaction: the isocyanide. Under optimized reaction conditions, five cyclopentenyl derivatives were accessed in moderate to high yields with good diastereo- and enantioselectivities. The method showed good tolerance to both bulky and long alkyl chains, displaying high yields and excellent diastereoselectivity (**2ai** and **2aj** in 85% yield, 99 : 1 dr and 99% ee, and 82% yield, >99 : 1 dr and >99% ee). Glycine-derived isocyanide afforded the desired product **2ak** in good yield and excellent stereoselectivity (72% yield, 92 : 8 dr and 97% ee). Although there is a slight decrease in the stereoselectivity (87 : 13 dr and >99% ee) upon using benzyl isocyanide, product **2al** was isolated in 78% yield. An aromatic isocyanide was also evaluated, giving rise to product **2am** in 51% yield and excellent stereoselectivity (95 : 5 dr and >99% ee). Moreover, the tetrahydrofuran moiety was demonstrated to be compatible with this transformation, generating product **2an** in 86% yield and excellent selectivity (99 : 1 dr and >99% ee).

To demonstrate the synthetic applicability of this methodology, we focused our efforts on the synthesis of complex molecular hybrids. We further investigated the incorporation of natural product fragments such as peptides and saccharides into the cyclopentenyl core. As depicted in Scheme 3, glucose, di- and tripeptides containing the cyclopentenyl scaffold were synthesized by employing the enantioenriched hemiacetal **1** in good yields and excellent diastereoselectivities (**2ao–as**, 50–73%, from 96 : 4 to >99 : 1 dr).

These examples demonstrate the feasibility of the developed method to obtain complex architectures, which shows the great potential of this approach for late-stage modification of peptides.⁶³ Another important feature is that this multicomponent strategy enables the side-specific insertion of rigid



Scheme 4 Scale-up continuous flow experiment; DNBA = 3,5-dinitrobenzoic acid; DMC = dimethylcarbonate; Ar = 3,5-(CF₃)₂C₆H₃.

cyclopentenyl structures into peptide side chains, which would modulate the conformation, dynamics, and proteolytic susceptibility of native peptides and, consequently, provide a foundation for sophisticated molecular function.^{64–66} Furthermore, product **2as** can be used as a substrate in cyclo-addition reactions with azides – a common strategy employed in bioconjugation⁶¹ – showing that this method can provide a simple, fast and efficient route to link peptides with probes.

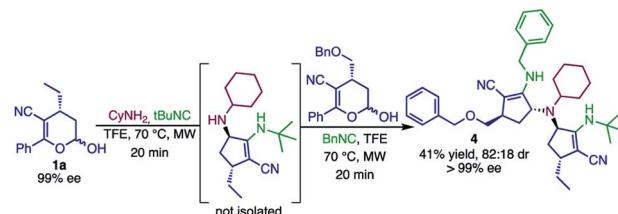
Taking advantage of the robustness and practicality of this methodology, we envisioned a one-pot continuous flow procedure (Scheme 5), in which the hemiacetal generated by the organocatalytic Michael addition was directly used in the MCR. After optimization, product **2d** was isolated with high yield and excellent selectivity (83% yield, >99 : 1 dr and >99% ee).

Next, a 7-center-5-component reaction (7C-5CR) was performed (Scheme 4).

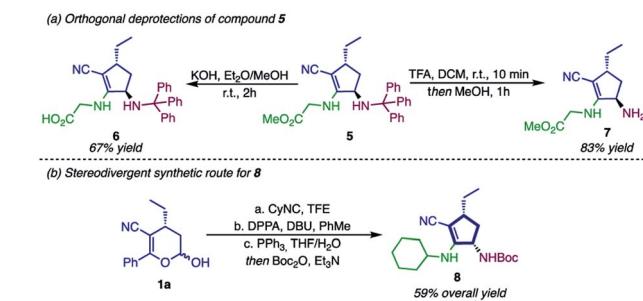
Aiming to extend the scope of this method, we performed the reaction with hemiacetal **1a** under the same previously presented conditions, except in the absence of amine. To our satisfaction, a Passerini-type product **3a** was obtained in good yield and high stereoselectivity (Scheme 4, **3a**, 83%, 99 : 1 dr and 98% ee). Inspired by this result, we then evaluated a narrow scope of substrates for this transformation (Scheme 5).

In contrast to the limitation described for the synthesis of cyclopentenyl amines, hemiacetals bearing an aryl moiety at the R^1 position are compatible with this new methodology, affording product **3b** in moderate yield and excellent stereoselectivity (45% yield, 99 : 1 dr, >99% ee).

A possible explanation is that the weaker nucleophilic nature of the oxygen increases the energetic barrier of the Mumm-type rearrangement, disfavoring the formation of the 3,4-dihydro-2H-pyran scaffold byproduct. It is possible to note that this reaction shows potential for further improvement, plus the 2-cyclopentenol derivatives are valuable synthetic intermediates widely employed in synthetic programs.^{67–70} Moreover, the structure of the product **3d** was unambiguously confirmed by X-ray analysis, showing a *trans* configuration, which is consistent



Scheme 6 Synthesis of tertiary amines via a 7C-5CR reaction.



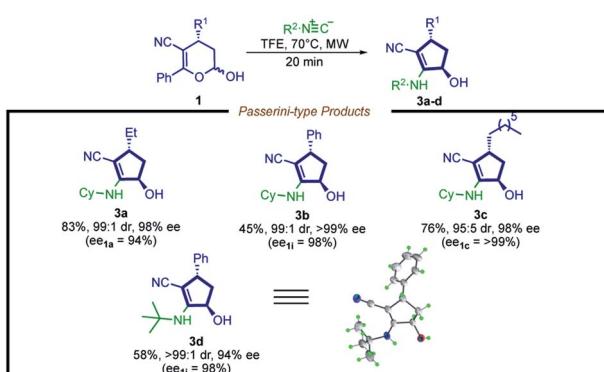
Scheme 7 (a) Orthogonal deprotection of 5; (b) stereodivergent synthetic route for 8.

with the NOESY 1 H NMR analysis of compound **2d** (for details, see the ESI[†]).

We envisioned that products **2** could be further employed as the amino component in a new Ugi-4C-3CR. To this end, product **2d** was obtained by reacting hemiacetal **1a**, cyclohexylamine and *tert*-butyl isocyanide in a one-pot manner. Compound **2d** was used as the substrate in a second Ugi-4C-3CR with hemiacetal **1f** and benzyl isocyanide, generating the tertiary amine **4** in 41% yield (Scheme 6). Once a large library of compounds is synthesized with simple substrates, this strategy becomes an interesting approach for the synthesis of chiral bulky tertiary amines, widely used in asymmetric organocatalysis^{71,72} and as ligands for asymmetric transition metal catalysts.⁷³

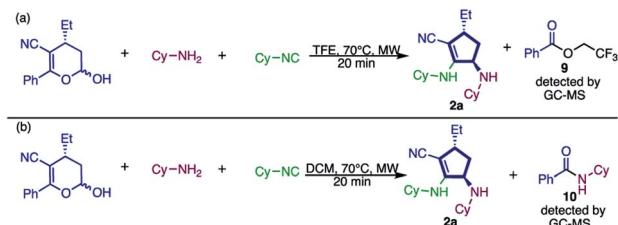
To further show the synthetic potential of this strategy, compound **5** was submitted to orthogonal deprotection, affording the cyclopentene derivatives **6** and **7** (Scheme 7a). First, hydrolysis of the ester in alkaline media gave rise to the carboxylate **6**. Thereafter, the deprotection of the *N*-trityl was performed, affording product **7** containing a primary free amine group that can be used in a wide range of chemical reactions.^{74–78}

As depicted in Scheme 7a, both of these structures are peptidomimetics, and therefore they can be employed as substrates in peptide chemistry. While compound **6** is used as a C-terminal dipeptide mimetic, **7** would react in the N-terminal position. This example of orthogonal deprotection indicates that compound **5** and derivatives can be employed – regardless of the strategy – in solid-phase peptide synthesis. As an attempt to broaden the applicability of this methodology, we envisioned that the *syn*-diastereoisomer of cyclopentyl amines **2** could be accessed by using Passerini-type cyclopentenols **3** as substrates



Scheme 5 Substrate scope for the synthesis of cyclopentenols. Reaction conditions: hemiacetal **1** (0.15 mmol, 1.0 equiv.) and isocyanide (1.0 equiv.) in TFE (0.3 mL) at 70 °C under microwave irradiation (300 W). TFE = 2,2,2-trifluoroethanol. The diastereoisomeric ratio (dr) was determined by 1 H NMR analysis.





Scheme 8 Experiments performed to gain some insights into the mechanism.

(Scheme 7b). After a sequence of Mitsunobu reaction, Staudinger reduction and Boc protection, compound **8** could be synthesized in excellent selectivity (94 : 6 dr), although in moderate yield (59%).

To gain some insights into the possible mechanism of this new approach, some experiments were performed. Initially, the reaction was carried out under the same reaction conditions described and the crude mixture was analyzed by GC-MS, through which 2,2,2-trifluoroethyl benzoate (**9**, Scheme 8a) was detected.

The presence of this species drove us to the conclusion that the solvent – 2,2,2-trifluoroethanol – reacts, as a nucleophile, with the ketone moiety present in the substrate. Therefore, we substituted the solvent for dichloromethane (a non-nucleophilic solvent), aiming at the isolation of any intermediate of this transformation. However, under these conditions (Scheme 8b) we observed product formation and instead of by-product **9**, the generation of *N*-cyclohexylbenzamide (**10**) was detected. These results prove that the nucleophilic attack during the elimination of the ketone portion is not a rate determining step (RDS). Also, we assume that the nucleophilicity of the reagent has no influence because both TFE and cyclohexylamine, which are very distinct in nucleophilicity, can play this role.

Furthermore, a theoretical investigation using DFT calculations of the most important elementary steps in the MCR was conducted to elucidate the factors that control the observed diastereoselectivity (up to 99 : 1 dr) towards the obtained products (Fig. 2). As we described earlier,⁵⁴ the multicomponent sequence begins with amine addition to the hemiacetal **1** with formation of the imine **I-1**, featuring an intramolecular hydrogen bond. This cyclic conformation introduces the conformational rigidity for the high dr obtained. The diastereoselectivity is controlled by the attractive non-covalent interactions between the isocyanide and the conjugated enol π -system (Fig. S12 in the ESI†), favoring the *Si*-face attack on the imine **I-1** through the rate- and diastereoselectivity-determining transition state **TS-1** (*Si*-face) over **TS-1'** (*Re*-face). The computational study shows that **TS-1** is 2.7 kcal mol⁻¹ lower in energy than **TS-1'**, giving a theoretical diastereoselectivity of 98 : 2 at 70 °C in favor of the major diastereoisomer, in excellent agreement with experimental results. Next, the most intriguing aspect of this transformation is the unexpected cyclization to furnish the cyclopentenyl moiety **2**. After the formation of intermediate **I-2**, it was expected that the oxygen would attack the electrophilic carbon (C1) of the nitrilium ion *via* **TS-4**, ultimately affording the possible product **11**.⁵⁴ However, the attack of the enolate by the α -ketonic carbon (C2) *via* **TS-2/TS-2'** ($\Delta\Delta G^\ddagger$ = 3.2 and 2.1 kcal mol⁻¹) is much lower in energy compared with **TS-4** ($\Delta\Delta G^\ddagger$ = 8.1 kcal mol⁻¹), corroborating the experimental exclusive formation of **2**. The probable origin of this energy difference is associated with the stabilizing intramolecular hydrogen bond featured in **TS-2** (N–H···O=C, 2.06 Å). Analyzing the competitive attacks of the enolate by the α -ketonic carbon (C2) *via* **TS-2** and **TS-2'**, both have similar geometries except for the disposition of the NH-Ph group. The axial arrangement in the **TS-2** conformation brings together the atoms involved in the mentioned hydrogen bond, which is strong enough to overcome the repulsion exerted by the NH-Ph group. **TS-2** is 3.5 kcal mol⁻¹ more stable than **TS-2'**, which has

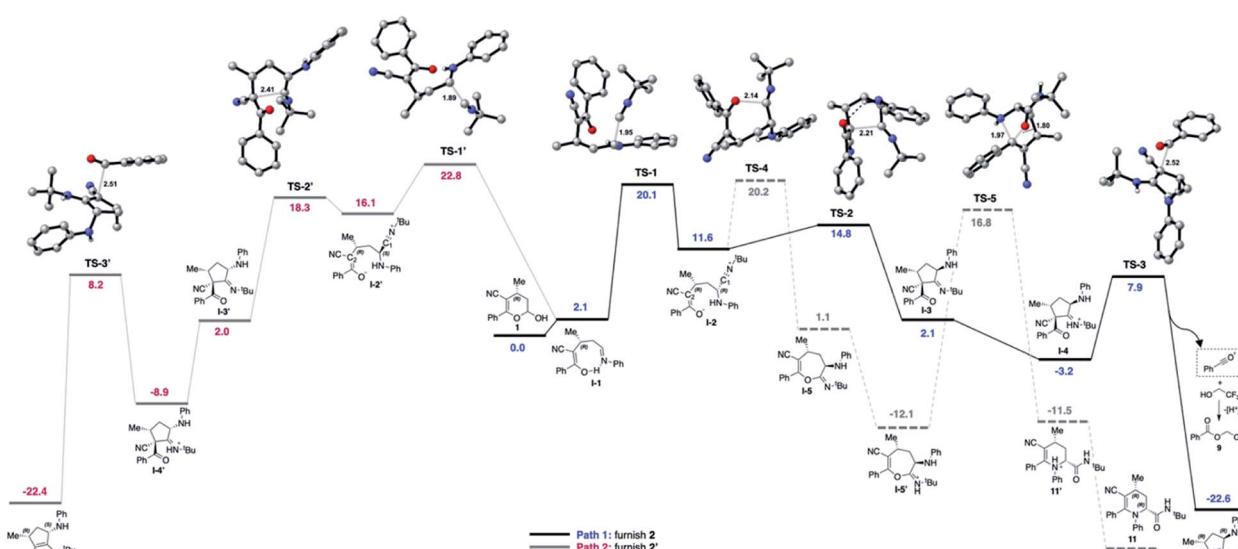


Fig. 2 Gibbs corrected reaction energy profile in kcal mol⁻¹ and transition state geometries at the B3LYP-D3/def2-TZVP [TFE].



an NH-Ph substituent in a pseudo-equatorial position. This very compact enolate transition state is probably very susceptible to energetic variations due to backbone substitutions and conformations.

Probably for steric reasons, the less hindered **TS-4** is preferred over **TS-2** when using the slightly bulkier -Ph substituent in the R¹ position, justifying the reactivity reported in this work compared to the one reported previously.⁵⁴

Once intermediate **I-3** is formed, the protonation of the imine favors the acyliminium cleavage by **TS-3** ($\Delta\Delta G^\ddagger = 10.8 \text{ kcal mol}^{-1}$) to furnish product **2** and the acylium ion (see Scheme S1 in the ESI† for further details). This step is somehow in accordance with the experimental observation of **9** and **10** (Scheme 8), resulting from the addition of a nucleophilic species to the acylium ion. The acyl transfer mechanism involving the nucleophilic attack of TFE, as well as non-catalyzed pathways were explored but presented much higher energy barriers (see the ESI† for further details).

Conclusions

In summary, we have reported a new isocyanide-based multi-component reaction using easily accessible hemiacetals as bifunctional substrates. This method displayed good functional group tolerance and high stereoselectivity, and a broad scope of substrates could be employed. Furthermore, this approach furnished a diversity of structurally complex compounds – including peptidomimetics and natural product hybrids. A DFT mechanistic investigation elucidated the features behind the unexpected 5-*exo*-dig cyclization, which opens new avenues for developing new cascade processes.

Data availability

All the experimental and computational data have been included in the ESI.†

Author contributions

V. A. F., R. N. L., Y. B. B. and R. E. contributed to the investigation; M. Y. K. and M. A. B. F. contributed to the formal analysis; V. A. F., A. F. T., D. G. R. and M. W. P. contributed to the conceptualization, design and writing of the paper.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

We are grateful to CNPq (INCT Catálise), FAPESP (17/01754-0, 19/01973-9, 14/50249-8, 15/17141-1, 20/10246-0 and 20/01255-6) and GSK for financial support. This study was financed in part by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior – Brasil (CAPES) – Finance Code 001.

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