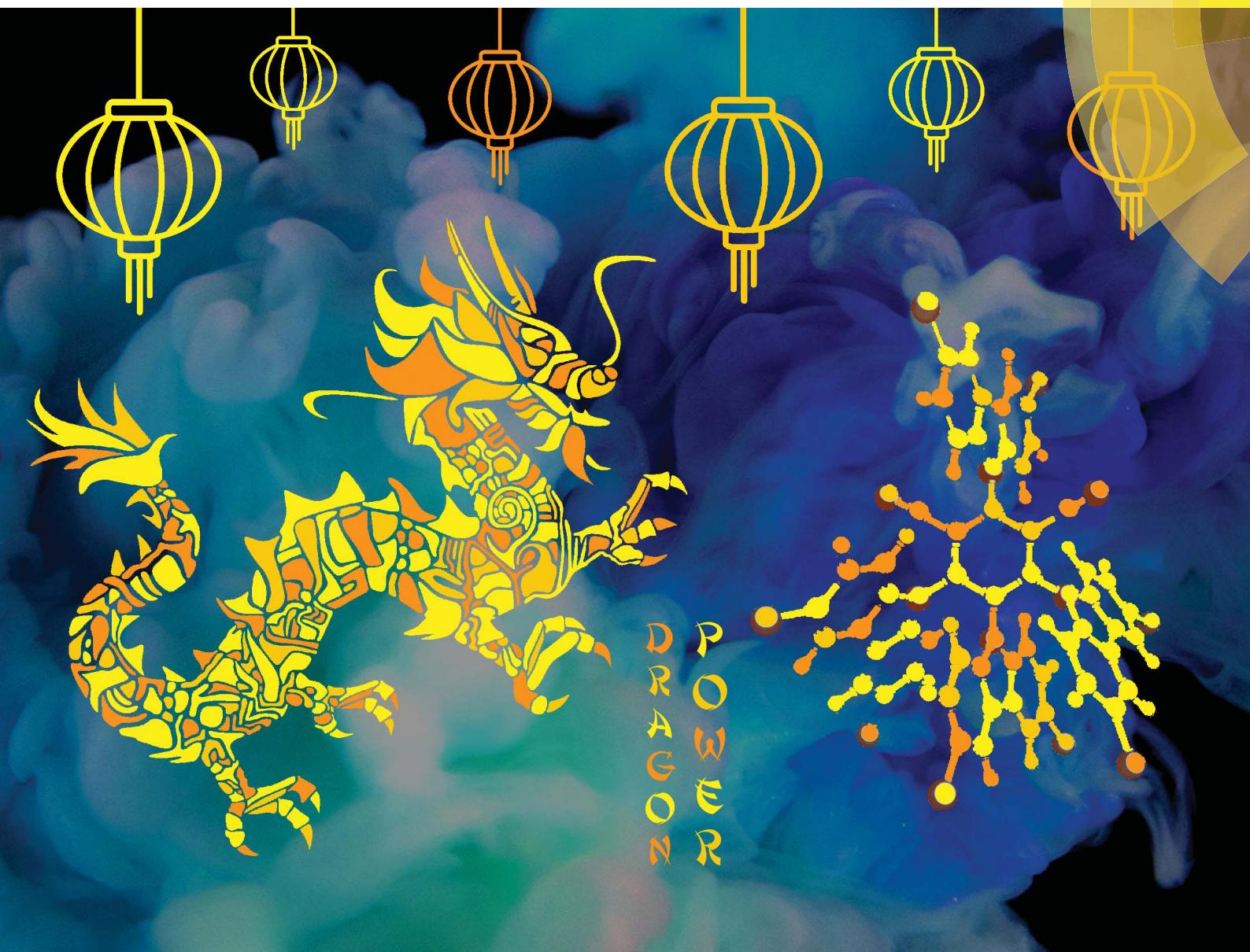


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Fine-tuned organic photoredox catalysts for fragmentation-alkynylation
cascades of cyclic oxime ethers


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1. Introduction

Cascade reactions leading to several bond forming/bond breaking events are important tools to access efficiently the complex carbon skeleton of organic compounds.¹ Single electron chemistry is well suited for cascade transformations due to the high reactivity of radical intermediates combined with neutral reaction conditions.² However, many radical-based cascade reactions still require the stoichiometric use of toxic reagents, such as tin hydrides and/or harsh reaction conditions. In the last decade, visible light driven photoredox catalysis has emerged as a general method for radical generation under mild oxidative or reductive conditions without the need for toxic reagents.³ First successes were met with metal complexes as catalysts.⁴ More recently organic dyes have been introduced, as they are often cheap, non-toxic and easy to modify.⁵

In the case of reductive quenching cycles for the generation of radical by oxidation, the reduction potential of the photo-activated catalyst is an essential parameter (Fig. 1). The reduction potential of the most often used iridium catalyst ($\text{Ir}[\text{dF}(\text{CF}_3)\text{ppy}]_2(\text{dtbbpy})\text{PF}_6$ (**1**)) (+1.21 V vs. SCE in MeCN)⁶ is lower than frequently used organic dyes, such as Fukuzumi dye (**2**) and dicyanoanthracene (**3**) (+2.06 V vs. SCE in MeCN).^{5,7} To enrich the range of transformations available to organic catalysts, using dyes with lower oxidation values would be highly desirable.

Fine-tuned organic photoredox catalysts for fragmentation-alkynylation cascades of cyclic oxime ethers[†]

 Franck Le Vaillant, ^a Marion Garreau, ^a Stefano Nicolai, ^a Ganna Gryn'ova, ^b Clemence Corminboeuf ^b and Jerome Waser ^{*a}

Fine-tuned organic photoredox catalysts are introduced for the metal-free alkynylation of alkynitrile radicals generated via oxidative ring opening of cyclic alkylketone oxime ethers. The redox properties of the dyes were determined by both cyclic voltammetry and computation and covered an existing gap in the oxidation potential of photoredox organocatalysts.

In this context, 2,4,5,6-tetra(*9H*-carbazol-9-yl)isophthalonitrile (4CzIPN, **4a**) has emerged as an alternative organic dye with a lower reduction potential (+1.35 V vs. SCE in MeCN).⁸ Nevertheless, there is a need for further catalysts with reduction

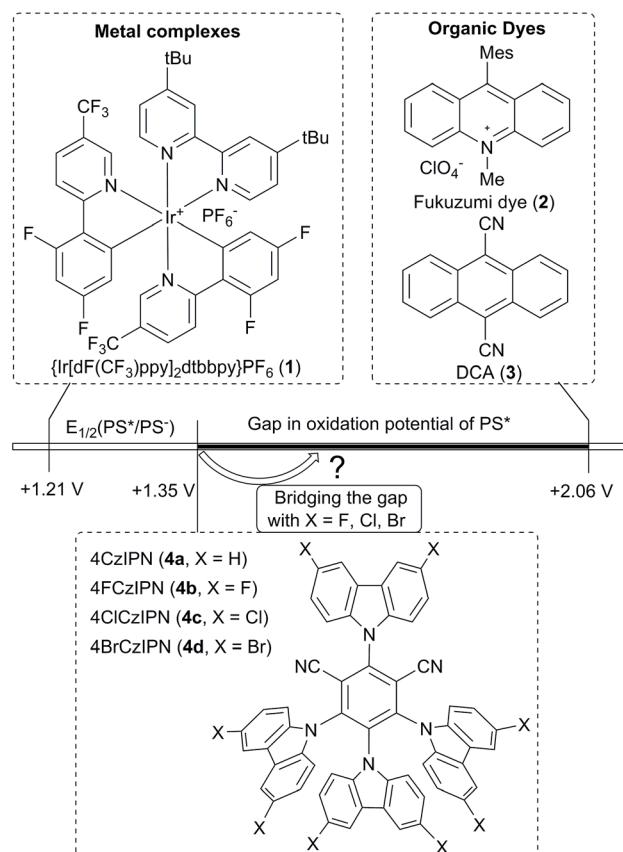


Fig. 1 Bridging the gap in the reduction potential of activated organic photocatalysts.

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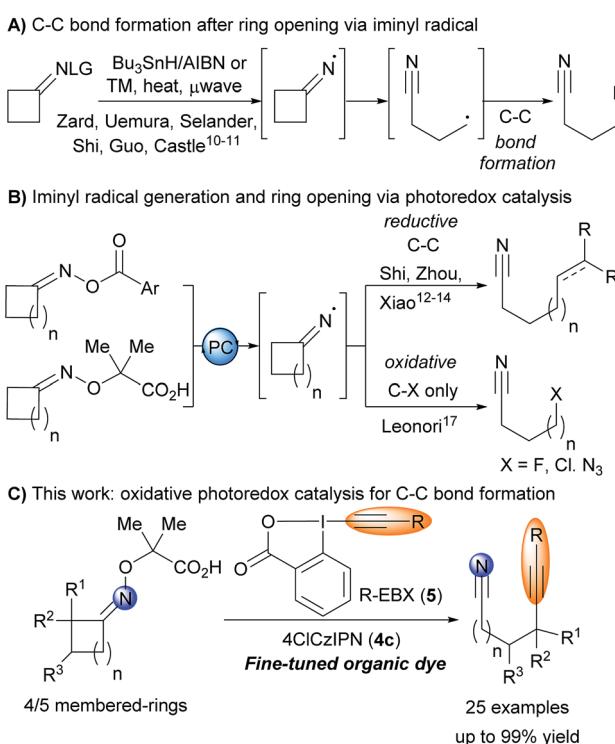
[†] Electronic supplementary information (ESI) available: Experimental and computational data. CCDC 1052646 and 1838186. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c8sc01818a



potentials between +1.4 V and + 2.0 V to allow challenging transformations asking for efficient oxidation.

In this context, heteroatom centered radicals initiated transpositions have found widespread applications in synthetic chemistry.⁹ Zard and coworkers developed iminyl radical initiated cascades using tin reagents and electrophilic olefins to trap the generated intermediate (Scheme 1A).¹⁰ Transition metal catalysis (Pd, Ir, Fe) and/or heating at temperature higher than 90 °C were later reported to avoid the use of tin reagents.¹¹ In 2017, Shi and co-workers described one single example of a Heck-like coupling at room temperature under photoredox conditions (Scheme 1B).¹² After Shi's pioneering example, Xiao and coworkers developed in 2018 a general method for C–C bond formation after reductive cleavage of cycloalkyloxime esters for the introduction of both alkanes and alkenes.¹³ Zhou and coworkers reported a multicomponent alkylation etherification of alkenes using a similar strategy.¹⁴ These breakthroughs greatly enhanced the synthetic potential of fragmentation cascades, but the exclusive use of reductive conditions limited the types of bond formations possible.

Recently, Studer and coworkers¹⁵ and Leonori and coworkers¹⁶ independently reported a new approach for the generation of iminyl radicals based on an oxidative quenching cycle starting from carboxylic acid derived oxime ethers. In 2018, this activation strategy was used by Leonori to develop the fluorination, chlorination and azidation of alkylnitrile radicals generated by fragmentation of iminyl radicals (Scheme 1B).¹⁷



Scheme 1 Existing methods for C–C bonds formation after iminyl radical initiated ring opening (A and B) and our work based on oxidative photoredox catalysis (C) (PC = photoredox catalysis, EBX = ethynyl benziodoxolone).

However, no example of C–C bond formation was reported. In this respect, our group and others have shown that ethynylbenziodoxolones (EBX) reagents 5 allowed the alkynylation of radicals generated through oxidative photoredox cycles.¹⁸ We therefore envisioned that EBX reagents would be ideally suited to overcome these limitations and deliver remotely-functionalized alkynyl nitrile through an oxidative fragmentation C–C bond formation sequence. Such a transformation has never been reported under photoredox conditions.¹⁹ However, preliminary results showed that only low yields could be obtained in this reaction using established photoredox catalysts 1–3 (see Results and Discussions).

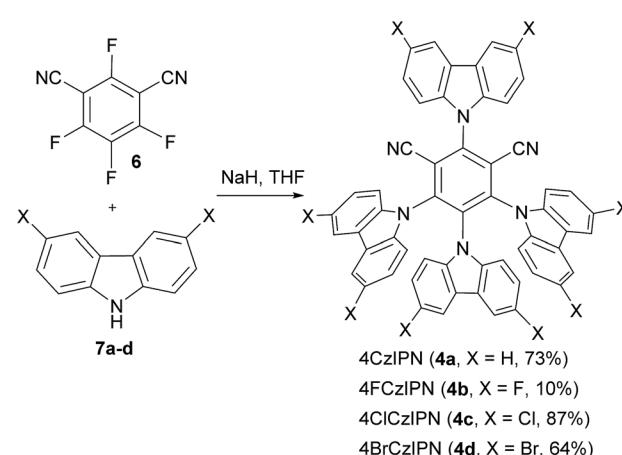
Herein, we report for the first time the use of the modified 4XCzIPN dyes 4b–d in photoredox catalysis. In particular, 4ClCzIPN dye 4c led to a highly efficient fragmentation alkynylation process. Both theory and experiment showed that 4c has an increased reduction potential compared to 4a, leading to the efficient fragmentation of both four- and five-membered cyclic oxime ethers to give compounds containing versatile alkyne and nitrile functionalities in good yield under mild conditions.

2. Results and Discussions

Dye synthesis and properties

The catalysts 4a–d were easily synthesized from commercially available 2,4,5,6-tetrafluoroisophthalonitrile (6) and the corresponding carbazoles 7a–d via nucleophilic aromatic substitution (Scheme 2).²⁰ Good yields were obtained, except for fluorosubstituted dye 4b.

The redox properties of catalysts 4a–d were then determined (Table 1). Ground state reduction and oxidation potentials of –1.21 V and +1.52 V for catalyst 4a in acetonitrile have been reported based on cyclic voltammetry.^{8a} These values combined with the difference in absorbance and emission signals of the dye allowed to estimate the potentials in the excited state: +1.35 V and –1.04 V for reduction and oxidation, respectively (entry 1). In our hand, slightly different values were obtained (entry 2). In particular, a higher reduction potential of +1.59 V



Scheme 2 Synthesis of 4XCzIPN dyes 4a–d.



Table 1 Literature (lit), measured (mes) and computed (comp) values for reduction potentials of dyes **4a–d**^a

Entry	Catalyst	Solvent	$E_{1/2}(\text{P}/\text{P}^-)$	$E_{1/2}(\text{P}^+/\text{P})$	E_{0-0}	$E_{1/2}(\text{P}^*/\text{P}^-)$	$E_{1/2}(\text{P}^+/\text{P}^*)$
1	4a (lit)	CH ₃ CN	−1.21	+1.52	2.56	+1.35	−1.04
2	4a (mes)	CH ₃ CN	−1.05	+1.68	2.64	+1.59	−0.96
3	4c (mes)	CH ₃ CN	−0.97	+2.05	2.68	+1.71	−0.63
4	4a (comp)	CH ₃ CN	−1.29	+1.56	2.64 ^b	+1.35	−1.08
5	4b (comp)	CH ₃ CN	−1.18	+1.67	—	—	—
6	4c (comp)	CH ₃ CN	−1.10	+1.76	2.68 ^b	+1.58	−0.92
7	4d (comp)	CH ₃ CN	−0.83	+1.89	2.65 ^b	+1.82	−0.76
8	4a (comp)	CH ₂ Cl ₂	−1.29	+1.67	2.59 ^b	+1.30	−0.92
9	4b (comp)	CH ₂ Cl ₂	−1.18	+1.79	2.60 ^b	+1.42	−0.81
10	4c (comp)	CH ₂ Cl ₂	−1.10	+1.87	2.59 ^b	+1.49	−0.72
11	4d (comp)	CH ₂ Cl ₂	−0.85	+1.98	2.58 ^b	+1.73	−0.60

^a Potentials in V vs. SCE. The excitation energy E_{0-0} was estimated by the point of intersection of the normalized absorbance and emission signals. $E_{1/2}(\text{P}^+/\text{P}^*) = E_{1/2}(\text{P}^+/\text{P}) - E_{0-0}$ and $E_{1/2}(\text{P}^*/\text{P}^-) = E_{0-0} + E_{1/2}(\text{P}/\text{P}^-)$. See ESI for details. ^b Experimental values of E_{0-0} were used.

was observed in the excited state. We then turned to halogenated dyes. With catalyst **4c**, both the cationic and anodic shifts were measured, with reduction and oxidation potentials of −0.97 V and +2.05 V (entry 3). This resulted in an increased reduction potential of +1.71 V of the photoexcited dye. Unfortunately, the cyclic voltammograms of dyes **4b** and **4d** could not be determined in acetonitrile due to their limited solubility. Only the absorption/emission spectra of dye **4d** could be measured. We therefore turned to theory to have a more reproducible and expanded access to redox potential values. At the PCM-UAKS/PBE0-D3BJ/def2-SVP level, the ground state reduction potentials decrease and the oxidation potentials increase in the order of **4a**, **4b**, **4c**, **4d** (entries 4–7).²¹ This leads to a higher reduction potential in the excited state for catalyst **4c** and **4d** compared to **4a** (+1.58 V and +1.82 V respectively compared to +1.35 V). Therefore, both measurement and computation confirmed the increased potential for **4c**. The same trends but with lower reduction potentials were obtained in dichloromethane as solvent (entries 8–11). In this case, absorption and emission spectra could be measured for all dyes, but no good quality cyclic voltammograms could be acquired. Values in the ground state were therefore again obtained by computation.

The trend in reduction potentials increasing in the order of **4a** < **4b** < **4c** < **4d** (substituents on catalyst **4**: H < F < Cl < Br) can be rationalized as follows. Upon reduction, an electron is added to the lowest unoccupied molecular orbital (LUMO), located mostly on the central isophthalonitrile ring (Fig. 2). However, the LUMO also involves the carbazole moieties in the 4 and 6 positions of the isophthalonitrile ring and is potentially stabilized by them to a greater extent in the case of Cl and Br substituents (resonance donors) compared to H and F. We also note that while molecules **4a** and **4b** are fairly symmetric, systems **4c** and **4d** feature noticeable distortion of the 4-carbazole (Fig. 2). This peculiar structure, observed both in the gas-phase optimized geometries, obtained at several dispersion-corrected DFT levels, and experimental crystal structures,²² is due to strong Cl···Cl and Br···Br contacts in **4c** and **4d**, respectively.²³

Optimization of the fragmentation cascade

Our investigations began with the gram scale synthesis of hydroxylamine **10** (Scheme 3, eqn (1)).²⁴ Nucleophilic substitution of commercially available *N*-hydroxypythalimide (**8**) and ethyl 2-bromo-2-methylpropanoate (**9**), followed by acidic hydrolysis of the phthalimide, gave 5.8 g of **10** in 86% yield over 2 steps. Condensation of **10** with cyclobutanone (**11**) led to oxime ether **12a** in 90% yield as a crystalline solid (Scheme 3, eqn (2)).

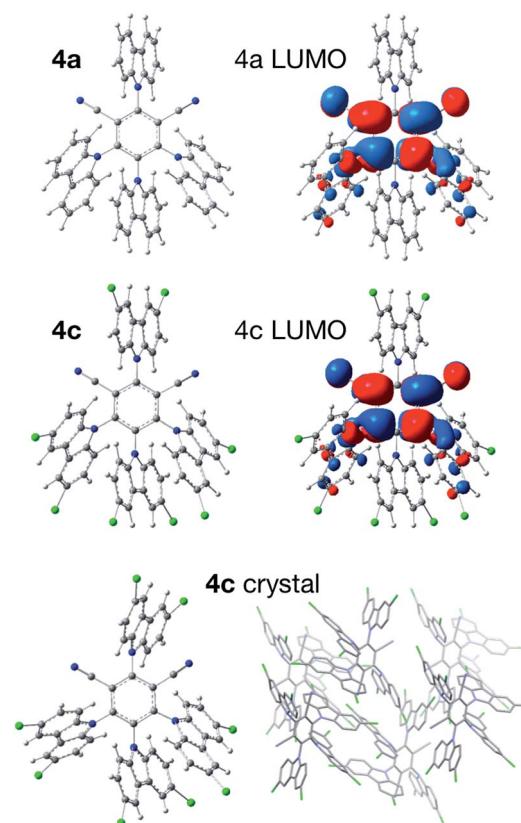
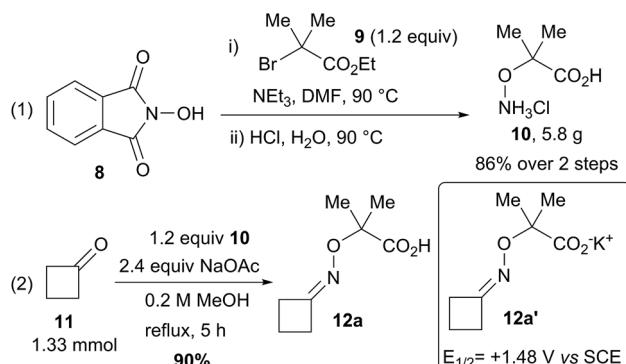


Fig. 2 Optimized structures and LUMO plots (isovalue 0.02) of the dyes **4a** and **4c** at the PBE0/def2-SVP level, as well as the crystal structure of **4c**. See the SI for the plots of **4b** and **4d**.





Scheme 3 Gram scale synthesis of 1-carboxy-1-methylethoxymmonium chloride (10), and condensation with cyclobutanone (11) to give model substrate 12a.

A cyclic voltammetry measurement on the corresponding potassium carboxylate **12a'** showed a clear oxidation peak at +1.48 V vs. SCE in DMF. We decided then to first test the best catalysts reported by Studer:¹⁵ [Ir(dF(CF₃)ppy)₂(dtbbpy)]PF₆ (1) and Leonori:^{16,17} Fukuzumi's catalyst 2 (Table 2). Based on our previous studies on photoredox catalysis using EBX reagents,^{18a,b} we used DCE as solvent and an excess of Ph-EBX reagent **5a** (2.0 equiv.). With 1 mol% of **1a**, full conversion

and 55% NMR yield of alkyne **13a** were reached after 2 h, whereas only 50% conversion and 20% NMR yield was obtained with **2** (Table 2, entries 1–2). In both cases, significant side products formation was observed. DiCyanoAnthracene (DCA, **3**) gave only 5% NMR yield of **13a** (entry 3). With 4CzIPN (**4a**) we were pleased to observe 60% conversion of **12a** and 50% NMR yield of **13a** after 2 h (entry 4).

Increasing reaction time (6 h) and/or temperature (50 °C) was not successful to improve conversion. Speculating that stronger oxidizing dyes could lead to better results, catalyst **4c** was then examined. Full conversion of **12a** was observed and 70% of **13a** could be isolated (entry 5). K₂CO₃ was found to be slightly better than Cs₂CO₃ as a base (entry 6), allowing us to reduce the reaction time to 1 h (entry 7). The other new photocatalysts **4b** and **4d** were then tested, and provided similar photocatalytic activity as **4c** (entries 8–9). Due to its better solubility and ease of synthesis, **4c** was selected as photocatalyst to continue the study. Decreasing the number of equivalents of **5a** to 1.5 resulted in lower yield and more side reactions (entry 10). Interestingly, the catalyst loading could be decreased to 3 mol% (entry 11) or the reaction time reduced to only 30 min (entry 12) without any effect on the yield. Finally, control experiments showed that photocatalyst, base and light were all required for the reaction to proceed (entries 13–15).

Table 2 Optimization of the reaction conditions

Entry	Catalyst	Base	Time	Conversion ^a	Yield ^b
1 ^{c,d}	1	Cs ₂ CO ₃	2 h	>95%	55%
2 ^d	2	Cs ₂ CO ₃	2 h	50%	20%
3 ^d	3	Cs ₂ CO ₃	2 h	90%	5%
4	4a	Cs ₂ CO ₃	2 h–6 h	60%	50%
5	4c	Cs ₂ CO ₃	2 h	>95%	70%
6	4c	K ₂ CO ₃	2 h	>95%	75%
7	4c	K ₂ CO ₃	1 h	>95%	80%
8	4d	K ₂ CO ₃	1 h	>95%	75%
9	4b	K ₂ CO ₃	1 h	>95%	75%
10 ^e	4c	K ₂ CO ₃	1 h	>95%	70%
11 ^f	4c	K ₂ CO ₃	1 h	>95%	80%
12	4c	K ₂ CO ₃	0.5 h	>95%	80%
13	—	K ₂ CO ₃	1 h	NR	NR
14	4c	—	1 h	<10%	5%
15 ^g	4c	K ₂ CO ₃	1 h	NR	NR

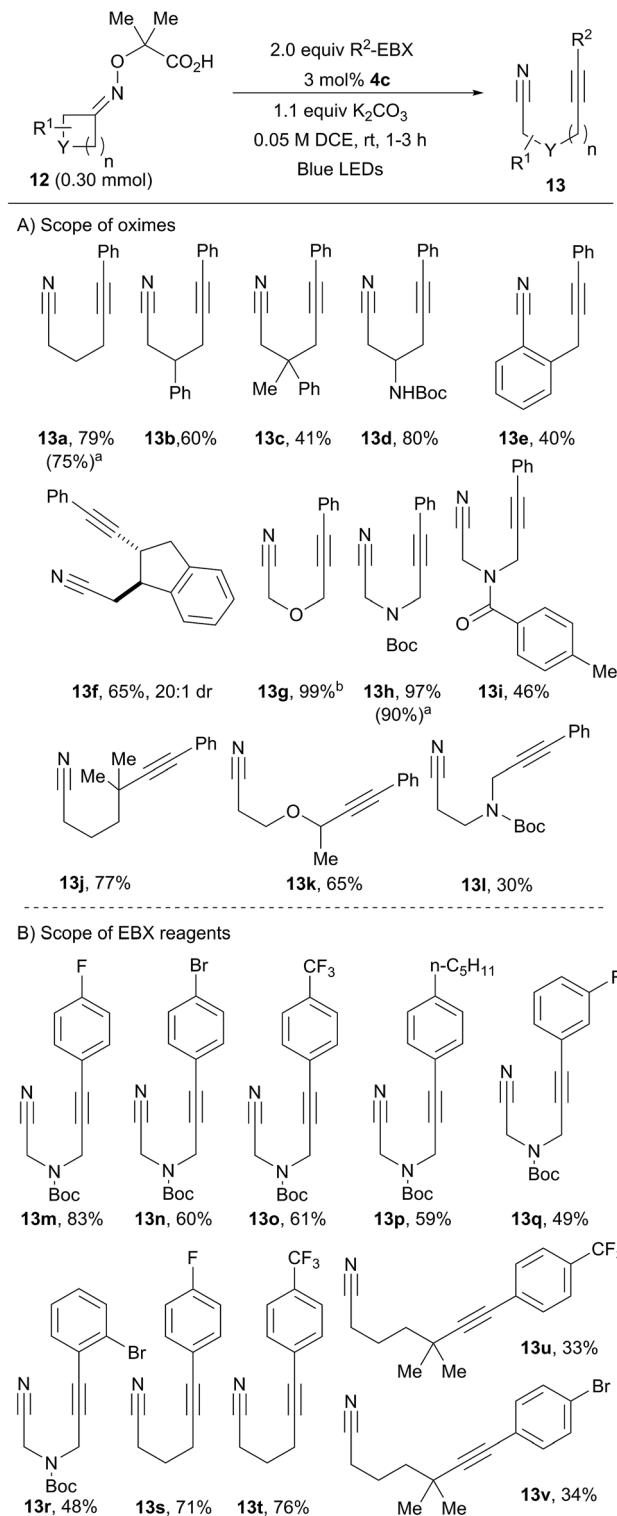
^a Reaction conditions: using 0.1 mmol **12a** (1 equiv.), 0.2 mmol **5a** (2.0 equiv.), 5 mol% **1–4** (0.05 equiv.) in DCE (2.0 mL) for 2 h at RT. The conversion of **12a** by NMR is given. NR = no reactivity. ^b NMR yield using dibromomethane as internal standard. ^c Using 1 mol% of **1**. ^d Using 1.0 equiv. of base. ^e Using 1.5 equiv. of **5a**. ^f Using 3.0 mol% of organic dye **4c**. ^g Without irradiation.

Scope of the fragmentation cascade

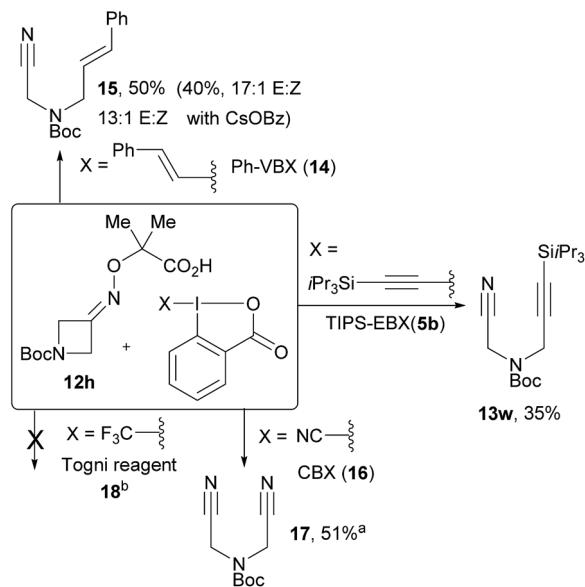
We then investigated the scope of oximes at 0.30 mmol scale using 3 mol% of **4c** (Scheme 4A). Oxime **12a** afforded **13a** in 79% isolated yield. Phenyl, alkyl and protected amines were tolerated at the β -position (**13b–d**, 41–80% yield). Benzocyclobutene oxime **12e** afforded propargylic benzocarbonitrile **13e** in 40% yield. Bicyclic compound **13f** was obtained in 65% yield and excellent diastereoselectivity. Oxetanone and azetidinones oximes ethers were also excellent substrates (**13g–i**). Cyclopentanones derivatives were also successful. Using a *gem*-dimethyl substituent for the generation of a δ -tertiary alkynyl nitrile radical allowed the isolation of **13j** in 77% yield. In the work of Leonori and coworkers, a benzylic position was used to promote ring opening.¹⁷ In our case, an α -heteroatom was exploited. With an oxygen linker, product **13k** was obtained in 65% yield, whereas a nitrogen group led to a modest yield (30%) of **13l**. In addition, compounds **13a** and **13h** were obtained in similar yields using sunlight instead of LEDs.

We then turned our attention to the scope of the reagents (Scheme 4B). The conversion of azetidinone oxime ether **12h** into α - α '-cyanoalkynylamines was achieved in good yields, tolerating electron-withdrawing groups (**13m–o**) and electron-donating groups (**13p**) in *para* position of the benzene ring.²⁵ Good yields were also obtained with a fluorine in *meta* or a bromine in *ortho* positions (**13q** and **13r**). Cyclobutanone oxime **12a** could also be used with fluorinated arene substituents on the EBX (**13s–t**). Products **13u** and **13v** bearing a trifluoromethyl and bromine at the *para*-position were obtained in moderate yields from cyclopentanone oxime **12j**. Alkynyl nitriles are important building blocks combining two highly useful





functional groups.²⁶ For example, compounds such as **13a** have been used in cyclization reactions with alkynes,^{26a-c} vinyl iodo-nium salts,^{26d} or benzyne equivalents.^{26e}

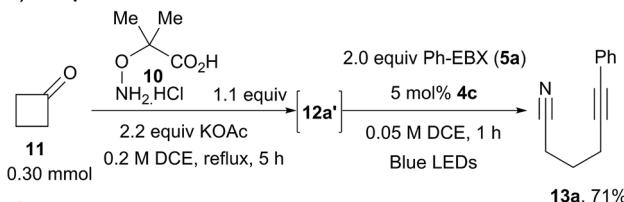


Preliminary investigations were then conducted for other types of C–C bond formation (Scheme 5). A silyl EBX reagent gave a lower yield of product **13w** and alkyl EBX could not be used. Preliminary results for an alkenylation cascade were also obtained using Phenyl Vinyl Benziodoxolone (PhVBX, **14**), recently introduced by Olofsson and co-workers.²⁷ Under the optimized reaction conditions, alkene **15** was isolated in 50% yield with a 12 : 1 *E/Z* selectivity.²⁸ Changing the base from K_2CO_3 to $CsOBz$ afforded lower yield (40%), but with enhanced selectivity (17 : 1 *E/Z*). Using two equivalents of cyanobenziodoxolone (CBX, **16**),^{18b} bisnitrile **17** was obtained in 51% yield. With Togni reagent **18**,²⁹ no desired product was formed.

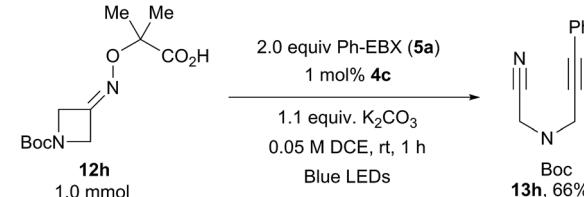
A one-pot protocol starting directly from the ketones was then developed (Scheme 6A). After condensation of cyclobutanone (**11**) with hydroxylamine **10**, addition of two equivalents of Ph-EBX (**5a**) and 5 mol% of the organic dye followed by one hour of irradiation delivered alkyne **13a** in 71% yield. A frequent drawback of organic dyes is their limited stability, leading often to low turnover numbers. Nevertheless, 66% of **13h** could still be isolated when using only 1 mol% of **4c** at a 1.0 mmol scale (Scheme 6B).

According to previous studies on EBX reagents,^{18a,b} and the redox potential of dye **4c**, we assume that the reaction starts with the oxidation of the potassium carboxylate **12a'** ($E_{1/2} = +1.48$ V vs. SCE in DMF) by the excited state PS^* of the organic dye to generate carboxyl radical **I** and the reduced state PS^- (Scheme 7). Fast decarboxylation releases the α -oxy radical **II**, which can either lead to iminyl radical **III** after acetone extrusion, or can be directly trapped by EBX reagents forming **19**. Although **19** was not detected, its hydrated form **20** was observed by 1H NMR. The iminyl radical **III** then fragments into a nucleophilic alkyl nitrile radical **IV**, which reacts with EBX 5.

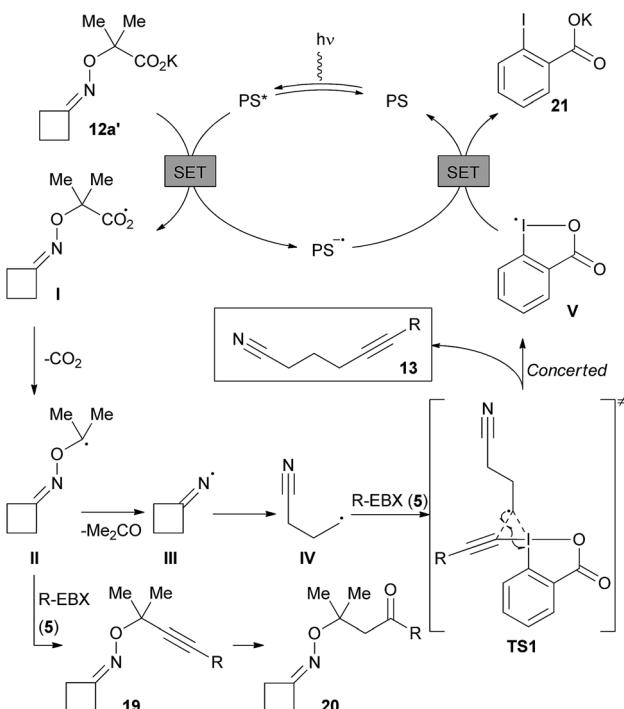
A) One-pot transformation



B) Low catalyst loading



Scheme 6 One-pot protocol and low catalyst loading.



Scheme 7 Speculative reaction mechanism.

For this step, a concerted mechanism *via* transition state TS1 can be envisioned according to our previous studies^{18b} to afford the desired alkynyl nitrile and radical V. A β -addition followed by a 1,2 shift of the EBX substituent could also be considered depending on the migratory aptitude.^{18b} Final reduction of V allows the regeneration of the ground state photocatalyst PS and generates carboxylate 21.

3. Conclusions

In summary, we have described the fine-tuning of organic dyes for the synthesis of alkynyl nitriles based on the alkynylation of alkynyl nitrile radicals generated after a visible light-driven

oxidative ring fragmentation reaction involving an iminyl radical as intermediate. Preliminary results for the corresponding alkenylation and cyanation were also achieved. High yields were obtained in a short reaction time with low catalyst loading. We envision a bright future for these new dyes and further applications of cascade approaches in challenging C–C bond forming reactions.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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