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Chemical upcycling of polybutadiene into size controlled α,ω -dienes and diesters *via* sequential hydrogenation and cross-metathesis†

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Plastic waste conversion into valuable chemicals is a promising alternative to landfill or incineration. In particular, the chemical upcycling of polybutadiene rubber (PBR) could provide a renewable route towards highly desirable α,ω -dienes with varying chain lengths, which can find ample industrial application. While previous research has shown that the treatment of polybutadiene with a consecutive hydrogenation and ethenolysis reaction can afford long-chain α,ω -dienes, achieving precise control over the product chain length remains an important bottleneck. In this work, it was discovered that undesired isomerization during the initial hydrogenation step compromises the product selectivity after ethenolysis, leading to a distribution of α,ω -dienes that covers the full range of chain lengths from C_6 to C_{22} . Based on this insight, we show that the suppression of isomerization affords a well-defined product distribution predominantly consisting of C_{4n+2} -dienes (with $n = 1-5$). With tight control over both the hydrogenation degree and isomerization in the studied PBD samples, we demonstrate that rational modifications to the reaction conditions can steer the selectivity towards the desired chain lengths of the α,ω -diene products. In addition, these insights were expanded to cross-metathesis (CM), giving access to a diverse range of high-value bifunctional products.

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1 Introduction

Since plastic is an indispensable material in our modern society, its production has drastically increased over the past decades to a scale of 380 million tons per year.¹ However, only a small fraction of these materials is effectively being recycled, which leads to an ever growing waste pile. Environmental concerns emerge from traditional landfilling of plastics that show virtually no degradation and eventually lead to microplastic pollution in terrestrial and aquatic environments. While greenhouse gas emissions are a problem accompanying incineration of plastics, more sustainable routes such as mechanical recycling generally yield lower value products (*i.e.* downcycling). A promising alternative is the development of chemical recycling routes that can ideally convert waste polymers into value-added products (*i.e.* upcycling).² This large asset of chemical recycling technology could drive future efforts in the treatment of plastic waste and could provide a more sustainable solution to the current plastic waste problem.

Yearly, 2.8 million metric tons of PBR are produced.³ A major fraction is used in the tire industry, whereas the remaining part

is largely used in the production of high-impact polystyrene (HIPS) and acrylonitrile-butadiene-styrene (ABS) polymers. Other applications include the manufacture of rubber goods, conveyor belts and the cores of golf balls.^{3,4} While end-of-life rubber materials in the US are still primarily handled by landfill and combustion for energy recovery, recycling rates are only gradually increasing due to the complexity of the material.^{5,6} In terms of chemical recycling of plastics, it was recently found that polyene materials can be obtained by bromination-dehydrobromination of polyethylene (PE).⁷ An interesting technique to chemically break down these polyenes is *via* cross-metathesis (CM). Because of the similarity of the chemical structure of PBR with a polyene-type polymer, double bonds of rubbers may provide an opportunity for well controlled chemical recycling. By cross-metathesis of polyenes with shorter alkenes like ethylene (*i.e.* ethenolysis) or other cross-metathesis partners such as methyl acrylate (MA), the double bonds in the polyene can be cleaved.⁸⁻¹⁰

Polybutadiene (PBD) is a synthetic rubber, of which the chemical structure contains one double bond for every four bonds. Therefore, upon ethenolysis of several types of PBD, the formed products predominantly consist of 1,5-hexadiene, vinylcyclohexene and allylcyclopentene.⁹ α,ω -Dienes such as 1,5-hexadiene can be used as monomers in copolymerization reactions¹¹⁻¹³ or can be employed in the production of polyalphaolefins (PAO's) to produce lubricants.¹⁴ Upon reaction with functional thiols, α,ω -dienes can be transformed into telechelic

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polyethylenes.¹⁵ α,ω -Dienes also serve as the source for numerous bifunctional compounds that can eventually end up in fragrances, agrochemicals, and pharmaceuticals.¹⁶ Examples of these bifunctional compounds are di-esters which are important intermediates for the production of polyesters and polyamides.¹⁷ After reaction of the ester with LiAlH_4 , also α,ω -diols can be obtained¹⁸ which are used in the production of polyurethane.

1,5-Hexadiene, 1,9-decadiene and 1,13-tetradecadiene can be produced *via* ethenolysis of cyclic alkenes derived from oil derived butadiene.¹⁶ The SHOP process only produces α -olefins with even carbon numbers; odd ones are consequently much harder to synthesize and are more expensive.¹⁹ Using (waste) PBD as an alternative feedstock towards these α,ω -difunctionalized molecules of different lengths and functionalities would be a more green and circular approach.

Hydrogenation-metathesis reaction sequences have been explored in the context of plastic recycling as a route to non-terminal alkenes.^{20–23} In these works, metathesis using 4-octene as metathesis partner was performed on homogeneously and heterogeneously hydrogenated PBD with $\text{WCl}_6/\text{Sn}(\text{Me})_4$ or $\text{WCl}_6/\text{EtAlCl}_2$ as catalyst system. To the best of our knowledge, the hydrogenation-ethenolysis reaction sequence for the upcycling of PBD has only been explored once by Shiono *et al.*;²⁴ however no detailed information was obtained about the product distribution.

In this work, we explore a consecutive hydrogenation-metathesis route to upcycle high-molecular weight (HMW) PBD into α,ω -dienes, of which the length can be controlled. Isomerization decreases the yield of α,ω -functionalized molecules with well-defined chain lengths and drastically broadens the product distribution. Therefore, we herein seek for ways to control and minimize the isomerization to provide substantially higher yields of C_{4n+2} -dienes ($n = 1–5$). Additionally, strict control over the degree of hydrogenation of PBD is used to guide

the product distribution towards shorter or longer α,ω -dienes after the subsequent metathesis. Both hydrogenation and metathesis are performed with homogeneous Ru-catalysts, with a readily available $\text{Ru}(\text{PPh}_3)_3\text{Cl}_2$ complex for the initial partial hydrogenation of HMW PBD. Beside α,ω -diene formation after cross-metathesis with ethylene using second generation Grubbs catalysts, the product scope is expanded by cross-metathesis with dimethyl maleate (DMM) to form diesters with controlled chain lengths from the hydrogenated PBD (Fig. 1).

2 Results and discussion

2.1 Enhancing ethenolysis activity to avoid isomerization

In view of performing controlled ethenolysis and cross-metathesis (CM) with hydrogenated PBD (hPBD), optimal ethenolysis conditions were identified by studying the conversion of *trans*-5-decene as a model compound. Since isomerization is a known side reaction during ethenolysis,^{25,26} optimizing the selectivity of the reaction to the 1-hexene product over products formed by isomerization (C_{10} or C_6 isomers) and ensuing ethenolysis and isomerization reactions towards C_3 – C_5 alkenes (*via* C_7 – C_9 intermediates) is desirable (Fig. 2, scheme).

Initially, the conversion of *trans*-5-decene by two second generation Grubbs catalysts (M202 and M220) was monitored at various temperatures without additives (Fig. 2A). Temperatures of 60 °C and 80 °C were chosen when using the M202 catalyst, while the M220 catalyst, with a phosphite ligand instead of a phosphine ligand, required higher temperatures of 80–100 °C (conversion was only 25% after 4 h reaction at 60 °C). A previous report suggests that M202 has a very high activity during the early phase of the reaction at 70 °C.²⁷ The same behaviour was seen here for both M202 and M220. Although increasing the temperature from 60 °C to 80 °C for M202 and from 80 °C to 100 °C for M220 had a positive impact on conversion for both catalysts, the observed selectivity for 1-hexene decreased

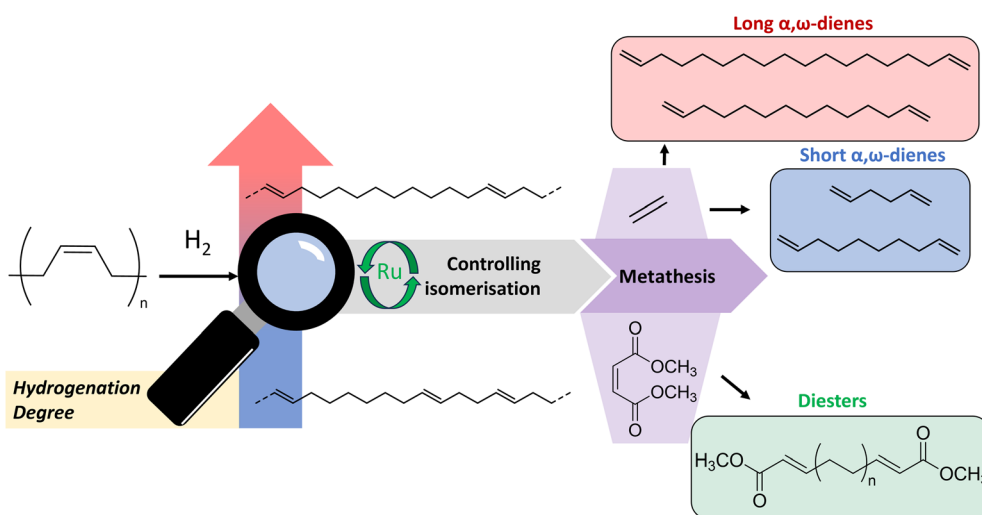


Fig. 1 Concept of consecutive hydrogenation-metathesis of polybutadiene (PBD) rubber. Tuning the hydrogenation degree for the intermediate hydrogenated PBD polymer and controlling isomerization by the Ru-catalysts in both reaction steps allows excellent control over the upcycled α,ω -dienes after ethenolysis.



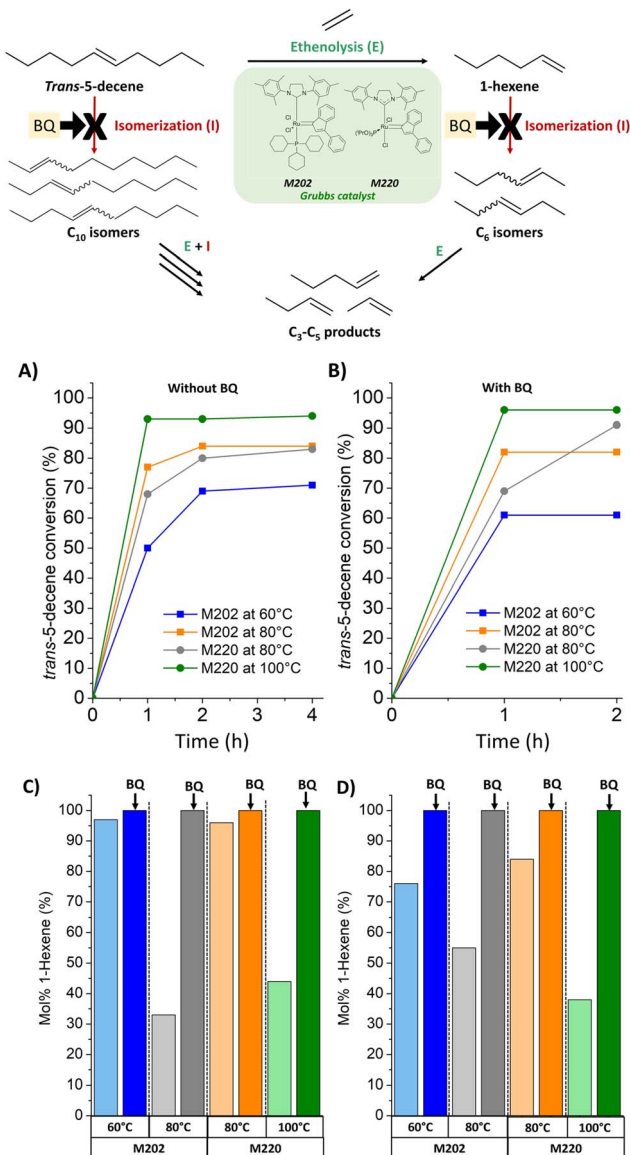


Fig. 2 Ethenolysis (E) reaction of *trans*-5-decene by Grubbs M202 or M220 catalyst with possible routes of isomerization (I) side reactions (scheme). Conversion of *trans*-5-decene without BQ (A) and with BQ (B). Mol% 1-hexene vs. total products after 1 h (C) and 2 h (D). Conditions: 100 μ mol *trans*-5-decene (1 eq.), 1 mL cyclopentyl methyl ether (CPME) as solvent, 1 mol% Grubbs Ru-catalyst, 0.185 eq. BQ, 8 bar C_2H_4 .

(Fig. 2C). Isomerization by 2nd generation Grubbs catalysts is known to occur at temperatures as low as 50 °C and could be caused by the activity of formed ruthenium hydride species. Earlier research pointed out that addition of 1,4-benzoquinone (BQ) suppresses isomerization *via* reduction of BQ to hydroquinone, which consumes any hydrides that would be formed on the Ru.^{25,26} Therefore, the ethenolysis reactions using both catalysts were repeated with 0.185 eq. of BQ *vs.* *trans*-5-decene as an additive. While the conversion of 1-hexene remained similar during the first 2 h of reaction (Fig. 2B), the addition of BQ completely suppressed isomerization during the course of the reaction (Fig. 2C) and (Fig. 2D) and led to 100 mol% 1-hexene.

When using either the M202 or M220 catalyst, the mol% of obtained 1-hexene was lower at higher temperature without BQ. This indicates that isomerization is more likely to occur at higher temperatures, but this undesirable side reaction can be completely suppressed by applying BQ as an auxiliary. These insights are important in the ethenolysis of actual hPBD, since avoiding isomerization can lead to well-defined splitting sites on the polymer backbone.

2.2 Influence of partial hydrogenation of PBD on formed α,ω -dienes after ethenolysis

With the objective to convert PBD into α,ω -dienes with well-controlled chain lengths *via* sequential hydrogenation-ethenolysis, we investigated the effect of hydrogen pressure on the partial hydrogenation of PBD (see ESI Table S3 and Fig. S3† for characterization). Two hydrogenation conditions were compared. Firstly, the PBD was partially hydrogenated under 8 bar H_2 pressure at 80 °C with a Ru loading of 0.25 mol% (low H_2 -pressure, high temperature); secondly, a combination of higher H_2 -pressure (30 bar) at lower temperature (40 °C) with a Ru loading of 0.125 mol% was investigated. Both sets of conditions were applied to hydrogenate PBD to yield products with a varying amount of residual double bonds as determined by 1H NMR analysis of the partially hydrogenated PBD (hPBD) after precipitation from the product mixture. Table 1 gives the residual fraction of unsaturated double bonds per 100 carbon atoms (%C=C) in the polymer product and the corresponding mmol H_2 required in this partial hydrogenation.

Subsequently, the hPBD samples were subjected to the optimal, non-isomerizing ethenolysis conditions (100 °C, 1 mol% M220, 0.185 eq. BQ *vs.* C=C) as determined for *trans*-5-decene. Table 1 shows that the conversion of internal double bonds in the ethenolysis is very high, up to 92%, which is comparable to the 95% conversion of *trans*-5-decene. The table also shows the number of vinyl groups per 100C atoms, after incorporation of extra $=CH_2$ groups from ethylene, as determined based on integration of the methine protons *vs.* the total signal in the 1H NMR spectra (see ESI Fig. S4, S5, Tables S4 and S5† for calculation). The number of vinyl groups decreases monotonously as the hydrogenation of PBD proceeds in both of the investigated hydrogenation conditions. Clearly, more deeply hydrogenated PBD contains fewer internal double bonds that can be converted to vinyl groups. While the NMR analysis detects all dissolved products containing H-atoms, Table 1 also shows the estimated mass fraction of products that are too high-boiling to be detectable by GC. In the employed GC-analysis, products with maximally 22 carbon atoms can be detected. This fraction is higher in ethenolysis reactions that were performed on hPBD with higher degrees of hydrogenation, which is caused by the fact that fewer double bonds lead to less ethenolysis splitting sites. Hence, high-boiling fractions of α,ω -dienes with longer chains (>22 carbons) result from this. Similar conversions of the C=C bonds were obtained for hPBD obtained either under low or high H_2 -pressure in the hydrogenation; however, the observed product selectivity after metathesis was different. Additional tests were performed to further

Table 1 Hydrogenation of PBD to hPBD followed by ethenolysis. Conditions: low *P*, high *T*: hydrogenation of 8 mmol 25% PBD (which is equal to a total of 2 mmol C=C bonds) at 80 °C under 8 bar H₂ using 0.25 mol% Ru(PPh₃)₃Cl₂ vs. C=C. Conditions high *P*, low *T*: hydrogenation of 8 mmol 25% PBD (which is equal to a total of 2 mmol C=C bonds) at 40 °C under 30 bar H₂ using 0.125 mol% Ru(PPh₃)₃Cl₂ vs. C=C. Ethenolysis of 100 μmol C=C (1 eq.) at 100 °C under 8 bar C₂H₄ using 1 mol% Ru M220 vs. C=C and 0.185 eq. BQ for 1 h

Entry	Conditions hydrogenation	%C=C in hPBD ^a (%) calculated by ¹ H NMR	Corresponding H ₂ (mmol) required	Conversion C=C after ethenolysis hPBD ^a (%) calculated by ¹ H NMR	Vinyl groups after ethenolysis hPBD ^a (%) calculated by ¹ H NMR	Mass fraction non-detected products in GC ^b (%)
0	—	25.0	0.00	80	28	10
1	Low <i>P</i> , high <i>T</i>	20.8	0.34	92	26	18
2	Low <i>P</i> , high <i>T</i>	17.1	0.63	92	25	16
3	Low <i>P</i> , high <i>T</i>	14.9	0.81	91	19	40
4	Low <i>P</i> , high <i>T</i>	13.1	0.95	90	18	39
5	Low <i>P</i> , high <i>T</i>	9.8	1.22	88	13	55
6	Low <i>P</i> , high <i>T</i>	7.0	1.44	88	10	78
7	High <i>P</i> , low <i>T</i>	22.8	0.18	85	26	0
8	High <i>P</i> , low <i>T</i>	19.1	0.47	90	24	13
9	High <i>P</i> , low <i>T</i>	16.5	0.68	90	21	22
10	High <i>P</i> , low <i>T</i>	14.9	0.81	86	20	22
11	High <i>P</i> , low <i>T</i>	12.8	0.98	89	17	26
12	High <i>P</i> , low <i>T</i>	10.8	1.14	77	13	45
13	High <i>P</i> , low <i>T</i>	9.3	1.26	84	12	58

^a As determined by ¹H NMR. % Values denote number of C=C or vinyl groups per 100 carbon atoms. See ESI for calculation details. ^b Determined via GC mass balance with 100 μmol *n*-nonane internal standard.

evaluate the utility of the method for PBD upcycling. The reactions were scaled up to a multigram scale using CPME as the solvent for the hydrogenation step (see ESI Fig. S8 and S9†). It was shown that both reactions are also feasible in one pot, using chloroform as the reaction solvent (see ESI Fig. S10 and S11†). In addition, the sequential partial hydrogenation and ethenolysis reactions were also successfully demonstrated on the PBD fraction originating from “high impact polystyrene” as a real-life polymer material (see ESI Fig. S12†).

The α,ω -diene product distribution that was obtained after ethenolysis of partially hydrogenated PBD under low H₂ pressure is illustrated in Fig. 3A. As the hydrogenation degree in the first step increases, the fraction of C₆ dienes that is obtained after ethenolysis decreases in favour of the heavier dienes such as C₁₀, C₁₄ and C₁₈. A second observation is that the share of trienes and tetraenes similarly decreases as the hydrogenation degree increases. When PBD is subjected to ethenolysis without any hydrogenation, only decatriene is formed within the C₁₀

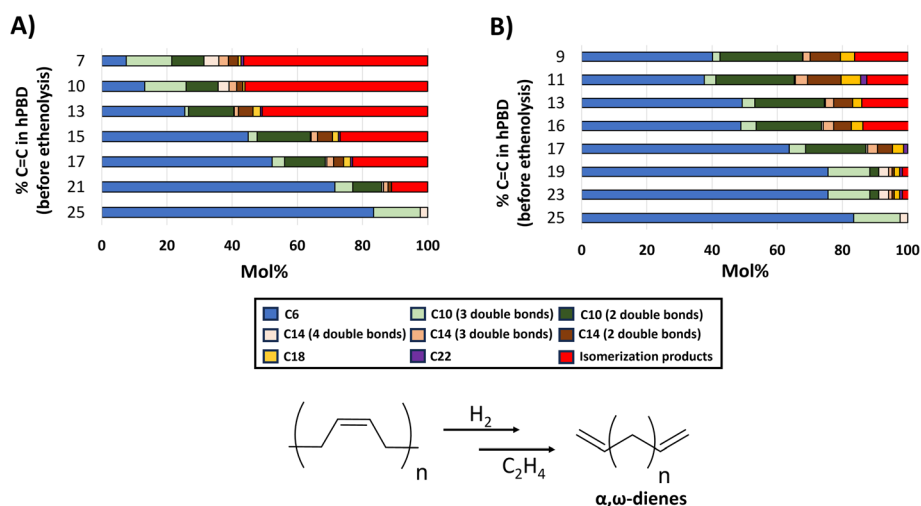


Fig. 3 Consecutive conversion of PBD to α,ω -dienes (scheme) with the distribution of α,ω -dienes formed in the ethenolysis, starting from hPBD produced (A) under low H₂-pressure and at high temperature (8 bar, 80 °C); (B) under high H₂-pressure and at low temperature (30 bar, 40 °C).



fraction, but as the hydrogenation degree increases, more and more decadiene is formed. This also holds true for the C_{14} fraction. Especially when the polymer is subjected to a deeper hydrogenation, products with other carbon numbers than $(4n + 2)$ are formed. Due to the significant isomerization under reaction conditions with low H_2 pressure, the product spectrum is broad and contains all lengths of α,ω -dienes. On the one hand, this decreases the yields of the useful C_{10} , C_{14} , C_{18} but on the other hand also produces the expensive and hard-to-synthesize α,ω -dienes with uneven C-numbers. Since the isomerization during the ethenolysis is suppressed by addition of BQ, the observed isomerization is hypothesized to be happening during the hydrogenation reaction. However, when producing hPBD under high H_2 -pressure and at lower T , the product distribution (Fig. 3B) shows ostensibly less isomerization products. While under low hydrogenation pressure, up to 60 mol% isomerization products can be obtained, the observed isomerization side reactions yielded a limited amount of maximally 15 mol% when applying high pressure. These observations indicate that an isomerization side reaction is competing with the hydrogenation reaction at low pressure and high temperature.

In Fig. 4 the distribution of hPBD ethenolysis products over the different carbon numbers is plotted vs. the %C=C in the hPBD before ethenolysis. The product share is displayed in Fig. 4A for the isomerization products, and in Fig. 4B–D for the C_6 , C_{10} and C_{14} fractions, respectively. The effect of high vs. low pressure hydrogenation becomes more apparent at higher

hydrogenation degrees (hPBD with lower %C=C). Fig. 4A shows that ethenolysis of hPBD with about 9% C=C produces about $3\times$ more isomerization products (see ESI Fig. S7† for product distribution of isomerization products) when hydrogenated at low pressure as opposed to high pressure (60% vs. 20%). Variations within the C_6 , C_{10} and C_{14} fractions are also most pronounced at high hydrogenation degrees. 80 mol% of C_6 can be formed without hydrogenation, while in case of C_{10} and C_{14} , partial hydrogenation can increase the share in the product mixture from 15 mol% to 30 mol% for C_{10} and from 2.5 mol% to about 12.5 mol% for C_{14} .

A plausible reaction mechanism²⁸ for hydrogenation and simultaneous isomerization is displayed in Fig. 5A. Starting from a Ru-monohydride, coordination of the C=C bond followed by migratory insertion of the olefin results in a Ru-alkyl species. The Ru-alkyl can undergo oxidative addition of H_2 producing a Ru-dihydride from which a saturated fragment is reductively eliminated, regenerating the Ru-monohydride.²⁸ However, if insufficient H_2 is available, the Ru-alkyl intermediate can also undergo a β -H elimination that can form an olefinic product in which the double bond has migrated. Subsequent ethenolysis then yields the products with carbon numbers different from $4n + 2$. By increasing the hydrogen pressure, it can be expected that the hydrogen activation step is favoured over the β -H elimination, thereby avoiding isomerization. This is confirmed by the observations that less isomerization occurs when applying high H_2 -pressures, which has a significant effect on the α,ω -diene product distribution after

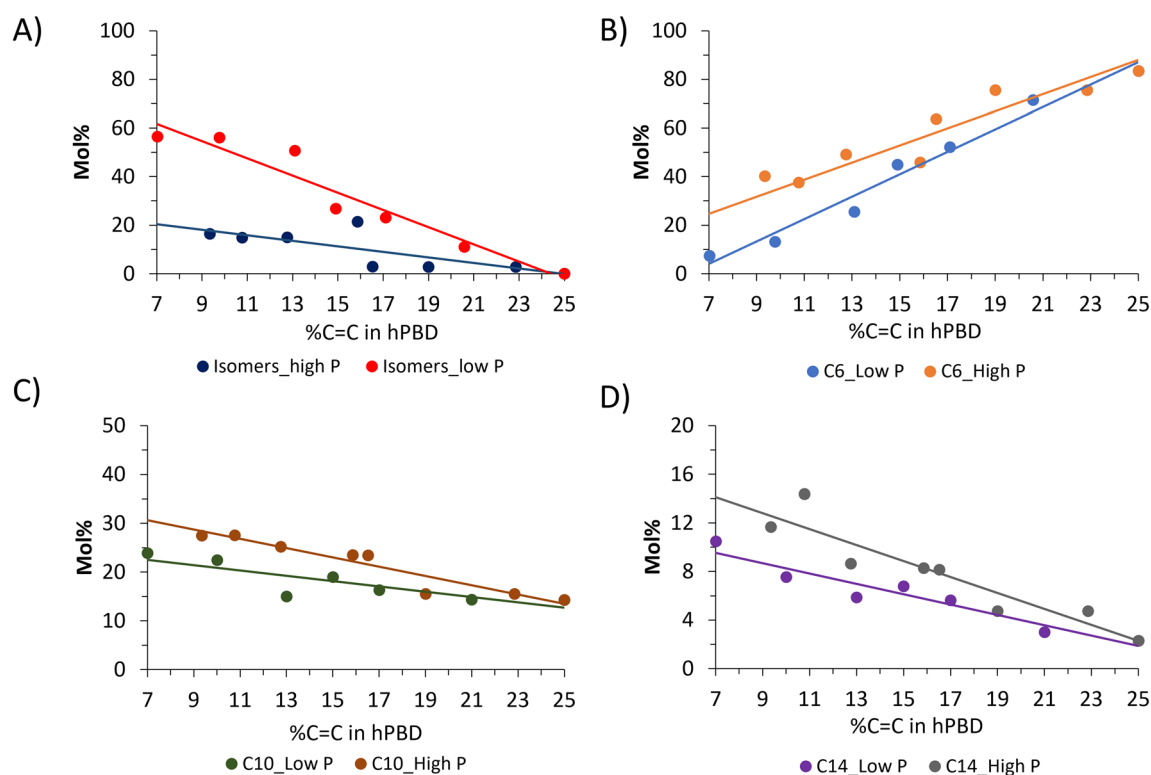


Fig. 4 Product distribution over various carbon numbers after ethenolysis of hPBD under low P hydrogenation and high P hydrogenation. Fraction of isomerized products (A), fraction C_6 (B), fraction C_{10} (C), fraction C_{14} (D).



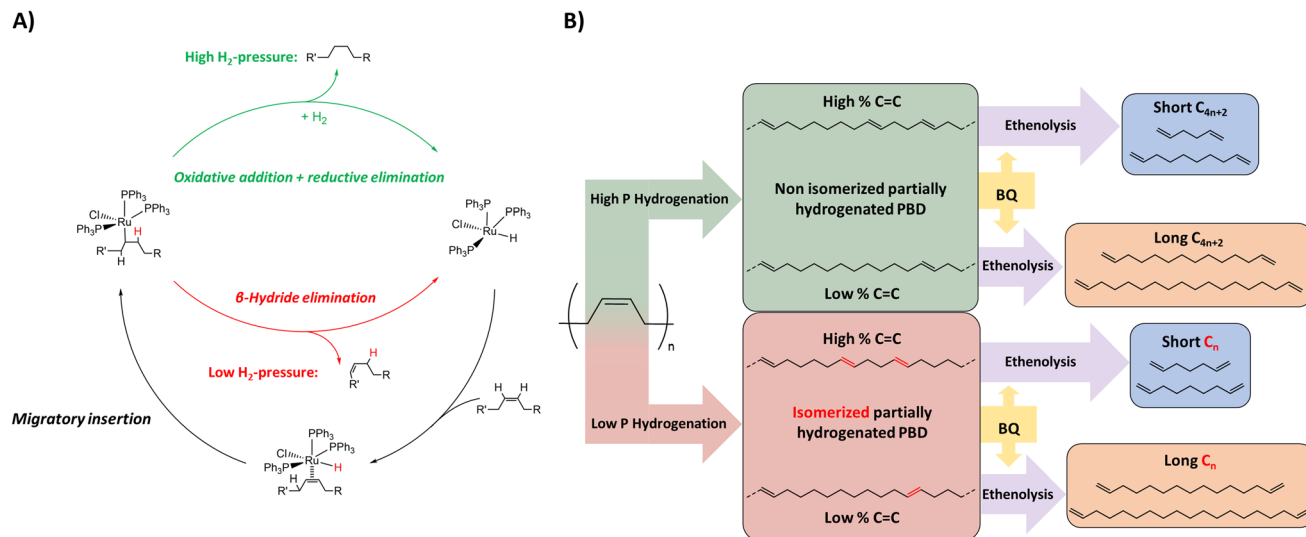


Fig. 5 Possible reaction mechanism of $\text{Ru}(\text{PPh}_3)_3\text{Cl}_2$ for hydrogenation of PBD (A). Low H_2 -pressure leads to isomerization by a β -hydride elimination pathway. High H_2 -pressure leads to primarily the oxidative addition and reductive elimination route, thereby giving less isomerization. The hydrogen pressure consequently has an influence on α,ω -diene product outcome (B).

the ensuing ethenolysis reaction. While hPBD material with high or low %C=C can be obtained by monitoring the H_2 pressure decrease in the hydrogenation step, the H_2 pressure determines whether isomerization occurs (Fig. 5B). Even while limiting isomerization during the ethenolysis when using BQ as an auxiliary, an entire range of C_n -containing product fractions is obtained at low H_2 pressure. Primarily C_{4n+2} -carbon fractions, thus fractions with an even number of carbons, are formed when using high H_2 pressure. Hence an optimal route to control isomerization in both reaction steps is found, which opens up a pathway to α,ω -dienes with tuneable chain length.

2.3 Diester formation by cross-metathesis of hPBD with dimethyl maleate

After demonstrating that the hydrogenation-ethenolysis sequence with suppression of the isomerization leads to the selective formation of dienes with specific chain lengths of C_{4n+2}

($n = 1, 2, 3, \dots$), we sought to further extend this promising strategy towards cross-metathesis (CM). A hPBD sample (17 % C=C, Table 1 entry 9) obtained by partial hydrogenation with 30 bar H_2 pressure was subjected to cross-metathesis with dimethyl maleate (DMM) to produce unsaturated diesters of chain lengths C_{4n+6} ($n = 1, 2, 3, \dots$) (Fig. 6A). When comparing the produced chain lengths of products under ethenolysis conditions (C_{4n+2} -fragments) with the chain lengths obtained with DMM for cross-metathesis (Fig. 6B), there are only slight differences in the distribution. Similar chain lengths of diesters can be produced by the hydrogenation-cross metathesis route of PBD rubber as in ethenolysis.

Finally, the ^1H NMR spectra of hPBD (Fig. 7, top) and the product after cross-metathesis (Fig. 7, bottom) demonstrate the incorporation of ester functional moieties in the product. The conversion of the internal double bonds towards diester bonds was calculated *via* ^1H NMR to be 86%, which is comparable to

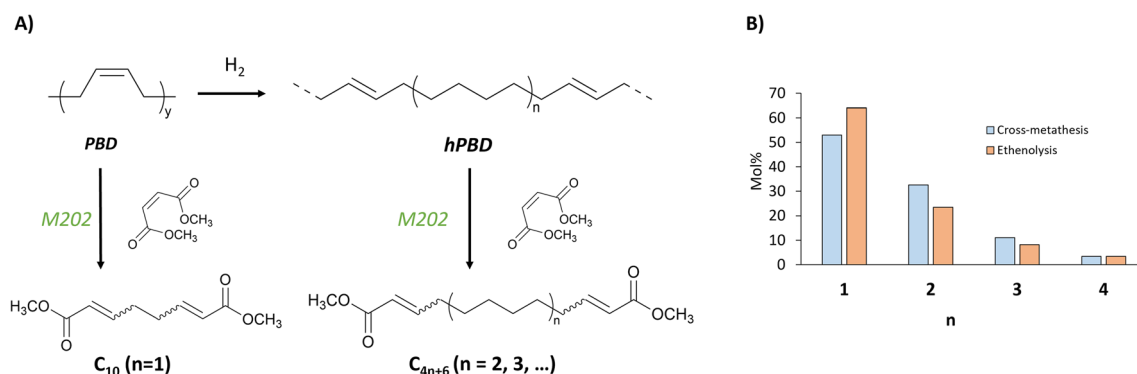


Fig. 6 Conversion of PBD and hPBD by cross-metathesis with dimethyl maleate to diesters comprising C_{4n+6} carbon fractions (A). Chain length distribution with n ranging from 1–4 of formed dienes (C_{4n+2}) and diesters (C_{4n+6}), by ethenolysis and cross-metathesis of a hPBD with 17% C=C by high P , low T hydrogenation (entry 9, Table 1) (B).

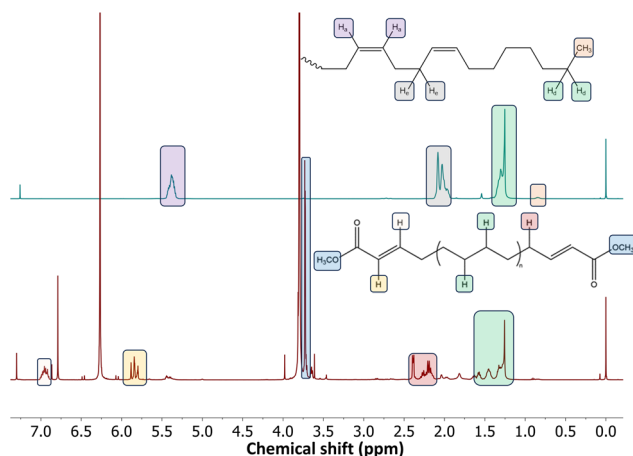


Fig. 7 ^1H NMR spectra (400 MHz, CDCl_3) of (top) high P , low T hPBD with 17% $\text{C}=\text{C}$; and (bottom) cross-metathesis product after 4 h reaction of hPBD (100 μmol $\text{C}=\text{C}$) with 500 μmol dimethyl maleate in 1 mL CDCl_3 using 1 mol% Grubbs M202 at 80 $^\circ\text{C}$.

the 90% after ethenolysis of this sample. Both GC and NMR analyses were supported by reference reactions (see ESI Fig. S13 and S14†).

3 Conclusion

In this work, a hydrogenation protocol was developed that allows partial hydrogenation of high molecular weight polybutadiene (PBD). During hydrogenation under high H_2 pressure (30 bar) and at low temperature (40 $^\circ\text{C}$), isomerization can be limited, in contrast to hydrogenation under low pressure (8 bar) and at high temperature (80 $^\circ\text{C}$). Ethenolysis with Grubbs M220 using BQ suppressed the isomerization during ethenolysis completely while still ensuring a high conversion of the internal double bonds into useful α,ω -dienes. By combining partial hydrogenation under high pressure and ethenolysis with BQ, the yield of the C_{10} and C_{14} fraction in the resulting product mix can be improved vs. ethenolysis of virgin PBD. Low pressure hydrogenation in combination with ethenolysis can on the other hand give access to expensive and hard to synthesize α,ω -dienes with an uneven number of C-atoms. Cross-metathesis with DMM on a partially hydrogenated PBD obtained by high pressure hydrogenation also selectively produced valuable unsaturated diesters of specific chain lengths.

Data availability

Original data are available within KULeuven's OneDrive system. The ESI† contains additional characterization data and conditions of experiments.

Author contributions

C. V. has conceived the research concept and performed the experiments. G. O. designed the figures for the manuscript. D. D. V. has supervised the project and contributed to the research

concept. The manuscript was written through contributions of all authors.

Conflicts of interest

There are no conflicts to declare.

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