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# Sustainable Barrier Coatings for Food Packaging with a Built-in, Redox-activated Trigger for Surface Hydrophilization

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## ABSTRACT

Plastic pollution, particularly from single use food packaging, has become a significant environmental challenge that requires mitigation by improving degradability in environmental compartments. In this line, a redox-programmable barrier coating is introduced that combines a



high-barrier during usage with a post-use redox-triggered hydrophilization mechanism (Scheme 1).

Fully delaminated vermiculite (VMT) nanosheets were intercalated with poly(*N*-acryloyl thiomorpholine) (PNAT<sub>30</sub>) to form one-dimensional Bragg-stack nanocomposite barrier coatings on poly(lactic acid) (PLA) substrates, yielding highly ordered, 2 μm thin coatings that drastically suppressed oxygen and water vapor transmission to meet state-of-the-art levels for demanding food packaging at elevated relative humidity. Structural Fe(III)/Fe(II) in VMT was furthermore exploited as an intrinsic redox catalyst as followed by Mößbauer spectroscopy under anoxic/oxic cycling. Exposure to active biomass of the Fe(III)-reducing *Geobacter metallireducens* reduced structural Fe(III). Reactive oxygen species (ROS), inferred to form via Fenton-type reactions upon successive reoxygenation, are proposed to oxidize hydrophobic thioether side chains in PNAT<sub>30</sub> to more hydrophilic sulfoxides, as supported by FTIR, Raman spectroscopy, and solid-state NMR. This molecular transformation triggered a pronounced, stepwise decrease in water contact angle and surface restructuring, evidencing *in situ* formation of a more hydrophilic coating surface. This is expected to increase post-use environmental accessibility and may facilitate eco-corona development and microbial attachment on derived microplastics (MPs), although direct degradation experiments were not performed in the present study. The concept of coupling mineral-induced tortuous-path barrier enhancement with ROS-activated sulfur chemistry provides a generally novel strategy for designing packaging materials that combine excellent in-use protection with a built-in trigger for post-use hydrophilization in redox-fluctuating environmental compartments, such as sewage plants, soils or composting stacks.

**KEYWORDS:** Microplastic mitigation, Fenton reaction, Built-in corona formation, Gas barrier.

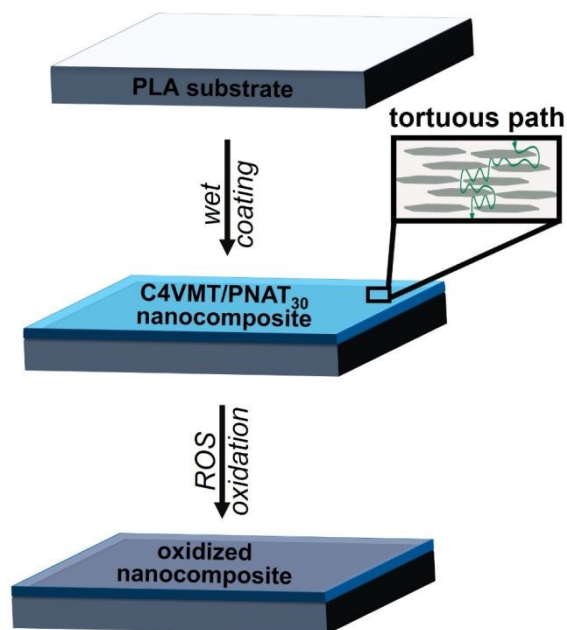
**INTRODUCTION**



Plastic pollution has become a systemic problem, with packaging dominating plastic use and waste generation ( $\approx 42\%$  of primary non-fiber plastics are used in packaging, which also represents the largest waste stream).<sup>1</sup> Globally, only about 9% of plastic waste generated up to 2015 has been recycled, whereas most of the remainder has been landfilled, incinerated, or accumulated in the environment by unintentional or thoughtless littering.<sup>1</sup> Mismanaged waste contributes millions of tons of plastics to soils and the oceans each year, highlighting substantial end-of-life losses for disposable items such as food packaging.<sup>2</sup> Fragmentation and weathering further convert discarded packaging into microplastics (MP)<sup>3</sup> that are now widespread in soils, waters, and living organisms.<sup>4-7</sup> MP clearly has become a vital challenge from scientific, economic, and regulatory perspectives.<sup>8-10</sup> In particular single use food-packaging, which is most prone to littering, must be rendered degradable at the end-of-life.<sup>11-13</sup>

Food packing must protect food mechanically during handling and it must mitigate deterioration of taste or haptics (e.g. crunchiness of chips). Food quality by large determines the best before dates that in turn will lessen food losses.<sup>11</sup> Biodegradable polyesters however have poor barrier properties for both hydrophobic (e.g. O<sub>2</sub>, N<sub>2</sub>, CO<sub>2</sub>) and hydrophilic (H<sub>2</sub>O) permeants. Typically, at 25 °C and 65% RH, a 25- $\mu\text{m}$  film of polylactic acid (PLA) shows oxygen (OTR) and water vapor (WVTR) transmission rates of 711 cm<sup>3</sup> m<sup>-2</sup> day<sup>-1</sup> atm<sup>-1</sup> and approximately 110 g·m<sup>-2</sup>·day<sup>-1</sup>, respectively.<sup>14, 15</sup> While extent and rate of swelling with water vapour depend strongly on ester





**Scheme 1.** The C4VMT/PNAT<sub>30</sub> coating on PLA: assures high-barrier during usage based on establishing a tortuous diffusion path, while in redox-cycling environmental compartments an oxidative surface hydrophilization by reactive oxygen species produced by structural iron is triggered.

Formation of an eco-corona can be fostered by a preceding alteration of surfaces by slow photo/thermo-oxidation creating polar groups.<sup>18</sup> Rather than relying on uncontrolled sluggish weathering, a more effective strategy is to introduce oxidation sensitive functionalities into the polymer that can be triggered by conditions changing when the packaging is released into the environment after usage.

Even hydrophobic biodegradable polymers fail to meet barrier specifications for high end food packaging like for potato chips or coffee beans. Only when compounded with high aspect ratio nanosheets, barrier specifications can be met by imposing tortuous diffusion pathways.<sup>19, 20</sup> Here we apply a natural layered silicate, fully delaminated vermiculite (VMT), as a novel barrier filler. Upon utter delamination of VMT into monolayers,<sup>11</sup> nanosheets may be obtained with aspect ratios

type, biodegradable polyesters generally can swell with water to some extent. Water acts like a plasticizer and will increase segment mobility and thus transmission rates not only for H<sub>2</sub>O but concomitantly for O<sub>2</sub>. Consequently, during usage it is advantageous to have a more hydrophobic polyester. Degradation of biodegradable polyesters is, however, substantially hampered by this hydrophobic nature of the surfaces (Figure S1), that induce slow eco-corona formation to allow enzymatic attack and catabolic mineralization to carbon dioxide and water to become efficient.<sup>16, 17</sup>



of around 9000. As in turn the barrier improvement according to Cussler scales with the square of the aspect ratio, the potential for barrier improvement of VMT<sup>11</sup> is more than 2000 times that of a natural montmorillonite with typical aspect ratio < 200.<sup>20-22</sup> Aside from the thrilling barrier improvement potential, VMT additionally holds promises for post-use surface activation of polymers in environmental compartments: When Fe-bearing 2:1 layered silicates like VMT are exposed to anoxic environments, for instance during composting of food wastes or in sewage plants, structural Fe<sup>III</sup> will be used as an electron acceptor by anaerobic bacteria.<sup>23</sup> After being re-exposed to oxygen in a subsequent oxic cycle, ROS such as superoxide radicals ( $\bullet\text{O}_2$ ) and hydroxyl radicals ( $\bullet\text{OH}$ ) will be produced.<sup>24, 25</sup> As ROS can even cause oxidative cleavage of carbon-carbon bonds in polymers,<sup>26</sup> VMT may not only improve the barrier properties of (bio-)degradable polymers to the level where it meets the benchmarks for sustainable food packaging, but also contribute to post-use surface alteration (chemical aging) of MPs rendering them more hydrophilic and thus potentially more accessible for microbial degradation.<sup>27</sup> In this line, we apply VMT as a catalyst intrinsic to the surface coating that promotes chemical oxidation by ROS generated upon environmental anoxic/oxic cycles.<sup>28</sup> When structural iron in layered silicates is exposed to such redox cycles, Fe(II) produced in the anoxic state upon exposure to O<sub>2</sub> in the oxic state will trigger Fenton-type oxidations even at ambient temperatures.<sup>29</sup> Such redox activity has been utilized in soil remediation and heterogeneous catalysis.<sup>30</sup>

In recent years, redox-responsive polymers have emerged as promising candidates for directing the end of life fate of functional materials.<sup>28, 31-33</sup> Among other reactive groups, these materials exploit reactive sulfur motifs that undergo oxidation converting hydrophobic thioethers ( $-\text{S}-$ ) into hydrophilic sulfoxides ( $-\text{S}(\text{O})-$ ) or sulfones ( $-\text{SO}_2-$ ),<sup>28</sup> rendering the polymers more hydrophilic and more prone to swelling with water. In this line poly(*N*-acryloyl thiomorpholine) (PNAT) was



shown to undergo a hydrophobic-to-hydrophilic conversion upon oxidation by  $\text{H}_2\text{O}_2$  allowing for dissolution of the initially hydrophobic polymer in water.<sup>34</sup>

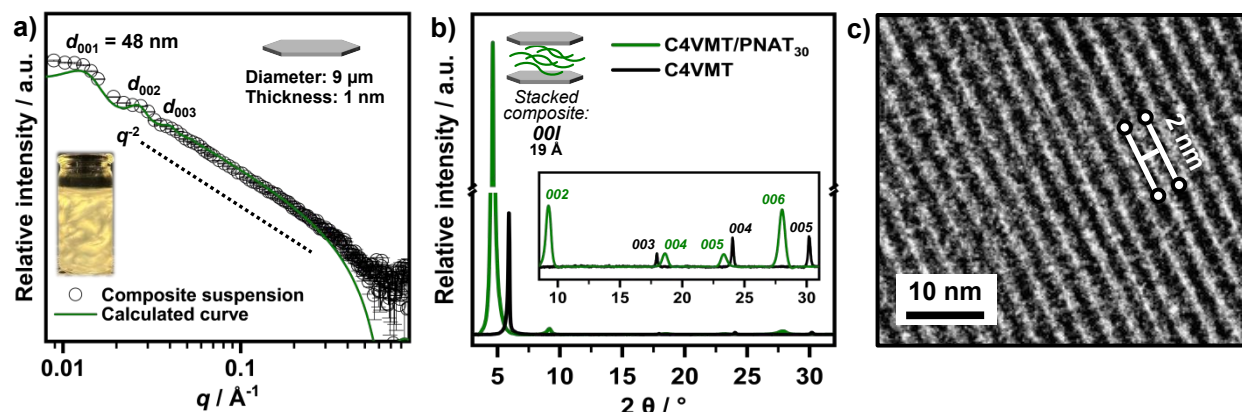
Thus, in this work, we combine the two lines of thought detailed above, oxidative hydrophilization of a sulfur containing polymer triggered by ROS production by a compounded natural barrier filler after anaerobic microbial exposure. The contact angle of water with a barrier composite coating of  $\text{PNAT}_{30}$  filled with VMT on PLA could be substantially lowered by both chemical and microbial reduction of structural iron that upon subsequent exposure to oxygen produced ROS capable of oxidizing  $(-\text{S}-)$  to  $(-\text{S}(\text{O})-)$ . The delaminated VMT nanosheets not only imposed long tortuous pathways that substantially suppressed  $\text{O}_2$  and  $\text{H}_2\text{O}$  transport, but concomitantly structural  $\text{Fe}(\text{III})/\text{Fe}(\text{II})$  redox chemistry activated a built-in formation mechanism of a hydrophilic chemical corona by ROS catalysis.

## RESULT AND DISCUSSION

### *Bragg-stack composite via polymer intercalation and its barrier performance*



When butylammonium-VMT (C4VMT) is immersed in N-Methylformamide (NMF) it spontaneously and thermodynamically driven delaminates into monolayer nanosheets. Due to the large diameter of the nanosheets their rotation is hampered even at concentrations as low as 2-wt% and a lamellar liquid crystalline (nematic) suspension is obtained instead of an isotropic suspension.



**Figure 1.** a) SAXS pattern of a 5 wt% C4VMT/PNAT<sub>30</sub> suspension in NMF. Dotted line shows a  $q^{-2}$  scaling. Inset: Photograph of the birefringent suspension under cross-polarized light. b) XRD pattern of a C4VMT film and a dry C4VMT/PNAT<sub>30</sub> nanocomposite coating. c) TEM image of a cross section of a dry C4VMT/PNAT<sub>30</sub> nanocomposite coating.

Next the nematic C4VMT suspension is mixed with a solution (2 wt% in dimethylformamide (DMF)) of PNAT<sub>30</sub> with a low degree of polymerization (30 repeating units) for effective intercalation and minimizing the confinement penalty. The nematic nature of the suspension is preserved upon addition of the polymer solution as indicated by birefringence (Figure 1a inset). Successful delamination and the nematic nature of the suspension was, moreover, cross-checked by the small-angle X-ray scattering (SAXS, Figure 1a). For intensity reasons for this the suspension was concentrated by centrifugation to 5 wt%. The scattering of nanosheets is confirmed by a  $q^{-2}$  dependency of the intensity, a  $00l$  series confirms a co-planar arrangement of nanosheets separated to 48 nm at this concentration, and the lack of a peak at high  $q$  values corresponding to C4VMT stacks proves utter delamination. The SAXS pattern was calculated using the model of 1D stacked discs (Figure 1a green line) with a diameter of 9000 nm and a thickness of 1 nm.



The diameter was taken in line with the average diameter of nanosheets as determined by static light scattering (SLS, Figure S2). The liquid crystalline nature of the coating suspension is prerequisite for the perfect texture and the 1D crystalline order of the nanocomposite coating. The liquid crystalline nature is defined by the concentration of the clay in the suspension and the aspect ratio. As the viscosity of the suspension quickly increases with vermiculite concentration, an appreciable aspect ratio is required to assure the nematic nature of the suspension and to obtain the Bragg stack structure and the superb barrier performance related to it.<sup>19, 20</sup>

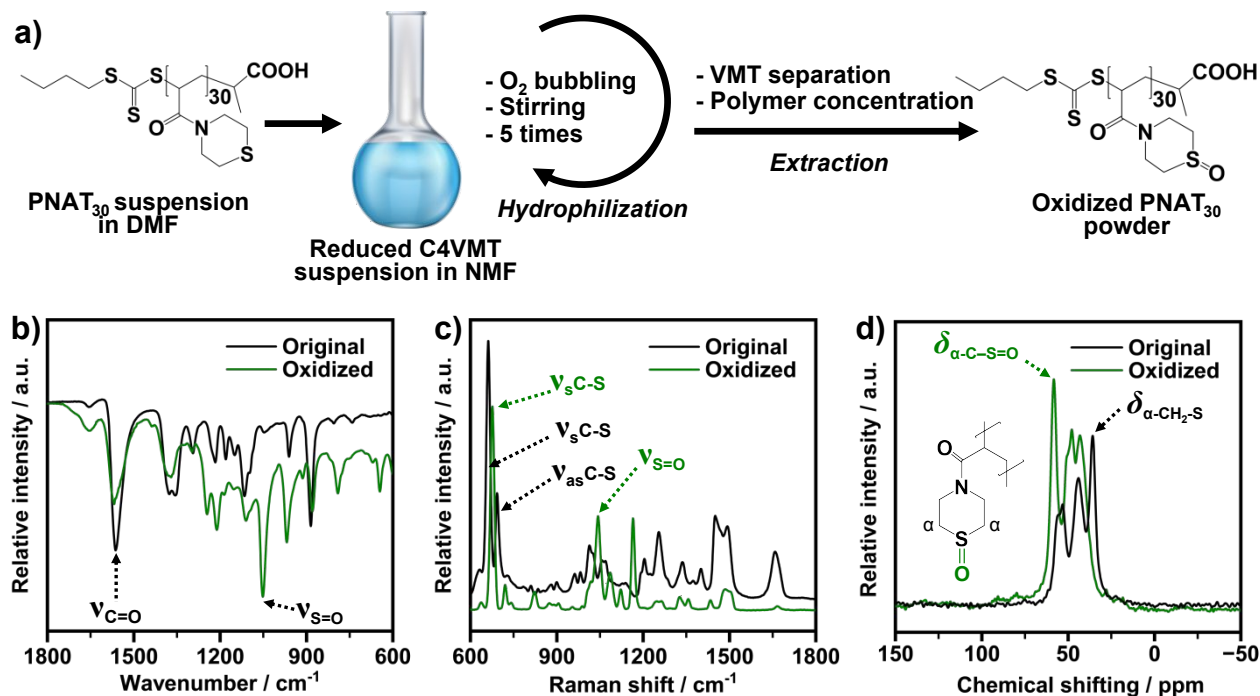
Nanocomposite coatings with approximately 2  $\mu\text{m}$  dry thickness were applied by doctor-blading a 100- $\mu\text{m}$  thick wet-coat at RT on a PLA film (25  $\mu\text{m}$ ).<sup>35, 36</sup> Upon drying at 50°C overnight, the X-ray diffraction (XRD) pattern of the dry coating reveals a 19 Å *d*-spacing (Figure 1b). The observation of a rational *00l* series indicates a one-dimensional (1D) crystalline monodomain of a hybrid Bragg-stack. Upon drying individual VMT nanosheets (1 nm thin) are oriented coplanar to the substrate in a largely overlapping mode with the same amount of polymer volume (0.9 nm thin layers) intercalated between. This ordered polymer intercalation required a careful iterative adjustment of nanosheet to polymer volume with the latter amounting to 47 vol%. The polymer is of course severely confined between the nanosheets. Cross-sectional transition electron micrographs (TEM, Figure 1c) confirm a highly periodic hybrid Bragg-stack structure with uniform interlayer spacing ( $\sim 2$  nm), in excellent agreement with the XRD pattern obtained for the film. According to Cussler models, such textured nanocomposites of large aspect ratio nanosheets substantially elongate the gas diffusion pathway ('tortuous path') since permeating gas molecules must circumvent thousands of high-aspect-ratio impermeable nanosheets.<sup>19, 20</sup>

The barrier performance of the uncoated PLA film (BoPLA P01001, supplied by Pütz Folien) for O<sub>2</sub> and water vapour is quite moderate,<sup>15</sup> especially at elevated relative humidity.<sup>37, 38</sup> According



to the company's data sheet a 25  $\mu\text{m}$  PLA foil shows an OTR of  $900 \text{ cm}^3 \cdot \text{m}^{-2} \cdot \text{day}^{-1} \cdot \text{bar}^{-1}$  (23  $^\circ\text{C}$ , 0% RH, ASTM D3985) and a WVTR of  $330 \text{ g} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$  (38  $^\circ\text{C}$ , 90% RH, ASTM F1249). Values that render neat PLA inappropriate for food packaging.<sup>39</sup> In the present study, PLA was used as a representative biodegradable polyester substrate to evaluate the barrier-enhancing effect of the C4VMT/PNAT<sub>30</sub> coating. Only the surface modification of the barrier coating was, however, investigated in this study rather than the degradation of the complete packaging including substrate. The 2  $\mu\text{m}$  thin C4VMT/PNAT<sub>30</sub> Bragg-stack nanocomposite coating substantially improved the barrier. Specifically, the coated PLA film showed an OTR of  $1.70 \text{ cm}^3 \cdot \text{m}^{-2} \cdot \text{day}^{-1} \cdot \text{bar}^{-1}$  and a WVTR of  $1.68 \text{ g} \cdot \text{m}^{-2} \cdot \text{day}^{-1}$  (both measured at 25  $^\circ\text{C}$ , 65 % RH). These transmission rates are comparable to values observed for biodegradable nanocomposite coatings made from VMT/PLA nanocomposites and meet even cutting edge food packaging requirements.<sup>11</sup>



PNAT<sub>30</sub> oxidation by chemically reduced VMT nanosheets

**Figure 2.** a) Proposed scheme of PNAT<sub>30</sub> oxidation by ROS inferred to arise during VMT redox cycling. b) FTIR spectra of PNAT<sub>30</sub> before and after oxidation. c) Raman spectra of PNAT<sub>30</sub> before and after oxidation. d) <sup>13</sup>C CP MAS NMR spectra of PNAT<sub>30</sub> before and after oxidation. Inset: chemical structure of the oxidized PNAT<sub>30</sub> repeating unit, highlighting the  $\alpha$ -carbon environments corresponding to the assigned resonances.

The biodegradation of MP requires the formation of an eco-corona. For instance, PLA biodegradation primarily occurs through hydrolytic and enzymatic processes by fungi and bacteria, secreting enzymes like polyesterases, esterases, and cutinases that break ester bonds. Fungi such as *Pleurotus*, *Penicillium*, and *Aspergillus* spp. degrade PLA effectively, with mycelial penetration enhancing substrate access. Coronas (chemically modified surfaces) indirectly affect this by altering PLA surface polarity, potentially facilitating microbial attachment in the plastsphere.<sup>40</sup> As outlined in the introduction, formation of an eco-corona can be fostered by a preceding alteration of surfaces by slow photo/thermo-oxidation creating polar groups.<sup>18</sup> Rather than relying on sluggish uncontrolled weathering, we introduce oxidation sensitive functionalities into the polymer



while applying a VMT barrier filler as an intrinsic catalyst promoting chemical oxidation by ROS generated upon environmental anoxic/oxic cycles.<sup>28</sup>

As the amount of sample in a 2  $\mu\text{m}$  thin coating is minute, we first strived for a prove of concept by blending a solution of PNAT<sub>30</sub> in DMF with a suspension of reduced C4VMT (R-C4VMT) in NMF. Next, oxidation was abiotically triggered by bubbling O<sub>2</sub> through the suspension (Figure 2a). Upon contact with O<sub>2</sub>, structural Fe(II) sites are expected to promote ROS-mediated heterogeneous Fenton-like<sup>41-45</sup> oxidation of thioether units in the PNAT<sub>30</sub> polymer. The C4VMT was then centrifugated and reduced again exposing the PNAT<sub>30</sub> polymer to 4 more redox cycles.

The oxidized PNAT<sub>30</sub> was precipitated by adding acetone as an antisolvent to the DMF/NMF supernatant and finally dried in a vacuum oven at 40°C overnight. Successful oxidation was checked by Fourier transform infrared spectroscopy (FTIR, Figure 2b), where a prominent band at 1051  $\text{cm}^{-1}$  indicates a sulfoxide function<sup>46, 47</sup> produced by oxidation of the thioether group. The carbonyl band<sup>51</sup> at  $\sim 1633 \text{ cm}^{-1}$  and the higher-frequency fingerprint region remained essentially unchanged indicating that the backbone of the polymer remained unaffected. Raman spectroscopy (Figure 2c) provides additional evidence for the oxidative thioether conversion. Upon redox cycling of PNAT<sub>30</sub>, the C–S stretching bands at 630–750  $\text{cm}^{-1}$  decreased in intensity, which reflects the gradual conversion of thioether groups. New and strong features appeared in the 1030–1170  $\text{cm}^{-1}$  region, which is assigned to the Raman-active S=O vibrations of sulfoxides.<sup>48, 49</sup> Solid-state <sup>13</sup>C CP/MAS NMR (Figure 2d) also supported successful oxidation of the thioether group. The main resonance of the  $\alpha$ -carbons next to thioether located at 45–50 ppm showed a small but significant downfield shift and an increase in intensity in the oxidized sample. Such spectral changes are in line with previous reports on selective thioether oxidation in polymers and small-molecule analogues.<sup>50</sup> This downfield shift indicates a more electron-withdrawing environment at



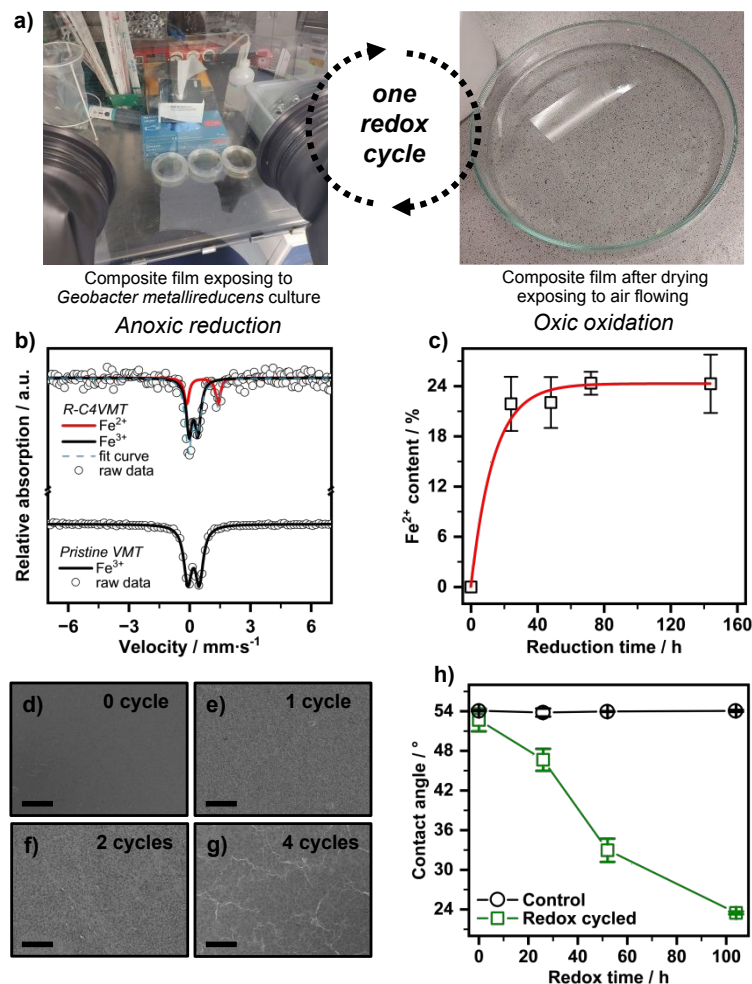
$\alpha$ -carbons after oxidation, while the rest of the spectrum remains nearly unchanged. In summary all these spectral features are consistent with selective oxidation of the thioether without changes to the polymer backbone of PNAT<sub>30</sub> mediated by anoxic/oxic cycling of C4VMT. The oxidation potential of ROS certainly is strong enough to expect further oxidation to sulfone. Experimentally only oxidation to sulfoxide was observed, a fact that is attributed to substoichiometric production of ROS within the limited numbers of redox cycles applied. In the same line, most likely the RAFT end group will also be oxidized, which, however, could not be identified experimentally.

#### *Modification of Surface Hydrophilicity via Redox Cycling of C4VMT/PNAT<sub>30</sub> Coatings*

Next, changes in the wetting angle of C4VMT/PNAT<sub>30</sub> of barrier coatings were monitored with exposure to environmental anoxic/oxic redox cycling. In line with biotic environmental exposure, cycling was driven by anaerobic bacteria capable of reducing structural iron. After the Bragg-stack coating was prepared, it was completely immersed in a culture medium under strictly anaerobic conditions and inoculated with *Geobacter metallireducens* to trigger biological reduction of structural Fe(III).<sup>51</sup> After at least 24 h, the film was removed from the cultural medium and exposed to the atmosphere for 2 h to activate the Fenton-type oxidation (Figure 3a). <sup>57</sup>Fe Mößbauer spectra (Figure 3b, Table S1) recorded before and after exposure to air provided quantitative evidence of partial structural Fe(III) reduction by bacteria under anoxic conditions (Figure 3b, R-C4VMT). While with pristine VMT all structural was Fe(III) (Figure 3b, Pristine VMT), biotic reduction produces an octahedral Fe(II) doublet (red line, chemical shift (CS): 1.51 mm/s, quadrupole splitting (QS): 2.88 mm/s), which is within the expected range for structural Fe(II) in layered



silicates.<sup>52-54</sup> Time-resolved monitoring of the Fe(II) fraction (Figure 3c, Table S2) showed a rapid increase approaching saturation after 24 h, where 24 % of structural Fe(III) has been reduced.



**Figure 3.** a) Redox cycling of the C4VMT/PNAT<sub>30</sub> Bragg-stack coating on a PLA substrate: The film is first immersed in a culture medium inoculated with *Geobacter metallireducens* under strictly anaerobic conditions to induce biotic reduction of structural Fe(III) of C4VMT nanosheets. Subsequently the film is removed from the medium and exposed to atmosphere. b) Mössbauer spectra of the C4VMT nanosheets after being suspended in the culture medium with *Geobacter metallireducens*, showing the partial reduction of structural Fe(III) to Fe(II). c) Monitoring of the Fe(II) fraction with time that the coating was suspended in the bacterial medium. d–g) Scanning electron microscope (SEM) images of the surface of the d) pristine barrier coating and after e) 1, f) 2, and g) 4 redox cycles (scale bars: 100  $\mu\text{m}$ ). h) Change of contact angle of the coating surface with water with the numbers of exposure to culture media with and without inoculation of *Geobacter metallireducens*.



SEM (Figure 3d–g) images of the coating surface furthermore indicated increasing morphological changes of the polymer with successive redox cycles. Specifically, the pristine surface appeared compact and smooth, without detectable defects or cracks before redox cycling. Already after the first redox cycle, nanoscale roughening and shallow pits developed (Figure 3e and 3f), indicating surface restructuring or morphological changes as redox alteration proceeds. After four cycles, interconnected cracks became evident throughout the entire surface (Figure 3g). These microstructural changes observed in the coatings are consistent with the built-in chemical formation of a more hydrophilic surface. Oxidation of the thioether bonds into sulfoxides of PNAT<sub>30</sub> leads to a more hydrophilic surface that is expected to increase swelling with water and this in turn causes appreciable mechanical stress causing the cracking (Fig. 3 d-g). SEM images (Fig. S8) of the surface of samples exposed four times to the culture medium without inoculation of *Geobacter metallireducens* and hence without oxidative hydrophilization, show no visible cracking. This indicates that the mechanical stress induced by the limited swelling of pristine PNAT<sub>30</sub> causes no crack formation. The increased hydrophilicity with redox cycling of the coating was moreover evidenced by the progressive and substantial decrease in the contact angle of the composite film surface (Figure 3h) that had been oxidized after anoxic microbial reduction followed by air exposure. The pristine nanocomposite coating showed a large contact angle with water of 54° as expected for a hydrophobic PNAT<sub>30</sub> matrix (Figure S4). The contact angle decreased with each redox cycle becoming as low as 24° after 4 cycles (Figure 3h, Figure S5 – S7) indicating a substantially more polar surface. This change may not be attributed to the exposure to culture media alone (Figure 3h, control) as this does not show a change of contact angle. The inoculation of *Geobacter metallireducens* triggering reduction of structural Fe(III) was essential for observing the change in contact angle. The polar surface of the coating is expected to increase post-use wettability that may facilitate subsequent microbial attachment. The change in contact angle for the coating is,



however, primarily driven by the built-in chemical formation of an abiotic corona rather than external factors such as passive adsorption or polymer relaxation.<sup>55, 56</sup> While barrier performance was only measured for the pristine coating in the present study, the observed increase in hydrophilicity, surface roughening, and crack formation after redox cycling would be expected to impair the compact Bragg-stack architecture and thus deteriorate barrier performance.

## CONCLUSION

The primary goal of this study was to modify the hydrophobic coating surface as required for barrier performance during usage into a hydrophilic surface that fosters eco corona formation and ultimately biodegradation of the complete packaging including the PLA substrate. As quantitative oxidation is not relevant, fluxes and timescales are therefore unconnected.

The Bragg-stack nanocomposite coatings developed in this work demonstrate that fully delaminated natural VMT nanosheets can be used to convert intrinsically poor-barrier, biodegradable polyester substrates into high-performance food-packaging films while simultaneously programming their surface for environmentally triggered hydrophilization. The C4VMT/PNAT<sub>30</sub> coatings form highly ordered one-dimensional Bragg-stacks with nanometre-scale polymer interlayers, yielding oxygen and water vapor transmission rates that match demanding food-packaging benchmarks at elevated relative humidity despite a coating thickness of only about 2  $\mu\text{m}$ . Through redox cycling of structural Fe(III)/Fe(II) in VMT, VMT-mediated ROS oxidize thioether units in PNAT<sub>30</sub> to sulfoxides is inferred from complementary FTIR, Raman, and solid-state NMR analyses and supported by literature reports on Fe-bearing clay redox chemistry.<sup>24, 25, 29,30</sup> This molecular-level oxidation translates into macroscopic surface restructuring forming cracks and a pronounced decrease in water contact angle from initially hydrophobic values to around 24°, indicating the in situ formation of a more hydrophilic coating



surface that may facilitate subsequent microbial attachment and eco-corona development on MPs derived from such coatings. The key innovation is the integration of barrier enhancement and redox-responsive sulfur chemistry within a single mineral–polymer architecture. This approach offers a materials design concept for packaging that protects food during use but, after release into fluctuating anoxic/oxic environments, becomes more wettable and microbially accessible, thereby helping to reconcile performance requirements with an accelerated and more controllable end-of-life fate. Questions regarding the environmental toxicity, persistence, and possible release of coating components, particularly after weathering or redox-activated structural alteration, were beyond the scope of the present study and will require dedicated investigation in future work. Moreover, direct degradation studies will be required to determine whether the observed surface hydrophilization of the coating translates into accelerated degradation of the coated polymer system under specific environmental conditions. In conclusion, our work provides a promising approach for developing high-performance and environmentally friendly food packaging materials.

## MATERIALS AND METHODS

### *Materials*

The VMT was obtained from a German importer, Isola, which originates from a mine in Africa ([IV-DB-DEU-Uganda-Namekara-2022-V2.pdf](#)). According to the composition given by the supplier, the structural formula of a half unit cell is  $[\text{Mg}_{0.37}]^{\text{inter}}[\text{Mg}_{2.44}\text{Fe}_{0.46}]^{\text{oct}}[\text{Si}_{3.15}\text{Al}_{0.85}]^{\text{tet}}\text{O}_{10}(\text{OH})_2$ . The cation exchange capacity as measured photometrically via  $[\text{Co}(\text{en})]^{3+}$  exchange was found to be 186 meq/100 g. Natural VMT flakes were first ground and sieved to sizes < 100  $\mu\text{m}$  size. All other chemicals used were supplied by Sigma Aldrich (LiCl ( $\geq 99\%$ ), butylamine ( $\geq 99.5\%$ ), sodium citrate dihydrate ( $\geq 99\%$ ), L-ascorbic acid ( $\geq 99\%$ ), citric acid ( $\geq 99\%$ )).



### *Cation exchange and delamination*

The ground VMT was suspended in 100 mL of a 2 M (or 400 times the CEC) solution of butylamine (C4) titrated with citric acid to pH 7 and refluxed for 24 hours. The high ionic strength inhibits delamination. After five rounds of washing and centrifugation with a 50/50 vol% water/ethanol combination (again, the ethanol concentration inhibits spontaneous delamination), the VMT was dried in a vacuum oven at 70°C. A rational 001 series with a very small coefficient of variation (CV) of 0.13 % indicates complete C4 exchange.<sup>57</sup> Upon suspending C4-vermiculite (C4VMT) in NMF, it spontaneously delaminates to a nematic suspension. Delamination is completed within 24 hours in an overhead shaker at room temperature (RT) and a birefringent suspension is obtained.

### *Chemical reduction of structural iron in VMT*

The C4VMT samples (0.5 g, equivalent to 0.56 mmol structural Fe) were delaminated by resuspending in 20 mL of deoxygenated, Ar-saturated water (concentration of the suspension 2 wt%). To this suspension, 20 mL of a 100 mM ascorbate solution was added, yielding a total volume of 40 mL with a 50 mM ascorbate concentration, equivalent to 2.0 mmol ascorbate in the suspension. Ascorbate is applied at a molar excess of approximately 3.6 times relative to structural Fe. After 12 h, 30 % of the structural Fe(III) was reduced to Fe(II) as evidenced by Mößbauer spectroscopy (Figure S3).

### *Reduction of structural iron in VMT by *Geobacter metallireducens**

*Geobacter metallireducens*<sup>58</sup> is a metal-reducing bacterium capable of growth via complete oxidation of organic substrates coupled to dissimilatory Fe(III) reduction. The strain used in this study (GS-15 / ATCC 53774 / DSM 7210) was obtained from the Leibniz Institute DSMZ - German Collection of Microorganisms and Cell Cultures in Braunschweig, Germany. *G. metallireducens* was cultivated for biomass generation under strictly anoxic conditions in Bellco 27 mL headspace



vials sealed with 20 mm crimp stoppers using the DSMZ medium 579. The medium was prepared with (per L): 13.70 g ferric citrate monohydrate, 1.50 g  $\text{NH}_4\text{Cl}$ , 0.60 g  $\text{NaH}_2\text{PO}_4 \cdot \text{H}_2\text{O}$ , 0.10 g  $\text{KCl}$ , and 2.50 g sodium acetate. After autoclaving and cooling under an  $\text{N}_2/\text{CO}_2$  (80:20, v/v) atmosphere, 2.50 g  $\text{L}^{-1}$   $\text{NaHCO}_3$  buffer was added under  $\text{N}_2/\text{CO}_2$ . Subsequently, the following components were added from sterile, anoxic stock solutions: 10 mL  $\text{L}^{-1}$  vitamin solution (DSMZ medium 141) and 1 mL  $\text{L}^{-1}$  modified Wollin's mineral solution (DSMZ medium 120). The pH of the final medium was adjusted to 6.7–7.0. Growth of the culture to an  $\text{OD}_{600}$  of  $\sim 1.6$  was done over 10 days at 30 °C in the dark.

### *Microbial VMT reduction incubations*

Next to standard growth media described above, separate stocks of DSMZ medium 579 were prepared without ferric citrate as electron acceptor. Here, structural Fe(III) of the VMT nanofiller incorporated into the C4VMT/PNAT<sub>30</sub> Bragg-stack coatings served as the sole electron acceptor. The coatings on PLA films ( $\sim 3 \text{ cm}^2$ ) were placed into standard petri dishes containing 20 mL of ferric citrate-omitted culture medium and inoculated with 1 ml of pre-grown cells of the active culture of *G. metallireducens*. Incubation was carried out within an anaerobic chamber (Mecaplex, Grenchen, CH) flushed with  $\text{N}_2$  to allow for biotic reduction of iron in the nanosheets. For a single reduction cycle, nanosheets comprised within the coating were incubated for 24 h at room temperature in the anoxic chamber. Subsequently, the coated PLA films were removed from the culture medium and exposed to ambient air for 2 h to induce Fenton-type oxidative reactions. Reduction cycles 2 to 4 were performed by repeating the cycling two to four times, respectively, by re-exposing the coated PLA films to fresh biomass of *G. metallireducens* in fresh ferric citrate-omitted culture medium within the anaerobic chamber. After final re-oxidation, nanosheets were dried at 30 °C in the dark before downstream analysis.



### *Synthesis of poly(N-acryloylthiomorpholine) (PNAT<sub>30</sub>)*

PNAT<sub>30</sub> was prepared following established procedures.<sup>59</sup> Briefly, 2-(butylthiocarbonothioylthio)-propanoic acid (PABTC, 2.12 mL of a 0.5 M solution in 1,4-dioxane, 1.06 mmol), N-acryloylthiomorpholine (5.0 g, 31.8 mmol), and the radical initiator VA-044 (0.86 mL of a 20 mg·mL<sup>-1</sup> solution in Milli-Q water, 53.2 μmol) and 1,3,5-trioxane (20 mg) were combined in a 5 mL microwave vial and sealed with a rubber septum. After deoxygenation by argon bubbling for 20 min, the vial was placed in a preheated oil bath at 70 °C and stirred for 24 h, until monomer conversion was confirmed by <sup>1</sup>H NMR (0.1 mL aliquot in CDCl<sub>3</sub>). The reaction mixture was cooled to room temperature and precipitated in diethyl ether (300 mL). The product was dried under reduced pressure at 40 °C for 24 h. A number average molar mass ( $M_n$ ) of 1.8 kg mol<sup>-1</sup> and a dispersity ( $\mathcal{D}$ ) 1.13 was determined by size exclusion chromatography (SEC) (eluent: DMAc + 0.21 wt.% LiCl, calibration with PEO standards). The corresponding SEC trace and an <sup>1</sup>H-NMR spectrum of the polymer are given in the supplementary information (Figure S9).

### *Fabrication of Bragg-stack nanocomposite barrier coating*

The C4VMT/PNAT<sub>30</sub> suspension were applied on PLA films as a wet coat, which was prepared by mixing a 10 wt% PNAT<sub>30</sub> solution in DMF with a 5 wt% VMT dispersion in a mixture of  $\gamma$ -butyrolacton and NMF ( $\gamma$ -BL/NMF: 90:10) followed by mixing overnight on an overhead mixer.<sup>11</sup> The highly ordered 1D crystalline hybrid Bragg-stack requires a careful, iterative optimization of the clay-to-polymer ratio. The optimum was found for a polymer weight fraction of around 30 wt% corresponding to 47 vol% taking the different densities for polymer and clay into account. A wet coat (6.67 wt% solid content) of 100 μm was applied to a PLA substrate (25 μm supplied by Pütz Folien GmbH) by doctor blading (K Control Coater K202\*, RK PrintCoat Instruments Ltd., United Kingdom). The substrate temperature was kept at RT, while the blade speed was 3 cm s<sup>-1</sup>. The



coated foils were dried overnight at RT followed by drying at 40°C in a vacuum oven for two days. The coating thickness of 2  $\mu\text{m}$  was determined using a high-accuracy Digimatic micrometer (Mitutoyo, Japan) with a measuring range of 0–25 mm and a resolution of 0.0001 mm.

### *Möbbaauer spectroscopy*

The evolution of microbial reduction of VMT with time was followed by taking 20 mL of suspension at chosen time steps. The reduced VMT were washed with deionized, de-oxygenated and Ar-saturated water and centrifuged in inert atmosphere in a glovebox. Some 0.20 g of powder were packed in the glovebox into a circular sample holder. Samples were sealed with Kapton film to mitigate re-oxidation and were immediately measured.

$^{57}\text{Fe}$  Möbbaauer spectra were recorded in transmission geometry at  $295 \pm 2$  K within less than 12 h sampling time. Möbbaauer spectra were collected with a configuration designed for high-resolution measurements. The setup consisted of a WissEl Möbbaauer Drive System MR-360, an MA-260 velocity transducer for precise and controlled motion of the  $^{57}\text{Co}(\text{Rh})$  source (activity:  $\sim 50$  mCi), and a KETEK silicon drift detector AXAS-M. The gain and shaping time constants were optimized experimentally. Data was collected using a CMCA-550 module in MCS [WINDOW] mode, enabling the selective detection of the 14.4 keV Möbbaauer line. Calibration was carried out using a  $^{57}\text{Fe}$ -enriched metallic foil. Measurements were taken at RT. The sinusoidal velocity waveform for the source motion was generated by a DFG-1000 digital function generator, which was synchronized with the CMCA-550 channel sweep. (Spectral data were processed and analysed with MossA software to ensure accurate alignment and energy calibration.<sup>60</sup> Lorentzian line shapes were applied to fit the doublets. No constraint was applied.

### *X-ray diffraction*



Cu K $\alpha$  radiation ( $\lambda = 1.54187 \text{ \AA}$ ) was used to collect XRD data applying a Bragg–Brentano-type diffractometer (Empyrean, Malvern Panalytical BV, The Netherlands) fitted with a PIXcel-1D detector. The HighScore Plus program from Malvern Panalytical was used for peak search.

#### *Small angle X-ray scattering*

A "Double Ganesha AIR" (SAXSLAB/Xenocs) was used to record small angle X-ray scattering (SAXS) data. This lab-based system uses a rotating copper anode (MicroMax-007 HF, Rigaku Corporation, Japan) to produce a focused X-ray beam and a position-sensitive detector (PILATUS 300K, Dectris). The scattering vector range  $q = 0.0024 - 1.05 \text{ \AA}^{-1}$  was covered. The C4VMT suspensions were added into 1 mm glass capillaries (Hilgenberg, code 4007610) for measuring. The data were circularly averaged and adjusted to account for the measurement duration, sample thickness, and incident beam. For background subtraction, a capillary filled with solvent was measured. Scatter (version 2.5) was used for further examination.

#### *Static light scattering*

A HORIBA LA-950 SLS device was applied to record the hydrodynamic radii distribution of aqueous dispersions by static light scattering (SLS). The solid phase's refractive index was assumed to be 1.5.

#### *Transmission electron microscopy*

Cross-sectional transmission electron microscopy (TEM) images were measured by employing a JEOL-JEM-2200FS (JEOL GmbH, Germany) microscope. Cross sections of the nanocomposite coating were prepared by using a JEOL EM-09100IS Cryo Ion Slicer (JEOL GmbH, Germany).

#### *Fourier-transform infrared spectroscopy*



For Fourier-transform infrared spectroscopy (FTIR) measurements, a Jasco FTIR 6100 spectrometer was applied.

#### *Raman spectroscopy*

Raman spectra were acquired in confocal geometry using a Horiba Jobin Yvon Raman spectrometer equipped with an Olympus BX41 microscope (50x magnification) and a He-Ne-Laser (11.5 mW laser power,  $\lambda = 633$  nm). The spectra were recorded in the spectral range of 50 to 3500  $\text{cm}^{-1}$  by summation of 20 individual spectra (5 s exposure time) using the Labspec 5 software.

#### *Solid-state NMR spectroscopy*

The polymer samples before and after oxidation were measured with a spectrometer Bruker Avance III 400 (Magnetic Field 9.4 T). The solid state  $^{13}\text{C}$  NMR MAS spectra were obtained using a ramped cross-polarization (CP) experiment, where the nutation frequency on the proton channel varied linearly by 50 %. The samples were spun at 20.0 kHz in a 3.2 mm MAS triple resonance probe. The corresponding nutation frequency on the  $^{13}\text{C}$  channel and the contact time were adjusted to 70 kHz and 1 ms, respectively. During acquisition proton broadband decoupling was applied using a spinal-64 sequence with a nutation frequency = 60 kHz. The  $^{13}\text{C}$  spectra are referenced indirectly with respect to tetramethylsilane (TMS) using adamantane as secondary reference.

#### *Oxygen transmission rate*

Oxygen transmission rate (OTR) was determined on a Mocon OX-TRAN 2/21 M10x system (Mocon Inc., USA) with a lower detection limit of  $5 \times 10^{-4} \text{ cm}^3 \text{ m}^{-2} \text{ day}^{-1} \text{ atm}^{-1}$ . The measurements were performed at 23 °C and 65 % RH. A mixture of 98 vol% nitrogen and 2 vol% hydrogen was used as the carrier gas and pure oxygen as the permeant (>99.95 %, Linde Sauerstoff 3.5).

#### *Water vapor transmission rate*



Water vapor transmission rate (WVTR) was determined on a HiBarSens HBS 2.0 HT (Sempa Systems GmbH, Dresden, Germany) with a lower detection limit of  $10^{-6}$  g m<sup>-2</sup> day<sup>-1</sup>. The tests were conducted at 23 °C at a relative humidity of 75 %.

### *Size exclusion chromatography (SEC)*

Size-exclusion chromatography (SEC) of polymers was performed on an Agilent system (series 1200) equipped with a PSS degasser, a G1310A pump, a G1362A refractive index detector and a PSS GRAM 30 and 1000 column with DMAc (+ 0.21 wt.% LiCl) as eluent and a flow rate of 1 mL min<sup>-1</sup>. The column oven was set to 40°C and poly(ethylene oxide) (PEO) standards were used for calibration.

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### Notes

The authors declare no competing financial interest.



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# Sustainable Barrier Coatings for Food Packaging with a Built-in, Redox-activated Trigger for Surface Hydrophilization

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## **Data availability statement:**

The data supporting this article is presented in the main manuscript or have been included as part of the Supplementary Information.

