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Carbon dioxide is adsorbed in molecular form on oxygen anions on BaO-terminated, atomically clean (001) oriented barium titanate, and is desorbed when the substrate is heated above the Curie temperature. This suggests that the adsorption is mainly determined by the ferroelectricity of the surface and by the molecular polarization induced by the electric field provided by the barium titanate. Adsorption processes are fully reversible. The  $\text{CO}_2$  coverage is on the order of one molecule for a surface BaO unit cell, promoting barium titanate as a promising candidate for carbon removal from atmosphere.

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## Ferroelectric-enabled significant carbon dioxide molecular adsorption on $\text{BaTiO}_3(001)$ †

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Carbon dioxide ( $\text{CO}_2$ ) is reversibly adsorbed and desorbed from ferroelectric (001) oriented,  $\text{BaO}$ -terminated barium titanate, as revealed in real time by high resolution and ultrafast photoelectron spectroscopy and certified by low energy electron diffraction. Desorption proceeds when the substrate is heated above its Curie temperature. The amount of  $\text{CO}_2$  adsorbed is derived to be between one molecule for a surface  $\text{BaO}$  unit cell (adsorption below room temperature) and one molecule for two unit cells (adsorption above room temperature). The molecule is bound with its carbon to surface oxygen, forming a  $\text{CO}_3$  structure. The  $\text{BaTiO}_3(001)$  surface is unaffected by repeated cycles of adsorption–desorption. The relatively high amount of  $\text{CO}_2$  adsorbed and the stability of the substrate after repeated adsorption and desorption processes promotes barium titanate as a promising candidate for decarbonization technologies.

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## 1. Introduction

Decarbonization is clearly an emergency nowadays. Several strategies for direct air capture (DAC) have been constantly proposed,<sup>1–3</sup> including aqueous hydroxide sorbents, alkali carbonates, organic–inorganic hybrid sorbents (supported amines and metal–organic-frameworks), gas separation membranes, pressure swing absorption, *etc.* Despite the fact that ferroelectric materials have been acknowledged for almost two decades as valuable catalysts and photocatalysts,<sup>4–7</sup> until recently these materials were not considered systematically for the removal of carbon containing molecules from the atmosphere. Starting with a pioneering work in 1994,<sup>8</sup> the interest in using cheap and widely available ferroelectric barium titanate ( $\text{BaTiO}_3$ , BTO in the following) has grown progressively over recent years.<sup>9–12</sup> Despite the fact that pure BTO initially showed a low adsorption capacity,<sup>9</sup> subsequent studies highlighted its remarkable sorption capacity in the form of nanopowder.<sup>10–12</sup> However, to date, quite few surface science studies have been reported on these systems. One possible reason might stem from the difficulty in preparing and maintaining atomically clean surfaces (with no carbon contamination and exhibiting a well-defined crystalline structure, as

revealed, for instance, by low energy electron diffraction (LEED) before testing different adsorption processes.

Once clean surfaces and the controlled dosing setup are available, X-ray photoelectron spectroscopy (XPS), possibly by using soft X-rays generated by synchrotron radiation, is the ideal tool to study such a system. This method is sensitive to tiny quantities of adsorbates (in the range of a few percent of an atomic layer), to reveal their chemical states (charge state, chemical bonds formed) and also to quantify band bending at the surface of ferroelectric materials, then to assess the orientation of the out-of-plane polarization.<sup>13–22</sup>

It is not surprising that polar molecules are adsorbed on a ferroelectric substrate.<sup>15,23–26</sup> The electric field emerging from the surface of a ferroelectric material interacts strongly with the dipole moment of the adsorbate, attracting it to the surface, where chemical bonds are then formed. The first experiments on clean ferroelectric surfaces were performed by testing the adsorption of carbon monoxide (CO) on atomically clean lead zirconate titanate (PZT) with (001) orientation,<sup>17</sup> then associating to this surface negatively charged gold nanoparticles.<sup>21</sup> The main result was that about half a monolayer (defined in terms of carbon atoms on a surface unit cell) was adsorbed and that most of the CO molecules are dissociated. This was explained later by computing that in the presence of the electric field provided by the ferroelectric substrate, the interaction is strong enough to lead to the dissociation even of a tightly bonded molecule.<sup>22</sup> The drawback of such a material with strong polarization is mainly its instability for repeated cycles of adsorption–desorption, since the reduced carbon fixed on the surface is eliminated in the form of  $\text{CO}_2$ , by depleting the

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oxygen content of the material. In contrast, a ferroelectric with lower polarization, such as BTO(001), may be used for reversible molecular adsorption and desorption of  $\text{CO}^{22}$  or ethylene,<sup>23</sup> although the former molecule has quite a low initial dipole moment (0.1 D) while the latter has no dipole moment at all. The key process enabling adsorption processes in these experiments is the polarizability of the molecule: when approaching the ferroelectric surface, the electric field of the ferroelectric material polarizes the molecule, and at the end it is attracted and fixed on the surface. Since in this case the interaction energy is quadratic in the electric field, it will also be quadratic in the value of the polarization. Thus, BTO which has a polarization about 4–5 times lower than PZT will develop an interaction energy with the molecule about 20 times lower. As demonstrated in ref. 21 and 22, this interaction energy suffices to fix the molecules on the surface without breaking them. Molecules are desorbed when the substrate is heated above its Curie temperature (120 °C for bulk BTO,<sup>27</sup> experimentally around 150–160 °C for a very thin film). And, a very important aspect, the substrate is fully recovered after desorption.

Taking into account the interest in carbon dioxide removal from the atmosphere, the next step, which will be presented in this work, is to apply the same procedure as in ref. 21 and 22 by studying adsorption and desorption of  $\text{CO}_2$  on BTO(001). Clean surfaces and surfaces after adsorption are characterized by high resolution surface-sensitive XPS using synchrotron radiation, while the crystallinity of the surface is checked by LEED. Desorption processes are followed-up by ultrafast XPS while the substrate temperature is increased progressively. The amount of adsorbate and possibly its adsorption geometry will be derived, the essential role of ferroelectricity in enabling adsorption will also be demonstrated, and the substrate stability upon repeated cycles of adsorption/desorption will be assessed.

## 2. Experimental

The experiments were performed in the CoSMoS (combined spectroscopy and microscopy on surfaces) end station connected to the SuperESCA beamline at the Elettra (Trieste) synchrotron radiation facility. Most experimental details can be found in ref. 21 and 22. Briefly, for analysis: operating pressure low  $10^{-10}$  to high  $10^{-11}$  hPa. Electron energy analyzer: Specs Phoibos 150, acceptance angle  $\pm 7^\circ$ , pass energies 5 eV for C 1s and Ba 4d, measured with 390 eV photon energy, and 10 eV for survey spectra, Ti 2p and O 1s, measured with 650 eV photon energy. Photoelectron take-off angle: 24°, angle between the direction of incoming X-rays and the detected photoelectrons: 90°. Beamline characteristics: resolving power 10 000, horizontal linearly polarized X-rays, spot size 10 × 100  $\mu\text{m}^2$ . The photon energy was calibrated by using a clean Pt(001) crystal<sup>28</sup> and recording the Fermi level in exactly the same conditions as for BTO(001). LEED was performed by using a Specs ErLEED 150 with spot size 1.7 mm.<sup>29</sup>

Sample preparation: BTO(001) thin films are grown by pulsed laser deposition (PLD) on 0.5% Nb-doped  $\text{SrTiO}_3$ (001) (STON) in a setup manufactured by Surface (base pressure  $1 \times 10^{-6}$  hPa), using a KrF laser (248 nm) with a repetition rate of 5 Hz and

fluence of  $1.5 \text{ J cm}^{-2}$ , at 700 °C and partial  $\text{O}_2$  pressure of 14 Pa. Prior to the deposition, the STON substrate (mis cut < 0.3°) was cleaned in hydrofluoric acid buffer solution ( $\text{NH}_4\text{-HF}$ ) for 15 s, rinsed in deionized water and then annealed in air at 1000 °C for 2 h. This procedure allows the formation of one monolayer step (3.905 Å) terraces with widths of a few hundreds of nm wide.<sup>30</sup> After deposition, the sample was cooled down in a rich  $\text{O}_2$  atmosphere, 0.1 MPa, at a rate of  $10^\circ\text{C min}^{-1}$ . X-ray diffraction revealed a tetragonal crystal structure of BTO(001) with lattice parameters  $a = 3.905 \text{ \AA}$  (in plane) and  $c = 4.121 \text{ \AA}$  (out-of-plane), see ref. 21. The BTO(001) film thickness, derived by X-ray reflectivity: 12.5 nm. Such samples feature out-of-plane polarization oriented outwards.<sup>19</sup> The BTO(001) used in this study was the same as in ref. 21 and 22. Sample cleaning prior to XPS, LEED and adsorption/desorption experiments: heating at 1150 K for 2000 s at an oxygen pressure of  $5 \times 10^{-7}$  hPa, then cooling down in oxygen at  $2 \times 10^{-6}$  hPa. The reason for choosing such a low thickness of BTO(001) was to be sure that the sample exhibits a single domain structure, a phenomenon which occurs quite often in ultrathin ferroelectric films (with thicknesses below 20 nm) and whose origin is the ‘self-doping’ mechanism in order to provide charge carriers for compensating the depolarization field.<sup>31</sup> Interfaces or layers of ionized impurities can also play a role in setting up these charge layers.<sup>19</sup> In view of a recent theory which proposed a microscopic model for ferroelectricity, these charged layers play the role of ‘stabilization’ of the ferroelectric state in a thin film with uniform polarization.<sup>32,33</sup>

After cleaning, the samples were characterized by high resolution XPS, then  $\text{CO}_2$  was dosed (3.4 kL, *i.e.*  $5 \times 10^{-6}$  hPa during 15 min), again characterization by XPS, then the sample was warmed up at a rate of about  $2^\circ\text{C min}^{-1}$ , with follow-up of C 1s and Ba 4d core level evolution using 390 eV photon energy, or of Ti 2p and O 1s using 650 eV photon energy. After  $\text{CO}_2$  desorption, the sample was cooled down and again high resolution core level XPS was performed. This procedure was repeated four times, with two  $\text{CO}_2$  dosings at low temperatures ( $-191^\circ\text{C}$  and  $-46^\circ\text{C}$ ) and two at high temperatures ( $44^\circ\text{C}$  and  $45^\circ\text{C}$ ). After the last  $\text{CO}_2$  desorption experiment, the BTO(001) was again dosed with  $\text{CO}_2$  at room temperature and lower pressure ( $1.5 \times 10^{-8}$  hPa) with follow-up of Ba 4d and C 1s core levels (390 eV photon energy). The result was that after about 1 L  $\text{CO}_2$  dosing, the C 1s signal was already stabilized to its maximum value. Hence, the dose used before (3.4 kL) was largely sufficient to saturate the sample. This last  $\text{CO}_2$  dosed sample was transferred in another chamber to perform LEED, then it was warmed up following the same procedure as during the fast XPS analysis, and LEED was performed again. The cleanliness of the sample was confirmed afterwards.

## 3. Results and discussions

### 3.1. Low energy electron diffraction (LEED)

Fig. 1 shows the LEED patterns obtained at two energies on clean BTO(001) and after dosing 22.5 L  $\text{CO}_2$  at RT. For the energy of  $E_K = 108.2 \text{ eV}$  used, the out-of-plane condition<sup>29,34</sup> is



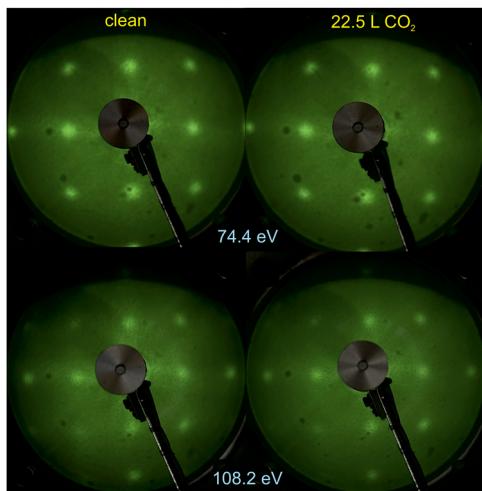


Fig. 1 Low energy electron diffraction (LEED) images on clean  $\text{BaTiO}_3(001)$  and after  $\text{CO}_2$  dosing (22.5 L). The electron energies are indicated on the figure.

satisfied with respect to terraces whose height is  $c/2$ , *i.e.* half of the out-of-plane lattice constant ( $c = 4.112 \text{ \AA}^{21}$ ), as follows. The LEED pattern obtained at 108.2 eV is of similar intensity as the one obtained at 74.4 eV, where the phase shift has no special value. This suggests a dominant surface termination,  $\text{BaO}$  or  $\text{TiO}_2$ . Later on, we will argue based on XPS data that the surface termination is  $\text{BaO}$ . However, the above considerations have to be taken with great care, since the high background and the broad spots of the observed LEED pattern suggest a high degree of structural disorder of the first monolayer. As a comparison, for a cleaved 0.7 at% Nb-doped  $\text{SrTiO}_3(001)$  sample, the LEED spots obtained at a similar electron energy (100 eV) were thinner (about  $70 \pm 15\%$  from the spots observed in Fig. 1).<sup>35</sup> ( $1 \times 1$ ) LEED patterns were observed for the above case although atomically-resolved noncontact atomic force microscopy and scanning tunneling microscopy revealed the formation of  $\text{SrO}$  and  $\text{TiO}_2$  terminated domains whose lateral sizes are on the order of 10–100  $\mu\text{m}$ , with  $\text{Sr}$  vacancies for the former domains and  $\text{Sr}$  adatoms for the latter.<sup>36</sup> Thus, the LEED technique alone cannot grant a full characterization of the surface crystallinity and/or uniform termination. Rather, it is an indication that the surface is close to a crystalline case rather than to a completely disordered case.

There was no sizable modification in the broad LEED pattern observed, nor in the relatively high background, after  $\text{CO}_2$  dosing. This is a first sign that the substrate structure is not strongly affected by  $\text{CO}_2$  adsorption. It might also be a sign that the first layers below the surface monolayer are responsible for the observed LEED pattern (also for the sample before dosing), while  $\text{CO}_2$  adsorption is expected to affect mostly the first monolayer.

### 3.2. High resolution photoelectron spectroscopy

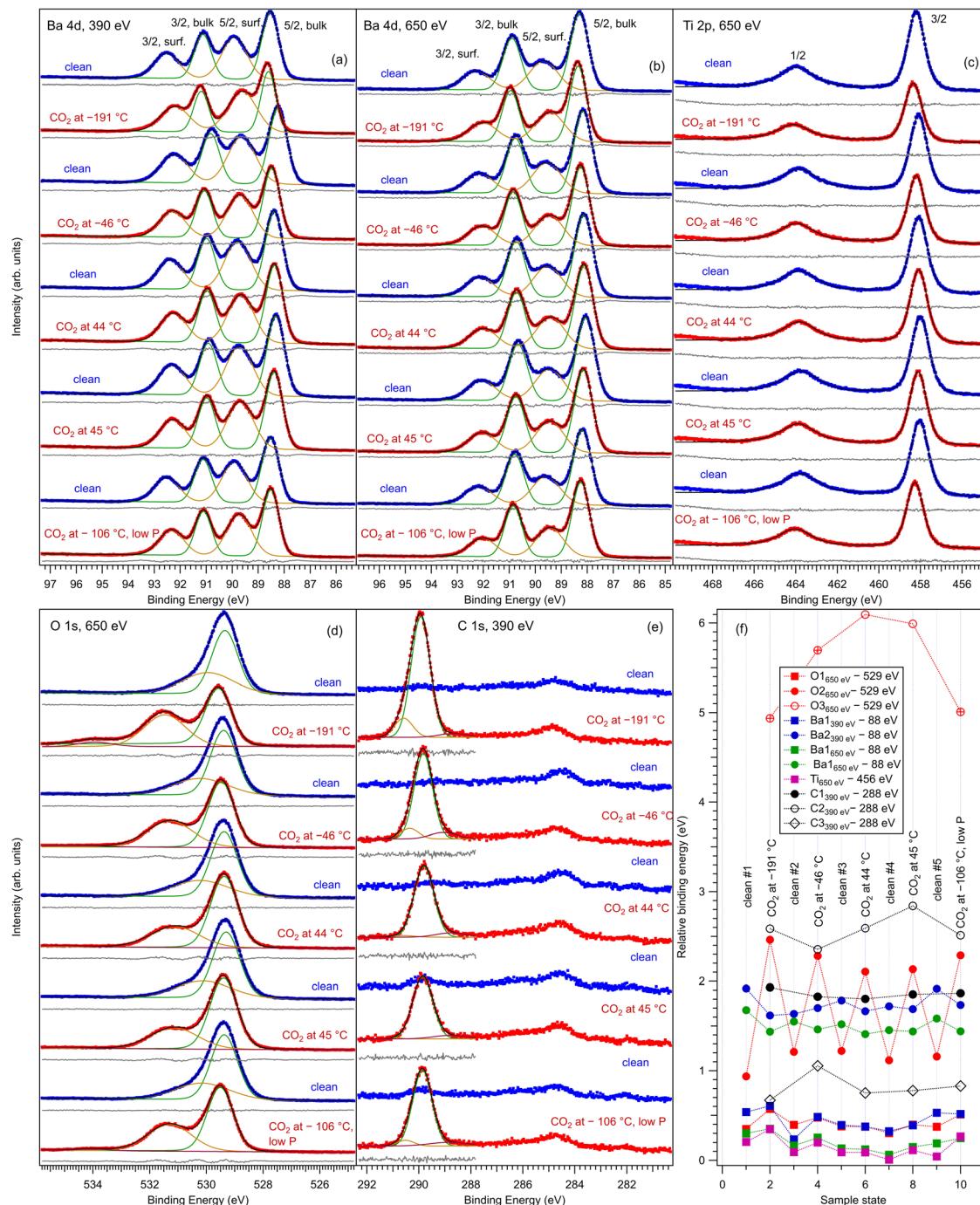
In the ESI† we present survey spectra (Fig. S1, ESI†), a region of the summed survey spectra to estimate contaminants (Fig. S2, ESI†) and valence band spectra (Fig. S3, ESI†). The survey

spectra do not feature noticeable differences between clean and  $\text{CO}_2$  dosed surfaces. We report from the survey spectra a small  $\text{Ca}$  contamination, estimated to a maximum  $\text{Ca}:\text{Ba}$  ratio as  $5.6 \pm 0.4\%$  (Fig. S2, ESI†). In the following, we will consider that this  $\text{Ba}_{>0.95}\text{Ca}_{<0.05}\text{TiO}_3(001)$  sample still has the ferroelectric properties close to ‘normal’ barium titanate. The  $\text{C} 1\text{s}$  signal is barely visible after  $\text{CO}_2$  dosing, due to its small cross section at 650 eV photon energy.<sup>37</sup> The valence band maximum is derived by a linear fit of the main structure from the valence band, and the intercept with the  $x$  axis yields the valence band offset  $2.46 \pm 0.12$  eV below the Fermi level. A contribution to this energy difference is assessed to the downwards band bending of the ferroelectric  $\text{BTO}(001)$ ,<sup>17</sup> which is about 0.4–0.5 eV.<sup>21</sup> Hence, in the bulk (away from the region affected by surface band bending) the Fermi level is located at about 2.0–2.1 eV above the valence band maximum (VBM). The bandgap is 3.2 eV, therefore for an intrinsic sample the Fermi level should be at 1.6 eV above the VBM. This suggests that the sample is slightly  $n$  doped, and the most probable donor impurities are oxygen vacancies. This should be confirmed later by the compositional analysis, and it was predicted by the ‘self-doping’ mechanism proposed about one decade ago.<sup>16</sup> The structure occurring at energies above the VBM are in-gap states, at about  $2.0 \pm 0.2$  eV above the Fermi level. By taking into account the band bending of 0.4 eV (see later), these states are located near the position of the Fermi level for an intrinsic sample.

Fig. 2 represents core level spectra with ‘deconvolutions’, for all investigated sample states, in chronological order.  $\text{Ba} 4\text{d}$  spectra are simulated with two Gaussian doublets, since the Lorentzian core level broadening is much lower than the Gaussian experimental resolution.<sup>21,22,38</sup> Similarly, the  $\text{C} 1\text{s}$  is simulated with three Gaussian lineshapes,  $\text{O} 1\text{s}$  is simulated with three Voigt lines and  $\text{Ti} 2\text{p}$  with a single Voigt doublet.<sup>39</sup> To these lineshapes, integral backgrounds are added for each separate component, thus allowing one to discriminate between the surface and bulk component, since photoelectrons from the surface are not expected to experience inelastic scattering and hence their associated background should be very low.<sup>40</sup> As in ref. 21, 22 and 41 the notation of the different chemical states (components) and their attribution are as follows:  $\text{Ba}1$  (lower binding energy) represents bulk barium,  $\text{Ba}2$  (higher binding energy) represents surface barium from the terminal  $\text{BaO}$  layer,  $\text{Ti} 2\text{p}$  is fitted with a single component and hence represents bulk titanium,  $\text{O}1$  (lower binding energy) represents bulk oxygen, and  $\text{O}2$  and  $\text{O}3$  with a higher binding energy will be attributed to surface oxygen. The  $\text{Ba}1:\text{Ba}2$  ratio increases at 650 eV photon energy with respect to 390 eV, since the kinetic energy and the inelastic mean free path (IMFP) of the electrons increase. Also, the fact that  $\text{Ba}$  and  $\text{O}$  feature surface components while  $\text{Ti}$  does not is a first sign of the  $\text{BaO}$  termination of the  $\text{BTO}(001)$ .

The higher binding energy components of the  $\text{O} 1\text{s}$  spectra deserve special attention. In this energy range, quite often the components in question are ascribed to surface hydroxyls.<sup>42</sup> However, in the actual case we will attribute this component to surface oxygen from  $\text{BTO}(001)$ . The arguments for this attribution are as follows: (i) from the total pressure in the range of  $1 \times$





**Fig. 2** Core level X-ray photoelectron spectroscopy for Ba 4d (a) and (b), Ti 2p (c), O 1s (d) and C 1s (e) for  $\text{BaTiO}_3(001)$  clean and after several doses with 3.4 kL  $\text{CO}_2$  at different temperatures. The employed photon energies are specified on each graph. (f) Represents the variation in extracted binding energies from the analysis of the spectra for each surface state.

$10^{-10}$  hPa, a maximum of 10% can be attributed to the partial pressure of water in the residual gas. One cannot expect that this water will stick in a significant amount to the surface immediately after having been flashed at temperatures ranging from about  $220^\circ\text{C}$  (in the desorption experiments) to more than  $800^\circ\text{C}$  during the first cleaning procedure in ultrahigh vacuum. Assuming a sticking coefficient of unity, the water dose may be estimated to be at most  $3.3 \times 10^{-2}$  L for about

4400 s, which is the maximum time spent between the cleaning and the first dosing with  $\text{CO}_2$  (this time was necessary to record XPS spectra for the clean sample). (ii) Immediately after the sample cleaning and while the sample was still hot ( $160^\circ$ ), the relative amplitude of the high binding component with respect to the main O 1s peak was the same as after about 1200 s with the sample cooled down to about  $40^\circ\text{C}$  by flowing cold nitrogen through the pipes of the manipulator. (iii) As will be discussed

in more detail in the following subsection, the O 1s spectra were followed-up by fast XPS during the sample heating after CO<sub>2</sub> dosing, and the intensity of the high binding energy peak is stabilized to a constant value which is about one half from its value obtained after CO<sub>2</sub> dosing.

For Ba core levels, it is understandable that surface Ba atoms have a higher binding energy, since they are surrounded by a lower amount of oxygen anions whose potential energy should, in principle, increase the electronic levels and yield a decrease in the binding energy with respect to the situation of a free Ba cation. A lower decrease in binding energy is translated into its apparent increase for surface barium. In this hypothesis, the O 1s core levels from surface oxygen should feature an opposite behavior: since these oxygens are surrounded by a lower number of cations, the increase in binding energy due to this surrounding should be lower and therefore the binding energy of surface oxygen should decrease with respect to that of bulk anions. Our attribution that the high binding energy corresponds to surface oxygen contradicts the above mechanism. This puzzle may be solved by supposing that the surface oxygen anions are themselves in a lower negative ionization state, O<sup>δ-</sup>, with δ < 2. One reason for this can be attributed to electronic reconstructions necessary to stabilize the (001) surface. In a rough model with Ba<sup>2+</sup>, Ti<sup>4+</sup> and O<sup>2-</sup> ions, the TiO<sub>2</sub> and BaO monolayers are neutral. But the degree of ionicity of Ba-O and Ti-O bonds is not similar, therefore the BTO(001) surface can be framed as being ‘weakly polar’.<sup>43</sup> To avoid a divergence of the total energy due to dipoles formed between BaO and TiO<sub>2</sub> layers, one needs to accept ‘electronic reconstruction’, amongst other mechanisms. Atomic reconstructions were not visible by LEED, and extrinsic contributions (e.g. adsorbates) are to be ruled out, in view of the above argumentation. Hence, the surface BaO layer, which should be slightly more negatively charged than a TiO<sub>2</sub> layer, will lose some electrons and this electron defect affects mainly the O 1s core levels. A consequence of deviations of the O electronic configuration from 2p<sup>6</sup> is also the setting up of a spin polarization of the O 2p related states in the valence band in strontium titanate.<sup>41,44</sup> The above considerations were valid by excluding ferroelectricity. The outwards polarization derived for BTO(001) (see ref. 21 and 22 and in the following) implies the formation of ‘static charges’ which should be positive at the outer surface of BTO(001). This positive charging at the surface seems to affect mainly the O 1s levels, but it may also affect in a similar way the Ba 4d levels.

The main fitting parameters are listed in the following, and relevant variations are represented in Tables 1 and 2.

(a) Ba 4d, excited with 650 eV photon energy: binding energies (4d<sub>5/2</sub>) 88.20 ± 0.09 eV and 89.50 ± 0.08 eV, Gaussian full widths at half maximum (FWHMs) 0.81 ± 0.03 eV and 1.26 ± 0.02 eV for components in the order of increasing binding energies, spin-orbit splitting 2.60 ± 0.00 eV and 2.60 ± 0.01 eV for bulk and surface components, respectively, and branching ratios 1.49 ± 0.01 and 1.59 ± 0.01 (surface and bulk).

(b) Ti 2p, excited with 650 eV photon energy: binding energy 458.15 ± 0.11 eV, Lorentzian FWHMs 0.43 ± 0.03 eV and 1.79 ± 0.06 for 2p<sub>3/2</sub> and 2p<sub>1/2</sub> lines, respectively, Gaussian FWHM

0.74 ± 0.02 eV, spin-orbit splitting 5.75 ± 0.01 eV, branching ratio 1.48 ± 0.03 (see below for more comments).

(c) O 1s, clean sample, excited with 650 eV photon energy: binding energies 529.36 ± 0.03 eV and 530.13 ± 0.12 eV, Lorentzian FWHM 0.02 ± 0.01 eV, Gaussian FWHMs 1.09 ± 0.02 eV and 2.24 ± 0.08 eV for components in order of increasing binding energies.

(d) O 1s, CO<sub>2</sub> dosed, excited with 650 eV photon energy: binding energies 529.47 ± 0.08 eV, 531.24 ± 0.14 eV, and 534.55 ± 0.54 eV, Lorentzian FWHM 0.02 ± 0.01 eV, Gaussian FWHMs 1.09 ± 0.03 eV, 1.87 ± 0.12 eV and 1.88 ± 0.51 eV for components in order of increasing binding energies.

(e) Ba 4d, excited with 390 eV photon energy: binding energies (4d<sub>5/2</sub>) 88.44 ± 0.11 eV and 89.74 ± 0.11 eV, Gaussian FWHMs 0.77 ± 0.04 eV and 1.19 ± 0.06 eV for components in the order of increasing binding energies, spin-orbit splitting 2.60 ± 0.00 eV and 2.60 ± 0.01 eV for bulk and surface components, respectively, branching ratios 1.52 ± 0.02 and 1.65 ± 0.01 (surface and bulk).

(f) C 1s, excited with 390 eV photon energy: binding energies 289.86 ± 0.05 eV, 290.58 ± 0.18 eV, and 288.82 ± 0.15 eV, Gaussian FWHMs 0.88 ± 0.03 eV, 0.76 ± 0.22 eV, and 1.44 ± 0.22 eV.

The following working hypotheses are in order to assess as quantitatively as possible these data.

(a) The Ti 2p signal is under-evaluated since its branching ratio is considerably lower than 2. One possibility is that electrons with large angular momenta are strongly scattered by the O<sup>2-</sup> anions sitting on top and hence are not detected.<sup>21,22</sup> The average branching ratio derived from all fits presented in Fig. 2(c) is 1.48 ± 0.03. Hence, a correction factor of 3/2.48 ≈ 1.35 was introduced in the integral amplitude of the Ti 2p spectra. With this correction, the relevant compositions for the clean surfaces are listed in Table 1, in the first three columns. One notices that the bulk Ba : Ti ratio approaches within 20–35% its ideal value of 1, but the oxygen content derived for the sample is far too low, below 50% from its ideal value of 1.5. Also, the O : Ba surface composition ratio is too elevated. Therefore, this suggests, as in ref. 21, that some part of the O<sub>2</sub> intensity (at higher binding energy) still belongs to bulk oxygen (e.g. from the second TiO<sub>2</sub> layer as counted from the surface), and this core level is shifted by the surface band bending due to the outwards polarization of the sample, as demonstrated in ref. 13 and 15 and will be checked in the following with time-resolved fast XPS during the heating of the sample.

(b) This suggests a second correction. A factor  $f_0$  multiplied with the surface Ba intensity (Ba2) will be translated from the surface to bulk oxygen composition. The fact that this factor multiplies the Ba2 (surface) intensity and not the O<sub>2</sub> (surface) intensity for the clean samples was a choice dictated by the possibility to apply the same procedure to samples dosed with CO<sub>2</sub>, where a part of the high binding energy component will be related to adsorbed carbon dioxide.

(c) Still, with only these two correction factors one cannot derive a correct bulk composition ratio. In ref. 21 it was argued that IMFP effects are affecting this composition determination and a sophisticated Monte-Carlo procedure was set to demonstrate that even



**Table 1** First three columns: composition ratios obtained from the treatment of the integral amplitudes obtained by 'deconvolution', normalized with respect to cross sections and asymmetry parameters from ref. 37, with the Ti 2p amplitude further normalized by  $3/(1 + \text{BR})$ , where  $\text{BR} \approx 1.48$  is the branching ratio obtained by 'deconvolution' (see the text for details). Last three columns: composition ratios obtained after running a Monte-Carlo procedure to derive correction factors for effects of inelastic mean free path, inaccuracy of theoretical cross sections, photoelectron diffraction, assuming that a part of the O2 component belongs to bulk  $\text{BaTiO}_3$ , and allowing slight deviations in the O:(Ti + Ba) composition ratios (again, see the text for details)

Preparation	Raw data			Corrected data		
	O2:Ba2 (O: $\text{Ba}_{\text{surf}}$ )	O1:(Ti + Ba1) O:(Ba+Ti) <sub>bulk</sub>	Ba1:Ti (Ba:Ti <sub>bulk</sub> )	O: $\text{Ba}_{\text{surf}}$	O:(Ba+Ti) <sub>bulk</sub>	Ba:Ti <sub>bulk</sub>
1	1.596	0.779	1.259	1.289	1.443	0.972
2	1.300	0.767	1.321	0.912	1.436	1.019
3	1.269	0.783	1.357	0.873	1.464	1.041
4	1.277	0.775	1.349	0.883	1.448	1.040
5	1.402	0.810	1.194	1.042	1.478	0.921

**Table 2** Relevant composition ratios for  $\text{BaTiO}_3(001)$  dosed with  $\text{CO}_2$  at different temperatures. Ba1, O1 and Ti represent bulk components, while Ba2, O2, C1 and C2 are surface components. For further details, please consult the text of the article. The components attributed to C2 and O3 are of the largest binding energy, are relevant only for  $\text{CO}_2$  dosed at low temperatures and hence are attributed to physisorbed carbon dioxide

Dosing temperature (°C)	O1:(Ba1 + Ti) (650 eV) eV)	O3:Ba2 (650 eV)	C2:Ba2 (390 eV)	O3:C2 physisorbed	O2:Ba2 (650 eV)	C1:Ba2 (390 eV)	O2:C1 adsorbed
-191	1.424	0.285	0.154	1.854	2.074	1.019	2.035
-46	1.453	0.174	0.089	1.960	1.898	0.750	2.531
44	1.467	0.074	N/R	N/R	1.444	0.592	2.440
45	1.461	0.065	N/R	N/R	1.043	0.532	1.960
-106	1.464	0.105	0.048	2.184	1.908	0.735	2.691

ideally BaO terminated  $\text{BaTiO}_3(001)$  can yield strong deviations in the composition ratio owing to differences in the photoelectron IMFP from the elements investigated. The procedure proposed in this publication takes into consideration (i) the total composition ratios derived from the survey spectra and (ii) the partial composition ratios derived from the deconvolutions (for surface and bulk components), then it adjusts the IMFPs for each core level in order to obtain the ideal composition of the bulk and the correct ratios for the surface components, through two different Monte-Carlo procedures. Since two average independent ratios are used for each procedure for yielding three IMFPs, in fact a family of solutions is derived in each case. The intersection of the dependence of the IMFP for Ba and O on that of Ti for both procedures yields a unique solution. This procedure could be criticized since it supposes a 'perfect'  $[\text{O}:(\text{Ba} + \text{Ti})]_{\text{bulk}} = 1.5$  ratio. From the valence band spectra (see Fig. S2, ESI† and the comments at the beginning of this subsection) one obtains that the clean sample is slightly n doped, some oxygen vacancies should be taken into account, and therefore the 'perfect' composition ratio should be modified. In addition, there are effects such as photoelectron diffraction and also the possibility that the theoretical cross sections and asymmetry parameters from ref. 37 are not accurate (in particular, these computations were performed by a non-relativistic Hartree-Fock-Slater method). In the following, we will adapt a more 'practical' point of view and introduce some correction factors for the core levels of Ba 4d (bulk and surface,  $c_{\text{Ba}}^{(1)}$  and  $c_{\text{Ba}}^{(2)}$ , respectively) and for titanium (bulk only)  $c_{\text{Ti}}$ . Since most composition ratios involve the intensity of oxygen peaks, we will simply assume that the correction factor for oxygen is 1, therefore the above correction factors for barium and titanium are relative to that of oxygen. We will also allow some slight deviations from the ideal bulk

composition  $\text{O1:(Ba1 + Ti)} = 1.5$  by introducing another parameter  $C_{\text{bulk}}$  for the bulk composition. In total, there are 5 parameters to be determined ( $c_{\text{Ba}}^{(1)}$ ,  $c_{\text{Ba}}^{(2)}$ ,  $c_{\text{Ti}}$ ,  $f_0$ , and  $C_{\text{bulk}}$ ) which should give the closest bulk and surface composition to the 'ideal' case for all preparations investigated. If  $\text{Ba1}_j$  and  $\text{Ba2}_j$  are the barium integral intensities for the lower and higher binding energies of the preparation,  $j = 1, \dots, 5$ ,  $\text{O1}_j$ ,  $\text{O2}_j$  and  $\text{O3}_j$  are the integral intensities of the oxygen components in the order of increasing binding energy, and  $\text{Ti}_j$  is the integral intensity for titanium (bulk), all of them normalized with respect to cross sections and asymmetry parameters, the following composition ratios will be computed.

(1) Average bulk composition evaluation:

$$\left\langle \frac{\text{O}}{\text{Ba} + \text{Ti}} \right\rangle_{\text{bulk}} = \frac{1}{5} \sum_{j=1}^5 \frac{\text{O1}_j + f_0 \text{Ba2}_j c_{\text{Ba}}^{(2)}}{c_{\text{Ba}}^{(1)} \text{Ba1}_j + c_{\text{Ti}} \text{Ti}_j} \quad (1)$$

(2) Average surface composition:

$$\left\langle \frac{\text{O}}{\text{Ba}} \right\rangle_{\text{surf.}} = \frac{1}{5c_{\text{Ba}}^{(2)}} \sum_{j=1}^5 \frac{\text{O2}_j}{\text{Ba2}_j} - f_0 \quad (2)$$

(3) Average bulk Ba to Ti ratio

$$\left\langle \frac{\text{Ba}}{\text{Ti}} \right\rangle_{\text{bulk}} = \frac{c_{\text{Ba}}^{(1)}}{5c_{\text{Ti}}} \sum_{j=1}^5 \frac{\text{Ba1}_j}{\text{Ti}_j} \quad (3)$$

The chi square deciding the best parameters to be kept in the procedure is given by:

$$\chi^2 = \left( \left\langle \frac{\text{O}}{\text{Ba} + \text{Ti}} \right\rangle_{\text{bulk}} - C_{\text{bulk}} \right)^2 + \left( \left\langle \frac{\text{O}}{\text{Ba}} \right\rangle_{\text{surf.}} - 1 \right)^2 + \left( \left\langle \frac{\text{Ba}}{\text{Ti}} \right\rangle_{\text{bulk}} - 1 \right)^2 \quad (4)$$



The Monte-Carlo simulation, with progressive restriction of the range allowed for variation of all parameters, yielded:  $c_{\text{Ba}}^{(1)} \approx 0.590$ ;  $c_{\text{Ba}}^{(2)} \approx 0.785$ ;  $c_{\text{Ti}} \approx 0.765$ ;  $f_o \approx 0.745$ ;  $C_{\text{bulk}} \approx 1.454$ ;  $\chi^2 \approx 1.22 \times 10^{-8}$ , with the data from Table 1, the last three columns. The first immediate conclusion is that there are slight variations in the O:Ba<sub>surf</sub> and Ba:Ti<sub>bulk</sub> ratios, and in particular it seems that the first prepared “clean” sample was slightly oxygen enriched at the surface. This deviation is then removed towards some oxygen deficiency for the next three preparations and, again, for the last one, the oxygen content increases again. Such phenomena might be linked to some (uncontrolled) oxygen migration from the bulk, but the figures could also be due to some experimental errors in data acquisition (e.g., beam instabilities) or data analysis. A more systematic deviation is featured by the general oxygen content from the bulk O:(Ba+Ti)<sub>bulk</sub>, which is slightly below its theoretical value or 1.5. In line with the valence band measurements (see above) this reinforces the hypothesis of the presence of oxygen vacancies near the surface.

In Fig. S4 (ESI<sup>†</sup>) we present a survey spectrum for a BTO(001) sample heated several times in ultrahigh vacuum (during the preliminary cleaning) and not yet free from carbon contamination; this contamination seems to be graphitic-like (reduced carbon) and it amounts to about 0.6 carbons per formula unit and we may reasonably suppose that it will not affect the sample stoichiometry. The approximate composition derived by using the Wagner sensitivity factors<sup>45</sup> ranges between Ba<sub>1.20</sub>Ti<sub>0.80</sub>O<sub>2.77</sub> and Ba<sub>1.10</sub>Ti<sub>0.90</sub>O<sub>2.52</sub>, depending on whether one considers a correction factor of the Ti 2p signal due to some ‘loss’ of electrons from the 2p<sub>3/2</sub> line, which occurs also in the XPS spectra measured in normal emission with a conventional X-ray source.<sup>21</sup> The derived oxygen content is lower than the values from Table 1, but one has to note that the spectra of contaminant-free samples analyzed in Table 1 were recorded after annealing in oxygen, thus a recovery of the oxygen stoichiometry can be supposed. The fact that the Ba:Ti ratio (total line intensity) is greater than 1 is further proof that the sample is Ba terminated.

For samples dosed with CO<sub>2</sub>, the main question, apart for checking the sample composition, is the number of molecules adsorbed. This was the reason for which we measured Ba 4d at both 650 eV and 390 eV. The main figures from this analysis are presented in Table 2. Knowing that the higher binding energy component (Ba2) is attributed to surface Ba from the outermost BaO atomic layer, one can easily derive the amount of carbon atoms with respect to the atomic density of one monolayer of BaO. Then, the ratio between surface oxygen and Ba2 recorded at 650 eV can be used together with the ratio between surface carbon and Ba2 recorded at 390 eV to derive the surface oxygen-to-carbon ratio and hence to infer the surface binding of adsorbates, as performed in ref. 21 and 22. However, the fact that a correction factor  $c_{\text{Ba}}^{(2)}$  was introduced for surface Ba excited with 650 eV doesn’t imply automatically that the same correction factor should be utilized for 390 eV photon energy. The mismatch between the experimental and the theoretical cross sections might be different, and also the photoelectron

diffraction effects could be different, since the kinetic energy of the emitted photoelectrons is different. Therefore, another factor  $c_{\text{Ba}}^{(2)'} \approx 0.332$  was used, and this was chosen in a way to obtain the average O3:C2 ratio (between the components of highest binding energy of oxygen and carbon) as close as possible to 2, with the implicit assumption that these highest energy components, which manifest mostly when CO<sub>2</sub> is adsorbed below room temperature, are due to physisorbed molecules on the cold surface. The factor  $f_o$  which considers the amount of the O2 component to be removed from the O2:Ba2 (surface) ratio and added to the oxygen intensity in the O1:(Ba1 + Ti) (bulk) ratio can also be different, since the O2 component for surfaces with CO<sub>2</sub> adsorbed already comprise the influence of additional adsorbed oxygen. The factor was adjusted to  $f_o' \approx 0.279$  in order to obtain a bulk composition similar to that of the clean sample. With these correction factors implemented in eqn (1) and (2), the resulting C1:Ba2 (carbon main peak to surface barium) and O2:C1 (for adsorbates) are represented in the last three columns of Table 2.

The O2:C1 ratio ranges between 2 and 3, suggesting the persistence of the CO<sub>2</sub> molecule upon adsorption and/or the formation of surface CO<sub>3</sub> structures (see below). In fact, if CO<sub>3</sub> structures are formed on top oxygen atoms, then it might be expected that the signal from the oxygen which initially was taking part in the surface BaO layer will be attenuated by the molecule adsorbed on top, while the signal from terminal oxygens from the molecule and from carbon will not be attenuated, hence the effective O:C atomic ratio should range between 2 and 3.

In fact, the main peak of C 1s whose binding energy is  $289.86 \pm 0.05$  eV suggests that carbon is taking part in the formation of surface carbonates. Formally, this implies a surface reaction such as BaO + CO<sub>2</sub> → BaCO<sub>3</sub>. Indeed, the C 1s binding energy in BaCO<sub>3</sub> was reported at energies such as 290.8 eV<sup>46</sup> and 288.9 eV.<sup>47,48</sup> Therefore, the binding energy of the main C 1s peak for CO<sub>2</sub>/BTO(001) frames well between the values previously reported for BaCO<sub>3</sub>. In particular, the binding energy of this peak might also be affected by the surface band bending of the ferroelectric substrate and possibly also by the interaction of the induced dipole moment of the CO<sub>3</sub><sup>2-</sup> radical with the electric field provided by the ferroelectric.

One promising result is the total amount of carbon adsorbed, as related to surface barium (Ba2 component). The C1:Ba2 ratio is close to 1 when the adsorption proceeds at low temperature, and it is larger than 0.5 (up to 0.6) when the adsorption is performed at temperatures above room temperature. This is several times larger than the amount of carbon adsorbed when CO<sup>21</sup> or C<sub>2</sub>H<sub>4</sub><sup>22</sup> are dosed on BTO(001). The fact that a larger amount of carbon is adsorbed in the case of CO<sub>2</sub>/BTO(001) than in the case of CO/BTO(001) in quite a similar experiment (same dose, same substrate temperature) is quite visible from the C 1s intensity represented in the ESI,<sup>†</sup> Fig. S5(d).

As for the binding energy variations (Fig. 2(f)), the most important variations are featured by the O2 component (surface oxygen from the BaO terminal layer). This is a first suggestion



that this site is the most affected by  $\text{CO}_2$  adsorption, so probably  $\text{CO}_2$  is adsorbed on top on this surface oxygen. When  $\text{CO}_2$  is adsorbed, the  $\text{O}_2$  binding energy decreases, which means that the surface oxygen becomes more negatively charged than the oxygen from the terminal  $\text{BaO}$  layer for clean surfaces. This means that partially the negative charges needed to stabilize the outwards polarization of  $\text{BTO}(001)$ <sup>32,33</sup> are located on the adsorbed molecules ("extrinsic compensation" or "extrinsic stabilization"). This situation was commented on to a larger extent in ref. 19 and 20. An interesting aspect is revealed by the comparison of core levels from  $\text{CO}/\text{BTO}(001)$  and  $\text{CO}_2/\text{BTO}(001)$  presented in Fig. S5 from the ESI.<sup>†</sup> Except for  $\text{C}\ 1\text{s}$ , all binding energies of the bulk components are lower in the case of  $\text{CO}_2$  dosed, which means that the band bending inside the  $\text{BTO}(001)$  is lower. This suggests that in the case of  $\text{CO}_2/\text{BTO}(001)$  a lower amount of the negative stabilization charge is located inside the ferroelectric substrate, while the remaining charge will be located on the adsorbate.

### 3.3. Time resolved ultrafast XPS

These data are presented, together with the peak fitting, in Fig. S6–S13 in the ESI.<sup>†</sup> The binding energy and amplitudes resulting from fitting are shown in Fig. 3 for  $\text{Ba}\ 4\text{d}$ , Fig. 4 for  $\text{Ti}\ 2\text{p}$ , Fig. 5 for  $\text{O}\ 1\text{s}$ , and Fig. 6 for  $\text{C}\ 1\text{s}$ . For  $\text{O}\ 1\text{s}$ , only the temperature variation of the binding energies and amplitudes of the most intense components  $\text{O}_1$  and  $\text{O}_2$  are represented separately in Fig. 5. The temperature dependence of the integral amplitude and binding energy of  $\text{O}_3$  is too noisy to extract relevant information. It seems that there was no sizable dependence on the temperature for this component, which is not what we expected initially (a desorption of the physisorbed  $\text{CO}_2$  when the temperature is still below 0 °C). We may also attribute this result to the limited statistics of the time-resolved  $\text{O}\ 1\text{s}$  spectra. We could not simply force this component ( $\text{O}_3$ ) to vanish at some temperature, since this implies an abusive (and unethical) intervention in the automatic fitting procedure. However, the amplitude of this component is low, such that its consideration in the fitting of the time resolved spectra does not affect the amplitudes of the other two components, which are considerably higher. Note also that the  $\text{C}\ 1\text{s}$  spectra were fitted with a single component, also due to the limited statistics of the time resolved spectra.

One may identify in all core levels from the substrate a constant decrease in the binding energy when the temperature increases. This is consistent with all previous findings of progressive loss of the polarization as revealed by the band bending towards the surface.<sup>17,20–22</sup> It is also clear that the displacements (see Table 3) are lower than in the case of  $\text{CO}/\text{BTO}(001)$ , where  $\text{Ba}\ 4\text{d}$  (bulk) was shifted by 0.41 eV,  $\text{Ti}\ 2\text{p}$  by 0.56 eV, and  $\text{O}\ 1\text{s}$  (bulk) by 0.54 eV. Note that, at variance with ref. 17 and 20–22, in this case the decrease in the  $\text{C}\ 1\text{s}$  intensity seems to feature a 'universal' linear dependence on the temperature (see Fig. 6(d)), with practically no dependence (of the entire curve) on the initial adsorption temperature. Hence, a fit with a Langmuir-like dependence, as in ref. 21 and 22, could not be performed in this case. We have no immediate

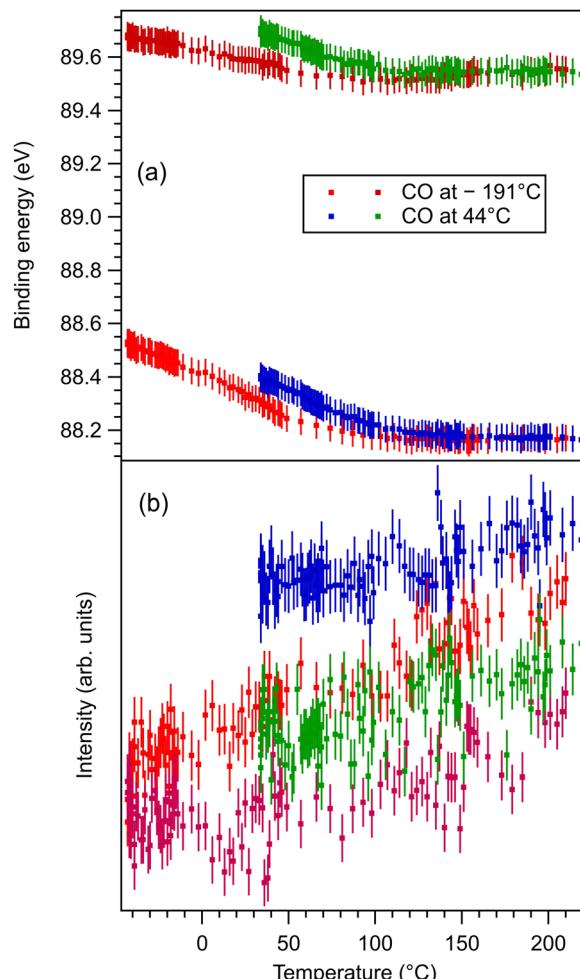


Fig. 3 (a) Extracted temperature variations of  $\text{Ba}\ 4\text{d}$  binding energies for each component from the 'deconvolutions' of time-resolved spectra; (b) extracted temperature variation of integral amplitudes for each component, with the same color code as in (a). The spectra and their peak fitting analyses are presented in Fig. S6 and S7 (ESI<sup>†</sup>).

explanation for this so simple linear behavior of the carbon coverage. Note also that this study was performed with a much slower temperature variation and with many more recorded experimental points during the follow-up with fast XPS. Probably, adsorption experiments at temperatures below that of liquid nitrogen might feature deviations from this linear behavior, but to date our setup doesn't allow such investigations.

The strongest effect is revealed by the core level shift of the  $\text{O}_2$  (surface) component. This is in line with the strongest variation of this component when  $\text{CO}_2$  is dosed, as discussed in the previous subsection. This suggests again that the most affected by  $\text{CO}_2$  adsorption are surface oxygen cations.

With temperature increase, the intensities of core levels from the substrate are increasing, as can be expected from a lower attenuation of outgoing photoelectrons due to adsorbed molecules, see Table 4. However, the increase in the  $\text{Ti}\ 2\text{p}$  intensity and the  $\text{O}_1$  intensity, attributed to bulk oxygen, is higher than for barium (both components). This implies that  $\text{CO}_2$  is sitting on  $\text{Ti}$  cations, but since the sample is  $\text{BaO}$



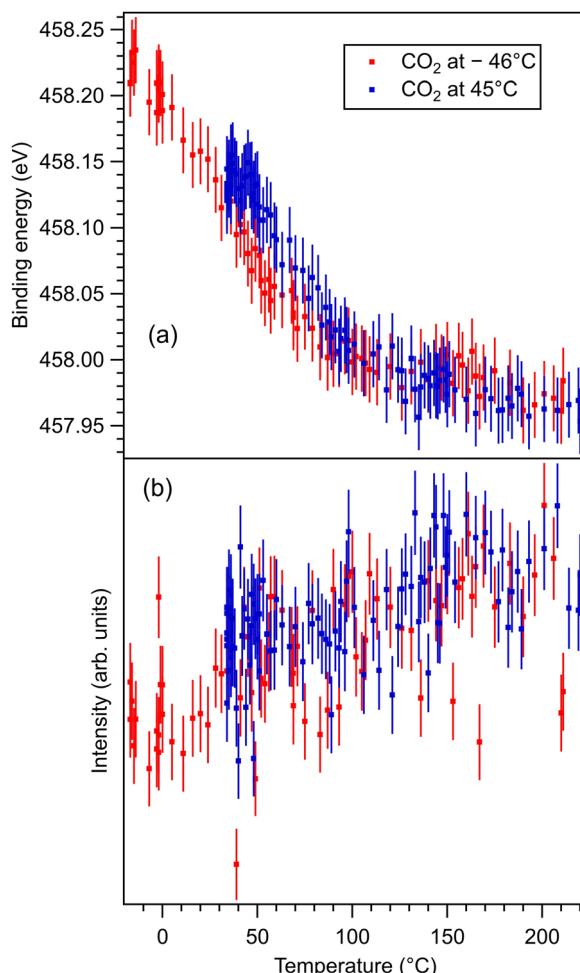


Fig. 4 (a) Extracted temperature variations of Ti 2p binding energy from the 'deconvolutions' of time-resolved spectra. (b) Extracted temperature variation of the integral amplitude, with the same color code as in (a). The spectra and their peak fitting analyses are presented in Fig. S8 and S9 (ESI†).

terminated, the hypothesis of  $\text{CO}_2$  adsorbed on top of oxygen (which, in turn, sits on Ti) is further consolidated. Note that the detection is close to normal emission, *i.e.* along the [001] direction which connects the subsurface Ti with the surface O.

The fact that O 1s and, to some extent, C 1s have significative shifts towards higher binding energies when the polarization is lost (and  $\text{CO}_2$  is desorbing) suggests that the adsorbed molecules are negatively charged, as we supposed initially only for the surface cations. Also, the fact that for  $\text{CO}_2/\text{BTO}(001)$  the surface oxygen anions are simulated conveniently with a single component  $\text{O}_2$  (we do not take into account the  $\text{O}_3$  component, of considerably larger binding energy, attributed to physisorbed  $\text{CO}_2$ ) suggests that  $\text{CO}_2$  forms a bond with a surface oxygen with a local configuration such as  $\text{CO}_3$  and with similar chemical states on the three oxygens.

### 3.4. Proposed geometry for adsorption

Taking into account the last remarks from the previous subsection, we are able to propose a model for  $\text{CO}_2$  adsorption on  $\text{BTO}(001)$ . If the molecules are adsorbed on terminal oxygens

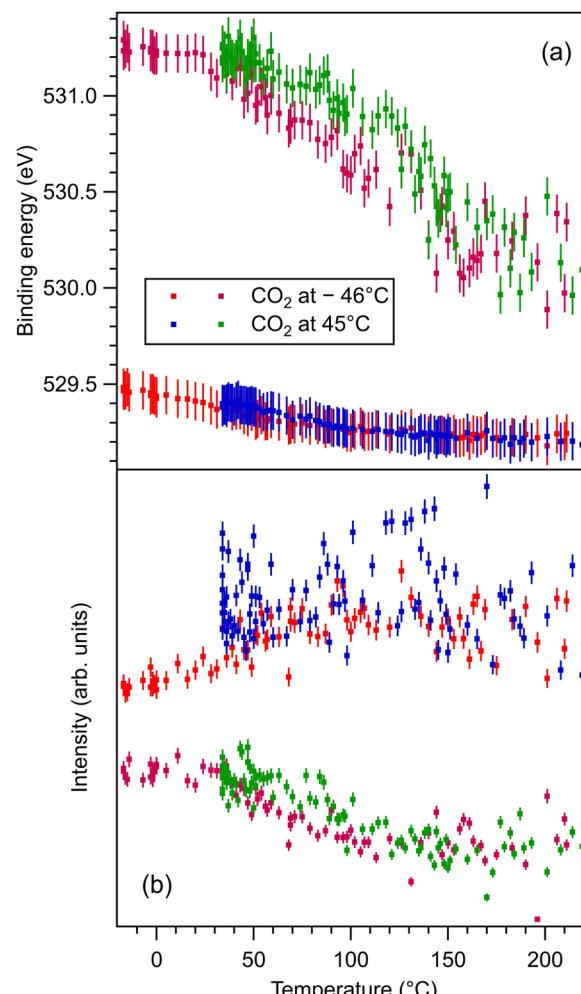


Fig. 5 (a) Extracted temperature variations of O 1s binding energy from the 'deconvolutions' of time-resolved spectra. (b) Extracted temperature variation of the integral amplitude, with the same color code as in (a). The spectra and their peak fitting analyses are presented in Fig. S10 and S11 (ESI†).

from the surface, the most natural way for this adsorption is with carbon bound to surface oxygen from  $\text{BTO}(001)$  and the two oxygens which belonged initially to  $\text{CO}_2$  oriented outwards and making some angle of  $2\beta$  between them. The fact that the  $\text{CO}_2$  molecule deviates from its initial linear configuration is suggested by the progressive polarization by the substrate.  $\text{CO}_2$  has a molecular polarizability  $4\pi\epsilon_0\alpha_v$ , with  $\epsilon_0$  the vacuum permittivity and  $\alpha_v = 2.911 \text{ \AA}^3$  the polarizability in volume units.<sup>49</sup> Note that this polarizability is larger than that of CO ( $1.95 \text{ \AA}^3$ ) and this might explain the higher number of molecules adsorbed on  $\text{BTO}(001)$ , although detailed surface chemistry should prevail. Secondly, the most reasonable hypothesis from symmetry considerations is that the molecule's plane (together with the surface oxygen initially from  $\text{BTO}(001)$ ) is vertical and its intersection with the (001) surface should be along a high symmetry axis, [100] or [110]. These two configurations are represented in Fig. 7. Some considerations regarding the electrostatic interaction between terminal oxygens from

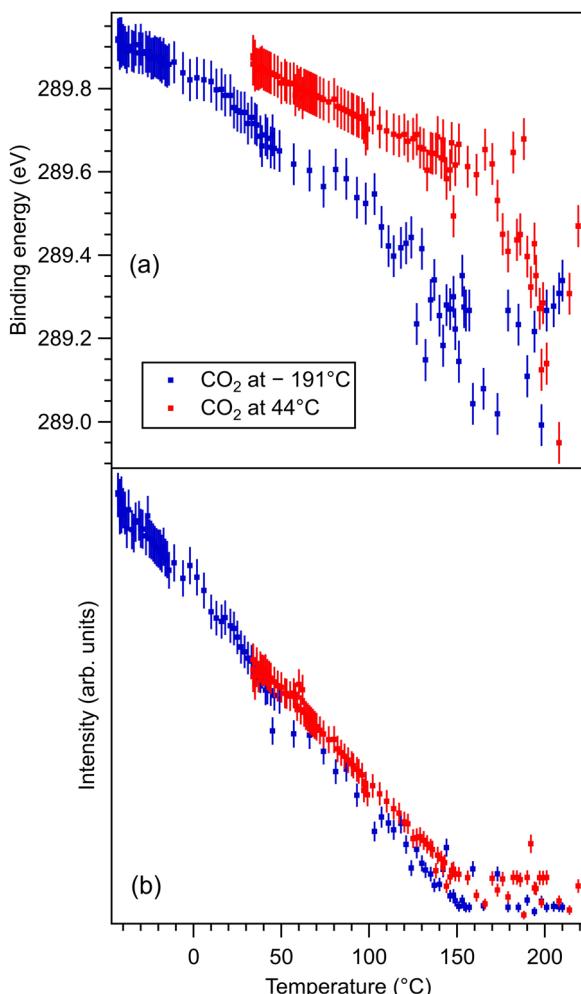


Fig. 6 (a) Extracted temperature variations of the binding energy for C 1s spectra; (b) extracted temperature variation of the integral amplitude, with the same color code as in (a). The spectra and their peak fitting analyses are presented in Fig. S12 and S13 (ESI†).

Table 3 Differences between binding energies at low and high temperatures obtained from the 'deconvolution' of time resolved, temperature dependent spectra,  $\text{BE}_{\text{LT}} - \text{BE}_{\text{HT}}$  (eV). Errors on the order of  $\pm 0.05$  eV for Ba, Ti and O. The shift in the C 1s spectra must be taken just as an indication, since the intensity of this signal at high temperature is very low

Level sample	Ba1	Ba2	Ti	O1	O2	C
Dosed at LT	0.38	0.18	0.27	0.31	1.40	0.93
Dosed at HT	0.24	0.18	0.20	0.23	1.35	0.93

Table 4 Relative amplitude variation of the integral intensity for each component between high and low temperature  $(A_{\text{HT}} - A_{\text{LT}})/A_{\text{HT}}$ . Errors are estimated to be lower than 10%

Level sample	Ba1	Ba2	Ti	O1	O2	C
Dosed at LT	0.131	0.095	0.180	0.205	-0.528	-0.936
Dosed at HT	0.060	0.086	0.127	0.091	-0.572	-0.912

$\text{CO}_3$  and the surface barium cations are detailed in the ESI,† see Fig. S14 and S15 and the adjacent text. The first estimate is that configuration (2) with the molecule viewed from the top oriented along the in-plane [110] axis is the most stable. However, the difference in electrostatic energy between both configurations is very low, therefore other chemical effects or even the neighborhood of other adsorbed molecules might affect this adsorption geometry. As in ref. 21 and 22, this proposed adsorption geometry is derived from basic considerations from XPS and guessed using symmetry considerations, but more detailed information might be offered only by structural methods, such as photoelectron diffraction, vibrational spectroscopy and/or *ab initio* molecular dynamics.

In Fig. 7 we also represented the orientation of a supposed dipole moment of the  $\text{CO}_3$  structure formed on the surface,  $p$ . It is obvious that if all three oxygens have similar negative charge and  $\beta > 60^\circ$  the overall dipole moment will be oriented outwards. If the oxygen from the BTO(001) surface is more negative than the outwards oxygens of the molecule, then the overall dipole moment will be oriented outwards even for smaller angles of  $\beta$ . The main problem is what is happening prior to the formation of a chemical bond between the surface oxygen from BTO and  $\text{CO}_2$ . In this case, according to the hypothesis that the dipole moment of the molecule should be parallel to the (outwards oriented) polarization of the ferroelectric, normally the negative oxygens from  $\text{CO}_2$  should be oriented towards the surface and the positive carbon outwards. At some point, other interactions should manifest to 'switch' the orientation of  $\text{CO}_2$  with its oxygens oriented outwards. This will be discussed in the following.

Suppose the C – surface O direction is along the [001] direction. See the insert of Fig. 8. The molecule is approaching the surface with its oxygens oriented towards the BTO, then the

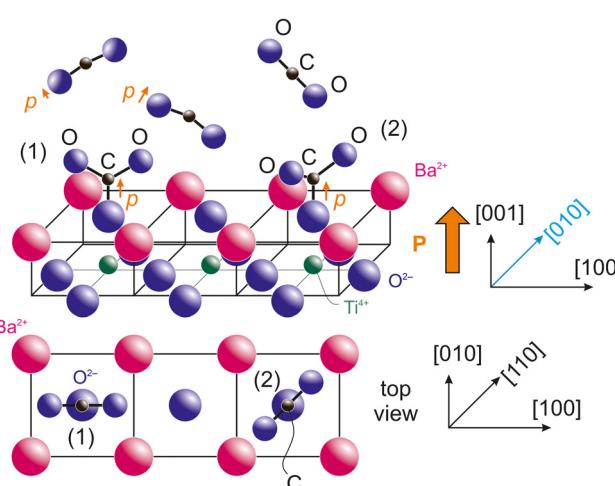


Fig. 7 Proposal for adsorption geometries with  $\text{CO}_2$  bound to a surface oxygen, with two possible azimuthal orientation of the  $\text{CO}_3$  plane: (1) along the in-plane [100] axis and (2) along the in-plane [110] axis. The top drawing is a perspective view, and the bottom drawing a top view. Electrostatic evaluation of the interaction of terminal oxygens with surface  $\text{Ba}^{2+}$  cations suggest that the geometry (2) is slightly favored.

dipole moment is oriented parallel to the polarization, and let's call this configuration (1). The attraction energy from the slightly ionized carbon ( $q$  being its positive charge) to one surface  $\text{O}^{2-}$  situated at the distance  $r$  is given by:

$$V_a^{(1)} = -\frac{qe^2}{2\pi\epsilon_0 r} \quad (5)$$

where  $e$  is the elementary charge and  $\epsilon_0$  the vacuum permittivity. At the same time, if the molecule is oriented with its oxygens towards the BTO, there will be a repulsive energy between these oxygens with charge  $-q/2$  and the oxygen anion from the surface:

$$V_r^{(1)} = \frac{qe^2}{2\pi\epsilon_0(r^2 + b^2 - 2rb\cos\beta)^{1/2}} \quad (6)$$

where  $b$  is the  $\text{C}=\text{O}$  bond length of the molecule and  $2\beta$  is the angle between its two  $\text{C}=\text{O}$  bonds. The dipole interaction energy with the electric field oriented outwards is given by

$$\begin{aligned} V_d^{(1)} &= -\frac{p \cdot E}{2} = -\frac{1}{2}\left(\frac{P}{\epsilon_0}\right)^2 \times 4\pi\epsilon_0\alpha_v = -2\pi\frac{P^2\alpha_v}{\epsilon_0} \\ &= -2\pi\frac{e^2}{\epsilon_0}\left(\frac{P}{e}\right)^2 \equiv -\frac{e^2}{\epsilon_0} \times \frac{2\pi}{r_0} \end{aligned} \quad (7)$$

where  $p$  is the induced moment,  $P$  is the polarization of BTO,  $4\pi\epsilon_0\alpha_v$  is the molecular polarizability, and  $\alpha_v$  is the polarizability given in volume units.  $r_0$  is a characteristic distance defined by the polarization of the substrate and the polarizability of the molecule. For  $\alpha_v[\text{CO}_2] = 2.911 \text{ \AA}^3$  and  $P = 0.2 \text{ Cm}^2$ , it reads about 220 nm.

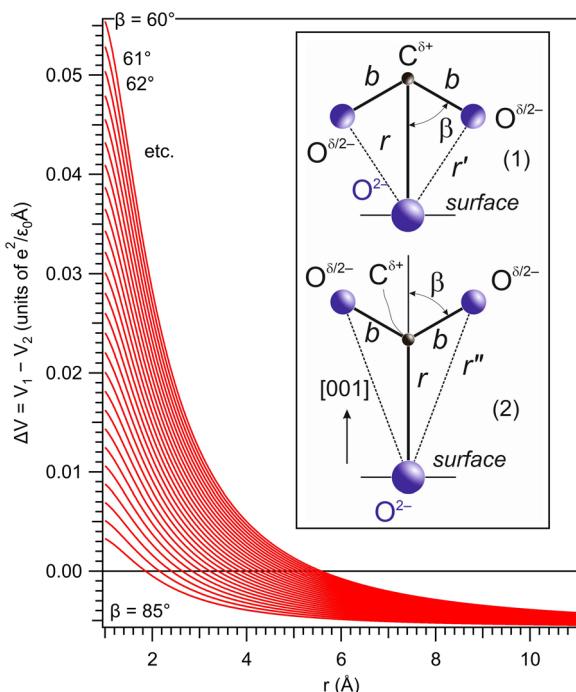


Fig. 8 The potential energy difference between the configuration with the oxygens from the bent  $\text{CO}_2$  oriented towards the BTO(001) surface and that with the carbon closer to the BTO(001) surface. When this energy difference is positive, the molecule is supposed to be oriented with the carbon towards a surface oxygen anion.

Hence, the total potential energy will be:

$$V_1 = \frac{e^2}{\epsilon_0} \left\{ -\frac{q}{2\pi r} + \frac{q}{2\pi(r^2 + b^2 - 2rb\cos\beta)^{1/2}} - \frac{2\pi}{r_0} \right\} \quad (8)$$

For the configuration with the molecule 'switched' with the carbon towards the surface  $\text{O}^{2-}$  and its oxygens in the opposite direction with respect to the sample surface (configuration (2) in the following, see Fig. S14 and the insert of Fig. 8, ESI†), the interaction energy of the carbon with the surface oxygen will be the same  $V_a^{(1)} = V_a^{(2)}$ , and the repulsion energy of oxygens from  $\text{CO}$  with the surface oxygen anion is given by:

$$V_r^{(2)} = \frac{qe^2}{2\pi\epsilon_0(r^2 + b^2 + 2rb\cos\beta)^{1/2}} \quad (9)$$

and the molecular dipole is now oriented antiparallel to the polarization, hence:

$$V_d^{(2)} = +\frac{e^2}{\epsilon_0} \times \frac{2\pi}{r_0} \quad (10)$$

The total interaction energy of this configuration is given by:

$$V_2 = \frac{e^2}{\epsilon_0} \left\{ -\frac{q}{2\pi r} + \frac{q}{2\pi(r^2 + b^2 + 2rb\cos\beta)^{1/2}} + \frac{2\pi}{r_0} \right\} \quad (11)$$

Plotting  $\Delta V = V_1 - V_2$  allows one to determine which configuration is the most stable. Unfortunately, there are too many parameters in this model: the supposed charge of the carbon in  $\text{CO}_2$   $q$ , the bond length  $b$ , and the angle  $\beta$ . In Fig. 8 we present a possible variation of  $\Delta V(r)$  for several values of  $\beta$ ,  $q = 1$  and the  $\text{C}=\text{O}$  bond length  $b = 1.16 \text{ \AA}$ .<sup>50</sup> It can be seen that for a distance below a certain limit (about 5.5 Å for  $\beta = 60^\circ$ ) the second configuration, with the carbon oriented towards the BTO(001), is the most stable.

This toy model could be refined by taking into account multiple interactions, *e.g.* also with the Ba atoms from the surface. We are however suspicious whether reliable quantitative results could be obtained by such a simple electrostatic model, taking into account the variety of the parameters that have to be employed and also the fact that at such distances quantum chemistry enters into action to consolidate the surface chemical bonds. The experiments suggest that at higher separation the induced dipole should be more or less parallel to the polarization of the substrate, then the oxygens of  $\text{CO}_2$  should be oriented towards the BTO(001); while at a certain separation the molecule switches, such that at the end carbon is the closest atom to the surface. Probably a more sophisticated explanation could be offered by molecular dynamics simulations.

## 4. Conclusion

This experiment was a success in the sense that the amount of  $\text{CO}_2$  adsorbed was significantly higher than in the case of  $\text{CO}$  or  $\text{C}_2\text{H}_4$  on the same substrate, approaching one molecule per surface unit cell at low temperature. Let us estimate the



adsorption capacity for a  $\text{BaTiO}_3$  powder of 20 nm,<sup>51,52</sup> and assume that the powder is formed by cuboidal nanoparticles. Their volume would be  $8000 \text{ nm}^3$  and their mass would be  $4.82 \times 10^{-17} \text{ g}$ , by using the density of  $\text{BaTiO}_3$  of  $6.02 \text{ g cm}^{-3}$ . The total area of two opposite faces where the polarization is supposed to be oriented out-of-plane is  $800 \text{ nm}^2$ , and it comprises about 5246 surface  $\text{BaO}$  unit cells. If on each unit cell a  $\text{CO}_2$  molecule is adsorbed, then the total mass of the adsorbate is estimated at  $44 \times 1.67 \times 10^{-24} \text{ g} \times 5246 = 3.86 \times 10^{-19} \text{ g}$ . Thus, 1 g of  $\text{BaTiO}_3$  powder might adsorb about 8 mg of  $\text{CO}_2$ . This is below the recommended amount of about 20 mg  $\text{g}^{-1}$  for carbon sorbents to be used in decarbonization, but the relative low cost of the barium titanate powder (including its milling to produce nanoparticles) and the simplicity of the process may be seen as compensating this drawback. Note also that desorption could not only be thermally induced, but also by applying an electric field to induce the depolarization of the material. Also, 8 mg  $\text{g}^{-1}$  for 20 nm BTO nanoparticles represents almost double the reported adsorption capacity of BTO nanocatalysts with the same average size in ref. 11.

In addition to this result, the actual study was a step forward with respect to ref. 21 and 22 in terms of methodology, since the  $\text{CO}_2$  desorption was followed-up in finer steps and also because the stoichiometry analysis was further developed and simplified. As discussed above, the proposed adsorption geometry should be confirmed by further experimental and theoretical studies. The slight deviations of the stoichiometry from its ideal value is in line with the presence of some oxygen vacancies and with the observed energy difference between the Fermi level and the valence band offset in the valence band spectra.

At the end, let us point out that a separate experiment with  $\text{N}_2$  adsorption on BTO(001) yielded a negative result, in the sense that no nitrogen was detected on the surface. At the same time, it is reasonable to suppose that no additional oxygen from the atmosphere will be attached on BTO(001), since during the preparation, the sample is supposed to become saturated in oxygen. Thus, from the content of the terrestrial atmosphere, mainly water and carbon containing molecules are supposed to be adsorbed on clean barium titanate.

In conclusion, this fundamental study of carbon dioxide adsorption on atomically clean, (001) oriented barium titanate may be seen as a first encouraging indication to continue towards the industrial feasibility of ferroelectric absorbers for decarbonization.

## Author contributions:

Alexandru-Cristi Iancu: investigation, writing – original draft. George A. Lungu: investigation, writing – original draft. Cristian A. Tache: investigation. Cristian M. Teodorescu: conceptualization, supervision, investigation, validation, formal analysis, software, visualization, methodology, writing – original draft, writing – review & editing, funding acquisition, project administration.

## Data availability

Data is available upon request from the corresponding author. Relevant Igor Pro, MS Excel and CorelDraw files are provided in the ESI.<sup>†</sup>

## Conflicts of interest

There are no conflicts to declare.

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