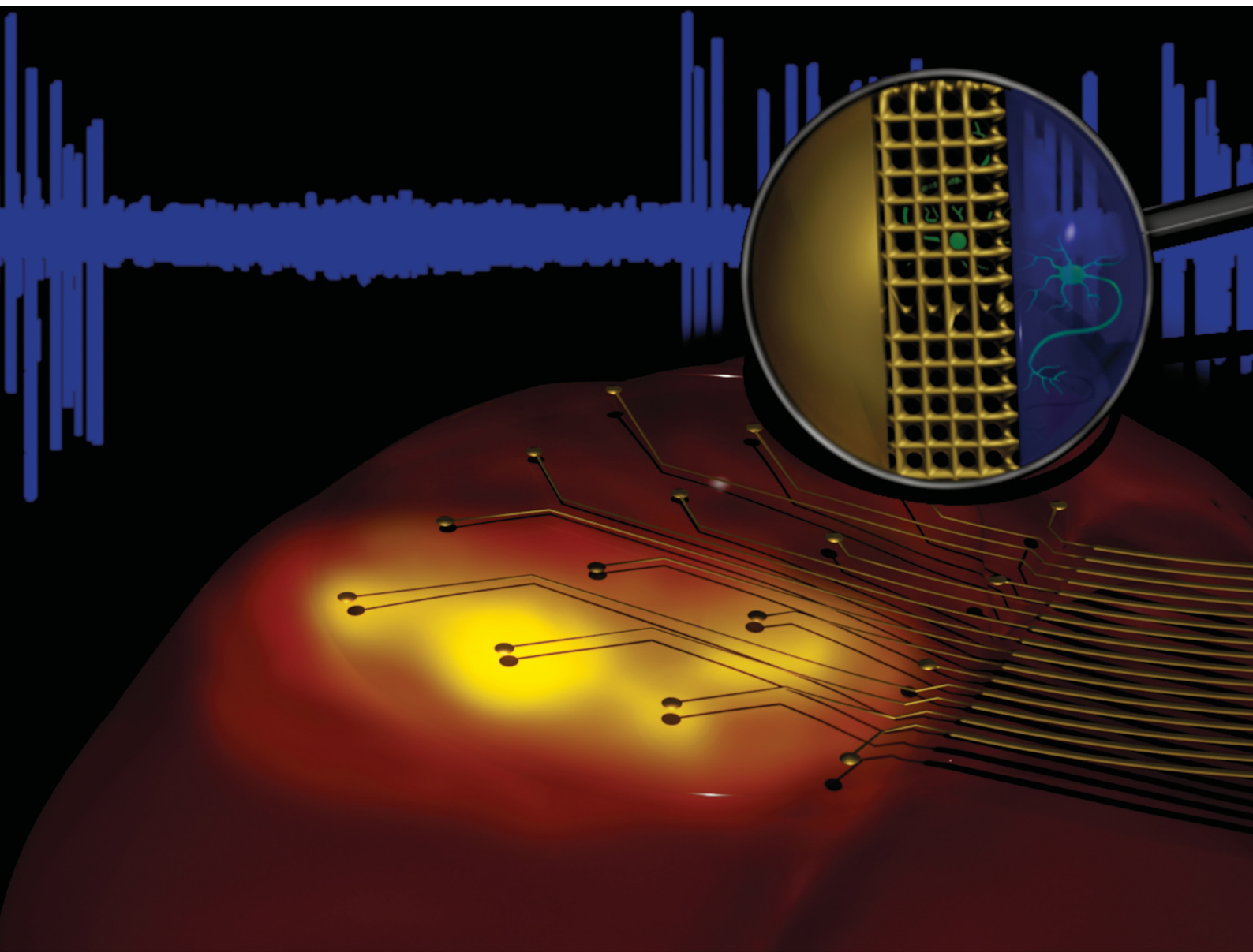


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## PAPER

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## Validation of transparent and flexible neural implants for simultaneous electrophysiology, functional imaging, and optogenetics†

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The combination of electrophysiology and neuroimaging methods allows the simultaneous measurement of electrical activity signals with calcium dynamics from single neurons to neuronal networks across distinct brain regions *in vivo*. While traditional electrophysiological techniques are limited by photo-induced artefacts and optical occlusion for neuroimaging, different types of transparent neural implants have been proposed to resolve these issues. However, reproducing proposed solutions is often challenging and it remains unclear which approach offers the best properties for long-term chronic multimodal recordings. We therefore created a streamlined fabrication process to produce, and directly compare, two types of transparent surface micro-electrocorticography ( $\mu$ ECoG) implants: nano-mesh gold structures (m- $\mu$ ECoGs) *versus* a combination of solid gold interconnects and PEDOT:PSS-based electrodes (pp- $\mu$ ECoGs). Both implants allowed simultaneous multimodal recordings but pp- $\mu$ ECoGs offered the best overall electrical, electrochemical, and optical properties with negligible photo-induced artefacts to light wavelengths of interest. Showing functional chronic stability for up to four months, pp- $\mu$ ECoGs also allowed the simultaneous functional mapping of electrical and calcium neural signals upon visual and tactile stimuli during widefield imaging. Moreover, recordings during two-photon imaging showed no visible signal attenuation and enabled the correlation of network dynamics across brain regions to individual neurons located directly below the transparent electrical contacts.

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## 1. Introduction

Implantable electronic devices comprising microelectrode arrays (MEAs) are designed to couple electrically with the nervous system, thereby enabling electrical recording and stimulation of neural activity in distinct nervous tissues. Such devices, also referred to as neural implants, are used in neuroscience and neurology in different research and clinical settings. For example, to investigate the complex neuronal networks in the central and

peripheral nervous systems,<sup>1,2</sup> to distinguish between healthy and pathological brain activity in the detection of epileptic events,<sup>3,4</sup> or to treat neurodegenerative diseases, as shown by deep brain stimulation for the treatment of Parkinson's disease.<sup>5</sup>

The materials, dimensions, and electrochemical properties of the implant electrodes, as well as the spatial arrangement of electrodes on the device, are important design features to allow capturing the electrical activity from either individual neurons in the form of high-frequency action potentials or from larger groups of neurons in the form of low-frequency local field potentials (LFPs). Aside from recording, neural implants can also be used to modulate neural activity through targeted current injections. Until recently, neural implants were mostly rigid devices but new developments in material science have also enabled the introduction of flexible materials with mechanical properties akin to brain tissues.<sup>6–8</sup> This strongly increased biocompatibility and diminish foreign body reactions, thereby expanding the functional lifespan of neural implants.<sup>9,10</sup> However, despite the value of electrophysiological signals, cellular events related to specific biochemical and ionic processes, such as intracellular calcium transients, are beyond

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the reach of devices that measure extracellular neural signals *in vivo*. Moreover, electrical stimulation modulates neural activity with low specificity and cannot target specific neuron subtypes or neural projection pathways.

Advances in molecular biology and imaging techniques offer a powerful way to bridge this gap and have broadened the scope of neurophysiological techniques by introducing optical modalities to selectively read and stimulate neural activity.<sup>1,11</sup> To image neural activity, neurons are genetically modified by introducing reporter genes (*e.g.*, via virus-based methods) to induce the expression of fluorescent proteins that serve as cellular indicators, such as GCaMP a calcium-sensing molecule.<sup>12</sup> Different imaging methods are commonly implemented in neuroscience to capture cellular processes of single- and local populations of neurons, as well as large-scale network activity: widefield functional imaging allows simultaneously capturing calcium-dependant events in different brain regions, exposing large neuronal network dynamics with cell type specificity.<sup>13,14</sup> To reveal more specific neural activity patterns, two-photon calcium imaging allows the imaging of local neural network of thousands of neurons with single-cell resolution.<sup>15,16</sup> However, such optical methods are limited in temporal resolution due to their low sampling rate (usually around 30 Hz) and allow only indirect measurements of cellular activity that are limited by the working distance of the objectives and the penetration depth of light in scattering tissue.

By combining electrophysiological neural implants with neuro-imaging methods, the spatiotemporal resolution limits of individual techniques can be overcome and allows the simultaneous measurement of electrical activity signals with calcium dynamics from single neurons to neuronal networks across distinct brain regions.<sup>1</sup> Nonetheless, current neural implants are limited by the transparency of their materials and suffer from photo-induced artefacts.<sup>17</sup> Robustness to photo-induced artefacts is also a major roadblock when combining neural implants with optical stimulation methods. Optogenetic stimulation is a powerful tool to modulate the activity of specific neural populations, but simultaneous electrophysiological recordings are crucial to confirm and

adjust neural stimulation patterns, thereby allowing the development of future closed-loop stimulation devices.

To fully integrate electro- and optophysiological methods, the ideal neural implants should therefore be fully transparent and resistant to photo-induced artefacts. To enable transparency, polymeric materials such as parylene-C (PaC), SU-8, or polydimethylsiloxane (PDMS) are used as insulating materials,<sup>18–20</sup> and strategies, such as using platinum (Pt) ring electrodes,<sup>20</sup> graphene,<sup>7,21</sup> the combination of solid or nano-mesh gold (Au) electrodes with electropolymerized PEDOT:PSS<sup>18,19</sup> or the use of metal interconnects with bare PEDOT:PSS electrodes<sup>22</sup> have been proposed. While different studies have shown the feasibility of simultaneously performing *in vivo* MEA recordings with widefield, and two-photon imaging,<sup>18–20,22</sup> it is not clear which material-based strategy yields the best electro-optophysiological performance and long-term stability for chronic applications. Additionally, the functional spatiotemporal correlations of electrophysiology with widefield and two-photon imaging corresponding to neurons directly underneath transparent electrical contacts, as well as the implementation of photo-induced artefact-free electrical recordings upon different imaging modalities or optogenetic stimulation has not yet been demonstrated.

To find the best material-based strategy for the implementation of MEA-based neural implants for *in vivo* neuroscientific applications that combine electrophysiology with different calcium imaging modalities, this work establishes the fabrication and validates the *in vitro* and *in vivo* performance of transparent and flexible PaC-based neural implants. For the scope of this work, we focused on micro electrocorticography arrays ( $\mu$ ECOGs) as they allow the simultaneous measurement of surface potentials from a large amount of cortical brain areas with high spatial resolution. The electrophysiological data from individual electrodes can also be aligned with functional imaging results, making it ideal for multimodal applications.

We first developed a novel and reproducible fabrication process for two promising transparent  $\mu$ ECOG types: nano-mesh gold (m- $\mu$ ECOGs) and PEDOT:PSS  $\mu$ ECOGs (pp- $\mu$ ECOGs). To characterize both arrays, we performed a systematic *in vitro* study to assess the electrical and electrochemical properties, the transparency, the shadowing effects upon imaging, and the optoelectrical performance of each device in comparison to solid gold  $\mu$ ECOGs (s- $\mu$ ECOGs). Our study is also the first to perform a thorough comparison of both devices *in vivo* and characterize their long-term durability in combination with different imaging modalities, such as functional widefield and two-photon calcium imaging. Our results suggest that pp- $\mu$ ECOGs have superior material properties trade-off for long-term chronic and multimodal neurophysiological experiments.

## 2. Materials and methods

### Fabrication of neural probes

Three different process flows were carried out for the fabrication of the transparent flexible neural probes. All processes



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were conducted on 4-in. silicon wafers that were used as host substrates and first coated with 3  $\mu\text{m}$  of parylene-C (PaC) *via* chemical vapor deposition. The microfabrication processes were carried out at the Helmholtz Nano Facility at *Forschungszentrum Jülich*.<sup>23</sup>

### Solid metal-based $\mu\text{ECOG}$ (s- $\mu\text{ECOG}$ )

The fabrication of the s- $\mu\text{ECOG}$ s consisted of the encapsulation of a solid metal layer in between two flexible PaC layers using a process flow similar to that described previously.<sup>6</sup> After the deposition of the first PaC layer, the metal feedlines, contact pads, and electrodes were patterned. Here, a deposition mask was structured by spin-coating AZ LNR-003 (MicroChemicals GmbH) at 4000 rpm for 45 s, followed by a soft-bake at 120 °C for 2 min and the exposure of the photoresist with a dose of 240 mJ cm<sup>-2</sup>, a defoc of 2, and a critical dimension bias (CDB) of 800 using maskless lithography (MLA 150, Heidelberg Instruments). The substrate was then baked at 100 °C for 1.5 min and developed in AZ 326 MIF (MicroChemicals GmbH) for 1.5 min. A metal stack of 20/100/10 nm of Ti/Au/Ti was then deposited *via* electron-beam (e-beam) assisted evaporation (Pfeiffer PLS 570), and the metal pattern was lifted-off in acetone for 2h and rinsed in isopropanol. As an encapsulation layer, a second 3  $\mu\text{m}$ -thick PaC layer was deposited. Both the shape of the implants and the passivation openings (contact pads and electrodes) were structured using a 15  $\mu\text{m}$ -thick etch mask based on AZ4562 (MicroChemicals GmbH). Here, the photoresist was spin-coated in four steps. First at 200 rpm with an acceleration of 200 rpm for 20 s, followed by 500 rpm for 10s, 1000 rpm for 5s, and 1000 rpm for 40 s with an acceleration of 500 rpm. After a soft bake at 100 °C for 5 min, the photoresist was exposed using a dose of 1000 mJ, a defoc of -2, and a CDB of -800, and developed in AZ 326 MIF for 5 min. To etch PaC, reactive ion etching (RIE) was performed using a gas mixture of O<sub>2</sub>(36 sccm)/CF<sub>4</sub>(4 sccm), and an RF/ICP power of 50/500. Consequently, the Ti-etch stop layer was etched with a second RIE step using a gas mixture of O<sub>2</sub>(20 sccm)/Ar(20 sccm), and an RF power of 150 W. In the end, the photoresist was stripped in AZ 100 remover (MicroChemicals GmbH) or acetone using a two-bath system and finally rinsed in isopropanol using a three-bath system, each time using a fresh solution.

### Mesh-based $\mu\text{ECOG}$ (m- $\mu\text{ECOG}$ )

The fabrication of m- $\mu\text{ECOG}$  implants comprised a combination of colloidal lithography and standard photolithography. The holey metal was patterned adapting and optimizing the process described previously to flexible substrates.<sup>2,3</sup> The PaC-coated wafer was first subjected to oxygen plasma at 0.8 mbar and 50 W for 2 min to provide a hydrophilic surface, followed by a funnel-assisted self-assembly process for the deposition of polystyrene nanoparticles (PSNPs) (Bangs Laboratories Inc.). The wafer was immersed in a 500 mL beaker filled 80% with Milli-Q water and containing a polyethylene funnel. A mixture containing 200  $\mu\text{L}$  of PSNPs, 200  $\mu\text{L}$  of Milli-Q water, and 400  $\mu\text{L}$  of ethanol was prepared and applied onto the surface of the water, followed by the addition of 10  $\mu\text{L}$  of Triton-X. To allow

the assembly of PSNPs at the water-air interface, the water was slowly drained from the beaker and the wafer was dried at room temperature. To serve as a deposition mask, the size of the PSNPs was reduced *via* RIE using a gas mixture of O<sub>2</sub>(40 sccm)/CF<sub>4</sub>(10 sccm) and an RF power of 30 W. A metal layer of 10/100 nm of Ti/Au was evaporated, followed by the removal of the PSNPs using a peel-off technique. Here, dicing tape (Ultron System) was used to cover the PSNP-Au coated wafer and gently peeled from the wafer, such processes was repeated until no PS-NP-Au residues were seen (Fig. S1c, ESI†). Then an etch mask was photopatterned on the holey Au and structured with wet chemical etching. ECI 3012 (MicroChemicals GmbH) was first spin-coated at 4000 rpm for 45 s, soft-baked at 90 °C for 1 min, exposed with maskless lithography at 120 mJ with a defoc of 2, subjected to a post-exposure bake at 120 °C for 2 min, and developed in AZ 326 MIF for 65 s. Then, Au was etched in a 1:1 solution of Au TechniEtch (MicroChemicals GmbH) in deionized water for 1 min 20 s, followed by Ti etching using Ti TechniEtch TC solution (MicroChemicals GmbH) for 2 min 30 s. The wafer was then thoroughly cleaned in a water cascade. A second PaC layer was then deposited, and the shape and passivation openings were etched as described before for the s- $\mu\text{ECOG}$ s. Given the lack of a Ti-etch layer, two RIE steps to etch PaC were carried out, one for PaC removal of contact pad and electrode openings and a second one for the shape of the implant.

### PEDOT:PSS-based $\mu\text{ECOG}$ (pp- $\mu\text{ECOG}$ )

As a first step, a PEDOT:PSS solution was prepared by filtering the aqueous suspension of PEDOT:PSS PH1000 (Heraeus Clevios GmbH) with a pore size of 0.8  $\mu\text{m}$  and mixing it with a concentration of 93.3% (v/v) with 1% (v/v) (3-glycidyloxypropyl)trimethoxy-silane (GOPS, Sigma Aldrich), 5% (v/v) ethylene glycol (Sigma Aldrich), and 0.1% (v/v) of dodecylbenzenesulfonic acid (DBSA, Sigma Aldrich) as reported in previous work.<sup>8</sup> The mixture was subjected to ultra-sonication for 20–25 min and was left overnight to rest before use.

The fabrication of the pp- $\mu\text{ECOG}$  follows the same process steps of m- $\mu\text{ECOG}$ s until the metallization layer. The contact pads and most parts of the cable feedlines were made of 20/100 nm of Ti/Au. After lift-off, the surface was treated with O<sub>2</sub> plasma at 0.8 mbar and 50 W for 2 min to enhance the adhesion of the PEDOT:PSS layer, which was first spin coated at 3000 rpm for 30s and baked for 1 min at 110 °C. A second PEDOT: PSS layer was deposited at 5000 rpm for 30 s and the following baking step at 110 °C for 1h. Afterward, the wafer was left swelling overnight in deionised water. After the wafer was dry, a positive etch mask was deposited. The electrodes and the feedlines were protected by a positive etch mask. ECI 3012 was spin-coated at 4000 rpm for 45 s, soft baked at 90 °C for 1 min, exposed with maskless lithography at 120 mJ with a defoc of 2, subjected to a post-exposure bake at 120 °C for 2 min, and developed in AZ 326 MIF for 65s. Subsequently, PEDOT: PSS was etched by RIE using a gas mixture of CF<sub>4</sub>(5 sccm)/O<sub>2</sub>(50 sccm) with a RF power of 150 W, as reported in other works.<sup>24</sup> The etching of



the electrode and contact pad openings as well as the shape is etched as described for  $m\text{-}\mu\text{ECoGs}$ .

### Electrodeposition of PEDOT:PSS

PEDOT:PSS was electro-polymerised on solid Au (sAu) and mesh Au (mAu) electrodes. An EDOT:PSS solution was first prepared by mixing 0.1% (w/v) of 3,4-ethylenedioxythiophene (EDOT) (Sigma Aldrich) and 0.7% of poly(sodium 4-styrenesulfonate) (PSS) (Sigma Aldrich) in deionized water. With all electrodes short-circuited, sAu and mAu electrodes were electrochemically cleaned by subjecting them to 10 cyclic voltammetry (CV) cycles in 0.1M PBS and sweeping from  $-0.6$  V to  $0.9$  V at  $100\text{ mV s}^{-1}$ . The electrodes were then activated with  $\text{O}_2$  plasma at  $0.8$  mbar and  $80$  W for  $3$  min. Electrochemical polymerization of PEDOT:PSS was performed *via* chronoamperometry using a constant potential of  $1$  V for  $20$  s. During all electrochemical processes, a Pt wire and Ag/AgCl ( $3\text{ M KCl}$ ) electrodes were used as counter and reference, respectively. At the end, all probes were rinsed with MilliQ water.

### Packaging

After the final etching step, the implants were released from the Si-host wafer using a drop of deionized water and flip-chip bonded onto a printed circuit board (PCB) previously soldered with an 18 channel Omnetics connector (A79040-001, Omnetics Corp). Low temperature solder alloy Sn42/Bi58 (AMTECH) was applied on a pre-heated PCB at  $180^\circ\text{C}$  forming liquid bumps on the contact pads, then the temperature was reduced to  $160^\circ\text{C}$  and the PaC-based  $\mu\text{ECoGs}$  were flipped and manually aligned to the PCB. To seal the connector interface, PDMS (Sylgard 184) in a mix ratio of  $1:10$  was applied at the interface of the Omnetics connector and the contact pad area and cured at  $120^\circ\text{C}$  for  $30$  min.

### Electrical and electrochemical characterization

The resistance of the mAu feedlines were simulated using COMSOL Multiphysics version 5.6 using the AC/DC module. The following physical properties of Au were taken for the simulation: electrical conductivity ( $45.6 \times 10^6\text{ S m}^{-1}$ ), coefficient of thermal expansion ( $14.2 \times 10^{-6}\text{ K}^{-1}$ ), heat capacity at constant pressure ( $129\text{ J kg}^{-1}\text{K}^{-1}$ ), density ( $193\,000\text{ kg m}^{-3}$ ), thermal conductivity ( $317\text{ W m}^{-1}\text{K}^{-1}$ ), Young's modulus ( $70 \times 10^9\text{ Pa}$ ), and Poisson's ratio ( $0.44$ ). One end of the feedline was set as the ground node providing a potential of zero, and the other end of the feedline was set as the terminal node supplying a current of  $1\text{ mA}$ . Such boundary conditions allowed the simulation of the resistance following Ohm's law and Maxwell's equations.

The conductivity of PaC/sAu, PaC/mAu, and PaC/PP samples was measured using a four-probe (tungsten, picoprobe<sup>®</sup> ST-20-2) setup with the Van der Pauw (VDP) method using a Keithley 4200 probe station.

Electrochemical impedance spectroscopy was performed in a three-electrode cell setup using the electrodes of the implants as working electrodes, a Pt-wire as a counter electrode, and a Ag/AgCl electrode as reference. A VSP-300 potentiostat

(BioLogic Science Instruments) was used to measure impedance spectra *in vitro* in  $0.1\text{ M PBS}$  using a  $10\text{-mV}$  sinusoidal signal with a frequency sweep from  $1\text{ Hz}$  to  $1\text{ MHz}$ .

To distinguish functional from non-functional electrodes, the impedance magnitude at  $1\text{ kHz}$  was compared to the theoretical impedance after the extended Stern model using the equation of a capacitor:<sup>25,26</sup>

$$Z_{1\text{kHz}} = \frac{1}{2 \cdot \pi \cdot f \cdot C}$$

$$C = C_s \cdot \text{GSA}$$

Here  $f$  is the frequency of interest,  $C$  is the capacitance,  $C_s$  is the specific capacitance, and GSA the geometric surface area. In the case of PEDOT:PSS, the volumetric capacitance was taken. An electrode diameter of  $50\text{ }\mu\text{m}$  was taken for all calculations. The GSA for mAu-based electrodes was calculated by subtracting the surface area of nano-mesh holes from the area of a  $50\text{ }\mu\text{m}$ -electrode and adding the area of the mesh-hole walls. For the calculations,  $C_{\text{sAu}} = 19.03\text{ }\mu\text{F cm}^{-2}$ ,  $C_{\text{PP}} = 65.64\text{ F cm}^{-3}$ , and  $C_{\text{sAu/PP}} = 47.6\text{ F cm}^{-2}$  were used as reported by the literature.<sup>27</sup> Therefore, if the impedance of the electrode was below  $\frac{1}{4}$  or bigger than four times the theoretical impedance, the electrode was considered non-functional. Additionally, given the high variability, data from PEDOT:PSS-based electrodes required manual curation. In the latter case, if the impedance was  $17.2$  higher than the theoretical value, an electrode was selected as non-functional.

*In vivo* impedance was measured using the portable potentiostat PalmSens4 (PalmSens) in a two-electrode cell setup using a  $10\text{ mV}$  sinusoidal signal with a frequency sweep from  $1\text{ Hz}$  to  $10\text{ kHz}$ . Each implant electrode was used as a working electrode and a socket pin in the cerebellum was used as counter electrode.

### Optical characterization

**Transmittance measurements.** Transmittance measurements were performed with a Spectrophotometer (PerkinElmer 900) at the maximum wavelength range of  $200\text{ nm}$  to  $1000\text{ nm}$  of the device. The different materials were fabricated as described in the section 'Fabrication of neural probes' but without the shaping of the feedlines or a second PaC/passivation layer (PaC layer thickness is about  $3.5\text{ }\mu\text{m}$ , except for the PaC-only sample where the total layer thickness of PaC is  $10\text{ }\mu\text{m}$ ). After etching or cutting squared objects, these were lifted with a droplet of water and then transferred and fixed in a custom-made holder for the spectrophotometer. For each material, three different samples were measured.

**Photo-induced artefacts.** For the photo-induced artefact measurements, the  $\mu\text{ECoGs}$  were fabricated as described in the section 'Fabrication of neural probes'. After electrochemical characterization, they were placed under a  $10\times$  air objective (TL10X-2P, Thorlabs Inc) in a two-photon setup (Bergamo II, Thorlabs Inc). The electrical recordings were performed *in vitro*.



For each measurement, two electrodes of the  $\mu$ ECoG were placed in focus and we used a blue ( $\lambda = 470$  nm, M470L4, Thorlabs Inc) and red LED ( $\lambda = 625$  nm, M625L4, Thorlabs Inc) in the excitation pathway of the microscope to induce photo-artefacts. The diameter of the produced light spout was  $\sim 2.5$  mm and we used 5 Hz and 20 Hz sequences with 10 ms long pulses at different light powers ( $0.8 \text{ mW mm}^{-2}$ ,  $2.4 \text{ mW mm}^{-2}$ , and  $3.2 \text{ mW mm}^{-2}$ ). Three probes were tested for each material. To perform electrophysiological recordings, the  $\mu$ ECoGs were placed in 0.1M PBS and connected to the recording system (ME2100, Multichannel Systems, sampling rate = 20 kHz). A reference electrode of Ag/AgCl was also placed in the PBS solution.

**Shadowing measurements and quantification.** To quantify the shadows, the  $\mu$ ECoGs were fabricated as described in the section 'Fabrication of neural probes'. The  $\mu$ ECoGs were placed on top of a 470  $\mu\text{m}$  thick agarose gel on top of a yellow/green, fluorescent glass slide. For the pictures in Fig. 3b, the two-photon setup with a 10x air objective was used and we imaged electrodes either with standard one-photon excitation ( $\lambda = 470$  nm, Fig. 3 "Widefield") or two-photon excitation with an infrared laser (Coherent Vision S,  $\lambda = 920$  nm, Fig. 3 "2-Photon") were used. The 'top focus' is focusing on the electrodes and the 'bottom focus' is 470  $\mu\text{m}$  below on top of the fluorescent sample (marked with a cross).

To quantify the signal attenuation due to the electrode shadow, four electrodes per  $\mu$ ECoG type were analysed with custom Matlab functions (Matlab 2020b, Mathworks). We first computed the mean fluorescence in three 50  $\mu\text{m}$  wide circles around each electrode to obtain an average measure of background fluorescence. The signal attenuation was then obtained as the relative change in measured fluorescence by computing the difference between the background fluorescence and the average fluorescence under the electrode, divided by the background fluorescence.

**Animal model and surgical procedures.** Mouse strains were originally acquired from the Jackson Laboratory and housed in a local breeding facility. To allow the imaging calcium-related fluorescence, mice were double-transgenic obtained from crossing the TRE-GCaMP6s (G6s2, JAX 024742) and Camk2 $\alpha$ -tTA (CaMKII-tTA, JAX 007004) mouse strains. This resulted in reliable expression of the calcium indicator GCaMP6s throughout all excitatory pyramidal neurons in the cortex of adult mice.<sup>13,28</sup>

Animal experiments in this work were approved by the Landesumweltamt für Natur, Umwelt und Verbraucherschutz Nordrhein-Westfalen, Recklinghausen, Germany, under permit number 81-02.04.2021.A021. Experiments were carried out according to the German animal protection law and local Ethics committee and is reported according to the ARRIVE guidelines.

Four female mice between 30–33 weeks of age were used for the imaging experiments. Mice were anaesthetized using (1%–2%) isoflurane in oxygen and placed in a stereotaxic frame with stabilized and monitored body temperature (37 °C). After induction of anaesthesia, 0.1 mg kg<sup>-1</sup> body weight Buprenorphin was injected subcutaneously, and sterile lidocaine ointment was

applied topically to the skin incision site. We then built an outer wall using dental cement (C&B Metabond, Parkell; Ortho-Jet, Lang Dental) along the lateral edge of the dorsal cranium (frontal and parietal bones). After making a midline cranial incision, the skin was retracted laterally and fixed in position with tissue adhesive (Vetbond, 3 M). A custom titanium skull post was then attached to the dental cement. A circular craniotomy ( $\phi = 3$  mm) was then made over the visual and somatosensory cortex (3 mm lateral and 2.5 mm caudal to bregma) using a biopsy punch. The dura surface was covered with PBS and the  $\mu$ ECoG array was placed on the solution. After positioning with a micromanipulator, a 3 mm wide circular coverslip was placed on the neural implant, fixing its position in the centre of the craniotomy. The coverslip was then sealed with Vetbond and Metabond and the flexible feedline cable between the implant and the Omnetics connector was secured with dental cement. Lastly, the Omnetics connector was placed close to the skull on the contralateral hemisphere and secured with an additional layer of dental cement. To provide shielding against electromagnetic interference, the dental cement was covered with a layer of conductive silver epoxy (8330, MG Chemicals) that was connected to a grounding pin, placed over the cerebellum.

**Combined functional imaging and electrophysiology.** Mice were head-fixed in either the widefield or two-photon imaging setup and free to run on a light-weight 3D-printed wheel during the measurement. The widefield imaging was done in a custom-built setup<sup>29,30</sup> that consisted of an inverted tandem-lens microscope and a sCMOS camera (Edge 5.5, PCO), running at 30 frames per second. The focal lengths of the top lens (DC-Nikkor, Nikon) and bottom lens (85M-S, Rokinon) were 105 mm and 85 mm, respectively. The field of view was  $12.5 \times 10.5 \text{ mm}^2$  and the imaging resolution was  $640 \times 540$  pixels after  $4\times$  spatial binning, resulting in a spatial resolution of  $\sim 20 \mu\text{m}$  per pixel. A 525-nm bandpass filter in front of the camera was used capture calcium-related fluorescence, (86-963, Edmund optics). Using excitation light at two different wavelengths, we separated calcium-related fluorescence from intrinsic signals as described previously.<sup>28,29</sup> Mice were head-fixed in either the widefield or two-photon imaging setup and free to run on a light-weight 3D-printed wheel during the measurement. The widefield imaging was done in a custom-built setup<sup>29,30</sup> that consisted of an inverted tandem-lens microscope and a sCMOS camera (Edge 5.5, PCO), running at 30 frames per second. The focal lengths of the top lens (DC-Nikkor, Nikon) and bottom lens (85M-S, Rokinon) were 105 mm and 85 mm, respectively. The field of view was  $12.5 \times 10.5 \text{ mm}^2$  and the imaging resolution was  $640 \times 540$  pixels after  $4\times$  spatial binning, resulting in a spatial resolution of  $\sim 20 \mu\text{m}$  per pixel. A 525-nm bandpass filter in front of the camera was used capture calcium-related fluorescence, (86-963, Edmund op-tics). Using excitation light at two different wavelengths, we separated calcium-related fluorescence from intrinsic signals as described previously.<sup>28,29</sup>

Two-photon imaging was done in a commercial resonant-scanning two-photon microscope (Bergamo II, Thorlabs Inc) with a 10X air objective lens. Mode-locked illumination at



920 nm was delivered using a Ti:Sapphire laser (Vision-S, Coherent) and we imaged single planes at 30 Hz, either directly on the neural implant or 200  $\mu\text{m}$  below the dura surface. Imaging data was acquired using ScanImage software (MBF bioscience) and saved as a continuous TIF stack with a resolution of  $512 \times 512$  pixels per image.

In both imaging modalities, electrophysiological signals from 16 channels were acquired at 20 kHz by connecting the  $\mu\text{ECoG}$  array to the recording system (ME2100, Multichannel Systems) *via* the implanted Omnetics connector. The ground of the head-stage was connected to the grounding pin on the animal as well as a Faraday cage that enclosed either the widefield or two-photon imaging setup.

**Sensory stimulation during widefield imaging.** Sensory stimulation during combined electrophysiology and widefield imaging was controlled by an Arduino-controlled finite state machine (Bpod r2.5, Sanworks) and custom MATLAB code (2020b, MathWorks) running on a Linux PC. Visual stimuli were created with the Psychtoolbox package<sup>31,32</sup> and consisted of full-field visual gratings with a spatial frequency of 0.04 cpd and an orientation of 90 degrees. Visual stimuli were presented at 18 cm distance from the eye on a gamma-corrected LED-backlit LCD monitor (BenQ XLA2402T) placed at a  $10^\circ$  angle to match the angle of the animal's eye. Tactile stimuli were weak air puff stimuli, directed at the animal's whisker pad and shoulder. Both visual and tactile stimuli were presented for 0.1 seconds each and presented 50 times in randomized order. The inter-stimulus interval was 5 seconds.

**Data processing.** Analysis of electrophysiological and imaging data was done in Matlab 2020b (Mathworks). To analyse light-induced artefacts in the electrophysiological recordings, we computed the light-triggered average (either for individual pulses or the 30 Hz sequences) from the two  $\mu\text{ECoG}$  electrodes that were in the objective focus for each experiment. The magnitude of photo-induced artefacts was computed as the average signal change over the 10 ms long pulse and pooled over all 750 light pulses (125 per electrode, 2 electrodes per sample, and 3 samples per  $\mu\text{ECoG}$  type). To compute the power spectral density during the 30 Hz stimulus sequence and during functional imaging, we used the Welch method with a one-s window length and 50% overlap. This resulted in a minimal frequency resolution of 1 Hz for which the power spectral density was computed.

Event-related potentials in response to visual or tactile stimuli were computed as the average signal over 50 stimulus presentations for each electrode. To create a spatial map over all electrodes, we computed the mean signal change in response to every sensory stimulus within 100 ms after stimulus onset for each electrode. The resulting mean signal change was then averaged over all stimulus presentations and visualized as a spatial map. Similarly, sensory responses in the widefield imaging data were computed as the baseline-corrected mean fluorescence change within 0.66 s after either visual or tactile stimulus onset.

To isolate neural activity from two-photon imaging, we processed raw images from ScanImage using the Suite2P

package.<sup>33</sup> Suite2P was used to perform motion-correction, model-based region of interest detection, correction for neuropil contamination and spike deconvolution. Activity traces in Fig. 8 show the somatic fluorescence from identified example cells, reflecting spontaneous activity of cortical neurons.

### 3. Results and discussion

#### 3.1. Design and fabrication of transparent flexible $\mu\text{ECoGs}$

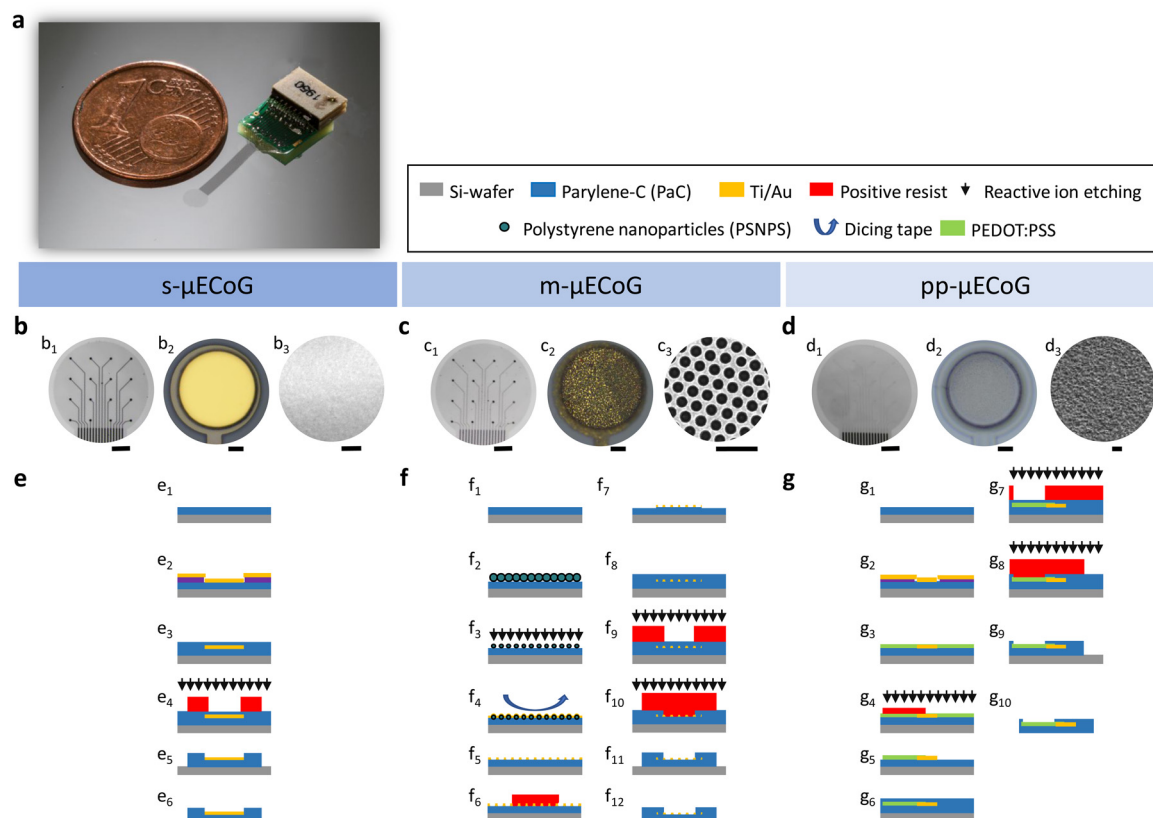
We fabricated  $\mu\text{ECoGs}$  made of PaC using different conductive materials to achieve flexible and transparent devices with a total thickness of 6  $\mu\text{m}$ . The implants contained a 7.5 mm long flexible cable that connected the sensing area with a printed circuit board containing an 18-channel Omnetics plug. The whole front-end-connector was customised and miniaturised to a form factor of  $6.7 \text{ mm} \times 9 \text{ mm}$ , with a total weight of 0.275 g, light enough to be used for *in vivo* applications in small animal models such as mice (Fig. 1a). Each sensing area was 2.6 mm in diameter and contained a matrix of 16 microelectrodes, each with a diameter of 50  $\mu\text{m}$  and a pitch of 550  $\mu\text{m}$  (Fig. 1b–d).

As an example of a non-transparent implant, we fabricated s- $\mu\text{ECoGs}$  with electrical interconnects (feedlines) and electrodes made of solid metal layers consisting of Ti/Au (20/100 nm) using standard surface micromachining<sup>6,8</sup> (Fig. 1e). To achieve transparent devices, we followed two materials strategies. The first strategy consisted of creating metallic nano-mesh (also named nanohole array) structures based on Au by combining standard photolithography with colloidal lithography. Here, we used polystyrene nanoparticles (PSNPs) as nano-templates to form the mAu that resulted in circular honeycomb-like metal structures (Fig. 1c<sub>3</sub>). The fabrication was adapted from previous work to match the fabrication process flow of flexible substrates.<sup>6,25</sup>

First, we deposited a monolayer of PSNPs on PaC-coated substrates using a funnel-assisted self-assembly process that traps the PSNPs at the water–air interface (Fig. S1a and b, ESI†). After reducing the size of the PSNPs with RIE, we coated them with Ti/Au and used dicing tape to lift the PSNPs (Fig. S1c, ESI†). We then removed the excess metal layers of the structure *via* wet chemical etching. To avoid the physical removal of the mesh electrodes, we implemented two RIE steps: the first one to allow the removal of PaC at the contact pad and electrode sites and the second one to outline the shape of the implant (Fig. 1f).

The advantage of this fabrication approach is that it avoids the use of toxic solvents, such as chloroform, to remove the PSNPs as proposed in other works.<sup>19</sup> This is a significant advantage because such solvents can cause the swelling of PaC and other polymers.<sup>34,35</sup> Therefore, our fabrication process avoids harmful reactions on the materials being used, making it suitable for the implementation on other soft polymeric materials beyond PaC, such as PDMS. Moreover, this process allows the fabrication of mAu feedlines and electrodes with different mesh-hole sizes. As an example, we obtained an average mesh-hole size of  $275 \pm 7.5 \text{ nm}$  and  $416 \pm 31.5 \text{ nm}$  with a lattice constant (original size of PSNPs) of 390 nm and





**Fig. 1** Design and fabrication of transparent flexible  $\mu$ ECoGs. (a) Exemplary pp- $\mu$ ECoG with the corresponding packaging. All implants have a diameter of 2.6 mm and contain a matrix of 16 microelectrodes with a diameter of 50  $\mu$ m and a pitch of 550  $\mu$ m ( $b_1$ ,  $c_1$ ) and ( $d_1$ ); scale bar = 500  $\mu$ m). Light microscopy and scanning electron microscopy (SEM) images of s-, m-, and pp- $\mu$ ECoG electrodes are shown in ( $b_2$ ), ( $c_2$ ) and ( $d_2$ ) (scale bar = 10  $\mu$ m) and ( $b_3$ ), ( $c_3$ ) and ( $d_3$ ), (scale bar = 1  $\mu$ m), respectively. The fabrication process flow for s-, m-, and pp- $\mu$ ECoGs is shown in (e), (f), and (g), respectively, starting with the deposition of a PaC layer *via* chemical vapour deposition ( $e_1$ ), ( $f_1$ ) and ( $g_1$ ). The fabrication of s- $\mu$ ECoGs was followed by the patterning of metal structures using a lift-off technique ( $e_2$ ) and the encapsulation by the deposition of a second PaC layer ( $e_3$ ). The shape of the implant, contact pads and electrode openings were exposed with reactive ion etching (RIE, ( $e_4$ )), followed by the stripping of the photoresist etch mask ( $e_5$ ), and releasing of the implant from the host silicon wafer ( $e_6$ ). The fabrication of m- $\mu$ ECoGs included PSNPs that were deposited on a PaC-coated substrate. PSNPs were deposited using a funnel-assisted self-assembly process ( $f_2$ ). The PSNPs were then reduced in size with RIE ( $f_3$ ), evaporated with Ti/Au ( $f_4$ ), and stripped with dicing tape ( $f_5$ ). The mesh structures were then patterned using a positive photoresist as an etch mask and removing the excess metal *via* wet chemical etching ( $f_7$ ). Then, a second PaC layer was deposited ( $f_8$ ), followed by two RIE steps, one for the passivation openings ( $f_9$ ), and one for the shape outline ( $f_{10}$ ), followed by photoresist removal ( $f_{11}$ ) and implant release ( $f_{12}$ ). In the case of pp- $\mu$ ECoGs, a first Ti/Au layer was structured with contact pads and feedlines *via* lift-off ( $g_2$ ). PEDOT:PSS was then spin-coated ( $g_3$ ) and a positive resist was used as an etch mask to expose parts of the feedlines and electrode sites, which were then patterned with RIE ( $g_4$ ) and photoresist removal ( $g_5$ ). After depositing a second PaC layer ( $g_6$ ), two RIE steps were performed to pattern the passivation ( $g_7$ ) and the shape of the implant ( $g_8$ ), remove the etch mask ( $g_9$ ), and release the implant ( $g_{10}$ ).

617 nm upon 4.5 min and 7 min of dry etching during size reduction, respectively (Fig. 1c and Fig. S1d, ESI†).

The second strategy comprised the combination of metal feedlines with bare PEDOT:PSS-based feedlines and electrodes, resulting in neural implants with strongly improved transparency (Fig. 1a and d). The fabrication was based on process flows proposed in the literature,<sup>22,27</sup> in which PEDOT:PSS structures are patterned with dry etching techniques, such as RIE. To avoid etching PEDOT:PSS from the electrode sites, we implemented two RIE steps as performed for the m- $\mu$ ECoGs (Fig. 1g). After processing, PEDOT:PSS layers embedded in PaC (feedlines and edges of electrodes) and exposed PEDOT:PSS electrodes exhibited a thickness of  $78 \pm 7$  nm and 39 – 52 nm, respectively (Fig. S2, ESI†). All fabrication processes can be transferred to other designs and applications.

### 3.2. Electrical and electrochemical performance of $\mu$ ECoGs

Considering that one of our aims is to couple electrically with nervous tissue and capture extracellular activity in the range of  $\mu$ V–mV, the electrical and electrochemical properties of the conductive materials used as feedlines and electrodes are of vital importance to ensure proper recording quality. In particular, we require highly conductive feedlines with a low lead resistance, below the impedance of our sensing electrodes, thereby reducing parasitic capacitances that result in crosstalk across channels.<sup>36</sup> To this end, we validated the electrical properties of the proposed materials for our conductive feedlines, as well as the electrochemical performance of the materials proposed as recording electrodes.

To validate the electrical properties of our conductive materials, we first simulated the influence of the mesh-hole size on



the resistance of mAu feedlines using two different lattice constants when compared to sAu. As exhibited in Fig. 2a, the resistance of the feedline increases exponentially with the mesh-hole size. The bigger the mesh-hole, the more resistive the feedline. If the mesh size reaches the lattice constant (mesh-hole size = 100%), then the mesh is not conductive anymore, and the resistance tends to infinity. Moreover, considering that the exposed electrodes hold also the mesh structure and that the impedance of recording electrodes has an inversely proportional relationship with the geometric surface area (GSA) of the electrode, we simulated the GSA for sAu and for mAu with different mesh-hole radius ratios. The latter is defined as the ratio of mesh-hole radii over the lattice constant. Fig. 2b shows that there is indeed a geometrical window in which mAu electrodes, despite containing fewer metallic mass, have a GSA that is 1.13- to 1.32-fold higher than sAu electrodes, corresponding to mesh-hole radii of 17.8% and 33.7% with 617 nm and 390 nm lattices, respectively.

While Au is known to be an excellent conductor, PEDOT:PSS is known to have a conductivity lower than metals but higher than many semiconductors and among the highest for polymers and quasi-metals. For example, the PEDOT:PSS solution used in this work exhibits a conductivity of  $406 \pm 53.5 \text{ S cm}^{-1}$  (Fig. 2c), which is in range when compared to other works<sup>37,38</sup> but still three orders of magnitude lower than solid Au ( $\sim 100\,000\text{--}338\,000 \text{ S cm}^{-1}$ , Fig. 2c). To increase the conductivity, PEDOT:PSS mixtures, such as the one used in this work, contain conductivity enhancing agents (*e.g.*, ethylene glycol), as well as surfactants to facilitate film processing during spin-coating (*e.g.*, dodecyl benzene sulfonic acid (DBSA)), and cross-linker agents (*e.g.*, 3-glycidioxypropyltrimethoxysilane (GOPS)) to increase stability when immersed in electrolyte solutions.<sup>39</sup> Nonetheless, when implementing long feedlines (*e.g.*, long cables) made out only of PEDOT:PSS, we have to consider that the lead resistance falls on the order of  $10.26 \text{ k}\Omega \text{ mm}^{-1}$  (Table S1, ESI†). In practice, this means that for a 10.5 mm long cable, the lead resistance is  $107.76 \text{ k}\Omega$ , which surpasses by 7-fold the theoretical impedance value at 1 kHz expected for a PEDOT:PSS electrode ( $\sim 15 \text{ k}\Omega$ ) with a diameter of  $50 \mu\text{m}$  and a thickness of  $80 \text{ nm}$  (Table S1, ESI†). Therefore, to further exploit other physical properties of this conductive polymer, the strategy of combining sAu feedlines with PEDOT:PSS electrodes appears currently unavoidable.

Considering that the quality of neuronal recordings with microelectrode arrays depends mainly on the impedance of the electrodes, we chose microelectrodes with a small diameter of  $50 \mu\text{m}$  as a trade-off between low impedance *versus* spatial resolution. While bigger electrodes will present lower impedances, and therefore lower thermal noise, the spatial specificity during recordings will be reduced due to the electrical activity of distant cells that can still be picked up due to the size of the electrodes.<sup>40</sup> Upon electrochemical characterization (Fig. 2d), all electrodes showed an impedance below  $1 \text{ M}\Omega$  at 1 kHz, suitable for recording action potentials. Furthermore, the m- $\mu\text{ECoGs}$  exhibited an impedance even below s- $\mu\text{ECoGs}$ . This can be explained by the chosen mesh-hole radius of

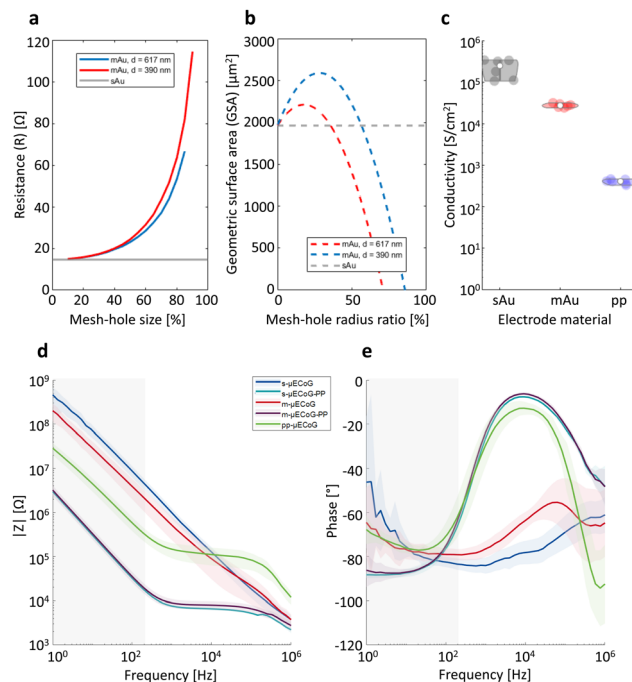


Fig. 2 Electrical and electrochemical performance of  $\mu\text{ECoGs}$ . (a) Simulation of feedline resistance *versus* mesh-hole size for different mesh Au (mAu) structures in relationship to solid Au (sAu). The simulated feedlines comprise a length of  $200 \mu\text{m}$ , a width of  $3 \mu\text{m}$ , and a thickness of  $100 \text{ nm}$  for different mesh-hole sizes, which is a percentage over the lattice constants (d) of the mesh (original PSNP size). (b) Geometric surface area (GSA) *versus* mesh-hole radius ratio relationship for a  $50 \mu\text{m}$  diameter recording electrode from sAu and mAu. (c) Conductivity of sAu, mAu, and PEDOT:PSS (PP) films on PaC-coated substrates ( $N = 6$  for each electrode type). Impedance magnitude (d) and phase (e) of electrochemical impedance spectra for each implant type ( $N_{\text{s-}\mu\text{ECoG}} = 31$ ;  $N_{\text{s-}\mu\text{ECoG-PP}} = 37$ ;  $N_{\text{m-}\mu\text{ECoG}} = 47$ ;  $N_{\text{m-}\mu\text{ECoG-PP}} = 58$ ;  $N_{\text{PP-}\mu\text{ECoG}} = 99$ ). m- $\mu\text{ECoGs}$  containing mAu electrodes with a lattice constant of  $617 \text{ nm}$  were selected for further use. To enhance the electrochemical properties, s- $\mu\text{ECoG-PP}$  and m- $\mu\text{ECoG-PP}$  contain an additional electro-polymerised PEDOT:PSS coating. Grey-shaded areas in (d) and (e) correspond to the frequency band ( $1 \text{ Hz}$ – $300 \text{ Hz}$ ) of interest for the recording of LFPs.

$197.5 \text{ nm}$  for a lattice constant of  $617 \text{ nm}$  which falls in the geometrical window exposed before ( $\sim 32\%$  mesh-hole radius ratio) that results in a bigger GSA than a sAu electrode of the same size. Additionally, it is also possible that mesh imperfections induce increases in the GSA, for example, in cases where the connection between two holes is broken (Fig. S1e, ESI†).

Given that the application of the tested implants is to capture epidural neural activity from the cortex, the signals of interest are low-frequency LFPs signals in a frequency band between  $1 \text{ Hz}$  and  $300 \text{ Hz}$ <sup>40</sup> (grey band in Fig. 2d and e). In this range, both, s- $\mu\text{ECoGs}$  and m- $\mu\text{ECoGs}$  exhibit a high impedance on the order of hundreds of  $\text{M}\Omega$  at  $1 \text{ Hz}$ . We, therefore, deposited an electrode coating based on electropolymerised PEDOT:PSS at the surface of sAu and mAu electrodes, reducing their impedance to  $\sim 3 \text{ M}\Omega$  at  $1 \text{ Hz}$ , representing a 155- and 62-fold reduction for s- $\mu\text{ECoGs}$  and m- $\mu\text{ECoGs}$ , respectively.

In contrast, PEDOT:PSS (PP)-based electrodes presented an impedance of  $28.8 \pm 9 \text{ M}\Omega$  at  $1 \text{ Hz}$  and  $147 \pm 55 \text{ k}\Omega$  at  $1 \text{ kHz}$ ,



showing a resistive behaviour (phase close to zero, Fig. 2e) at high frequencies and a capacitive behaviour (phase closer to  $-90^\circ$ , Fig. 2e) at lower frequencies, typical for PP-electrodes.<sup>41,42</sup> However, although the impedances are in range for recording either action potentials or LFPs,<sup>43,44</sup> the impedance is 9.5-fold higher than the calculated theoretical impedance when assuming the extended Stern model of a capacitor (see Materials and methods). This discrepancy could be either due to the lead resistance introduced by PEDOT:PSS feedlines or thickness variations upon fabrication.

Given that our pp- $\mu$ ECog design has feedlines with a maximum length of 2431  $\mu\text{m}$ , a width of 10  $\mu\text{m}$ , and a thickness of 80 nm at the sensing area, the lead resistance of such feedlines can lead to impedance increases of up to 67 k $\Omega$  (Fig. S3, ESI†). Moreover, while we manufactured the PEDOT:PSS layers to be  $\sim 80$  nm (thickness of PEDOT:PSS layers fully encapsulated with PaC), the layer was almost half the expected thickness at the electrode openings (Fig. S2, ESI†). Such differences in PEDOT:PSS layer thickness can be a consequence of over-etching or to the exposure to different solvents, such as acetone, and deionised water. Especially deionised water can lead to pronounced thickness reductions, with decreases down to 60% of the original thickness.<sup>39</sup> Since thickness reduction is not only solvent-dependent but additive (*e.g.*, GOPS) dependent,<sup>39</sup> further characterisation is needed to improve the microfabrication process on PEDOT:PSS layers, as the thickness of PEDOT:PSS follows an inversely proportional relation with impedance.<sup>41</sup> Such shortcomings could be overcome by using solvents that are also conductivity enhancing agents, such as dimethyl sulfoxide (DMSO) or by implementing other processing technologies, such as orthogonal processing.<sup>22</sup>

As shown in Table 1, both m- $\mu$ ECog-PP and pp- $\mu$ ECog devices exhibited ideal electrochemical properties for the proposed application. Furthermore, the m- $\mu$ ECog-PP, showed a specific impedance ( $Z_{\text{spec}}$ ) below those reported by earlier work, both at 1 kHz and 1 Hz. The latter is highly influenced by the thickness of the PEDOT:PSS layer, which was approximately 560 nm as reported before.<sup>45</sup>

To optimally combine electro- and optophysiological measurements, other crucial features for multimodal neural implants are optical transparency and resistance against photo-induced artefacts. We, therefore, characterised the transparency of each  $\mu$ ECog type by measuring the light transmission

of 10  $\mu\text{m}$  thick-PaC, and 3  $\mu\text{m}$  thick-PaC/sAu, PaC/mAu, and PaC/PP layers. The mean transmission at different light wavelengths (200–1000 nm) for three samples per material is shown in Fig. 3a. Note that all spectra of PaC-based layers exhibit interference fringes with varying amplitude and period, which results from infinite reflections occurring between the top and bottom surfaces of the thin film layer. Here, the thin film material is acting like an optical resonator given two parallel planes that are in a partially mirrored interface in an enclosed optical medium. These reflected waves interfere with each other and lead to the observed interferences in light transmission.<sup>47–50</sup>

As expected, the PaC/sAu had no detectable light transmittance across all wavelengths and PaC/PP showed consistently high transmittance. In comparison with the opaque metal, PaC alone exhibits strong absorption of UV light until 280 nm followed by a rapid increase reaching a mean of 80% transmittance at 352 nm. In contrast, the transmission spectrum of PaC/mAu had additional features, appearing as a dip at around 550 nm with a gradual increase of transmittance along longer wavelengths. This results in an average of  $35.6 \pm 0.3\%$  and  $61.8 \pm 0.4\%$  within the regions of interest for optophysiological applications (450–650 nm and 850–1000 nm, respectively). A weak peak at 450 nm is associated with the self-luminescence of Au while the transmittance peak at longer wavelengths originates from the excitation of surface plasmon polaritons. A hexagonal periodic two-dimensional pattern of holes contributes to the coupling of light to surface plasmons that exist in the metal and result in the increase of transparency.<sup>25</sup> Moreover, the peak position can be tuned depending on the selected pitch and nano-mesh hole diameter (Fig. 3a). For mAu with a lattice constant of 390 nm, such a peak is encountered between 650–860 nm, while for a lattice constant of 617 nm, the peak is just starting to appear between 900–1000 nm. Given the higher transmittance exposed by mAu with a lattice of 617 nm, reaching a transmittance above 50% between 850–1000 nm, we chose this construct for further characterisation.

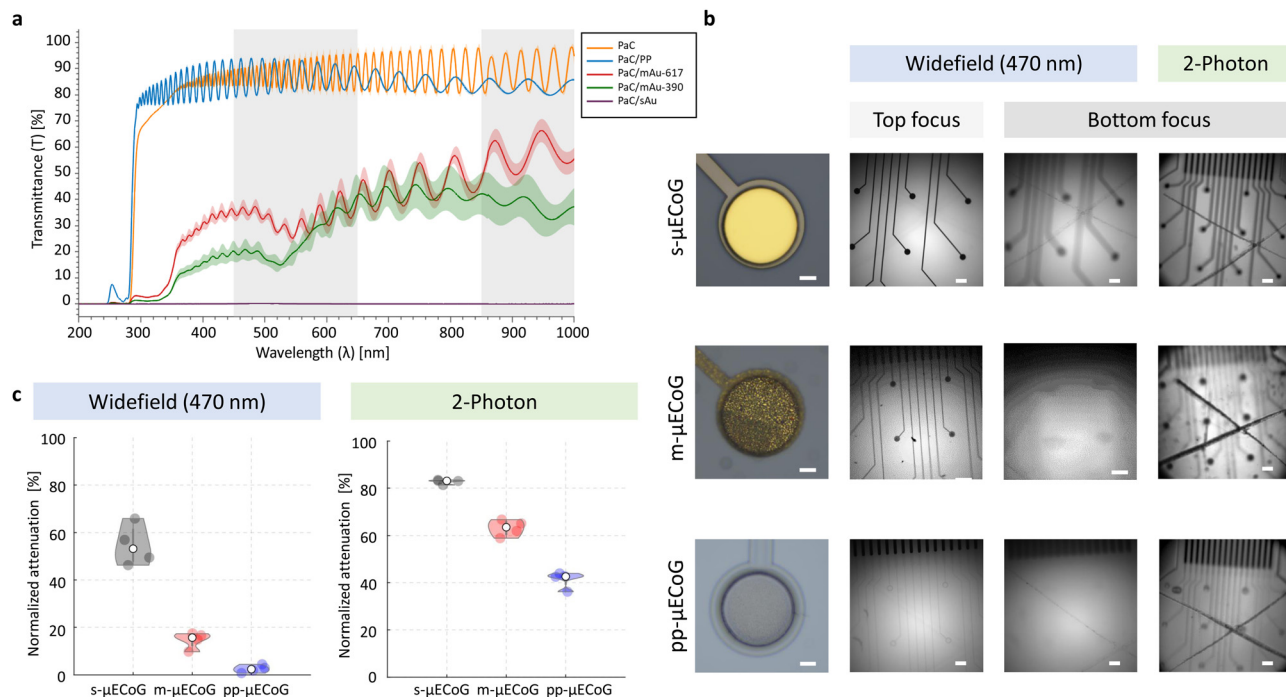
For functional imaging and optogenetic manipulation, the main region of interest is in the visible light between 450–650 nm<sup>11,12</sup> (left grey region, Fig. 3a). Here, PaC/mAu-617 achieved a mean light transmittance of  $35.6 \pm 0.3\%$  while PaC/PP was largely indistinguishable from PaC alone with a transmittance of  $87.8 \pm 0.3\%$ . Both approaches therefore

Table 1 Impedance comparison of transparent  $\mu$ ECogs

Reference	Electrode material	Electrode area [ $10^3 \mu\text{m}^2$ ]	$Z@1 \text{ Hz}$ [M $\Omega$ ]	$Z_{\text{spec}}@1 \text{ Hz}$ [k $\Omega \times \text{cm}^2$ ]	$Z@1 \text{ kHz}$ [k $\Omega$ ]	$Z_{\text{spec}}@1 \text{ kHz}$ [m $\Omega \times \text{cm}^2$ ]
m- $\mu$ ECog	m-Au	2.06	201.34	4.14	515	10.58
m- $\mu$ ECog-PP	m-Au/PP	1.96	3.22	0.06	9	0.18
pp- $\mu$ ECog	PP	1.96	28.82	0.57	147	2.88
Electrode Grid <sup>46</sup>	Pt plated NWs	3.00	1.00	0.03	10	0.30
Opto-E-Dura <sup>20</sup>	Pt particles	94.25	0.03	0.02	1	0.94
PEDOT:PSS MEAs <sup>22</sup>	PP	7.85	0.30	0.02	8	0.63
Bilayer-nanomesh <sup>19</sup>	m-Au/PP	5.03	3.00	0.15	12	0.61

The magnitude of the impedance ( $Z$ ) and the specific impedance ( $Z_{\text{spec}}$ ) at 1 Hz and 1 kHz is compared for different electrode materials and areas proposed in the literature.





**Fig. 3** Optical performance of transparent and flexible  $\mu$ ECoGs. (a) Optical transmission at different wavelengths for different electrode materials. Grey regions denote regions of interest for optical imaging and optogenetic stimulation where high transmittance is particularly desirable. (b) Left: Close-up images of fully assembled s-, m-, and pp- $\mu$ ECoG electrodes. Scale bar = 10  $\mu$ m and 120  $\mu$ m. Right: Widefield fluorescence ( $\lambda = 470$  nm) and two-photon ( $\lambda = 920$  nm) images from s-, m-, and pp- $\mu$ ECoG arrays, placed on an equifluorescent test slide. Images show electrodes either in focus ("Top focus") or with the focus being 470  $\mu$ m below the electrodes ("Bottom focus"), to assess shadows from electrodes and feedlines that could occlude functional imaging of the neural tissue below the array. Edges in the "Bottom focus" images are from markings on the test slide below the electrodes. (c) Quantification of the electrode shadows from s-, m-, and pp- $\mu$ ECoG arrays during widefield and two-photon imaging ( $N = 4$  contacts per  $\mu$ ECoG array type).

significantly improve the light transmittance of  $\mu$ ECoG electrodes, making them promising candidates for combined electro- and optophysiological applications. The same is true for applications in the infrared range (850–1000 nm, right grey region, Fig. 3a), such as two-photon imaging, where light transmittance of PaC/mAu-617 was at  $61.8 \pm 0.4\%$  while PaC/PP was at  $89.1 \pm 0.5\%$ . The wavelength-dependence of light transmission with mAu structures depends on the exact parameters of the nano-mesh which can be optimized further by using different sizes (e.g., bigger nano-mesh holes or using a larger lattice constant) of PSNPs to achieve  $\mu$ ECoG that are custom-tailored for specific imaging or optogenetic applications.

### 3.3. Optical transparency and signal attenuation during widefield and two-photon imaging

To evaluate the impact of different  $\mu$ ECoG arrays on fluorescent imaging, we placed s-, m-, and pp- $\mu$ ECoG arrays on an equifluorescent microscope test slide and measured the intensity of emitted green fluorescence (between 500–550 nm) using blue excitation light at 470 nm ("Widefield", Fig. 3b). Consistent with our transmission test, s- $\mu$ ECoGs blocked fluorescence light when in focus but some fluorescence signal could be recovered when moving the focal plane 470  $\mu$ m below the electrodes. Similarly, fluorescence light attenuation from m- $\mu$ ECoGs and pp- $\mu$ ECoGs was reduced when imaging below

the electrodes. To quantify the signal loss from electrode shadows, we computed the attenuation of fluorescence light as the difference between measured intensity directly under each electrode and its immediate surrounding (Fig. 3c and Fig. S4, ESI†). During widefield imaging, s- $\mu$ ECoGs still blocked a significant amount of light (attenuation =  $54.7 \pm 0.4\%$ ) whereas m- $\mu$ ECoGs and pp- $\mu$ ECoGs only weakly reduced fluorescence measurements (attenuation =  $14.7 \pm 1.7\%$  and  $2.5 \pm 0.8\%$ , respectively). Both approaches are therefore well suited to improve the quality of widefield imaging during combined electro- and optophysiological experiments.

Signal attenuation was larger during two-photon imaging with excitation light at 920 nm: shadows from electrodes and feedlines were more clearly visible, even for the largely transparent pp- $\mu$ ECoG electrodes, and signal attenuation was generally increased (Fig. 3c, right). Fluorescence attenuation was highest under s- $\mu$ ECoGs electrodes ( $82.7 \pm 0.5\%$ ) but also quite notable for m- $\mu$ ECoGs electrodes ( $63.2 \pm 1.8\%$ ). As expected from our transmission test, fluorescence attenuation was lowest for pp- $\mu$ ECoGs electrodes ( $41.3 \pm 1.8\%$ ).

### 3.4. Characterisation of photo-induced artefacts in saline solution

Shining light on electrodes creates a voltage or photocurrent that can strongly interfere with electrophysiological measurements.<sup>17</sup>



Aside from optical transparency, it is therefore crucial for neural implants to be largely resistant to this effect to allow simultaneous electrophysiological measurements during imaging or optogenetic stimulation. To test the robustness of s- and m- $\mu$ ECOG-PP and pp- $\mu$ ECOGs to photo-effects upon illumination, we exposed electrodes of each implant type to 10 ms long pulses of blue light (Fig. 4a) in saline solution. For each electrode type we observed a corresponding photo-induced artefact but with clear differences across materials: while s- and m- $\mu$ ECOG-PPs

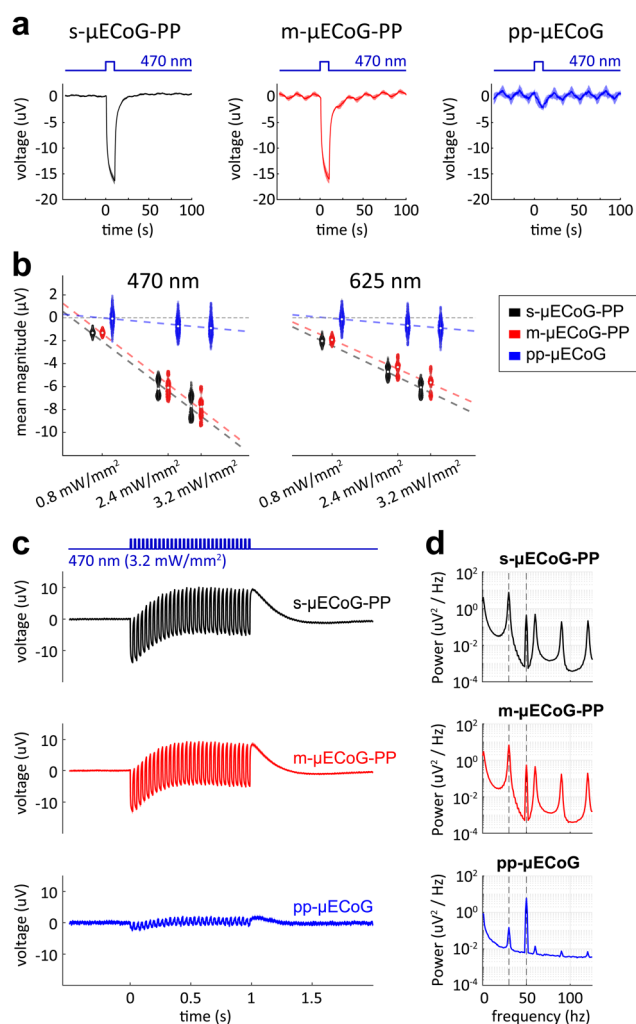
showed responses of similar magnitude to blue light pulses, pp- $\mu$ ECOG electrodes were largely unaffected. The magnitude of photo-induced artefacts was linearly related to the applied light power for all  $\mu$ ECOG types (correlation coefficient  $r_{s-\mu\text{ECOGs}} = 0.96$ ,  $r_{m-\mu\text{ECOGs}} = 0.97$ ,  $r_{pp-\mu\text{ECOGs}} = 0.39$ ) and largely similar for different wavelengths (Fig. 4b).

Lastly, we tested if photo-induced artefacts are equally observed at higher stimulation frequencies. We, therefore, illuminated electrodes with 1 s long 30 Hz light sequences, as commonly used during functional imaging. As with single pulses, we found clear responses with comparable magnitude for s- and m- $\mu$ ECOG-PPs but only minor responses for pp- $\mu$ ECOGs (Fig. 4c). To quantify this effect, we computed the power spectral density for each  $\mu$ ECOG type (Fig. 4d). Spectral power for s- and m- $\mu$ ECOG-PPs at 30 Hz was again largely comparable with  $7.5 \pm 1.3 \mu\text{V}^2 \text{Hz}^{-1}$  and  $6.8 \pm 1.7 \mu\text{V}^2 \text{Hz}^{-1}$ , respectively. In contrast, 30 Hz spectral power for pp- $\mu$ ECOGs was barely measurable at  $0.1 \pm 0.1 \mu\text{V}^2 \text{Hz}^{-1}$ .

The generation of photo-induced artefacts in electrophysiological recordings has been attributed either to the Becquerel effect, the photoelectric effect, or to a combination of photoexcitation modes (e.g., photoelectrochemical, photoconductive, photovoltaic, etc.), affecting mainly materials with no bandgap in its electronic structure, namely conductors such as Au. Given that the photon energy of the LED wavelengths ( $E_{470 \text{ nm}} = 2.64 \text{ eV}$ ,  $E_{625 \text{ nm}} = 1.98 \text{ eV}$ ) used in this work is below the work function of the metals in our implants ( $\Phi_{\text{Ti}} = 4.33 \text{ eV}$ ,  $\Phi_{\text{Pt}} = 5.1 \text{ eV}$ ),<sup>51</sup> a pure photoelectric effect is discarded, unless multi-photon events occur. While the mechanisms behind such photo-effects are still poorly understood, from a materials perspective, a good strategy is to use transparent materials with a wide bandgap to hinder the absorption of photons at the wavelengths of interest, but conductive enough to allow electrical recordings, as stated before by Kozai and Vazquez.<sup>17</sup>

The electronic properties of semiconductors depend on the doping and mixture formulation of conducting polymers.<sup>17,52</sup> Hence, PEDOT:PSS has been shown to possess a wide bandgap ( $E_g = 1.39\text{--}3.64 \text{ eV}$ )<sup>53–56</sup> and work function ( $\Phi_{\text{PP}} = 4.8\text{--}5.3 \text{ eV}$ ) that increases with film thickness and depends on the additives of the mixture<sup>52,57,58</sup> but is below the photon energy of the wavelengths of interest. Such properties make PEDOT:PSS a good alternative to metal conductors, not only because of its transparent (Fig. 3a) but also because of its electronic characteristics. Our results are therefore in line with earlier work on the benefit of using PEDOT:PSS-based electrodes.<sup>22,59</sup>

Moreover PEDOT:PSS-based electrodes surpass the performance of other transparent materials, such as graphene, which has a work function in the range of PEDOT:PSS but no bandgap ( $\Phi_{\text{graphene}} = 4.6\text{--}5.16 \text{ eV}$ ).<sup>60,61</sup> Lastly, although we have used PEDOT:PSS as an electrode coating for s- and m- $\mu$ ECOGs, the transparent layer allows light to hit the base metal electrodes, which are prone to photo-effects,<sup>17</sup> thereby explaining the presence of photo-induced artefacts. Therefore, our results strongly suggest that pp- $\mu$ ECOGs are particularly well suited for combination with optophysiological methods due to their superior transparency and resistance against photo-induced artefacts.



**Fig. 4** Characterization of light-induced artefacts in saline solution. Recording of light-induced artefacts from s-, m-, and pp- $\mu$ ECOG electrodes, in response to a 10-ms long light pulse ( $\lambda = 470 \text{ nm}$ , irradiance =  $3.2 \text{ mW mm}^{-2}$ ) in PBS. Average signals over 125 light pulses on 6 electrodes ( $N = 750$  in total). (b) Mean magnitude of measured signals for s-, m-, and pp- $\mu$ ECOG electrodes in response to 10 ms long light pulse at different wavelengths ( $\lambda = 470$  and  $\lambda = 625 \text{ nm}$ ) and different light power levels ( $N = 750$  pulses per condition). Coloured dashed lines show linear regression line for each  $\mu$ ECOG type. (c) Recording of light-induced artefacts from s-, m-, and pp- $\mu$ ECOG electrodes, in response to a 1 s long, 30 Hz stimulation sequence, as commonly used during functional imaging. Traces show average signals over 20 light sequences on 6 electrodes ( $N = 120$  in total). (d) Power spectral density for each  $\mu$ ECOG type during 30 Hz stimulation as shown in (c). Grey dashed lines indicate light-induced oscillations at 30 Hz and line noise at 50 Hz.



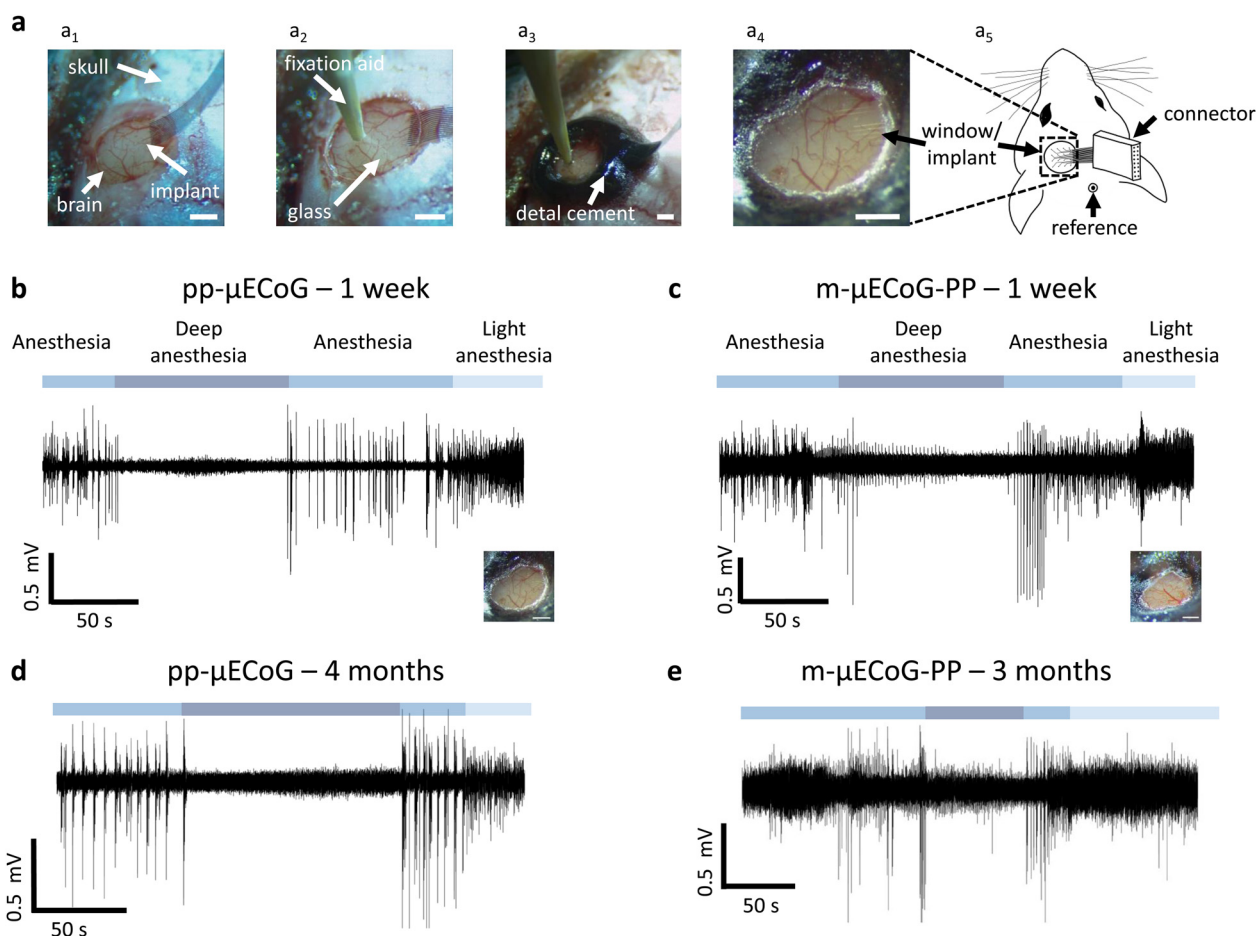
### 3.5. Chronic implantation and *in vivo* validation

Our previous results suggest that pp- $\mu$ ECoGs are superior to m- $\mu$ ECoG-PPs for combined electro- and optophysiological experiments. However, the magnitude of photo-induced artefacts for m- $\mu$ ECoG-PPs was still fairly low at the tested light levels which are in a similar range as during widefield imaging.<sup>29</sup> For optophysiological applications that require low light intensities m- $\mu$ ECoG-PPs might thus be a better choice due to their lower impedance and consequently improved signal-to-noise ratio compared to pp- $\mu$ ECoGs (Fig. 2d). Moreover, the *in vivo* lifetime of different  $\mu$ ECoG electrode types, a major factor for their utility in chronic applications, is largely unknown.

To directly test the functionality and long-term stability of pp- $\mu$ ECoGs and m- $\mu$ ECoG-PPs *in vivo*, we chronically implanted each  $\mu$ ECoG type in transgenic mice. Mice expressed the

calcium indicator GCaMP6s to subsequently perform electrophysiological recordings during functional widefield or two-photon imaging. Since both implant types are thin and flexible, we could easily place them on the dura over the visual and somatosensory cortex within a 3 mm wide craniotomy (Fig. 5a). The implants were then covered with a 0.1 mm thick cover glass, which was subsequently sealed and permanently fixed to the skull with dental cement. The PCB and Omnetics connector were also fixed to the skull using dental cement. As expected from the low weight and dimensions of the implant, implanted animals showed no visible impairments in behaviour or head and body posture after implantation.

After a one-week recovery period, we tested the functionality of the implants by anaesthetising the mice with 0.5–1% isoflurane in oxygen (Fig. 5b and c). In both  $\mu$ ECoG types, we observed functional signals that were clearly modulated by the



**Fig. 5** Surgery and physiological measures of pp- $\mu$ ECoG and m- $\mu$ ECoG-PP arrays. (a) Surgical procedure for chronic implantation of  $\mu$ ECoG arrays. (a<sub>1</sub>) A 3 mm wide craniotomy was made over visual and somatosensory cortex of an adult mouse and the brain was covered with phosphate-buffered saline (PBS). The  $\mu$ ECoG array was then placed to float on the PBS drop and positioned in the craniotomy centre. (a<sub>2</sub>) A 3 mm cover glass was placed on top of the implant and carefully pushed in the craniotomy. The cover glass was stabilized with a wooden holder. (a<sub>3</sub>) Tissue glue and dental cement was used to stabilize the cover glass and bind it to the skull. (a<sub>4</sub>) An exemplary pp- $\mu$ ECoG array after successful implantation. (a<sub>5</sub>) Schematic of the implanted mouse with a cranial window and neural implant on the left hemisphere and the corresponding Omnetics connector on the right. The small footprint of the device allows seamless applications in small animal models. (b) Physiological recording from a pp- $\mu$ ECoG electrode under isoflurane anaesthesia, 1 week after implantation. Clearly visible are changes in neural activity when anaesthesia was increased ('Deep anaesthesia') and subsequently reduced again ('Anaesthesia' and 'Light anaesthesia'). (c) Same as in (b) but for an exemplary m- $\mu$ ECoG-PP electrode. (d) Same as in (b) but after 4 months of chronic implantation. (e) Same as in (c) but after 3 months of chronic implantation.



depth of isoflurane anaesthesia: during 1% isoflurane ('anaesthesia') signals showed strong oscillatory activity and large signal deflections that were strongly reduced when increasing the isoflurane concentration to 5% ('deep anaesthesia'). Here, we observed increased low-frequency oscillations and strong attenuation of cortical signals, consistent with known effects of deep isoflurane anaesthesia.<sup>62,63</sup> Cortical activity increased again when reducing the anaesthesia depth and showed increased high-frequency activity when further lowering the anaesthesia depth to 0.5% isoflurane ('light anaesthesia').

To assess the long-term stability of the  $\mu$ ECoG arrays, we repeated our functional measurements after three and four months of chronic implantation, for pp- $\mu$ ECoGs and m- $\mu$ ECoG-PP, respectively. Although their overall noise floor was increased, we were still able to record clear changes in cortical signals due to changes in anaesthesia for most electrodes. This was also confirmed by additional impedance measurements, demonstrating that the impedance of most contacts was still in a usable range after several months of chronic implantation, exhibiting electrode functionality of up to 80% in one pp- $\mu$ ECoG and 50% in the m- $\mu$ ECoG (Fig. 5d, e and Fig. S5, ESI†).

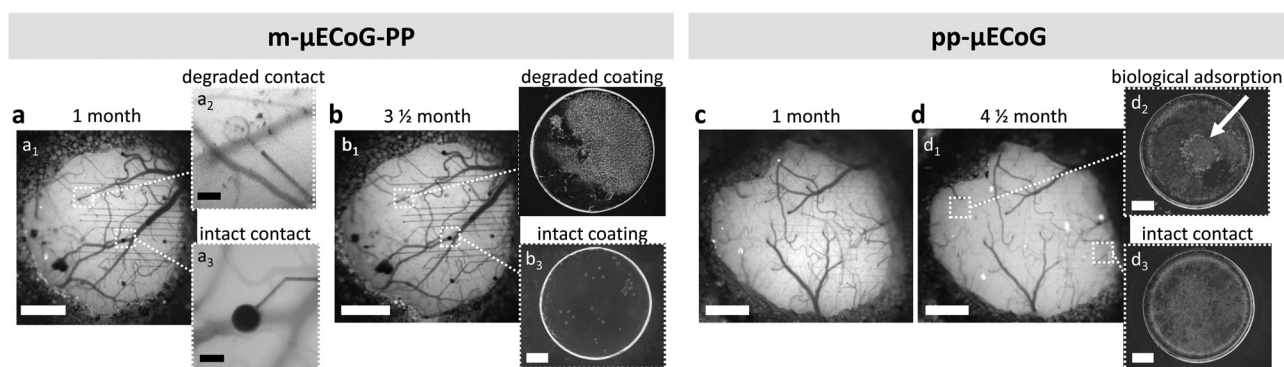
Both  $\mu$ ECoG types are therefore well-suited to chronically measure epidural cortical signals of mice, showing functionality until implant removal (up to 4.5 and 3.5 months for pp- $\mu$ ECoGs and m- $\mu$ ECoG-PP, respectively). Nonetheless, after one-month post-implantation, we observed that the PEDOT:PSS coating in six out of twelve visible electrode sites of the m- $\mu$ ECoGs-PP was not any more visible, most likely indicating degradation of the electrode coating (Fig. 6a). Moreover, the four electrodes that had a visible electrode coating were not further degraded after three months post-implantation, suggesting that the strongest electrode degradation occurred within the first month after implantation. *In vivo* observations were confirmed upon implant inspection after explantation (Fig. 6b). Here we encountered both degraded and intact electrode sites and confirmed the degradation of the electrodeposited

PEDOT:PSS coating on mAu-based electrodes. In addition, SEM inspection revealed electrode coating degradation in a total of seven out of sixteen electrodes in the ex-planted m- $\mu$ ECoG-PP. Despite the coating degradation, the base mAu electrode stayed intact, making it still possible to carry out electrical measurements with the drawback that the quality of the recordings was strongly reduced, due to the high impedance that led to higher noise floor levels (Fig. S5, ESI†). In contrast, pp- $\mu$ ECoGs did not show signs of coating degradation (Fig. 6c and d), showing superior chronic stability. However, we observed some biological adsorption due chronic implantation (Fig. 6d2).

### 3.6. Electrophysiological measurements of multi-area cortical activity with simultaneous functional widefield and two-photon imaging

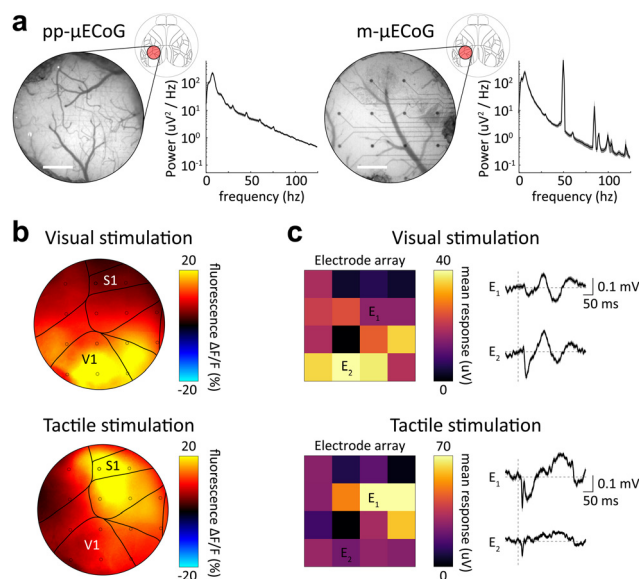
A major advantage of using  $\mu$ ECoG arrays in small animal models is their ability to resolve fine spatial differences in cortical activity to obtain spatial maps of cortical response patterns, *e.g.*, neural responses to sensory stimulation. We, therefore, performed combined electrophysiology and functional widefield measurements in response to either visual or tactile stimulation in awake mice. Since the cranial window provided access to up to seven separate cortical regions (see Allen mouse atlas<sup>64</sup> with window location in Fig. 7a), this allowed us to simultaneously measure sensory responses across these cortical regions with both electrophysiology and functional imaging.

Consistent with our earlier characterization of photo-induced artifacts (Fig. 4), pp- $\mu$ ECoG electrodes showed only minor responses to excitation light pulses during imaging (Fig. 7a). The same was true for m- $\mu$ ECoG-PPs, confirming that this electrode type can also be used with widefield imaging at low light powers (Fig. S6, ESI†). In agreement with the anatomical location of cortical areas,<sup>64</sup> widefield imaging showed clear responses to visual stimulation in the posterior primary visual cortex (V1) while tactile stimulation evoked stronger



**Fig. 6** Chronic stability of  $\mu$ ECoGs. (a) Widefield vessel image after one month ( $a_1$ ) and three-and-a-half-month post-implantation ( $b_1$ ). Red circles point out those electrical contacts that are degraded. Scale bar = 0.5 mm. Zoomed in degraded ( $a_2$ ) and intact contact ( $a_3$ ). Scale bar = 50  $\mu$ m. The degradation signs exhibited by m- $\mu$ ECoG-PP are stagnating after one month-implantation. ( $b_2$ ) Scanning electron microscopy (SEM) images exposing exemplary electrical contacts of a m- $\mu$ ECoG-PP with a degraded ( $b_2$ ) and intact ( $b_3$ ) PEDOT:PSS coating after three-and-a-half month post-implantation. Widefield vessel image of pp- $\mu$ ECoG one month ( $c$ ) and four-and-a-half-month ( $d_1$ ) post-implantation. ( $d_2$ ) and ( $d_3$ ) SEM images of an biological adsorption on an intact electrode contact and an intact contact without residues of a pp- $\mu$ ECoG four and a half months post-implantation. Scale bar of SEM pictures = 10  $\mu$ m.





**Fig. 7** Simultaneous  $\mu$ ECoG and widefield recordings in awake mice. (a) Example vessel images of pp- $\mu$ ECoG (left) and m- $\mu$ ECoG (right) array that was chronically implanted over V1 and S1 cortex of a GCaMP6s-expressing mouse and correlated power spectra. Scale bar = 0.5 mm. (b) Changes in fluorescence signals during widefield imaging in response to either visual or tactile stimulation. Images show average responses over 75 stimulus presentations. Dots indicate the location of the recording electrodes matching the maps in c. (c) Average event-related potentials as measured with the pp- $\mu$ ECoG array. Matrices on the left show the mean response magnitude within 100 ms after stimulus presentation. Traces on the right show example recordings from electrodes that preferentially respond to tactile (E1) or visual stimulation (E2).

responses in the more anterior somatosensory cortex (S1, Fig. 7b). The same spatial pattern was revealed when computing event-related potentials (ERPs) over all pp- $\mu$ ECoG contacts (Fig. 7c) in the simultaneously acquired electrophysiological recordings, demonstrating that pp- $\mu$ ECoG are a valuable tool for mapping neural responses across cortical areas.

Tactile ERPs were also faster and more temporally precise (peak response = 11.4 ms, full-width half-maximum = 7.4 ms) as visual ERPs (peak response = 40.1 ms, full-width half-maximum = 25.6 ms), which is in good agreement with known response latencies of sensory responses in somatosensory<sup>65</sup> and visual cortex,<sup>66</sup> respectively. Similar results were observed with m- $\mu$ ECoG-PP electrodes, although the spatial map of cortical responses was not as well separated. This was likely due to degradation of several electrical contacts, which exhibited unstable PEDOT:PSS coating on the mAu electrodes and poor recording quality after one month implantation (Fig. 6a and b). Nonetheless, these results demonstrate that both pp- and m- $\mu$ ECoG-PPs can be utilized to chronically perform simultaneous electro- and opto-physiological measurements of sensory-evoked cortical activity patterns in awake mice with minimal photo-induced artefacts or optical interference.

Moving beyond population signals with widefield imaging, we sought to directly relate our electrophysiological measures to the activity of individual cortical neurons. We therefore

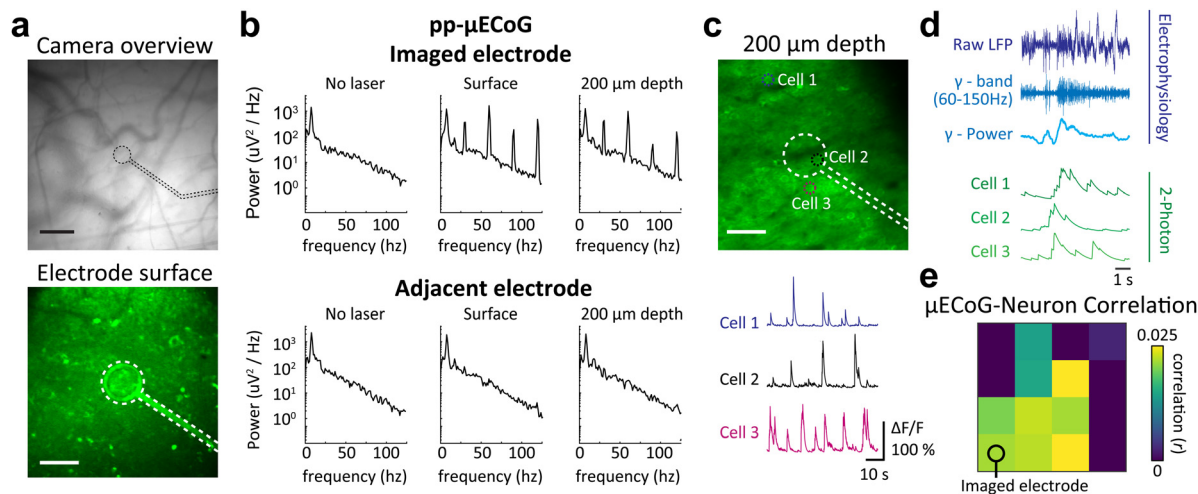
tested the *in vivo* utility of pp- and m- $\mu$ ECoG-PP arrays in combination with two-photon imaging which can measure the activity of large neural networks with single cell resolution. Both  $\mu$ ECoG types showed mild auto-fluorescence and were visible in the green emission channel ( $\lambda = 500\text{--}550$  nm) of the microscope (Fig. 8a and Fig. S7a, ESI†). Directly scanning over an example electrode caused a notable photo-induced artefact at the imaging rate (30 Hz) and corresponding resonant frequencies, which was most pronounced at the surface of the imaged electrode, especially in m- $\mu$ ECoG-PP (Fig. 8b and Fig. S7b, ESI†). Imaging 200  $\mu$ m below the brain surface reduced the artefact magnitude but it remained notable in both electrode types. No photo-induced artefacts were observed in adjacent electrodes, demonstrating that this effect only occurred when the imaging laser was directly scanning over a given electrode.

Importantly, the pp- $\mu$ ECoG arrays caused no visible perturbation of the imaging signal at 200  $\mu$ m imaging depth and no shadows were visible underneath the electrode or feedlines. Correspondingly, we were able to extract clear calcium dynamics from individual neurons throughout the field of view and could even identify neural activity from directly underneath the imaged electrode ('Cell 2', Fig. 8c). The neural dynamics from underneath the electrode exhibited similar changes in fluorescence as observed in other neurons that were either close or further away from the electrode ('Cell 3' and 'Cell 1', respectively). The signal quality of the two-photon imaging even exceeded promising results from earlier work<sup>41</sup> and demonstrates that pp- $\mu$ ECoG arrays are particularly well-suited for combined applications with functional imaging.

In contrast, the m- $\mu$ ECoG-PP electrode and feedlines created a visible shadow in the field of view and no neurons could be identified in the affected areas. However, signals from neurons in the immediate proximity of the electrode were not affected and showed clear fluorescence signals (Fig. S7, ESI†). Together, these results show that m- $\mu$ ECoG-PP electrodes are also usable during two-photon imaging but were inferior to pp- $\mu$ ECoG electrodes which caused no notable impairment in imaging quality.

Lastly, we further analysed our simultaneously acquired electrophysiology and two-photon data to assess if the activity of individual neurons is indeed related to surface ECoG signals. We therefore band-pass filtered the raw signal from all  $\mu$ ECoG electrodes in the high-frequency gamma range (60–150 Hz), which has been shown to be correlated to the activity of individual cortical neurons.<sup>67,68</sup> Indeed, we found that several individual neurons were correlated to gamma power of the nearest  $\mu$ ECoG electrode (Fig. 8d). The close link between both measures demonstrates that combined electro- and optophysiological recordings can be used to relate activity of individual neurons to population signals across cortical regions. Plotting the average correlation of all imaged neurons to the gamma power in all  $\mu$ ECoG electrodes also revealed an intricate spatial structure, with neurons being mostly correlated to  $\mu$ ECoG electrodes that were close to the imaging site (Fig. 8e, bottom left). Interestingly, the spatial pattern of correlations between





**Fig. 8** Simultaneous  $\mu$ ECoG and two-photon recordings in awake mice. (a) Top: Fluorescent vessel image of a chronically implanted pp- $\mu$ ECoG electrode over somatosensory cortex. Black dashed lines indicate location of the transparent electrode. Scale bar = 100  $\mu$ m. Bottom: Two-photon image of the same electrode as in the top image. Scale bar = 50  $\mu$ m. (b) Power spectral density of the electrode shown in (a) and an adjacent electrode during different stages of imaging. Spectra on the left were taken before imaging was started, spectra in the middle while focusing the laser directly on the electrode, and spectra on the right while imaging 200  $\mu$ m below the electrode. (c) Same imaging location as the bottom image in (a) but focused 200  $\mu$ m below the electrode. Dashed circles indicate the location of 3 example cells for which functional signals are shown below. Cell 2 was imaged directly below the electrode. (d) Example traces from raw  $\mu$ ECoG recording (violet, top) with the gamma-band filtered signal and gamma power envelop (dark and light blue traces, respectively). The bottom traces in green show calcium-related fluorescence changes of three example cells that were simultaneously recorded with two-photon imaging. (e) Average correlation of gamma power in each  $\mu$ ECoG electrodes and all neurons measured with two-photon imaging. The imaged electrode marks the location in visual cortex V1, where two-photon imaging was performed. The  $\mu$ ECoG electrode location in other cortical areas are also shown as circles in Fig. 7b.

$\mu$ ECoG signals and individual neurons matched the shape of the primary visual cortex where the two-photon imaging was done (see also location of V1 in Fig. 7b), suggesting that neural activity was most correlated to  $\mu$ ECoG signals from the same cortical area. These results therefore demonstrate that combined recordings are a powerful tool to relate individual neurons to macroscopic  $\mu$ ECoG signals and can reveal intricate details of cortical activity patterns within and across different cortical areas.

## 4. Conclusions

Towards the goal of determining the most suitable materials for combined neurophysiology and neuroimaging for acute and chronic *in vivo* neural applications, flexible and transparent neural implants are desired to overcome the optical and spatio-temporal limitations of individual modalities. If achieved, synchronised electrical and calcium activity could provide simultaneous insights into single-neuron and neuronal network dynamics in different brain regions only limited by the design and placement of the  $\mu$ ECoG.

Keeping in mind a functional validation, simultaneous access to different brain regions during electrophysiology was desired. Therefore, we chose the development of surface cortical electrode arrays such as  $\mu$ ECoGs, selecting an electrode distribution that covered multiple cortical regions: somatosensory and visual cortex. Likewise, aiming for both acute and chronic applications, we chose PaC as substrate and

encapsulation of the implants due to its known biocompatibility and conformability with neural tissue.<sup>69</sup> Moreover, we chose Au and PEDOT:PSS as conductive layers due to their electrical and optical properties. Hence, we followed two different material-based strategies for the fabrication and *in vitro* and *in vivo* validation of flexible and transparent neural implants: (i) the implementation of metallic mesh structures as feedlines and electrodes made out of mAu (m- $\mu$ ECoG) and ii) the implementation of sAu feedlines with plain PEDOT:PSS electrodes (pp- $\mu$ ECoG). As a reference of a non-transparent implant,  $\mu$ ECoGs with sAu (s- $\mu$ ECoG) were also investigated.

Fabrication-wise, we provide a novel and simplified process flow for both m- $\mu$ ECoGs and pp- $\mu$ ECoGs to avoid toxic chemicals and to allow the use of standard photoresists, respectively. Our proposed process flow for m- $\mu$ ECoGs allows to use the same material as substrate and encapsulation, non-toxic solvents, and standard photoresists. Furthermore, the use of PSNPs offers the possibility of tuning the mesh-hole size by the selection of a suitable mesh lattice constant and adjusting dry etching times. The proper selection of the mesh-hole size can lead to optimized optical, electrical, and electrochemical properties. For example, bigger holes lead to enhanced transparency but increase resistance, and given the selected thickness, a geometrical window allows increasing the GSA of a mAu-based electrode which can lead to reduced impedance.

Moreover, the process flow of pp- $\mu$ ECoGs is implemented with broadly available standard photoresists. Hence, both fabrication processes have the potential to be transferred to other implant materials, designs, and applications. This is an



important future direction our research group is already working on.<sup>6,8</sup> The goal is to cover the three-dimensional space of the brain with  $\mu$ ECoGs and intracortical implants while enabling a combined application of simultaneous distinct electrophysiology, functional imaging, and optogenetics.

During the production of fully transparent implants, we faced electrical limitations due to the low conductivity of PEDOT:PSS. Given that cables with a length between 7.5–10 mm are needed to connect the implant to an external electrical system while allowing enough room for imaging, plain PEDOT:PSS was not an option for long feedlines as a high lead resistance could lead to crosstalk. We, therefore, implemented pp- $\mu$ ECoGs that exploit the excellent conductivity of sAu for long feedlines, as well as the high transparency of plain PEDOT:PSS for short feedlines and electrodes at the sensing area. Notably, the use of dry etching and different solvents during fabrication might have affected the end thickness of the PEDOT:PSS layer, therefore directly impacting the impedance with a larger spread and higher than those reported in the literature. Nonetheless, PEDOT:PSS electrodes exhibited electrochemical properties in a suitable range for electrical recording of neural activity. Furthermore, the impedances of sAu and mAu electrodes were initially higher than PEDOT:PSS electrodes, and an electrode coating was added by the electropolymerization of PEDOT:PSS on sAu and mAu, achieving impedances below plain PEDOT:PSS electrodes without affecting the transparency of the electrodes.

Furthermore, optical characterisations *in vitro* revealed minimal (below 20%) fluorescence attenuation of pp- $\mu$ ECoGs and m- $\mu$ ECoGs during widefield imaging but an increased attenuation for two-photon imaging, which was still below 50% for pp- $\mu$ ECoGs, but higher for m- $\mu$ ECoG-PPs. Conversely to sAu and mAu electrodes, negligible photo-induced artefacts were observed on PEDOT:PSS electrodes, which exhibit electronic properties that shields the photoexcitation of the material at the tested wavelengths of interest.

Going one step further, we validated the transparent  $\mu$ ECoGs *in vivo* and performed functional tests to proof the functionality of the implants. Here, both, m- $\mu$ ECoG-PPs and pp- $\mu$ ECoGs allowed the spatiotemporal correlation of neural activity upon tactile and visual activity, allowing the creation of functional maps based on functional imaging and electrical readings assigned to different channels and therefore brain regions. However, m- $\mu$ ECoG-PPs started showing signs of degradation at the electrode sites after one-month post-implantation, hindering the creation of functional maps during longer implantation times. On the other hand, pp- $\mu$ ECoGs showed up to 80% electrode functionality even after four-months post-implantation. Moreover, during two-photon imaging, *in vitro* predictions were confirmed. While the signal attenuation generated by m- $\mu$ ECoG-PPs was still good enough to image neurons at the immediate vicinity of the electrode, it was only possible to image a neuron right below the electrode of interest with pp- $\mu$ ECoGs.

The implementation of transparent  $\mu$ ECoGs, as well as their *in vitro* and *in vivo* validation carried out in this work revealed that the development of flexible and transparent neural

implants comprises the trade-off between electrical, electrochemical, and optical properties of both the implant and electrode materials, leading to the use of material combinations to exploit their different physical properties as required. Following such a strategy, our results show that pp- $\mu$ ECoGs have the best material properties trade-off for multimodal neurophysiological experiments in several important aspects. First, pp- $\mu$ ECoGs outperformed the functionality of s- and m- $\mu$ ECoG-PPs in saline solution by exhibiting a good range of impedance for electrical recordings and reduced fluorescence attenuation and photo-induced artefacts upon light exposure at different wavelengths. Second, pp- $\mu$ ECoGs showed a superior chronic *in vivo* stability than m- $\mu$ ECoGs-PP for up to four and half months. Moreover, pp- $\mu$ ECoGs revealed the advantages obtained when using combined electrophysiology with functional calcium imaging by allowing the creation of functional maps relating calcium signals, from both widefield and two-photon imaging, to electrical neural activity across multiple brain regions.

We performed a detailed functional mapping of sensory responses across multiple cortical regions and directly related the sensory responses from calcium transients with widefield imaging to electrophysiology. Such mapping demonstrates the feasibility of simultaneous multimodal recordings of functional cortical activity and allows the combination of high spatiotemporal resolution and cell-type specificity of calcium widefield imaging with the high temporal resolution of electrophysiological signals. Such combined recordings are highly valuable for studies of cortical signal processing and spatiotemporal spread of cortical activity. Moreover, our work also contributes to future multimodal studies on the generation of ECoG signals, *e.g.* due to contributions from different neural cell types in the cortex, which are of high translational value when using ECoG signals as a diagnostic tool in a clinical setting.

Moreover, we also directly related two-photon functional signals to electrophysiology by computing the correlation of individual neurons to the gamma-band power of individual  $\mu$ ECoG channels. We found that this approach reveals a clear spatial relationship between individual cortical neurons and  $\mu$ ECoGs electrodes, especially for electrodes over the same cortical area, and is therefore of high value for future studies that seek to understand the relation between single-cell and macroscopic population signals.

Finally, we showed that pp- $\mu$ ECoGs allowed the possibility of imaging neurons directly below the electrical contact of interest during two-photon imaging, unveiling important transparency features that are relevant when further increasing the electrode density of the implants, as these will be mostly covered by feedlines and electrodes sites.

## Author contributions

L. K., S. M., and V. R. M. planned the study. B. L., D. M., A. O., and V. R. M. conceived the development of m- $\mu$ ECoGs. L. K., A. O., and V. R. M. conceived the development of pp- $\mu$ ECoGs. L. K. fabricated and characterised the neural probes with the



support of M. J., S. M., and V. R. M. S. M. performed the animal experiments with the support of L. K., I. L., and V. R. M. Data processing and its corresponding analysis was performed by L. K., S. M., I. L., B. K., and V. R. M. L. K., S. M., and V. R. M. wrote the initial draft of the manuscript. All authors reviewed and edited the manuscript. S. M. and V. R. M. co-supervised the study.

## Conflicts of interest

There are no conflicts to declare.

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