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## Nanoscopic insights into the surface conformation of neurotoxic amyloid $\beta$ oligomers†

Martina Banchelli,<sup>a</sup> Roberta Cascella,<sup>id</sup><sup>b</sup> Cristiano D'Andrea,<sup>id</sup><sup>a</sup> Leszek Cabaj,<sup>c</sup> Iacopo Osticioli,<sup>a</sup> Daniele Ciofini,<sup>a</sup> Mai Suan Li,<sup>id</sup><sup>de</sup> Krzysztof Skupień,<sup>c</sup> Marella de Angelis,<sup>a</sup> Salvatore Siano,<sup>a</sup> Cristina Cecchi,<sup>id</sup><sup>b</sup> Roberto Pini,<sup>a</sup> Giovanni La Penna,<sup>id</sup><sup>f</sup> Fabrizio Chiti<sup>id</sup><sup>\*b</sup> and Paolo Matteini<sup>id</sup><sup>\*a</sup>

Raman spectroscopy assisted by localized plasmon resonances generating effective hot spots at the gaps between intertwined silver nanowires is herein adopted to unravel characteristic molecular motifs on the surface of  $\text{A}\beta_{42}$  misfolded oligomers that are critical in driving intermolecular interactions in neurodegeneration.

## Introduction

The progressive accumulation of aberrant amyloid protein aggregates ultimately forming insoluble deposits and brain plaques and containing large amounts of amyloid  $\beta$ -peptide ( $\text{A}\beta$ ) is one of the pathological hallmarks of Alzheimer's disease (AD). The complex pathways of amyloid protein aggregation involve a large variety of polymorphic prefibrillar  $\text{A}\beta_{42}$  assemblies, which can be transient or equilibrated in their biological environment.<sup>1,2</sup> In recent years, research has extensively remarked upon the association between small soluble oligomeric forms of misfolded  $\text{A}\beta_{42}$  and the synaptic dysfunction occurring at the stage of the first symptoms of cognitive impairment, arising some years before the appearance of brain plaques.<sup>3</sup> Key determinants of the neurotoxicity of  $\text{A}\beta_{42}$  linked to the development of AD appear to be envisaged in the complex interplay between these oligomeric forms and neuronal membranes, as demonstrated by various authors.<sup>4,5</sup>

Oligomer size has been a very important determinant of oligomer toxicity: an increase in size, in the absence of other physicochemical changes, has been well documented to reduce oligomer cytotoxicity.<sup>6,7</sup> This reduction is attributable to the

decrease in the surface to volume ratio of the aggregates, and to a lower diffusibility of the oligomers, which limit their interaction with the cellular membrane. Solvent-exposed hydrophobicity is another well-recognized key determinant of oligomer cytotoxicity, with hydrophobic oligomers found to be more toxic.<sup>8–12</sup> This higher cellular toxicity can be explained by their higher affinity for cell membranes induced by the increase of solvent-exposed hydrophobicity on the surface of the oligomers.

However, the lack of identification of other factors and of a precise understanding of the structure of  $\text{A}\beta_{42}$  oligomers in relation to their cytotoxicity represents a main bottleneck toward unravelling the exact membrane-associated mechanisms of toxicity. Ultimately, revealing aberrant structures and conformational states adopted by  $\text{A}\beta_{42}$  in these oligomers appears essential for the development of novel diagnostic and therapeutic strategies of AD.

Raman scattering spectroscopy and related plasmon-enhanced techniques represent a powerful tool to gain insights into the chemistry and structure of a molecular species complementing or overcoming conventional techniques such as NMR spectroscopy and X-ray crystallography.<sup>13,14</sup> In particular, plasmon-enhanced Raman techniques such as surface-enhanced Raman spectroscopy (SERS) and tip-enhanced Raman spectroscopy (TERS) are gaining popularity in the analysis of biomolecules by enabling both their label-free detection with spectral fingerprint information and an in-depth structural characterization.<sup>15,16</sup> Raman investigations proposed so far in the context of  $\text{A}\beta_{42}$  characterization have been mainly focused on the analysis of the variations in the molecular structure of model systems of short and long amyloid fibrils, showing that the latter contain markedly more  $\beta$ -sheet structures than the former.<sup>17,18</sup> Attempts to detect the  $\text{A}\beta_{40}$  isoform (another major form of  $\text{A}\beta$ ) in different conformational states were made through a SERS nanofluidic device.<sup>19</sup> We have

<sup>a</sup>Institute of Applied Physics "Nello Carrara", National Research Council, Via Madonna Del Piano 10, I-50019 Sesto Fiorentino, Italy. E-mail: p.matteini@ifac.cnr.it

<sup>b</sup>Department of Experimental and Clinical Biomedical Sciences, University of Florence, Viale Morgagni 50, I-50134 Firenze, Italy. E-mail: fabrizio.chiti@unifi.it

<sup>c</sup>3D-Nano, 30-702 Kraków, Poland

<sup>d</sup>Institute of Physics, Polish Academy of Sciences, Al.Lotników 32/46, 02-668 Warsaw, Poland

<sup>e</sup>Institute for Computational Science and Technology, SBI Building, Quang Trung Software City, Tan Chanh Hiep Ward, District 12, Ho Chi Minh City, Vietnam

<sup>f</sup>Institute of Chemistry of Organometallic Compounds, National Research Council, Via Madonna Del Piano 10, I-50019 Sesto Fiorentino, Italy

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recently addressed the issue of a discrimination between toxic and non-toxic forms of misfolded HypF-N protein (a model system of A $\beta$ ) oligomers by TERS, pointing out to a significant higher content of hydrophobic aromatic amino acid residues at the surface of the toxic form respect to the non-toxic one.<sup>20</sup>

In this work we capitalized on SERS coupled with a intertwined silver nanowires (AgNWs)-based platform for sensitive inspection from the most superficial layers of A $\beta$  species to identify structural motifs that are characteristic of the toxic forms finally providing potential diagnostic fingerprints and drug targets for AD.

## Results and discussion

Our analysis focused on synthetic A $\beta_{42}$  oligomers that can be obtained at micromolar concentration according to simple procedures and in forms able to express neurotoxic effects *in vitro* as well as *in vivo* environments in a way matching the behaviour of real species.<sup>9</sup> A couple of cytotoxic/non-cytotoxic forms of A $\beta_{42}$  oligomers (here termed as A+ and A-, respectively) and fibrils as obtained by incubation of solubilized A $\beta_{42}$  monomer over increasing times in PBS under different conditions<sup>10</sup> as well as amyloid-beta derived diffusible ligands (ADDLs) oligomers resembling those found in the brain of AD patients<sup>21</sup> were considered in our experiments.

The identities of A $\beta_{42}$  oligomers were confirmed<sup>10,21</sup> by immunoblot analysis (Fig. S1A and S1B, ESI $\dagger$ ) while AFM revealed that these oligomers share an average  $\sim$ 4 nm size and a globular shape (Fig. S1C, ESI $\dagger$ ). The ability of the different A $\beta_{42}$  conformers to interact with the cellular membrane and to be internalized into the cytosol of differentiated SH-SY5Y cells was analysed by STED microscopy (Fig. 1A). Cells treated with A+ oligomers and ADDLs showed high levels of oligomer internalization, with respect to cells treated with A- oligomers or A $\beta_{42}$  fibrils that appeared variably bound to cellular membranes. We then analysed the effects of the different A $\beta_{42}$  species on the disruption of cytosolic Ca $^{2+}$  homeostasis (Fig. 1B-D), an early event in the cascade of biochemical changes thought to underlie the cytotoxicity induced by misfolded proteinaceous aggregates.<sup>22</sup> We found that A+ oligomers and ADDLs caused an extensive influx of Ca $^{2+}$  ions into both primary neurons and differentiated neuroblastoma cells, whereas A- oligomers appeared harmless. A $\beta_{42}$  fibrils showed a significant influx of Ca $^{2+}$  ions, albeit to a lesser extent with respect to the oligomeric species. Similar results were obtained from the analysis of cell viability by MTT reduction inhibition assay (Fig. 1E). Overall, these initial experiments ruled out a possible relationship between morphology and cytotoxicity within the group of oligomers. In fact, despite similar size and morphology, these were characterized by different toxicity profiles.

The evidence described above highlights a peculiar and remarkable reactivity of certain A $\beta_{42}$  species (ADDLs, A+) as compared to others (A-, fibrils), which can be explained by the presence of unique surface molecular motifs driving their interaction dynamics with cells. In order to describe the structural determinants at the basis of the toxicity of the oligomeric

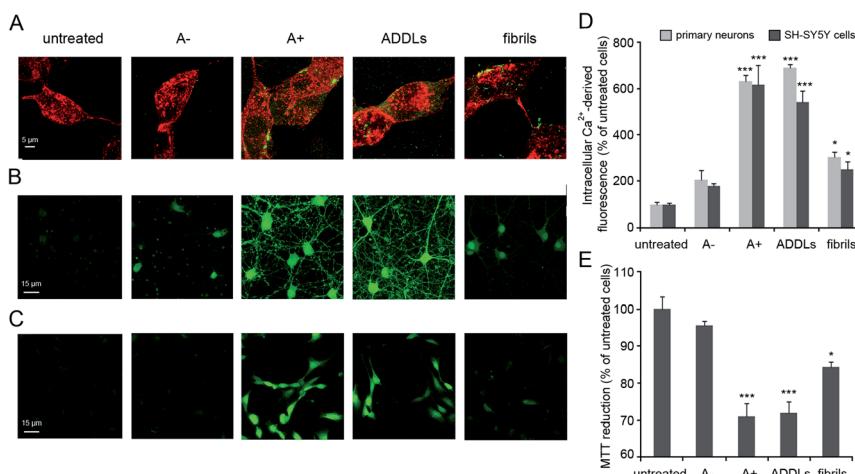
forms of A $\beta_{42}$ , we exploited the potential of SERS in providing an informative description of the biomolecular functionalities.<sup>23-25</sup> From a preliminary Raman spectroscopy inspection of the peptide backbone conformation, similar architectures with limited organization can be observed (Fig. S2, ESI $\dagger$ ), in line with previous literature.<sup>10</sup> Only mature fibrils manifested clear  $\beta$ -sheet peaks indicating the onset of a cross- $\beta$ -peptide architecture.<sup>26</sup> Hence, differences in reactivity among A $\beta_{42}$  assemblies cannot be attributed to different secondary structures in these species.

On the other hand, significant differences among spectral profiles were observed by SERS analysis revealing a variable vibrational intensity of bonds pertaining to side chain residues (Fig. 2 and 3). An overview of our SERS assay is supplied in Fig. 2A. The benefits in simple handling and worthy plasmonic properties of AgNWs<sup>27-29</sup> (Fig. S3, ESI $\dagger$ ) are here exploited to design a silver-spotted PTFE substrate (see Fig. S4, ESI $\dagger$  for details in the preparation and ref. 30 for a basic characterization of PTFE-supported AgNWs) providing  $\sim$ 10  $\mu\text{m}^{-2}$  SERS hotspots formed at the gaps between intertwined AgNWs (Fig. 2B, C and S5, ESI $\dagger$ ). PTFE is selected to avoid any significant background Raman signal and to act as hydrophobic barrier against a microliter aqueous drop of A $\beta_{42}$  sample deposited on the top of the spots in such a way that typical  $>100^\circ$  contact angles are established (Fig. 2A inset). During drying, the drop content is therein confined and concentrated to produce effective SERS signals albeit micromolar concentrations of A $\beta_{42}$  initially added. The abrupt E-field magnitude decay with distance from the hotspot under a near-infrared laser illumination originates a preferred amplification of the first few nm of an adsorbed A $\beta_{42}$  oligomer or fibril (Fig. 2D and S5, ESI $\dagger$ ). The above features depict the adopted analytical assay as functional for sensitive inspection of exposed moieties of the A $\beta$  species.

A set of SERS spectra of A $\beta_{42}$  in the initial monomeric state, of oligomeric forms including A+ species (formed after 24 h) and A- species (formed after 96 h), and of mature fibrils are displayed in Fig. 2E. Main bands are assigned to the aromatic tyrosine (Tyr) and phenylalanine (Phe) (830, 850, 1003, 1032, 1205, 1583, 1604, 1617  $\text{cm}^{-1}$ ) residues, CN stretching modes of amino-terminated amino acids (1047–1130  $\text{cm}^{-1}$ ), CH<sub>2</sub>/CH<sub>3</sub> deformations of side chains of hydrophobic amino acids (1420–1460  $\text{cm}^{-1}$ ) (Table S1, ESI $\dagger$ ). From a first inspection, the SERS analysis indicates that major changes occur in the oligomers during the first 24 h of incubation, concomitantly with type A+ oligomer formation, whereas after 48 h the oligomers show similar spectral features that are maintained almost unaltered after fibril formation. The contribution of the different peptide modes to the SERS signals was estimated by means of a multi-peak fitting procedure (Fig. S6, ESI $\dagger$ ).

A considerable variation in the Fermi doublet ratio of Tyr, calculated as the  $I_{850}/I_{830}$  intensity ratio, is apparent in correspondence of the A $\beta_{42}$  form at 24 h, mainly due to an abrupt increase in the 850  $\text{cm}^{-1}$  mode intensity (Fig. 2F). An increase of this ratio can be associated with a phenol ring that is less involved in hydrophobic type interactions such as  $\pi$ -stacking while on the contrary is more exposed to the aqueous solvent.<sup>31</sup> This consideration is further sustained by the enhanced





**Fig. 1**  $\text{A}\beta_{42}$  cellular internalization and cytotoxicity. (A) Representative STED images of differentiated SH-SY5Y cells treated with the indicated  $\text{A}\beta_{42}$  species for 1 h at a concentration of 3  $\mu\text{M}$  (monomer equivalents). Red and green fluorescence indicates the cell membranes stained with wheat germ agglutinin (WGA) and the  $\text{A}\beta_{42}$  species stained with primary and secondary antibodies. (B and C) Representative confocal microscope images showing the  $\text{Ca}^{2+}$ -derived fluorescence in primary rat cortex neurons (B) and differentiated SH-SY5Y cells (C) treated for 15 min with the indicated  $\text{A}\beta_{42}$  species at a concentration of 3  $\mu\text{M}$ . The cells were loaded with the Fluo-4 AM probe. (D) Semi-quantitative analysis of the  $\text{Ca}^{2+}$ -derived fluorescence, expressed as percentages of the values for untreated cells. (E) MTT reduction in differentiated SH-SY5Y cells treated for 24 h with the indicated  $\text{A}\beta_{42}$  species at a concentration of 3  $\mu\text{M}$  (monomer equivalents). Experimental errors are S. E. M. ( $n = 18$  for MTT and 12 for confocal experiments). Samples were analysed by one-way ANOVA followed by Bonferroni's multiple comparison test relative to untreated cells (\* $P < 0.05$  and \*\*\* $P < 0.001$ ). A total of 40–60 (A), 100–150 (B–D) and 150 000–200 000 (E) cells were analysed per condition in total.

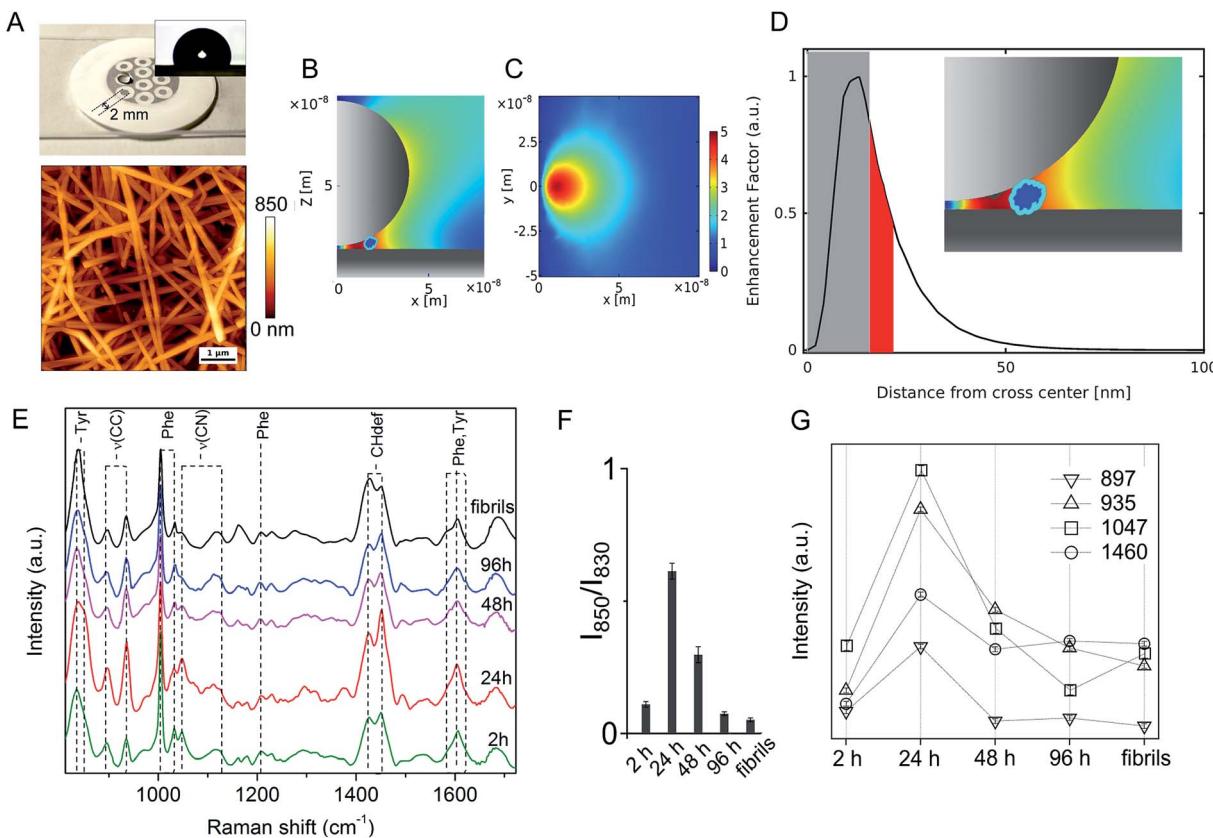
1604  $\text{cm}^{-1}$  in plane ring stretching mode also ascribed to Tyr.<sup>32</sup> This evidence indicates the formation of a transient  $\text{A}\beta_{42}$  form at 24 h in which the Tyr residue (*viz.* Tyr10) of each  $\text{A}\beta_{42}$  chain points towards the external surface of the oligomer, thus being more available for interaction with the SERS-active silver surface (and consequently more affected by electromagnetic amplification), as compared to more buried Tyr residues of the other  $\text{A}\beta_{42}$  species. Further remarkable features of the  $\text{A}\beta_{42}$  oligomer at 24 h are (Fig. 2G) enhanced signals<sup>33–37</sup> of (i) CC stretching vibrations at 897  $\text{cm}^{-1}$  and 935  $\text{cm}^{-1}$ ; (ii) the 1047  $\text{cm}^{-1}$  band assigned to CN stretching; and (iii) the 1460  $\text{cm}^{-1}$  band within the  $\text{CH}_2/\text{CH}_3$ -deformation region. First, the above results allow inferring a solvent exposure of residues containing amino-terminated groups. Among them, Lys and Arg residues are main favoured candidates due to their SERS signals at the low end of the 1047–1130  $\text{cm}^{-1}$  region of CN stretching.<sup>38,39</sup> A higher SERS intensity of the 1047  $\text{cm}^{-1}$  mode can be the consequence of a direct interaction with the metal of available nitrogen atoms that protrude from the surface of the oligomer, which is favoured due to the well-known nitrogen affinity for silver.<sup>40</sup> Furthermore the observation of enhanced CC and CH modes is well in agreement with a close proximity of long hydrocarbon moieties of amino acid residues including Lys and Arg residues with the metal. The type A– oligomer SERS profile appears considerably different from that of the type A+ while resembling that of fibrils. SERS spectra of oligomers formed after 48 hours of incubation show a less pronounced exposure of Tyr and amino-terminated residues. A nice matching between SERS spectra of A– and fibril species can suggest that in spite of well distinct morphologies and discrepant secondary structure (Fig. S1 and S2, ESI†), their surface

molecular conformation is unfit to trigger strong interactions with the silver surface.

In light of these findings, ADDLs were subjected to SERS analysis aimed at assessing possible similarities with the model A+ species. Fig. 3 compares the SERS spectra collected from type A+/A– oligomers with ADDLs examined under identical conditions. As immediate feedback, a substantial matching between ADDLs and type A+ oligomer spectral profiles emerges, while differences with respect to those of the type A– (and fibrils, Fig. 2E) are apparent. Contextually, the enhancement of CC, carboxyl, Tyr, CN vibrations as well as hydrocarbon chain vibrations in ADDLs spectrum reveals a straight correlation between ADDLs and A+ oligomer profiles.

To gain insight into the specific contribution of side groups mainly responsible for SERS signals characterizing the toxic type A+ and ADDLs forms, we compared them with those of polyLys, polyArg, polyHis and polyGlu, taken here as representative models on a peptide scale of SERS profiles of Lys, Arg, His and Glu side residues of  $\text{A}\beta_{42}$ . The latter were the subject of further investigation to confirm or discard crucial signal assignments. In fact, Lys and Arg are here hypothesized to contribute to the spectral features at 1047  $\text{cm}^{-1}$ , which mainly distinguish the toxic A+ and ADDLs forms from the others (see above); His was included in the analysis because of some increase in the 1317  $\text{cm}^{-1}$  and 1494  $\text{cm}^{-1}$  signals (being Raman markers of this residue<sup>41</sup>) as noted in A+ and ADDLs spectra and in light of previous reports proving its involvement in neuronal membrane interactions of toxic amyloid forms.<sup>20,42</sup> Glu is abundantly present in the  $\text{A}\beta_{42}$  chain and possibly responsible of a slightly enhanced 1380  $\text{cm}^{-1}$  mode<sup>33</sup> as again observed in the A+ and ADDLs spectra. The spectral profiles of polyHis and

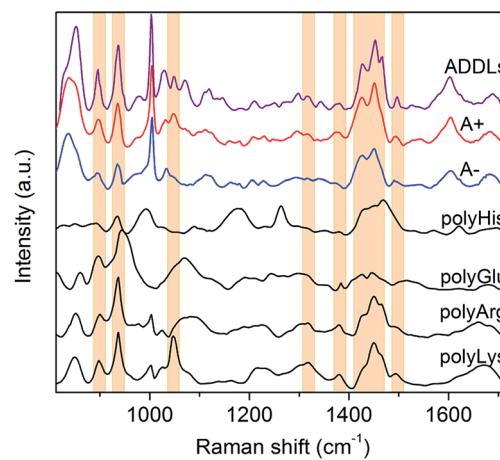




**Fig. 2** SERS analysis of  $\text{A}\beta_{42}$  species. (A) Picture of the silver-spotted substrate used for SERS analysis showing a drop of  $\text{A}\beta_{42}$  solution deposited on a 2 mm large spot. Inset: contact angle image of a water drop after deposition on the spot; exemplary AFM image of the spot showing intertwined AgNWs over the PTFE support. 2D sections xz in (B) and xy in (C) of FEM simulations of the E-field intensity ( $|E|/|E_0|$ ) in-between two crossed AgNWs in air. (D) Profile of EF along the x-direction. Access to the grey zone adjacent to the origin of the intersection, from 0 to  $\sim 15$  nm, is denied to the oligomer due to steric impediments, while the zone highlighted in red shows the EF decrease experienced by a 4 nm sized molecule (i.e. the average dimension of an  $\text{A}\beta_{42}$  oligomer) as close as possible to the hot spot (as displayed in the inset). (E) Series of SERS spectra of  $\text{A}\beta_{42}$  oligomers over 2 h, 24 h, 48 h and 96 h incubation time and of mature fibrils (from bottom to top) in the  $800\text{--}1750\text{ cm}^{-1}$  range; characteristic bands are identified with dashed lines. Analysis of selected SERS bands after multipeak fitting by Lorentzian functions: (F) ratio of  $850\text{ cm}^{-1}$  and  $830\text{ cm}^{-1}$  band intensities of Tyr doublet and (G) integrated area values of bands at  $897\text{ cm}^{-1}$ ,  $935\text{ cm}^{-1}$ ,  $1047\text{ cm}^{-1}$  and  $1460\text{ cm}^{-1}$  from spectra in (E).

polyGlu showed a scarce overlap with those of A+ and ADDLs, which make us discard His and Glu from having an exposed position in the external shell of  $\text{A}\beta_{42}$  toxic oligomers. Conversely, considerable matching between a number of SERS bands of A+ and ADDLs was found with those of polyLys and partly with those of polyArg. These include modes at  $897$  and  $935\text{ cm}^{-1}$ , the band group peaked at  $1460\text{ cm}^{-1}$  as well as the above-pending peaks at  $1317\text{ cm}^{-1}$ ,  $1380\text{ cm}^{-1}$  and  $1494\text{ cm}^{-1}$  for both polyLys and polyArg while the sharp feature at  $1047\text{ cm}^{-1}$  assigned to CN stretching appears a hallmark of polyLys. On the basis of the above findings, we can infer that Lys and Arg residues as well as Tyr (contributing as intense bands at  $830$ ,  $850$  and  $1604\text{ cm}^{-1}$ ) may play a leading role in the characteristic 'toxic' molecular fingerprint of type A+ oligomers and ADDLs.

MD simulations of  $\text{A}\beta_{42}$  oligomers at room conditions<sup>43</sup> were analysed to assess the solvent-exposure of Tyr, Lys and Arg in toxic species as revealed by SERS. Starting from  $\text{A}\beta_{42}$  monomer and dimer structures simulated in aqueous solution, a few



**Fig. 3** SERS spectrum of ADDLs compared to that of type A+ and A- oligomers. SERS spectra of polyHis, polyGlu, polyArg and polyLys are also displayed for comparison. Bands of polyLys and/or polyArg describing relevant spectral features of type A+ oligomers and ADDLs are identified with coloured boxes.



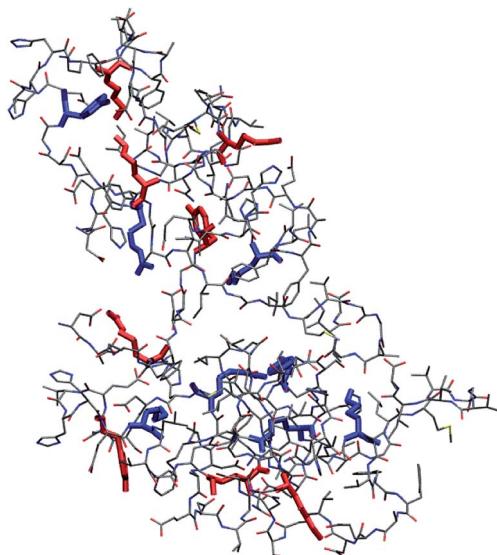


Fig. 4 Representative structure of compact ( $R < 0.9$ , see ESI† for definition)  $\text{A}\beta_{42}$  oligomer (remaining structures showing a minimal root-mean square deviation between their backbone structures in the 1–2 nm range are shown in Fig. S7, ESI†). Tyr, Lys and Arg side chains are emphasized in boldface. Side chains of Tyr10, Lys16, Lys28 and Arg5 with relative accessibility to water  $r\text{SASA} > 0.3$  (see ESI†) are in red, while those with  $r\text{SASA} < 0.3$  are in blue. SASA is the average value for each side chain within the pool of structures belonging to each cluster. Bond radii are arbitrary.

number of oligomer assemblies ( $n = 4$ ) were selected (displayed in Fig. 4 and S7, ESI†). MD calculations allowed further restriction (see ESI† for details) of the focus of our results on Tyr10 and Lys28 residues of the  $\text{A}\beta_{42}$  peptide, which showed high-predicted values of solvent accessibility in the oligomers and a reduced accessibility in the transition from oligomeric to fibrillar forms of  $\text{A}\beta_{42}$ . A scheme reporting the surface characteristics of toxic and nontoxic  $\text{A}\beta_{42}$  oligomers as found in this study and in accordance with previous findings is reported in Fig. 5.

The question arises as to how the exposure of Tyr and Lys residues may contribute to the toxicity of the toxic oligomers explored here. Tyr residues are hydrophobic groups that may facilitate the association of biological membranes with misfolded oligomers when they are exposed on the oligomer surface, as a clear correlation exists between oligomer hydrophobicity and oligomer toxicity.<sup>10–12,44</sup> Concerning Lys, it has been hypothesized that exposed Lys residues may promote the interaction with membrane proteins, but such interaction has so far remained elusive.<sup>45</sup> Since oligomer toxicity requires the presence of the ganglioside GM1 on the membrane, in particular its negatively charged sialic acid,<sup>46,47</sup> it is tempting to speculate that positively charged Lys residues on the oligomer surface may promote the interaction with the negatively charged ganglioside, with further insertion of the hydrophobic oligomer within the membrane bilayer. Another possibility is that solvent-exposed Lys residues play a role as gatekeepers for the recognition of chaperones,<sup>48</sup> which have been shown to recognize regions enriched with hydrophobic residues flanked

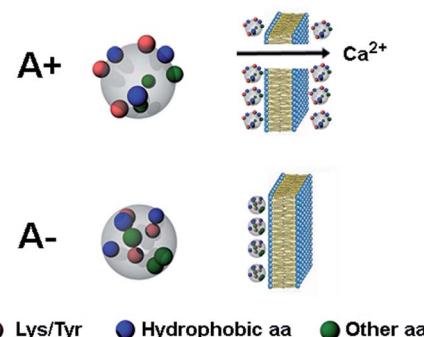


Fig. 5 Schematic picture of the  $\text{A}^+$  and  $\text{A}^-$  oligomers of  $\text{A}\beta_{42}$ . Toxic  $\text{A}^+$  oligomers are characterized by exposure of hydrophobic clusters (blue), as found previously for this and related protein systems,<sup>9–11</sup> as well as of Tyr and Lys residues (red), which is the major finding of the present study. Toxic  $\text{A}^+$  species are able to penetrate the cell membrane causing an influx of  $\text{Ca}^{2+}$  ions from the extracellular space to the cytosol.

by Lys residues.<sup>49</sup> Finally, a role can also be played by post-translational modifications, such as activated glycation end-product (AGE) formation and SUMOylation, both occurring on Lys residues of the  $\text{A}\beta$  peptide.<sup>50</sup>

## Conclusions

In summary, in this work we provide a correlation between specific side groups of toxic  $\text{A}\beta_{42}$  oligomers with their surface chemistry. To this purpose, the characteristics of our SERS assay in genuinely probing surface functionalities of  $\text{A}\beta_{42}$  assemblies were exploited with effective advantages in terms of high sensitivity and intrinsic specificity, without any need to modify the primary sequence of  $\text{A}\beta_{42}$  potentially altering oligomer self-assembly. Our findings add a fundamental piece to the complex knowledge of the mechanism of cytotoxicity of the  $\text{A}\beta_{42}$  peptide. We expect that these results will be of concrete support to future diagnostic and therapeutic applications of AD, as such remarked fingerprints may themselves become effective biomarkers or drug targets of AD.

## Conflicts of interest

There are no conflicts to declare.

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