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# Ozone in gaseous and aqueous phases as a sanitizing agent for grapes used in winemaking and its impact on the implantation of *Lachancea thermotolerans*

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Ozonation is an effective and sustainable method for grape sanitation, facilitating the implementation of non-*Saccharomyces* yeasts such as *Lachancea thermotolerans*. This study evaluated gaseous (28 g h<sup>-1</sup> for 30 min) and aqueous ozone (0.5 g h<sup>-1</sup> for 30 min) treatments on *L. thermotolerans* implantation in Red Globe grapes. Fermentations with *L. thermotolerans* and *Saccharomyces cerevisiae* were conducted at different inoculation rates, assessing must acidification, sugar consumption and volatile compounds. Ozone treatments increased lactic acid production, lowered pH and enhanced *L. thermotolerans* metabolic activity. Volatile analysis revealed a higher production of 2-phenyl ethanol, a characteristic compound of *L. thermotolerans*. These findings suggest ozonation as a potential alternative to sulfitation, improving yeast implantation and modulating wine acidity and aroma.

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## Sustainability spotlight

The use of ozone (O<sub>3</sub>) to sanitize grapes is a sustainable and environmentally friendly technology. Its powerful oxidizing capacity allows the effective elimination of microorganisms without generating toxic waste, as it quickly decomposes into oxygen. This feature significantly reduces the need for chemicals and minimizes the environmental impact of the wine industry. Furthermore, the implementation of ozonation systems requires minimal infrastructure and does not require additional products for cleaning or maintenance, which simplifies its application and reduces the consumption of resources. Its use contributes directly to the United Nations Sustainable Development Goals (SDGs), in particular Goal 12 (responsible production and consumption) and Goal 13 (climate action) by reducing the use of chemicals and the environmental footprint of wine production. Moreover, the application of *Lachancea thermotolerans* in winemaking presents an innovative biotechnological strategy for the sustainable acidification of wine. This non-*Saccharomyces* yeast naturally produces significant amounts of *l*-lactic acid during alcoholic fermentation, which leads to a controlled reduction of pH and an increase in total acidity. Unlike traditional acidification methods, which often rely on the addition of exogenous acids or chemical agents, *L. thermotolerans* allows for a more sustainable alternative. Furthermore, bioacidification through *L. thermotolerans* aligns with sustainable production goals by decreasing chemical inputs and improving wine stability under conditions of overripe grapes and low acidity induced by climate change.

## Introduction

In recent years, there has been an increasing interest in the use of non-*Saccharomyces* yeasts to improve the quality and complexity of wines.<sup>1</sup> In addition to this, there is a trend occurring in hot climates, where wines often have excess ethanol but lack acidity. The yeast *Lachancea thermotolerans* offers a solution to this problem, as it is capable of partially converting sugars into lactic acid during alcoholic fermentation.<sup>2</sup> The reduction in pH occurs naturally during fermentation and avoids the addition of tartaric acid or the use of resins,

which are very effective in reducing pH, but have undesirable effects on wine quality. By consuming sugars for the production of lactic acid, this yeast also contributes to a slight reduction in the alcohol content of the wine.<sup>3</sup>

Due to this natural acidification capacity, several trials have been done to determine the potential of *L. thermotolerans* in winemaking. It has been shown that both pure and mixed fermentations with *Saccharomyces cerevisiae* not only improved acidity, reducing pH by 0.3 or even more than 0.5 units from an initial pH of 3.8–4 (ref. 3 and 4) but also reducing volatile acidity and increasing the concentration of beneficial aromatic compounds, such as 2-phenyl ethanol.<sup>5</sup>

However, many non-*Saccharomyces* yeasts have limited fermentative capacity; in the case of *L. thermotolerans*, pure cultures have reported a moderate fermentative power with 38.8–54.73 g of residual unfermented sugar and ethanol production of 7.58–10.46% v/v.<sup>1,6</sup> Furthermore, they also exhibit

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low resistance to sulfur dioxide (SO<sub>2</sub>). As a result, the presence of this compound limits the proliferation of this yeast and lactic acid is not produced.<sup>5,7</sup>

Sulfitation with sulfur dioxide is the most used treatment in the wine industry for its antimicrobial and antioxidant properties. However, its use has certain disadvantages, such as the previously mentioned one regarding the implantation of certain yeasts, as well as alterations in the sensory properties of the wine, including the neutralization of aromas and the appearance of organoleptic defects.<sup>8</sup>

In this context, emerging technologies for food preservation have become very important because they allow the control of pathogenic or spoilage microorganisms without compromising sensory quality. These technologies include high-pressure treatments (HHP and UHPH), pulsed electric fields (PEF), pulsed light (PL), ultraviolet (UV) and electron beam irradiation, electrolyzed water and ozone.<sup>9</sup>

Ozone (O<sub>3</sub>) is a penetrating odor gas formed by the rearrangement of oxygen atoms when subjected to high energy input. Its high oxidation–reduction potential (2.07 V) makes it a potent antimicrobial agent so that molecular ozone or its decomposition products (e.g. hydroxyl radical) inactivate microorganisms by reacting with their intracellular enzymes, nucleic material and cell envelope components.<sup>10</sup> Ozone has a natural instability that allows it to decompose rapidly without generating toxic subproducts, making it a safe alternative for the food industry.<sup>11</sup> In 2001, the FDA approved its use as a food additive for the treatment, storage and processing of food in gaseous and aqueous states.<sup>12</sup>

Several studies have proved the efficacy of ozone as an antimicrobial agent in foods, both in a gaseous state and in aqueous solution.<sup>13–15</sup> Its activity depends on environmental factors such as the pH of the medium, temperature, humidity or the amount of organic matter present.<sup>16</sup> However, it has been reported that the environmental conditions of a winery do not significantly reduce its efficacy.<sup>17</sup>

Other studies have confirmed that ozone is able to control the microorganisms present in grapes without negatively altering their aromatic profile.<sup>18</sup> In addition, the application of ozonated water to grapevines had a positive effect on parameters related to ripening, phenolic compound content and free terpenoids in grapes.<sup>19,20</sup>

While previous studies have demonstrated the antimicrobial efficacy of ozone and its limited impact on grape aromatic profiles,<sup>14,21,22</sup> much remains to be investigated regarding the potential of ozone treatments to specifically enhance the implantation and fermentative performance of non-*Saccharomyces* yeasts like *L. thermotolerans* during winemaking. In particular, the influence of different yeast inoculum concentrations on implantation success under ozone sanitization has not been systematically investigated. This study addresses these gaps by evaluating both gaseous and aqueous ozone applications as grape sanitization methods and assessing their effects on *L. thermotolerans* implantation across varying inoculum levels. These findings provide novel insights into integrating emerging sanitization technologies with non-*Saccharomyces*

yeast management strategies to improve wine quality and fermentation reliability.

The goal of this study is to evaluate the effectiveness of ozone, both in its gaseous form and in aqueous solution, as a method of grape sanitization and its effect on the implantation of the yeast *L. thermotolerans*. For this purpose, tests were conducted to analyze growth indicators of this yeast, such as alcohol content, acidity and the concentration of different volatile compounds.

## Materials and methods

### Ozone application

A total of 6 kg of Red Globe variety grapes were used. The grapes were destemmed and divided into three groups of 2 kg each before being exposed to the different ozonation treatments. The ozone used for the trials was generated by using a JOBYNA JB-OZ-S28 air purifier (JOBYNA, Dongguan City, China), operating under conditions already optimized and tested in previous trials.

For the first treatment (O<sub>3</sub>G), ozone in gaseous form was used, introducing the grapes in a 5 L container in which an ozone-saturated atmosphere had been previously reached. Once the grapes were introduced, a constant ozone flow of 28 g h<sup>-1</sup> was maintained for a period of 30 minutes.

For the second treatment (O<sub>3</sub>L), the grapes were immersed in previously ozonated water using a diffuser connected to the ozone generator. The ozone flow rate was 0.5 g h<sup>-1</sup> for 30 min, allowing it to circulate as homogeneously as possible.

Once the treatments were completed, the treated grapes were transferred to sterilized jars for pressing and obtaining the must, and later divided into 100 mL flasks in which the fermentations were performed.

In the case of the control samples (C), the grapes were pressed directly without being treated previously.

From the musts obtained, YPD medium plates were seeded in triplicate to estimate the yeast population present after the different treatments prior to inoculation. The plates were incubated for 48 hours and then the colonies were counted.

### Fermentations

Two different yeasts were used to inoculate the must obtained with the different treatments: *Saccharomyces cerevisiae* (Sc) and *Lachancea thermotolerans* (Lt). The inoculants were made from active dry yeast, and three different concentrations were added: 5, 10 and 20 g hL<sup>-1</sup> (grams per hectoliter) (Fig. 1). Also, for each of the conditions, triplicates of the fermentations were performed.

Fermentation control was performed by monitoring the concentration of reducing sugars in the must using an Oeno-Foss spectrophotometer (FOSS Iberia, Barcelona, Spain). This equipment uses Fourier transform infrared spectroscopy (FTIR) to identify and quantify different compounds previously calibrated for must in fermentation and finished wine. Along with the concentration of sugars, the final alcohol degree was also obtained by this method.





Fig. 1 Experimental procedure and sample codes for the application of different ozonation treatments and their subsequent inoculation.

### Evolution of lactic acid and pH during alcoholic fermentation

To measure lactic acid concentration, daily samples were taken from each of the fermentations in order to monitor the production of this compound. The analysis was made with a Y25 multienzymatic analyzer (BioSystems, Barcelona, Spain), and the method of analysis is based on the use of the enzyme lactate dehydrogenase.

For pH, a portable pH meter METRIA model M22 (Labbox Labware S.L., Premià de Dalt, Spain) was used to make an initial measurement before inoculation and a final measurement when the fermentations were finished. This made it possible to calculate the pH variation throughout the process.

### Fermentative volatile analysis

After finishing the fermentations, samples were taken from all of them to analyze the concentration of different volatile compounds present using gas chromatography coupled to a flame ionization detector (GC-FID) with Agilent Technologies 6850 equipment (J&W Scientific, Folsom, CA, USA) according to the method described by ref. 23. Before being analyzed, the samples were filtered using a 0.45  $\mu\text{m}$  membrane. After this, 100  $\mu\text{L}$  of internal standard (4-methyl-2-pentanol) was added to 1 mL of each filtered sample. A DB-624 column (60 m  $\times$  250  $\mu\text{m}$   $\times$  1.40  $\mu\text{m}$ ) with a 1 : 10 split was used to separate the different compounds for further analysis. The temperature program started with an initial value of 40  $^{\circ}\text{C}$  followed by an increase of 10  $^{\circ}\text{C}$  per minute until reaching 250  $^{\circ}\text{C}$  which was maintained for 5 minutes. The gas used as the mobile phase was hydrogen with a flow rate of 2.2 mL  $\text{min}^{-1}$ . The detector was programmed at a temperature of 300  $^{\circ}\text{C}$  and allowed the quantification of the following compounds: acetaldehyde, methanol, 1-propanol, diacetyl, ethyl acetate, isobutanol, acetoin, 3-methyl-1-butanol, 2-methyl-1-butanol, isobutyl acetate, ethyl butyrate, 2,3-

butanediol, 2-phenylethyl alcohol and 2-phenylethyl acetate. All these compounds were previously calibrated in the equipment.

### Statistical analysis

All calculations, including means, standard deviations and other statistics, were obtained using Rstudio software (Posit, PBC, Boston, USA). Analysis of variance (ANOVA) was used to evaluate the effects of the different factors and to determine if they were significant. In cases where significant differences were observed, pairwise comparisons were performed to determine the level of significance among the different factors. Principal Component Analysis (PCA), which was applied to the analysis of volatiles, was obtained using XLSTAT software (Addinsoft, Paris, France), which provides the corresponding graph with all the information necessary for its interpretation.

## Results and discussion

### Plate count

A remarkable reduction of the initial yeast population was observed after applying both treatments, since the plate count of the control samples showed a population higher than  $5 \times 10^4$  CFU  $\text{mL}^{-1}$  compared to  $9 \times 10^3$  CFU  $\text{mL}^{-1}$  and  $5 \times 10^3$  CFU  $\text{mL}^{-1}$  for  $\text{O}_3\text{L}$  and  $\text{O}_3\text{G}$ , respectively. This indicates that with the ozonation methodology applied, it was possible to reduce the yeast population of the grapes by an order of magnitude.

The reduction of the yeast population using ozonation treatments has been previously studied, obtaining decreases of around 0.5–1 log CFU  $\text{mL}^{-1}$  of the initial population in the grape.<sup>14,24</sup> A similar result was observed in this study, with the decrease in the  $\text{O}_3\text{G}$  treatment being 1 log CFU  $\text{mL}^{-1}$  while in the case of  $\text{O}_3\text{L}$  it was smaller, being only 0.6 log CFU  $\text{mL}^{-1}$ . The variability present in the different methods may be due to the fact that, as previously described by other authors, the efficiency of ozone can change taking into account different factors such as the species and strain of organisms in the grape, the density of the microbiota or the methodology used for ozone application.<sup>13,25</sup> A recent study on the application of gaseous ozone on this same grape variety, Red Globe, also highlights the potential of this treatment to be used as a safe and effective fungicide.<sup>26</sup>

### Fermentative kinetics

All fermentations were completed without difficulty in 8 days, consuming all the sugars present in the starting must. It can be observed that, especially in the case of the *L. thermotolerans* yeast, the sugar consumption of the control sample during the initial days was less pronounced than in the case of the  $\text{O}_3\text{G}$  and  $\text{O}_3\text{L}$  treated samples (Fig. 2). This could be the first indicator that the ozone treatment has facilitated the implantation of this yeast. This effect is likely related to the higher initial microbial load in the control grapes, which did not undergo any sanitizing treatment. Such microbial competition can hinder the proliferation and implantation of *L. thermotolerans*, resulting in slower sugar consumption. In contrast, *S. cerevisiae* is less affected due to its greater fermentative capacity, which allows it to grow efficiently even under these conditions.



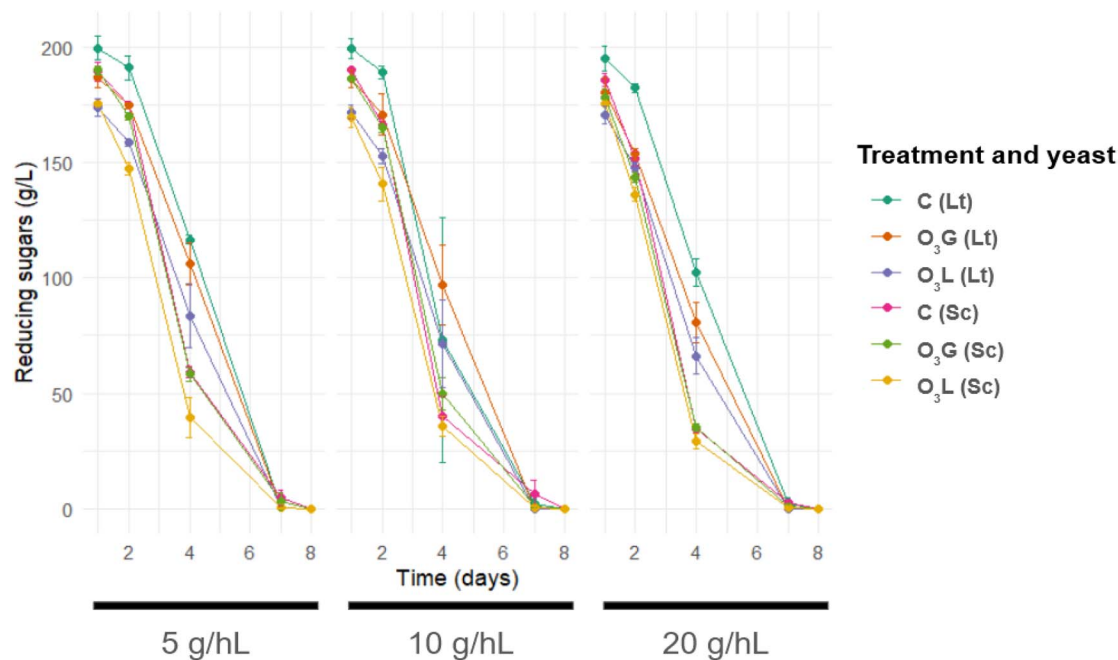


Fig. 2 Evolution of reducing sugar concentration ( $\text{g L}^{-1}$ ) during fermentation. The figure shows the mean obtained for the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) grouped according to the concentration of *L. thermotolerans* (Lt) or *S. cerevisiae* (Sc) inoculum added (5, 10 and 20  $\text{g hL}^{-1}$ ). Error bars represent the standard deviation associated with each mean.

By analyzing the alcoholic content reached in each of the fermentations (Table 1), it can be observed that in all the treated samples (O<sub>3</sub>G and O<sub>3</sub>L) there are significant differences between the two yeasts used, with the amount of ethanol always being higher in the case of *S. cerevisiae* fluctuating between 10.36 and

11.87% vol, while for *L. thermotolerans* the maximum value was 10.66% vol. On the other hand, in the control samples (C) the alcoholic content is similar in all fermentations and no differences can be established between the inoculated yeasts.

The difference in the alcoholic degree of the fermentations is the first indicator of the success of the implantation of the *L. thermotolerans* yeast. Other studies that compared enological parameters of pure fermentations carried out with *S. cerevisiae* and *L. thermotolerans* resulted in significant differences in the ethanol production of these two yeasts.<sup>1</sup> The absence of this difference in alcoholic degree in the C samples could indicate that other yeasts, already present in the grape, had a major role in the fermentation and, as a consequence, *L. thermotolerans* could not be correctly implanted.

Table 1 Alcoholic degree values expressed in volumetric percentage of ethanol. The mean obtained for the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) grouped according to the concentration of the *L. thermotolerans* (Lt) or *S. cerevisiae* (Sc) inoculum added (5, 10 and 20  $\text{g hL}^{-1}$ ) is shown with the standard deviation. Letters associated with each value indicate if there are significant differences ( $P < 0.05$ ) between samples

Inoculum concentration	Treatment	Yeast	Ethanol (%v/v)
5 $\text{g hL}^{-1}$	C	Lt	11.62 ± 0.29c
		Sc	11.84 ± 0.09c
	O <sub>3</sub> G	Lt	10.66 ± 0.08a
		Sc	11.56 ± 0.13c
	O <sub>3</sub> L	Lt	9.61 ± 0.29b
		Sc	10.59 ± 0.17a
10 $\text{g hL}^{-1}$	C	Lt	11.73 ± 0.12c
		Sc	11.77 ± 0.39c
	O <sub>3</sub> G	Lt	10.52 ± 0.14a
		Sc	11.58 ± 0.08c
	O <sub>3</sub> L	Lt	9.73 ± 0.21b
		Sc	10.60 ± 0.25a
20 $\text{g hL}^{-1}$	C	Lt	11.56 ± 0.29c
		Sc	11.87 ± 0.06c
	O <sub>3</sub> G	Lt	10.09 ± 0.35ab
		Sc	11.27 ± 0.22c
	O <sub>3</sub> L	Lt	9.72 ± 0.14a
		Sc	10.36 ± 0.22b

### Lactic acid evolution during alcoholic fermentation

Differences can be seen in the evolution of lactic acid production during fermentation, considering the treatment applied and the concentration of the *L. thermotolerans* inoculum used (Fig. 3). In general, it seems that the O<sub>3</sub>L samples produced a higher amount of lactic acid during all the days of fermentation, followed by the O<sub>3</sub>G samples, and finally the C samples. Furthermore, the difference in the production of this compound between treated samples and control samples is higher in those where the *L. thermotolerans* inoculum was lower (5  $\text{g hL}^{-1}$ ). In the samples where *S. cerevisiae* was inoculated, in any case it didn't exceed 0.02  $\text{g L}^{-1}$ , while for the samples inoculated with *L. thermotolerans*, final concentration values between 4.15 and 8.85  $\text{g L}^{-1}$  were recorded.





Fig. 3 Evolution of lactic acid concentration ( $\text{g L}^{-1}$ ) during fermentation. The figure shows the average obtained for the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) grouped according to the concentration of the added inoculum of *L. thermotolerans* (Lt) or *S. cerevisiae* (Sc) (5, 10 and 20  $\text{g hL}^{-1}$ ). The error bars correspond to the standard deviation associated with each average.

A more detailed analysis of the final lactic acid concentration data confirms the trend previously described in relation to lactic acid evolution (Fig. 3). A significant increase in lactic acid

production is detected in all the samples treated with ozone, both O<sub>3</sub>G and O<sub>3</sub>L, in comparison with the control samples (Fig. 4). After calculating the percentage increase in lactic acid

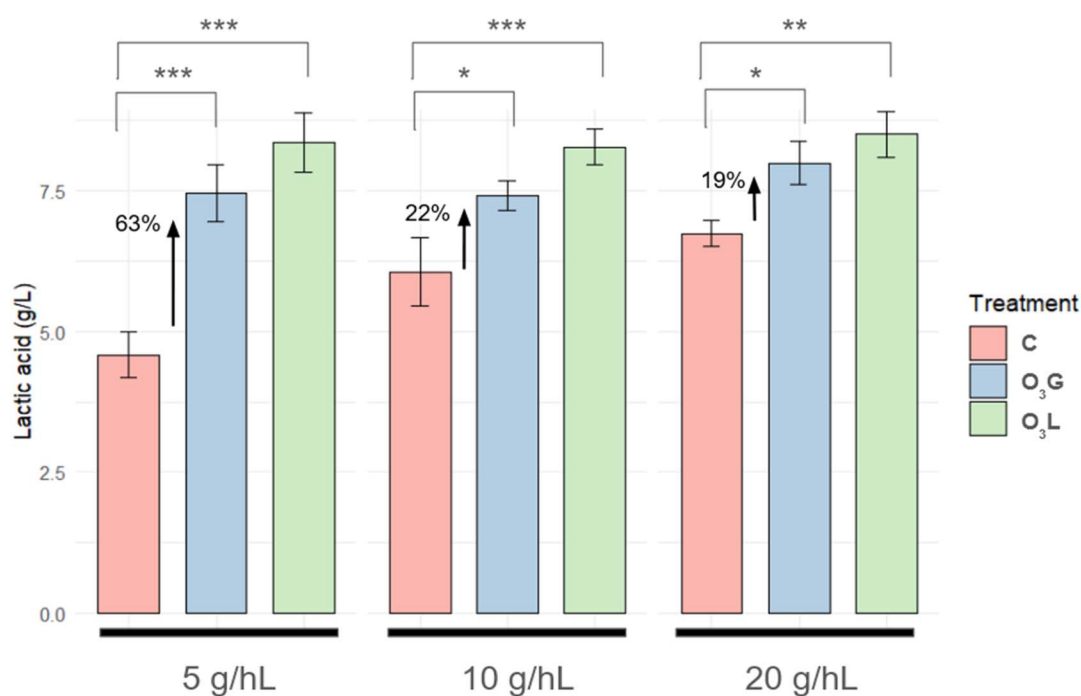


Fig. 4 Final concentration of lactic acid ( $\text{g L}^{-1}$ ). The figure shows the mean obtained for the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) grouped according to the concentration of the added *L. thermotolerans* inoculum (5, 10 and 20  $\text{g hL}^{-1}$ ). The error bars correspond to the standard deviation associated with each average. The asterisks indicate the presence of significant differences between treatments: \*, \*\* and \*\*\* indicate the significance at  $P < 0.05$ , 0.01 and 0.001 respectively.





Fig. 5 Final pH. The figure shows the mean obtained for the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) grouped according to the concentration of the *L. thermotolerans* (Lt) inoculum added (5, 10 and 20 g hL<sup>-1</sup>). The error bars correspond to the standard deviation associated with each mean. Asterisks indicate the presence of significant differences between treatments: \*, \*\* and \*\*\* indicate the significance at  $P < 0.05$ , 0.01 and 0.001 respectively.

production with respect to the control samples, it can be seen that, for the O<sub>3</sub>G treatment, production is 62.7%, 22.3% and 18.6% higher in the samples with an inoculum of 5, 10 and 20 g hL<sup>-1</sup>, respectively. In the case of the O<sub>3</sub>L treatment, the difference is even more noticeable, reaching an increase of 82.3%, 36.7% and 26.3% for the inoculum concentrations of 5, 10 and 20 g hL<sup>-1</sup>, respectively. It should be noted that the difference between samples C with respect to O<sub>3</sub>G and O<sub>3</sub>L progressively decreases as the concentration of the *L. thermotolerans* inoculum increases.

The production of lactic acid indicates the presence of *L. thermotolerans* since it is a compound characteristic of its metabolism. This formation of lactic acid is produced from pyruvate in the glycolytic metabolism of sugars in which the enzyme lactate dehydrogenase is involved. The acidification occurs at the beginning of the alcoholic fermentation, at 3–5 days of fermentation.<sup>27</sup> Its production range can oscillate to around 1–16 g L<sup>-1</sup> of concentration in wine depending on the strain used.<sup>28</sup> All these data are consistent with those obtained in this study and allow correlating the concentration of lactic acid present in the samples with the degree of *L. thermotolerans* implantation: the highest production of lactic acid occurred during the first 4 days of fermentation (Fig. 3) and the final concentration reached 4.15–8.85 g L<sup>-1</sup> (Fig. 4).

As mentioned previously, significant differences were recorded between the control and treatment groups, indicating that ozonation facilitated the implantation of *L. thermotolerans*, allowing for greater production of lactic acid during

fermentation. However, it should also be considered that this difference was not similar for all the inoculum concentrations used. Bigger differences were observed in the samples with the lowest inoculum concentrations, which confirms that the treatments had a clear influence on yeast implantation. This means that even under the most unfavourable conditions (when there was a lower ratio of inoculum to the yeasts that could be present in the original grapes), it was possible to achieve lactic acid concentrations similar to those of the samples with the highest inoculum concentrations.

## pH

For all samples in which *L. thermotolerans* was inoculated, there was a decrease in the pH of the wine compared to the measurements conducted initially before fermentation. These values correlate with the lactic acid concentrations observed in these fermentations (Fig. 4). Furthermore, this decrease showed significant differences when comparing the pH values of the O<sub>3</sub>G and O<sub>3</sub>L samples with the C samples, being higher in the treatment samples (Fig. 5). An influence of the concentration of the added inoculum is also observed: for both treatments, the difference in acidification, when compared with the C samples, is more pronounced when the concentration of the added inoculum is lower. This difference progressively reduces as the concentration of inoculum increases. For the O<sub>3</sub>G treatment, acidification is 28.7% higher for a concentration of 5 g hL<sup>-1</sup> of inoculum, 17.9% higher for 10 g hL<sup>-1</sup> and 15% higher for 20 g



**Table 2** Average concentration of volatile compounds (mg L<sup>-1</sup>) present in the samples together with their standard deviation. The letters associated with each value come from the statistical analysis; different letters indicate significant differences for  $P < 0.05$ . The results have been divided into three different tables according to the concentration of inoculum used (5, 10 and 20 g hL<sup>-1</sup>). Within each table they are in turn divided according to the yeast inoculated (Lt and Sc) and the treatment used (C, O<sub>3</sub>G and O<sub>3</sub>L)

	5 g hL <sup>-1</sup>					
	Lt			Sc		
	C	O <sub>3</sub> G	O <sub>3</sub> L	C	O <sub>3</sub> G	O <sub>3</sub> L
Methanol	38.60 ± 4.85ab	38.71 ± 4.01ab	12.60 ± 3.26b	37.77 ± 4.48ab	34.88 ± 1.69ab	40.87 ± 22.00a
1-Propanol	99.04 ± 4.39a	82.33 ± 10.46a	80.40 ± 20.66a	79.11 ± 5.20a	95.98 ± 4.00a	89.15 ± 12.53a
Diacetyl	0.00 ± 0.00b	1.87 ± 0.44a	1.45 ± 0.08ab	0.00 ± 0.00b	0.97 ± 0.84ab	1.53 ± 1.35ab
Ethyl acetate	53.15 ± 3.18a	29.79 ± 3.93a	37.67 ± 19.91a	59.50 ± 17.88a	44.52 ± 13.70a	62.68 ± 15.91a
2-Butanol	nd	nd	nd	nd	nd	nd
Isobutanol	30.35 ± 0.64b	38.11 ± 1.75a	36.68 ± 0.54a	18.93 ± 0.46c	19.90 ± 1.17c	20.79 ± 1.63c
1-Butanol	3.20 ± 5.54a	1.52 ± 2.63a	4.79 ± 0.49a	4.20 ± 0.27a	5.24 ± 0.25a	3.96 ± 0.17a
Acetoin	42.11 ± 23.36a	27.26 ± 24.82a	17.10 ± 17.90a	15.54 ± 8.24a	10.05 ± 0.84a	12.33 ± 13.58a
3-Methyl-1-butanol	137.38 ± 22.38a	132.48 ± 29.05a	94.40 ± 8.15a	97.47 ± 3.09a	99.02 ± 3.87a	95.83 ± 9.08a
2-Methyl-1-butanol	34.35 ± 1.32bc	37.39 ± 2.78abc	29.13 ± 2.81c	39.99 ± 3.53ab	45.39 ± 3.85a	34.59 ± 3.45ac
Isobutyl acetate	nd	1.26 ± 2.19a	1.87 ± 3.23a	nd	3.75 ± 3.43a	1.91 ± 3.31a
Ethyl butyrate	nd	nd	nd	1.68 ± 0.15a	nd	nd
2,3-Butanediol	371.84 ± 16.83a	328.32 ± 32.66a	279.04 ± 45.77a	648.74 ± 114.77a	674.67 ± 60.81a	514.25 ± 44.64a
Hexanol	nd	nd	nd	nd	nd	nd
2-Phenyl ethanol	10.89 ± 0.36b	15.20 ± 6.90ab	22.69 ± 0.41a	9.46 ± 0.07b	9.82 ± 0.21b	13.42 ± 4.52b
2-Phenylethyl acetate	4.73 ± 0.01b	4.87 ± 0.19b	5.86 ± 0.03a	nd	5.03 ± 0.04b	5.01 ± 0.31b
Esters	57.87 ± 3.18a	35.92 ± 5.40a	45.40 ± 16.67a	61.18 ± 17.73a	53.30 ± 12.69a	69.60 ± 13.08a
Higher alcohols	315.21 ± 31.50a	307.01 ± 30.50ab	268.09 ± 30.36ab	249.17 ± 5.86b	275.35 ± 8.75ab	257.74 ± 5.42ab
Total volatiles	882.03 ± 78.15bc	804.95 ± 29.16c	713.29.12 ± 46.78c	1065.98 ± 55.40a	1067.89 ± 49.74a	1017.94 ± 106.05ab

	10 g hL <sup>-1</sup>					
	Lt			Sc		
	C	O <sub>3</sub> G	O <sub>3</sub> L	C	O <sub>3</sub> G	O <sub>3</sub> L
Methanol	35.67 ± 1.36ab	26.35 ± 2.29bc	16.06 ± 2.30c	42.66 ± 5.35a	39.66 ± 10.07ab	49.85 ± 6.47a
1-Propanol	97.83 ± 2.26a	80.17 ± 13.29a	80.02 ± 11.52a	82.54 ± 8.36a	107.07 ± 9.11a	90.93 ± 10.87a
Diacetyl	1.42 ± 0.01a	0.51 ± 0.88ab	nd	nd	0.94 ± 0.81ab	nd
Ethyl acetate	41.33 ± 6.43a	33.24 ± 11.86a	32.55 ± 9.48a	57.91 ± 30.23a	57.31 ± 21.15a	54.54 ± 18.80a
2-Butanol	nd	nd	nd	nd	nd	nd
Isobutanol	27.11 ± 0.31b	40.27 ± 5.62a	38.47 ± 5.15a	21.07 ± 0.76b	22.91 ± 1.96b	22.37 ± 2.34b
1-Butanol	5.08 ± 0.33a	4.28 ± 0.44a	4.45 ± 0.18a	4.25 ± 0.23a	1.58 ± 0.08a	4.46 ± 0.56a
Acetoin	19.43 ± 16.98a	55.00 ± 38.43a	27.79 ± 28.82a	11.27 ± 1.85a	33.05 ± 27.41a	19.09 ± 12.37a
3-Methyl-1-butanol	123.52 ± 4.30a	109.78 ± 11.81ab	100.24 ± 2.20ab	101.93 ± 2.12ab	108.03 ± 14.57ab	92.38 ± 8.30b
2-Methyl-1-butanol	35.38 ± 4.77ab	36.96 ± 2.81ab	29.25 ± 5.22b	37.58 ± 0.76ab	34.78 ± 1.71ab	40.46 ± 1.44a
Isobutyl acetate	3.16 ± 2.76a	0.70 ± 1.21a	nd	nd	nd	nd
Ethyl butyrate	nd	0.44 ± 0.76a	nd	nd	nd	nd
2,3-Butanediol	308.96 ± 39.13a	263.93 ± 47.92a	292.25 ± 34.84a	573.78 ± 99.53a	622.62 ± 68.15a	627.40 ± 92.08a
Hexanol	1.71 ± 2.96a	nd	nd	nd	nd	nd
2-Phenyl ethanol	10.91 ± 0.11bcd	17.90 ± 6.18ab	21.21 ± 1.02a	9.94 ± 0.11d	14.43 ± 0.60cd	17.30 ± 1.23abc
2-Phenylethyl acetate	4.77 ± 0.03a	4.95 ± 0.13a	6.08 ± 0.36a	4.73 ± 0.01a	4.82 ± 0.07a	3.45 ± 3.02a
Esters	49.26 ± 5.79a	39.32 ± 12.89a	38.62 ± 9.52a	62.65 ± 30.24a	62.13 ± 21.11a	57.99 ± 15.79a
Higher alcohols	301.55 ± 12.41a	289.36 ± 30.89a	273.64 ± 15.09a	257.32 ± 10.77a	288.80 ± 20.85a	267.91 ± 5.54a
Total volatiles	778.40 ± 82.70b	723.89 ± 38.94b	752.48 ± 78.43b	1067.06 ± 36.02a	1083.02 ± 121.92a	1059.59 ± 113.66a

	20 g hL <sup>-1</sup>					
	Lt			Sc		
	C	O <sub>3</sub> G	O <sub>3</sub> L	C	O <sub>3</sub> G	O <sub>3</sub> L
Methanol	38.84 ± 4.12bc	35.22 ± 3.36bc	48.26 ± 5.22b	64.44 ± 9.50a	33.02 ± 7.47bc	29.06 ± 1.19c
1-Propanol	115.00 ± 8.05a	81.13 ± 5.94c	75.14 ± 6.29c	86.39 ± 9.20bc	103.19 ± 7.18ab	104.11 ± 3.92ab
Diacetyl	nd	2.02 ± 0.26a	1.64 ± 0.30ab	1.39 ± 0.00ab	0.95 ± 0.83bc	1.47 ± 0.05ab
Ethyl acetate	40.69 ± 6.52ab	34.92 ± 11.07ab	27.39 ± 4.68b	58.04 ± 19.92a	47.72 ± 8.79ab	57.60 ± 7.26a
2-Butanol	nd	0.83 ± 1.44b	2.80 ± 0.55a	nd	nd	nd



Table 2 (Contd.)

	20 g hL <sup>-1</sup>					
	Lt			Sc		
	C	O <sub>3</sub> G	O <sub>3</sub> L	C	O <sub>3</sub> G	O <sub>3</sub> L
Isobutanol	33.12 ± 1.80b	38.67 ± 4.76ab	39.92 ± 2.67a	22.11 ± 1.45c	23.61 ± 0.58c	25.31 ± 0.92c
1-Butanol	5.03 ± 0.25a	nd	2.96 ± 2.56a	2.94 ± 2.55a	1.49 ± 2.59a	4.28 ± 0.13a
Acetoin	4.17 ± 7.22a	46.10 ± 31.58a	13.56 ± 7.46a	6.75 ± 5.91a	29.53 ± 37.30a	25.65 ± 24.70a
3-Methyl-1-butanol	136.98 ± 18.88a	102.27 ± 6.29a	130.66 ± 37.23a	111.67 ± 11.86a	97.48 ± 4.12a	104.55 ± 15.71a
2-Methyl-1-butanol	36.95 ± 3.85a	40.55 ± 16.69a	40.00 ± 16.94a	39.45 ± 4.34a	38.29 ± 4.62a	37.05 ± 6.05a
Isobutyl acetate	1.62 ± 2.81a	nd	1.86 ± 3.23a	0.81 ± 1.41a	nd	0.60 ± 1.04a
Ethyl butyrate	nd	nd	nd	1.35 ± 0.15ab	nd	2.13 ± 1.53a
2,3-Butanediol	352.82 ± 103.06a	300.35 ± 22.07a	381.89 ± 48.73a	614.09 ± 95.11a	613.92 ± 100.92a	534.37 ± 62.44a
Hexanol	1.87 ± 3.24a	3.93 ± 0.22a	1.14 ± 1.98a	3.54 ± 3.11a	nd	nd
2-Phenyl ethanol	10.98 ± 0.31c	11.04 ± 0.44c	22.35 ± 1.46a	10.37 ± 0.10c	16.87 ± 1.35b	17.71 ± 0.44b
2-Phenylethyl acetate	4.90 ± 0.05a	4.85 ± 0.10a	6.57 ± 0.65a	4.73 ± 0.01a	4.79 ± 0.10a	3.30 ± 2.86a
Esters	47.22 ± 9.14a	39.77 ± 11.16a	35.82 ± 4.25a	64.93 ± 21.27a	52.51 ± 8.76a	63.63 ± 5.33a
Higher alcohols	339.93 ± 24.03a	278.41 ± 26.38b	314.98 ± 21.17ab	276.47 ± 19.26b	280.93 ± 10.64ab	293.02 ± 23.93ab
Total volatiles	880.62 ± 81.79ab	763.68 ± 38.31b	932.31 ± 42.97ab	1063.22 ± 104.61a	1071.92 ± 81.90a	1065.48 ± 100.90a

hL<sup>-1</sup>. Similarly, in the O<sub>3</sub>L samples, acidification increases by 32.2% with 5 g hL<sup>-1</sup> of inoculum, 22.11% with 10 g hL<sup>-1</sup> and 17% with 20 g hL<sup>-1</sup>.

In previous studies that used *L. thermotolerans* in both pure and mixed fermentations, the decrease in pH is associated with the presence of lactic acid, a significant increase in total acidity and a reduction in volatile acidity.<sup>1,5</sup> It has also been documented how high lactic acid production can decrease the pH of wine by 0.5 units or more during fermentation.<sup>3</sup> Significant differences in the decrease in pH (Fig. 5) were recorded between the control samples and the two treatments (O<sub>3</sub>G and O<sub>3</sub>L), which is consistent with what has already been discussed for lactic acid concentration. Moreover, the same tendency is observed when analyzing the concentration of inoculum added, with greater differences in the samples with less yeast added (5 g L<sup>-1</sup>).

### Volatile fermentation compounds

Regarding the data obtained for the aromas of fermentative origin present in each sample at the end of fermentation. The concentration values recorded for each compound analyzed are included, as well as the summations of these compounds grouped into esters and higher alcohols. The sum of all the compounds is also included to obtain the value of total volatiles present in each sample. To compare the different samples, the results have been divided according to the concentration of inoculated yeast (Table 2).

In general, the compound with the highest concentration in all samples is 2,3-butanediol. Among the esters, the most abundant in all fermentations is ethyl acetate. The total of volatiles, higher alcohols and esters shows hardly any differences between samples, which indicates similarity between the aromatic profiles of the different fermentations.

The aromatic profile of the wine obtained was quite similar for all samples, as described in other studies in which ozonation was used.<sup>24,29</sup> Alcohols were the main compounds in all the samples analyzed, and these results were similar to other studies also made with table grapes.<sup>30</sup>

Ethyl acetate is generally the major ester in wine, which is consistent with results observed in this study. At low concentrations (<100 mg L<sup>-1</sup>) it contributes a desirable fruity character, but at higher concentrations it can give an aroma of solvent/nail varnish.<sup>31</sup> In this case, none of the samples exceeded 65 mg L<sup>-1</sup>, so the presence of this compound does not imply aromatic defects.

From the table corresponding to 5 g hL<sup>-1</sup> there are significant differences in the concentration of isobutanol, which is higher in the ozonated samples (O<sub>3</sub>G and O<sub>3</sub>L) of the *L. thermotolerans* fermentations when compared with their respective control. Something similar also occurs in the case of 2-phenylethanol, but only with the O<sub>3</sub>L treatment. For 10 g hL<sup>-1</sup> this pattern is repeated. On the other hand, for 20 g hL<sup>-1</sup> the differences between the samples are reduced and, for isobutanol, there is only a significant increase with the O<sub>3</sub>L treatment.

It is worth noting the increase in the concentration of 2-phenylethanol in the samples inoculated with *L. thermotolerans* that were treated with ozonation (O<sub>3</sub>G and O<sub>3</sub>L) since, generally, an increase in this compound is attributed to mixed fermentations with strains of *L. thermotolerans*, due to its role as a signaling molecule.<sup>1,2,32</sup> Furthermore, this compound together with its derived acetate ester has a positive floral impact (rose petals) on the aroma and freshness of the wine<sup>27</sup> but no significant differences could be established between samples for the ester. A pattern is also observed in the difference between controls and treatments similar to what happened with the results obtained for lactic acid and pH; the lower the





Fig. 6 Principal Component Analysis (PCA) of the values obtained for the volatile aromas present at the end of fermentation. The figure shows the samples from the different treatments (C, O<sub>3</sub>G and O<sub>3</sub>L) of both *S. cerevisiae* (Sc) and *L. thermotolerans* (Lt) together with the active variables that characterize each of the axes. In addition, the Lt samples have been manually grouped according to the treatment used.

concentration of the inoculum used, the greater the difference between these samples.

Based on the aroma data mentioned above, a Principal Component Analysis (PCA) was performed. Due to the lack of significant differences, the axes only explain 39.12% of the variability but still allow the samples to be grouped according to the yeast inoculated and the treatment used (Fig. 6). Of this variability, 26.03% is attributed to the first component (F1) and the remaining 13.09% to the second component (F2).

First, all the inoculations carried out with *S. cerevisiae* are in the positive values of the first component, so compounds such as 2,3-butanediol and ethyl acetate are more representative of these samples, while, in the case of *L. thermotolerans*, they would be more related to isobutanol and 2-phenylethyl acetate. Furthermore, within the *L. thermotolerans* samples, they can be grouped according to the treatment applied. The C samples are clearly separated from the O<sub>3</sub>L samples, although in the case of O<sub>3</sub>G, it overlaps with the other treatment and it is closer to the C samples, remaining in an intermediate position. Thus, for the C samples, being more grouped in the center of the axes, the values obtained were more similar to each other and close to the general average of all the samples. Instead, in the case of both treatments (particularly for O<sub>3</sub>L), they are further away from the center and more dispersed from each other, which indicates greater variability with respect to the rest of the sample groups and also greater internal variability.

Although the aromatic profiles do not present significant differences, the samples can be clearly divided according to the yeast inoculated, which could be another indication of the

successful implantation of these yeasts. In addition, the possibility of grouping the *L. thermotolerans* samples according to the treatment applied shows that ozonation has had an influence on the production of certain compounds.

## Conclusions

Ozone has proven to be an effective method for sanitizing grapes, both in its gaseous form and in aqueous solution, significantly reducing the population of microorganisms present on the surface of the grapes. In addition, its application has favored the implantation of the yeast *Lachancea thermotolerans*.

All the parameters analyzed indicate that ozonation has facilitated the implantation of the inoculated yeasts, allowing, in the case of *L. thermotolerans*, the wines obtained from their fermentations to show characteristics specific of this yeast, such as acidification or the presence of certain aromatic compounds such as 2-phenyl ethanol.

Another relevant aspect was the impact of inoculum concentration, as the lower the concentration of yeast inoculated, the greater the differences between the controls and the ozone treatments. This proves that both treatments allow for successful yeast implantation, even under unfavorable conditions.

These results indicate that ozonation has the potential to be considered a viable alternative to sulphitation, especially when used with non-*Saccharomyces* yeasts. However, future research should focus on comparing its effectiveness with other



sanitization methods and on evaluating its scaling under winery conditions.

This work provides new evidence that ozone treatments, both in gaseous and aqueous forms, effectively sanitize grapes while significantly facilitating the implantation and metabolic activity of *L. thermotolerans* during fermentation. The study demonstrates that the impact of ozone is particularly pronounced at lower yeast inoculum concentrations, where significant differences were observed between ozone-treated and control samples. Compared to traditional sulphitation, ozone emerges as a promising alternative sanitization method, especially in combination with non-*Saccharomyces* yeasts to enhance wine acidity and aroma profiles. By linking ozone sanitization directly with yeast ecology and inoculum-dependent implantation dynamics, these findings expand current understanding and offer valuable directions for more sustainable and targeted microbial management practices in the wine industry. Future research should further compare ozone with other sanitization approaches and assess scalability under real winery conditions.

## Author contributions

Yaiza Rodríguez: writing – original draft, investigation. Juan Manuel del Fresno: writing – review & supervision. Carmen González: writing – review & editing. María Antonia Bañuelos: writing – review & editing. Antonio Morata: writing – review & editing, validation, project administration, methodology, funding acquisition, conceptualization.

## Conflicts of interest

There are no conflicts to declare.

## Data availability

The authors declare that most of the main data are included in the tables and figures of the article. Some of the raw data as Excel files or statistic files will be available upon request.

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