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Flow cytometry for on-line microbial regrowth monitoring in a membrane filtration plant: pilot-scale case study for wastewater reuse†

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The use of advanced membrane processes, such as reverse osmosis (RO) are of great importance for process water and drinking water production. As the water quality is bound to certain imposed microbiological standards, the removal of unwanted bacteria is vital. Reverse osmosis filtration should in theory retain all, or most, bacteria. However, due to operational choices or malfunctions (e.g., leaking glue lines, oxidation of the membrane, loss of O-seals...) bacterial breakthrough can occur. Moreover, certain ultra-small species of bacteria are also able to pass the membrane. Currently, the microbiology and breakthrough are respectively monitored by looking at indicator organisms (e.g., *Escherichia coli*) via plating methods and conductivity monitoring. In this case-study on-line flow cytometry was evaluated as a tool to monitor membrane integrity and microbiological quality of a pilot-scale RO membrane system placed at the Citrique Belgium, Citribel site (Tienen, Belgium). Over the course of 20 days, cell density and flow cytometric fingerprinting data were gathered. With the use of these phenotypic fingerprints and conductivity data, we could showcase that the cell densities found in the permeate were due to regrowth within the piping network, rather than bacterial breakthrough of the membrane. This demonstrates the added-value of flow cytometry for microbial and membrane integrity monitoring of large-scale membrane filtration plants.

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Water impact

As reverse osmosis (RO) is typically used as the final barrier in multi-barrier water reuse schemes, it is of great importance for operators to monitor the integrity and impact of operational choices. The research conducted demonstrates the added-value of flow cytometry for membrane integrity of RO membranes and microbiological regrowth monitoring.

Introduction

The production of potable water from various water sources (wastewater, groundwater, surface water, seawater,...) using advanced membrane processes is of great importance for multiple industry sectors, such as drinking water and process water production.¹ The water quality of this treated water is bound to certain regulated chemical, physical and microbiological standards by governments.² For the microbiological quality of this water in particular, it is of great importance that during water treatment the unwanted

bacteria are removed, as some of these can seriously affect human health, water quality and lead to biofouling, microbial induced corrosion and result in an increase of operational and downstream costs.³ Given the fact that these microorganisms can survive or even establish themselves within the biofilm in low-nutrient environments, it is of utmost importance to remove as many microorganisms as possible before the water is distributed or used as process water.⁴ The use of both filtration techniques and, in some cases, chemical disinfection and/or UV treatment are designed to remove these bacteria for the production of potable water.⁵

Today, water treatment processes partially rely on the use of membrane processes, such as microfiltration (MF), ultrafiltration (UF), nanofiltration (NF) and reverse osmosis.^{6,7} Reverse osmosis in particular is important for the desalination of water, production of drinking water and the re-use of water from industrial- and wastewater. With a pore

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size ranging between 0.02 and 10 μm , MF should retain the majority of bacteria.⁸ The other three membrane processes (UF, NF and RO) are designed to retain all bacteria, as they have a pore size ranging between 10 to 100 nm. Nevertheless, a breakthrough of bacteria larger than the pore size of RO membranes has been reported.⁹ This may be due to some operational choices or problems with the installation, such as the loss of integrity of the RO membrane by abrasive components in the feed stream. Other potential breakthrough pathways could be due to the malfunctioning of components that are essential for the RO installation, such as oxidation of the membrane, leaking glue lines and the loss of O-seals, connectors and other fittings.^{10,11} This can cause breaches in the membrane ranging between 20 to 30 nm.¹² These malfunctions can then ultimately influence the quality of the reverse osmosis permeate.¹³ Other operational actions, such as intensive use of the membrane, with fouling as a result, and chemical damage from cleaning agents may cause alterations in pore size.^{14,15}

On the other hand, the microbiology in particular can also influence the functionality of the RO installation, as some bacteria might penetrate the membrane. It has been seen that bacteria themselves can change shape and size depending on the environment.¹⁶ For example, based on nutrient availability, certain bacterial cells may reduce in size to survive.¹⁷ Given that the intake water for these processes often comprises unculturable bacteria (less than 1% of the diversity for aquatic environments) of whose not much is known about the size, it is possible that certain bacteria can pass the membrane.¹⁸ Recently, ultra-small, uncultivated bacteria, with a size of $0.009 \pm 0.002 \mu\text{m}^3$ have been discovered in groundwater.¹⁹ Despite these uncertainties, it has been seen that the mechanisms of bacteria passing through the membrane cannot solely be attributed to differences in size.²⁰ An approach where the bacterial species before and after the RO membrane are identified would be essential to shift towards more effective RO elements for bacterial removal.²⁰

As of today, to evaluate the integrity of the RO membrane installation, online measurements, such as pH, total organic carbon (TOC), conductivity and temperature, are the standard methods. Although all these parameters can be monitored online and can give an indication of how well the membrane is behaving, they do not reveal any direct information about a possible bacterial breakthrough. Integrity strategies using conductivity and TOC are only able to assess bacterial removals up to log reduction values (LRV) of 2.¹⁰ Conventional techniques, such as heterotrophic plate counting and selective plating to screen for the presence of indicator organisms, such as *E. coli*, are both time-consuming (incubation times of 2 to 3 days) and lack a certain accuracy, as these methods are based on the cultivation of bacteria. So, given the fact that a large proportion of the bacteria in aquatic environments are not yet culturable, these are not representable for the microbiological composition of the system.^{18,21,22} The use of

fast and high-throughput techniques, such as online flow cytometry has an enormous potential to be used on large scale industrial systems.²³ With the use of flow cytometry, the bacterial cells can quickly be quantified and based on the cellular properties (size, shape, *etc.*) a phenotypic fingerprint can be generated. With the use of this fingerprint, it is possible to compare the microbial community in different samples.²⁴ This flow cytometric approach can reveal, in comparison to the above mentioned conventional microbial monitoring techniques, a lot more information about the bacterial community within the system. Especially, because shifts in phenotypic community composition and increases in cell numbers can precisely be monitored. The use of flow cytometry in combination with the possibility to generate high-temporal-resolution fingerprint data still presents a lot of potential to monitor the microbial community within RO systems.²⁵ Flow cytometry has already been applied in several studies and has shown to be a valuable tool when it comes to monitoring the microbial quality of water.^{26,27} In this pilot-scale study, the aim is to use flow cytometry as a tool to gather high-resolution microbiological data of the RO feed and permeate and to not only look into bacterial breakthrough, but based on the bacterial community determine if the RO membrane is leaking or bacterial regrowth is happening within the pipes.

Material and methods

Pilot installation

A pilot-scale membrane filtration installation from Pantarein was placed at the Citrique Belge site (Tienen, Belgium), in order to reuse their wastewater by means of ultrafiltration (UF) followed by reverse osmosis (RO). The final product was intended for reuse in the production process. This means that it should be compliant with drinking water standards. The wastewater used for this specific study was effluent from an aerobic wastewater treatment system (CAS system). The feed and permeate flowrate, conductivity, pH, temperature and redox values can be found on the GitHub page. After ultrafiltration (UF) treatment, the UF filtrate was used to feed a two-stage RO system with two pressure vessels in stage one and one pressure vessel in stage two. Every pressure vessel contained 4 Dow Filmtec XFRLE-400/34i membranes elements (DOW, USA). These brackish water membrane elements have an active filtration area of 37 m² and a salt retention of minimum 99.2%, and are especially designed for the treatment of challenging water with high biological and organic fouling potential such as wastewater. The pilot-scale RO system was operated at a flow rate of 11.9 m³ h⁻¹ for the feed and 8.8 m³ h⁻¹ for the permeate.

To monitor the microbial dynamics, the RO feed and the RO permeate were simultaneously sampled for online flow cytometric analyses over a 20 day period (from the 6th of May 2021 until the 25th of May 2021). High-resolution operational (sensor) data was also recorded for the following parameters; RO feed temperature (°C), pH, redox potential, RO feed flow



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and concatenated into a one-dimensional vector that serves as basis for further phenotypic community analysis. From these fingerprints, beta diversity analysis and a classical multidimensional scaling analysis calculations were executed using the *vegan* package (v.2.6–4). To evaluate the cause of certain differences in cell density and community fingerprint, the operational events, as well as metadata such as temperature, pressure, flow rate and conductivity were linked to specific measurement timepoints (ggplot2 (v.3.3.6)).

A classical multidimensional scaling (cmdscale function in stats package (v.4.2.1)) analysis on the Bray–Curtis

distances of the single-cell physiological data was performed to generate a non-metrical multidimensional scaling (NMDS). This method reduces the loss of information and increases interpretability by reducing the dimensionality, which was of great importance for this case-study, given the amount of parameters that were taken into consideration. This analysis was specifically chosen to evaluate the differences between the microbial community of the different samples and to easily quantify and visualize the differences between the flow cytometric measurements. The outcome of this NMDS analysis is a so-called phenotypic fingerprint. Flow cytometric

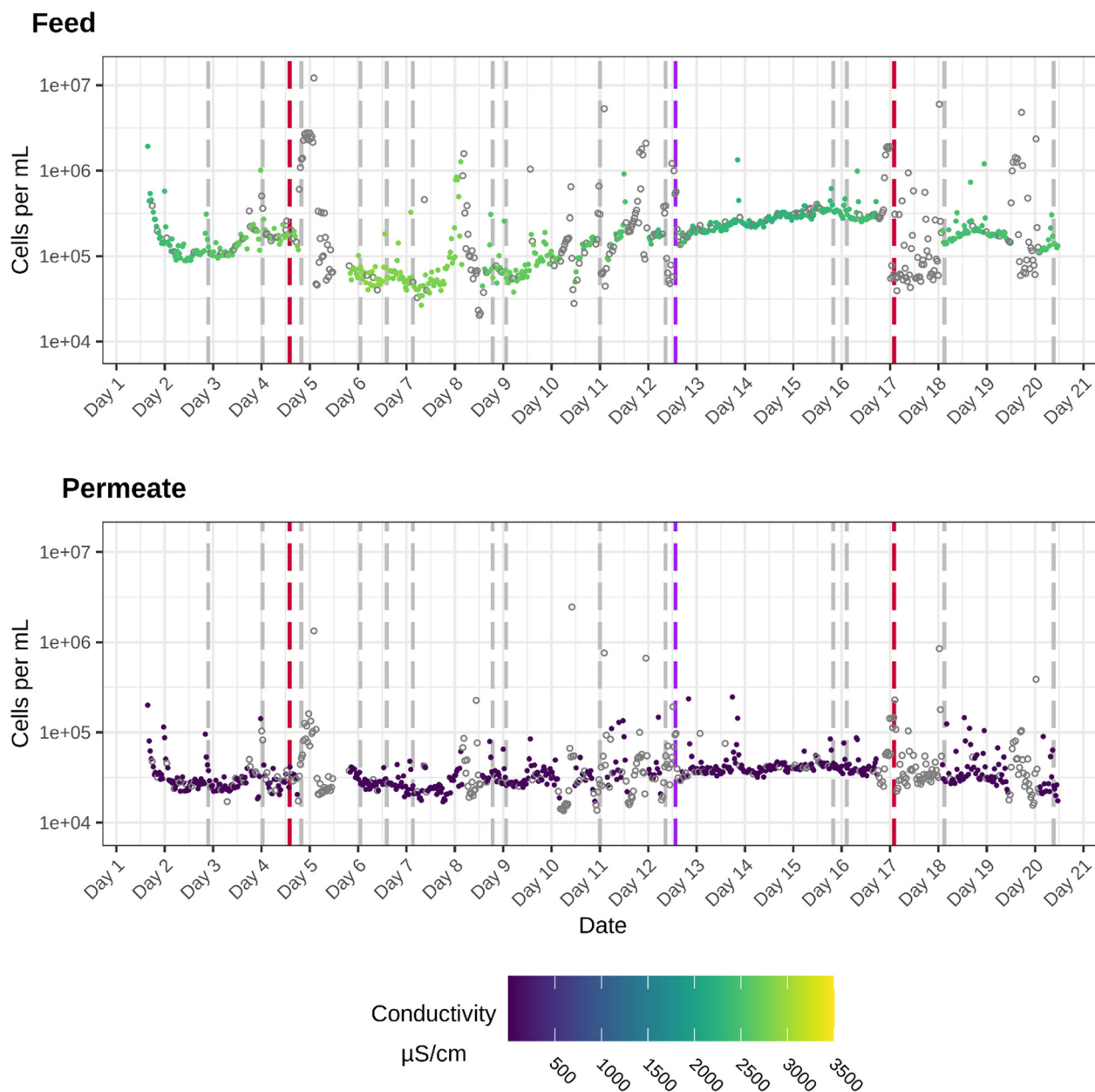


Fig. 2 General overview of the cell densities (cells per mL) in the feed (top) and permeate (bottom) stream during the 20 day monitoring period. The colour scale shows the conductivity that was measured when the flowcytometric measurement was done. The dashed lines represent operational events. The grey dashed lines mark the timepoints when a flush was carried out, the red dashed lines indicate the start of a RO downtime and the purple dashed line marks the start of the ultrasound treatment. If the pilot is not in operation conductivity was not measured and therefore given in grey (hollow points).



(1) a 3 day period with stable RO performance and only one flush, which will be used to examine the microbial water

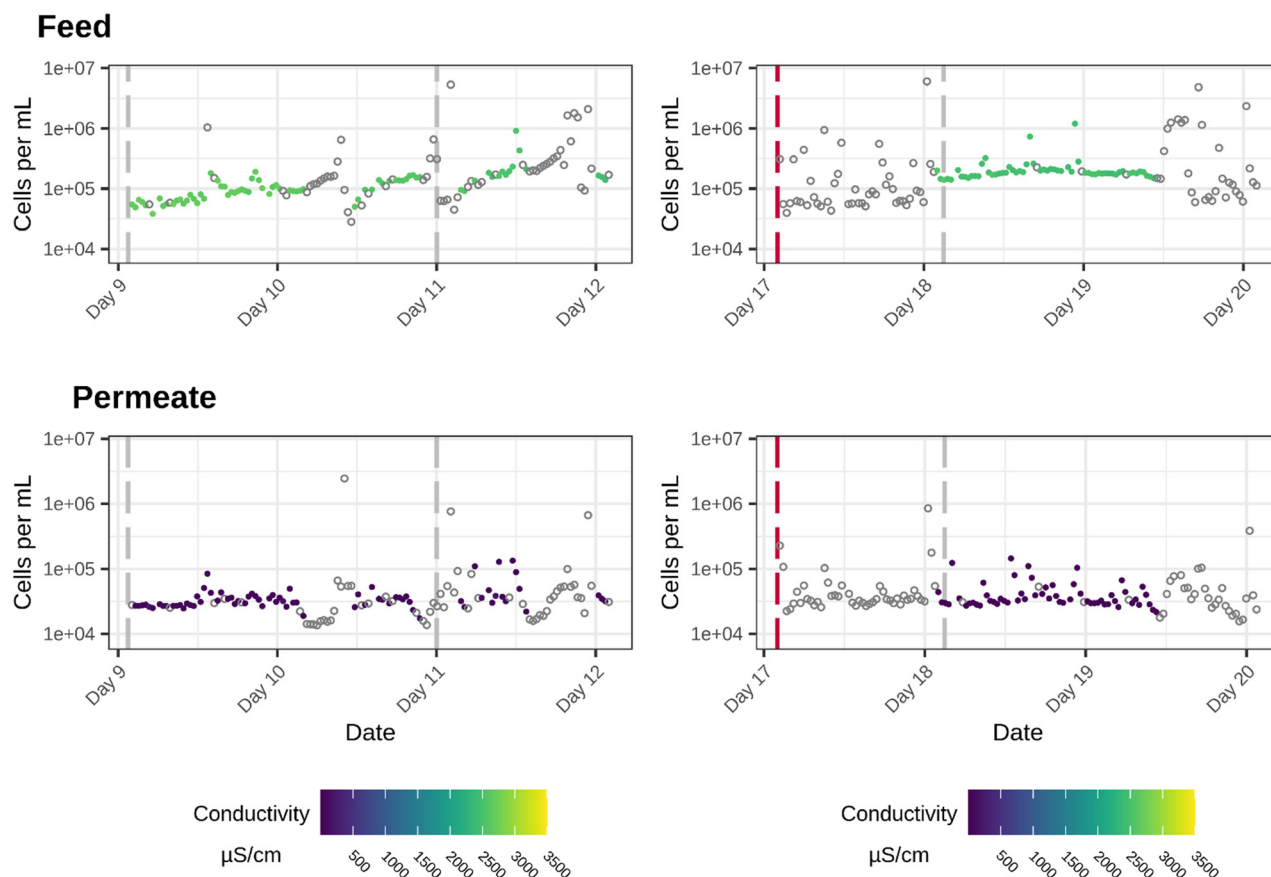


Fig. 3 Overview of the cell densities (cells per mL) in the feed (top) and permeate (bottom) stream for two specific 3 day periods. These time periods were specifically chosen for the operational events that were registered. In the period from day 9 to day 12 (left graphs) two flushes (grey dashed lines) were registered. In the period from day 17 to day 20 a membrane downtime (red dashed line) and a flush (grey dashed line) happened. The colour scale represents conductivity values. If the pilot is not in operation conductivity was not measured and therefor given in grey (hollow points).

quality before and after the flushing event. (between day 9 and day 13 of the experiment) (Fig. 3).

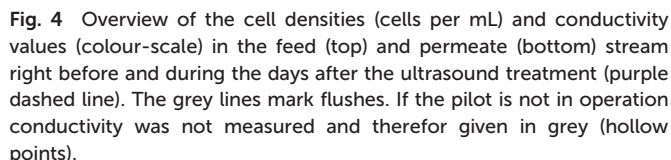
(2) a 3 day period in which the effect of an RO downtime was examined on the bacterial cell density and community composition, allowing to evaluate the effect of RO downtimes and related stagnant water in the pilot with changes in microbial quality (between day 17 and day 20 of the experiment). In this period only one flush is performed (Fig. 3).

(3) a 4 day period in which the effect of an ultrasound treatment was examined. This ultrasound treatment was used to reduce biofilm growth (Fig. 4).

When focusing on the bacterial cell densities of both the feed and permeate for the period between day 9 and day 12, and between day 17 and day 20 of the experiment, relatively high cell densities for the permeate were registered. Nevertheless, they are still significantly different from the cell densities in the feed (Period 1: p -value = 0.002961; period 2: p -value = 1.115×10^{-7}). After the flush cycle on day 9, the cell densities in both the feed and permeate remain stable. It can be seen that after the flush on day 11 there is a slight decrease in cell densities in the feed stream, but in the

permeate stream a great variability in cell densities is induced.

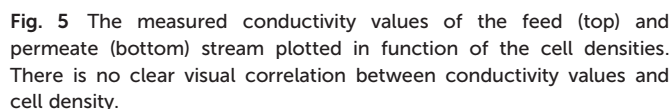
During the second downtime of the membrane at day 17, cell densities increase, which can be explained by the fact that the water remained stagnant for a this period of time. The fact that the filtration plant was down water was stagnant for multiple longer periods also strengthens the hypothesis that there is bacterial regrowth in the piping network after the RO filtration. During the downtime on day 17, the cell densities and conductivity values in the feed show a lot of variability (evaluated by the Sign test, ESI†) between the different measurements. One day later, after the flush cycle on day 18, both the conductivity, as well as the cell densities stabilize more or less for one and a half day while the system is in operation. From day 19 on, due to an unforeseen shorter downtime, the cell densities in the feed start to vary again, which is reflected by a similar pattern in the permeate stream. During the entire monitoring period, the system underwent 14 flushes and two longer downtimes. During these operational events, the water is stagnant in the piping. These conditions, as they also stimulate particle accumulation, favor bacterial growth.³¹ This influence of



In the third period of specific attention (Fig. 4), an ultrasound treatment was applied on the RO feed to prevent and reduce biofilm formation and follow biofouling on the RO membranes. After the start of the ultrasound treatment, there is a small steady increase in cell densities of the RO feed. For the RO permeate there is no noticeable increase in cell density, but the cell densities remain relatively stable after the treatment, until the flushes on day 15 and 16. The days after the treatment, the pilot plant is operated continuously without flushes or RO downtimes, which causes the system to stabilize. This might explain why the cell densities in the feed and especially in the permeate remain stable. For the feed, it can be observed that, despite being more stable, a higher overall cell density is reached after the start of the treatment compared to the days before the treatment. These increases in cell densities can be explained by the fact that more cells are kept in the bulk phase, because an ultrasound treatment mitigates biofilm formation.³³

bacterial cells that can be detected after the reverse osmosis filtration. For this specific case, a maximum log reduction value of two for the bacterial cell densities could be detected between the feed and the permeate, while conductivity values show expected values for RO filtration. To determine if flow cytometry could be a valuable addition to the current state-of-the-art and is thus an adequate technique to measure the bacterial cells passing through the membrane, the correlation between the cell densities, measured by the flow cytometer, and the measured conductivity data, was plotted (Fig. 5). This shows that for this specific case no correlation can be found between increases/decreases in cell densities and conductivity for the permeate. To evaluate this, a Pearson's product-moment correlation was performed. For the permeate there was no significant correlation between the conductivity values and the cell density (P -value = 0.2691). For the feed, however, there is a significant negative correlation (0.3827592) between the conductivity values and bacterial cell density (P -value = 2.2×10^{-16}). The lower the conductivity value in the feed, the higher the bacterial cell densities are. This means that caution is needed when interpreting conductivity data, as this case-study shows that an increase in bacterial cell densities does not result in an increase in the conductivity that was measured.

In general and based on the current state-of-the-art, it can be concluded that the reverse osmosis membrane is doing an adequate job, as conductivity values in the permeate decrease to values ranging between zero and maximum peak values of $400 \mu\text{S cm}^{-1}$ (standard drinking water values), with an average conductivity of $22 \mu\text{S cm}^{-1}$. An average of $22 \mu\text{S cm}^{-1}$ is a normal value for a well-functioning RO membrane. Based



on this conductivity data alone, it can be assumed that the reverse osmosis membrane is working as expected, as there is a reduction in conductivity of around 98%. It is believed that the relatively high cell densities in the permeate could be caused by regrowth in the piping system after the RO filtration. After RO filtration a 99.5% bacterial retention is expected, with low bacterial cell densities varying around 15 cells per mL in the permeate.^{34,35} For this case-study, an average bacterial retention of 76.98% was calculated. This could be due to the fact that measurements were not taken immediately after the RO membrane element, but further down the pipe. Previous studies have shown that even with a 99% bacterial retention, cell densities could reach 10^2 , 10^3 cells per mL due to regrowth.³⁶ This regrowth hypothesis can be put on effect by the fact that the flow cytometric measurements were taken two to three meters after the outlet of the RO membrane and the sampling point for the conductivity. It is possible that what was measured with the flow cytometer is bacterial (re)growth in the piping. This hypothesis was further examined using flow cytometric fingerprinting.

Flow cytometric fingerprinting to evaluate the cause of microbial regrowth

Using the physiological data of each cell, a non-metrical multidimensional scaling (NMDS) calculation was executed for all samples taken from the feed and the permeate (Fig. 6). The stress value of 0.1487618 suggests that the NMDS algorithm was able to represent the distances between the data points reasonably well in a lower-dimensional space. The NMDS plot visually shows that there is a difference in phenotypic fingerprint between the feed and permeate stream. This difference was confirmed by the significant effect of the sampling point (Feed or Permeate) on the Bray-Curtis dissimilarity. This means that there is a significant

difference between the feed and permeate communities ($F = 659.37, p < 0.001$). The fact that for this case-study there are significant different phenotypic communities in the feed and permeate indicates that both water streams are inhabited by slightly different microbial communities (Fig. 6). Since a different phenotypic microbial community can be found in the permeate stream compared to the one in the feed stream, the hypothesis that there is regrowth after the RO filtration is strengthened. If there would be bacterial breakthrough of any kind, the phenotypic community in the feed and permeate would be similar. This showcases that with the use of fingerprinting techniques there is the possibility to evaluate the microbial community composition in a fast and on-line manner. If the system is continuously monitored using flow cytometry a slight increase or decrease in cell density or change in community composition can be monitored. Cytometers equipped with more detectors might have a higher fingerprinting sensitivity, which could result in an even better fingerprinting resolution and thus better display minor differences.³⁷ The disadvantage of using this fingerprinting and in general flow cytometric approach results in fact that it provides no data on the type of organisms which are present. Other conventional (plating) and/or sequencing techniques are still required to gain insights into the presence of pathogenic or unwanted bacteria.

It can be argued that the different communities that were seen in the feed and permeate stream can be due to the fact that there is breakthrough of specific species of bacteria, as it has been seen that small bacteria can pass the membrane.²⁰ Given the fact that the water source in this case is actually treated wastewater, there is a possibility that certain unknown and potential unculturable (small) species of bacteria are present and were able to break through the membrane.^{19,38} Because of the lack of other (conventional) microbiology monitoring methods in this case (such as CCA plating, Colilert,...) it is impossible to completely exclude full bacterial breakthrough of certain species. But, the differences in fingerprint in combination with the normal conductivity values, ensure that complete bacterial breakthrough, and thus, a faulty membrane can be refuted. The placement of the membranes and the installation of the pressure vessels and the piping all happened in a non-sterile environment. It is thus very likely that the bacteria were introduced during commissioning of the system. Although the RO membrane filtration should 99.5% of the bacteria, not all nutrients are retained, as only 90% of the TOC and 80% of the assimilable organic carbon (AOC) is rejected.³⁶ Since no system is completely sterile, these remaining nutrients are available for any present bacteria and can cause (re)growth in the RO permeate.³⁹ The fact that certain compounds can still pass the membrane results in biologically unstable water in the permeate.⁴⁰ This is reflected in the phenotypic fingerprint.

In the general NMDS plot, it is clear that different clusters are formed for each stream. In the cluster of the permeate stream, two different microbial populations can be noticed.

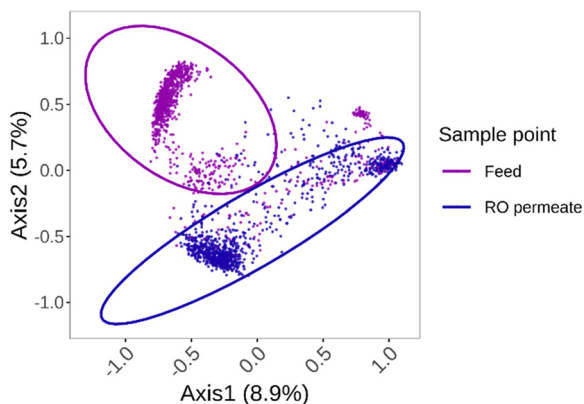


Fig. 6 Non-metrical multidimensional scaling analysis of all the samples in the feed and permeate using the flowcytometric data. This flow cytometric fingerprint shows that there is a difference in community composition between the feed and permeate stream. The NMDS analysis has a stress value of 0.1487618. The ellipses were calculated by assuming a multivariate t-distribution.

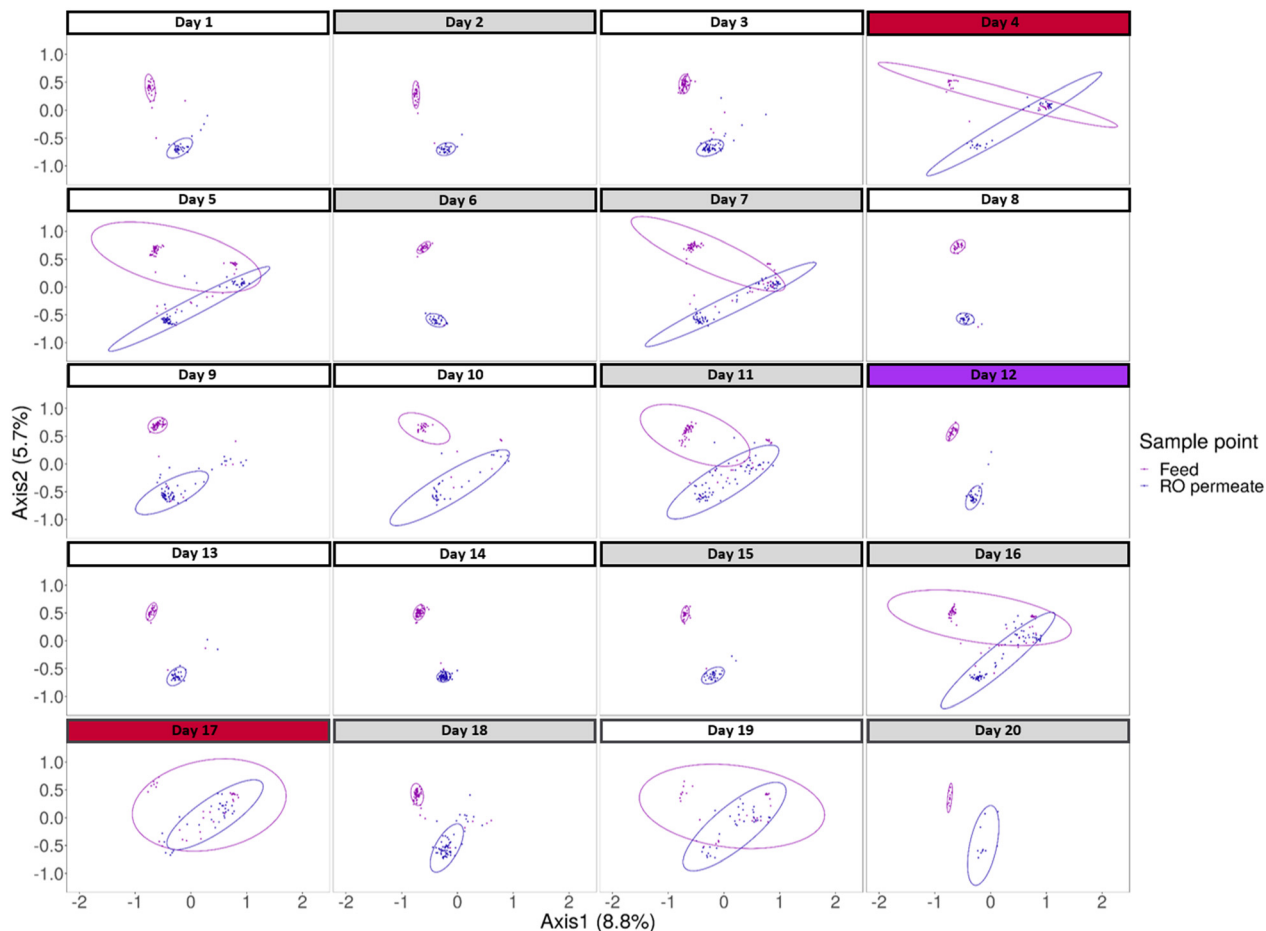


Fig. 7 Overview of the classical multidimensional scaling analysis split up for each day of the monitoring period separately. The grey coloured days represent days on which a flush occurred, red coloured days mark the days on which the RO membrane was down for a longer period of time and the purple coloured day indicates the initiation of the ultrasound treatment.

To determine why a certain shift in the community happened, the general NMDS plot was split up for each day separately to determine temporal changes (Fig. 7). This allowed for the examination of the impact of certain operational events on the microbial community composition. To evaluate the impact of certain events a PERMANOVA and betadispersivity analysis were performed. By looking into the average distance to the center of the group, an estimation of the heterogeneity and variability within the community was made. The larger the average distance to the median, the more variable and heterogenic the community within that group is. At the start of the experiment, on day 1, two distinct populations can be detected for the feed and the permeate stream (P -value = 0.000999). During the first days of operation the average distance to the center varies between 0.1186 and 0.1211 for the feed and between 0.1286 and 0.1501 for the permeate. On day 4 of the experiment, during the first RO membrane downtime, there is a clear change of the microbial community fingerprint of both the feed and permeate, as there is an increase in variability in both the permeate (average distance to median = 0.3329) and feed community (average distance to median = 0.3927). For the

second RO downtime, on the day 17, a similar increase can be detected (average distance to median feed = 0.3825, permeate = 0.2465). On day 19, there was a shorter downtime of the RO installation and this also results in a similar fingerprint and distance to the median (Feed = 0.3939, Permeate = 0.2580). On both day 4 and day 17 the filtration plant was down for the entire day. Since the system was not in operation, the changes in community composition can thus not be attributed to bacterial breakthrough. This can be confirmed by the fact that, despite the increases in variability, the community in the permeate remains significantly different from the community in the feed on the days when the RO was down (Day 4: P -value = 0.000999, F -value = 8.0211; Day 17: P -value = 0.000999, F -value = 7.0461). The stagnant water, associated with these downtimes, creates a perfect environment for bacterial growth within the system.⁴¹ Since during this downtime, the water in both the feed and permeate is stagnant, the microbial community in the system is influenced, giving specific bacteria the growth advantage. It is possible that similar bacteria in both streams are benefitting from these environmental



conditions and thus a more diverse community can be found.

It can also be seen that the flushing events impact the microbiological composition of feed and the permeate, as the fingerprint changes on day 5, 7, 11 and 16 when flushing cycles were reported. These flushes result in a more variable microbial community in both streams. On day 5 for example, the average distance to the median, increases to 0.3424 for the feed and 0.3082 for the permeate. After the ultrasound treatment, which was executed on day 12, two significantly distinct communities can be detected (P -value = 0.000999, average distance to median feed = 0.1131, permeate = 0.1331). During the first days after the ultrasound treatment, this difference in fingerprint between the feed and permeate remains constant. The average distance to the median for day 13, 14 and 15 is respectively 0.1284, 0.1162 and 0.1182 for the feed and 0.1426, 0.1186 and 0.1191 for the permeate. After the ultrasound treatment, there were also no operational events registered, so the installation could continue to operate steadily for a couple of days. The phenotypic fingerprint changed again after the CIP cycle of day 15, indicated by an increase in the average distance to the median to 0.3588 for the feed and 0.2675 for the permeate. After, again less differences between the microbial community of the permeate and the feed can be seen. The fact that two distinct communities were formed after the ultrasound treatment shows that when biofilm formation is mitigated and (parts of) the biofilm are disrupted, the bacteria measured by the flow cytometer in the feed and permeate stream are different.⁴² This indicates that in the feed and permeate stream a different bacterial composition is present and a different biofilm is growing. The use of the phenotypic fingerprint and analysis of the variability within in each stream for each day specifically allows for a more detailed analysis of the effect of operational events on the microbiology and strengthens the hypothesis that regrowth is happening after the membrane filtration.

Conclusions

In this case-study, flow cytometry was evaluated as a technique to monitor RO membrane functionality online and its application on a larger scale pilot installation for wastewater reuse. Current standard methods such as conductivity measurements reveal information about membrane integrity but lack the possibility to monitor microbiological quality problems or regrowth within the system. Flow cytometry is a valuable and sensitive tool that can be applied to follow up membrane filtration plants, capable of collecting continuous, high-resolution data, which not only generates cell counts, but allows for phenotypic fingerprinting. The use of flow cytometry for this particular case, shows that bacterial cells are present above the expected level after RO filtration. However, the cell densities do not exceed standard drinking water values. More specifically, the flow cytometric fingerprint data showed that different

microbial populations were found in the feed and permeate, and thus proves that bacterial breakthrough of the membrane was excluded, together with the expected conductivity values of the RO. Therefore, the application of flow cytometry does not only reveal information on the bacterial cell densities, but also enables the characterization of the community composition through phenotypic fingerprinting. Without the use of flow cytometry, the regrowth in the RO permeate would possibly have remained unnoticed, as based on conductivity and other indirect measurements no clear indication of bacterial (re)growth would be present. The phenotypic fingerprint enabled us to make a distinction between breakthrough of the membrane or regrowth within the system.

Based on these findings, we suggest that not only the cell densities, but also the phenotypic fingerprint could be considered as a microbial parameter for a complete monitoring of the microbiological quality of RO feed and especially permeate. In this respect, flow cytometry could serve as an additional microbiological and RO membrane integrity monitoring tool to reveal information about the microbiology within the system, especially for large-scale process operation. However, in practice, the use of flow cytometry as an additional method to monitor (small-scale) RO installations might still be too expensive and complex, especially compared to standard microbiological monitoring methods. Yet, for installations with a certain scale or for reuse applications where microbial monitoring is very important, such as the food and beverage industry, we have shown that flow cytometry definitely has an added-value as a tool to monitor microbiological quality and regrowth, online and in a higher resolution compared to conventional methods.

Author contributions

T. Pluym wrote the paper with contributions from C. García Timermans, S. Vervloet, N. Boon and B. De Gussemé. Data was collected by R. Props, F.-M. Kerckhof, S. Decroo, S. Vervloet & R. Cornelissen. Data analysis was performed by T. Pluym, C. García-Timmermans & F.-M. Kerckhof. The study was designed by B. De Gussemé. All the authors read and approved the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare. The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest. All the authors read and approved the final version of the manuscript.

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