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Quli Fan, Aixi Yu, Zhen Cheng *et al.*  
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surgery

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# Diketopyrrolopyrrole-based semiconducting polymer nanoparticles for *in vivo* second near-infrared window imaging and image-guided tumor surgery†

Kanguan Shou,<sup>‡ab</sup> Yufu Tang,<sup>‡c</sup> Hao Chen,<sup>‡b</sup> Si Chen,<sup>b</sup> Lei Zhang,<sup>‡b</sup> Ao Zhang,<sup>‡d</sup> Quli Fan,<sup>‡\*c</sup> Aixi Yu<sup>\*a</sup> and Zhen Cheng<sup>‡\*b</sup>

A diketopyrrolopyrrole-based semiconducting polymer nanoparticle (PDFT1032) has been developed as a NIR-II (near infrared window II, 1000–1700 nm) fluorescent probe. It shows high photostability, a favorable absorption peak at 809 nm, a large Stokes shift of 223 nm, outstanding biocompatibility and minimal *in vivo* toxicity. More importantly, the versatile use of PDFT1032 for several important biomedical applications in the NIR-II window has been demonstrated, including the NIR-II optical imaging of tumors on a subcutaneous osteosarcoma model, assessing the vascular embolization therapy of tumors, and NIR-II image-guided orthotopic tumor surgery and sentinel lymph node biopsy (SLNB) with high spatial and temporal resolution. Overall, excellent biocompatibility, favorable hydrophilicity, and desirable chemical and optical properties make the semiconducting polymer nanoparticle PDFT1032 a highly promising NIR-II imaging probe with the potential to be widely applicable in clinical imaging and the surgical treatment of malignancy.

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## Introduction

*In vivo* fluorescence imaging in the second near-infrared window region (NIR-II, 1000–1700 nm) holds great promise for providing deeper tissue penetration and higher spatial resolution and signal-to-noise ratios than those obtained at the conventional near-infrared window I region (NIR-I, 650–900 nm), due to reduced tissue auto-fluorescence and photon scattering and low levels of long-wavelength photon absorption.<sup>1</sup> To date, various ‘hard’ inorganic nanoparticles (NPs), such as single-walled carbon nanotubes (SWCNTs), quantum dots (QDs) and rare-earth NPs, have been developed as NIR-II fluorescent agents for *in vivo* imaging.<sup>2</sup> However, their potential long-term

toxicity remains the primary roadblock for further biomedical applications. To achieve safety in preclinical models and potential clinical use, a handful of ‘soft’ organic materials have been reported for NIR-II fluorescence imaging which mainly rely on two types of organic small molecule, cyanine derivatives (IR1061) and benzobisthiadiazole derivatives.<sup>3,4</sup> Unfortunately, most of the organic small molecules still suffer from limitations such as a low extinction coefficient and quantum yield, which may hinder the broad use of small molecule based NIR-II fluorescence imaging technology in biomedicine domains.<sup>5</sup>

Semiconducting polymer NPs represent a new class of fluorescent nanomaterial with excellent brightness that can be orders of magnitude higher than that of small-molecule fluorophores.<sup>6–11</sup> Currently, due to their mostly emission wavelength (< 900 nm), semiconducting polymer NPs are particularly applied in NIR-I fluorescence imaging and exhibit good performance.<sup>7</sup> However, in virtue of their limitations in molecular design and synthesis, the use of semiconducting polymer NPs for NIR-II imaging is still far behind that for NIR-I imaging. Furthermore, it is generally believed that the excitation wavelength at 808 nm can balance absorption and scattering to achieve a maximum tissue penetration depth greater than that of short wavelengths.<sup>12</sup> The maximum permissible exposure for skin at a light intensity of 808 nm is also stronger than short wavelengths.<sup>13</sup> Consequently, developing semiconducting polymer NPs with a strong absorption peak at

<sup>a</sup>Department of Orthopedics, Zhongnan Hospital of Wuhan University, Wuhan, Hubei, 430071, China. E-mail: yuaixi@whu.edu.cn

<sup>b</sup>Molecular Imaging Program at Stanford (MIPS), Bio-X Program, Department of Radiology, Canary Center at Stanford for Cancer Early Detection, Stanford University, California 94305-5344, USA. E-mail: zcheng@stanford.edu

<sup>c</sup>Key Laboratory for Organic Electronics and Information Displays, Institute of Advanced Materials (IAM), Jiangsu National Synergetic Innovation Center for Advanced Materials (SICAM), Nanjing University of Posts & Telecommunications, Nanjing 210023, China. E-mail: iamqifan@njupt.edu.cn

<sup>d</sup>CAS Key Laboratory of Receptor Research, Synthetic Organic & Medicinal Chemistry Laboratory (SOMCL), Shanghai Institute of Materia Medica, Chinese Academy of Sciences, No. 555 Zuchong Road, Pudong New Area, Shanghai, P. R. China, 201203

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‡ These authors contributed equally to this work.





Previously, a semiconducting polymer NP named 'pDA-PEG' has been used for NIR-II imaging.<sup>1</sup> However, serious concerns still remain regarding the low photostability (the reported fluorescence intensity of pDA-PEG declines by 20% under an excitation of 808 nm for 1 h) and unfavorably short absorption wavelength (an absorption peak at 654 nm) of pDA-PEG.<sup>1</sup> In comparison, PDFT1032 showed maximum absorption at 809 nm, which is much more favorable for *in vivo* imaging owing to deeper tissue penetration depth and stronger maximum permissible exposure for skin at a light intensity of 800 nm. Rao *et al.*<sup>21</sup> previously reported a NIR-I fluorescent nanoprobe, synthesised by encapsulating NIR-I dye into the conjugated polymer (MEH-PPV), and the *in vivo* biodistribution and bioimaging of the nanoprobe in living mice were demonstrated. However, the photostability of the MEH-PPV probe is poor. On the contrary, the PDFT1032 probe reported here has very high photostability. Overall, our results shown here suggest that PDFT1032 is obviously well suited for bioimaging in the NIR-II window on account of its high photostability and favorable absorption.

To further use PDFT1032 as a NIR-II imaging agent *in vivo*, it is necessary to evaluate its potential toxicity. *In vitro* cytotoxicity was first studied by standard MTT analysis. No apparent cytotoxicity of PDFT1032 was observed even in concentrations up to 150  $\mu\text{g mL}^{-1}$ , indicating its low cytotoxicity (Fig. S7a†). The  $\text{IC}_{50}$  of PDFT1032 was further determined to be 347  $\mu\text{g mL}^{-1}$ , much higher than that of pDA-PEG (30  $\mu\text{g mL}^{-1}$ ). To evaluate the long term effects of PDFT1032 on animals, C57BL/6 mice were intravenously injected with PDFT1032 in doses of 0.5 and 1  $\text{mg kg}^{-1}$ , which are close to the normal dosage and overloaded dosage used in *in vivo* experiments, and PBS solution was used as a control. Almost no differences in body weights between the control and experimental groups each day for 30 days was observed (Fig. S7b†). In addition, H&E staining of major organs, including the heart, liver, spleen, lung, and kidney, demonstrated no obvious hydropic damage or necrotic lesions at 21 days after intravenous injection of PDFT1032, indicating its potentially good long term biocompatibility *in vivo* (Fig. S7c†). Thus, our findings clearly demonstrate that PDFT1032 exhibited much more favorable biocompatibility compared with previously reported pDA-PEG, indicating that PDFT1032 is more applicable for clinical translation as an organic polymer based NIR-II nanoprobe.

To understand the *in vivo* clearance and biodistribution of PDFT1032, the nanoprobe (200  $\mu\text{L}$ , 50  $\mu\text{g mL}^{-1}$ ) was injected into C57BL/6 mice ( $n = 3$ ) through the tail vein. An *Ex vivo* biodistribution study was performed by NIR-II imaging of the vital organs, including the heart, liver, spleen, lung, kidney, brain and skin, 24 h post-injection of PDFT1032. The results revealed low uptakes in the heart, brain and skin (Fig. S8a and b in ESI†). All these data implied that PDFT1032 is suitable for *in vivo* NIR-II imaging. Additionally, NIR-II imaging of PDFT1032 on mice hind limb vessels (femoral arteries) was also investigated. It was found that the hind limb vessel was distinguishingly observed under NIR-II imaging and the fluorescence signal of PDFT1032 was maintained for several minutes (Fig. S9 in ESI†), indicating that PDFT1032 is appropriate for vascular imaging during surgery.

To examine the capability of PDFT1032 for NIR-II tumor imaging and image-guided surgery *in vivo*, the nanoprobe (200  $\mu\text{L}$ , 50  $\mu\text{g mL}^{-1}$ ) was injected into mice ( $n = 3$ ) with a subcutaneous osteosarcoma at the right shoulder, through the tail vein. The NIR-II images of both the lateral and prone positions at different time-points demonstrated the clear visualization of the tumor for up to 3 days with a high tumor-to-background ratio (TBR, up to  $3.4 \pm 0.16$  for the lateral position and  $2.4 \pm 0.15$  for the prone position), which is attributed to the accumulation of the nanoprobe through the enhanced permeability and retention (EPR) effect (Fig. 3a and b). *Ex vivo* fluorescence images also demonstrated the favorable contrast between the tumor and the skin due to the low fluorescence signal of skin (Fig. S10, ESI†). The dynamic imaging and biodistribution studies clearly show that the nanoprobe can be cleared by both the kidneys and the hepatobiliary systems. High tumor uptakes and retention were also observed. Overall, PDFT1032 exhibited a desirable NIR-II tumor imaging capability owing to good TBR ( $\sim 3.5$ ), which also suggests it is suitable for NIR-II image-guided surgery.

To confirm the ability of PDFT1032 in NIR-II image-guided tumor surgery, the mice with an orthotopic osteosarcoma ( $n = 3$ ) were visualized using NIR-II imaging with excellent

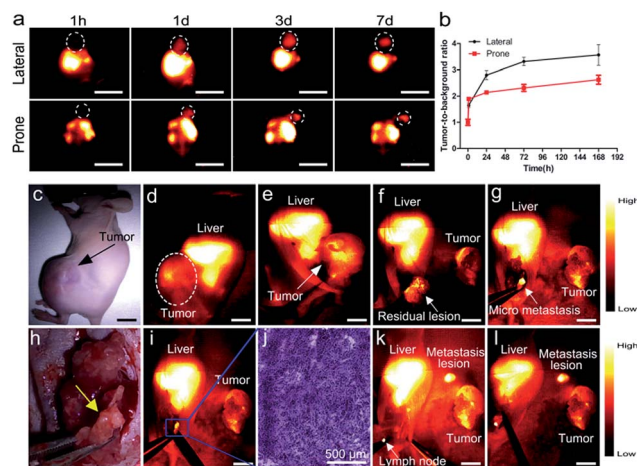


Fig. 3 NIR-II imaging and image-guided surgery on mice with osteosarcoma using PDFT1032. (a) The images from both the lateral and prone position in mice ( $n = 3$ ) demonstrated clear visualization of the subcutaneous tumor up to 7 days. (b) High tumor-to-background ratio of the lateral position (TBR, up to  $3.5 \pm 0.39$ ) and the prone position (up to  $2.6 \pm 0.16$ ) due to the excellent EPR effect of PDFT1032. (c) Bright field photograph of a nude mouse with an orthotopic osteosarcoma. The whole tumor was visualized using NIR-II imaging with excellent contrast ((d) and (e)). The white dashed circle contours the location of the tumor. (f) The tumor body was resected. The NIR-II signal still remained around the knee joint, indicating that there were adjacent tumor metastases located at the metaphysis of the tibia. The white arrow indicates the residual lesion. (g) The micro-metastasis of the tumor is identified using NIR-II imaging, and (h) the bright field photograph of the surgical scope. (i) The micro-metastasis was then completely resected using NIR-II imaging, which was further confirmed by the histological analysis (j). Finally, the lymph node was also visualized and resected with the help of PDFT1032 (k) and (l). Scale bar: 1.5 cm.





To mimic the standard sentinel lymph node biopsy procedure used in clinical practice, nude mice ( $n = 5$ ) with a melanoma B16F10 on the right shoulder were used. To trace real lymphatic flow and drainage, PDFT1032 ( $50 \mu\text{L}$ ,  $50 \mu\text{g mL}^{-1}$ ) was injected intradermally at the margin of the tumor and thus its lymphatic route would be shared with the tumor (Fig. 5a). Ten minutes later, two axillary lymph nodes were clearly identified with the skin intact (Fig. 5b). With the help of NIR-II imaging, the SLNs were visualized and resected. Histological analysis of the SLNs was performed to examine whether tumor metastasis in SLNs existed or not. If the histological finding was positive, the secondary lymph nodes (axillary) were resected sequentially using NIR-II imaging and then embedded by an OCT compound to perform the histological analysis to confirm tumor metastasis. If the histological finding of the SLN was negative, the survey was completed. Notably, the detection rate and the false-negative rate of SLNs were calculated based on the successful determination of lymph nodes and the histological analysis. After the soft tissue was dissected and separated, the efferent lymphatic vessel connected to the tumor and lymph node was clearly identified (Fig. 5c and d), ascertaining that the first lymph node was the SLN. Consequently, we dissected the SLN from its soft tissue bed and the luminous NIR-II signal aided us to discriminate the SLN clearly at different positions (Fig. 5e

and f). Then, the SLN was resected and the histological analysis which mimics the rapid pathological examination during tumor surgery on patients was performed. After a positive result was confirmed by an experienced pathologist (Fig. 5g and j), the secondary lymph node was subsequently resected according to the NIR-II imaging guidance (Fig. 5h). Finally, the histological analysis also confirmed the metastasis of melanoma in the second sentinel lymph node (Fig. 5i and l), proving the necessity of the continued survey. Moreover, the SLNs of all five mice were detected successfully (success rates of detection = 100%), while only one mice was diagnosed with no metastasis existing in SLN but the secondary LN was further confirmed positive with metastasis (false-negative rate = 20%). Currently, indocyanine green (ICG) has been successfully applied on patients for lymphatic system assessments and SLNB, but it has been recognized that the relatively poor long term *in vivo* stability of ICG may hinder its further applications.<sup>27,28</sup> Additionally, there is still a high false-negative rate (46%) reported for the use of ICG for SLNB.<sup>29</sup> On the contrary, our preliminary results in animal models showed a 100% detection rate for the SLN and 20% for the false-negative rate attributed to the outstanding optical properties of PDFT1032, highlighting that NIR-II image-guided SLNB with PDFT1032 may serve as a more favorable strategy with a higher detection value than that of ICG. Notably, the afferent lymphatic vessels were also clearly identified during the process of SLNB, indicating that several pathological alterations of lymphatic drainage routes generated by tumors may also be dynamically monitored using the NIR-II nanoprobe. This finding is important, and the therapy used in this study mimics more closely the real procedure used in clinical practice, especially for the intraoperative frozen section examination, compared with our previous report using a small organic molecule based NIR-II probe for SLNB.<sup>30</sup>

## Conclusions

In summary, this study unambiguously shows that a new semiconducting polymer NP (PDFT1032) possesses various excellent properties for *in vivo* NIR II imaging, including high photostability, a favorable absorption peak, a large Stokes shift of 223 nm, outstanding biocompatibility, and minimal *in vivo* toxicity. This probe is able to achieve several meaningful biomedical real-time imaging applications including tumor diagnosis and SLN mapping. More importantly, NIR-II imaging using PDFT1032 is capable of aiding us to accomplish accurate image-guided tumor surgery, vascular embolization therapy and image-guided SLNB with high spatial and temporal resolution. Excellent biocompatibility, favorable hydrophilicity, and desirable chemical and optical properties render NIR-II PDFT1032 a highly promising probe with the potential to be widely applicable in clinical imaging and the surgical treatment of malignancy.

## Author contributions

Z. C., Q. F. and A. Y. conceived and designed the experiments. K. S., Y. T., H. C., S. C., and L. Z. performed all experiments. Y. T.

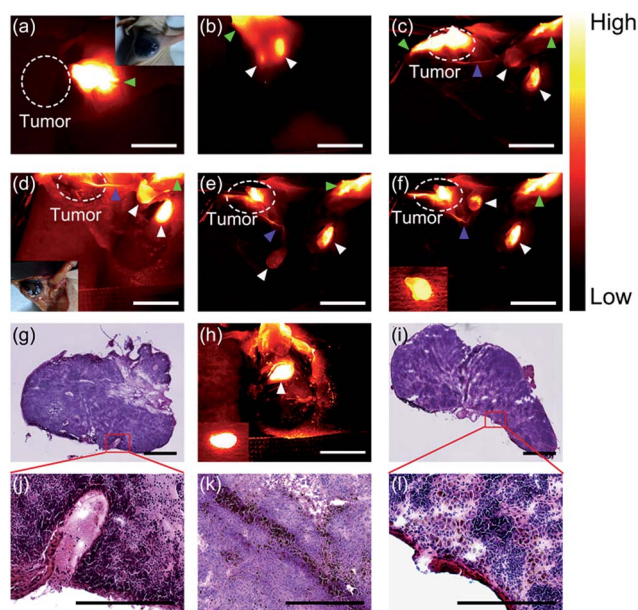


Fig. 5 NIR-II image-guided sentinel lymph node biopsy on one of the mice with melanoma. (a) PDFT1032 (green arrowhead) was injected at the margin of the B16F10 melanoma (white dashed circle). (b) Ten minutes later, the two axillary lymph nodes were clearly identified (white arrowhead). (c)–(e) The efferent lymphatic vessel connecting the tumor with the node (blue arrowhead) was clearly identified. The SLN and the secondary lymph node were distinctly identified. (f) The SLN was resected (inserted image). After a positive result for the SLN was confirmed ((g) and (j)), the secondary lymph node was subsequently resected (h). Finally, histological analysis also confirmed the metastasis of melanomas ((i) and (l)). (k) The histological result of a resected melanoma, colored black. For the fluorescence images, scale bar: 8 mm, for the histological analysis, scale bar: 75  $\mu\text{m}$ .



contributed to the design and synthesis of the semi-conducting polymer. K. S. and H. C. contributed to the preparation of the organic nanoparticles, the optical characterization of the nanoparticles and the animal experimentation. K. S., Z. C., Y. T., A. Z., and H. C. analyzed the data and wrote the manuscript. All authors discussed the results and commented on the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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