

Cite this: *Chem. Sci.*, 2015, 6, 5426

Characterizing chain processes in visible light photoredox catalysis†

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The recognition that $\text{Ru}(\text{bpy})_3^{2+}$ and similar visible light absorbing transition metal complexes can be photocatalysts for a variety of synthetically useful organic reactions has resulted in a recent resurgence of interest in photoredox catalysis. However, many of the critical mechanistic aspects of this class of reactions remain poorly understood. In particular, the degree to which visible light photoredox reactions involve radical chain processes has been a point of some disagreement that has not been subjected to systematic analysis. We have now performed quantum yield measurements to demonstrate that three representative, mechanistically distinct photoredox processes involve product-forming chain reactions. Moreover, we show that the combination of quantum yield and luminescence quenching experiments provides a rapid method to estimate the length of these chains. Together, these measurements constitute a robust, operationally facile strategy for characterizing chain processes in a wide range of visible light photoredox reactions.

Received 17th June 2015
Accepted 7th July 2015

DOI: 10.1039/c5sc02185e

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Introduction

Over the past several years, a growing number of researchers have become interested in reactions that utilize $\text{Ru}(\text{bpy})_3^{2+}$ and similar visible light-activated transition metal chromophores in synthetically useful photoredox reactions. Numerous recent studies have resulted in the development of an impressively diverse range of photocatalytic transformations;¹ the applications of these reactions have ranged from natural product synthesis² to late-stage pharmaceutical functionalization³ and polymer synthesis.⁴ Notably, the burst of renewed activity in visible light enabled photochemical synthesis has been accompanied by relatively little detailed mechanistic investigation.⁵ While the photophysical properties of $\text{Ru}(\text{bpy})_3^{2+}$ and its analogues have been extensively studied and are well understood,⁶ only a handful of published reports have focused upon the equally important non-photochemical steps in photoredox transformations.⁷ Consequently, many salient mechanistic features of these reactions remain unclear.

One area of significant disagreement has concerned the degree to which photoredox reactions involve chain processes. As a framework for this discussion, consider the generic mechanism for an oxidatively induced photoredox transformation depicted in Scheme 1. The essential details of the initial photochemical activation steps are not controversial: photoexcitation of $\text{Ru}(\text{bpy})_3^{2+}$ produces a long-lived redox-active triplet state ($\text{Ru}^*(\text{bpy})_3^{2+}$) that

can be reductively quenched by a wide range of organic substrates. The resulting radical cations ($[\text{substrate}]^{\cdot+}$) are able to participate in a number of possible reaction manifolds, resulting in the formation of an open-shelled odd-electron product ($[\text{product}]^{\cdot+}$). Many recent reports of photoredox transformations have posited that the generation of the final neutral product proceeds only *via* a closed catalytic loop (shown in red) involving reduction of this first-formed product by the reduced form of the photocatalyst ($\text{Ru}(\text{bpy})_3^+$), which regenerates the photochemically active $\text{Ru}(\text{II})$ state. Other researchers, however, have proposed that this class of reactions, like most other reactions of open-shell odd-electron reactive intermediates,⁸ are likely to involve chain mechanisms that operate in addition to the closed catalytic cycle.⁹ In this scenario, product formation would occur primarily *via* a chain propagation step (shown in blue) in which oxidized product radical cation interacts with another equivalent of neutral substrate, thereby generating the neutral product and another substrate radical cation by a single electron transfer process.¹⁰

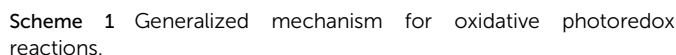
The distinction between chain and non-chain mechanisms is an important one because the strategies appropriate for optimizing these two classes of reactions can differ significantly. For instance, if product is only formed by a closed catalytic loop, then optimization of the structural and electrochemical properties of the photocatalyst might be expected to strongly impact the efficiency of catalyst turnover. On the other hand, if chain reactions dominate product formation, then reaction variables that increase the rate of chain propagation or decrease the rate of chain termination should have a large effect even if they do not impact the activity of the catalyst itself.^{7a,11}

One increasingly common study used to investigate the participation of chain processes is the so-called “light/dark”

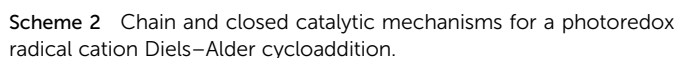
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† Electronic supplementary information (ESI) available: Quantum yield measurements, luminescence quenching experiments, “light/dark” experiments, and time course data. See DOI: 10.1039/c5sc02185e





In this paper, we demonstrate that a combination of quantum yield and luminescence quenching measurements can provide a powerful method to study chain processes in



Our working model for the mechanism of the photoredox process is depicted in Scheme 2. The reaction is initiated upon

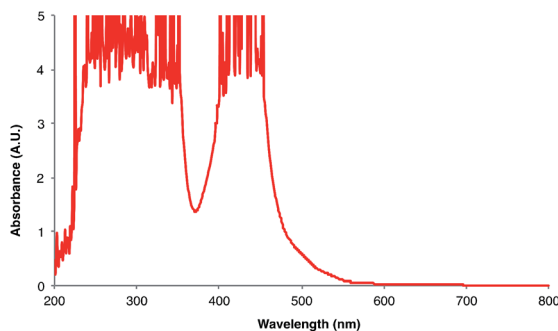
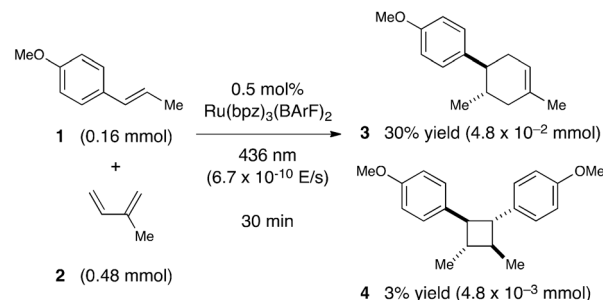


Fig. 1 UV-vis absorption spectrum for $\text{Ru}(\text{bpz})_3(\text{BarF})_2$ at 4×10^{-4} M in CH_2Cl_2 .

reductive quenching of photoexcited $\text{Ru}^*(\text{bpz})_3^{2+}$ by anethole (1), which affords the alkene radical cation $1^{\bullet+}$ along with an equivalent quantity of the reduced catalyst $\text{Ru}(\text{bpz})_3^+$. The alkene radical cation is activated towards [4 + 2] cycloaddition with a diene (2) to produce radical cation $3^{\bullet+}$ as the first-formed, open-shelled product of this reaction. In order to generate the neutral cycloadduct 3, the product radical cation must be reduced by one electron. This can either occur *via* chain-terminating electron transfer from the reduced photocatalyst $\text{Ru}(\text{bpz})_3^+$ (as shown in red), or *via* chain-propagating electron transfer from another equivalent of electron-rich alkene substrate 1 (blue).

To begin our investigations of chain propagation in the radical cation [4 + 2] cycloaddition, we calibrated its quantum yield against the photodecomposition of potassium ferrioxalate, a well-established chemical actinometer with known quantum efficiencies at multiple wavelengths.²² We selected 436 nm light for our experiments, a wavelength at which the $\text{Ru}(\text{bpz})_3^{2+}$ photocatalyst absorbs strongly²³ and for which the quantum efficiency of ferrioxalate decomposition has been established ($\Phi = 1.01$). We conducted the quantum yield measurements in a standard fluorescence spectrophotometer capable of variable wavelength emission. First, in order to determine the intensity of the fluorometer at $\lambda = 436$ nm, we irradiated a solution containing a known concentration of ferrioxalate and quantified the appearance of $\text{Fe}(\text{II})$ by UV-vis absorbance spectroscopy. From these data and the reported quantum yield of $\text{Fe}(\text{III})$ reduction, we calculated a photon flux of $6.67 \times 10^{-10} \text{ E s}^{-1}$ from the fluorometer source.

Next, we conducted a radical cation Diels–Alder cycloaddition in the fluorometer with 0.16 mmol anethole (1) and 0.48 mmol isoprene (2) in the presence of 0.5 mol% $\text{Ru}(\text{bpz})_3^{2+}$ (Scheme 3). Importantly, despite the relatively low concentration of the photocatalyst (4.0×10^{-4} M), the optical transmittance at 436 nm was negligible (Fig. 1). Each of the organic coupling partners, on the other hand, was transparent at 436 nm, so we could make the limiting assumption that the incident photon flux is completely absorbed by the photocatalyst. After 30 min of irradiation in the fluorometer, we obtained 30% yield of Diels–Alder cycloadduct 3 (4.8×10^{-2} mmol). In addition, [2 + 2] homodimer 4, a byproduct also arising from reaction of alkene radical cation $1^{\bullet+}$,²⁴ was formed



Scheme 3 Calculating quantum yield for photocatalytic radical cation Diels–Alder cycloaddition.

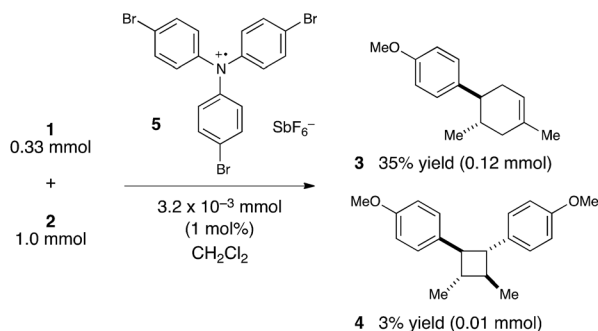
in 3% yield (4.8×10^{-3} mmol). Thus, the overall quantum yield for formation of all radical cation cycloaddition products can be calculated by dividing the combined moles of 3 and 4 formed by the einsteins of photons consumed (eqn (1)); from these data, we calculate a quantum yield value of $\Phi = 44$. In other words, 44 equivalents of product are formed for every photon absorbed by the photocatalyst, which is a result that could only be consistent with a chain mechanism.

$$\Phi = \frac{\text{moles of product formed}}{\text{einstein of light absorbed}} = \frac{5.28 \times 10^{-5} \text{ mol}}{6.67 \times 10^{-10} \text{ mol s}^{-1} \times 1800 \text{ s}} = 44 \quad (1)$$

It is important to note that this calculation does not take into account the participation of any other photoinitiated processes that do not lead to product. For example, the photoexcited $\text{Ru}^*(\text{bpz})_3^{2+}$ catalyst could relax to the ground state *via* either radiative or vibrational pathways without undergoing electron-transfer processes; the reduced photocatalyst $\text{Ru}(\text{bpz})_3^+$ and the oxidized alkene $1^{\bullet+}$ could also recombine to regenerate $\text{Ru}(\text{bpz})_3^{2+}$ and neutral 1 *via* back electron transfer. Crucially, any such non-productive processes would reduce the numerator of eqn (1) without affecting the denominator. Thus, although the observation of a quantum yield much greater than unity provides confirmation of the chain nature of this reaction, the actual length of the chains could be substantially higher than the quantum yield.

We were, however, intrigued by the observation that the quantum yield that we calculated is of the same order of magnitude as the chain lengths reported by Bauld for mechanistically similar [2 + 2] styrene radical cation cycloaddition reactions (*ca.* 20).²⁵ This led us to wonder whether the quantum yield might indeed be a reasonable estimate for the length of the radical cation chains in the Diels–Alder cycloaddition. To enable a reasonable comparison, we chemically initiated a radical cation [4 + 2] cycloaddition of 0.33 mmol 1 and 1.0 mmol 2 using a catalytic quantity of triarylammonium cation 5 (3.2×10^{-3} mmol). In this experiment (Scheme 4), we observed the formation of 38% combined yield of 3 and 4 (0.13 mmol total). This corresponds to an average chain length of 41 (eqn (2)), a value within experimental error of the quantum yield that we calculated using eqn (1). Thus, in addition to providing





Scheme 4 Calculating chain length for chemically induced radical cation Diels–Alder cycloaddition.

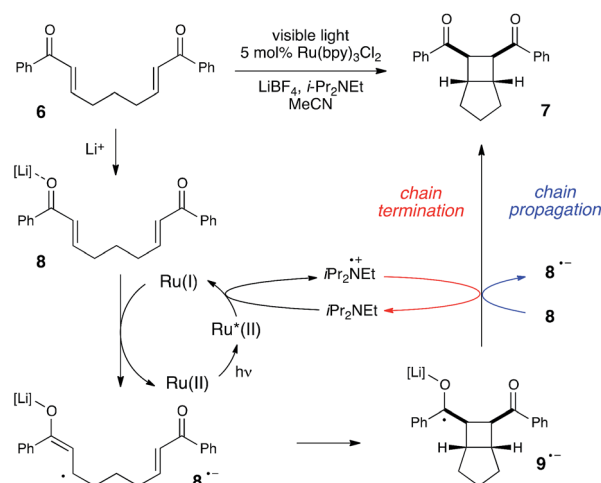
compelling evidence that the photocatalytic radical cation Diels–Alder cycloaddition is a chain process, this study also suggested to us that quantum yield measurements might provide a convenient method to quickly estimate the average chain length involved in photocatalytic reactions. This conjecture is further interrogated in the next section.

$$\begin{aligned}\text{Chain length} &= \frac{\text{moles of product formed}}{\text{moles of initiator}} \\ &= \frac{1.3 \times 10^{-4} \text{ mol}}{3.2 \times 10^{-6} \text{ mol}} = 41\end{aligned}\quad (2)$$

Photoinitiated radical anion reactions

Much of the recent research in photoredox catalysis has been motivated by the fact that both oxidative and reductive one-electron transfer processes are readily accessible. The versatile redox properties of $\text{Ru}(\text{bpy})_3^{2+}$ and similar photoredox catalysts provide uniquely direct access to a wide range of odd-electron reactive intermediates with diverse chemical behavior.²⁶ In order to probe our hypothesis that chain mechanisms could be a general feature of photoredox reactions, we next elected to study the photocatalytic [2 + 2] cycloaddition of enones reported by our group several years ago.²⁷ We selected this reaction as an example of a photoreductively initiated process that would contrast with the photooxidative radical cation Diels–Alder reaction described in the previous section. We hoped that evidence that both of these classes of reactions possess quantum yields greater than unity would provide further evidence supporting our contention that chain mechanisms are more common in photoredox chemistry than is generally appreciated.

Scheme 5 depicts a working model for the mechanism of this transformation. We have proposed that the initiating step involves reductive quenching of photoexcited $\text{Ru}^*(\text{bpy})_3^{2+}$ by $i\text{-Pr}_2\text{NEt}$. This step produces $\text{Ru}(\text{bpy})_3^+$, which reacts with Lewis acid-activated enone **8** in a one-electron reduction process to generate the key radical anion $\mathbf{8}^{\cdot-}$. The enone radical anion then undergoes [2 + 2] cycloaddition to afford the cyclobutyl ketyl radical $\mathbf{9}^{\cdot-}$, which must lose an electron in order to generate the neutral product **7**. This final product-forming electron-transfer step could either be chain-terminating



Scheme 5 Mechanistic proposal for the radical anion [2 + 2] cycloaddition.

reduction of photogenerated amine radical cation $i\text{-Pr}_2\text{NEt}^{\cdot+}$, or chain-propagating reduction of another equivalent of Li-activated enone **8**.

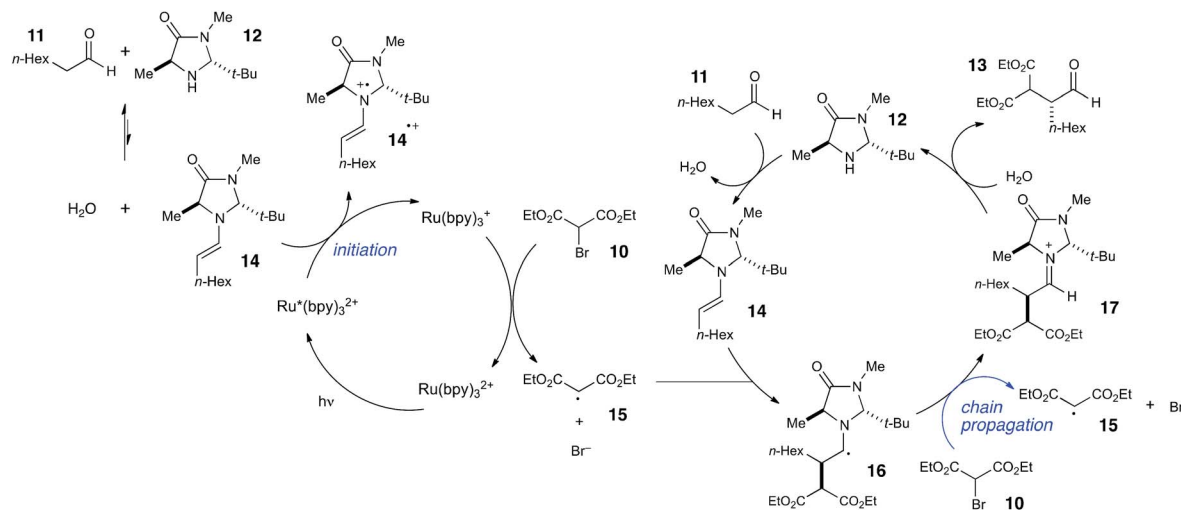
Using the same experimental setup described in the previous section, we calculated a quantum yield of $\Phi = 77$, again demonstrating that product formation is dominated by a chain process. However, we suspected that the average chain length might actually be somewhat longer than the quantum yield suggests on its own. In the course of measuring the quantum yield for this reaction, we observed that the reaction sample continued to luminesce visibly throughout the reaction. Thus, some non-negligible proportion of the photons absorbed by $\text{Ru}(\text{bpy})_3^{2+}$ are re-emitted *via* phosphorescence, and only a fraction of the excited $\text{Ru}^*(\text{bpy})_3^{2+}$ triplets participate in productive electron transfer processes. This contrasts sharply to the radical cation Diels–Alder reaction, which displayed negligible luminescence compared to a blank sample of catalyst.²⁸

The partitioning of excited state $\text{Ru}^*(\text{bpy})_3^{2+}$ between reductive quenching by $i\text{-Pr}_2\text{NEt}$, which initiates the product-forming radical anion cycle, and non-productive relaxation pathways such as phosphorescence can be expressed as a quenching fraction (Q). The initial value of Q can be calculated using eqn (3), which expresses the quenching fraction as a ratio of the rate at which the excited photocatalyst is productively quenched by $i\text{-Pr}_2\text{NEt}$ to the sum of the rates of all of the relaxation processes available to the excited state. The intrinsic rate of all unimolecular radiative and non-radiative relaxation reactions of $\text{Ru}^*(\text{bpy})_3^{2+}$ is given by inverse of the lifetime of an excited state (τ_0), a known quantity with a value of 855 ns in MeCN.²⁹ The quenching rates (k_q) for each of the reaction components can be directly measured using standard Stern–Volmer analyses, the results of which are shown in Fig. 2. Of the various reaction components, only $i\text{-Pr}_2\text{NEt}$ resulted in any measurable Stern–Volmer quenching ($k_{q,\text{HB}} = 7.9 \times 10^6 \text{ M}^{-1} \text{ s}^{-1}$);³⁰ we observed no change in $\text{Ru}^*(\text{bpy})_3^{2+}$ luminescence upon varying the concentrations of either LiBF_4 or the enone substrate, consistent with the mechanism shown in Scheme 5.





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Scheme 6 Mechanistic proposal for organocatalytic photoredox α -alkylation of aldehydes.

Indeed, our attempt to measure Φ under aerobic conditions using our experimental setup were unsuccessful because the low intensity of the spectrophotometer source resulted in only trace conversion after 4 h of irradiation.

This reemphasizes the important point that many non-productive processes, including parasitic quenching by energy or electron transfer as well as unimolecular decay processes, can result in a loss of measured quantum efficiency for a photochemical reaction. Indeed, in determining the quantum yield for the α -alkylation, we observed that the phosphorescence of the catalyst is only diminished by 10% under our experimental conditions. Thus, only a small fraction of the photoexcited $\text{Ru}^*(\text{bpy})_3^{2+}$ complexes productively initiate product-forming chains before they relax to the ground state by non-productive phosphorescence or internal conversion. This observation indicates that the radical chain lengths are in fact quite long, with a lower limit of 180.

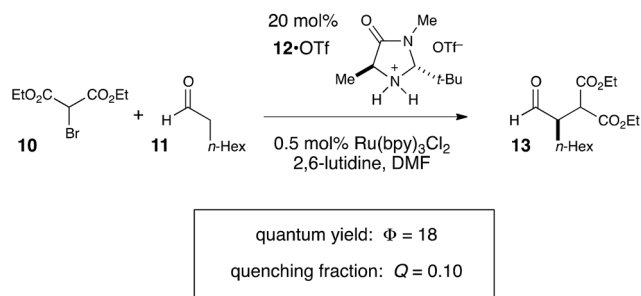
The combination of quantum yield and luminescence quenching measurements, therefore, reveals that the α -alkylation reaction involves long radical chains but a relatively inefficient initiation process. We can rationalize the poor phosphorescence quenching as a consequence of several factors. First, the equilibrium for formation of enamine **14** is

unfavorable; we measured $K_{\text{eq}} = 8.1 \times 10^{-3}$. Second, organocatalyst **12** is used at sub-stoichiometric loadings, resulting in a quite low concentration of initiating enamine. Finally, MacMillan reports a small Stern–Volmer quenching constant of 10 M^{-1} for **14**.³³ These observations provide a satisfying explanation for the inefficient rate of initiation and for the relatively high reaction concentration required for successful reaction (0.5 M in **10**).

This understanding of the mechanism of the photoredox α -alkylation reaction suggested to us that a simple, rational modification might dramatically improve its rate. If our model for the mechanism of this reaction is correct, then the addition of a catalytic quantity of a co-reductant that could reductively quench $\text{Ru}^*(\text{bpy})_3^{2+}$ at faster rates than enamine **14** would be expected to increase the efficiency of chain initiation and thus improve the overall rate of product formation. To probe this hypothesis, we elected to study the effect of *N,N*-dimethyl-*p*-toluidine (**18**) on this reaction (Fig. 4). We selected this additive because it is known to quench $\text{Ru}^*(\text{bpy})_3^{2+}$ at a very fast rate.³⁶

Indeed, upon addition of only 0.5 mol% **18**, we observed that the rate of product formation increased by over an order of magnitude (Fig. 4). When the reaction was allowed to proceed to a 1 h timepoint, the experiment conducted without **18** had progressed to only 23% yield; the reaction conducted with co-catalytic **18**, on the other hand, was complete (89% yield), and the product was formed with essentially the same enantioselectivity in both cases. Control experiments conducted in the absence of $\text{Ru}(\text{bpy})_3\text{Cl}_2$ showed that **18** had no impact on the rate of the direct photoreaction.³⁷ Thus, we conclude that **18** improves the rate of the reaction by accelerating an otherwise inefficient radical chain initiation step, consistent with our guiding hypothesis.

Thus, we would suggest that these experiments are valuable for a variety of reasons. First, the ability to rapidly determine chain lengths in photocatalytic reactions can provide valuable information on whether product formation is dominated by single-turnover catalyst-mediated steps or by catalyst-free chain



Scheme 7 Quantum yield measurement for the organocatalytic photoredox α -aldehyde alkylation.

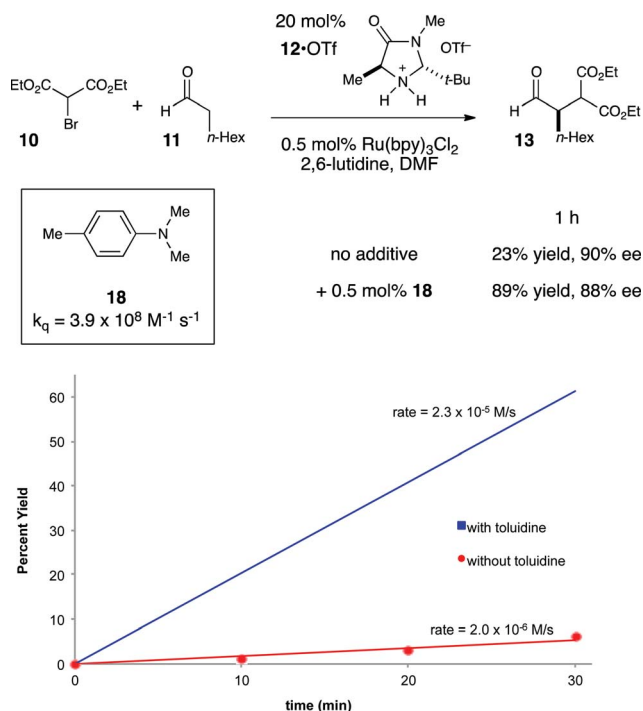


Fig. 4 Effect of exogenous co-catalytic reductive quencher.

propagation reactions, a detail that is important for fully understanding the mechanism of a photocatalytic reaction. Moreover, the ability to easily diagnose whether inefficiencies in a photoredox reaction are a result of short chain lengths or of slow initiation steps can provide valuable insights that can guide the rational optimization of the method. We suggest that this approach to studying the mechanism of photocatalytic reactions should be generally applicable to the growing body of literature involving photoredox catalysis.

“Light/dark” experiments

Finally, we wished to test whether “light/dark” experiments could in fact be used to unambiguously disprove the occurrence of chain processes in photoredox reactions. As described in the previous sections, we have obtained compelling evidence that the radical cation [4 + 2], radical anion [2 + 2], and neutral radical α -alkylation reactions all involve long product-forming chains. Nevertheless, when each of these reactions is conducted

using alternating intervals of light and dark, we observe that product formation occurs only during periods of constant irradiation (Fig. 5a–c), similar to the results of other “light/dark” experiments recently reported in the literature.¹²

There are indeed a number of useful conclusions that can be drawn from “light/dark” experiments such as these. For instance, the observation that product is formed only upon constant irradiation suggests that a photocatalytic reaction might be susceptible to temporal and spatial control, a characteristic that can have important ramifications in materials applications.^{4,38} This behavior, however, can evidently still manifest in photocatalytic reactions involving long chain reactions, and we urge caution in drawing conclusions about chain propagation from “light/dark” experiments.

Conclusions

We have demonstrated that chain processes dominate product formation in three representative photoredox transformations. While the conclusions drawn from these studies do not necessarily suggest that chain reactions occur in all photoredox reactions, the studies described in this paper do suggest that radical chain processes should be considered as a possibility when proposing the mechanisms of photoredox reactions, and that “light/dark” experiments cannot be used to conclusively rule them out. Further, we demonstrated that the combination of quantum yield measurements and luminescence quenching experiments provides a convenient method to rapidly determine a lower limit for chain lengths and to diagnose inefficient initiation steps in photoredox reactions. Our hope is that this simple approach to characterizing chain processes in photoredox reactions will become a routine analytical tool that will help to elucidate the fundamental mechanistic characteristics of this growing class of synthetically powerful transformations.

Acknowledgements

Preliminary experiments establishing the effect of co-catalytic reductive quenchers in the organocatalytic photoredox α -alkylation reaction were performed by Natalie Lenz. Lifetime measurement of $\text{Ru}(\text{bpy})_3^{2+}$ was measured by Marco Torelli. We thank Spencer Pitre for helpful discussions. Funding for this research was provided by the NIH (GM095666) and the Sloan

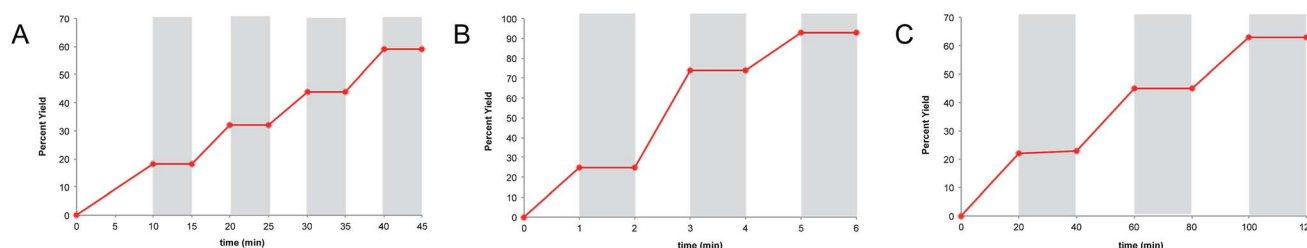


Fig. 5 “Light/dark” experiments for (A) the radical cation [4 + 2] cycloaddition, (B) radical anion [2 + 2] cycloaddition, and (C) photoredox aldehyde alkylation reaction.



Foundation. M.A.C. is grateful for a graduate fellowship from the NSF.

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