



# Effect of alkali ions on optical properties of flavins: vibronic spectra of cryogenic $M^+$ lumiflavin complexes ( $M = \text{Li} - \text{Cs}$ )<sup>†</sup>

David Müller,<sup>a</sup> Pablo Nieto,<sup>a</sup> Mitsuhiro Miyazaki <sup>ab</sup>  
and Otto Dopfer <sup>\*ac</sup>

Received 23rd November 2018, Accepted 7th January 2019

DOI: 10.1039/c8fd00203g

Flavin compounds are frequently used by nature in photochemical processes because of their unique optical properties which can be strongly modulated by the surrounding environment such as solvation or coordination with metal ions. Herein, we employ vibronic photodissociation spectroscopy of cryogenic  $M^+$ LF complexes composed of lumiflavin (LF,  $\text{C}_{13}\text{H}_{12}\text{N}_4\text{O}_2$ ), the parent molecule of the flavin family, and alkali ions ( $M = \text{Li} - \text{Cs}$ ) to characterize the strong impact of metalation on the electronic properties of the LF chromophore. With the aid of time-dependent density functional theory calculations (PBE0/cc-pVDZ) coupled to multidimensional Franck–Condon simulations, the visible photodissociation (VISPD) spectra of  $M^+$ LF ions recorded in the 500–570 nm range are assigned to the  $S_1 \leftarrow S_0$  ( $\pi\pi^*$ ) transitions into the first optically bright  $S_1$  state of the lowest-energy  $M^+$ LF(O4+) isomers. In this O4+ structure,  $M^+$  binds in a bent chelate to the lone pairs of both the O4 and the N5 atom of LF. Charge reorganization induced by  $S_1$  excitation strongly enhances the interaction between  $M^+$  and LF at this binding site, leading to substantial red shifts in the  $S_1$  absorption of the order of 10–20% (e.g., from 465 nm in LF to 567 nm in  $\text{Li}^+$ LF). This strong change in  $M^+ \cdots \text{LF}$  interaction strength in  $M^+$ LF(O4+) upon  $\pi\pi^*$  excitation can be rationalized by the orbitals involved in the  $S_1 \leftarrow S_0$  transition and causes strong vibrational activity. In particular, progressions in the intermolecular bending and stretching modes provide an accurate measure of the strength of the  $M^+ \cdots \text{LF}$  bond. In contrast to the experimentally identified O4+ ions, the predicted  $S_1$  origins of other low-energy  $M^+$ LF isomers, O2+ and O2, are slightly blue-shifted from the  $S_1$  of LF, demonstrating that the electronic properties of metalated LF not only drastically change with the size of the metal ion but also with its binding site.

<sup>a</sup>Institut für Optik und Atomare Physik, Technische Universität Berlin, Hardenbergstr. 36, 10623 Berlin, Germany. E-mail: dopfer@physik.tu-berlin.de; Fax: +49 30 314 23018

<sup>b</sup>Laboratory for Chemistry and Life Science, Institute of Innovation Research, Tokyo Institute of Technology, 4259, Nagatsuta-cho, Midori-ku, Yokohama, Japan

<sup>c</sup>Tokyo Tech World Research Hub Initiative (WRHI), Institute of Innovation Research, Tokyo Institute of Technology, 4259, Nagatsuta-cho, Midori-ku, Yokohama, Japan

<sup>†</sup> Electronic supplementary information (ESI) available. See DOI: 10.1039/c8fd00203g



## Introduction

In addition to amino acids, DNA bases, and carbohydrates, flavins are an important class of biomolecules. Flavins are yellow dye molecules (“flavus” means yellow in Latin) derived from the tricyclic heteroaromatic 7,8-dimethyl-10-alkyl-isoalloxazine chromophore and differ by the alkyl substituent R at the N10 position (Fig. 1). The most important members of the flavin family are lumiflavin (LF, R = CH<sub>3</sub>, C<sub>13</sub>H<sub>12</sub>N<sub>4</sub>O<sub>2</sub>, 7,8-dimethyl-10-methyl-isoalloxazine), riboflavin (RF, R = ribityl) also known as vitamin B<sub>2</sub>, the cofactor flavin mononucleotide (FMN, R = ribophosphate), and the co-enzyme flavin adenine dinucleotide (FAD, R = ribophosphate + adenine). The parent molecule, iso-lumichrome (iso-LC, R = H) is a metastable tautomer and occurs in the most stable structure as lumichrome (LC), in which the H atom of N10 is transferred to N1. For this reason, LF is often considered as the most simple stable flavin.

The isoalloxazine chromophore absorbs in a wide optical range, and the details of the optical spectrum and resulting photochemistry strongly depend on many intrinsic and environmental factors, including (1) the oxidation, protonation, and metalation states, (2) the substituent R, (3) solvation, and (4) coordination with counter ions. This strong modulation in the optical properties of flavins and flavoproteins is used by nature in various fundamental photochemical processes, in biocatalysis, and in redox reactions.<sup>1–5</sup> For instance, they are involved in blue-light receptors (BLUF), in light-oxygen-voltage (LOV) sensing, in processes of the respiratory chain, in the enzymatic oxidation of glucose, and in the repair process of DNA. Two Nobel prizes in chemistry are strongly related to flavins. The first one was awarded in 1937 to Karrer for the synthesis and structural analysis of flavin compounds. The second one was awarded in 2015 to

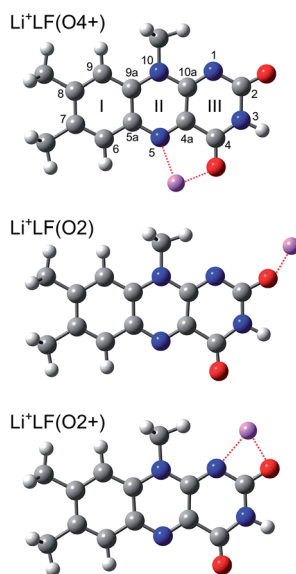


Fig. 1 Structures of relevant M<sup>+</sup>LF isomers calculated at the PBE0/cc-pVDZ level of theory illustrated for M = Li, along with atom and ring numbering. N/O atoms are indicated in blue/red colour.



Lindahl, Modrich, and Sancar for unravelling the mechanism of DNA repair, which involves the fully reduced flavoprotein FADH<sup>-</sup>. A number of biochemical processes of flavins are based on their strong interactions with coordinating metal ions.<sup>6–15</sup>

Due to their importance, numerous studies have characterized the absorption properties of flavins by a variety of spectroscopies in the condensed phase (absorption, emission, time-resolved spectroscopy)<sup>16–19</sup> and quantum chemical calculations.<sup>16,20–25</sup> These studies reveal that the excited-state photochemistry and absorption of flavins from the ground electronic state ( $S_0$ ) are controlled by optically bright  $\pi\pi^*$  excitations of the aromatic  $\pi$  electron system and essentially dark  $n\pi^*$  states involving the excitation of electrons from in-plane lone pairs of the various O and N heteroatoms. Some of these transitions are strongly affected by solvation and protonation. Concerning LF, the  $S_1$  state observed near 450 nm is assigned to the first allowed  $\pi\pi^*$  state, and calculations predict a large geometry change upon electronic excitation. As a result, there is a large difference between the vertical and adiabatic transition energies (of around 50 nm or 0.3 eV), implying that vibronic excitation and temperature have a substantial impact on the position, shape, and width of the  $S_1$  absorption band.<sup>25</sup> Indeed, the absorption spectra observed in the condensed phase at room temperature are broad and unresolved, and thus do not provide reliable and precise information and understanding of the effects of the environment on the optical properties of flavins at the molecular level. Significantly, optical spectra of LF derivatives recorded at 4 K in an *n*-decane matrix (single crystals, Shpolskii method) show that low temperatures are required to obtain vibrationally resolved optical spectra with sharp rovibronic transitions.<sup>26</sup>

Because of the strong dependence of the optical spectra on the environment, the intrinsic properties of the active flavin chromophore must be determined by the spectroscopy of molecules isolated in the gas phase. However, such studies are scarce, mainly because of the difficulties involved in generating cold flavin molecules and their ions and complexes in the gas phase. To this end, we recently started a research program to systematically characterize the geometric and electronic properties of flavin ions in their protonated, metalated, and micro-solvated states by infrared and optical photodissociation spectroscopy coupled to electrospray ionization (ESI) techniques for ion generation in the gas phase.<sup>27–32</sup> Apart from our contributions to flavin spectroscopy summarized below, a few other studies on isolated flavins have appeared recently. The pioneering fluorescence spectrum of LF embedded in He droplets ( $T = 0.4$  K) exhibits vibrational resolution and was assigned to the  $S_1 \leftarrow S_0$  ( $\pi\pi^*$ ) transition by comparison to quantum chemical calculations coupled to multidimensional Franck–Condon (FC) simulations.<sup>33</sup> The authors estimate that the  $S_1$  origin observed at  $21\,511\text{ cm}^{-1}$  (464.88 nm) is shifted by less than 1% upon the weak interaction with the He droplet. Optical spectra of room temperature cations and anions have recently been reported for FAD mono- and dianions,<sup>34–36</sup> alloxazine and LC anions,<sup>37</sup> protonated alloxazine,<sup>38</sup> and a flavin derivative with a protonated amino side chain.<sup>39</sup> Significantly, all these latter studies report only optical spectra with very broad absorption bands because vibronic resolution cannot be obtained at elevated temperature ( $T = 300$  K).<sup>32</sup> As a consequence, the spectral information about shifts and (de-)protonation sites, *etc.* is quite limited, and the interpretation



relies heavily on quantum chemical calculations which may not always produce reliable quantitative predictions.

In the past few years, our group has applied infrared and optical photodissociation spectroscopy to mass-selected flavin ions, with the aim of characterizing the geometric, vibrational, and electronic structure of a number of protonated and metalated flavins ranging from LC to FMN in the electronic ground and first excited singlet states ( $S_0$ ,  $S_1$ ).<sup>27–32</sup> The flavin ions are generated by ESI in the gas phase and subsequently studied by (1) infrared multiple-photon dissociation (IRMPD) in an Fourier-transform ion cyclotron resonance mass spectrometer<sup>27–29</sup> and (2) by electronic photodissociation in the visible range (VISPD) in a cryogenic ion trap coupled to a quadrupole/time-of-flight tandem mass spectrometer (BerlinTrap).<sup>30–32</sup> Significantly, these studies report the first (and to date only) vibrationally-resolved spectra of flavins isolated in the gas phase, and thus provide for the first time reliable experimental information about protonation and metalation sites as well as their impact on the electronic properties. The IRMPD spectra recorded at room temperature display sufficient vibrational resolution to determine the preferred protonation and metalation sites of the flavins in the  $S_0$  state by comparison to quantum chemical density functional theory (DFT) calculations.<sup>27–29</sup> In contrast, vibronic resolution in electronic VISPD spectra of such ions can only be achieved at temperatures well below 100 K because only then can extensive spectral congestion from hot bands be avoided.<sup>30–32,40,41</sup> In general, these studies reveal that the preferred protonation and metalation sites strongly depend on the substituent R of the flavin as well as the size and type of the metal ion, as illustrated for the alkali and coinage metal ions,  $M = \text{Li–Cs}$  and  $\text{Cu–Au}$ .<sup>30–32</sup> The most thoroughly studied so far are cations derived from LC and LF. IRMPD spectra demonstrate that protonation preferentially occurs at N5 in  $\text{H}^+\text{LC}$  and at O2 in  $\text{H}^+\text{LF}$ , in line with computational predictions at the B3LYP/cc-pVDZ level.<sup>27</sup> The two major metalation sites observed for  $\text{M}^+\text{LC}$  and  $\text{M}^+\text{LF}$  with alkali atoms  $M = \text{Li–Cs}$  are the two CO groups, leading to the O4+ and O2(+) isomers shown in Fig. 1 for the case of  $\text{Li}^+\text{LF}$ .<sup>27–29</sup> Their relative energies and bonding characteristics depend sensitively on the size of the alkali ion. The optical VISPD spectra of  $\text{H}^+\text{LC}$  and  $\text{M}^+\text{LC}$  with  $M = \text{Li–Cs}$  observed in the 400–500 nm range are attributed to the lowest  $\pi\pi^*$  excitation ( $S_1$ ) of the N5 protomer of LC,  $\text{H}^+\text{LC}(\text{N5})$ , and the O4+ isomer of  $\text{M}^+\text{LC}$ ,  $\text{M}^+\text{LC}(\text{O4+})$ .<sup>30–32</sup> Significantly, massive red shifts ranging from  $\sim 2400$  ( $\text{Cs}^+$ ) to around  $\sim 6000 \text{ cm}^{-1}$  ( $\text{H}^+$ ) observed for the adiabatic  $S_1$  origins of the O4+ and N5 ions indicate the strong impact of metalation and protonation on the electronic structure of this prototypical flavin. On the other hand, calculations demonstrate that metalation/protonation at the O2(+) binding site has only a minor impact on the  $S_1$  origin energies, illustrating that the binding site of  $\text{M}^+/\text{H}^+$  is also an important parameter in tuning the electronic properties. Time-dependent DFT (TD-DFT) calculations at the PBE0/cc-pVDZ level provide accurate predictions for both the  $S_1$  origin positions (to within 0.1 eV) and the vibrational analysis using FC simulations.<sup>30–32</sup> As a result, the changes in the proton affinity of LC and the  $\text{M}^+\cdots\text{LC}$  interaction strength are accurately probed by the corresponding electronic energy shifts and vibrational frequencies, demonstrating the high and reliable information content of the vibronic excitation spectra.

Herein, we continue our series of studies to VISPD spectroscopy of  $\text{M}^+\text{LF}$  ions to probe the impact of the alkali ions Li–Cs on the electronic structure of LF using the same experimental and computational approach as used for  $\text{H}^+/\text{M}^+\text{LC}$ .<sup>30–32</sup> In



contrast to the previous IRMPD data on  $M^+LF$ ,<sup>29</sup> their VISPD spectra are highly isomer-selective, because the locations of the electronic transitions in the optical spectrum strongly depend on the  $M^+$  binding site. The analysis by TD-DFT calculations reveals similarities and differences between  $M^+LF$  and  $M^+LC$ .

## Experimental and computational details

Vibronic VISPD spectra of mass-selected  $M^+LF$  ions are obtained in a cryogenic ion trap tandem mass spectrometer (BerlinTrap) described in detail elsewhere.<sup>30</sup> The major components of this setup include (1) an ESI source for ion production, (2) a mini-quadrupole for ion accumulation, (3) a quadrupole mass spectrometer for filtering the  $M^+LF$  ions under investigation, (4) a cryogenic 22-pole ion trap for storing and cooling the ions employing He buffer gas, and (5) a reflectron time-of-flight mass spectrometer for the analysis of the fragment ions generated by photodissociation of parent ions.  $M^+LF$  ions ( $M = Li-Cs$ ) are produced in the ESI source by spraying a suitable mixture at a constant flow rate of  $2 \text{ ml h}^{-1}$ . The solution is prepared by dissolving 1 mg LF (Sigma Aldrich, >99%) and 2–4 mg alkali metal chloride salt (MCl, Sigma Aldrich, >99%) in 20 ml methanol and 1 ml water. The resulting ions are accumulated for 90 ms in a short mini-quadrupole located after the skimmer. After passing through a hexapole, the desired  $M^+LF$  ions are selected by a tuneable quadrupole mass spectrometer and guided through an octupole into the cryogenic 22-pole trap mounted onto the coldhead of a cryostat held at 6 K. Here, the  $M^+LF$  ions are trapped for 90 ms and cooled down to a (ro)vibrational temperature of around 20 K by He buffer gas introduced into the trap by a pulsed piezo valve.<sup>30</sup> After extraction out of the 22-pole trap, the cold  $M^+LF$  ions are guided by a series of einzel lenses into the extraction region of an orthogonal reflectron time-of-flight mass spectrometer, where they are irradiated by visible photons emitted from a pulsed optical parametric oscillator (OPO) laser. The OPO laser (GWU, Versa-Scan) is pumped by the third harmonic of a nanosecond Q-switched Nd:YAG laser (Innolas, Spotlight 1000, 180 mJ per pulse at 355 nm) and delivers visible light pulses (beam diameter of 5 mm) with a bandwidth of around  $4 \text{ cm}^{-1}$  and an energy of up to 3 mJ in the spectral range 500–570 nm. The repetition rates of both the laser and BerlinTrap mass spectrometer are 10 Hz. Photodissociation occurs just before the extraction zone of the reflectron (*ca.* 10  $\mu\text{s}$  before the ion extraction pulse). Hence, both parent and fragment ions can be detected with high transmission using a microchannel plate detector. The VISPD action signal is obtained by linearly normalizing the fragment ion signal by the parent ion signal and the laser intensity monitored simultaneously with the ion signals. Scans are taken in wavelength steps of 0.02 nm (corresponding to  $0.8 \text{ cm}^{-1}$  at 500 nm), and 50 mass spectra are averaged at each wavelength which is calibrated by a wavemeter. For all  $M^+LF$  ions, the only fragmentation process observed upon VISPD is dissociation into  $M^+ + LF$  (Fig. S1 in ESI†). The photodissociation efficiency is of the order of a few % for strong transitions. The typical width of the transitions observed is in the range 5–10  $\text{cm}^{-1}$ , and arises from the bandwidth of the laser ( $\sim 4 \text{ cm}^{-1}$ ), unresolved rotational substructure, overlapping vibronic transitions, and possibly lifetime broadening.

The experimental VISPD spectra of  $M^+LF$  are interpreted with the aid of quantum chemical calculations.<sup>42</sup> To this end, DFT calculations at the PBE0/cc-



pVDZ level of theory are employed to optimize the electronic ground state ( $S_0$ ) of LF and  $M^+LF$ . Subsequently, the vertical excitation energies of the first four excited singlet states ( $S_1$ – $S_4$ ) are determined using TD-DFT at the same level of theory to roughly estimate their relative energies. Finally, the  $S_1$  excited states are optimized using the corresponding  $S_0$  structures as starting geometries. The efficient but reliable PBE0/cc-pVDZ level was previously employed for corresponding calculations of the related  $H^+LC$  and  $M^+LC$  ions and resulted in good agreement with experimental data for both vibrational and electronic energies.<sup>31,32</sup> Test calculations with the larger cc-pVTZ basis set yield essentially the same results. Relativistic corrections for the heavier alkali metals (K–Cs) are included using the Stuttgart effective core potentials.<sup>43</sup> Harmonic frequency analysis is employed to ensure that the stationary points located on the potential are indeed minima. All reported binding energies ( $D_0$ ) and relative energies ( $E_0$ ) are corrected for the harmonic zero-point vibrational energy. Vibronic absorption stick spectra are obtained by multidimensional FC simulations ( $T = 0$  K) using PGOPHER.<sup>44</sup> The orbitals contributing the most to each respective electronic excitation are determined using the natural transition orbital (NTO) approach.<sup>45</sup> The atomic charge distribution in the ground and excited electronic states is evaluated by employing natural bond orbital (NBO) analysis.<sup>46</sup> In both experiment and computation, only the monoisotopic species are considered.

## Results and discussion

Overview VISPD spectra of the  $S_1 \leftarrow S_0$  transition for all investigated  $M^+LF$  ions recorded in the  $M^+$  fragment channel in the range 17 500–20 000  $\text{cm}^{-1}$  (570–

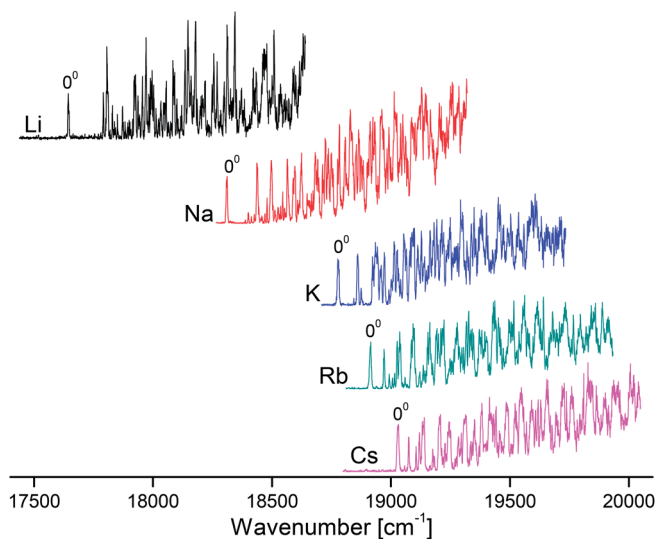


Fig. 2 Overview VISPD spectra recorded for  $M^+LF$  ( $M = \text{Li}–\text{Cs}$ ) in the  $M^+$  fragment channel at a trap temperature of  $T = 6$  K. The origins ( $0^0$ ) of the  $S_1 \leftarrow S_0$  ( $\pi\pi^*$ ) transitions assigned to the O4+ isomer are indicated.



500 nm, 2.2–2.5 eV) are shown in Fig. 2. As can be seen, cooling the ions in the trap down to below 20 K is sufficient to efficiently suppress the appearance of hot bands and to achieve vibrational resolution even for such large biomolecules. The  $S_1 \leftarrow S_0$  transitions exhibit sharp and intense  $S_1$  origins ( $0^0$ ) accompanied by long and intense vibrational progressions, indicative of substantial changes in the geometries upon electronic  $\pi\pi^*$  excitation. The  $S_1$  origin transitions of  $M^+LF$  observed at 17 645, 18 310, 18 778, 18 914, and 19 031  $\text{cm}^{-1}$  for  $M = \text{Li-Cs}$ , respectively (Table 1) exhibit a strong dependence on the  $M^+$  ion. In Fig. 3, these  $S_1$  origins are plotted *versus* the inverse ionic radius of  $M^+$  ( $1/R_M$ ),<sup>29,47</sup> and a nearly linear dependence is observed. This result is expected because the attractive interaction between  $M^+$  and LF mainly arises from electrostatic forces, thus explaining the linear dependence of the  $S_1$  origins on  $1/R_M$  according to the Coulomb law.<sup>32</sup> Unfortunately, the corresponding  $S_1 \leftarrow S_0$  transition of bare LF has not been measured yet due to the difficulties involved in the production of cold LF molecules in the gas phase. However, the fluorescence spectrum of LF embedded in He droplets has been reported and its  $S_1$  origin occurs at 21 511  $\text{cm}^{-1}$ .<sup>33</sup> This value should be close to the  $S_1$  origin of free LF because the interaction of a neutral molecule with the surrounding He droplet is small, leading to estimated shifts of less than 1% ( $<250 \text{ cm}^{-1}$ ).<sup>33</sup> Indeed, the extrapolation of the measured  $S_1$  origins of  $M^+LF$  to  $1/R_M = 0$  (*i.e.*,  $R_M \rightarrow \infty$ , no metal) in Fig. 3 is consistent with this view. Hence, we use in the following the He droplet value for LF as the reference point for  $S_1$  of bare LF to evaluate  $\Delta S_1$  shifts upon complexation with  $M^+$ . Following this strategy, the  $\Delta S_1$  origin shifts amount to  $-2480$  (Cs),  $-2597$  (Rb),  $-2733$  (K),  $-3201$  (Na), and  $-3866$  (Li)  $\text{cm}^{-1}$ , *i.e.* they strongly increase with the  $M^+ \cdots LF$  interaction. These large red shifts are quite substantial (11.5, 12.1, 12.7, 14.9, 18.0%) and indicate that electronic excitation has a drastic impact on the strength of the  $M^+ \cdots LF$  interaction, in line with the large FC activity in the  $S_1 \leftarrow S_0$  transitions.

To identify the  $M^+LF$  isomers responsible for the VISPD spectra in Fig. 2, we first calculate the ground state geometries and adiabatic  $S_1$  origins of low-energy  $M^+LF$  structures. LF offers a variety of attractive binding sites for  $M^+$  cations, namely the lone pairs of the O and N atoms, as well as the aromatic  $\pi$ -electron

**Table 1** Experimental adiabatic  $S_1$  origin energies of  $M^+LF$  (in bold) and their  $\Delta S_1$  shifts (in  $\text{cm}^{-1}$ ) upon metalation compared to values for various isomers calculated at the PBE0/cc-pVDZ level

Isomer	$S_1 \leftarrow S_0$	$\Delta S_1$	Isomer	$S_1 \leftarrow S_0$	$\Delta S_1$
<b>LF(exp)</b>	<b>21 511<sup>a</sup></b>	<b>0</b>	<b>K<sup>+</sup>LF(exp)</b>	<b>18 778</b>	<b>-2733</b>
LF	22 450	0	K <sup>+</sup> LF(O4+)	19 279	-3171
<b>Li<sup>+</sup>LF(exp)</b>	<b>17 645</b>	<b>-3866</b>	K <sup>+</sup> LF(O2+)	23 482	1032
Li <sup>+</sup> LF(O4+)	18 022	-4428	K <sup>+</sup> LF(O2)	23 208	758
Li <sup>+</sup> LF(O2+)	23 341	891	<b>Rb<sup>+</sup>LF(exp)</b>	<b>18 914</b>	<b>-2597</b>
Li <sup>+</sup> LF(O2)	23 137	687	Rb <sup>+</sup> LF(O4+)	19 451	-2999
<b>Na<sup>+</sup>LF(exp)</b>	<b>18 310</b>	<b>-3201</b>	Rb <sup>+</sup> LF(O2)	23 176	726
Na <sup>+</sup> LF(O4+)	18 784	-3666	<b>Cs<sup>+</sup>LF(exp)</b>	<b>19 031</b>	<b>-2480</b>
Na <sup>+</sup> LF(O2+)	23 498	1048	Cs <sup>+</sup> LF(O4+)	19 658	-2792
Na <sup>+</sup> LF(O2)	23 208	758	Cs <sup>+</sup> LF(O2)	23 160	710

<sup>a</sup> Value of LF in He droplet (ref. 33).



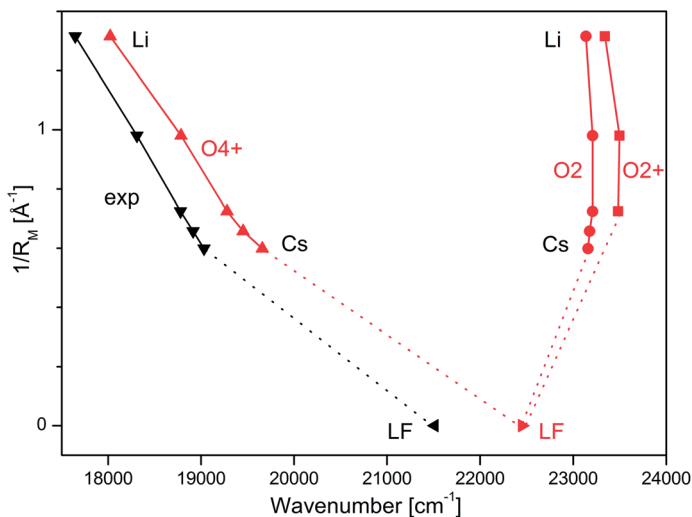


Fig. 3 Experimental  $S_1$  origins extracted from the VISPD spectra of  $M^+LF$  compared to adiabatic  $S_1$  origin energies of the  $O4+$  and  $O2(+)$  isomers of  $M^+LF$  calculated at the PBE0/cc-pVDZ level plotted as a function of the inverse ionic radius of the metal ion ( $1/R_M$ ). The  $M^+LF$  ions with  $M = Rb$  and  $Cs$  do not have a stable  $O2+$  structure. The experimental value for  $LF$  is taken from the He droplet spectrum.<sup>55</sup>

system. In our previous IRMPD and computational study on  $M^+LF$  ions,<sup>29</sup> the most stable structures calculated at the B3LYP/cc-pVDZ level are the  $O4+$ ,  $O2+$ , and  $O2$  isomers shown in Fig. 1. In the  $O4+$  and  $O2+$  ions,  $M^+$  forms strongly bent N–M–O chelates (N5–M–O4, N1–M–O2), which benefit from the interaction of  $M^+$  with the lone pairs of both N and O. In contrast, in the  $O2$  ions, the  $M^+$  ions form a nearly linear bond to the C–O2 carbonyl group. In Table 2, the binding and

Table 2 Binding energies ( $D_0$ ) and relative energies ( $E_0$ ) of various isomers of  $M^+LF$  (in  $\text{kJ mol}^{-1}$ ) calculated at the PBE0/cc-pVDZ and B3LYP/cc-pVDZ levels

Isomer	PBE0/cc-pVDZ		B3LYP/cc-pVDZ <sup>a</sup>	
	$D_0$	$E_0$	$D_0$	$E_0$
$Li^+LF(O4+)$	300.1	0.0	308.6	0.0
$Li^+LF(O2+)$	289.5	10.6	296.7	11.9
$Li^+LF(O2)$	279.7	20.4	288.6	20.0
$Na^+LF(O4+)$	219.7	0.0	226.1	0.0
$Na^+LF(O2+)$	214.2	5.5	218.9	7.2
$Na^+LF(O2)$	209.5	10.2	214.7	11.4
$K^+LF(O4+)$	176.0	0.0	180.2	0.5
$K^+LF(O2+)$	175.5	0.5	179.0	1.7
$K^+LF(O2)$	175.9	0.1	180.7	0.0
$Rb^+LF(O4+)$	157.9	1.0	159.4	2.1
$Rb^+LF(O2)$	158.9	0.0	161.5	0.0
$Cs^+LF(O4+)$	143.9	2.9	145.8	2.4
$Cs^+LF(O2)$	146.8	0.0	148.2	0.0

<sup>a</sup> Ref. 29.



relative energies of these isomers obtained at the B3LYP/cc-pVDZ level<sup>29</sup> are compared to those derived here at the PBE0/cc-pVDZ level, and good agreement is observed. As expected, the binding energies strongly decrease with the size of the  $M^+$  ion (*e.g.*, from 300 to 144 kJ mol<sup>-1</sup> for the O4+ isomer of Li and Cs, respectively). For  $M^+LF$  with  $M = Li$  and  $Na$ , the O4+ isomer is the global minimum and the O2+ and O2 isomers are clearly less stable local minima with relative energies of  $E_0 = 5\text{--}20$  kJ mol<sup>-1</sup>. In contrast, for  $M = K\text{--}Cs$ , the energy spread of all the considered isomers is smaller (<3 kJ mol<sup>-1</sup>), and the O2 isomer is either slightly more stable than, or isoenergetic with, the O4+ isomer. For the larger alkali ions  $Cs^+$  and  $Rb^+$ , the O2+ isomer is not stable, probably because of the repulsive interaction of the bulky  $M^+$  ion with the  $CH_3$  group at N10. This steric interaction between  $M^+$  and the  $CH_3$  groups implies that the O2+ isomers for the smaller alkali ions do not have  $C_s$  symmetry because the  $CH_3$  group rotates out of the plane. In contrast, the geometries of the O4+ and O2 isomers have  $C_s$  symmetry. Details of the computed structures and vibrational frequencies in the  $S_0$  state are discussed elsewhere.<sup>29</sup> Experimentally, the IRMPD spectra of  $M^+LF$  produced by ESI provide clear evidence for the presence of the O4+ and O2(+) isomers for  $M = Li\text{--}K$ , while for  $M = Cs$  only the O2 isomer is clearly identified at room temperature.<sup>29</sup> No experimental information is available for  $Rb^+LF$ .

The first excited  $S_1$  state of LF and  $M^+LF$  involved in the  $S_1 \leftarrow S_0$  transition corresponds to an optically bright  $\pi\pi^*$  excitation of a  $\pi$  electron from the HOMO to the LUMO. The adiabatic  $S_1$  origins predicted for LF and the O4+ and O2(+) isomers of  $M^+LF$  are compared in Table 1 and Fig. 3 to the experimental values extracted from the He droplet spectrum (LF)<sup>33</sup> and the VISPD spectra ( $M^+LF$ ). Clearly, the  $S_1$  origins computed for the O4+ isomers fit the experimental values very well, with respect to both the absolute values and the dependence on  $1/R_M$ . The computed  $S_1$  energies of  $M^+LF$  are systematically larger than the experimental ones by only 377–627 cm<sup>-1</sup> for Li–Cs, which corresponds to 2.1–3.3% of the transition energy. The maximum deviation of 0.08 eV is small for excited state transition energies, indicating that the employed computational level describes the electronic properties of LF well. Similar good performance of this functional has previously been observed for the electronic states of the related  $H^+LC$  and  $M^+LC$  ions.<sup>31,32</sup> The difference for bare LF is somewhat larger (939 cm<sup>-1</sup>), which may be due to the effect of the surrounding He droplet. In contrast to the O4+ ions, the  $S_1$  energies calculated for the O2(+) isomers are much higher than the experimental ones (up to 5696 cm<sup>-1</sup>, 0.71 eV, 32%) and do not depend much on  $1/R_M$  (23 137–23 498 cm<sup>-1</sup>). In addition, they are blue-shifted from the value for LF (by 687–1048 cm<sup>-1</sup>). Hence, from comparison of the experimental and computational  $S_1$  origin energies, the assignment of the experimental VISPD spectra in Fig. 2 can only be to the O4+ isomers. We can safely exclude the other low-energy O2(+) isomers, which are predicted to absorb near 23 000 cm<sup>-1</sup> (435 nm), *i.e.* at much higher energy than the O4+ isomers (17 600–20 000 cm<sup>-1</sup>, 570–500 nm). Overall, the data in Fig. 3 demonstrate that the electronic properties of  $M^+LF$  depend drastically on the site of metalation and on the size of  $M^+$ .

To analyse the vibrational structure in the  $S_1 \leftarrow S_0$  transitions attributed to  $M^+LF(O4+)$ , they are plotted in Fig. 4 as a function of the  $S_1$  internal energy. Similar to the corresponding spectra of  $M^+LC(O4+)$ ,<sup>32</sup> the spectra are dominated by progressions in low-frequency intermolecular  $M^+\cdots LF$  in-plane bend and stretch modes ( $\beta$  and  $\sigma$ ), which strongly vary with  $M^+$ , and higher-frequency





Fig. 4 Expanded view of the experimental VSPD spectra of  $M^+LF$  ( $M = \text{Li}–\text{Cs}$ ) in the vicinity of the  $S_1$  origin as a function of the  $S_1$  internal energy, along with selected vibrational assignments of the O4+ isomers (Table S1 in ESI†).

intramolecular in-plane skeleton modes of the LF chromophore (denoted  $m_1, m_2, \dots$ ), which are relatively independent of  $M^+$ . In an effort to assign the vibronic bands observed in the  $S_1 \leftarrow S_0$  transitions attributed to  $M^+LF(O4+)$ , we carried out FC simulations, with the major goal of extracting the informative  $\beta$  and  $\sigma$  frequencies. These FC simulations are compared in Fig. 5 to the VSPD spectra for the assigned O4+ isomers. The positions of major peaks observed in the VSPD spectra are listed in Table S1 in the ESI,† along with the assignment suggested by the FC simulations. Corresponding simulations for the O2(+) isomers are available in Fig. S2 in the ESI.† Clearly, the FC calculations strongly support the assignment of the VSPD spectra to the O4+ isomers. The FC simulations for O2(+) fit much worse, providing further evidence – in addition to the  $S_1$  origin positions – that these isomers cannot be responsible for the measured VSPD spectra.

As expected from the  $C_s$  symmetry of the  $M^+LF(O4+)$  ions with the planar tricyclic aromatic ring, the FC simulations contain only progressions and combination bands of in-plane modes with  $a'$  symmetry. Overtones and even combination bands of out-of-plane  $a''$  fundamentals have essentially no FC activity. Closer inspection of Fig. 5 and Table S1† reveals that indeed nearly all low-frequency  $a'$  modes have significant FC intensity and are assigned. In the following, we concentrate on the  $\beta$  and  $\sigma$  modes, because they probe the  $M^+ \cdots LF$  interaction (Table 3). Similar to  $M^+LC(O4+)$ , the progressions in  $\sigma$  are well reproduced by the FC calculations, while the intensities predicted for the progressions in  $\beta$  are substantially smaller than the observed ones (in particular for the heavy alkali ions,  $M = \text{K}–\text{Cs}$ ). On the other hand, the computed (experimental) frequencies of  $\beta = 45$  (44), 60 (57), 86 (82), 134 (128), and 375 (368)  $\text{cm}^{-1}$  and  $\sigma = 111$  (108), 130 (124), 162 (157), 240 (234), and 626 (610)  $\text{cm}^{-1}$  for  $M = \text{Cs}–\text{Li}$  match very well for all  $M^+LF(O4+)$  ions (Table 3). Similar to  $M^+LC$ ,<sup>32</sup> the stretch frequencies are roughly twice the bend frequencies (*i.e.*,  $\sigma \sim 2\beta$ ). The frequency





Fig. 5 Experimental VISPD spectra of  $M^+LF$  ( $M = \text{Li-Cs}$ ) compared to FC simulations for the  $M^+LF(\text{O}4^+)$  isomers as a function of the  $S_1$  internal energy (Table S1 in ESI†).

increase for both types of modes along the series  $\text{Cs} \rightarrow \text{Li}$  results from the increasing binding energy and angular anisotropy (*i.e.*, effective force constant) of the potential and the decreasing reduced mass. Applying a pseudodiatomic model, the measured  $\sigma$  frequencies yield force constants of  $k = 149, 68, 49, 58,$

Table 3 In-plane intermolecular  $M^+\cdots\text{LF}$  bend and stretch frequencies (in  $\text{cm}^{-1}$ ) of the  $S_0$  and  $S_1$  states of  $M^+LF(\text{O}4^+)$  with  $M = \text{Li-Cs}$  calculated at the PBE0/cc-pVDZ level compared to experimental values in  $S_1$

	Li			Na			K			Rb			Cs		
	$S_0$	$S_1$	Exp.	$S_0$	$S_1$	Exp.	$S_0$	$S_1$	Exp.	$S_0$	$S_1$	Exp.	$S_0$	$S_1$	Exp.
$\beta$	320	375	368	121	134	128	71	86	82	48	60	57	36	45	44
$\sigma$	618	626	610	240	240	234	155	162	157	124	130	124	107	111	108



and  $60 \text{ N m}^{-1}$  for the  $\text{M}^+\cdots\text{LF}$  bonds with  $\text{M} = \text{Li}-\text{Cs}$ . This rough approach works qualitatively for reproducing the drop in binding energy for Li–K. However, it fails for the larger alkali ions because the  $\sigma$  mode is not a bare pseudodiatom stretch but also involves a large LF in-plane bending component. Thus, the pseudodiatom force constant  $k$  of  $\sigma$  is not necessarily correlated to  $D_0$ . As expected, the  $\beta$  and  $\sigma$  values computed for the  $\text{S}_1$  excited state are systematically larger than those in the  $\text{S}_0$  state, because the  $\text{M}^+\cdots\text{LF}$  interaction becomes stronger upon  $\pi\pi^*$  excitation (Table 3). In fact, the  $\Delta\text{S}_1$  red shift upon metalation directly reflects the increase in the binding energy upon  $\text{S}_1$  excitation. Thus,  $\text{S}_1$  excitation increases the binding energies of  $\text{M}^+\cdots\text{LF}$  by 29.7, 31.1, 32.7, 38.2, and  $46.2 \text{ kJ mol}^{-1}$  for Cs–Li, which corresponds to 20.6, 19.7, 18.6, 17.4, and 15.4%, respectively, assuming the computed PBE0 binding energies for  $\text{S}_0$  listed in Table 2.

As already observed for  $\text{M}^+\text{LC}$ ,<sup>32</sup> the low-frequency intramolecular LF modes of  $\text{M}^+\text{LF}$  do not change much with M. This result is consistent with the view that the  $\pi\pi^*$  excitation is located on the LF chromophore, with essentially no amplitude of the orbital wavefunctions on the  $\text{M}^+$  ion. Computed frequencies are listed in Table S2 in the ESI† along with the suggested experimental assignments. The corresponding normal modes are quite similar to those of the related  $\text{M}^+\text{LC}$  ions discussed in detail elsewhere.<sup>32</sup> A full set of the computed frequencies of LF and  $\text{M}^+\text{LF}$  in  $\text{S}_0$  and  $\text{S}_1$  is available in Table S3 in the ESI.† As expected from the similar orbitals, the intramolecular  $\text{S}_1$  vibronic excitation of  $\text{M}^+\text{LF}(\text{O}4+)$  is similar to the one observed for neutral LF in He droplets.<sup>33</sup> The latter spectrum is dominated by progressions in the lowest frequency mode of up to 3 quanta ( $m_1 = 164 \text{ cm}^{-1}$ ), which also occurs in combination with other low-frequency intramolecular origins. Other low-frequency fundamentals are observed at 274, 403, 440, 513, and  $593 \text{ cm}^{-1}$ . These correspond well to our frequencies calculated for LF in the  $\text{S}_1$  state of 165 ( $m_1$ ), 276 ( $m_2$ ), 409 ( $m_5$ ), 444 ( $m_6$ ), 521 ( $m_8$ ), and  $603 \text{ (}m_{10}) \text{ cm}^{-1}$ . The lowest-frequency modes observed for  $\text{Cs}^+\text{LF}$  in  $\text{S}_1$  (*i.e.*, the  $\text{M}^+\text{LF}$  complex with the weakest perturbation of LF by  $\text{M}^+$ ) are quite similar, with 175 ( $m_1$ ), 276 ( $m_2$ ), 401 ( $m_5$ ), and  $410 \text{ (}m_6) \text{ cm}^{-1}$ . These similarities in the vibronic activity in the excitation spectra of LF and  $\text{M}^+\text{LF}(\text{O}4+)$  confirm that the same electronic state is excited and that the  $\text{M}^+$  ion has only a weak impact on the electronic structure.

Interestingly, not all transitions observed in the VISPD spectra of  $\text{M}^+\text{LF}$  can be assigned by the FC simulations. For example, in the spectrum of  $\text{Na}^+\text{LF}$ , four weaker reproducible transitions appear at 78, 91, 103, and  $116 \text{ cm}^{-1}$  below the first FC active in-plane fundamental ( $\beta = 128 \text{ cm}^{-1}$ , band A). The origin of these transitions is presently less certain. (1) We may safely exclude an assignment to isomers other than  $\text{M}^+\text{LF}(\text{O}4+)$ , because they are predicted to absorb in a very different spectral range (Fig. 3). Hence, the transitions are linked to  $\text{M}^+\text{LF}(\text{O}4+)$ . (2) Thus, one option might be an assignment to  $\text{S}_1 \leftarrow \text{S}_0$  transitions of tagged complexes of  $\text{M}^+\text{LF}(\text{O}4+)$ .<sup>32</sup> Indeed, at low trap temperatures of  $T = 6 \text{ K}$ ,  $\text{M}^+\text{LF}(\text{O}4+)$ –He clusters are formed for the small alkali ions  $\text{Li}^+$  and  $\text{Na}^+$ , because they have large He binding affinities.  $\text{M}^+\text{LF}(\text{O}4+)$ –He absorptions will also be detected in the  $\text{M}^+$  fragment channel. To test this hypothesis, VISPD spectra of  $\text{M}^+\text{LF}(\text{O}4+)$  are recorded at a higher trap temperature ( $T = 13 \text{ K}$ ), at which no He-tagged clusters are formed (as verified by mass spectra, Fig. S3 in the ESI†). Significantly, the appearances of the VISPD spectra at 6 and 13 K are similar (Fig. S4 in the ESI†). In particular, the relative intensities of the transitions do not change. Hence, we may safely exclude contaminating signals from tagged ions. (3)



Additional transitions may also arise from slightly higher-energy singlet states of  $M^+LF(O4+)$ . However, the next allowed and forbidden singlet states are predicted to be much higher in energy, as shown by the vertical transitions for the  $S_1$ – $S_4$  states listed in Table 4. In particular, the  $S_2$  and  $S_3$  states are optically dark  $n\pi^*$  states with zero oscillator strength. The next optically bright  $\pi\pi^*$  state ( $S_4$ ) has a similar oscillator strength to  $S_1$  but is predicted to be far away ( $\Delta\nu = 7000$ – $7300\text{ cm}^{-1}$ ). Low-energy triplet states could be a further option but should be spin-forbidden and are not observed in the spectra of LF embedded in He droplets and the condensed phase. (4) Finally, the additional vibronic bands may arise from  $S_1 \leftarrow S_0$  transitions of  $M^+LF(O4+)$  not included in the FC simulations. For example, FC forbidden transitions, such as out-of-plane LF fundamentals and combination bands of  $a''$  symmetry, could gain intensity by vibronic coupling to other electronic states. Furthermore, the coupling of vibrational excitation to internal rotation of the  $CH_3$  groups may also produce additional transitions (and could explain the observed unresolved doublets/multiplets of the  $S_1$  origins).<sup>48</sup> Such transitions should not depend strongly on the  $M^+$  ion, and indeed several of the low-frequency additional bands are visible in several of the  $M^+LF$  spectra at very similar frequencies. For example, most of the spectra have peaks at roughly 75, 93, 102, and  $145\text{ cm}^{-1}$ . In addition, these transitions have a smaller width than the main bands, indicating an assignment to modes with different vibrational symmetry. Unfortunately, comparison of the predicted frequencies with the observed unexplained transitions does not yield a conclusive assignment because of the lack of reliable calculated intensities. Thus, a detailed assignment of these transitions has to await a more sophisticated theoretical treatment, which is beyond the scope of this work.

In Fig. 6 the geometry changes upon electronic excitation are visualized for the example of  $Li^+LF$ . Corresponding data for the other alkali metals Na–Cs are available in Fig. S5 in the ESI.† The strongest changes take place in rings I and II, because the HOMO and LUMO orbitals involved in the  $S_1 \leftarrow S_0$   $\pi\pi^*$  transition are mostly located on these rings (Fig. 7). Ring I expands along the C5a–C8 axis (by 5.6 pm) accompanied by a moderate contraction of the C7–C9a and the C6–C9 axes ( $-2.3$  and  $-2.0$  pm, respectively). In ring II the maximum change is an elongation along the N5–N10 axis (5.3 pm). Only smaller geometry changes occur in ring III. Both CO bonds slightly elongate by 0.7 pm for all  $M^+LF$

Table 4 Vertical transition energies ( $\nu$  in  $\text{cm}^{-1}$ ,  $\lambda$  in nm) and oscillator strength ( $f$ ) for the first four excited singlet states of LF and  $M^+LF(O4+)$  with  $M = Li$ – $Cs$  calculated at the PBE0/cc-pVDZ level<sup>a</sup>

	$S_1$ ( $\pi\pi^*$ )			$S_2$ ( $n\pi^*$ )			$S_3$ ( $n\pi^*$ )			$S_4$ ( $\pi\pi^*$ )		
	$\nu$	$\lambda$	$f$	$\nu$	$\lambda$	$f$	$\nu$	$\lambda$	$f$	$\nu$	$\lambda$	$f$
Li	20 595	485.56	0.141	25 463	392.72	0.0	27 581	362.57	0.0	27 622	362.03	0.187
Na	21 429	466.66	0.154	25 772	388.02	0.0	27 728	360.65	0.0	28 687	348.59	0.178
K	21 946	455.66	0.162	26 197	381.73	0.0	27 680	361.27	0.0	29 208	342.37	0.174
Rb	22 142	451.63	0.164	26 328	379.83	0.0	27 672	361.38	0.0	29 417	339.94	0.171
Cs	22 306	448.30	0.167	26 506	377.28	0.0	27 630	361.92	0.0	29 530	338.64	0.169
LF	25 236	396.26	0.213	26 121	382.84	0.0	27 585	362.51	0.0	32 250	310.08	0.136

<sup>a</sup> Corresponding data for the  $O2(+)$  isomers are available in Table S5 in ESI.







Fig. 7 Natural transition orbitals involved in the electronic  $S_1 \leftarrow S_0$  ( $\pi\pi^*$ ) transition (LUMO  $\leftarrow$  HOMO) of LF and  $\text{Li}^+\text{LF}$  computed at the PBE0/cc-pVDZ level. For comparison, the corresponding orbitals for LC are shown as well (for LC the  $\pi\pi^*$  transition is  $S_2$  and corresponds to LUMO  $\leftarrow$  HOMO-1).<sup>31,32</sup>

impact on the strength of the  $\text{M}^+\cdots\text{LF}$  interaction. Fig. 8 details the NBO partial charges in both the  $S_0$  and  $S_1$  states of the LF chromophore. Significantly, the negative partial charge on N5 increases substantially from  $-0.376$  to  $-0.454e$  (by  $0.078e$ ), while the corresponding increase in negative charge on O4 is smaller (from  $-0.577$  to  $-0.592e$ ,  $\Delta q_{\text{O4}} = 0.015e$ ). As a result, the interaction of  $\text{M}^+$  with N5 becomes much stronger in  $S_1$ , while that of  $\text{M}^+$  with O4 hardly changes. This view is consistent with the result that  $S_1$  excitation leads to a drastic contraction of the M–N5 bond (by 5.9–16 pm for Li–Cs), while the M–O4 bond contraction is negligible ( $\leq 1.1$  pm). The stronger  $\text{M}^+\cdots\text{LF}$  interaction in the  $S_1$  excited state is compatible with the observed  $\Delta S_1$  red shifts. Finally, the strength of the  $\text{M}^+\cdots\text{LF}$  interaction scales with the magnitude of the small but noticeable charge transfer from  $\text{M}^+$  to LF. While the positive partial charges on  $\text{M}^+$  are 0.881, 0.922, 0.922, 0.928, and 0.917 $e$  for Li<sup>+</sup>–Cs<sup>+</sup> in the  $S_0$  state, they are systematically smaller in the corresponding  $S_1$  state (0.860, 0.908, 0.909, 0.915, and 0.906 $e$ ). Clearly, the charge transfer in  $S_0$  is largest from Li<sup>+</sup> to LF ( $\Delta q = 0.12e$ ), because Li<sup>+</sup> $\cdots\text{LF}$  has the by far strongest bond. In addition, the enhancement of the charge transfer upon electronic excitation is also largest for this complex ( $\Delta q = 0.021e$ ), because the increase in binding energy upon  $\pi\pi^*$  excitation is most pronounced (Table S4 in the ESI<sup>†</sup>). In contrast to O4/N5, the negative charge density decreases at N1/O2 upon  $S_1$  excitation which reduces the  $\text{M}^+\cdots\text{LF}$  interaction in the O2(+) isomers and explains their blue shifts in  $\Delta S_1$ .

To unravel more details about the VISPD process of  $\text{M}^+\text{LF}(\text{O4}^+)$ , the dependence of the  $\text{M}^+$  fragment yield on the laser pulse energy is considered for excitation of the  $S_1$  origin. For the heavy alkali ions Na–Cs, a linear dependence of the  $\text{M}^+$  fragment ion yield is observed over a wide range (0–2.5 mJ), while for Li the dependence is nonlinear, indicating the VISPD process requires the absorption of a single photon for Na–Cs and two photons for Li (Fig. S6 in the ESI<sup>†</sup>). This result is consistent with the binding energies calculated for the  $S_0$  state ( $D_0 \sim 12\,030$ ,



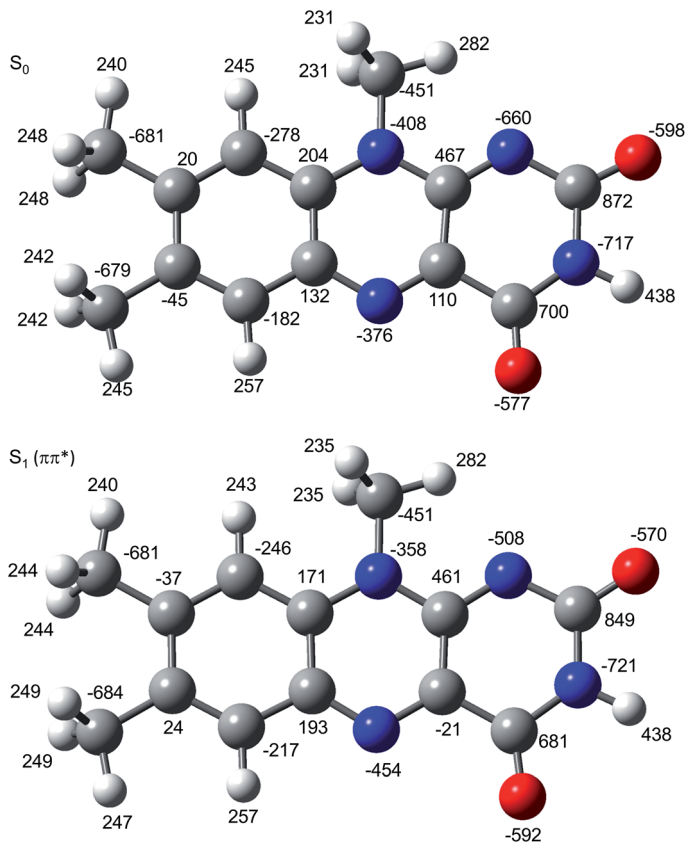


Fig. 8 Atomic charge distribution of LF (in  $10^{-3}e$ ) in the  $S_0$  and  $S_1$  states using natural bond orbital analysis.

13 200, 14 710, 18 370, 25 090  $\text{cm}^{-1}$  for Cs-Li), which are substantially lower than the measured  $S_1$  origins for  $M^+LF$  with  $M = \text{Cs-K}$  ( $S_1 = 19\,031, 18\,914, 18\,778\ \text{cm}^{-1}$ ), roughly the same for  $M = \text{Na}$  ( $S_1 = 18\,310\ \text{cm}^{-1}$ ), and much larger for  $M = \text{Li}$  ( $S_1 = 17\,645\ \text{cm}^{-1}$ ). Thus, according to these data, single-photon absorption should be sufficient for dissociation of  $M^+LF$  with  $M = \text{Cs-Na}$ , while at least two photons are required to dissociate  $\text{Li}^+LF$ . Although the photodissociation mechanism is not clear, we assume that the VISPD process occurs by internal conversion from the excited electronic state ( $S_1$  for Na-Cs,  $S_{n>1}$  for Li) to the  $S_0$  state followed by statistical dissociation on the ground state.

It is instructive to compare the optical properties of  $M^+LF$  with those determined recently for the related  $M^+LC$  complexes using the same experimental and computational approach.<sup>32</sup> LF differs from LC such that LF has a  $\text{CH}_3$  group at N10, while LC has a H atom at N1. The  $S_1$  state of both flavins arises from  $\pi\pi^*$  excitation and the involved orbitals are quite similar for both molecules (Fig. 7) and closely resemble those reported for 10-methyl-isoalloxazine.<sup>23</sup> As the LF orbitals are slightly more delocalized than those of LC, with a modest contribution on the additional  $\text{CH}_3$  group at N10, the orbital energies and corresponding  $\pi\pi^*$  transition energies are lower for LF. For example, the computed adiabatic  $S_1$



origin of LF at  $22\,450\text{ cm}^{-1}$  is strongly red shifted compared to the corresponding  $S_2$  state of LC at  $25\,899\text{ cm}^{-1}$  (by  $3449\text{ cm}^{-1}$ ), in agreement with experimental observations. We note that in LC, the first  $\pi\pi^*$  state ( $S_2$ ) lies slightly above the first  $n\pi^*$  state ( $S_1$ ), while the situation is reversed for LF. For both metalated flavins, the observed VISPD spectra are assigned to the O4+ isomers, forming N5–M–O4 chelates with similar binding energies of 139–296 and 144–300  $\text{kJ mol}^{-1}$  for  $M^+LC$  and  $M^+FL$  with  $M = \text{Cs–Li}$ , respectively. Since the  $M^+$  binding site is far away from the position of the relevant  $\text{CH}_3/\text{H}$  groups, and  $\pi\pi^*$  excitation involves essentially the same orbitals, the large red shifts upon electronic excitation are similar for the O4+ isomers of both metalated flavins. For example, the  $-\Delta S_1$  values computed for  $M^+LF$  ( $2792\text{--}4428\text{ cm}^{-1}$ ) are comparable to those of  $M^+LC$  ( $3182\text{--}5142\text{ cm}^{-1}$ ), again in good agreement with the experimental observations. Because of the similar bonding in  $M^+LC(\text{O4+})$  and  $M^+LF(\text{O4+})$  and the comparable mass of LC and LF ( $m/z$  242 versus 256), the intermolecular  $M^+\cdots$ flavin frequencies  $\beta$  and  $\sigma$  are nearly the same, too. For example,  $\beta = 45\text{--}350$  versus  $45\text{--}368\text{ cm}^{-1}$  and  $\sigma = 108\text{--}595$  versus  $108\text{--}610\text{ cm}^{-1}$  are measured in the  $S_1$  excited state. The main differences between LC and LF occur at the O2(+) binding site, because in the LC tautomer the H atom is bonded to N1, while in LF the free lone pair of N1 is available for bonding with  $M^+$  (and  $\text{H}^+$ ).<sup>28,31,32</sup> Hence,  $M^+LC$  can only form O2 but not O2+ isomers for steric reasons. In addition, while  $\text{H}^+LC$  prefers protonation at N5, for  $\text{H}^+LF$  the O2+ tautomer was observed.<sup>27</sup>

## Concluding remarks

In summary, the VISPD spectra of  $M^+LF$  with  $M = \text{Li–Cs}$  presented herein correspond to the first optical spectra of metalated LF complexes in the gas phase and provide a first impression of the effects of alkali metalation on the absorption properties of this simple flavin molecule. Significantly, cryogenic cooling of the ions is mandatory for achieving vibronic resolution in the excitation spectra and thus provides detailed experimental information about the changes in geometric, vibrational, and electronic structure upon electronic excitation, which cannot be obtained with room-temperature spectra. The analysis of the VISPD spectra with the aid of TD-DFT calculations coupled to multidimensional FC simulations allows for an unambiguous assignment of the spectra observed in the 500–570 nm range to transitions of the O4+ isomer into the optically bright first excited singlet state ( $S_1 \leftarrow S_0$ ) which has  $\pi\pi^*$  character. The good agreement between the observed adiabatic  $S_1$  origins and those predicted at the PBE0/cc-pVDZ level ( $<0.1\text{ eV}$ ) indicates that this economic DFT level reliably describes the electronic structure of flavin molecules. Because the other low-energy O2(+) isomers absorb in a rather different optical range, the recorded VISPD spectra of the O4+ ions are highly isomer-selective. This is in contrast to previous IRMPD spectra, in which absorptions of these isomers occur in the same spectral range and strongly overlap.<sup>29</sup> The intramolecular vibronic structure observed for  $M^+LF$  is relatively independent of  $M^+$  and similar to that of LF, because the molecular orbitals involved in the  $S_1 \leftarrow S_0$  transition do not cover the  $M^+$  ion. As a result, the large  $\Delta S_1$  red shifts upon  $M^+$  complexation (up to  $\sim 4000\text{ cm}^{-1}$  or  $\sim 100\text{ nm}$ ) can be traced back to the increase in the intermolecular  $M^+\cdots$ LF interaction upon  $S_1$  excitation (up to  $\sim 20\%$ ). This effect is specific to the O4+ metal binding site and



can be explained by the significant electron transfer to the N5 and O4 atoms upon  $\pi\pi^*$  excitation, which increases the local electrostatic interaction of LF with the  $M^+$  cation. Although the major vibronic structure in the VISPD spectra of  $M^+LF(O4+)$  could be reproduced by simple FC simulations, the reliable explanation of minor absorptions tentatively attributed to vibronic coupling and/or internal  $CH_3$  rotation requires a more sophisticated computational treatment. In many aspects, the photochemical properties of the O4+ isomers of  $M^+LF$  are similar to those of  $M^+LC$ ,<sup>32</sup> because the molecular orbitals involved in the  $S_1 \leftarrow S_0$  transition are only slightly affected by the structural differences of these two flavins.

In future work, this VISPD study on  $M^+LF$  can be extended along several directions. First, VISPD spectra recorded at shorter wavelengths are to be recorded to find and characterize higher excited singlet states of the O4+ isomers predicted in the 300–400 nm range (Table 4) and to search for the  $S_1$  absorption of the O2(+) isomers, which were previously identified in the population of ESI-generated ions by IRMPD and have predicted  $S_1$  origins in the 23 000–24 000  $cm^{-1}$  (415–435 nm) range (Table 1). Second,  $M^+LF$  complexes with transition metal ions (*e.g.*,  $Cu^+-Au^+$ ) and multiply charged ions (*e.g.*,  $Fe^{2+}$ ,  $Mg^{2+}$ ) are interesting targets<sup>29</sup> because of their biological relevance. Third, the optical spectrum of  $H^+LF$  is particularly interesting because the preferred protonation site of LF (O2+) is different from the metalation site observed here for  $M^+LF$  (O4+). Initial VISPD spectra for  $H^+LF$  reveal indeed absorptions near 23 100  $cm^{-1}$  consistent with O2+ protonation. Fourth, microhydrated clusters of  $M^+LF/H^+LF$  could provide detailed insight into the effects of stepwise solvation on the photochemical properties of these flavins, which according to solution experiments strongly depend on the considered excited state.

As a general conclusion, the combined approach of cryogenic ion spectroscopy coupled to TD-DFT calculations and FC simulations is a powerful tool to expand our knowledge of the photochemical and photophysical properties of flavins. Our initial promising studies on the smaller flavins LC and LF pave the way to larger and biologically more relevant flavins, such as RF, FMN, and FAD. Because these more complex molecules have flexible side chains, the detailed determination of their conformation-dependent photochemical properties is more challenging and requires the application of multiple-resonance laser schemes such as VIS/VIS or IR/VIS approaches.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

This work was supported by Deutsche Forschungsgemeinschaft (DFG, DO 729/6). M. M. is grateful for a senior research fellowship from the Alexander von Humboldt Foundation (2017–2019). O. D. acknowledges travel support from the World Research Hub Initiative (WRHI) of Tokyo Institute of Technology (Japan). Part of the computations was performed at the Research Center for Computational Science in Okazaki (Japan).



## References

- 1 K. H. Dudley, P. Hemmerich, F. Müller and A. Ehrenberg, *Helv. Chim. Acta*, 1964, **47**, 1354–1383.
- 2 P. F. Heelis, *Chem. Soc. Rev.*, 1982, **11**, 15–39.
- 3 V. Massey, *Biochem. Soc. Trans.*, 2000, **28**, 283–296.
- 4 E. Silva and A. Edwards, *Flavins, Photochemistry, and Photobiology*, RSC Publishing, Cambridge, 2006.
- 5 W. Buckel and R. K. Thauer, *Chem. Rev.*, 2018, **118**, 3862–3886.
- 6 W. J. Rutter, *Acta Chem. Scand.*, 1958, **12**, 438–446.
- 7 I. F. Baarda and D. E. Metzler, *Biochim. Biophys. Acta*, 1961, **50**, 463–471.
- 8 P. Bamberg and P. Hemmerich, *Helv. Chim. Acta*, 1961, **44**, 1001–1011.
- 9 F. Müller, P. Hemmerich and A. Ehrenberg, *Eur. J. Biochem.*, 1968, **5**, 158–164.
- 10 A. W. Varnes, E. L. Wehry and R. B. Dodson, *J. Am. Chem. Soc.*, 1972, **94**, 946–950.
- 11 J. Lauterwein, P. Hemmerich and J. M. Lhoste, *Inorg. Chem.*, 1975, **14**, 2152–2161.
- 12 J. Lauterwein, P. Hemmerich and J. M. Lhoste, *Inorg. Chem.*, 1975, **14**, 2161–2168.
- 13 M. Benecky, T. Y. Yu, K. L. Watters and J. T. McFarland, *Biochim. Biophys. Acta*, 1980, **626**, 197–207.
- 14 S. Fukuzumi and T. Kojima, *J. Biol. Inorg. Chem.*, 2008, **13**, 321–333.
- 15 I. Ahmad, Z. Anwar, S. Ahmed, M. A. Sheraz and S. Khattak, *J. Photochem. Photobiol., B*, 2017, **173**, 231–239.
- 16 E. Sikorska, I. V. Khmelinskii, W. Prukała, S. L. Williams, M. Patel, D. R. Worrall, J. L. Bourdelande, J. Koput and M. Sikorski, *J. Phys. Chem. A*, 2004, **108**, 1501–1508.
- 17 W. Holzer, J. Shirdel, P. Zirak, A. Penzkofer, P. Hegemann, R. Deutzmann and E. Hochmuth, *Chem. Phys.*, 2005, **308**, 69–78.
- 18 A. Tyagi and A. Penzkofer, *J. Photochem. Photobiol., A*, 2010, **215**, 108–117.
- 19 A. Penzkofer, A. Tyagi and J. Kiermaier, *J. Photochem. Photobiol., A*, 2011, **217**, 369–375.
- 20 C. Neiss, P. Saalfrank, M. Parac and S. Grimme, *J. Phys. Chem. A*, 2003, **107**, 140–147.
- 21 J. Hasegawa, S. Bureekaew and H. Nakatsuji, *J. Photochem. Photobiol., A*, 2007, **189**, 205–210.
- 22 S. Salzmann and C. M. Marian, *Chem. Phys. Lett.*, 2008, **463**, 400–404.
- 23 S. Salzmann, J. Tatchen and C. M. Marian, *J. Photochem. Photobiol., A*, 2008, **198**, 221–231.
- 24 S. Salzmann and C. M. Marian, *Photochem. Photobiol. Sci.*, 2009, **8**, 1655–1666.
- 25 B. Klaumünzer, D. Kröner and P. Saalfrank, *J. Phys. Chem. B*, 2010, **114**, 10826–10834.
- 26 R. J. Platenkamp, H. D. Van Osnabrugge and A. J. W. G. Visser, *Chem. Phys. Lett.*, 1980, **72**, 104–111.
- 27 J. Langer, A. Günther, S. Seidenbecher, G. Berden, J. Oomens and O. Dopfer, *ChemPhysChem*, 2014, **15**, 2550–2562.
- 28 A. Günther, P. Nieto, G. Berden, J. Oomens and O. Dopfer, *Phys. Chem. Chem. Phys.*, 2014, **16**, 14161–14171.



- 29 P. Nieto, A. Günther, G. Berden, J. Oomens and O. Dopfer, *J. Phys. Chem. A*, 2016, **120**, 8297–8308.
- 30 A. Günther, P. Nieto, D. Müller, A. Sheldrick, D. Gerlich and O. Dopfer, *J. Mol. Spectrosc.*, 2017, **332**, 8–15.
- 31 A. Sheldrick, D. Müller, A. Günther, P. Nieto and O. Dopfer, *Phys. Chem. Chem. Phys.*, 2018, **20**, 7407–7414.
- 32 P. Nieto, D. Müller, A. Sheldrick, A. Günther, M. Miyazaki and O. Dopfer, *Phys. Chem. Chem. Phys.*, 2018, **20**, 22148–22158.
- 33 A. Vdovin, A. Slenczka and B. Dick, *Chem. Phys.*, 2013, **422**, 195–203.
- 34 M. H. Stockett, *Phys. Chem. Chem. Phys.*, 2017, **19**, 25829–25833.
- 35 L. Giacomozzi, C. Kjær, J. Langeland Knudsen, L. H. Andersen, S. Brøndsted Nielsen and M. H. Stockett, *J. Chem. Phys.*, 2018, **148**, 214309.
- 36 J. N. Bull, E. Carrascosa, L. Giacomozzi, E. J. Bieske and M. H. Stockett, *Phys. Chem. Chem. Phys.*, 2018, **20**, 19672–19681.
- 37 E. Matthews and C. E. H. Dessent, *J. Phys. Chem. Lett.*, 2018, **9**, 6124–6130.
- 38 E. Matthews, R. Cercola and C. E. H. Dessent, *Molecules*, 2018, **23**, 2036.
- 39 K. Lincke, J. L. Knudsen, A. Ø. Madsen, H. V. V. Kiefer, L. Skov, E. Gruber, K. V. Mikkelsen, L. H. H. Andersen and M. B. Nielsen, *Phys. Chem. Chem. Phys.*, 2018, **20**, 28678–28684.
- 40 T. R. Rizzo, J. A. Stearns and O. V. Boyarkin, *Int. Rev. Phys. Chem.*, 2009, **28**, 481–515.
- 41 N. S. Nagornova, T. R. Rizzo and O. V. Boyarkin, *Science*, 2012, **336**, 320–323.
- 42 M. J. Frisch, *et al.*, *GAUSSIAN09, Rev. D.01*, Gaussian, Inc., Wallingford CT, 2009.
- 43 I. S. Lim, P. Schwerdtfeger, B. Metz and H. Stoll, *J. Chem. Phys.*, 2005, **122**, 104103.
- 44 C. M. Western, *J. Quant. Spectrosc. Radiat. Transfer*, 2017, **186**, 221–242.
- 45 R. L. Martin, *J. Chem. Phys.*, 2003, **118**, 4775–4777.
- 46 E. D. Glendening, J. K. Badenhoop, A. E. Reed, J. E. Carpenter, J. A. Bohmann, C. M. Morales, C. R. Landis and F. Weinhold, *NBO 6.0, Theoretical Chemistry*, University of Wisconsin, Madison, 2013.
- 47 R. D. Shannon, *Acta Crystallogr., Sect. A: Cryst. Phys., Diffr., Theor. Gen. Crystallogr.*, 1976, **32**, 751–767.
- 48 P. J. Breen, J. A. Warren, E. R. Bernstein and J. I. Seeman, *J. Chem. Phys.*, 1987, **87**, 1917–1926.

