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A mini review on photocatalytic lignin conversion into monomeric aromatic compounds†

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To meet the growing demand for chemicals and energy while minimizing environmental impact, it is necessary to employ sustainable resources instead of fossil fuel feedstock and develop green processes to upgrade the chemical industry. Lignin, as the only abundant natural aromatic structured biomass, has been identified as a promising feedstock for producing monomeric aromatics through the depolymerisation process. However, the robust structure of lignin, coupled with the limitations of conventional catalytic methods, presents significant challenges for converting lignin into aromatic monomers. Recently, photocatalysis has emerged as a remarkable platform for versatile lignin transformation and has achieved some significant progress. The unique photogenerated reactive species as well as the mild reaction conditions enable the selective cleavage of targeted interunit bonds in lignin while preserving the aromatic structures and functional groups for further processing. In this review, we aimed to provide an overview of the state-of-the-art advances in key photocatalytic processes applied for the conversion of lignin using various photocatalysts. This review focuses on the latest investigations into lignin conversion mechanisms, emphasizing selective C–O and C–C bond cleavage. Additionally, we discuss catalyst modification strategies and key factors influencing the lignin conversion process based on significant recent publications. Furthermore, we elucidate future perspectives and challenges for the photocatalytic conversion of lignin into valuable products. Hopefully, this mini review will inspire future work on the photocatalytic lignin conversion process to achieve lignin valorisation and a sustainable supply of aromatic chemicals.

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1. Introduction

1.1. Background

As global awareness of climate change and the depletion of fossil resources intensifies, the transformation of the traditional chemical industry, which remains predominantly reliant on fossil fuel feedstocks, has emerged as a critical societal challenge in the 21st century. In this context, lignocellulosic biomass, the most abundant renewable carbon source, has gained considerable attention as a promising alternative to unsustainable fossil fuel feedstocks for producing chemical products.^{1–5} Among the three primary components of lignocellulose, lignin serves as both the

“structural glue” and “protective barrier,” binding cellulose and hemicellulose together while safeguarding plant tissues from external environmental damage.^{2,6–9} Lignin, typically comprising over 15 wt% of lignocellulose, represents the only polymer bioresource with aromatic structure available in substantial quantities.⁷ The current lignocellulose biorefinery industry primarily emphasizes the utilization of carbohydrates (cellulose and hemicellulose) from lignocellulosic biomass as sustainable feedstocks to produce high-value non-aromatic chemicals. Annually, over 70 million tons of lignin are generated from the paper manufacturing and biorefinery sectors, with yields anticipated to increase as an increasing number of biorefineries are being commissioned. Nevertheless, lignin is often regarded as waste or is utilized as a low-quality fuel, which is a significant resource wastage and leading to potential environmental pollution issues.¹⁰

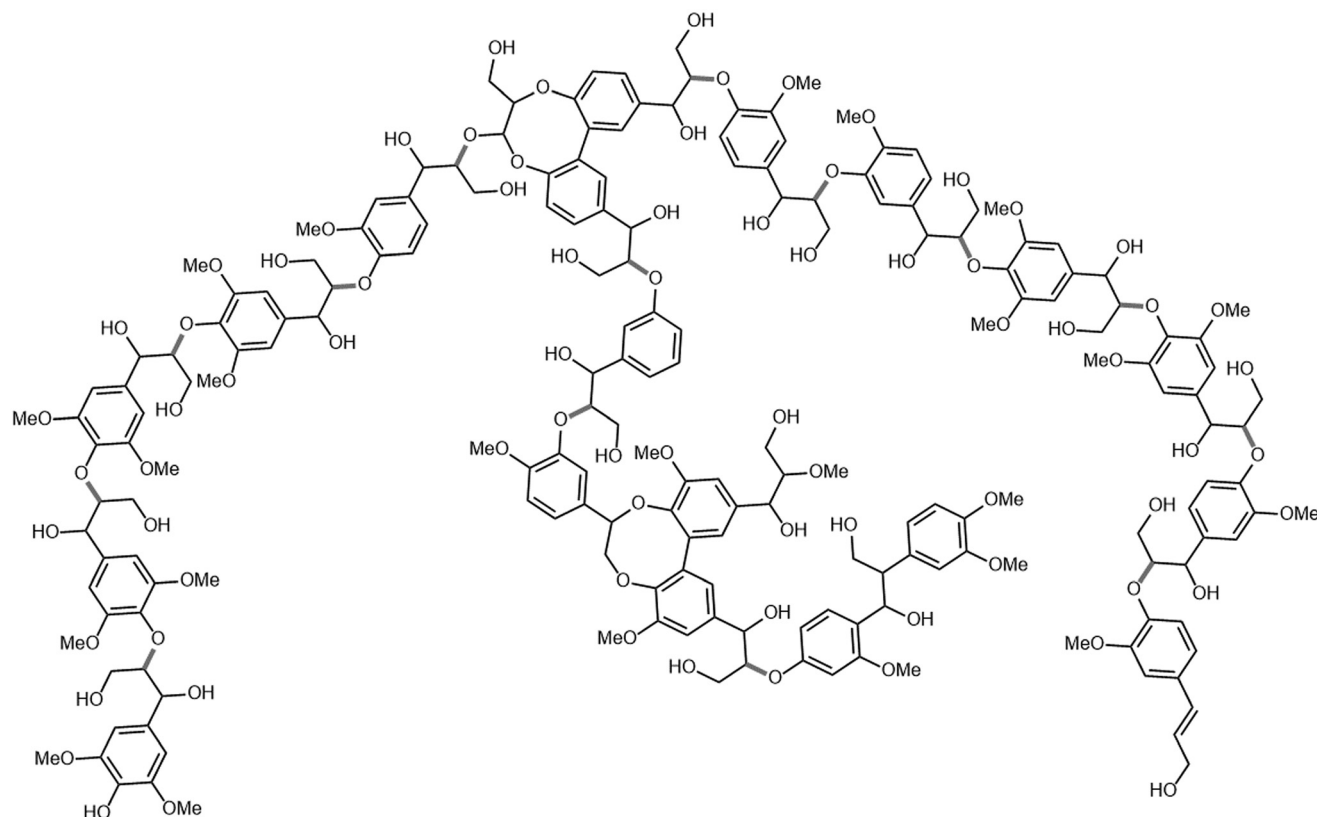


Fig. 1 Representative structure models of lignin and typical linkages (this is a simplified model for illustrating the types of monolignols in lignin structure, it is not the accurate structural formula of lignin).

interconnected by C–C bonds (referred to as condensed linkages) and C–O bonds (also known as ether linkages). Among these interunit linkages, C–O linkages, particularly C_{β} –O linkages, are the predominant type in lignin and typically account for over 50% of all interunit bonds between aromatic monomers.^{3,6,11–13} Therefore, the conversion of lignin into aromatic monomeric products through the cleavage of C_{β} –O linkages has been extensively studied and is regarded as a promising strategy for lignin valorisation. However, it is important to note that the structure of lignin biomass can be altered by various isolation methods, which may lead to the formation of more recalcitrant C–C bonds during lignin degradation and repolymerization processes. For instance, kraft lignin, produced from the papermaking industry under harsh alkaline conditions during the delignification stage, typically contains a significant amount of C–C bonds rather than C–O bonds.¹⁴ The fragmentation of C–C bonds should also be systematically investigated to fully utilise lignin bioresources. Due to the complex structure and recalcitrant nature of natural lignin, it is challenging to monitor and understand the reaction chemistry and mechanism of the lignin conversion process. In this case, some aromatic dimers consisting of two aromatic monomers connected by specific C–O or C–C bonds, resembling the interunit chemical bonds in lignin, are widely employed as reactants to mimic the chemical properties and reactive behaviours of the corresponding chemical bonds in lignin.

Therefore, the experiments could be simplified and enable more detailed investigations of reaction mechanisms based on the defined structures of lignin model compounds. The typical lignin model compounds employed in the literature are listed in Table S2 in the ESI.† For example, 2-phenoxy-1-phenylethanol, which contains a C_{β} –O linkage and a hydroxyl group, is a typical and representative lignin model compound that has been frequently used as the reactant to study the selective cleavage of the C_{β} –O bond and C_{α} – C_{β} bond in numerous studies.^{15–22} In addition to converting lignin into aromatic monomers, the reforming of lignin for hydrogen production also represents another viable strategy for lignin valorisation.^{23–25}

However, the conversion of lignin into valuable chemicals remains a considerable challenge due to its inherent recalcitrance and complex structure.^{6,22,26,27} Two conventional thermocatalytic strategies have been developed for converting lignin into chemical products. The first involves the gasification and pyrolysis of lignin biomass to produce syngas (*e.g.*, H_2 and CO) or other small molecules. The second strategy focuses on the extensive cleavage of bonds in lignin to produce simple aromatic compounds such as benzene, toluene, and xylene. As shown in Fig. 2, various conventional lignin processing approaches were briefly summarised with the corresponding reaction conditions. In general, lignin processing methods involving acids and bases, as well as oxidative and reductive reagents, can



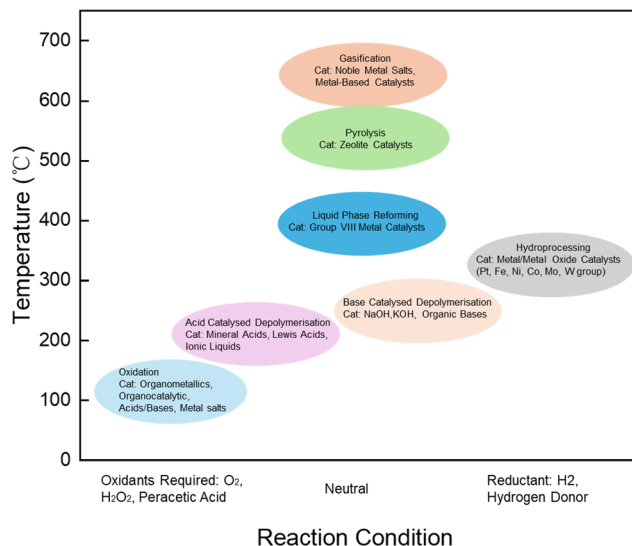


Fig. 2 A brief summary of conventional lignin conversion processes and the corresponding required reaction conditions.²⁸

typically be conducted at relatively lower temperatures, whereas processes such as pyrolysis and gasification require significantly higher operating temperatures.²⁸ Among them, lignin oxidation is generally performed at lower temperatures, yielding fine or platform chemicals such as aromatic alcohols, aldehydes, and acids. The reductive hydroprocessing is typically carried out at temperatures ranging from 100 to 350 °C and requiring additional hydrogen donors to produce simple aromatic compounds such as toluene, benzene, phenol, *etc.* Monomeric aromatic compounds can also be obtained through acid/base catalysed depolymerization of lignin, which selectively cleaves C–O or C–C linkages between lignin units. However, conventional thermocatalytic processes often demand excessive chemical reagents (*e.g.*, acids or bases for hydrolysis) and operate under harsh reaction conditions (*e.g.*, high temperature, pressure, and additional hydrogen). These conditions result in significant energy and resource consumption and frequently cause side reactions that generate unwanted by-products, degrading the valuable aromatic moieties and functional groups in lignin (*e.g.*, the simultaneous cracking of aliphatic ether bonds and saturation of arene rings).^{1,8,29,30}

Since the aromatic monomeric units in lignin are predominantly linked by C–O and C–C bonds, recent efforts in lignin conversion have concentrated on selectively cleaving these bonds under relatively mild conditions. This strategy allows for the preservation of the natural functionalized aromatic structures, enabling the production of value-added aromatic compounds.^{3,11,22} Inspired by the photosynthesis of natural plants, photocatalysis, which is also driven by the abundant and green energy from the sun, has been deemed as a promising approach to solve many environmental problems. Compared to traditional energy-intensive thermochemical catalysis, photocatalysis powered by sustainable

attention.^{33–35} Subsequently, heterogeneous photocatalysis garnered widespread interest, and its capabilities in CO₂ reduction, pollution abatement, fine chemical synthesis, fuel production, and other applications were gradually demonstrated and explored.^{36,37} Furthermore, numerous semiconductor materials beyond metal oxides, such as metal sulphides and carbon nitrides, have been extensively investigated for their photocatalytic properties. In recent decades, the development of photocatalysis has been significantly accelerated by intensive efforts from researchers worldwide, demonstrating its immense potential in the fields of environmental and energy science.

Unlike metal crystal conductors, semiconductors have discontinuous energy bands. Specifically, the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) are separated by a region of forbidden electronic states, commonly referred to as the bandgap of semiconductors. Herein, the highest electron-occupied band is known as the valence band (VB), while the lowest unoccupied band is known as the conduction band (CB). Typically, in a photocatalytic system, the light-harvesting centres and active catalytic sites are the key components. In heterogeneous photocatalytic systems, semiconductor materials generally serve as the light-harvesting centres, while the active catalytic sites are typically located on the surface of the semiconductor or on other components (*e.g.*, metal nanoparticles) integrated with semiconductor materials. As shown in Fig. 3, the mechanism of semiconductor photocatalysis can generally be described in the following stages:

i) When photons from light irradiation with energy ($h\nu$) equal to or greater than the bandgap of the semiconductor photocatalyst are absorbed by the light-harvesting centres, electrons in the valence band (VB) are excited and transferred to the conduction band (CB), resulting in the formation of

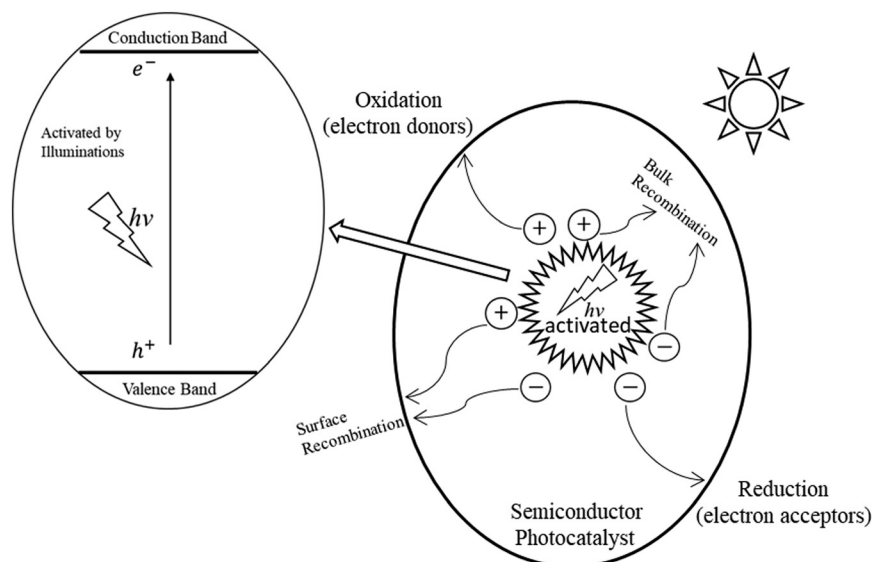
electron-hole pairs, with electrons in the CB and holes remaining in the VB.

ii) The photogenerated electrons and holes, once separated from the light-harvesting centres, may recombine either within the bulk or on the surface of the catalysts, resulting in energy dissipation. It is important to note that the recombination of these photogenerated charges reduces catalytic activity, ultimately leading to lower quantum efficiency of the photocatalysts.

iii) The photoexcited electrons and holes migrate to the catalytic sites on the surface of the catalysts, where they can react with the adsorbed species (electron acceptors and donors), thereby initiating the corresponding reduction and oxidation reactions.

Based on the bandgap excitation mechanism of photocatalysis, the photocatalytic process can be divided into three key stages: (1) the generation of photoexcited electron-hole pairs at the light-harvesting centres; (2) the separation and transfer of photogenerated charges from the light-harvesting centres to the active catalytic sites; and (3) the adsorption and activation of reactant species at the active catalytic sites.^{38–41} In general, the overall photocatalytic performance is primarily determined by the influential factors arising from the processes in the above stages.

At first, the light absorption capability of the light-harvesting centres and the bandgap structure of semiconductors are fundamental aspects of photocatalysis. Given that the natural sunlight spectrum contains only about 5% ultraviolet (UV, 300–400 nm) light, it is crucial to develop catalysts with optimal bandgaps that can be excited by visible light, enabling efficient solar energy utilisation and the generation of photoinduced electron-hole pairs.⁴² Herein, the bandgap energy could be determined by the equation of electronic absorption spectra:



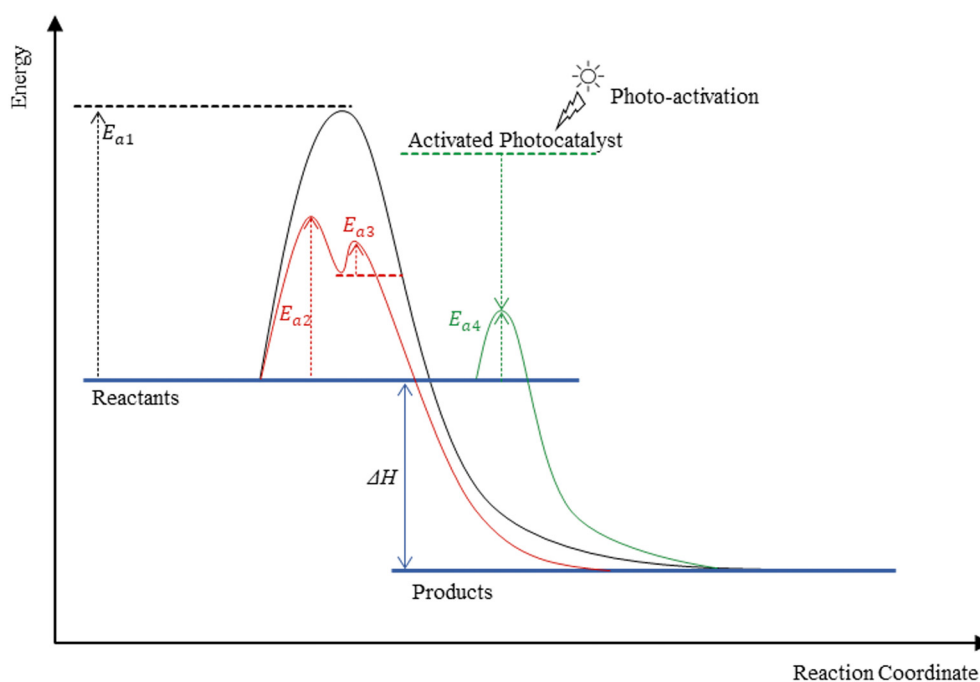
$$E_{\text{bandgap}} = \frac{hc}{\lambda}$$

where h is Planck's constant, c is the velocity of light and λ is the wavelength of light. According to the above equation, the bandgap energy should be lower than 3.1 eV to effectively absorb visible light. Meanwhile, the oxidising and reducing capabilities of photocatalysts are directly related to the edges of the valence band maximum (VBM) and conduction band minimum (CBM), respectively. Generally, a more positive VBM indicates a stronger oxidation potential, while a more negative CBM reflects enhanced reduction ability.¹⁶ The semiconductors with narrow bandgaps may exhibit good light absorption; however, their relatively positive conduction band (CB) potentials often result in poor thermodynamic reduction capability, particularly for reducing adsorbed species such as O_2 , H_2O , and CO_2 . Therefore, to ensure the thermodynamic feasibility of photocatalytic reactions, the CBM and VBM potentials of semiconductor photocatalysts must be purposefully designed to align with the potentials of electron acceptors and donors involved in the photocatalytic process.

The subsequent separation and transfer of photoexcited charges must overcome the challenge of recombination of the photoinduced electron-hole pairs, which is considered as one of the primary factors limiting the overall photocatalytic performance. In fact, the majority of photogenerated charges are lost due to recombination during the photocatalytic process.³⁹ From a timescale perspective, the recombination of electron-hole pairs is a rapid process occurring within the picosecond (ps) range, while the transfer of photogenerated charges to the catalytic sites typically takes hundreds of picoseconds. Moreover, the reactions between photoinduced

charge carriers and adsorbed reactants, however, generally require durations ranging from nanoseconds (ns) to microseconds (μs).^{43,44} Therefore, the key to achieving high quantum efficiency lies in suppressing recombination while promoting the separation and transport of photogenerated charge carriers throughout the photocatalytic process.

From the perspective of reaction thermodynamics and energy, as depicted in Fig. 4, the activation energy (E_{a1}) of reactants in uncatalysed systems can be reduced through interactions between the catalysts and reactants. However, high energy inputs are still required to overcome the activation barriers (E_{a2} and E_{a3}) in thermo-driven catalytic reactions. In the case of photocatalysis, the energy barriers (E_{a4}) can be significantly lowered due to the activation of reactants by highly reactive photoinduced charge carriers from the excited photocatalysts. Consequently, the thermodynamically unfavourable reactions can proceed under mild conditions, and unwanted side reactions, which typically arise under harsh conditions, can be avoided. All these features of photocatalysis allow it to overcome the challenges associated with conventional thermocatalytic lignin processes, particularly in terms of high energy consumption, low selectivity, and the need for additional chemical reagents. The natural aromatic ring structures with functional groups in lignin can be preserved during the lignin decomposition process, yielding monomeric aromatic compounds that can be further upgraded into fine chemicals. In addition to thermocatalysis and photocatalysis, biological methods have also been studied for lignin conversion.^{45–47} These methods utilise enzymatic or microbial systems to selectively degrade lignin and produce aromatic compounds under mild conditions. Similar to photocatalysis,



biological methods also offer advantages including the environmentally friendly nature and the ability to selectively cleave specific lignin bonds without the need for high temperatures or additional chemicals. However, due to the complex and heterogeneous structure of lignin, biological methods face significant challenges, including relatively low efficiency, prolonged reaction times, and the inability to achieve complete lignin depolymerisation. Moreover, in order to sustain microbial activity, biological methods generally require more restricted conditions in comparison to photocatalysis, such as pH condition, temperature, and nutrient availability, which further complicates the scalability and industrial application of the biological lignin conversion approach.⁴⁵

2. Photocatalytic conversion of lignin into aromatics *via* selective bond scission

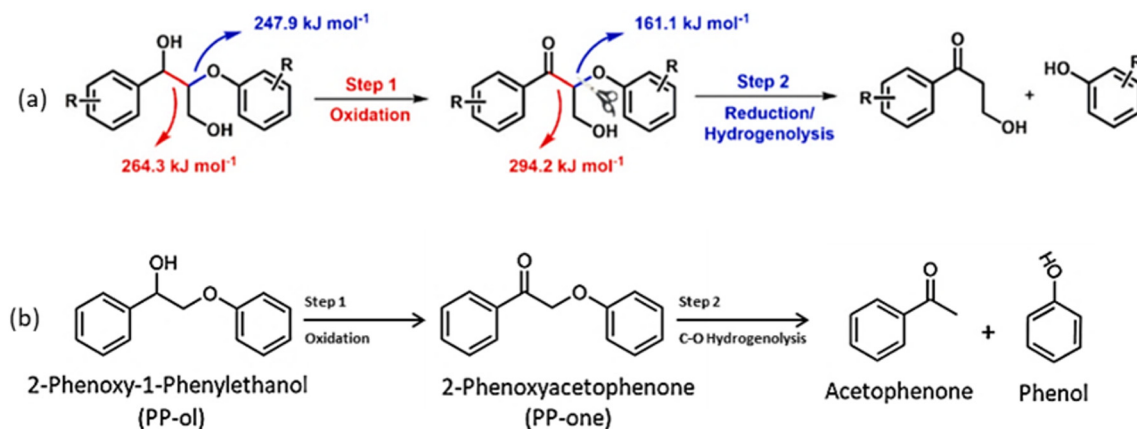
In recent years, various photocatalytic systems have been developed to convert lignin into valuable chemicals, positioning photocatalytic approaches as a promising strategy for lignin valorisation. Most studies focused on the field of photocatalytic transformation of lignin to related platform compounds, in particular to precisely cleave targeted C–O or C–C bonds in lignin and obtaining aromatic monomers. The photocatalytic performance of representative studies focusing on C–O or C–C bond cleavage is summarised in Tables S3 and S4 in the ESI.†

2.1. Selective C–O bond fragmentation

Since the C_β–O bond is the predominant interunit linkage in natural lignin structures, it has been widely targeted for cleavage in the conversion of lignin into monomeric aromatics. The reaction pathways for selective C_β–O bond fragmentation can generally be classified into two categories: stepwise conversion and direct photocatalytic redox-neutral conversion (Fig. 5). Additionally, a few studies have also explored the photocatalytic selective cleavage of other C–O bonds in lignin, such as C_α–O bonds and 4–O–5 bonds.

(i) Stepwise reaction strategy. The stepwise reaction pathway was first validated through thermocatalytic lignin conversion as an effective method for the fragmentation of C_β–O bonds.^{48,49} The first step of this reaction mechanism is the oxidation of the benzylic β-O-4 alcohol to its corresponding ketone, followed by the subsequent fragmentation of the C_β–O bond. As shown in Scheme 1(a), the fundamental principle of this strategy is that the bond dissociation energy (BDE) of the targeted C–O bond can be significantly reduced by the pre-oxidation of C_α–OH to C_α=O, thereby facilitating the subsequent cleavage of the C_β–O bond. For instance, in one of the most commonly used dimeric lignin model compounds, the pre-oxidation of 2-phenoxy-1-phenylethanol to 2-phenoxyacetophenone decreases the BDE of the C_β–O bond from 289.53 kJ mol^{−1} to 233.89 kJ mol^{−1} (Scheme 1b).⁵⁰

Stephenson's group was the first to demonstrate that this stepwise reaction strategy can also be applied to the photocatalytic cleavage of C_β–O bonds in lignin model compounds. In their early studies, the initial pre-oxidation stage was carried out using non-photocatalytic methods, including stoichiometric oxidants (*e.g.*, [4-AcNH-TEMPO]BF₄), a palladium-catalysed aerobic oxidation system (Pd(OAc)₂/DMSO catalyst system) or electrocatalytic oxidation *via* hydrogen atom transfer (HAT). After the pre-oxidation, the subsequent C_β–O bond cleavage in oxidised β-O-4 lignin model compounds (ketones) was catalysed by various Ir-based molecular



Scheme 1 (a) A stepwise oxidation–reduction strategy and the calculated BDE of the C–C and C–O bonds in the β -O-4 linkage before and after the oxidation; (b) a two-step conversion strategy of 2-phenoxy-1-phenylethanol (this scheme has been reproduced from ref. 7 and 51 with permission from Elsevier & American Chemical Society, copyright 2021 & copyright 2016).

could be further decomposed to the corresponding intermediate radicals through the C–O bond fragmentation. And the target monomeric aromatic products could be obtained following the protonation and hydrogen atom transfer process.^{52–54} In addition to designing the catalyst system, Stephenson and co-workers developed a series of flow reaction systems to facilitate the pre-oxidation and C_{β} –O bond fragmentation. These systems could enhance light absorption and mass transfer efficiency, significantly improving the catalytic performance in the depolymerization of dimeric β -O-4 lignin model compounds.⁵³

The two-step C_{β} –O bond cleavage processes developed by Stephenson and co-workers were proven to be able to break the C_{β} –O linkages from a wide range of dimeric lignin model compounds. However, the iridium complexes are homogeneous photocatalysts, which have the inherent shortages in recycling for long-term use. To overcome this problem, the iridium complexes were immobilised onto a mesoporous cellular silica foam (MCF) *via* a facile thiolene click reaction between prefabricated thiol-functionalised mesoporous cellular silica foams and vinyl-tagged iridium complexes.⁵⁵ Compared with the corresponding homogeneous Ir-based complex, this elaborate Ir-MCF catalyst can be easily recycled for reuse and exhibited similar excellent catalytic performance in the fragmentation of C_{β} –O bonds in dimeric β -O-4 ketones under visible-light irradiation due to the aerogel-like 3D porous structure and high visible-light transparency.⁵⁵

In addition to iridium based photocatalysts in this field, π -conjugated carbazolic copolymers (CzCP) with a finely tuned ratio of carbazolic electron donor (D) and electron acceptor (A) were found to efficiently cleave C_{β} –O bonds in lignin model compounds.⁵⁶ The redox potentials of CzCP materials can be modulated by the tuneable D/A ratios. For example, the pre-oxidation of C_{α} –OH to C_{α} –O is driven by CzCP100 (D:A = 0:100), which possesses the strongest oxidative capability and operates *via* a direct photoinduced single-electron transfer (SET) process. In the subsequent step, C_{β} –O bond cleavage is facilitated by photo

