


## PAPER

[View Article Online](#)  
[View Journal](#) | [View Issue](#)Cite this: *Catal. Sci. Technol.*, 2022,  
12, 2589New insights into the  $\text{NH}_3$ -selective catalytic  
reduction of NO over Cu-ZSM-5 as revealed by  
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To control diesel vehicle  $\text{NO}_x$  emissions, Cu-exchanged zeolites have been applied in the selective catalytic reduction (SCR) of NO using  $\text{NH}_3$  as reductant. However, the harsh hydrothermal environment of tailpipe conditions causes irreversible catalyst deactivation. The aggregation of isolated  $\text{Cu}^{2+}$  brings about unselective ammonia oxidation along with the main  $\text{NH}_3$ -SCR reaction. An unusual ‘dip’ shaped NO conversion curve was observed in the steamed zeolite Cu-ZSM-5, resulting from the undesired  $\text{NH}_3$  oxidation that produced NO. Here we gain further insights into the  $\text{NH}_3$ -SCR reaction and its deactivation by employing *operando* UV-vis diffuse reflectance spectroscopy (DRS) and diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) on fresh and steamed zeolite Cu-ZSM-5. We found that tetragonally distorted octahedral  $\text{Cu}^{2+}$  with associated  $\text{NH}_3$  preferentially forms during low temperature  $\text{NH}_3$ -SCR (<250 °C) in fresh Cu-ZSM-5. The high coordination number of  $\text{Cu}^{2+}$  ensures the availability for high coverage of nitrate intermediates. Whilst in the steamed Cu-ZSM-5,  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters in pseudo-tetrahedral symmetry with coordinated  $\text{NH}_3$  accumulated during the low-temperature  $\text{NH}_3$ -SCR reaction. These clusters presented a strong adsorption of surface  $\text{NH}_3$  and nitrates/nitric acid at low temperatures and therefore limited the reaction between surface species in the steamed Cu-ZSM-5. Further release of  $\text{NH}_3$  with increased reaction temperature favors  $\text{NH}_3$  oxidation that causes the drop of NO conversion at ~275 °C. Moreover, competitive adsorption of  $\text{NH}_3$  and nitrates/nitric acid occurs on shared Lewis-acidic adsorption sites. Prompt removal of surface nitrates/nitric acid by NO avoids the surface blockage and tunes the selectivity by alternating nitrate–nitrite equilibrium. The formation of adsorbed  $\text{NO}_2$  and  $\text{HNO}_x$  points to the necessity of an acid adsorbent in practical applications. The structural similarity under the  $\text{NH}_3$ -SCR reaction and unselective  $\text{NH}_3$  oxidation confirmed the entanglement of these two reactions above 250 °C.

Received 29th December 2021,  
Accepted 28th February 2022

DOI: 10.1039/d1cy02348a

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## 1. Introduction

Emission control of  $\text{NO}_x$  (*i.e.*, NO,  $\text{N}_2\text{O}$  and  $\text{NO}_2$ ) has been mandated in applications such as stationary power plants and diesel engine vehicles. Vanadia-based  $\text{NH}_3$ -selective catalytic reduction ( $\text{NH}_3$ -SCR) catalysts are rather efficient and economical in stationary  $\text{NO}_x$  abatement, but failed to adapt to diesel vehicles because of the low activity at a high air/fuel-ratio and their high  $\text{SO}_2$  oxidation activity.<sup>1,2</sup> Considering the

dominant emission of NO compared to  $\text{N}_2\text{O}$  and  $\text{NO}_2$  in  $\text{NO}_x$ -lean automotive exhausts, the standard  $\text{NH}_3$ -SCR reaction ( $4\text{NH}_3 + 4\text{NO} + \text{O}_2 = 4\text{N}_2 + 6\text{H}_2\text{O}$ ), where stoichiometrically equal amounts of  $\text{NH}_3$  as NO are employed, is the main focus in catalyst development.<sup>3</sup> Catalysing such a redox reaction, involving electron transfer processes, requires a catalyst that can accept and donate electrons when encountering reactant molecules or bind with reaction intermediates. Transition metal-based catalysts are thus promising candidates for  $\text{NH}_3$ -SCR, due to the modifiable electron configuration of their d orbitals.

Since the high NO decomposition activity of zeolite Cu-ZSM-5 was discovered in 1980s, Cu-exchanged zeolites have been widely investigated for the  $\text{NH}_3$ -SCR reaction.<sup>4</sup> Although Cu-exchanged zeolites exhibit high  $\text{NH}_3$ -SCR activity over a wide temperature window, the automotive industry is still facing the dilemma of choosing a suitable catalyst for commercialization – medium/large pore zeolite structures,

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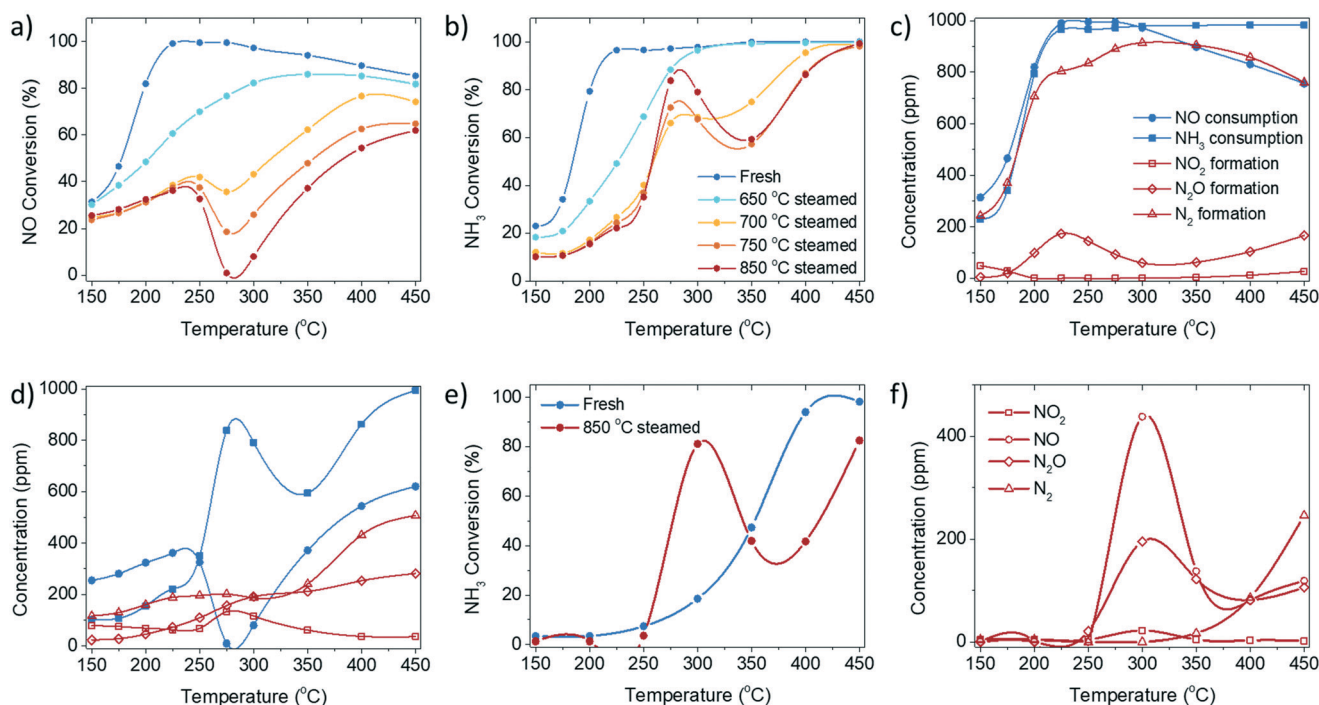
† Electronic supplementary information (ESI) available: Experimental section and additional characterization results. See DOI: 10.1039/d1cy02348a

such as MFI and BEA, are limited by their low hydrothermal stability, while the more robust small pore zeolite CHA (*i.e.*, SSZ-13 and SAPO-34) has a higher cost. The irreversible hydrothermal aging of zeolites is a subtle yet permanent process, during which the functional moieties in Cu-exchanged zeolites undergo a dynamic transformation starting from local distortion of the structural unit regardless of the type of zeolite framework. The deactivation of catalysts should be particularly considered for the rational design of emission control systems for vehicle tailpipes.

The ideal Cu species in Cu-exchanged zeolites are isolated  $\text{Cu}^{2+}$  balanced by an Al pair and  $[\text{CuOH}]^+$  balance by a single Al site. When the Cu-exchanged zeolites undergo hydrothermal treatment or experience a deactivation process, the degradation of Cu increases the heterogeneity of Cu species. The  $\text{Cu}_x\text{O}_y$  clusters/nanoparticles, spinel phase  $\text{CuAl}_2\text{O}_4$ , as well as  $\text{Cu}(\text{OH})_2$  can form and are considered to be detrimental for the standard  $\text{NH}_3$ -SCR reaction.<sup>5–9</sup> Various Cu species in the zeolites provide multiple possible sites for catalytic reactions at  $\text{NH}_3$ -SCR reaction conditions. Undesired byproducts, for instance  $\text{NO}_2$  and  $\text{N}_2\text{O}$ , can be selectively formed during the  $\text{NH}_3$ -SCR reaction.<sup>10</sup> Additionally, with multiple evolutionary Cu species in the Cu-exchanged zeolites, the unwanted side reactions such as NO oxidation ( $2\text{NO} + \text{O}_2 = 2\text{NO}_2$ ) and unselective  $\text{NH}_3$  oxidation to NO ( $4\text{NH}_3 + 5\text{O}_2 = 4\text{NO} + 6\text{H}_2\text{O}$ ) can also take place under standard  $\text{NH}_3$ -SCR reaction conditions.<sup>11–14</sup>

In our previous study of steamed Cu-ZSM-5 zeolites we have observed an unusual NO conversion curve with a ‘dip’ shape at around 300 °C.<sup>15</sup> A similar drop of NO conversion was reported at ~270 °C with the hydrothermally treated zeolite Cu-SSZ-13 and was simply explained by the accelerated unselective  $\text{NH}_3$  oxidation promoted by  $\text{Cu}_x\text{O}_y$  clusters/nanoparticles.<sup>16,17</sup> However, the detailed structural reasons for the low  $\text{NH}_3$ -SCR activity have not yet been well understood due to the interference of multiple Cu sites and side reactions.

In this study, the catalytic performance and structural properties of a series of fresh and steamed Cu-ZSM-5 zeolites were investigated for a more complete understanding of  $\text{NH}_3$ -SCR catalysis utilizing Cu-exchanged zeolites by mimicking different aging severities. *Operando* UV-vis diffuse reflectance spectroscopy (DRS) and diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) were conducted to gain mechanistic insight into the  $\text{NH}_3$ -SCR reaction and its deactivation, and to gain a deeper understanding of the unusual catalytic behaviour of the steamed zeolite Cu-ZSM-5 material. The dynamic of structural changes of the  $\text{Cu}^{2+}$  site under reaction conditions were followed by *operando* UV-vis DRS, specifically by interpretation of the ligand-to-metal charge transfer (LMCT) as well as the d–d transition bands based on crystal field theory. The behaviour of adsorbed species, including chemisorbed  $\text{NH}_3$  and nitrates/nitric acid, were investigated utilizing their development and consumption



**Fig. 1** a) NO conversion and b)  $\text{NH}_3$  conversion of the standard  $\text{NH}_3$ -selective catalytic reduction (SCR) reaction performed over fresh and steamed Cu-ZSM-5. The reactant consumption and product formation in standard  $\text{NH}_3$ -SCR on c) fresh and d) 850 °C steamed zeolite Cu-ZSM-5. e) The conversion of  $\text{NH}_3$  during the  $\text{NH}_3$  oxidation reaction over the fresh and steamed Cu-exchanged ZSM-5. f) Product concentration from the  $\text{NH}_3$  oxidation reaction over 850 °C steamed Cu-ZSM-5. The standard  $\text{NH}_3$ -SCR reaction was conducted with a gas hourly space velocity (GHSV) of 100 000  $\text{h}^{-1}$  with 1000 ppm NO, 1000 ppm  $\text{NH}_3$ , 5%  $\text{O}_2$  and balanced with He. The  $\text{NH}_3$  oxidation reaction was conducted with a GHSV of 100 000  $\text{h}^{-1}$  with 1000 ppm  $\text{NH}_3$ , 5%  $\text{O}_2$  and balanced with He.



under various reaction conditions. Finally, the ‘dip’-shaped NO conversion curve (Fig. 1) could be explained by the side reaction of unselective  $\text{NH}_3$  oxidation, which is structurally ascribed to the possible formation of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters with a pseudo-tetrahedral  $\text{Cu}^{2+}$  center, coordinated with  $\text{NH}_3$  in the steamed Cu-ZSM-5 material. The slow rate of surface reaction between adsorbed  $\text{NH}_3$  and surface nitrites/nitrates or nitrous/nitric acid limits the low-temperature  $\text{NH}_3$ -SCR.

## 2. Results and discussion

### 2.1 $\text{NH}_3$ -Selective catalytic reduction, NO oxidation and $\text{NH}_3$ oxidation

The fresh zeolite Cu-ZSM-5 underwent a steaming pre-treatment to simulate the working catalysts after various degrees of deactivation. The standard  $\text{NH}_3$ -SCR reaction was performed on fresh and steamed Cu-ZSM-5 catalysts in the temperature range from 150 to 450 °C (Fig. 1, S1 and S2†). A varying extent of deactivation of  $\text{NH}_3$ -SCR activity was observed in the steamed zeolites Cu-ZSM-5. The fresh Cu-ZSM-5 showed the highest NO conversion and  $\text{N}_2$  selectivity in the whole temperature range. The steaming process mainly caused the loss of NO conversion at low reaction temperature. It is notable that unusual catalytic behaviour was observed over the zeolites that underwent the 700–850 °C steaming pre-treatment. The NO conversion had a drop starting at 250 °C followed by a continuous increase in conversion from 300 °C, exhibiting a distinct valley-shaped NO conversion curve. Meanwhile, a peak was observed in the  $\text{NH}_3$  conversion curve at the exact temperature of the observed ‘dip’ in NO conversion. This points to the non-equivalent consumption of NO and  $\text{NH}_3$  in the reaction, which contradicts the identical stoichiometric ratio of NO and  $\text{NH}_3$  in a standard  $\text{NH}_3$ -SCR reaction.

The conversion and formation of nitrogen-containing compounds in the  $\text{NH}_3$ -SCR reaction over fresh and 850 °C steamed Cu-ZSM-5 zeolites are shown in Fig. 1c and d. From the consumption difference between NO and  $\text{NH}_3$ , depicted by blue curves, the occurrence of side reactions such as NO or  $\text{NH}_3$  oxidation in standard  $\text{NH}_3$ -SCR could be determined. In fresh Cu-ZSM-5,  $\text{NH}_3$ -SCR was the favoured reaction, and was only slightly affected by NO oxidation below 200 °C and by  $\text{NH}_3$  oxidation above 300 °C. In contrast, side reactions had more significant impact on the steamed Cu-ZSM-5. This ‘critical temperature’ of 250 °C divides the temperature range into a low- and a high-temperature regime: when the reaction temperature was below 250 °C, the converted NO was overall higher than the converted  $\text{NH}_3$ , which implied the involvement of the undesirable NO oxidation, confirmed by the additional production of  $\text{NO}_2$ .  $\text{NH}_3$  oxidation hardly contributed to the low temperature regime, proven by no conversion of  $\text{NH}_3$  in the  $\text{NH}_3$  oxidation reaction (Fig. 1e). When the reaction temperature was higher than 250 °C, the consumption of  $\text{NH}_3$  overtook NO consumption, suggesting the involvement of  $\text{NH}_3$  oxidation along with the standard  $\text{NH}_3$ -SCR reaction. Especially in the intermediate reaction temperature range of 250–300 °C,

the apparent NO conversion dropped to near 0%, while  $\text{NH}_3$  conversion increased, because the  $\text{NH}_3$  oxidation reaction to NO facilitated over the steamed Cu-ZSM-5 (Fig. 1e and f). The produced NO from the  $\text{NH}_3$  oxidation replenished the consumed NO from  $\text{NH}_3$ -SCR, and consequently led to the apparent drop in NO conversion from 250 °C in standard  $\text{NH}_3$ -SCR (Fig. 1a). In return, the residue  $\text{NH}_3$  was insufficient for the reduction of the surplus NO. As for the  $\text{N}_2\text{O}$  byproduct, it is formed in the  $\text{NH}_3$ -SCR reaction as a partially reduced product of NO through the formation of HNO intermediate.<sup>18</sup>  $\text{N}_2\text{O}$  can also be the product of unselective oxidation of  $\text{NH}_3$  ( $2\text{NH}_3 + 2\text{O}_2 = \text{N}_2\text{O} + 3\text{H}_2\text{O}$ ). At low reaction temperature, the activity of  $\text{NH}_3$ -SCR reaction was high on fresh Cu-ZSM-5, resulting higher  $\text{N}_2\text{O}$  yield compared to the 850 °C steamed Cu-ZSM-5. With elevated reaction temperatures, the  $\text{N}_2\text{O}$  generated from both  $\text{NH}_3$ -SCR and  $\text{NH}_3$  oxidation reaction kept increasing.

Although the side reaction of  $\text{NH}_3$  oxidation explained the ‘dip’ shape in the NO conversion curve during the  $\text{NH}_3$ -SCR reaction, it put forward another puzzle for  $\text{NH}_3$  oxidation conducted over steamed zeolite Cu-ZSM-5, where a peak was observed in the  $\text{NH}_3$  conversion curve at around 300 °C (Fig. 1e). A possible interpretation can be found from a kinetic model of  $\text{NH}_3$  oxidation over Cu-exchanged zeolite Cu-SSZ-13. The reaction at 250–400 °C occurs on Cu-exchanged sites but the  $\text{NH}_3$  conversion decreases with the lower  $\text{NH}_3$  coverage with increasing reaction temperature, while the high temperature reaction (>400 °C) starts to take place on the over-exchanged sites, for instance the  $\text{Cu}_x\text{O}_y$  species achieving high conversion at elevated temperature.<sup>19</sup>

### 2.2 Changes of the structural properties upon steaming

**2.2.1 Local damage of the framework structure.** According to the above observed  $\text{NH}_3$ -SCR catalytic performance over the fresh and steamed Cu-ZSM-5 zeolites, multiple entangled side reactions present at standard  $\text{NH}_3$ -SCR reaction condition, in agreement with the results from previous studies.<sup>8,20,21</sup> The fresh Cu-ZSM-5 achieved a more stable NO conversion over a wide temperature range, whilst the steamed Cu-ZSM-5 performance was significantly hindered by side reactions throughout the whole temperature range with particularly distinct catalytic performance below 300 °C. Finding the structural reasons behind this complex behaviour was essential for a better understanding of the functions and deactivation of active moieties within Cu-ZSM-5. The zeolite framework is regarded as host for the guest cation through the interaction between the opposite charges of framework  $\text{O}^{2-}$  and isolated  $\text{Cu}^{2+}/[\text{CuOH}]^+$ . The steaming pretreatment led to loss of zeolite framework crystallinity, revealed by the lower intensity of the diffraction pattern of MFI zeolite (Fig. S4†). This is consistent with the expected partial dealumination due to steaming. It is clearly shown in the Fourier transform infrared (FTIR) spectra in the range of the OH stretching vibration (Fig. S5†) that the well-resolved  $[\text{CuOH}]^+$  ( $3660\text{ cm}^{-1}$ ) and Brønsted acid sites ( $3612\text{ cm}^{-1}$ )



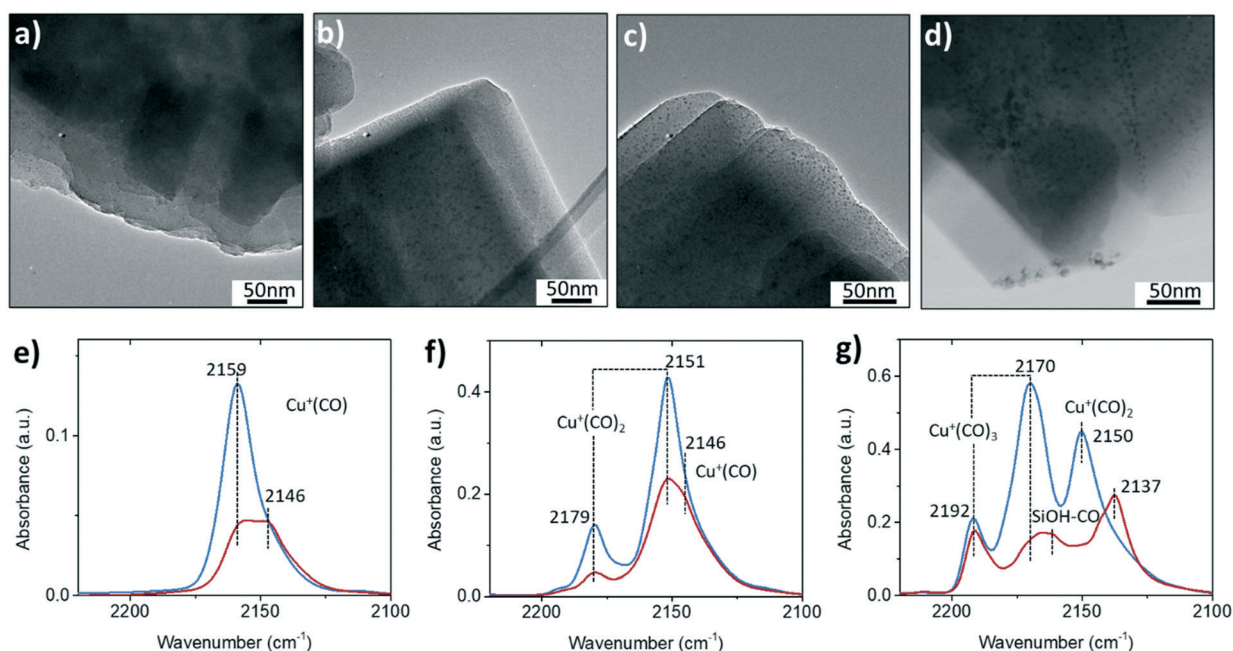


were replaced by a broad peak representing internal silanol groups in the severely steamed Cu-ZSM-5.<sup>22</sup> The loss of the Brønsted acid sites upon steaming was an indicator of the formation of local defects within the zeolites, resulting in the heterogeneity of hydroxyl groups, which is experimentally evidenced by the transformation of geometric structure of Al from tetrahedral to octahedral *via* solid-state nuclear magnetic resonance (ssNMR) and X-ray absorption spectroscopy (XAS).<sup>22,23</sup>

**2.2.2 Loss of isolated Cu<sup>2+</sup> sites.** Another important functional moiety in fresh zeolite Cu-ZSM-5 is the isolated, exchanged Cu<sup>2+</sup> sites located near framework Al for charge compensation. The most direct observation of structural changes upon steaming is the formation of Cu-based nanoparticles as shown in the transmission electron microscopy (TEM) images (Fig. 2). With increasing steaming temperature, the nanoparticles were more evident throughout the whole zeolite particles. In the 850 °C steamed Cu-ZSM-5, nanoparticles with particle sizes of 2–5 nm were prone to migrate and aggregate on the surface of zeolite particle, which is in agreement with a scanning transmission X-ray microscopy (STXM) study that revealed Cu zoning on the edge of individual catalyst particles in steamed zeolites.<sup>15</sup> The agglomerated Cu species have a less reducible nature than isolated Cu<sup>2+</sup>, as indicated by the higher reduction temperature in the steamed Cu-ZSM-5 observed in H<sub>2</sub>-temperature programmed reduction (H<sub>2</sub>-TPR) (Fig. S6†). Moreover, the broadening of the reduction peaks in the steamed Cu-ZSM-5 also suggests the increasing diversity of Cu species produced by the steaming process.

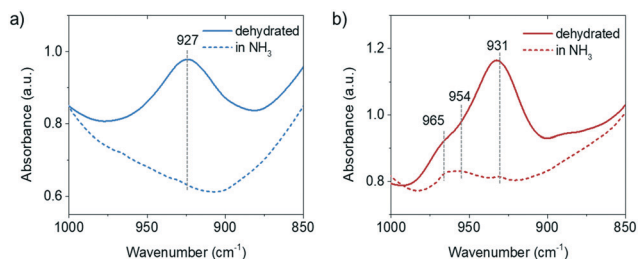
CO is a universal probe molecule in FTIR spectroscopy experiments to detect the metal sites by charge donation/back-donation between metal center and CO molecule. The interaction of CO with Cu<sup>2+</sup> is weak, and therefore only Cu<sup>+</sup> and the hydroxyl group could be probed by CO in Cu-zeolites.<sup>24</sup> Fig. 2e–g shows the FTIR spectra with different CO coverages. One of the differences between fresh and steamed Cu-ZSM-5 in CO-adsorbed FTIR spectra is the stronger peak intensities found in the fresh Cu-ZSM-5, indicating larger numbers of available sites for CO adsorption in the fresh catalyst. The adsorption band of cuprous mono-carbonyls adducts centered at 2159 cm<sup>-1</sup> coordinated up to three CO molecules with increasing CO pressure, which is well-documented.<sup>25</sup> This probed Cu<sup>+</sup> originated from [CuOH]<sup>+</sup>, which experienced auto-reduction during the dehydration pre-treatment under high vacuum.<sup>26</sup> The loss of [CuOH]<sup>+</sup> was confirmed in the steamed Cu-ZSM-5 in CO-adsorbed FTIR spectroscopy, and it was accompanied by the co-existence of another cuprous site coordinated with CO with a lower C–O frequency of 2146 cm<sup>-1</sup>, which was also reported in zeolite Cu-ZSM-5 with high Cu-exchanged levels.<sup>27</sup> This cuprous site had higher coordinative saturation since only the mono-carbonyl was observed. When the CO dosage was high, the CO adsorption on the silanol became detectable only on the steamed catalyst,<sup>28</sup> consistent with the observation of abundant internal silanol groups in the 850 °C steamed zeolite Cu-ZSM-5.

The perturbed framework T–O–T vibration is directly influenced by the interaction between the Cu ion and the framework. Fig. 3 shows the perturbed framework vibration



**Fig. 2** Transmission electron microscopy (TEM) images of a) fresh, b) 650 °C steamed and c–d) 850 °C steamed zeolite Cu-ZSM-5 in bright field. The CO-adsorbed Fourier transform-infrared (FTIR) spectroscopy of fresh and 850 °C steamed zeolite Cu-ZSM-5 under CO pressure of e) 0.015 mBar, f) 0.1 mBar and g) 0.5 mBar at liquid N<sub>2</sub> temperature. Blue and red lines represent fresh and 850 °C steamed zeolite Cu-ZSM-5, respectively.





**Fig. 3** Diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) data of perturbed framework T-O-T vibration of a) fresh and b) 850 °C steamed Cu-ZSM-5 after dehydration and drying under  $\text{NH}_3/\text{He}$  flow.

of fresh and 850 °C steamed Cu-ZSM-5 after dehydration and subsequent  $\text{NH}_3$  treatment. The background spectrum was recorded for the hydrated form of zeolites as fully hydrated  $\text{Cu}^{2+}$  is mobile.<sup>29</sup> The ammoniated  $\text{Cu}^{2+}$  hardly interacts with the zeolite framework, showing no perturbed T-O-T band. Upon removal of  $\text{NH}_3$ , the  $\text{Cu}^{2+}$  is stabilized by the framework oxygen and consequently perturbs the framework T-O-T vibration. The perturbation of the framework generally depends on the charge of the interacting cation such that the higher the net charge of the interacting cation, the lower is the value of the T-O-T vibration, because a stronger interaction between opposite charges weakens the original framework vibration to a greater extent.<sup>30</sup> The transformation from the framework stabilized  $\text{Cu}^+$  to  $\text{Cu}^{2+}$  causes the band shift of the asymmetric T-O-T vibration from 970 to 910  $\text{cm}^{-1}$ .<sup>31,32</sup> The  $\sim 930 \text{ cm}^{-1}$  and  $\sim 950 \text{ cm}^{-1}$  bands have been assigned to bare  $\text{Cu}^{2+}$  and  $[\text{Cu}^{2+}\text{O}^-]/[\text{Cu}^{2+}\text{OH}^-]/\text{O}_2$ -associated  $\text{Cu}^+$ , respectively.<sup>30,33</sup> In the fresh zeolite Cu-ZSM-5,  $[\text{CuOH}]^+$  was not shown in the perturbed framework vibration band, although its existence was clearly indicated by the CO-FTIR results and its OH stretching band at 3660  $\text{cm}^{-1}$ . The signal from the  $[\text{CuOH}]^+$  perturbation might be covered by the strong and broad band originating from bare  $\text{Cu}^{2+}$ . However, in addition to the  $\text{Cu}^{2+}$  and  $\text{Cu}^+$  perturbed vibrational modes, the ammoniation process unveiled the 954  $\text{cm}^{-1}$  band in the 850 °C steamed Cu-ZSM-5 although it lost the isolated  $[\text{CuOH}]^+$ . Only the isolated or clustered Cu ions influence the perturbed framework vibration by ligand removal or addition, because interaction between large particles and zeolite framework could be hardly affected by replacement of ligands. The 954  $\text{cm}^{-1}$  band is hereby supposed to be relative to the charged Cu oligomers/clusters  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  that could interfere with the framework vibrations. The adjacency of the hydroxyl group to the  $\text{Cu}^{2+}$  is later implied by *operando* DRIFTS results.

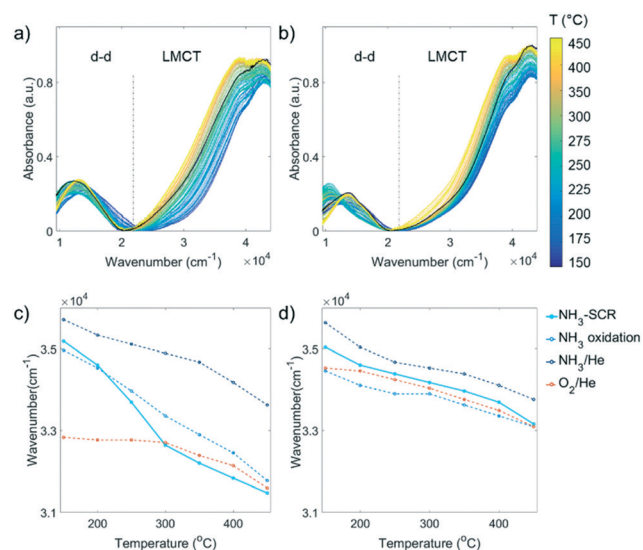
Both NO oxidation and  $\text{NH}_3$  oxidation have been under investigation in Cu-exchanged zeolites suggesting the potential contribution of isolated sites, such as  $\text{Cu}^{2+}$  and  $[\text{CuOH}]^+$ , to the side reactions observed in the catalytic test.<sup>14,21,34</sup> However, with the steaming-induced local damage of the zeolite framework and the formation of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$

oligomers/clusters, the NO conversion above 250 °C dropped due to the large contribution from unselective  $\text{NH}_3$  oxidation. The detrimental effect of  $\text{Cu}_x\text{O}_y$  clusters/nanoparticles on the  $\text{NH}_3$ -SCR reaction has been demonstrated to promote  $\text{NH}_3$  oxidation, and promising  $\text{NH}_3$  conversion was even observed over a physical mixture of CuO and H-SAPO-34.<sup>17,20,35</sup>

### 2.3 $\text{Cu}^{2+}$ dynamics unravelled by *operando* UV-vis diffuse reflectance spectroscopy

The Cu aggregation in the steamed Cu-ZSM-5 zeolites was proposed to be responsible for the occurrence of the unselective  $\text{NH}_3$  oxidation reaction that caused the unusual NO and  $\text{NH}_3$  conversion in  $\text{NH}_3$ -SCR. However, a more detailed structural correlation of the deactivated component contributions to the loss of  $\text{NH}_3$ -SCR activity and the promotion of side reaction  $\text{NH}_3$  oxidation has not yet been well-understood. This requires real-time monitoring of the catalysts in a reaction to establish the structure-activity relationships for further understanding of the key structure involved in the reaction. One of the most facile means to study transition metals under working conditions is UV-vis DRS employing a high temperature UV-vis optical fibre probe.<sup>36</sup>

**2.3.1 Replacement of ligands in the Cu complex.** Generally, probing the transition metal Cu with UV-vis DRS gives rise to ligand field induced d-d transitions determined by the number and position of atoms in the first coordination sphere, as well as a ligand-metal charge transfer (LMCT) band influenced by the optical electronegativity between the ligand and Cu.<sup>37</sup> UV-vis diffuse reflectance spectra were recorded during the  $\text{NH}_3$ -SCR



**Fig. 4** *Operando* UV-vis diffuse reflectance spectroscopy (DRS) data of the a) fresh and b) 850 °C steamed zeolite Cu-ZSM-5 during the  $\text{NH}_3$ -selective catalytic reduction (SCR) of NO. The black solid line is the spectrum recorded at 150 °C in  $\text{O}_2/\text{He}$  before the reactants were fed. The wavenumber at half height of the ligand-to-metal charge transfer (LMCT) band at ca. 39000  $\text{cm}^{-1}$  in different reaction conditions was obtained at steady state for c) fresh and d) 850 °C steamed Cu-ZSM-5.



reaction on fresh and 850 °C steamed zeolite Cu-ZSM-5, and the results are shown in Fig. 4a and b. The starting spectrum is the dehydrated Cu-ZSM-5 measured before the NH<sub>3</sub>-SCR reaction. A LMCT band with a lower wavenumber in the fresh Cu-ZSM-5 implies Cu<sup>2+</sup> had an overall stronger interaction with its surrounding O<sup>2-</sup> compared to the steamed zeolite. Upon reaction, the fresh and steamed zeolite Cu-ZSM-5 follow very similar trends in LMCT transition. The band position had a blue shift once the reactant gases were introduced, and the band position shifted to a lower wavenumber during the entire reaction process. In the NH<sub>3</sub>-SCR reaction and its side reactions, N and O are the only two elements that need to be considered as the atom in the first coordination shell of Cu<sup>2+</sup>. Substitution of O-oriented ligands to N-oriented NH<sub>3</sub> ligands drives the blue shift of LMCT band because NH<sub>3</sub> has a smaller optical electronegativity compared to oxygen-oriented ligands including H<sub>2</sub>O, O<sup>2-</sup>, OH<sup>-</sup> and NO<sub>x</sub><sup>-</sup>, which generates a greater difference in energy level from Cu<sup>2+</sup>.<sup>37–39</sup> The gradual red shift of the LMCT band with increasing reaction temperature is due to the removal of NH<sub>3</sub> and the stronger interaction with coordinated O<sup>2-</sup>, *i.e.* the higher degree of covalency in the ligand-metal bond.<sup>25</sup>

To gain an intuitive look into the replacement of ligands in the reaction process, the wavenumber at half height of the LMCT maximum was followed in the NH<sub>3</sub>-SCR reaction, by comparing to that of inflow of O<sub>2</sub>/He, NH<sub>3</sub>/He and NH<sub>3</sub> oxidation feeds (Fig. 4c and d). In the fresh zeolite Cu-ZSM-5, the reaction could again be clearly divided into two regimes including the low-temperature (150–250 °C) and high temperature (300–450 °C) NH<sub>3</sub>-SCR mechanism according to the position of the LMCT band half height. In the low temperature regime, the position of the LMCT band is shown at a high wavenumber, resembling that of the NH<sub>3</sub> oxidation condition and being close to the LMCT band position in NH<sub>3</sub>/He, while at a temperature higher than 300 °C, the evolution of the LMCT band position is similar to that found in oxidative gases. This strongly suggests the predominant replacement of the first coordination shell atom to isolated Cu<sup>2+</sup> from N to O during the reaction, in agreement with similar findings revealed by *in situ* XAS and the proposed different reaction mechanism in low- and high-temperature NH<sub>3</sub>-SCR.<sup>40</sup> In contrast, a less significant shift of the LMCT band during the reaction was observed in the steamed zeolite Cu-ZSM-5, suggesting a less notable change of the coordinated ligands because fewer Cu<sup>2+</sup> sites were available to take part in the reaction on the surface of [Cu<sub>x</sub>(OH)<sub>2x-1</sub>]<sup>+</sup> oligomers/clusters. No clear demarcation line between the low and high temperature regime was found in the steamed sample, though it had been in the fresh Cu-ZSM-5 zeolite material. The LMCT band positions in NH<sub>3</sub>-SCR reaction and O<sub>2</sub>/He environments are similar, indicating the dominant coordinated ligand was O<sup>2-</sup> in the steamed Cu-ZSM-5. However, the LMCT band position under NH<sub>3</sub>-SCR reaction conditions lies between its position in the NH<sub>3</sub>/O<sub>2</sub> environment and NH<sub>3</sub>/He environment, and thereby, the coordination with NH<sub>3</sub> cannot be ruled out.

**2.3.2 Appearance of pseudo-tetrahedral Cu<sup>2+</sup> in low temperature NH<sub>3</sub>-selective catalytic reduction of NO.** The d-d transition region from the UV-vis diffuse reflectance spectrum provides information on the geometric structure of Cu<sup>2+</sup>. Incorporation of ligands in different spatial locations has a significant impact on the extent of the splitting of d orbital, which is affected by the extent of interaction between the d orbital and the ligand. Fig. 5b and c show the d-d transition band of fresh and 850 °C steamed zeolite Cu-ZSM-5 at steady-state from 150–450 °C in the NH<sub>3</sub>-SCR reaction. In both data sets, three main absorption bands could be identified in the d-d transition region: below 12 000 cm<sup>-1</sup>, 12 000–16 000 cm<sup>-1</sup> and above 16 000 cm<sup>-1</sup>. Despite the band broadening and overlapping resulting from the variety of ligand combinations and heterogeneity of Cu<sup>2+</sup> symmetry, the appearance of two spectroscopic signatures at 10 350 cm<sup>-1</sup> and 13 700 cm<sup>-1</sup> are clearly identified in the UV-vis spectra of 850 °C steamed Cu-ZSM-5. The symmetry change of Cu<sup>2+</sup> was followed using ligand-field theory as well as previous experimental/theoretical studies on the Cu<sup>2+</sup> UV-vis spectrum.

As indicated by Fig. 5b and c, low, medium, and high wavenumber bands could be identified in the d-d transition region. The adsorption band at ~12 500 cm<sup>-1</sup> is the typical band that arises from isolated Cu<sup>2+</sup> in an octahedral crystal field generated by oxide ligands.<sup>41</sup> The [Cu(H<sub>2</sub>O)<sub>6</sub>]<sup>2+</sup> is the tetragonally distorted complex in the fully hydrated zeolite due to framework confinement. The dehydration process generates the axial elongation of Cu<sup>2+</sup> tetragonal bipyramidal geometry upon water removal and framework attachment, which causes further splitting of octahedral ligand field and therefore the slight blue shift of the band maximum of the d-d transition.<sup>25</sup> The Cu<sup>2+</sup> complex in a square-planar environment has a larger splitting of the d orbital compared to octahedral, according to crystal-field theory. The simulated d-d transition band energy for the near square planar complex [Cu(NH<sub>3</sub>)<sub>4</sub>]<sup>2+</sup> with optimized structure presented its maximum absorption at 19 800 cm<sup>-1</sup>, which was in agreement with experimental observation.<sup>42,43</sup> The high wavenumber shoulder appeared in the low temperature NH<sub>3</sub>-SCR reaction shown in Fig. 5b and c centered at *ca.* 17 000 cm<sup>-1</sup>, suggesting the likely incorporation of a weaker field ligand O<sup>2-</sup> that might cause the redshift of the peak, *i.e.* the formation of [Cu(NH<sub>3</sub>)<sub>4</sub>(H<sub>2</sub>O)<sub>x</sub>]<sup>2+</sup> (*x* = 1,2). The high wavenumber band could also stem from the Cu<sup>2+</sup> complex with low symmetry that enlarges the splitting of the d orbital. At a reaction temperature between 175–300 °C, the 10 350 cm<sup>-1</sup> band became apparent especially in the steamed zeolite Cu-ZSM-5. The lower wavenumber feature of such a band implicated the smaller splitting of d orbital compared to octahedral symmetry, probably due to the tetrahedral crystal field environment ( $\Delta_T \approx 4/9 \Delta_O$ ).<sup>44</sup> The 10 350 cm<sup>-1</sup> band was related to the coordinated NH<sub>3</sub> since it was also present in NH<sub>3</sub>/O<sub>2</sub> and NH<sub>3</sub>/He environments (Fig. S9†).

Partial de-ammoniation of Cu<sup>2+</sup> caused a transition to square planar geometry, an extreme case of tetragonal





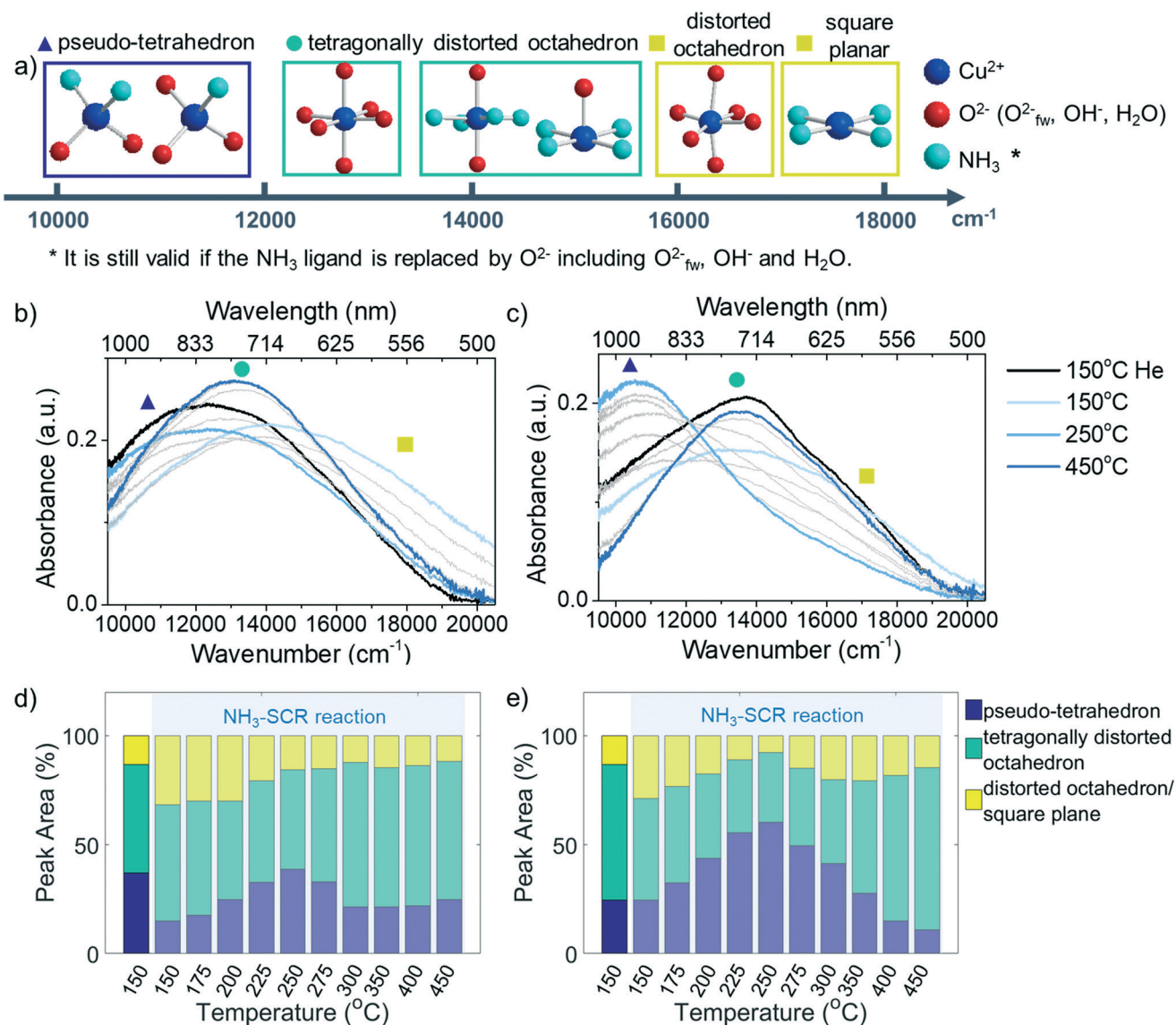


Fig. 5 a) Schematic of the possible Cu-complexes in zeolite Cu-ZSM-5 in the  $\text{NH}_3$ -SCR reaction and the approximate positions in wavenumbers of their corresponding bands, estimated based on ligand-field imposed d-d splitting of  $\text{Cu}^{2+}$ .  $\text{O}^{2-}_{\text{fw}}$  represents framework oxygen. The d-d transition band and the peak fitting results of the (b and d) fresh and (c and e) 850 °C steamed Cu-ZSM-5 zeolites collected at steady-state at each temperature during the  $\text{NH}_3$ -SCR reaction performance test. The bands in grey color are collected in intermediate reaction temperatures between 150–450 °C. The positions of both main peak and shoulder are indicated in (a) by a solid triangle (Prussian blue), a circle (cyan) and a square (yellow), respectively.

distortion from octahedral symmetry, which is high in energy and is prone to relax to tetrahedral symmetry. In fact, the pseudo-tetrahedral  $\text{Cu}^{2+}$ -complex could be expected in zeolite Cu-ZSM-5. The  $\text{Cu}^{2+}$  lying in a defect site or on the surface of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters probably had then required steric hinderance for the coordinating ligand to reach octahedral symmetry; instead, the more stable tetrahedron is favoured. Indeed, the contribution of this low-wavenumber band increases with the steaming severity (Fig. S10†). A similar band maximum at  $11\,000\text{ cm}^{-1}$  has been reported in ammoniated Cu-exchanged zeolite Y with a low coordination number.<sup>45</sup> This low-frequency band has been hypothesized as the  $\text{O}_3\text{-Cu}^{2+}\text{-NH}_3$  structure in de-ammoniated Cu-zeolite,

which was proven by multiconfigurational perturbation theory based simulation and an electron paramagnetic resonance (EPR) study.<sup>42,46</sup> Hence, the low frequency feature is also proposed to be the pseudo-tetrahedral  $\text{Cu}^{2+}$  with a mix of  $\text{NH}_3$  and  $\text{O}^{2-}$ -oriented ligands ( $\text{O}_3\text{-Cu}^{2+}\text{-NH}_3$  or  $\text{O}_2\text{-Cu}^{2+}\text{-(NH}_3)_2$ ).

To follow the evolution of Cu-complexes throughout the  $\text{NH}_3$ -SCR reaction, the d-d transition bands were fitted with three Gaussian functions by restricting fitting model parameters such as their wavenumber position based on the inspection of the eigen spectra from principal component analysis (PCA, Fig. S11†). The such established fitting model was then applied to the entire dataset, and the fitting results



can be found in Fig. S12–S14.† The evolution of pseudo-tetrahedral  $\text{Cu}^{2+}$ , tetragonally distorted  $\text{Cu}^{2+}$  and low symmetrical  $\text{Cu}^{2+}$  in fresh and 850 °C steamed zeolite Cu-ZSM-5 at steady-state during the  $\text{NH}_3$ -SCR reaction are given in Fig. 5d and e. Both Cu-ZSM-5 samples behaved in a similar manner during the reaction when following the peak contributions of pseudo-tetrahedral and distorted octahedron/square planar  $\text{Cu}^{2+}$  during the reaction. Upon the exposure to the reactant gases, ammoniated  $\text{Cu}^{2+}$  developed along with the coordination with  $\text{H}_2\text{O}$ . This feature gradually diminished with increasing reaction temperature (150–250 °C) because of the detachment of  $\text{NH}_3$  from  $\text{Cu}^{2+}$ . At the same time, the contribution of a pseudo-tetrahedral  $\text{Cu}^{2+}$ -complex with mixed  $\text{NH}_3$  and  $\text{O}^{2-}$ -oriented ligands increased sharply. When the  $\text{NH}_3$ -SCR reaction took place above 250 °C, the amount of pseudo-tetrahedral  $\text{Cu}^{2+}$ -complex with mixed ligands started to decrease due to the continuous freeing of coordinated  $\text{NH}_3$ . In this scenario, the d–d transition band of UV-vis diffuse reflectance spectra in the  $\text{NH}_3$ -SCR reaction feed were eventually identical to that under  $\text{O}_2/\text{He}$  flow (Fig. S15†), suggesting complete removal of coordinated  $\text{NH}_3$  and the presence of tetragonally distorted octahedral  $\text{Cu}^{2+}$  with an  $\text{O}^{2-}$  ligand.

It is important to note that the change of  $\text{Cu}^{2+}$  geometry was due to the reaction-related dynamic but not the irreversible change of  $\text{Cu}^{2+}$  structure since the  $\text{NO}/\text{NH}_3$  conversion as well as the geometry were unchanged when the  $\text{NH}_3$ -SCR reaction was conducted in a cyclic manner (Fig. S16†). In the low temperature regime (<250 °C), the  $\text{NH}_3$ -SCR reaction was the preferential reaction according to the catalytic results. The mobile  $[\text{Cu}(\text{NH}_3)_4]^{2+}$  complex is the proposed catalytic active site that is ready to react with  $\text{NO}$  at a reaction temperature under 250 °C.<sup>40,47,48</sup> A higher portion of  $\text{NH}_3$ -solvated  $\text{Cu}^{2+}$  observed in the fresh zeolite Cu-ZSM-5 was attributed to the higher  $\text{NO}$  conversion at a low reaction temperature compared to the steamed Cu-ZSM-5. At a reaction temperature of 150–250 °C, the adsorbed  $\text{NH}_3$  either desorbed or reacted with intermediates, resulting in partially de-ammoniated  $\text{Cu}^{2+}$  with pseudo-tetrahedral symmetry, which was simultaneously coordinated with  $\text{O}^{2-}$  or with the intermediate  $\text{NO}_x^-$ .<sup>49,50</sup> The accumulation of  $\text{Cu}^{2+}$  in pseudo-tetrahedral symmetry with coordinated  $\text{NH}_3$ , which is stable below 250 °C, limits the  $\text{NO}$  and  $\text{NH}_3$  conversion to a great extent particularly in steamed Cu-ZSM-5. As the reaction temperature increased from 250 °C, the coordinated  $\text{NH}_3$  in pseudo-tetrahedral  $\text{Cu}^{2+}$  started to disassociate, which could be proven by the desorption of  $\text{NH}_3$  adsorbed on  $\text{Cu}^{2+}$  with Lewis acidity (Fig. S7†). However, such desorption of  $\text{NH}_3$  from pseudo-tetrahedral  $\text{Cu}^{2+}$  provoked unselective  $\text{NH}_3$  oxidation rather than the  $\text{NH}_3$ -SCR reaction, which is clear in the steamed Cu-ZSM-5 from the rapid increase of  $\text{NH}_3$  conversion and a dramatic drop of  $\text{NO}$  conversion between 250–300 °C. Finally, in high-temperature  $\text{NH}_3$ -SCR above 300 °C, the adsorption of  $\text{NH}_3$  weakens, rather, the fully de-ammoniated  $\text{Cu}^{2+}$  tends to anchor on the framework  $\text{O}^{2-}$  with coordination of four,<sup>42,51</sup> resulting in the identical geometric

structure as it has in  $\text{O}_2/\text{He}$  flow. The  $\text{Cu}^{2+}$  complex with an  $\text{O}^{2-}$ -directing ligand is the key species for the high temperature  $\text{NH}_3$ -SCR reaction in fresh and steamed Cu-ZSM-5, allowing the maximum  $\text{Cu}^{2+}$  coordination number to be a distorted octahedron by interaction with external ligands, for example the possible reaction intermediates  $\text{NO}_2$  or  $\text{NO}_x^-$ .

Particularly for 850 °C steamed Cu-ZSM-5, the  $\text{Cu}^{2+}$  geometry is identical in the  $\text{NH}_3$ -SCR reaction and unselective  $\text{NH}_3$  oxidation reactions (Fig. S9†), which is strong proof of the great impact of unselective  $\text{NH}_3$  oxidation in the  $\text{NH}_3$ -SCR reaction. It also points out the similarity of the  $\text{Cu}^{2+}$  local structure that is responsible for  $\text{NH}_3$ -SCR and unselective  $\text{NH}_3$  oxidation. In the intermediate reaction temperature of 250–300 °C, desorption of  $\text{NH}_3$  mainly took place on the surface of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters and was followed by the rapid oxidation into  $\text{NO}$ , resulting in the sudden increase of  $\text{NH}_3$  conversion.

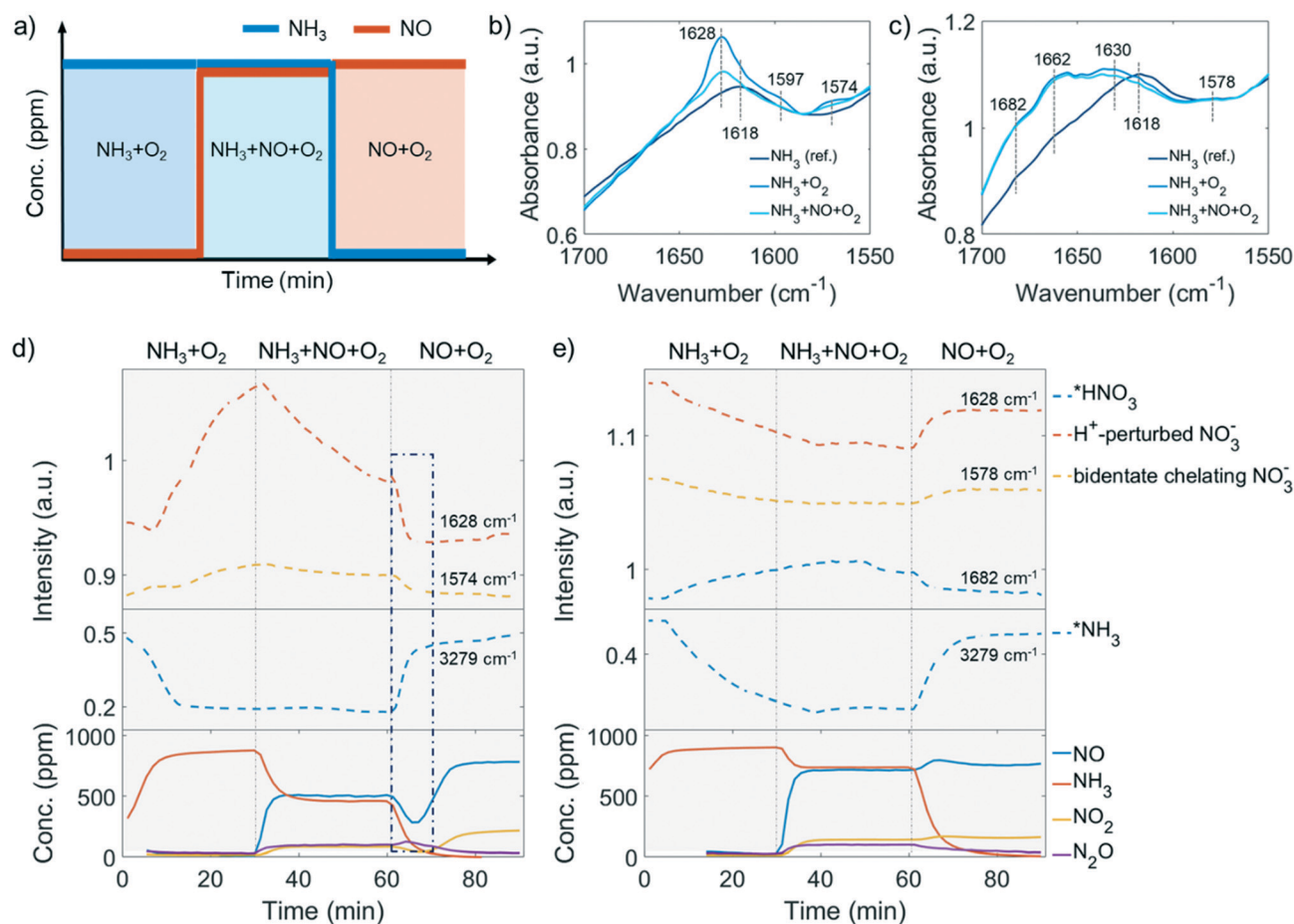
#### 2.4 Adsorption competition revealed by *operando* diffuse reflectance infrared Fourier transform spectroscopy

The low-temperature standard  $\text{NH}_3$ -SCR reaction (<250 °C) attracts extra attention because improved  $\text{NO}$  conversion is needed in this temperature range. The  $\text{NH}_3$ -SCR catalysts inevitably deactivate from steam produced by fuel combustion. As indicated in the catalytic performance results, 250 °C is a critical point, from which the low-temperature  $\text{NH}_3$ -SCR starts to transition to the high-temperature reaction. At this temperature, no apparent side reaction takes place in the  $\text{NH}_3$ -SCR reaction even in the 850 °C steamed Cu-ZSM-5, as  $\text{NH}_3$  and  $\text{NO}$  have the same conversion (Fig. 1d), which is beneficial for investigating the behaviour in  $\text{NH}_3$ -SCR without interference. Adsorbed surface species are potentially useful to gain insight into the reaction and deactivation pathways. The experimental protocol for the DRIFTS experiment is described in Fig. 6a. The experiment was conducted at 250 °C after a calcination step. The reaction started with  $\text{NH}_3$  oxidation, followed by  $\text{NO}$  addition and subsequent  $\text{NH}_3$  removal to achieve  $\text{NH}_3$ -SCR and  $\text{NO}$  oxidation, respectively.

**2.4.1 Observed surface species.** Fig. 6b and c shows the DRIFTS data recorded on fresh and 850 °C steamed Cu-ZSM-5 after exposure to  $\text{NH}_3$ ,  $\text{NH}_3 + \text{O}_2$  or  $\text{NH}_3 + \text{NO} + \text{O}_2$  flow for 30 min at 250 °C. Surface nitrates produced in the *operando* DRIFTS experiment attract the most attention as they have been proposed as an important reaction intermediate. The vibration originating from adsorbed  $\text{NO}_3^-$  species on zeolite Cu-ZSM-5 is in the range of 1570–1700  $\text{cm}^{-1}$ , and the bridging nitrate is found at 1618  $\text{cm}^{-1}$  while the bidentate chelating nitrate is identified by 1597, 1578/1574  $\text{cm}^{-1}$  bands in former FTIR spectroscopy studies under  $\text{NO}_2$  or  $\text{NO} + \text{O}_2$  atmosphere.<sup>11,52–54</sup> The formation of a bidentate nitrate during  $\text{NH}_3$ -SCR has been validated in a density functional theory (DFT) study.<sup>50</sup> However, it should be noted that Lewis acid-adsorbed ammonia ( $\text{L-NH}_3$ ) has its N–H bending frequency at  $\sim 1620 \text{ cm}^{-1}$ , therefore care







**Fig. 6** a) Procedure of the *operando* diffuse reflectance Fourier transform spectroscopy (DRIFTS) experiment performed. The experiment was conducted after  $\text{O}_2$  calcination at 550 °C followed by  $\text{NH}_3 + \text{O}_2$ ,  $\text{NH}_3 + \text{NO} + \text{O}_2$  and  $\text{NO} + \text{O}_2$  reaction at 250 °C with 1000 ppm of  $\text{NH}_3$  and/or 1000 ppm  $\text{NO}$  balanced by 5%  $\text{O}_2/\text{He}$ . The obtained *operando* DRIFTS spectra of surface nitrates in b) fresh and c) 850 °C steamed zeolite ZSM-5. The evolution of selective bands representing surface nitrates (upper panel) and adsorbed  $\text{NH}_3$  (middle panel) were followed and the recorded concentration of effluent gas composition (bottom panel) in the d) fresh and e) 850 °C steamed zeolite Cu-ZSM-5. Missing datapoints at the beginning of the experiment (Fig. 6d and e, bottom panel) are due to values below the limit of detection.

should be taken to the assignment of the  $1618\text{ cm}^{-1}$  band. The assignment of the  $1628\text{ cm}^{-1}$  band is less conclusive. It is often attributed to the  $\nu_{\text{N=O}}$  of a bridging nitrate where two vicinal Cu atoms are required to anchor two nitrate  $\text{O}^{2-}$ .<sup>55</sup> With this postulation, a stronger  $1628\text{ cm}^{-1}$  band should be found in the steamed zeolite Cu-ZSM-5 as more adjacent Cu atoms are available when Cu aggregation has happened. However, this is contradicted by the fact that the  $1628\text{ cm}^{-1}$  band is a shoulder in the steamed Cu-ZSM-5, while it is an intense peak in its fresh counterpart (Fig. 6b and c). Alternatively, the  $1628\text{ cm}^{-1}$  feature has been proposed to be the proton-perturbed chelating nitrate whose  $\text{N=O}$  vibration is affected by the nearby Brønsted acid site; this assignment was shown by a systematic FTIR spectroscopy study of surface  $\text{Cu}^{2+}(\text{N},\text{O})$  species on Cu-CHA,<sup>56</sup> which could explain why the pronounced  $1628\text{ cm}^{-1}$  band was more intense on the fresh Cu-ZSM-5 with well-defined Brønsted acid sites. The  $1662\text{ cm}^{-1}$  and  $1682\text{ cm}^{-1}$  band related to the adsorbed  $\text{NO}_2$  are unique in the 850 °C steamed zeolite Cu-ZSM-5, where the former one can be

attributed to adsorbed  $\text{NO}_2$  while the latter one is from the protonated  $\text{NO}_2$ .<sup>57,58</sup> It is not surprising to observe  $\text{NO}_x$  adsorption on the surface of steamed Cu-ZSM-5 zeolites with abundant hydroxyl groups, which leads to the formation of  $\text{HNO}_x$  during the *operando* DRIFTS experiment where  $\text{NH}_3$ -SCR,  $\text{NH}_3$  oxidation, or  $\text{NO}$  oxidation took place. The conversion between nitrous acid and nitric acid was kept in balance depending on the local concentration of  $\text{NO}$  and  $\text{NO}_2$ . Finally, the  $1682\text{ cm}^{-1}$  band was tentatively attributed to the adsorbed  $\text{HNO}_3$ , considering that  $\text{HNO}_2$  is easily oxidized to  $\text{HNO}_3$  at reaction conditions.

**2.4.2 Competitive adsorption of surface species.** Ammonia with a lone pair of electrons on the N side is one of the main surface species observed during the *operando* DRIFTS experiment. The typical symmetric and asymmetric stretching modes of adsorbed  $\text{NH}_3$  are in the region of  $3400\text{--}3000\text{ cm}^{-1}$  with strong absorbance. The band at  $\sim 3180\text{ cm}^{-1}$  together with a  $1617\text{ cm}^{-1}$  band are characteristic of  $\text{L-NH}_3$ ,<sup>53</sup> which could be observed in fresh and steamed Cu-ZSM-5 zeolites once the catalysts were exposed to  $\text{NH}_3/\text{O}_2$  (Fig. S17 and



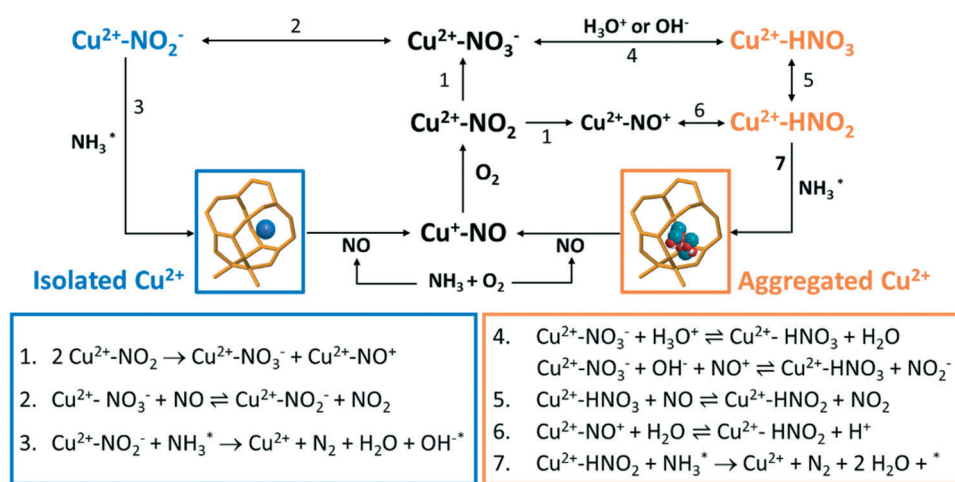
S18†). The evolution of important DRIFTS bands including N–H stretching of L-NH<sub>3</sub>, perturbed framework T–O–T vibration and surface nitrates were followed and are shown in Fig. S19 and S20†. Particularly, the development of adsorbed HNO<sub>3</sub>, H<sup>+</sup>-perturbed nitrate, chelating bidentate nitrate, as well as adsorbed NH<sub>3</sub> were selected to show in Fig. 6d and e, together with the real-time concentrations of reactants and products.

In the first step of the *operando* DRIFTS experiment on the fresh Cu-ZSM-5 zeolite, nitrates developed in NH<sub>3</sub>/O<sub>2</sub> flow (Fig. 6d), suggesting the full oxidation of surface NH<sub>3</sub>, which was also observed in *in situ* FTIR studies on the NH<sub>3</sub> oxidation reaction.<sup>59,60</sup> The formed nitrates replaced the pre-adsorbed NH<sub>3</sub> on Cu<sup>2+</sup> and weakened the adsorbed NH<sub>3</sub> signal (Fig. 6 and S17†). The re-appearance of the Brønsted acid site (Fig. S17a†) might be due to the reaction between the Brønsted acid adsorbed NH<sub>3</sub> (B-NH<sub>3</sub>) and L-NO<sub>3</sub><sup>−</sup> followed by restoration of the proton from H-cleavage of NH<sub>3</sub>.<sup>61</sup> The NH<sub>3</sub> oxidation reaction was followed by the NH<sub>3</sub>-SCR reaction where NO participated and reacted with surface nitrates, resulting in the formation of NO<sub>2</sub> and NO<sub>2</sub><sup>−</sup> (reaction 2, Scheme 1) that avoid the surface blockage by nitrates.<sup>3</sup> Unfortunately, we cannot confirm the formation of surface nitrites as the O–N–O stretching frequency was covered by the signal from symmetric stretching of N–H as well as the intense signal from the zeolite structure.<sup>62,63</sup> In the last step of NH<sub>3</sub> removal, consumption of surface nitrate species accelerated. The involvement of NO in nitrate depletion is now strongly supported by the simultaneous drop of NO concentration as surface nitrates are decreasing, which is indicated by the blue rectangle in Fig. 6d. Interestingly, once the nitrates were depleted, the Cu<sup>2+</sup> site is re-occupied by the residual NH<sub>3</sub> (Fig. 6d, bottom panel). In fact, a similar phenomenon of NH<sub>3</sub>-nitrates competitive adsorption has been reported in Cu-exchanged zeolites, where adsorbed nitrates and NH<sub>3</sub> on Lewis acid sites could be replaced by

each other depending on reaction conditions.<sup>10,64,65</sup> The Lewis acid, which is isolated Cu<sup>2+</sup> in our case of fresh Cu-ZSM-5, is thus the suggested main site for NH<sub>3</sub> adsorption and nitrate formation/adsorption according to the changes of the perturbed framework vibration with the surface species involved (Fig. S17†).

The causes of deactivation were revealed from the *operando* DRIFTS data collected on the 850 °C steamed Cu-ZSM-5 zeolite (Fig. 6e). In NH<sub>3</sub>/O<sub>2</sub> flow, adsorbed NH<sub>3</sub> and nitrates developed in the initial stage, followed by the disappearance of both surface species along with the increased adsorbed nitric acid (Fig. 6e, S18a and c†). No significant change of surface species was observed upon the subsequent addition of NO in the second step. Similarly, the NH<sub>3</sub> desorption in NH<sub>3</sub>/O<sub>2</sub> flow could be explained by the competitive adsorption between NH<sub>3</sub> and nitric acid, because these two species exhibited opposite trends throughout the whole DRIFTS experiment (Fig. 6e). The production of nitric acid is related to the attenuation of surface nitrates (Fig. 6e), which can react with the adjacent proton H<sup>+</sup>/hydronium or surface hydroxyl group to form nitric acid (reaction 4, Scheme 1).<sup>53</sup> The observed adsorbed NO<sub>2</sub> was the precursor for nitric acid formation, showing good agreement with an *in situ* FTIR study conducted on hydroxyl-rich or hydrated silica, γ-Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub>.<sup>58,66,67</sup> In the final step in NO/O<sub>2</sub>, analogous to the nitrate depletion in the fresh sample, nitric acid was reduced by NO (Fig. 6e), producing NO<sub>2</sub> that could turn into nitrate by disproportionation (reaction 5 and 1, Scheme 1).<sup>58,68</sup> The surface coverage of nitrates and nitric acid results from the competition between their formation and consumption.

The competitive adsorption of NH<sub>3</sub> and surface nitrates/nitric acid occurred on both fresh and 850 °C steamed Cu-ZSM-5 zeolites. With the replacement of adsorbed NH<sub>3</sub>, nitrates/nitric acid was generated with the appearance of perturbed framework vibration by Cu<sup>2+</sup> (in the fresh Cu-ZSM-5) and [Cu<sub>x</sub>(OH)<sub>2x-1</sub>]<sup>+</sup> oligomers/clusters (in the 850 °C



**Scheme 1** Possible reactions that take place in the low-temperature NH<sub>3</sub>-selective catalytic reduction (SCR) reaction over Cu-zeolites. The blue colour indicates dominant reactions that can take place on isolated Cu<sup>2+</sup>, while the orange colour stands for possible reactions over aggregated Cu<sup>2+</sup> species, such as [Cu<sub>x</sub>(OH)<sub>2x-1</sub>]<sup>+</sup> oligomers/clusters.



steamed Cu-ZSM-5) as demonstrated in Fig. S17 and S18†. Not all the  $[\text{CuOH}]^+$  sites were involved in the reaction since they were partially preserved in  $\text{NH}_3$ -rich flow and were not perturbed by surface nitrates (Fig. S17a, d and g†). Nitric acid rather than nitrates was the more stable intermediate that was more ready to react with adsorbed  $\text{NH}_3$  in the 850 °C steamed Cu-ZSM-5.

**2.4.3 Nitrate mediated reaction network.** The main reaction discussed here is the standard  $\text{NH}_3$ -SCR reaction, which has competition from side reactions that bring about an intricate reaction system. Adsorbed neutral and ionic  $\text{NO}_x$  were the most common spectator species in the *operando* DRIFTS experiment, and the plausible interconversion between adsorbates is summarized in Scheme 1.<sup>3,53,68,69</sup> It is noted that all reactions in Scheme 1 can happen in fresh and steamed Cu-ZSM-5 zeolites, but reaction routes involving  $\text{HNO}_x$  are more privileged in the steamed Cu-ZSM-5 due to the presence of more aggregated Cu sites.

The coupling of NO oxidation and  $\text{NH}_3$  oxidation with the standard  $\text{NH}_3$ -SCR reaction is through surface nitrates, which are formed from adsorbed  $\text{NO}_2$ .  $\text{NO}_2$  can be formed through several reaction pathways at  $\text{NH}_3$ -SCR reaction conditions according to the catalytic results (Fig. 1).  $\text{NO}_2$  is one of the byproducts generated from the participation of either NO oxidation reaction below 250 °C or unselective  $\text{NH}_3$  oxidation reaction above 250 °C. The NO oxidation reaction produces  $\text{NO}_2$ , which is formed *via* reaction between dissociated  $\text{O}_2$  and loosely adsorbed NO according to a detailed kinetic model of NO oxidation.<sup>70</sup> For  $\text{NH}_3$  oxidation, although its reaction mechanism is still under debate, a two-step reaction pathway named ‘the internal SCR mechanism’ has been proposed where  $\text{NH}_3$  is first oxidized to  $\text{NO}_x$  followed by the  $\text{NH}_3$ -SCR reaction.<sup>1,61</sup>

In the  $\text{NH}_3$ -SCR reaction, the NO oxidation with molecule  $\text{O}_2$  into nitrates through the formation of  $\text{NO}_2$  has been stressed as it is suggested as a rate-determined step.<sup>50</sup> The formation of  $\text{NO}_2$  promotes the formation of surface nitrates and meanwhile boosts the reoxidation of  $\text{Cu}^+$  to  $\text{Cu}^{2+}$  in the oxidation half cycle.<sup>71–74</sup> A Cu monomer in Cu-exchanged zeolites has been reported as the  $\text{NO}_2$  adsorption site, enabling  $\text{NH}_3$ -SCR reaction to proceed with  $\text{NO}_2$  intermediate.<sup>75</sup> Nevertheless,  $\text{NO}_2$  could be also detected as an undesired side product. Upon encountering the hydroxylated or hydrated surface, nitrates could be protonated to form surface nitric acid, which happened in the 850 °C steamed Cu-ZSM-5 as shown in Fig. 6c. However, the surface nitrite rather than nitrate is the key intermediate for the desired  $\text{N}_2$  production, demonstrating the importance of nitrate–nitrite equilibrium (reaction 2 and 4, Scheme 1) which greatly influences the reaction selectivity. Shifting the equilibrium towards nitrite formation was witnessed in both fresh and steamed Cu-ZSM-5 zeolites, deduced by the nitrate depletion with the introduction of NO in  $\text{NH}_3/\text{O}_2$  feed in the *operando* DRIFTS experiments. A similar founding was also described in a combined FTIR-XAS study on zeolite Cu-SSZ-13.<sup>76</sup> Successive reaction of nitrites/nitrous acid with  $\text{L-NH}_3$  lead to products formation (reaction 3 and 7, Scheme 1).

Participation of  $\text{B-NH}_3$  was not observed based on the *operando* DRIFTS data because of the observation of well-preserved of Brønsted acid sites, although some previous studies suggested the surface  $\text{NH}_4\text{NO}_3/\text{NH}_4\text{NO}_2$  is reaction intermediate that decompose to  $\text{N}_2\text{O}/\text{N}_2$  at reaction temperatures.<sup>53,72,77</sup>

**2.4.4 Important mechanistic implications.** Several mechanistic implications related to the low temperature  $\text{NH}_3$ -SCR reaction could be obtained from the *operando* DRIFTS data. Firstly, adsorbed  $\text{NH}_3$  reacts with  $\text{NO}_x$  *via* a Langmuir–Hinshelwood mechanism that surface reaction takes place between two adsorbed species.<sup>1</sup> It is concluded based on the fact that the adsorbed  $\text{NH}_3$  did not directly react with gaseous  $\text{NO}/\text{O}_2$  indicated in the third step in the DRIFTS experiment, but react with surface nitrates, as inferred from the restoration of the Brønsted acid site and  $[\text{CuOH}]^+$  after the  $\text{NO}_3^-$  developed in the  $\text{NH}_3/\text{O}_2$  step (Fig. 6d and S17†). Secondly, the participation of NO in the  $\text{NH}_3$ -SCR reaction can happen *via* the reaction with surface nitrates as explained by the nitrate depletion after NO addition to the  $\text{NH}_3/\text{O}_2$  feed in *operando* DRIFTS experiment (Fig. 6d). Surface nitrates are more likely to form from  $\text{NO}/\text{O}_2$  in the steamed Cu-ZSM-5 with  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters proven by the observation of nitrate development in  $\text{NO}/\text{O}_2$  feed (Fig. S18i†). Finally, no direct participation of a Brønsted acid was found under  $\text{NH}_3$ -SCR reaction conditions at steady state, since the OH stretching signal of Brønsted acid sites was preserved (Fig. S17d†). However, the proton from  $\text{Al-O(H)-Si}$  perturbed the surface nitrates, weakened the OH stretching and caused the redshift of its original vibration of  $3610\text{ cm}^{-1}$  after calcination (Fig. S5†) to  $3602\text{ cm}^{-1}$  when surface nitrates were formed (Fig. S17†). In this way, with the perturbation from the Brønsted acid, the structure of surface nitrates resembles nitric acid, which probably facilitates the reaction with  $\text{NH}_3$ .

## 2.5 Structure–intermediate–performance relationship

A structure–intermediate–performance relationship can be established to elucidate the reasons behind the high activity at low temperature for the  $\text{NH}_3$ -SCR reaction in fresh Cu-ZSM-5 and the undesirable side reactions in the steamed Cu-ZSM-5 by correlating the results from the *operando* UV-vis DRS and DRIFTS experiments.  $\text{NH}_3$  did not completely desorb from Lewis sites according to  $\text{NH}_3$ -TPD (Fig. S7†) and *operando* UV-vis diffuse reflectance spectra at a low reaction temperature (Fig. 5).

Adsorbed  $\text{NH}_3$  is prerequisite for the low temperature reaction (<250 °C), which is ready to react with the surface nitrites/nitrates intermediates. The higher coordination number of  $\text{Cu}^{2+}$  in tetragonally-distorted octahedral symmetry in the fresh Cu-ZSM-5 ensures that enough empty orbitals are available for stabilizing nitrites/nitrates, together with  $\text{NH}_3$  ligands judged by the evolution of the LMCT band. It is noted that the complete desorption of  $\text{L-NH}_3$  happened at ~400 °C in  $\text{NH}_3$ -TPD. Therefore, low surface coverage of  $\text{L-NH}_3$  at 250 °C during the  $\text{NH}_3$ -SCR reaction observed in





*operando* DRIFTS experiment (Fig. S17d†) implied the highly active nature of adsorbed  $\text{NH}_3$  on isolated  $\text{Cu}^{2+}$  for the formation of nitrites/nitrates intermediates.

As for the 850 °C steamed Cu-ZSM-5 zeolite, NO and  $\text{NH}_3$  conversion was low at 150–250 °C during which the pseudo-tetrahedral  $\text{Cu}^{2+}$  ( $\text{O}_3\text{-Cu}^{2+}\text{-NH}_3$  or  $\text{O}_2\text{-Cu}^{2+}\text{-(NH}_3)_2$ ) accumulated likely due to the nitrates/nitric acid adsorbed  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters coordinated with a  $\text{NH}_3$  ligand. A slower reaction rate between adsorbed  $\text{NH}_3$  and nitrates/nitric acid was found on  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters because of the co-existence of these surface species. And the surface coverage of nitrites/nitrates intermediates was also lower in the steamed Cu-ZSM-5 zeolite compared to that of in its fresh counterpart (Fig. 6d and e). When the  $\text{L-NH}_3$  desorption started from 250 °C, the  $\text{Cu}^{2+}$  in pseudo-tetrahedral symmetry also began to disappear due to  $\text{NH}_3$  removal (Fig. 5c and e). In the steamed Cu-ZSM-5, the freed  $\text{NH}_3$  was expeditiously oxidized and released NO from the surface of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters because of its weaker coordinating ability to stabilize reaction intermediates. This caused the peaked conversion of  $\text{NH}_3$  and the undesired NO production at 250–300 °C. Additionally, in the 850 °C steamed Cu-ZSM-5, the same active unit and the same intermediate resulted in the same pseudo-tetrahedral  $\text{Cu}^{2+}$  structure with mixed  $\text{NH}_3$  and nitrates/nitric acid in the  $\text{NH}_3$ -SCR and  $\text{NH}_3$  oxidation reaction process. The  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters could be further aggregated into  $\text{Cu}(\text{OH})_2$ , which was recently proposed as the precursor of the inactive  $\text{CuAl}_2\text{O}_4$  species.<sup>9</sup>

## 2.6 Practical implication of detected acidic products

Understanding the relationship between the  $\text{NH}_3$ -SCR reaction and its side reactions including  $\text{NH}_3$  oxidation and NO oxidation is required to address the practical problems that the  $\text{NH}_3$ -SCR catalysts, which are Cu-based zeolites, inevitably experience during hydrothermal aging in the exhaust pipe of a vehicle. This causes irreversible structural damage that starts from local degradation of framework Al or Cu migration/aggregation. Changes in the structural properties tune the reaction direction and consequently the reaction activity and selectivity. Protonated  $\text{NO}_2$  was identified as a significant spectator based on the *operando* DRIFTS experiment over the steamed zeolite Cu-ZSM-5, suggesting a hydroxylated environment around the  $\text{NO}_2$  adsorption site, for example the  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters found in this study. Moreover, the effects of abundant internal silanol groups generated from the steaming process cannot be ruled out, which is facilitated for  $\text{H}_2\text{O}$  adsorption *via* hydrogen bonding. Hydroxyl groups and  $\text{H}_2\text{O}$  should be considered in the nitrate-mediated reaction network, resulting in the formation of surface  $\text{HNO}_3$  and  $\text{HNO}_2$  according to reactions 4–6 in Scheme 1. The acid–base reaction between  $\text{HNO}_x$  and  $\text{NH}_3$  occurs to form  $\text{NH}_4\text{NO}_x$  which is capable of decomposing into  $\text{N}_2$  or  $\text{N}_2\text{O}$ .<sup>53,78,79</sup> It should be stressed that surface  $\text{HNO}_3/\text{HNO}_2$  is not exclusive

to the steamed zeolite since it has also been reported to be involved in elementary steps in the  $\text{NH}_3$ -SCR reaction in a microkinetic model over Cu-ZSM-5.<sup>78</sup>

Although  $\text{NO}_2$  incorporates in the reaction through fast  $\text{NH}_3$ -SCR or is converted into surface  $\text{NO}_x^-$  or  $\text{HNO}_x$ , excessive  $\text{NO}_2$  was still detected from the outlet even from the fresh zeolite Cu-ZSM-5. Considering the practical reaction conditions after a vehicle engine, the limited amount of acidic  $\text{NO}_2$  byproduct can convert to nitric acid in  $\text{H}_2\text{O}$  vapor ( $4\text{NO}_2 + 2\text{H}_2\text{O} + \text{O}_2 = 4\text{HNO}_3$ ) produced by diesel combustion, while the nitric acid can also be reversely decomposed to  $\text{NO}_2$  at relatively high operational temperatures. Therefore, another adsorbent/catalyst to trap or further remove of possible undesired acidic components is still necessary after the  $\text{NH}_3$ -SCR unit in an automotive emission control system. Alkali or alkaline earth metal oxide based catalysts are promising lean  $\text{NO}_x$  trap (LNT) catalysts,<sup>80</sup> which can be placed at the exist of the  $\text{NH}_3$ -SCR unit to limit the emission of acidic byproducts.

## Conclusions

Side reactions in the  $\text{NH}_3$ -SCR reaction, such as NO oxidation and  $\text{NH}_3$  oxidation, should not be neglected, especially when considering the practical application of the Cu-zeolite-based catalysts in the tailpipe exhaust treatment of diesel vehicles. The contribution of NO oxidation was found at low reaction temperatures (<250 °C), which is considered an essential temperature range for  $\text{Cu}^+$  reoxidation in the redox cycle. When the reaction temperature is higher than 250 °C, the contribution from unselective  $\text{NH}_3$  oxidation accelerated and produced NO, resulting in a ‘dip’ shape of the NO conversion curve in the  $\text{NH}_3$ -SCR reaction in steamed Cu-ZSM-5 zeolites. The occurrence of unselective  $\text{NH}_3$  oxidation was found to be more significant in the more severely steamed Cu-ZSM-5 samples, which was ascribed to the aggregation of Cu whose structure is postulated to be  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters.

Combining the results from the *operando* UV-vis DRS and DRIFTS experiments, we propose that  $\text{Cu}^{2+}$  probably degraded into  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters, which can further grow into  $\text{Cu}(\text{OH})_2$  nanoparticles in the steamed zeolite Cu-ZSM-5. The dynamic changes in the symmetry of the  $\text{Cu}^{2+}$  complex revealed *via operando* UV-vis DRS show the structural reason for the high  $\text{NH}_3$ -SCR reaction activity of the fresh Cu-ZSM-5 and the deactivation of steamed Cu-ZSM-5. Octahedral  $\text{Cu}^{2+}$  with a coordination number of six can be formed during the  $\text{NH}_3$ -SCR reaction in the fresh Cu-ZSM-5, facilitating the reaction with a high surface coverage of intermediates. The low temperature reaction showed the preference for  $\text{NH}_3$  coordination, which is replaced by  $\text{O}^{2-}$ -oriented ligand coordination at elevated reaction temperature, confirming different reaction mechanisms in low- and high-temperature  $\text{NH}_3$ -SCR. There is a more pronounced formation of pseudo-tetrahedral  $\text{Cu}^{2+}$  in the steamed Cu-ZSM-5 during the low temperature reaction. The



pseudo-tetrahedral symmetry is closely related to partially de-ammoniated  $\text{Cu}^{2+}$  and its adsorption on the surface of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters. The relaxation of this distorted structure by further removal of  $\text{NH}_3$  ligand brings about the undesired  $\text{NH}_3$  oxidation reaction. It should be noted that the same geometric structure of a  $\text{Cu}^{2+}$  center is shared with low-temperature  $\text{NH}_3$ -SCR and  $\text{NH}_3$  oxidation, but higher  $\text{NH}_3$  conversion was found in the  $\text{NH}_3$ -SCR reaction, stressing the important role of NO in  $\text{NH}_3$ -SCR reaction.

The performed *operando* DRIFTS experiments suggest that isolated  $\text{Cu}^{2+}$  in the fresh Cu-ZSM-5 and the  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters in the steamed Cu-ZSM-5 are the main sites participating in the  $\text{NH}_3$ -SCR reaction up to 250 °C. This can be concluded from the competitive adsorption between  $\text{NH}_3$  and surface nitrates/nitric acid at 250 °C, because they share the same adsorption sites on Lewis acid sites. Surface nitrates are the key surface species to bridge the  $\text{NH}_3$ -SCR,  $\text{NH}_3$  oxidation and NO oxidation reactions. However, surface nitric acid was more prevalent in the steamed Cu-ZSM-5 because of the presence of high density of hydroxyl groups. The high surface coverage of nitrates/nitric acid was reconciled by the reaction with NO to avoid the surface blockage; this reaction governs the nitrate–nitrite equilibrium that determines the selectivity of the reaction. Additionally, no direct involvement of Brønsted acid sites in the  $\text{NH}_3$ -SCR reaction was observed at steady state, instead, the surface nitrates were perturbed by the nearby proton, probably from the Brønsted acid sites. Finally, a structure–intermediate–performance relationship could be established to elucidate the low  $\text{NH}_3$ -SCR activity and the ‘dip’ shape of NO conversion curve in the steamed Cu-ZSM-5: the pseudo-tetrahedral  $\text{Cu}^{2+}$  complex of  $[\text{Cu}_x(\text{OH})_{2x-1}]^+$  oligomers/clusters with associated  $\text{NH}_3$  and nitrates/nitric acid exhibited low activity below 250 °C due to the relatively strong adsorption of surface species; the further increase in temperature (above 250 °C) released the  $\text{NH}_3$  and directed the unselective  $\text{NH}_3$  oxidation. For practical implications, the formation of adsorbed  $\text{NO}_2$  and surface nitric acid should be considered for a better design of vehicle exhaust control systems to meet the requirement of future stringent regulations.

## Experimental

See ESI.†

## Author contributions

X. Ye designed and performed the experiments, as well as processed the acquired data, and drafted the manuscript. R. Oord and M. Monai participated in the discussion of the results, while J. Schmidt provided scientific suggestions and revised the manuscript. T. Chen, F. Meirer and B. M. Weckhuysen supervised the research and the preparation and writing of the article.

## Conflicts of interest

The authors declare no conflicts of interest.

## Acknowledgements

This work is supported by the Netherlands Organization for Scientific Research (NWO) in the frame of a Gravitation Program (MCEC, Multiscale Catalytic Energy Conversion). X. Y. acknowledges support from China Scholarship Council (CSC). Ru-Pan Wang (Department of Physics, University of Hamburg) is acknowledged for the discussion of the *operando* UV-vis DRS results.

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