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## Emerging investigators series: sewer surveillance for monitoring antibiotic use and prevalence of antibiotic resistance: urban sewer epidemiology†

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Sewer surveillance may be a useful tool for epidemiology that would benefit from improved understanding of the fate of microbial agents and prescription antibiotics during conveyance in sewer systems. The aim of this review is to provide an overview of the factors affecting the loading and fate of antibiotics and antibiotic resistant bacteria (ARB) in sewer systems. A review of surveillance studies for antibiotics and antibiotic resistant bacteria is presented. Then, the role of potentially complicating sewer inputs (e.g., the presence of health care facilities in a sewershed), and evidence for temporal variations in antibiotics and ARB are reviewed. Recommendations for best practices for sampling are made. Finally, evidence is presented for in-sewer attenuation of antibiotics and attenuation, growth and gene transfer for ARB. There is potential for, but limited evidence of, sewers serving as a reservoir for ARB growth and horizontal gene transfer. This review highlights the need for better understanding of ARB carriage in the general population and the impact of in-sewer processes on the fate of antibiotics and ARB.

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### Water impact

Sewer surveillance may be a useful tool for epidemiology that would benefit from improved understanding of the fate of microbial agents and prescription antibiotics during conveyance in sewer systems. Improving our understanding of these contaminants in sewer systems may also help in characterization of risk in sanitary and combined sewer overflows and the general potential exposure hazard for public works employees.

## Manuscript

An executive order recently called for improved antibiotic stewardship and monitoring of antibiotic resistance in the

U.S.<sup>1</sup> Sewer surveillance may be a useful tool for epidemiologists wishing to monitor antibiotic resistance in sewer communities,<sup>2</sup> that would benefit from improved understanding of the fate of microbial agents and prescription antibiotics during conveyance in sewer systems. Monitoring antibiotic resistance at the community level often focuses on aggregating results from patients seeking treatment for infections, which limits our understanding of resistance carriage in non-health care associated populations. Alternatively, municipal sewage can serve as an all-inclusive

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reservoir of community-level public health data. Indeed, using sewage rather than individual fecal samples has been suggested as a “practical target” for antibiotic resistance screening to improve detection while reducing workload.<sup>3,4</sup> To date, the main focus of using sewer surveillance has been in the illicit drug monitoring community,<sup>5</sup> but it has also been successfully applied to other markers of health (e.g., Tamiflu consumption,<sup>6</sup> polio virus,<sup>7,8</sup> incidence of obesity<sup>9</sup>). Knowledge of antibiotic consumption may facilitate tracking the incidence of infections, prescription compliance, and use of antibiotics of last resort. It is likely that a broad range of useful data may be collected in sewer systems by including the monitoring of biological agents.

Understanding the fate of antibiotic resistant bacteria (ARB) in sewer systems may help in characterization of the potential exposure hazard for public works employees and risk in sanitary sewer and combined sewer overflows, which are poorly characterized sources of ARB in the environment. Alarming, but not surprisingly, high levels of antibiotic resistant bacteria were found in surface waters affected by combined sewer overflows.<sup>10,11</sup> Because sewer deposits represent a major portion of the solids and biological loading in combined sewer overflows,<sup>12</sup> understanding their relative loading of ARB is critical.

The aim of this review is to provide an overview of the factors affecting the loading and fate of antibiotics and antibiotic resistant bacteria in sewer systems, make recommendations about best practices in the monitoring of AR and ARB in sewer systems, compile evidence for the role of sewers in the survival and growth of ARB, and highlight areas of uncertainty that merit additional study.

## 1. Potential for surveillance of antibiotic use

Sewer surveillance may prove especially useful for monitoring community-level public health where there is a lack of alternative means of tracking (e.g., illicit drug use<sup>13</sup>) or where it is exclusively associated with specific behaviors (e.g., tracking Tamiflu<sup>6</sup> and antiviral compliance,<sup>14</sup> or cotinine and nicotine use<sup>15</sup>). The potential benefits of tracking antibiotics directly include (1) to understand their potential role in selection for and survival of antibiotic resistant organisms and genetic elements in sewers (section 5), (2) to estimate rates of prescription compliance, and (3) to provide an alternative record for monitoring trends in the use of specific drug classes, especially in locations where prescription/sales records are not available. Methods for quantifying antibiotics and their metabolites in sewage generally involve one or more cleanup steps (such as filtration), then pre-concentration (e.g., solid phase extraction) followed by liquid chromatography-tandem mass spectrometry. Necessary analytical conditions are dictated by the structure of the desired biomarker. A recent review of the analytical approaches in agriculture, which are similar to those employed in wastewater analysis, is available elsewhere.<sup>16</sup> This review also highlights the important

consideration that measured concentrations do not necessarily represent bioavailability.

Antibiotics entering sewage collection systems *should* reflect excreted concentrations because patients are no longer directed to dispose of unused antibiotics in sewerage systems.<sup>17</sup> Sewer surveillance could represent an improvement over monitoring *via* sales<sup>18</sup> or prescription rates.<sup>19</sup> Where prescriptions rates were available, researchers found a positive but insignificant correlation between prescription rates for four fluoroquinolones and detection rates in hospital sewage.<sup>20</sup> Outside of medical settings, prescription rates may be a less accurate measure of antibiotic use given that there is a 9.9–44% worldwide admitted non-compliance rate for antibiotics prescribed for acute infections.<sup>21</sup> Thus, using sewage-based monitoring could be beneficial to understanding compliance outside of hospitals which is especially important because non-compliance may result in antibiotic resistance in patients [e.g., Thomas *et al.*<sup>22</sup>].

Sewage monitoring for antibiotics requires understanding of excretion rates which will differ from administered doses of antibiotics due to compound specific differences in adsorption and metabolism. For example, 90% of tetracycline and only 15% sulfamethoxazole is excreted in urine and feces (as reviewed by Jjemba<sup>23</sup>). Excretion has been noted as a source of uncertainty in sewage studies tracking illicit drug use.<sup>13</sup> There are varying reports for the agreement between predicted environmental concentrations (PEC,  $\mu\text{g L}^{-1}$ ) and observed antibiotic concentrations in raw sewage. Several researchers have calculated PEC for antibiotics in raw sewage:

$$\text{PEC} = \frac{I \times P \times f}{Q \times 365} \quad (1)$$

where  $I$  is the *per capita* annual consumption (mg *per capita* per year),  $P$  is the number of people contributing sewage to a given wastewater treatment plant (WWTP),  $f$  is the fraction of parent compound reaching the plant inlet taking into account only excretion rates, and  $Q$  is the flow rate ( $\text{m}^3$  per day) at the WWTP. Using this approach, observed concentrations of fluoroquinolones ciprofloxacin and ofloxacin were 2–3.3% of PEC in a hospital sewage line.<sup>24</sup> Carballa *et al.*<sup>25</sup> found that measured influent concentrations for two WWTP in Spain were either within the range of PEC or 9.6–250% above the maximum PEC for sulfamethoxazole, roxithromycin, erythromycin, and trimethoprim. Using a similar approach that also accounted for potential dilution at higher flow rates, Göbel *et al.*<sup>26</sup> found theoretical loads were within a factor of two for measured concentrations of antibiotics in sewage influent. A similar study focusing on other pharmaceuticals<sup>27</sup> highlighted uncertainties related to sampling (missing pulses), degradation in sewers, pharmacokinetics, and dumping of unused drugs. PEC estimates represent a yearly average and need to be adjusted to account for temporal variations in excretion (section 4) and losses in sewer (section 1.1). Nonetheless, they serve as evidence of the relationship between



antibiotics in sewers and antibiotic prescription and presumed use.

### 1.1 Evidence for fate and transport affecting antibiotic levels in sewers

Lower levels of antibiotics may reach sewage system intakes than are excreted if sorption and/or losses to biodegradation occur during conveyance. The redox conditions, hydraulics, sewer type, sediment type, and degradation rate may impact the fate of antibiotics during conveyance. While sewers are designed to convey wastewater and any suspended solids they contain, sediment deposition in sewers is ubiquitous.<sup>28</sup> Sewer sediments and biofilms develop in sanitary, storm, and combined sewer systems.<sup>29</sup> Sorption of antibiotics to biofilm has been demonstrated in annular biofilm reactors<sup>30</sup> and should be occurring in sewer lines. In a simulated sewer system collecting wastewater from a hospital, fluoroquinolone was found to accumulate in sewer sediments.<sup>24</sup> Jarnheimer *et al.*<sup>24</sup> concluded that sewer sediments would provide a “time-integrated” measure of antibiotic use and can be expected to attenuate flux of antibiotics in sewer systems. Whether this attenuation results in an environment driving selection for resistance is important to understand (section 5).

The potential for biodegradation of other high molecular weight compounds in sewers has been demonstrated.<sup>31,32</sup> Biodegradation of antibiotics in sewers can be expected to be a function of antibiotic class and redox conditions. Gravity sewers will be re-aerated while pressurized (rising main) sewers will be anaerobic<sup>33</sup> and this difference in redox state will affect biodegradation. While there is a growing body of knowledge on the biodegradation of antibiotics in WWTP and soils, no known research has assessed the biodegradation of antibiotics in sewer lines. However, studies comparing PEC and influent concentrations provide some insight. For example, researchers comparing consumption rates to WWTP influent concentrations noted that the administered ratio of sulfamethoxazole and trimethoprim was consistent with the ratio observed in the treatment plant influent<sup>26</sup> suggesting if excretion of these compounds is the same that either a lack of transformation or similar rates of degradation/sorption is occurring for these compounds during conveyance. More information is needed to determine the importance of biotransformation of antibiotics during conveyance.

## 2. Potential for surveillance of antibiotic resistance in sewers

Programs for tracking antibiotic resistance in humans in health care settings are underway on several continents [North America: Canadian Antimicrobial Resistance Surveillance System (CARSSR) in Canada, National Antimicrobial Resistance Monitoring System for Enteric Bacteria (NARMS) in the US; Australia (administered through the Australian Commission on Safety and Quality in Health Care); European

Antimicrobial Resistance Surveillance Network (EARS-Net), and Central Asian and Eastern European Surveillance of Antimicrobial Resistance (CASEAR)]. These surveillance programs for antibiotic resistance target sick populations and researchers have noted that resistance rates in healthy populations are not well monitored.<sup>34</sup> In contrast, results of sewer surveillance have potential for revealing population level variations in resistance for both healthy and sick human populations.

Using sewage rather than individual fecal samples has been suggested as a “practical target” for antibiotic resistance screening to improve detection while reducing workload.<sup>3,4</sup> Sewer surveillance would primarily target antibiotic resistant bacteria (ARB) excreted in human feces and urine, but may also contain ARB washed off skin and in saliva, mucus, sputum, *etc.*, entering waste collection systems. The majority of the excreted ARB may be expected to be commensal ARB, given that resistance can persist in intestinal flora following antibiotic treatment [*e.g.*, Jakobsson *et al.*<sup>35</sup>]. In contrast to individual gut microbiomes, which vary to the point that no single core set of bacterial species has been found in all guts, a growing body of research indicates that human fecal bacteria that survive in sewers are highly conserved across municipalities in the US<sup>36–38</sup> and that variations in this community are related to public health (*e.g.*, obesity).<sup>9</sup> It has been suggested that the averaging that is accomplished by sewage sampling would allow for broader analysis of demographic influences (*e.g.*, comparing cities, countries).

Currently applied methods to detect antibiotic resistant bacteria in sewage fall into two general categories: cultivation-based phenotypic (*e.g.*, disc diffusion or microdilution) and genotypic approaches (*e.g.*, PCR, quantitative PCR, metagenomics). The cultivation based techniques provide proof of viable ARB and standard methods are available (*e.g.*, disc diffusion methods). Genotypic methods provide evidence of the presence of ARG and can be used in mixed microbial communities. Genotypic results may be reported as presence/absence (PCR), quantitative (qPCR), or semi-quantitative (metagenomics, which have not yet been reported for sewer surveillance). For a more complete review of current methods and the advantages and disadvantages, the reader is referred to a recent review.<sup>39</sup>

**Measurements of ARB in sewage have demonstrated increases in resistance over time that match trends observed in health care associated populations.** Multiple antibiotic resistance (MAR) increased over a three year study period in urban wastewater *E. coli* isolates matching trends in data from the European Center for Disease Prevention and Control (ECDC).<sup>40</sup> Similarly, antibiotic resistance in *E. coli* isolates sampled nine years apart in sewage sludge (not raw influent) increased for the majority of the antibiotics (11/15) tested.<sup>34</sup> This result matched trends in the human fecal *E. coli* isolate population from the same period. In both of these studies some or all the antibiotics tested showed lower resistance rates in urban wastewater compared to the available human



isolate data. This is likely because the available human isolate data is collected from health care settings where higher levels of resistance may be expected. Less resistance to amoxicillin, amoxicillin/clavulanic acid, ciprofloxacin, norfloxacin, and trimethoprim-sulfamethoxazole was observed for *E. coli* in sewage sludge compared to human isolates (urine, sputum, stool, wound swabs, *etc.*).<sup>34</sup> Comparing urban wastewater isolates to the most recent ECDC data for human blood isolates revealed urban wastewater had lower percentages of ampicillin, ciprofloxacin, cefotaxime, and gentamicin resistant isolates than the ECDC.<sup>40</sup> Both of these differences could be explained by higher antibiotic use in health care settings where the isolate samples were collected from patients. Increased antibiotic use (based on sales data) has also been linked with higher rates of resistance. For example, in Sweden where vancomycin purchases were known for two hospitals, higher levels of vancomycin-resistant *Enterococcus* (VRE) were demonstrated in the sewage from hospitals that purchased five times more vancomycin per hospital bed.<sup>41</sup> Thus, sewage based, population level screening may be useful for elucidating the relationship between antibiotic use and resistance rates for a broader spectrum of antibiotics.

Few studies have attempted to make direct comparisons between AR observed in sewage and AR in the sewage generating population. Comparing coliform isolates from hospital sewage to clinical isolates showed that the hospital sewage had higher frequencies of resistance to ampicillin, ampicillin/sulbactam, ceftazidime, and cefotaxime than clinical isolates and a higher prevalence of multiple antibiotic resistance (MAR) (>5/8 antibiotics).<sup>42</sup> But, the lack of data on the frequency of antibiotic resistance in non-health care associated human populations has limited the ability to perform such comparisons between the general population and urban sewage.<sup>34</sup>

### 3. Role and relative importance of other sources of antibiotics and ARB in sewers

Sewersheds containing hospitals can be expected to have different antibiotic profiles from urban sewage. Certain antibiotics reserved for difficult to treat infections ('antibiotics of last-resort,' examples provided in Table S1†) may only be present in hospital sewage. In a study in Portugal, the antibiotic profiles detected in hospital sewage compared to residential sewage matched prescription patterns: ciprofloxacin, ofloxacin were higher in hospital sewage and sulfamethoxazole, tetracycline, and penicillin G were higher in raw urban influent.<sup>43</sup> Therefore, hospital inputs in a sewershed must be delineated before interpreting surveillance results for antibiotics. The relative role of hospital sewage as a source of antibiotics and other pharmaceutical micropollutants has received considerable attention and the reader is referred to other studies and reviews for further information on this topic and state of science regarding the potential necessity

for pre-treatment.<sup>19,44–46</sup> Several studies have measured antibiotics in urban and/or hospital sewage and we have summarized the levels observed in (Fig. 1 adapted from Verlicchi *et al.*<sup>46</sup>). Our literature review confirms several cephalosporin, tetracyclines, macrolide, and quinolone antibiotics are present in municipal and hospital sewage above the predicted no-effect level [previously estimated by Kümmerer and Henninger by dividing the minimum inhibitory concentration for 50% of the population (MIC<sub>50</sub>) by 10].<sup>47</sup> Whether these concentrations are selecting for ARB in sewers will be in part a function of their bioavailability (section 1.1).

#### 3.1 Hospital and health care associated ARB

The best studied potential complicating factor in using sewer surveillance for ARB is the contribution of hospitals in the sewershed. Urine and fecal matter from patients with infectious diseases can enter sanitary sewers because our wastewater treatment systems are designed to inactivate infectious agents.<sup>48</sup> Because of the different antibiotic prescription rates<sup>19,45,49</sup> and patterns in hospitals compared to municipal populations, hospital sewage has the potential to be a concentrated and/or unique source of ARB. For example, hospital sewage may serve as a source of emerging resistance determinants and MAR pathogens like carbapenem-hydrolysing beta-lactamase KPC-2-producing *Klebsiella pneumoniae*.<sup>50</sup>

Hospital effluents contribute ARB to sewers: a significantly higher proportion of sulfamethoxazole resistant *E. coli* was observed downstream of hospital inputs in municipal sewers compared to upstream of the hospital.<sup>51</sup> This type of in-sewer study is rare and more often comparisons are made between municipal influent and hospital effluents. Generally, hospital effluents have been found to have higher rates of AR and select ARG compared to municipal effluent (Table 1). There have been reports of exceptions to this trend. Higher incidence of resistance in isolates from municipal influent than hospital effluent was reported for (1) *E. coli* with tetracycline in Denmark,<sup>52</sup> ceftazidime in Poland,<sup>53</sup> ciprofloxacin in Ireland,<sup>51</sup> (2) Enterococci with nitrofurantoin in Portugal,<sup>54</sup> vancomycin in Sweden, Spain, and the UK,<sup>3,41</sup> and (3) coliform with amoxicillin in Portugal<sup>55</sup> and cephaloridine in South Africa.<sup>56</sup> The matrix with the highest incidence of resistant isolates was not necessarily consistent for the same organism with different antibiotics. For example, while higher incidence of erythromycin resistant Enterococci were observed in hospital effluent, higher incidence of vancomycin resistant Enterococci were observed in municipal sewage in Sweden.<sup>3</sup> Of particular interest is the prevalence of VRE in municipal influents which was especially surprising in Sweden, given that a reduction in clinical VRE infections occurred after use of the growth promoter avoparcin ceased. The VRE observed in municipal influents may represent high level of sustained resistance due to co-selecting factors.<sup>41</sup> This finding was not consistent across geographies, with higher incidence of VRE in municipal influent in Sweden and the UK<sup>3,41</sup> and higher incidence of VRE in hospital effluent in Spain and





**Fig. 1** Box and jitter plot of hospital sewage (triangles) and municipal sewage (squares) antibiotic concentrations for select antibiotics. Red lines represent predicted no-effects concentrations (MIC/100) reported by Kümmerer and Henninger.<sup>47</sup> Color coding for jitter based on antibiotic class. Antibiotic data from ref. 20, 24–26, 43, 63, 80–103. AMP = ampicillin, AMX = amoxicillin, AZM = azithromycin, CFZ = ceftazidime, CHL = chloramphenicol, CIP = ciprofloxacin, CLI = clindamycin, CLR = clarithromycin, CRO = ceftriaxone, CTX = cefotaxime, CXM = cefuroxime, DOX = doxycycline, ENX = enoxacin, ERY = erythromycin, MTZ = metronidazole, NOR = norfloxacin, OFX = ofloxacin, ROX = roxithromycin, SDZ = sulfadiazine, SMX = sulfamethoxazole, SRZ = sulphamerazine, TET = tetracycline, TMP = trimethoprim.

Portugal.<sup>3,57</sup> Clonal analysis and typing confirmed the clinical origin of the VRE in sewage in Portugal.<sup>54</sup> Whether hospital ARB loads affect municipal influent will depend in part on the proportion of the municipal influent that comes from hospitals (*i.e.*, dilution of hospital inputs) and may be obscured by high levels of community resistance. However, these inputs could be amplified if ARB are able to preferentially grow or engage in horizontal gene transfer (HGT) in sewer systems (see section 5).

The impact of other health care settings is less well studied. Bäumlisberger *et al.*<sup>58</sup> investigated ARGs and mobile genetic elements (MGE) arising from German nursing home wastewater effluent by sampling sewage upstream and downstream in different seasons. Although they found seasonal differences in both microbial community structure and the ARG and MGE abundance, they concluded that nursing homes are not significant sources of ARG or MGE, because upstream and downstream samples were not significantly different.

### 3.2 Non-human fecal sources of antibiotics and ARB

Other non-human sources of ARB may be present in sewers that could complicate interpretation of sewer surveillance. Slaughterhouse wastewater contains ARB.<sup>59</sup> However, a study comparing slaughterhouse and municipal wastewater found higher levels of extended spectrum beta-lactamase (ESBL)-producing *E. coli* in the municipal wastewater.<sup>60</sup> Combined and separate sanitary sewers may both contain feces from domestic pets from intentional disposal or livestock or domestic pets from runoff. Domestic pets have been shown to carry ARB, as reviewed by Guardabassi *et al.*<sup>61</sup> Food waste may also be a source of ARB in sewers given that foods may carry ARB.<sup>62</sup> The relative importance of these sources of ARB would need to be better understood to correctly interpret sewer surveillance data.

## 4. Temporal variations and sampling design

Best practices for sampling sewage for sewer surveillance may be taken from reviews of the illicit drug literature. However, some considerations for antibiotics and ARB supported by the literature are included here. Antibiotic concentrations often vary across the day in sewage. The variation of antibiotic concentrations in sewage will be partly a function of the timing and number of doses of an antibiotic, half-life in the body, sewage flow rate, discharge timing, and conveyance system residence time. In two separate studies of hospital sewage, considerable variation in antibiotic concentrations in sewage was observed over a 24 h period.<sup>20,63</sup> Adjusting for variation in flow rate did not explain the variation in ofloxacin, ciprofloxacin, sulfamethoxazole, trimethoprim, metronidazole, and doxycycline in hospital sewage.<sup>63</sup> It is possible that accounting for dosing and half-lives could help explain these results. The fairly consistent concentrations of sulfonamides and trimethoprim across a day in municipal sewage was explained by the twice daily dosing and ~10 h half-life.<sup>26</sup> In contrast, the variable daily profile of macrolides in sewage was explained by the once a day dosing and 10–14 h half-lives in the body.<sup>26</sup> Likewise, certain antibiotics (*e.g.*, macrolides) have shown seasonal variation in sewage that corresponded to seasonal variation in sales.<sup>26</sup> The potential for daily and seasonal variation must be considered when designing sampling schemes for antibiotic surveillance in sewers.

Likewise, sampling of sewage has revealed temporal variation in ARB and ARG that a surveillance program must take into account. Seasonal variation in resistance was observed in *Enterobacteriaceae* isolated from hospital sewage: a higher prevalence of ESBL-positive and beta-lactamase producing isolates were observed in summer and spring corresponding to greater antibiotic consumption.<sup>64</sup> Variation in *mecA*





Table 1 Summary of research studies comparing the relative abundance of ARB and/or ARG in hospital versus municipal sewage

| Location                      | Isolate                 | Antibiotic resistance phenotype |                   | Resistant counts, samples, isolates, or strains in sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) | Resistant counts, samples, isolates or strains in hospital sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) | ARG                     |                                  | Comp. to human database or clinical samples | Ref. |
|-------------------------------|-------------------------|---------------------------------|-------------------|---|---|-------------------------|----------------------------------|---|------|
|                               |                         | Anti-biotic <sup>a</sup>        | ARG               |   |   | ARG sewage <sup>c</sup> | ARG hospital sewage <sup>c</sup> |   |      |
| South Africa                  | Coliform                | AMP <sub>25</sub>               | 20 (c, N = 9s)    | 38 (c, N = 9s)  |   |                         |                                  |   | 56   |
|                               |                         | CER <sub>15</sub>               | 19                | 15  |   |                         |                                  |   |      |
|                               |                         | CHL <sub>25</sub>               | 45                | 62  |   |                         |                                  |   |      |
|                               |                         | KAN <sub>25</sub>               | 3                 | 39  |   |                         |                                  |   |      |
|                               |                         | NEO <sub>25</sub>               | 2                 | 37  |   |                         |                                  |   |      |
|                               |                         | OXY <sub>25</sub>               | 7                 | 48  |   |                         |                                  |   |      |
|                               |                         | STR <sub>25</sub>               | 22                | 76  |   |                         |                                  |   |      |
|                               |                         | S <sub>25</sub>                 | 35                | 73  |   |                         |                                  |   |      |
| Stockholm and Uppsala, Sweden | Enterococci             | TET <sub>25</sub>               | 4                 | 31  |   |                         |                                  |   | 41   |
|                               |                         | VAN <sub>8</sub>                | 60 (N = 35s)      | 36 (N = 14s)  | <i>vana</i>   | 96% (N = 23 VRE)<br>4%  | 20% (N = 5 VRE)<br>80%           | Grab or flow integrated RS, grab for HS     |      |
| Stockholm, Sweden             | <i>E. coli</i>          | AMP <sub>32</sub> CT            | 16 (N = 1326i)    | 36 (N = 451i)**   |   |                         |                                  |   | 40   |
|                               |                         | X <sub>2</sub>                  | 3                 | 15  |   |                         |                                  |   |      |
|                               |                         | CAZ <sub>4</sub>                | 3                 | 16  |   |                         |                                  |   |      |
|                               |                         | CHL <sub>32</sub>               | 3                 | 10  |   |                         |                                  |   |      |
|                               |                         | CIP <sub>4</sub>                | 3                 | 7   |   |                         |                                  |   |      |
|                               |                         | GEN <sub>16</sub>               | 2                 | 7   |   |                         |                                  |   |      |
|                               |                         | NAL <sub>32</sub>               | 17                | 25  |   |                         |                                  |   |      |
|                               |                         | CPD <sub>3</sub>                | 6                 | 19  |   |                         |                                  |   |      |
|                               |                         | TET <sub>16</sub>               | 13                | 28  |   |                         |                                  |   |      |
|                               |                         | TMP <sub>16</sub>               | 11                | 26  |   |                         |                                  |   |      |
|                               |                         | AMX <sub>32</sub>               | 28.3 (c, N = 20s) | 37.8 (c, N = 7s)  |   |                         |                                  |   |      |
|                               |                         | CIP <sub>4</sub>                | 7.6               | 19.5  |   |                         |                                  |   |      |
|                               |                         | AMX <sub>32</sub>               | 41.3 (N = 20s, c) | 48.8 (c, N = 7s)  |   |                         |                                  |   |      |
| Portugal                      | Heterotrophs            | CIP <sub>4</sub>                | 3.7               | 10.7  |   |                         |                                  |   | 43   |
|                               |                         | AMX <sub>32</sub>               | 45.7 (c, N = 20s) | 52.7 (c, N = 7s)  |   |                         |                                  |   |      |
|                               |                         | CIP <sub>4</sub>                | 3.5               | 15.8  |   |                         |                                  |   |      |
| Portugal                      | Aeromonads/Pseudomonads | CIP <sub>1</sub>                | 4 (c, N = 4)1     | 14 (c, N = 3)8  |   |                         |                                  |   | 57   |
|                               |                         | VAN <sub>16</sub>               | 11 (N = 37i)      | 45 (N = 93i) <sup>+</sup>   | <i>vana</i>   | 32% (N = 19i)           | 58% (N = 33i)                    | 24 h composite for RS, grab for HS          |      |
| Portugal                      | Enterococci             | AMP                             | 11 (N = 37i)      | 45 (N = 93i) <sup>+</sup>   |   |                         |                                  |   | 54   |
|                               |                         | CIP                             | 27                | 75  |   |                         |                                  |   |      |
| Portugal                      | Enterococci             | CHL                             | 11                | 17  |   |                         |                                  |   | 54   |
|                               |                         | ERY                             | 59                | 69  |   |                         |                                  |   |      |
|                               |                         | GEN                             | 30                | 40  |   |                         |                                  |   |      |
|                               |                         | KAN                             | 49                | 61  |   |                         |                                  |   |      |
|                               |                         | LIN                             | 0                 | 0   |   |                         |                                  |   |      |
|                               |                         | NIT                             | 14                | 6   |   |                         |                                  |   |      |
|                               |                         | STR                             | 32                | 48  |   |                         |                                  |   |      |
|                               |                         | TET                             | 41                | 37  |   |                         |                                  |   |      |
| VAN                           | 0                       | 27                              |                   |   |   |                         |                                  |   |      |



Table 1 (continued)

| Location        | Isolate        | Antibiotic resistance phenotype                       |   |  | ARG                            |  |   | Comp. to human database or clinical samples       | Sampling Scheme <sup>d</sup> | Ref. |
|-----------------|----------------|---|---|--|--------------------------------|--|---|---|------------------------------|------|
|                 |                | Anti-biotic <sup>a</sup> (% or CFU mL <sup>-1</sup> ) | Resistant counts, samples, isolates, or strains in sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) | Resistant counts, samples, isolates, or strains in hospital sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) | ARG                            | ARG sewage <sup>c</sup>                          | ARG hospital sewage <sup>c</sup>                              |   |                              |      |
| Portugal        | Coliform       | AMP <sub>32</sub>                                     | 48 (c, N = 4s)  | 11 (c, N = 3s)   | <i>int1</i> **                 | 10 <sup>-1.5</sup> /16S rRNA                     | 10 <sup>-1.7</sup> /16S rRNA                                  | 24 h composite for RS, grab for HS                | 55                           |      |
|                 |                | CIP <sub>4</sub>                                      | 1   | 3  | <i>bla</i> <sub>TEM</sub> **   | 10 <sup>-2.4</sup> /16S rRNA                     | 10 <sup>-1.9</sup> /16S rRNA                                  |   |                              |      |
| Girona, Spain   | Coliform       |   |   |  | <i>marA</i> **                 | 10 <sup>-3.4</sup> /16S rRNA                     | 10 <sup>-2.6</sup> /16S rRNA                                  |   |                              |      |
|                 |                |   |   |  | <i>vanA</i> **                 | 10 <sup>-3.1</sup> /16S rRNA                     | 10 <sup>-3.1</sup> /16S rRNA                                  |   |                              |      |
|                 |                |   |   |  | <i>bla</i> <sub>TEM</sub> **   | 10 <sup>-4.5</sup> -10 <sup>-3.3</sup> /16S rRNA | 10 <sup>-3.2</sup> -10 <sup>-2.2</sup> /16S rRNA              |   | Grab                         |      |
|                 |                |   |   |  | <i>ermB</i> **                 | 10 <sup>-2.2</sup> -10 <sup>-1.3</sup> /16S rRNA | 10 <sup>-1.9</sup> -10 <sup>-1.4</sup> /16S rRNA <sup>s</sup> |   |                              |      |
|                 |                |   |   |  | <i>qnrS</i> **                 | 10 <sup>-3.1</sup> -10 <sup>-1.9</sup> /16S rRNA | 10 <sup>-3.0</sup> -10 <sup>-1.9</sup> /16S rRNA              |   |                              |      |
|                 |                |   |   |  | <i>sul1</i> **                 | 10 <sup>-3.0</sup> -10 <sup>-1.8</sup> /16S rRNA | 10 <sup>-2.2</sup> -10 <sup>-1.2</sup> /16S rRNA              |   |                              |      |
| Denmark         | <i>E. coli</i> |   | 11 CFU mL <sup>-1</sup>   | 36 CFU mL <sup>-1</sup>  | <i>tet(W)</i> **               | 10 <sup>-1.8</sup> -10 <sup>-1.5</sup> /16S rRNA | 10 <sup>-1.6</sup> -10 <sup>-1.4</sup> /16S rRNA              |   |                              |      |
|                 |                | GEN   |   |  | <i>aac</i> (3)-I               | 33%  | 66%   | Flow weighted 24 h RS, 2-sample composites for HS | 52                           |      |
| Sweden          | Enterococci    | AMP   | 65 (N = 17 GEN <sup>R</sup> pi)   | 93 (N = 15 GEN <sup>R</sup> pi)  | <i>aac</i> (3)-IV              | 38%  | (N = 15 GEN <sup>R</sup> st)                                  |   |                              |      |
|                 |                | SMX   | 88  | 100  | <i>ant</i> (2 <sup>n</sup> )-I | 29%  | 27%   |   |                              |      |
|                 |                | STR   | 88  | 33   |                                |  | 7%  |   |                              |      |
|                 |                | TET   | 53  | 73s  |                                |  |   |   |                              |      |
|                 |                | TMP   |   |  |                                |  |   |   |                              |      |
|                 |                | ERY <sub>8</sub>                                      | 26 (N = 35s)  | 86 (N = 14s)   |                                |  |   |   |                              |      |
|                 |                | VAN <sub>8</sub>                                      | 57  | 43   |                                |  |   |   |                              |      |
|                 |                | VAN <sub>20</sub>                                     | 57  | 36   |                                |  |   |   |                              |      |
|                 |                | ERY <sub>8</sub>                                      | 100 (N = 49s)   | 83 (N = 23s)   |                                |  |   |   |                              |      |
|                 |                | VAN <sub>8</sub>                                      | 98  | 30   |                                |  |   |   |                              |      |
| UK              | <i>E. coli</i> | VAN <sub>8</sub>                                      | 90  | 22   |                                |  |   |   |                              |      |
|                 |                | VAN <sub>20</sub>                                     | 67 (N = 21s)  | 18 (N = 22s)   |                                |  |   |   |                              |      |
| Olsztyn, Poland | <i>E. coli</i> | CTX <sub>5</sub>                                      | 52  | 5  |                                |  |   |   |                              |      |
|                 |                | CAZ <sub>40</sub>                                     | 95 (N = 56i)  | 97 (N = 167i)  | <i>bla</i> <sub>CTX-M-1</sub>  | 18%  | 6%  | Grab  | 53                           |      |
|                 |                | CPD <sub>10</sub>                                     | 79  | 73   | <i>bla</i> <sub>CTX-M-3</sub>  | 27%  | (N = 62 ESBL i)   |   |                              |      |
|                 |                | TZP <sub>30/6</sub>                                   | 61  | 66   | <i>bla</i> <sub>CTX-M-9</sub>  | 18%  | 18%   |   |                              |      |
|                 |                | GEN <sub>10</sub>                                     | 7   | 13   | <i>bla</i> <sub>TEM-1</sub>    | 73%  | 32%   |   |                              |      |
|                 |                | AMK <sub>30</sub>                                     | 61  | 69   | <i>bla</i> <sub>TEM-47</sub>   | 9  | 87%   |   |                              |      |
|                 |                | IPM <sub>40</sub>                                     | 48  | 55   | <i>bla</i> <sub>TEM-49</sub>   | 18   | 0   |   |                              |      |
|                 |                | CHL <sub>30</sub>                                     | 4   | 4  | <i>bla</i> <sub>TEM-52</sub>   | 0  | 8   |   |                              |      |
|                 |                | SXT <sub>1,25/23,8</sub>                              | 13  | 29   | <i>bla</i> <sub>OXA-1</sub>    | 0  | 2   |   |                              |      |
|                 |                | AMP <sub>32</sub>                                     | 11  | 40   | <i>bla</i> <sub>SHV-5</sub>    | 27   | 18  |   |                              |      |
|                 |                |   | 24.5 (N = 8.8 × 10 <sup>6</sup> MPN mL <sup>-1</sup> )  | 39.8 (N = 5.38 × 10 <sup>6</sup> MPN mL <sup>-1</sup> )  | <i>bla</i> <sub>CTX-M-1</sub>  | +  | +   |   |                              |      |
|                 |                |   | 16.5  | 18.5   | <i>bla</i> <sub>CTX-M-9</sub>  | -  | -   |   |                              |      |
|                 |                |   |   |  | <i>bla</i> <sub>TEM</sub>      | +  | +   |   |                              |      |



Table 1 (continued)

| Location | Isolate                  | Antibiotic resistance phenotype   |   | ARG                       | Comp. to human database or clinical samples | Sampling Scheme <sup>d</sup> | Ref. |
|----------|--------------------------|---|---|---------------------------|---|------------------------------|------|
|          |                          | Resistant counts, samples, isolates, or strains in sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) | Resistant counts, samples, isolates or strains in hospital sewage <sup>b</sup> (% or CFU mL <sup>-1</sup> ) |                           |   |                              |      |
|          | Anti-biotic <sup>c</sup> |   |   | ARG                       |   |                              |      |
|          | SXT <sub>25/6</sub>      | 11.1  | 22.6  | <i>bla</i> <sub>SHV</sub> | -   | +                            |      |
|          | TET <sub>4</sub>         | 12.4  | 17  |                           |   |                              |      |
|          | CTX <sub>2</sub>         | 0   | 2.2   |                           |   |                              |      |
|          | CIP <sub>4</sub>         | 7.15  | 5.4   |                           |   |                              |      |
|          | FOX <sub>32</sub>        | 0.11  | 2.45  |                           |   |                              |      |

<sup>a</sup> Subscript on Antibiotic denotes mg L<sup>-1</sup> tested for resistance. AMK = amikacin, AMP = ampicillin, AMX = amoxicillin, CAZ = ceftazidime, CER = cefaloridine, CHL = chloramphenicol, CIP = ciprofloxacin, CPD = cefpodoxime, CTX = cefotaxime, ERY = erythromycin, FOX = cefoxitin, GEN = gentamicin, IPM = imipenem, KAN = kanamycin, LIN = linezolid, NAL = nalidixic acid, NEO = neomycin, NIT = nitrofurantoin, OXY = oxytetracycline, S = sulfonamide, SMX = sulfamethoxazole, STR = streptomycin, SXT = sulfamethoxazole/trimethoprim, TET = tetracycline, TMP = trimethoprim, TZP = piperacillin-tazobactam, VAN = vancomycin. <sup>b</sup> Phenotype resistance is shown as resistant CFU mL<sup>-1</sup> or as a percentage reported using study units. Percentage values listed represent percentages of samples with resistance (s), percent resistant colony forming units (c) in a study with a given number of samples (s), percent resistant most probable number per mL (MPN mL<sup>-1</sup>), percent resistant isolates (i), or percent gentamicin resistant PFGE typed isolates (GEN<sup>tp</sup>). <sup>c</sup> ARG were reported as percentages of vancomycin resistant Enterococci isolates (VRE), isolates (i), gentamicin resistant strains (GEN<sup>rst</sup>), or extended-spectrum beta-lactamase producing isolates (ESBL), gene copies/16S rRNA gene copies, or presence/absence (+/-). <sup>d</sup> Hospital sewage = HS, raw urban sewage = RS, + Urban sewage downstream of major hospital input. \*\* Estimated from manuscript figures using WebPlotDigitizer.

(conferring beta-lactam resistance) concentrations were observed across a year of grab sampling municipal wastewater influent in Sweden, but no seasonality was observed.<sup>65</sup> Detecting seasonal variations may depend on sampling design. As with other contaminants, transient, diurnal, and weather related variations in flow may not be adequately described without targeted sampling, compositing, and correction for flow variations. Kwak *et al.*<sup>40</sup> discuss the challenges with cultivating *E. coli* in wastewater with respect to knowing what small samples represent and how solids can impact counts. Therefore, best practices for sampling wastewater must be employed for surveillance studies. Despite this, many studies comparing hospital and municipal influent used different sampling methods for the two matrices given the difficulty of obtaining access to hospital sewage often limiting researchers to grab samples, while WWTP were prepared for 24 h composite sampling. Ideas for how to normalize observations to the population level can be gleaned from the illicit drug literature [*e.g.*, Lai *et al.*<sup>66</sup>].

## 5. Evidence for the survival and selection for ARB in sewers?

Using sewage for surveillance relies upon understanding the potential for growth and decay of ARB during conveyance, and the exchange of resistance genes in sewer systems. Sewers act as bioreactors<sup>67</sup> and sewer microbial communities are distinct from microbial communities in wastewater treatment plants.<sup>68</sup> The microbial community in sewage arriving at the treatment plant changes from that present in fecal inputs with a majority of sewage bacteria not associated with fecal sources.<sup>37,69</sup> This is due in part to other non-fecal microbial inputs. For example, the presence of certain freshwater protists in sewage was considered evidence of infiltration impacting the microbial community.<sup>70</sup> The difference in the temperature of the sewer and gut environment may also impact the microbial community. Typical chemical characteristics in sewers are included as Table S2.† There is evidence of not only survival of indicator organisms but also multiplication of fecal coliform in storm water sewer sediments.<sup>71</sup>

Biological activity in sewer sediments is also apparent from the changes in redox,<sup>72</sup> bulk density,<sup>73</sup> organic carbon,<sup>74</sup> and extrapolymeric substances in the deposits.<sup>75</sup> Low dissolved oxygen conditions could help facultative aerobes and anaerobic fecal microbes survive in sewer deposits. Sewers deposits have also been shown to attenuate the flux of microbial agents. A release of polio virus in a sewer was detectable at the wastewater treatment plant inlet for more than four days after the release.<sup>76</sup> Thus, the factors effecting the prevalence of ARB in sewer systems may be expected to include dilution,<sup>57</sup> attenuation in biofilms or sewer sediments, growth/decay, and gene exchange. Seasonal variations are not expected to be due to changes in sewer water temperature, which was not shown to impact sewer microbial community structures.<sup>37</sup> Rather, VandeWalle *et al.*<sup>37</sup> demonstrated that

water quality parameters in sewers were found to drive temporal patterns in sewer microbial communities. The impact of pH is evident from efforts to control sulfate reducing and methanogenic communities in sewer biofilms.<sup>77</sup> Likewise, dissolved oxygen and nitrate were found to be associated with shifts in microbial communities in sewer biofilm sampled at different locations within a collection system.<sup>78</sup> Sewers are warm (10–30 °C, see Table S2.†), moist, nutrient rich, and contain biofilm, all of which may support survival, HGT, or growth of ARB. Identification of PFGE typed isolates of gentamicin resistant *E. coli* from hospitals in wastewater treatment plant inflow was evidence of the survival of these ARB in sewers.<sup>52</sup>

The potential for the spread of antibiotic resistance in sewers was proposed based on the observation that levels of antibiotics in sewage can exceed the semi-maximum inhibitory concentration and that sewers have high concentrations of bacteria.<sup>47,49</sup> While there is potential for gene exchange and growth of ARB based on comparison of predicted no-effect concentrations and observed sewage concentrations (Fig. 1), there is limited evidence demonstrating these phenomena in sewers/sewage beyond the measurement of high concentrations of ARB and ARG in sewage (Table 1). A study of hospital wastewater in a simulated sewer system did not find evidence for selection for fluoroquinolone resistance despite accumulation of fluoroquinolone in sewer sediments.<sup>24</sup> Antibiotic residuals were associated with different bacterial communities in hospital and urban sewage *via* correspondence analysis and the percentage of ARB and the concentrations of penicillin G, tetracycline, and ciprofloxacin were correlated.<sup>43</sup> However, the relative importance of selection for resistance after excretion into sewers or prior to excretion is unknown. Other compounds present in sewers may co-select for resistance including heavy metals and disinfectants,<sup>79</sup> which could explain persistence of resistance in observed sewers. Correlations between ARB prevalence and arsenic were also observed in sewers.<sup>43</sup>

Limited evidence is available for determining the role of HGT in sewers. HGT of gentamicin resistance plasmids in *Staphylococcus aureus* has been observed in dewatered sewage and sewage bioreactors.<sup>80</sup> But, the antibiotic concentrations observed in hospital sewage for this study were reported to be below the levels needed to increase plasmid transfer frequencies indicating that HGT was likely not able to occur in sewers. However, HGT is one possible explanation for the observation of the identical resistance profiles for ESBL producers from different Phene Plate (PhP) typed *E. coli* isolates in hospital sewage.<sup>40</sup> Many other factors may affect the survival of ARB and potential for HGT in sewers including sewer type (combined *versus* separate sanitary sewers), pipe material (a variety of original and liner materials may be used that could affect pH and the chemical microenvironment), flow type (open channel *versus* forced main), amount of sewer deposits, and sewer maintenance (*i.e.*, frequency of cleaning, amount of infiltration and inflow).



## 6. Conclusions

Sewers systems may serve as an important role in understanding population level trends as interest increases in monitoring antibiotic resistance and mitigating its spread in the environment. Sewage contains measurable levels of antibiotics that may be reasonably estimated *via* PEC calculations. But, comparisons to PEC are complicated by the variability in excretion rates, attenuation, and degradation in sewer lines. Sewage is also a source of ARB. Some correspondence exists between ARB found in sewage and in human waste and sera, but the paucity of data on ARB carriage in non-health care associated populations limits our ability to perform comparisons. Hospitals are a concentrated source of both ARB and ARG that may need to be accounted for in sewage surveillance studies. However, higher levels of certain ARB have been observed in municipal sewage that does not contain exceptional amounts of hospital waste, which highlights the gap in knowledge that exists about ARB in general (*i.e.*, healthy) populations. In general trends can be expected to vary by antibiotic identity, ARB identity, and geography. Several pertinent factors (*e.g.*, sewer pipe/liner material, system type) likely influencing the transmission of antibiotics and ARB in sewers have not been studied. Most notably, there is potential for, but limited data demonstrating, the growth/decay/HGT for ARB in sewer systems. Improved understanding of the processes occurring in our sewer infrastructure is needed to understand the health risk to public works employees and with sewer overflows, and to aid in interpretation of sewer surveillance data. This may be achieved through the application of techniques to better understand the resistome of the sewage microbial community (*i.e.*, metagenomics) and the selective pressure applied by mixtures of antibiotics, their degradation products, and other co-selecting chemicals in sewers.

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