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## Electronic structure engineering for electrochemical water oxidation

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The oxygen evolution reaction (OER) is the primary challenge in renewable energy storage technologies, specifically electrochemical water splitting for hydrogen generation. The development of affordable, robust, and efficient OER electrocatalysts plays a prominent role in water splitting by lowering the reaction kinetics barrier and boosting the performance of the process. This review discusses the recent progress in probing the electronic structure of catalytically active materials and its relevance to OER activity. We have aimed to emphasize state-of-the-art engineering strategies for modulating the electronic structure to exhibit ideal OER performance, including doping, alloying, generation of oxygen vacancies, heterostructure realization, and strain engineering. Finally, we summarize the existing challenges and opportunities in electronic structure modulation for future electrocatalyst development.

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### 1. Introduction

Since the 19<sup>th</sup> century, fossil fuels such as coal, natural gas, and oil have become the primary energy source to sustain human activities.<sup>1,2</sup> The large-scale consumption of fossil fuels caused

by unprecedented industrialization and rapid population growth, however, has resulted in detrimental environmental consequences and prompted the quest for more sustainable energy sources.<sup>3–5</sup> In this regard, rigorous efforts have been undertaken to shift the energy burden to renewable energy, such as those sourced from solar, tidal, and wind. The supply of energy from these sources, however, is intermittent and region/season dependent, hindering their widespread implementation.<sup>6</sup> To address this, renewable energy storage and conversion in the form of fuels and value-added chemicals is often proposed.<sup>7</sup> Among the candidates, hydrogen (H<sub>2</sub>) is at the forefront, because it has a high gravimetric energy density and is environmentally benign.<sup>8,9</sup> But hydrogen is currently

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energy conversion systems, such as water splitting and nitrate reduction.



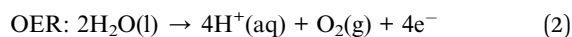
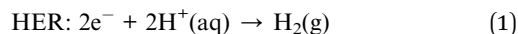
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produced from steam reforming or partial oxidation of methane, or coal gasification. All these processes are fossil fuel based, with the added drawback that the purity of H<sub>2</sub> produced is also not high.<sup>10</sup> Not surprisingly, H<sub>2</sub> production through electrochemical water splitting has recently become an elegant and eco-friendly way to generate high purity H<sub>2</sub> at room temperature.<sup>11–13</sup>

Electrochemical water splitting is a thermodynamically uphill reaction, which consists of two half-cell reactions: the cathodic hydrogen evolution reaction (HER) and the anodic oxygen evolution reaction (OER) as described in eqn (1) and (2), respectively.<sup>14</sup>



In ideal reaction conditions, a thermodynamic potential of 1.23 V is needed to drive electrochemical water splitting. A practical water electrolyzer requires a much higher potential due to the overpotentials on both of the electrodes (anode and cathode).<sup>15–17</sup> HER is a comparatively simple two-electron transfer process involving adsorption of H<sup>+</sup> ions and desorption of H<sub>2</sub>. OER, on the other hand, is more complicated, owing to sluggish oxygen evolution kinetics.<sup>18,19</sup> The OER is a four

electron and proton coupled reaction with electron transfer occurring at each step of the four steps involving various reaction intermediates (e.g., MOH, M–O, MOOH, and O<sub>2</sub>) with oxygen produced as the final product (M is a catalytically active metal center).<sup>20,21</sup> Consequently, a large overpotential is required to overcome the OER kinetic energy barrier. Therefore, it is challenging to develop an efficient, cost-effective, and stable electrocatalyst for OER that can enhance the reaction rate and improve the overall efficiency of water splitting devices.

Over the past decade, numerous electrode materials have been developed and studied as electrocatalysts for OER. So far, the state-of-the-art IrO<sub>2</sub> and RuO<sub>2</sub> exhibit the best catalytic OER activity, but their low terrestrial availability and high cost limit their use in large scale applications.<sup>22–24</sup> Therefore, significant research has been directed toward developing earth-abundant transition-metal-based electrocatalysts including oxides, layered double hydroxides (LDH), nitrides, sulfides, selenides, and perovskite-based materials.<sup>25–34</sup> The transition-metal-based catalysts proved to have lower cost, higher natural abundance, unique electronic and mechanical properties, and good OER performances.<sup>16,27,35,36</sup> Despite the advances made so far on fabricating OER electrocatalysts with well-defined structures as well as *ex situ* characterizations, there is still room for

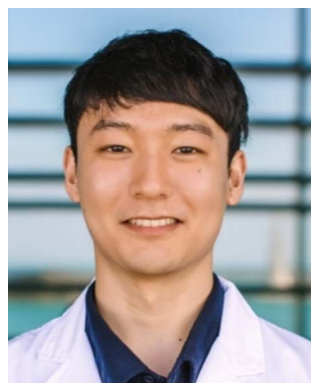


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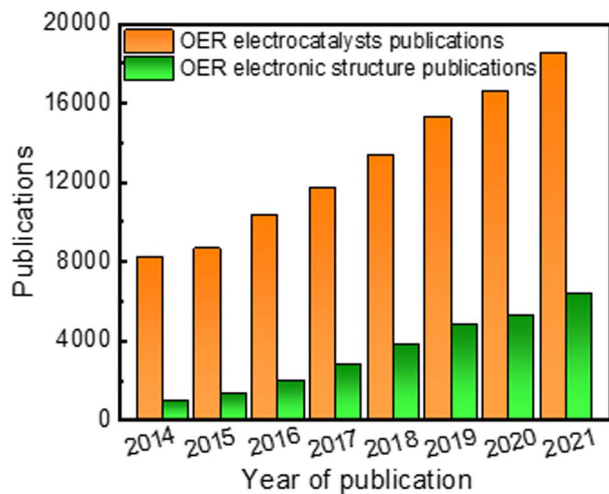
*is on improving catalytic activity based on material science.*



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**Fig. 1** Summary of the literature for OER electrocatalysts from Web of Science. Bar chart of the number of articles published per year from 2014 to 2021 on OER (orange bars) and those related to electronic structure modulation (green bars). The data for OER were obtained by searching the keywords "oxygen evolution reaction" or "water splitting" or "electrocatalyst". The data for electronic structure modulation were obtained by adding "electronic structure" or "electronic structure modulation" as the keywords.

improvement in the electrochemical activity and stability of transition metal-based catalysts.

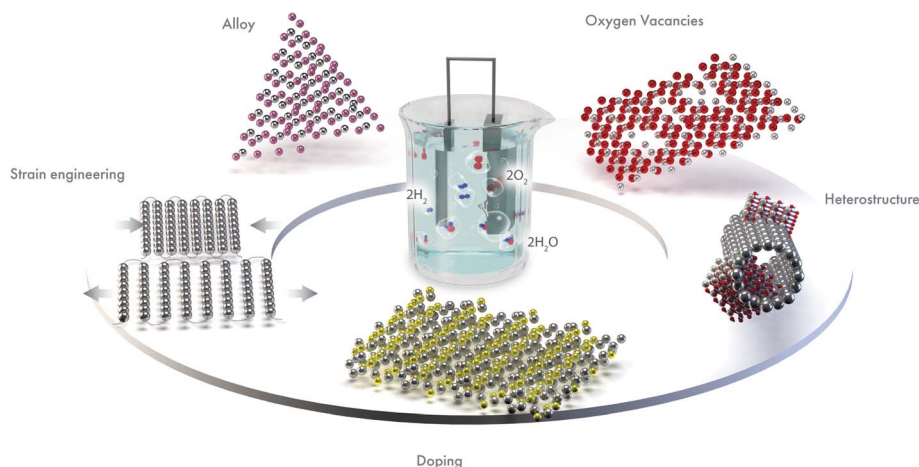
Apart from material type, several strategies have been explored to enhance electrocatalytic performance, including chemical composition tuning,<sup>19</sup> morphology and phase control,<sup>37</sup> defect engineering,<sup>38</sup> and electronic structure modulation.<sup>16</sup> Generally, the most basic structural characteristics, such as specific surface area and porous microstructure of the catalyst, have been studied as the key parameters for improving electrochemical performance for OER. For instance, the mass transfer rate and the number of active sites have been substantially enhanced in high surface area catalysts like 3D structures, 2D nanosheet structures, 1D nanorod/nanowire structures, and 0D nanoparticles.<sup>37,39</sup> Despite extensive efforts in synthetic tuning, improvements in electrochemical

performance of great significance are challenging to attain. This is because the overall electrocatalytic performance of a catalyst is often governed by its electronic structure, more than anything else. In the past few years, research dabbling in electronic structure modulation to overcome the sluggish kinetics of OER has steadily gathered steam (Fig. 1). For example, in 2014 there were more than 8100 papers on the topic of OER electrocatalysts, among them around 930 papers pay specific attention on strategies to modulate electronic structure. A few recent review and perspective articles summarized the development of noble and non-noble metal-based OER electrocatalysts with an emphasis on a given synthetic methodology or a specific electronic structure modulation strategy or classes of materials that can improve OER performance.<sup>40–42</sup> However, there is a lack of an overall understanding and explanation of the relationship between electrochemical properties and electronic structure towards the development of electrocatalysts for water splitting applications. Therefore, we believe our review is a timely and critical overview of recent progress in the electronic structure modulation of electrocatalysts for enhanced OER performance.

In this review, we summarize key advances in regulating the electronic structure of electrocatalytic materials for enhanced OER. We have identified the best electronic structure modulation methods as doping, alloying, oxygen vacancy generation, heterostructure, and strain engineering (Fig. 2). These approaches tune the electronic structure and improve the OER performance. We have included a detailed discussion on how the respective electronic structure tuning strategies can lead to enhanced OER activity. Such strategies provide new insights into the design and construction of an efficient electrocatalyst. Finally, we have discussed some existing challenges in these efforts and provided recommendations for future research directions.

## 2. Fundamentals of oxygen evolution reaction (OER)

One of the main reasons that limit water splitting systems from being practical is the sluggish kinetics of the OER. The OER is



**Fig. 2** Leading electronic structure modulation strategies for best OER performance.





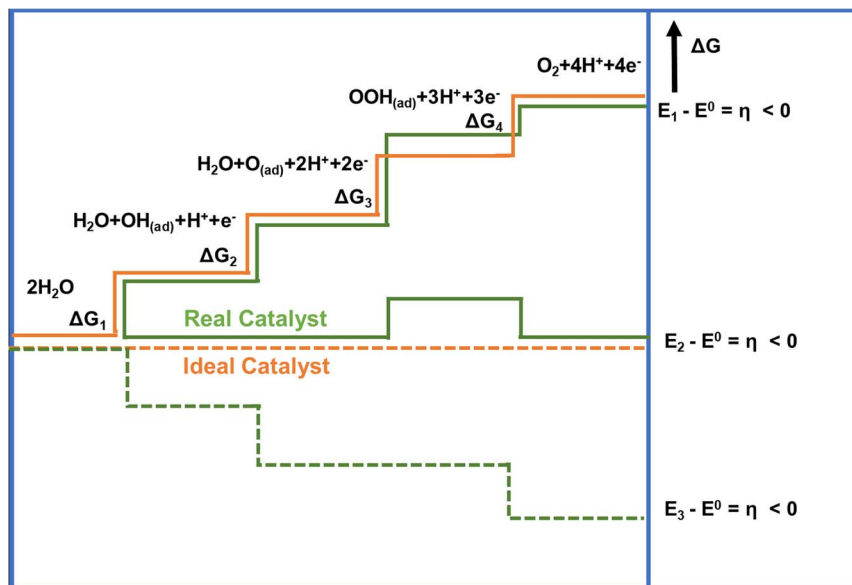


Fig. 3 Gibbs free energy plot of OER versus reaction coordinate for the reactive intermediates (horizontal lines), the energetics of a real catalyst and an ideal catalyst has been represented by orange and green lines respectively.<sup>45</sup> Copyright 2010, Wiley-VCH.

a four-electron transfer process which increases the complexity of the overall electrolysis, and requires higher energy to overcome the kinetic barrier.<sup>43</sup> It is favorable in an alkaline electrolyte when compared to neutral or acidic media. In the acidic or neutral electrolyte, the free hydroxyl groups (OH) are absent, so the generation of OH\* by breaking the H–OH bond from water molecules has a high energy barrier for the OER initiation. It results in slow OER kinetics while drawing high overpotential. The Gibbs free adsorption energies ( $\Delta G$ ) in the four-electron transfer step are shown in Fig. 3. The process involves (i) adsorption of H<sub>2</sub>O at the catalyst surface, (ii) formation of reaction intermediates from H<sub>2</sub>O (OH<sub>(ad)</sub>, H<sup>+</sup>, e<sup>-</sup>), (iii) conversion of OH<sub>(ad)</sub> to O<sub>(ad)</sub>, along with the generation of an additional proton and electron (iv) formation of the O–O bond via OOH<sub>(ad)</sub>, an additional proton and an electron, and lastly (iv) desorption of oxygen from the catalyst surface, accompanied by the release of an additional proton and electron, with the overall process involving the total release of 4 protons and 4 electrons.<sup>44</sup> For an ideal catalyst, the Gibbs free energy for every elementary step should be equal ( $\Delta G_1 = \Delta G_2 = \Delta G_3 = \Delta G_4$ ), but in the case of the real catalysts, the chemisorption energy of the intermediates follow the order:  $\Delta G_3 > \Delta G_1 = \Delta G_2 > \Delta G_4$ .<sup>45,46</sup>

As mentioned above, OER involves the formation of high-energy intermediates, requiring a substantial amount of energy to transcend the free energy barrier.<sup>47,48</sup> Therefore, electronic structure modulation of catalytic materials is necessary to overcome these kinetic barriers, ensuring favorable reaction pathways with optimum free energy changes for the adsorption of the reaction intermediates at the catalyst surface. The ensuing strategies to achieve electronic structure modulation help in beneficial changes to adsorption energy that lower these kinetic barriers. These aid in reducing the overpotential of OER for these modified catalysts, attempting to bring it closer to that for an ideal catalyst (1.23 V).

### 3. Electronic structure modulation strategies for the OER electrocatalysts

#### 3.1. Doping

Doping electrocatalysts have been reported as the most effective method to modulate electronic structure at the atomic level, which helps to improve water dissociation and optimize the adsorption capacity for the reaction intermediates. It generates lattice defects, which enhances the amount of available active sites in an electrocatalyst. Doping metal atoms (single metal or multimetallic) into transition metal-based catalysts such as layered double hydroxides (LDH), oxides, or chalcogenides serve as a promising strategy for enhancing the electrochemical activity.<sup>25,49,50</sup> Due to the variation in the atomic radii and the electronic configuration of the dopant, the original lattice is likely to be altered and induced a local electronic redistribution. Moreover, doping may increase the adsorption energy of reaction intermediates because of the change in electronic structure. Many reports demonstrated that metal doping successfully improved catalytic performance.<sup>16,51–54</sup> Among these, Fe incorporation is frequently established in that it enhances the electrochemical OER performance of Ni and Co-based catalysts.<sup>18,55</sup> In the early 1980s, studies by Corrigan<sup>56</sup> and Młynarek *et al.*<sup>57</sup> found that Fe impurities enhance the OER performance. After these groundbreaking studies, Boettcher *et al.* further verified this argument by preparing NiO<sub>x</sub>, CoO<sub>x</sub>, Ni<sub>y</sub>Co<sub>1-y</sub>O<sub>x</sub>, Ni<sub>0.9</sub>Fe<sub>0.1</sub>O<sub>x</sub>, IrO<sub>x</sub>, MnO<sub>x</sub>, and FeO<sub>x</sub> films of 2–3 nm thickness using a solution casting method.<sup>58</sup> They found that Ni<sub>0.9</sub>Fe<sub>0.1</sub>O<sub>x</sub> was the best catalyst with lower overpotential and also a lower Tafel slope. The enhancement of OER activity was attributed to the *in situ* formation of a layered double hydroxide (LDH) structure, which has more active sites than metal oxide or hydroxide. The same group also reported the effect of Fe incorporation in Ni(OH)<sub>2</sub>/NiOOH during the OER.<sup>49</sup> A 30-fold increase in catalyst





conductivity accompanied by the formation of the LDH phase was observed when Fe was incorporated into Ni(OH)<sub>2</sub>. Electrochemical results show an anodic shift of Ni redox peaks in Ni<sub>x</sub>Fe<sub>1-x</sub>OOH catalysts with increasing *x*.

Advanced spectroscopic techniques provide further insight into what addition of a dopant into these various catalysts achieves. Friebel and co-workers<sup>50</sup> used X-ray absorption spectroscopy (XAS) using high energy resolution fluorescence detection (HERFD) to reveal the role of Fe<sup>3+</sup> in a Ni<sub>1-x</sub>Fe<sub>x</sub>OH based electrocatalyst. A key finding was that Fe<sup>3+</sup> in NiFeOH occupies octahedral sites with short Fe–O bond distance induced by edge-sharing with surrounding [NiO<sub>6</sub>] octahedra. Further computational calculations identified that Fe cations were the active sites instead of Ni in mixed Fe–Ni oxyhydroxide. Similar findings have been reported for Fe doped Co-based electrocatalysts,<sup>18,19,59</sup> which suggest that Fe incorporation has a promoter influence on Co and Ni-based catalysts. Using Mössbauer spectroscopy<sup>60</sup> Jamie and co-workers revealed<sup>61</sup> that Fe in an NiFe LDH existed as Fe<sup>4+</sup> and was not the active site of the catalyst and instead functioned as an effective dopant to drastically enhance the OER performance of the Ni atoms. Görlin *et al.* used differential electrochemical mass spectrometry (DEMS) and X-ray absorption spectroscopy (XAS)<sup>62</sup> to indicate that Ni in pure NiOOH electrode changes redox state from Ni<sup>2+</sup> to Ni<sup>3+</sup> or Ni<sup>4+</sup> during the OER process, while in a mixed Ni–Fe electrocatalyst, Ni remains in the Ni<sup>2+</sup> state, which might be responsible for the enhancement of the catalytic performance (Fig. 4a and b). Zhang and co-workers showed enhancement in the OER performance of NiO by Li doping, which could be due

to electronic structure modifications.<sup>63</sup> The results revealed that generation of hole states at 1.1 eV above the Fermi level and enlarged hybridization degree of O 2p–Ni 3d by Li-doping optimize the adsorption energies of OH intermediates (Fig. 4c).<sup>63</sup>

A key aspect of doping metal atoms into pristine materials is the effect this has on the density of states at the Fermi level, with potential benefits for their catalytic behavior. Li and co-workers showed Co doping in Cu<sub>7</sub>S<sub>4</sub> enhances the OER performance due to the optimized electronic structure of active sites.<sup>64</sup> The calculated density of states (DOS) for Co-doped Cu<sub>7</sub>S<sub>4</sub> is closer to the Fermi level than that of pristine Cu<sub>7</sub>S<sub>4</sub>, indicating that the concentration of charge carrier and the electronic conductivity can be effectively enhanced by the introduction of Co atoms. The Co-doped Cu<sub>7</sub>S<sub>4</sub> improved OER performance even at a low overpotential of 270 mV to reach 10 mA cm<sup>-2</sup>. Wang *et al.* showed that nitrogen doping could regulate adsorption sites in the nanostructured ultrathin CoFe LDHs.<sup>65</sup> Nitrogen doping redistributes the electron density of adjacent Co or Fe atoms, which optimize the adsorption energy of OER intermediates, thus enhancing electrocatalytic performance. Based on the theoretical analysis, Niu *et al.* showed that doping FeOOH with selenium (Se) lowers the energy barrier for the OER rate-limiting step and also enhances intrinsic activity.<sup>66</sup>

Doping or substituting transition metals in spinel metal oxides has also become an effective way to tune the electronic structure and enhance the OER performances of these versatile materials. Recently, doping spinel-based Co<sub>3</sub>O<sub>4</sub> with Ni, Cu, Zn and Fe has been widely reported, and their effect on the electronic structure and electrochemical behavior have also been investigated.<sup>25,67–69</sup> In the case of the Zn–Co spinel structure, Zn substitutes the Co<sup>2+</sup> in tetrahedral sites and Co<sup>3+</sup> remains in the octahedral sites. The enhancement in OER performance of Zn<sub>x</sub>Co<sub>3-x</sub>O<sub>4</sub> compared to Co<sub>3</sub>O<sub>4</sub> emerges from the altered electronic and structural nature of substituted tetrahedral sites. Choi and co-workers prepared spinel-type ZnCo<sub>2</sub>O<sub>4</sub> and Co<sub>3</sub>O<sub>4</sub> electrocatalysts to show these effects.<sup>70</sup> The results suggest that ZnCo<sub>2</sub>O<sub>4</sub> has better OER performance ( $\eta = 390$  mV at 10 mA cm<sup>-2</sup>) than Co<sub>3</sub>O<sub>4</sub> ( $\eta = 450$  mV at 10 mA cm<sup>-2</sup>), which may be due to Co<sup>2+</sup> in Co<sub>3</sub>O<sub>4</sub> being catalytically inactive. Driess and co-workers reported the superior efficiency of ZnCo<sub>2</sub>O<sub>4</sub> electrocatalyst to that of Co<sub>3</sub>O<sub>4</sub> for the OER.<sup>71</sup> The reason for the better catalytic activity was attributed to a higher amount of accessible octahedral Co<sup>3+</sup> sites induced by preferential loss of zinc ions from the surface of the ZnCo<sub>2</sub>O<sub>4</sub> electrocatalyst. Further, Xu and co-workers did a systematic study of spinel ZnFe<sub>x</sub>Co<sub>2-x</sub>O<sub>4</sub> oxides ( $x = 0–2.0$ ) for the OER.<sup>72</sup> The enhanced OER performance is attributed to enlarged Co 3d and O 2p covalency brought about by 10–30 atom% Fe substitution as it facilitates the injection/extraction of electrons from oxygen (Fig. 5a and b).

Previous studies showed the significance of pre-oxidation of Co<sup>2+</sup> to Co<sup>3+</sup> or higher oxidation states in generating active sites of Co–OOH for OER.<sup>73</sup> Papakonstantinou *et al.* synthesized CuCo<sub>2</sub>O<sub>4</sub> nanoparticles (4.0 nm size) and anchored these on nitrogenated reduced graphene oxide (CuCo<sub>2</sub>O<sub>4</sub>/NrGO).<sup>74</sup> The CuCo<sub>2</sub>O<sub>4</sub>/NrGO catalyst demonstrated enhanced OER performance due to the presence of Cu<sup>2+</sup> ions at octahedral sites.

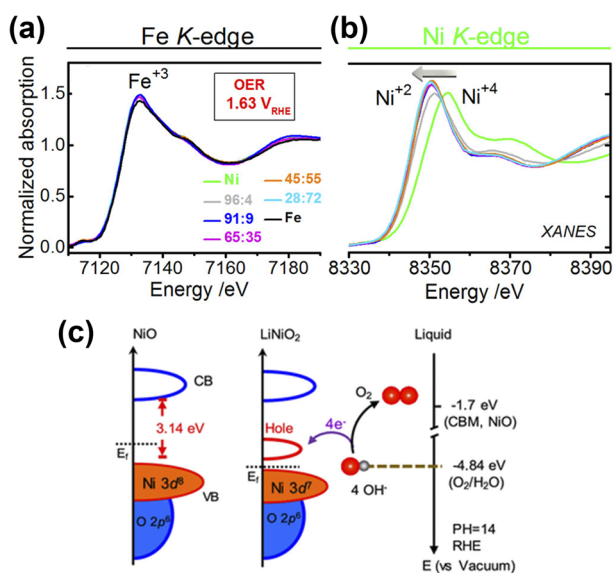
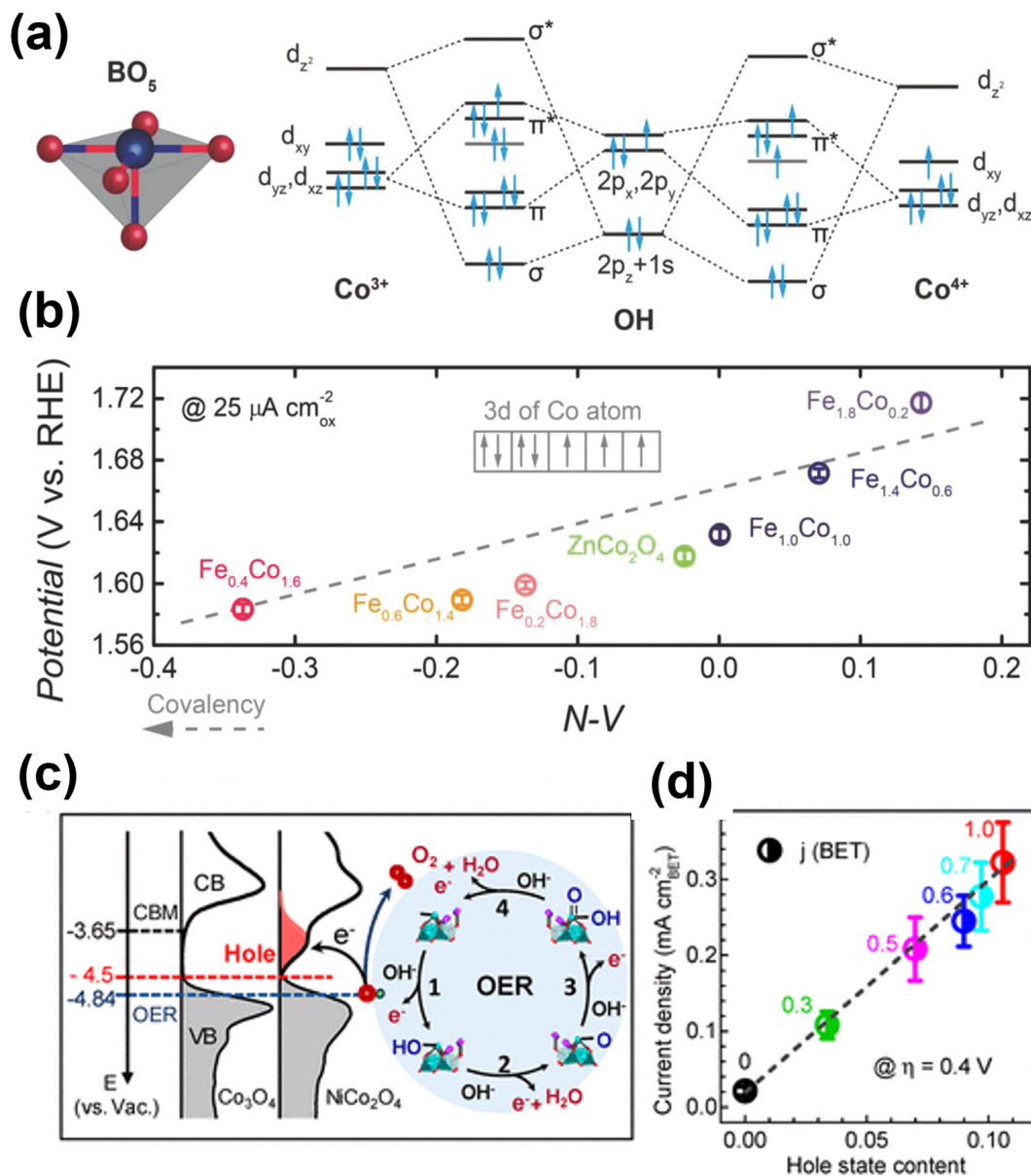


Fig. 4 X-ray absorption spectra and electronic structure analysis of electrocatalysts (a) X-ray absorption spectrum of Fe K-edges and (b) Ni K-edges.<sup>62</sup> Copyright 2017, American Chemical Society (c) schematic diagram for electronic structures of NiO and LiNiO<sub>2</sub> (left) and energy diagram at the oxide–liquid interface on the vacuum level scale at pH = 14 (right); the redox level for O<sub>2</sub>/H<sub>2</sub>O (red dashed line) is -4.84 eV, the CB minimum (CBM) level for NiO is -1.7 eV according to the formula:  $E_{\text{CBM}} = E_{\text{bandgap}} - E_{\text{ionization}}$ , ( $E_{\text{bandgap}} = 3.7$  eV,  $E_{\text{ionization}} = 5.4$  eV).<sup>63</sup> Copyright 2017, American Chemical Society.





**Fig. 5** Performance and computational studies of oxide based OER electrocatalysts (a) molecular orbitals diagrams for the Co–OH ( $\text{Co}^{3+}$ ,  $\text{Co}^{4+}$ ) bonding at the surface of spinel oxides. (b) The OER activity, evaluated by the potentials at a current density of  $25 \mu\text{A cm}_{\text{ox}}^{-2}$ , as a function of the  $N$ - $V$  parameter.<sup>72</sup> Copyright 2018, Wiley-VCH. (c) Left panel: the measured occupied and unoccupied density of states (DOS) near the EF for  $\text{Co}_3\text{O}_4$  and  $\text{NiCo}_2\text{O}_4$ ; the energy level is relative to vacuum level (vs.  $V_{\text{ac}}$ ); the redox level for  $\text{O}_2/\text{H}_2\text{O}$  (blue line) is  $-4.84$  eV; the hole state level is  $-4.5$  eV for  $\text{NiCo}_2\text{O}_4$  and the CBM level for  $\text{Co}_3\text{O}_4$  is  $-3.65$  eV. Right panel: schematics of the four-step reaction pathway of OER. (d) Correlation of specific OER activity (current density at  $\eta = 0.4$  V) with the amount of hole state extracted from O K edge XAS spectra.<sup>76</sup> Copyright 2019, American Chemical Society.

Recently, Pentcheva and co-workers theoretically investigated the effect of Fe and Ni doping on  $\text{Co}_3\text{O}_4$  (001) surface for the OER.<sup>75</sup> The results suggest that the Ni doping at the octahedral site on the surface layer reduces the  $\eta$  from 0.46 to 0.34 V. Similarly, Fe doping at the tetrahedral Co termination lowers  $\eta$  from 0.63 to 0.37 V with octahedral Co remaining in the active site. Zhang and co-workers systematically studied the relationship between electronic structure and the OER activity of  $\text{Ni}_x\text{Co}_{3-x}\text{O}_4$  ( $x = 0-1$ ).<sup>76</sup> The XPS analysis reveals that incorporation of  $\text{Ni}^{3+}$  ions shifts the occupied valence band maximum by

0.27 eV towards the Fermi level ( $E_{\text{F}}$ ) and generates a new hole (unoccupied) state located at  $\sim 1$  eV above  $E_{\text{F}}$ , which reduces the energy barrier for electron transfer to enhance the kinetics (Fig. 5c and d).

Incorporating more than one element to enhance the electrochemical performance of the electrocatalyst is garnering significant research scrutiny. Tang and co-workers developed Co and Ce doped  $\text{Ni}_3\text{S}_2$  (Co/Ce- $\text{Ni}_3\text{S}_2$ /NF) nanosheets on nickel foam by a one-step hydrothermal method.<sup>77</sup> The co-doped Co and Ce are uniformly distributed inside the host sulfide,



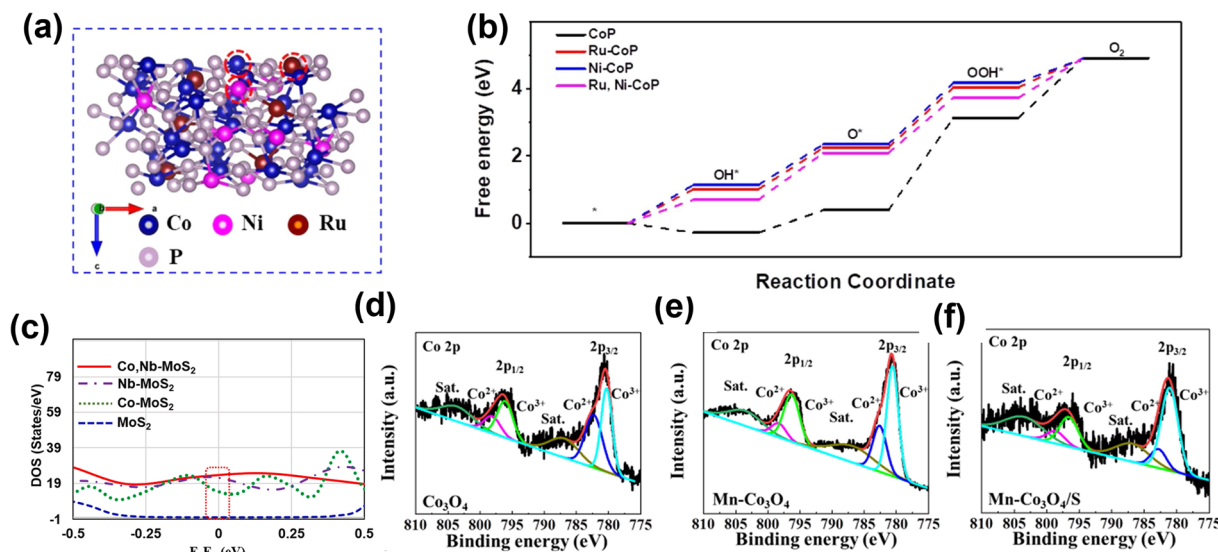


Fig. 6 The role of double atom doping in OER electrocatalysts. (a) Atomic structure of Ru, Ni-CoP (111) surface, where Co, Ni, and Ru sites are marked with red circles. (b) Calculated OER Gibbs free energy diagram for CoP, Ru-CoP, Ni-CoP, and Ru, Ni-CoP.<sup>79</sup> Copyright 2021, Elsevier. (c) Calculated DOS curves for MoS<sub>2</sub>, Co-MoS<sub>2</sub>, Nb-MoS<sub>2</sub> and Co, Nb-MoS<sub>2</sub>.<sup>80</sup> Copyright 2021, Elsevier. (d-f) Co 2p spectra in (d) Co<sub>3</sub>O<sub>4</sub>, (e) Mn-Co<sub>3</sub>O<sub>4</sub>, and (f) Mn-Co<sub>3</sub>O<sub>4</sub>/S.<sup>81</sup> Copyright 2019, Elsevier.

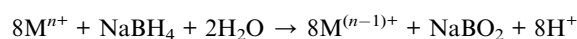
providing more active sites and increasing electrical conductivity. The incorporation of metallic elements into Ni<sub>3</sub>S<sub>2</sub> has been considered as an effective strategy to alter the electronic structure to a disordered state, which increases the density of states (DOS). The DFT calculations further revealed that Ni<sub>3</sub>S<sub>2</sub> displayed more metallic states near the Fermi level after Co and Ce doping, with the electronic conductivity being significantly increased and electron transport accelerated. Using a simple hydrothermal method, Wang *et al.* reported highly active Ru and Ni co-doped Co<sub>3</sub>O<sub>4</sub> (Ru/Ni-Co<sub>3</sub>O<sub>4</sub>) nanoparticles.<sup>78</sup> Doping Ru atoms into octahedral sites of spinel oxide Co<sub>3</sub>O<sub>4</sub> improves the intrinsic activity, while Ni creates abundant oxygen vacancies. By demonstrating high intrinsic activity and the exposure of more active sites, the optimized Ru/Ni-Co<sub>3</sub>O<sub>4</sub> shows a low overpotential for OER (290 mV at 10 mA cm<sup>-2</sup>). Similarly, Cheng and colleagues<sup>79</sup> prepared Ru and Ni dual-doped CoP (Ru, Ni-CoP) porous nanofibers. Dual metal doping (Ru and Ni) can modulate the electronic structure compared to single metal-doping. The Ru, Ni-CoP exhibit low free energy of 1.65 eV compared to Ru-CoP (1.80 eV) and Ni-CoP (1.83 eV) (Fig. 6a and b), revealing a higher adsorption capacity for \*OOH. This enhanced adsorption capacity results from the higher valence state of Co caused by dual-metal doping. Recently, Kim and colleagues prepared Co, Nb dual-doped MoS<sub>2</sub> nanosheets on TiO<sub>2</sub> hollow spheres (Co, Nb-MoS<sub>2</sub>/TiO<sub>2</sub> HSSs), wherein the synergy between the hollow spherical structure of the TiO<sub>2</sub> core and the MoS<sub>2</sub> shell was exploited for enhanced electron transfer.<sup>80</sup> Additionally, the Co and Nb doping further modified the electronic structure of the MoS<sub>2</sub> to boost the OER performance. As shown in (Fig. 6c), DOS results indicate the electronic state of Co, Nb-MoS<sub>2</sub>/TiO<sub>2</sub> HSSs is closer to the Fermi level and higher than its counterparts, thus facilitating easier electron transfer and enhancing the adsorption of the intermediates. Qi and co-

workers synthesized nanosheets of Co<sub>3</sub>O<sub>4</sub> co-doped with both Mn and S that exhibited enhanced OER activity in comparison to the pristine Co<sub>3</sub>O<sub>4</sub> nanosheets or Co<sub>3</sub>O<sub>4</sub> doped only with either Mn or S.<sup>81</sup> While Mn doping modifies the electronic structure around the Co center, enhancing the intrinsic activity of the active sites in Co<sub>3</sub>O<sub>4</sub>. On the other hand, S doping provides abundant S sites for proton desorption and increases the electrical conductivity. Furthermore, an increase in the proportion of Co<sup>3+</sup> sites is also observed (Fig. 6d-f), which facilitates the four-electron transfer and the enhanced OER performance.

### 3.2. Oxygen vacancy engineering

The introduction of oxygen vacancies in metal oxide nanomaterials is one of the most effective techniques to regulate their chemical and electronic characteristics. Oxygen vacancies improve the electrocatalytic performance *via* the enhancement of the synergy between the active sites and the neighboring atoms to enhance the DOS near the Fermi level.<sup>6</sup> This further accelerates the electron transfer rate and instability of the metal-oxygen bond. Additionally, oxygen vacancies positively improve OER performance by significantly altering the energy level, conductivity, and adsorption properties of the materials. Various synthetic methods were used to generate oxygen vacancies, such as thermal treatment, reduction by NaBH<sub>4</sub>,<sup>82,83</sup> ion exchange, plasma treatment,<sup>84,85</sup> doping of ionic liquid,<sup>86</sup> and *in situ* electrochemical activation.

**3.2.1. Reduction approach.** Oxygen vacancies can be formed in nanomaterials using NaBH<sub>4</sub> as the reducing agent in the liquid phase. The NaBH<sub>4</sub> reduces the metal ions of the metal oxide in aqueous media according to the following equation:<sup>87</sup>





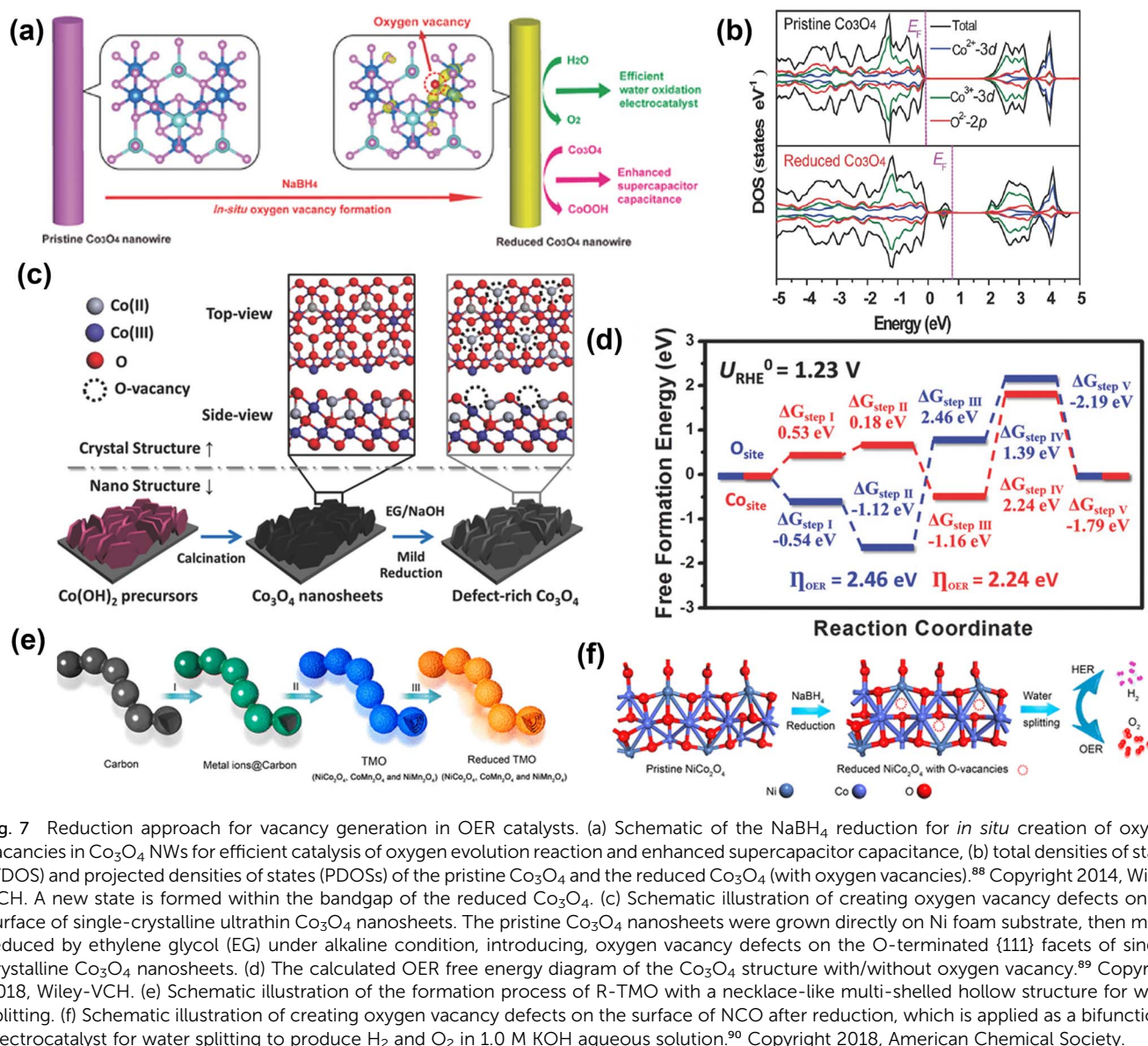


Fig. 7 Reduction approach for vacancy generation in OER catalysts. (a) Schematic of the  $\text{NaBH}_4$  reduction for *in situ* creation of oxygen vacancies in  $\text{Co}_3\text{O}_4$  NWs for efficient catalysis of oxygen evolution reaction and enhanced supercapacitor capacitance. (b) total densities of states (TDOS) and projected densities of states (PDOSs) of the pristine  $\text{Co}_3\text{O}_4$  and the reduced  $\text{Co}_3\text{O}_4$  (with oxygen vacancies).<sup>88</sup> Copyright 2014, Wiley-VCH. A new state is formed within the bandgap of the reduced  $\text{Co}_3\text{O}_4$ . (c) Schematic illustration of creating oxygen vacancy defects on the surface of single-crystalline ultrathin  $\text{Co}_3\text{O}_4$  nanosheets. The pristine  $\text{Co}_3\text{O}_4$  nanosheets were grown directly on Ni foam substrate, then mildly reduced by ethylene glycol (EG) under alkaline condition, introducing, oxygen vacancy defects on the O-terminated {111} facets of single-crystalline  $\text{Co}_3\text{O}_4$  nanosheets. (d) The calculated OER free energy diagram of the  $\text{Co}_3\text{O}_4$  structure with/without oxygen vacancy.<sup>89</sup> Copyright 2018, Wiley-VCH. (e) Schematic illustration of the formation process of R-TMO with a necklace-like multi-shelled hollow structure for water splitting. (f) Schematic illustration of creating oxygen vacancy defects on the surface of NCO after reduction, which is applied as a bifunctional electrocatalyst for water splitting to produce  $\text{H}_2$  and  $\text{O}_2$  in 1.0 M KOH aqueous solution.<sup>90</sup> Copyright 2018, American Chemical Society.

Zheng and co-workers prepared 1D  $\text{Co}_3\text{O}_4$  nanowires with rich oxygen vacancies using the  $\text{NaBH}_4$  reduction method.<sup>88</sup> To prepare  $\text{Co}_3\text{O}_4$  nanowires, they used a wet chemical growth method, and then as-prepared  $\text{Co}_3\text{O}_4$  nanowires were soaked in 1 M  $\text{NaBH}_4$  solution for 1 h (Fig. 7a). After  $\text{NaBH}_4$  treatment, oxygen vacancies were created in  $\text{Co}_3\text{O}_4$  nanowires and confirmed by XPS analysis. The DFT analysis revealed that due to oxygen vacancies, new defect states are generated and located in the band gaps (Fig. 7b). In addition, calculated formation energy suggests that the electrons at the oxygen vacancy defects can be easily excited into the conduction band, enhancing conductivity and the electrochemical activity of  $\text{Co}_3\text{O}_4$  with a lower value of onset potential (1.52 V vs. RHE).

Sun and co-workers used a solvothermal reduction approach to create oxygen defects in single-crystalline  $\text{Co}_3\text{O}_4$  nanosheets, as shown in Fig. 7c.<sup>89</sup> The defect-rich  $\text{Co}_3\text{O}_4$  shows a lower overpotential of 220 mV with a lower Tafel slope of 49.1 mV

$\text{dec}^{-1}$  for OER. Furthermore, the DFT calculation indicates a lower OER activation energy value of 2.24 eV on oxygen vacancy-rich  $\text{Co}_3\text{O}_4$  than the pristine  $\text{Co}_3\text{O}_4$  (2.46 eV) (Fig. 7d). Peng *et al.* prepared a unique necklace-like multi-shelled hollow structure of spinel transition metal oxides ( $\text{NiCo}_2\text{O}_4$  (NCO),  $\text{CoMn}_2\text{O}_4$ , and  $\text{NiMn}_2\text{O}_4$ ) using an adsorption–calcination–reduction strategy (Fig. 7e and f).<sup>90</sup> The DFT calculations reveal a mechanism for enhancing catalytic performance based on a decrease in energy barrier for the formation of intermediates due to the introduction of oxygen vacancies. Zhu and co-workers showed that an oxygen vacancy-rich NiFe-based catalyst yields a more than 2-fold increased current density at a low overpotential (370 mV @ 31  $\text{mA cm}^{-2}$ ) in comparison to the pristine catalyst (370 mV @ 15  $\text{mA cm}^{-2}$ ).<sup>91</sup> Further, Zhu and co-workers used the reduction method to prepare ultrathin iron–cobalt oxide nanosheets ( $\text{Fe}_x\text{Co}_y\text{-ONSs}$ ) with abundant oxygen vacancies as OER electrocatalysts.<sup>92</sup> The  $\text{Fe}_x\text{Co}_y\text{-ONSs}$  showed excellent OER activity due to rich oxygen vacancies that could



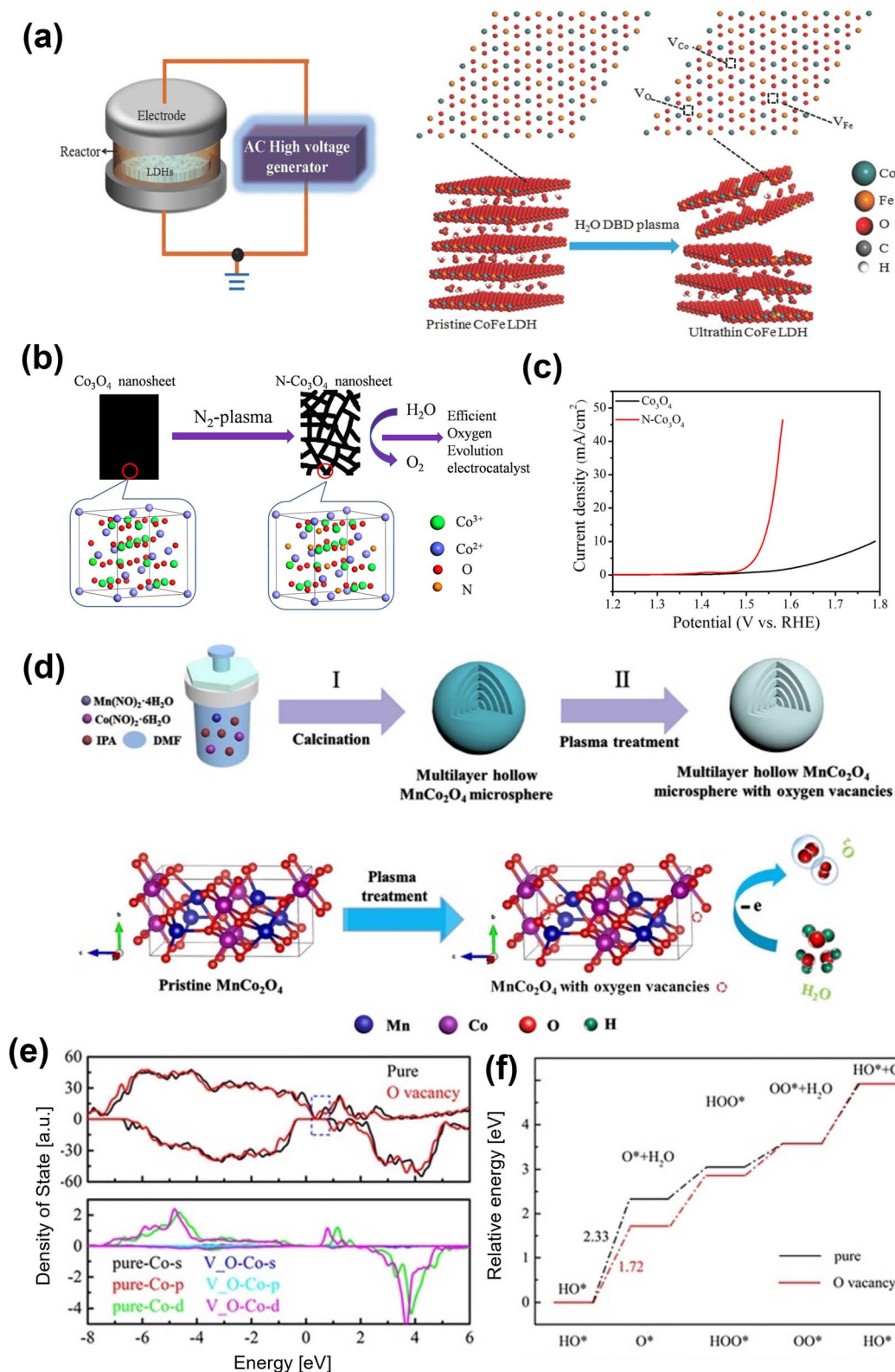


Fig. 8 Plasma treatment for oxygen vacancy generating in OER electrocatalysts (a) schematic illustration of the water-plasma-enabled exfoliation of CoFe LDH nanosheets. The DBD plasma reactor is designed with the plate-to-plate electrode at 50 V powered by the AC high voltage generator.<sup>98</sup> Copyright 2017, Wiley-VCH. (b) Schematic illustration of the preparation of the N-doped and etched  $\text{Co}_3\text{O}_4$  nanosheets, (c) the polarization curves of the OER on pristine  $\text{Co}_3\text{O}_4$  nanosheets and the N-doped  $\text{Co}_3\text{O}_4$  nanosheets.<sup>101</sup> Copyright 2017, IOP Publishing. (d) Illustration of the preparation of multilayer hollow  $\text{MnCo}_2\text{O}_4$  microspheres (pristine  $\text{MnCo}_2\text{O}_4$ ) and the introduction of oxygen vacancies ( $\text{V}_\text{O}$ - $\text{MnCo}_2\text{O}_4$ ). (e) The calculated density of states for  $\text{MnCo}_2\text{O}_4$  (0 0 1) with and without oxygen vacancies (upper panel) and density of states projected on the surface Co atom (lower panel). (f) The energy profile for the OER process on  $\text{MnCo}_2\text{O}_4$  with and without oxygen vacancies at zero potential.<sup>102</sup> Copyright 2021, Elsevier.



improve electronic conductivity and facilitate the adsorption of  $\text{H}_2\text{O}$  onto nearby  $\text{Co}^{3+}$  sites.

**3.2.2. Thermal treatment.** The most common approach to generating oxygen vacancies in metal oxides is to anneal the metal oxides at elevated temperatures under inert or reduced pressure conditions. The oxygen vacancy concentration can be tuned by adjusting inert gas flow rate during annealing or processing temperature.

Xie and colleagues successfully synthesized ultrathin nanosheets of the  $\text{NiCo}_2\text{O}_4$  spinel with oxygen deficiencies by calcination of  $\text{NiCo}$  hydroxide in air at  $300^\circ\text{C}$ .<sup>93</sup> These ultrathin  $\text{NiCo}_2\text{O}_4$  nanosheets with rich oxygen vacancies exhibited a high current density of  $285\text{ mA cm}^{-2}$  at  $0.8\text{ V vs. Ag/AgCl}$ . The theoretical calculations showed that oxygen vacancies confined in the ultrathin nanosheets could lower the adsorption energy of  $\text{H}_2\text{O}$ , which enhances the OER efficiency. Cheng *et al.* have demonstrated that the electrocatalytic activity of  $\text{MnO}_2$  is boosted by generating oxygen deficiencies.<sup>94</sup> The  $\text{MnO}_2$  with oxygen vacancies were prepared by simply heating in air or Ar atmosphere. Surprisingly the  $\text{MnO}_2$  samples heated in Ar ( $350^\circ\text{C}$ ) and air ( $400^\circ\text{C}$ ) outperformed the other samples that were annealed at the same temperatures but for longer durations. Hence generating an optimum concentration of oxygen vacancies was crucial wherein these oxygen vacancy enriched  $\text{MnO}_2$  samples showed a large current and lower overpotential. DFT study reveals that oxygen vacancies enhance the interaction between  $\text{MnO}_2$  surfaces and oxygen-containing species, and therefore reduce the kinetic barrier. Shi and co-workers successfully generated two different oxygen vacancy concentrations ( $\delta = 0.25$  and  $0.50$ ) in a perovskite oxide ( $\text{PrBaCo}_2\text{O}_{6-\delta}$ ) by annealing under pure  $\text{N}_2$  atmosphere for 30 min at 250 and  $600^\circ\text{C}$ , respectively.<sup>95</sup> They found that when oxygen vacancies were increased to  $\delta = 0.50$ , the OER activity is reduced significantly. The reduced OER performance may be due to a significant reduction in  $e_g$  filling of Co ions, which increases the electric resistivity and weakens the Co–O bond covalency. These results indicate that an optimal oxygen vacancy loading should be investigated for the high OER activity of any candidate materials.

**3.2.3. Plasma treatment.** Oxygen vacancies can be created on the surface of the electrodes by exposing them to high-energy ion ( $\text{N}_2^+$ ,  $\text{Ar}^+$ ,  $\text{H}_2\text{O}^+$ ) bombardment. A plasma is a partially ionized gas and includes ions, electrons, radicals, photons, molecules, and excited species, which remove oxygen from the surface to produce oxygen vacancies.<sup>96</sup> The oxygen vacancies created by plasma treatment are conducive to modulate the electronic structures, providing rapid electron transfer, and enhancing the electrochemical performance.<sup>97</sup> Liu *et al.* prepared CoFe LDH nanosheets with oxygen vacancies by water-plasma-enabled exfoliation.<sup>98</sup> Fig. 8a shows the experimental set-up of the dielectric barrier discharge (DBD) plasma reactor for creating the oxygen vacancies in CoFe LDH by partially etching the interlayer anions. The vacancies in CoFe LDH nanosheets show a positive effect in enhancing the electrocatalytic OER activity of CoFe LDH nanosheets. Similarly, Hou and co-workers developed an oxygen vacancy-rich NiFe-LDH electrocatalyst by water-plasma-assisted synthesis.<sup>99</sup> The

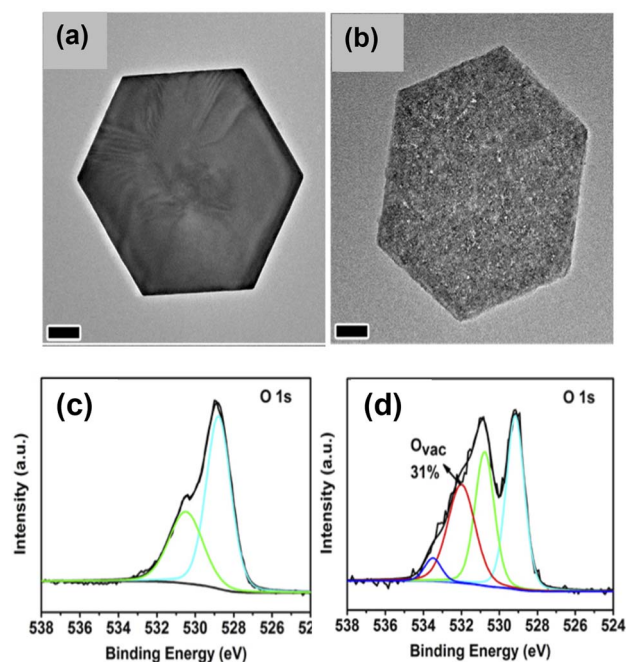


Fig. 9 Ligand-assisted reduction method to generate oxygen vacancies on cobalt oxide nanoplates. TEM images of (a)  $\alpha\text{-Co(OH)}_2$  and (b)  $\text{CoO}_x$ . O 1s XPS spectra of (c)  $\text{CoO-cal}$ , and (d)  $\text{CoO}_x$ .<sup>111</sup> Copyright 2018, Elsevier.

oxygen-enriched NiFe-LDH nanosheets possessed a large petal-like structure ( $\sim 3\ \mu\text{m}$ ) and high BET surface area, which is favorable for enhancing the catalytic performance for OER.

Zhi and co-workers adopted the Ar plasma strategy to introduce oxygen vacancies to  $\text{Co}_3\text{O}_4$  and produced  $\text{Co}_3\text{O}_{4-x}$ .<sup>100</sup> The oxygen vacancy-rich  $\text{Co}_3\text{O}_{4-x}$  promoted the reversible Co–O  $\leftrightarrow$  Co–O–OH redox reaction and lead to an enhancement in OER performance. Xu *et al.* prepared an efficient  $\text{Co}_3\text{O}_4$  electrocatalyst for electrocatalytic OER with oxygen vacancies by a one-step Ar plasma-engraving strategy.<sup>85</sup> The Co 2p XPS analysis reveals that in comparison to pristine  $\text{Co}_3\text{O}_4$ , the plasma-engraved  $\text{Co}_3\text{O}_4$  surface has more  $\text{Co}^{2+}$  oxidation states, suggesting a partial reduction of  $\text{Co}^{3+}$  ions to  $\text{Co}^{2+}$  with the creation of oxygen vacancies on the  $\text{Co}_3\text{O}_4$  surface. The  $\text{Co}_3\text{O}_4$  nanosheets with oxygen vacancies significantly increased the surface area and the specific activity, resulting in better OER activity. The same group also reported N-doped nonporous  $\text{Co}_3\text{O}_4$  nanosheets with oxygen vacancies by  $\text{N}_2$  plasma as an efficient OER electrocatalyst (Fig. 8b).<sup>101</sup> An increase in active sites owing to the generated oxygen vacancies leads to higher electronic conductivity, resulting in excellent electrocatalytic activity with a lower overpotential of  $310\text{ mV}$  at  $10\text{ mA cm}^{-2}$  (Fig. 8c). Yang and co-workers recently used the plasma-engraving technique to create oxygen vacancies in multilayered  $\text{MnCo}_2\text{O}_4$  microspheres (Fig. 8d).<sup>102</sup> The defective  $\text{MnCo}_2\text{O}_4$  increases the number of exposed active sites and promotes OER kinetics, further enhancing OER performance. Theoretical calculations confirm that the introduction of oxygen vacancies in  $\text{MnCo}_2\text{O}_4$  can reduce the DOSs near the Fermi level and lower the Co d-band center, weakening the adsorption intermediates (Fig. 8e and f).





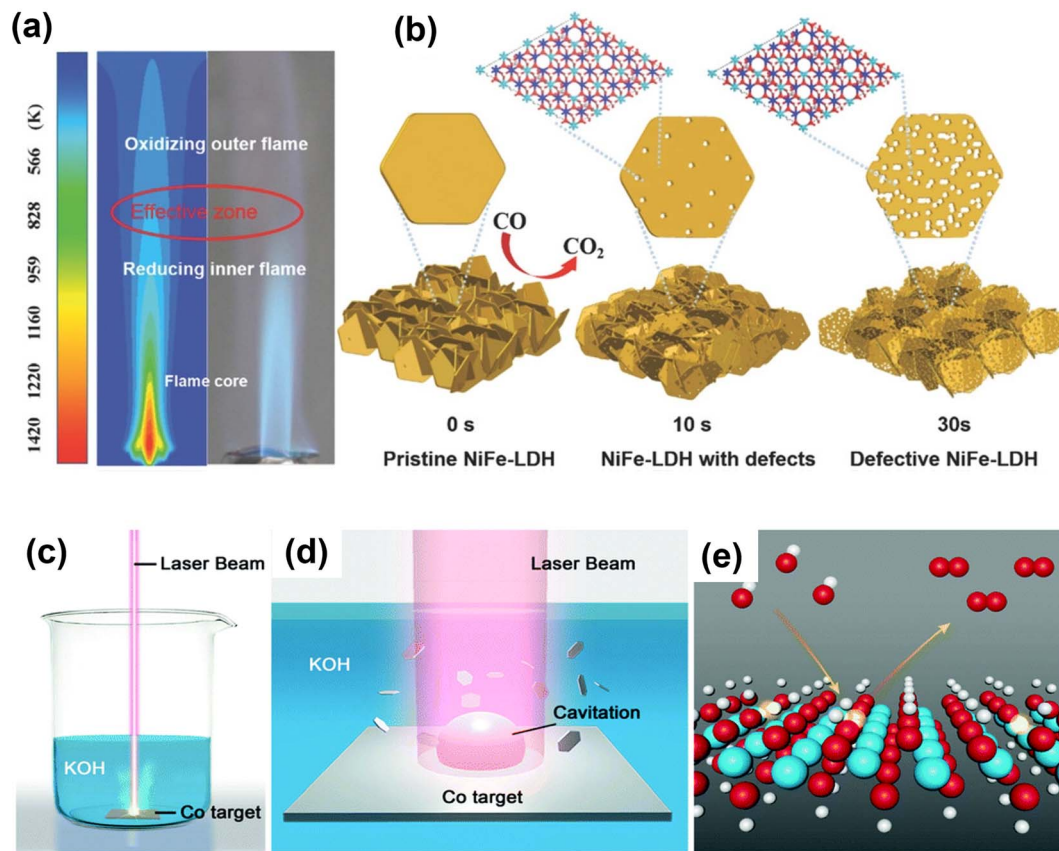


Fig. 10 Schematic illustration of defective NiFe-LDH and L-CoOOH with oxygen vacancies prepared by fast reducing flame treatment and laser ablation in liquid for creating oxygen vacancies in transition-metal oxides. (a) Temperature distribution simulation of flame. (b) Morphology change of NiFe-LDH treated by flame for different periods of time.<sup>117</sup> Copyright 2018, Wiley-VCH. (c) Schematic illustration of the synthesis of L-CoOOH via LAL and (d) the corresponding magnification of the laser irradiation region. (e) Schematic representation of L-CoOOH with abundant oxygen vacancies for the OER. Color code: white for H, red for O, cyan for Co, and transparent color for a vacancy.<sup>118</sup> Copyright 2019, The Royal Society of Chemistry.

Gui and co-workers used doping and plasma treatment for creating abundant oxygen vacancies into a  $\text{LaCoO}_3$  perovskite.<sup>103</sup> First, by substituting the lower valence  $\text{Sr}^{2+}$  ion for  $\text{La}^{3+}$  ion and then using Ar plasma treatment oxygen vacancies were generated. The prepared  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_{3-\delta}$  ( $x = 0.3$ ) sample with doping and Ar plasma treatment showed excellent OER performance and stability, which is attributed to the presence of a large number of oxygen vacancies with high intrinsic activity of active sites. Similarly, the oxygen vacancy rich  $\text{CoN}^{104}$  ( $\text{N}_2$  plasma treatment),  $\text{NiCo-LDH}^{105}$  (Ar plasma treatment),  $\text{NiFe-LDH/ATO}^{106}$  (air plasma treatment),  $\text{PrBa}_{0.5}\text{Sr}_{0.5}\text{Co}_{1.5}\text{Fe}_{0.5}\text{O}_{5+\delta}$  (PBSCF)<sup>107</sup> (Ar and  $\text{H}_2$  plasma treatment),  $\text{NiO}^{108}$  (Ar plasma treatment), and  $\text{CoSe}_2$  (Ar/ $\text{O}_2$  plasma treatment)<sup>109</sup> electrocatalysts were successfully prepared.

**3.2.4. Others.** Other methods of forming nanostructured surfaces with oxygen vacancies were found to play a vital role in enhancing electrochemical performance, given that they improved the number of active sites around the defects.<sup>110</sup> Yin and co-workers used the ligand-assisted polyol reduction method to generate oxygen vacancies on cobalt oxide nanoplates.<sup>111</sup> This method converts  $\text{Co(OH)}_2$  hexagonal nanoplates to  $\text{CoO}_x$  by heat treatment in the presence of diethylene glycol. The advantage of this method is that it enables large-scale

catalyst preparation with superior uniformity, solution dispersion, and controllable concentration of oxygen vacancies on the surface. As shown in Fig. 9a and b, the hexagonal platelet structure of  $\text{CoO}_x$  was well maintained during the chemical transformation while the interior of the nanoplates became more porous compared to  $\text{Co(OH)}_2$ . This transformation was claimed to be due to the volume shrinkage caused by the phase transition and dehydration of  $\text{Co(OH)}_2$ . The XPS analysis revealed a surface concentration as high as 31% for the oxygen vacancies in  $\text{CoO}_x$  (Fig. 9c and d). This improves the electrocatalytic performance of oxygen-rich  $\text{CoO}_x$  for OER.

Ionic liquid-derived electrocatalysts can provide greater active site density and heteroatoms to alter their electronic structures, because of their structural tunability.<sup>112,113</sup> They also have high thermal stability, tunable solvent properties, and good ionic conductivity. Inspired by this, Wang and co-workers generated oxygen vacancies into amorphous N, P and F tri-doped  $\text{CoFe}_2\text{O}_4$  using an ionic liquid as a dopant.<sup>114</sup> They revealed that the ionic liquid played an important role in the formation of the amorphous structure and oxygen vacancies in the catalyst, which are mainly responsible for enhancing the OER performance. Besides the generation of oxygen vacancies, ionic liquids, as mentioned earlier, can facilitate doping of



metal atoms and heteroatoms. Kumbhar and co-workers have developed a simple method to prepare Co and heteroatom (S and N) co-doped carbon ( $\text{Co}_{10}\text{-NS-C}$ ) based OER electrocatalysts using ionic liquids.<sup>115</sup> The doping of Co and N/S in carbon modulates the electronic structure of the material, facilitates the electron transfer in the superstructure, and enhances the OER performance with additional stability.

The flame treatment method was introduced as a simple, fast, and green way to generate more precise oxygen vacancies in a safe and open atmosphere.<sup>116,117</sup> Zhou *et al.* used fast flame exposure in ambient conditions to create oxygen vacancies in NiFe-LDH nanosheet arrays.<sup>117</sup> The inner core of a burner flame (4 to 5 cm away from flame gun muzzle) is oxygen-deficient, providing a reducing and yet high-temperature environment to generate oxygen vacancies in NiFe-LDH (Fig. 10a and b). After treatment for 30 s by reducing flame in the open environment, the engraved NiFe-LDH array shows improvement in OER performance.

Like the flame treatment method, laser ablation in liquid (LAL) is also a green, mild and effective approach to introduce oxygen vacancies into transition-metal oxide materials.<sup>118</sup> Zhou and co-workers use LAL to prepare oxygen-rich CoOOH

nanosheets by irradiating the Co target immersed in the KOH solution using a nanosecond laser for 20 min (Fig. 10c–e).<sup>118</sup> The oxygen vacancy-rich CoOOH can optimize the adsorption of OER intermediates and improve electrical conductivity, thus enhancing OER performance. Furthermore,  $\text{Co}_3\text{O}_4$  and  $\text{SmMn}_2\text{O}_5$  with oxygen vacancies have been prepared using laser irradiation to exhibit excellent OER performance.<sup>119,120</sup>

### 3.3. Alloying

Alloying is considered an efficient strategy to optimize and improve the electrochemical performance of electrocatalysts because by adjusting the elemental composition the electronic structure of the catalysts can be modulated. It is profoundly different than doping methods because of the near stoichiometric presence of the secondary metals. The controlled synthesis of nanocrystal alloys has been demonstrated as an effective approach in various electrochemical reactions, such as OER, hydrogen evolution reaction, nitrogen reduction, and  $\text{CO}_2$  reduction, to enhance electrocatalytic activity.<sup>121–124</sup> The basic principle of alloying the electrode's primary metal with others is

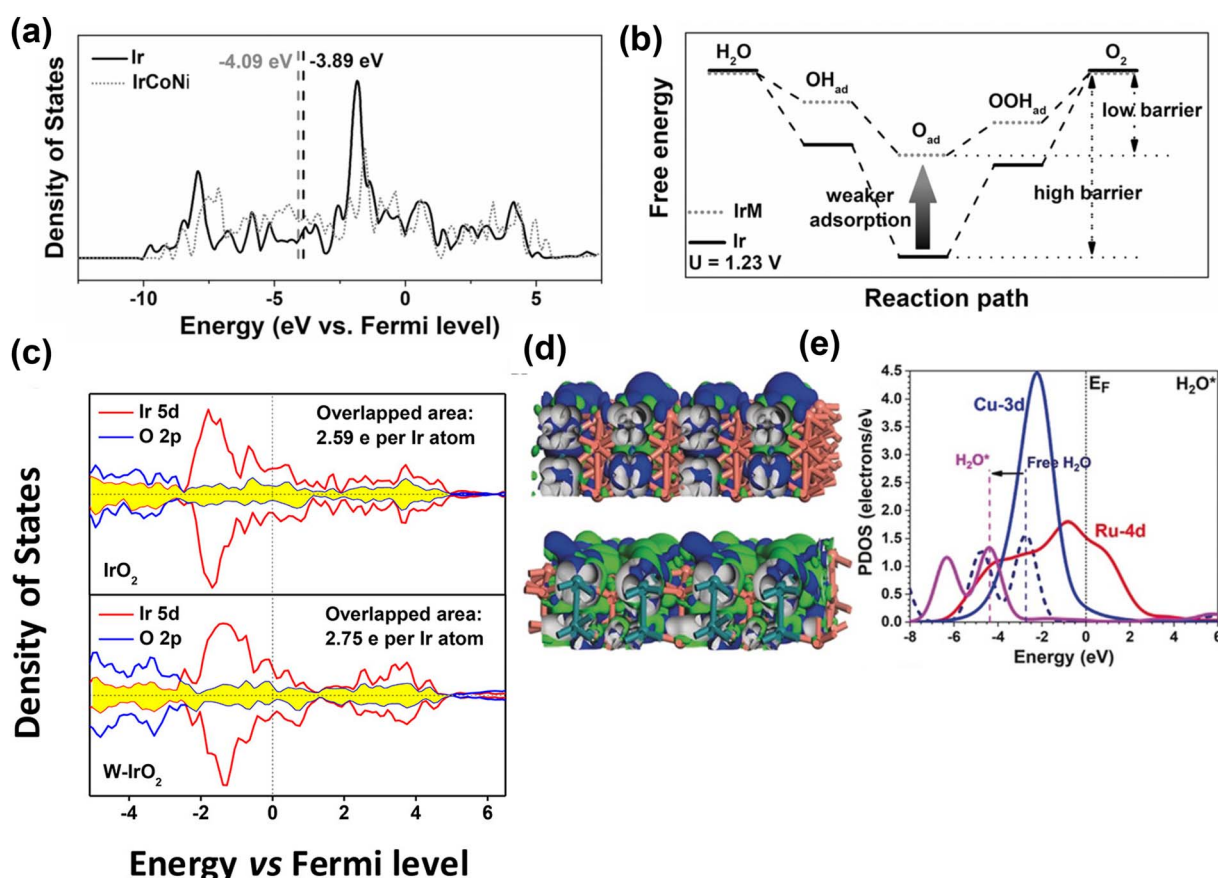


Fig. 11 Computational studies of metal alloy electrocatalysts for OER. (a) Projected DOS of d bands of Ir (black) and IrCoNi models (red) with corresponding d-band center denoted by dash lines. (b) Schematic illustration of reaction paths for OER, indicating alloying Ir with M leads to weaker adsorption of oxygen-based intermediates, thus decreasing the barrier of reaction path.<sup>127</sup> Copyright 2017, Wiley-VCH. (c) Projected density of states of IrO<sub>2</sub> (up) and W-IrO<sub>2</sub> (bottom) with the overlapped states of Ir 5d (red) and O 2p (blue) denoted by the shaded area (yellow).<sup>129</sup> Copyright 2018, American Chemical Society. (d) The side views of the real-spatial contour plots for the bonding and anti-bonding orbitals near the  $E_F$  of the pristine RuCu NPs (upper) and channel-rich RuCu NSs (lower). (e) The PDOS of H<sub>2</sub>O adsorption on RuCu NSs.<sup>132</sup> Copyright 2019, Wiley-VCH.



to alter the electronic structure of the surface and near-surface atoms of catalyst to enhance the electrochemical activity. For example, the electrocatalytic activity is enhanced by alloying Ir with transition metals because the oxygen adsorption energy can be tuned, as explained by the d-band center theory.<sup>125,126</sup> The d-band center theory describes the relationship between adsorption energy and d-band electrons. Guo and co-workers have prepared IrM (M = Co, Ni, CoNi) porous nanocrystals.<sup>127</sup> The IrM nanocrystal electrocatalysts showed efficient electrocatalytic activity for OER. Their study revealed that Ir induces a ligand effect with transition metals, wherein the d-band center of Ir can be shifted far away from its original Fermi level through alloying. Thus, the adsorption energy of oxygen intermediates decreases, which is the key to improving the electrochemical OER performance (Fig. 11a and b). The same group

prepared IrM (M = Ni, Co, Fe) bimetallic nanoclusters *via* a wet-chemical strategy without using surfactants.<sup>128</sup> The *in situ* synthesis without the use of a capping agent (PVP), a cleaner surface, and the strong interaction between IrM nanoclusters enhanced the electrocatalytic performance. Guo and co-workers<sup>129</sup> demonstrated that IrW nano-dendritic structure weakened the adsorption of oxygen intermediates. The projected density of states (Fig. 11c) showed that the overlap between the Ir 5d orbitals and O 2p orbitals of W-IrO<sub>2</sub> (2.75 e<sup>-</sup> per Ir atom) is larger than that for IrO<sub>2</sub> (2.59 e<sup>-</sup> per Ir atom), suggesting strong interaction between Ir and O in ternary oxide, which enhances the dissolution resistance of Ir and stabilizes the catalytic surfaces. Chen *et al.*<sup>130</sup> synthesized ultrafine Ir<sub>x</sub>Ni<sub>y</sub> alloy using a wet-chemical method, followed by encapsulation in porous N-doped carbon (BMNC) (labeled as Ir<sub>3</sub>Ni<sub>2</sub>/BMC)

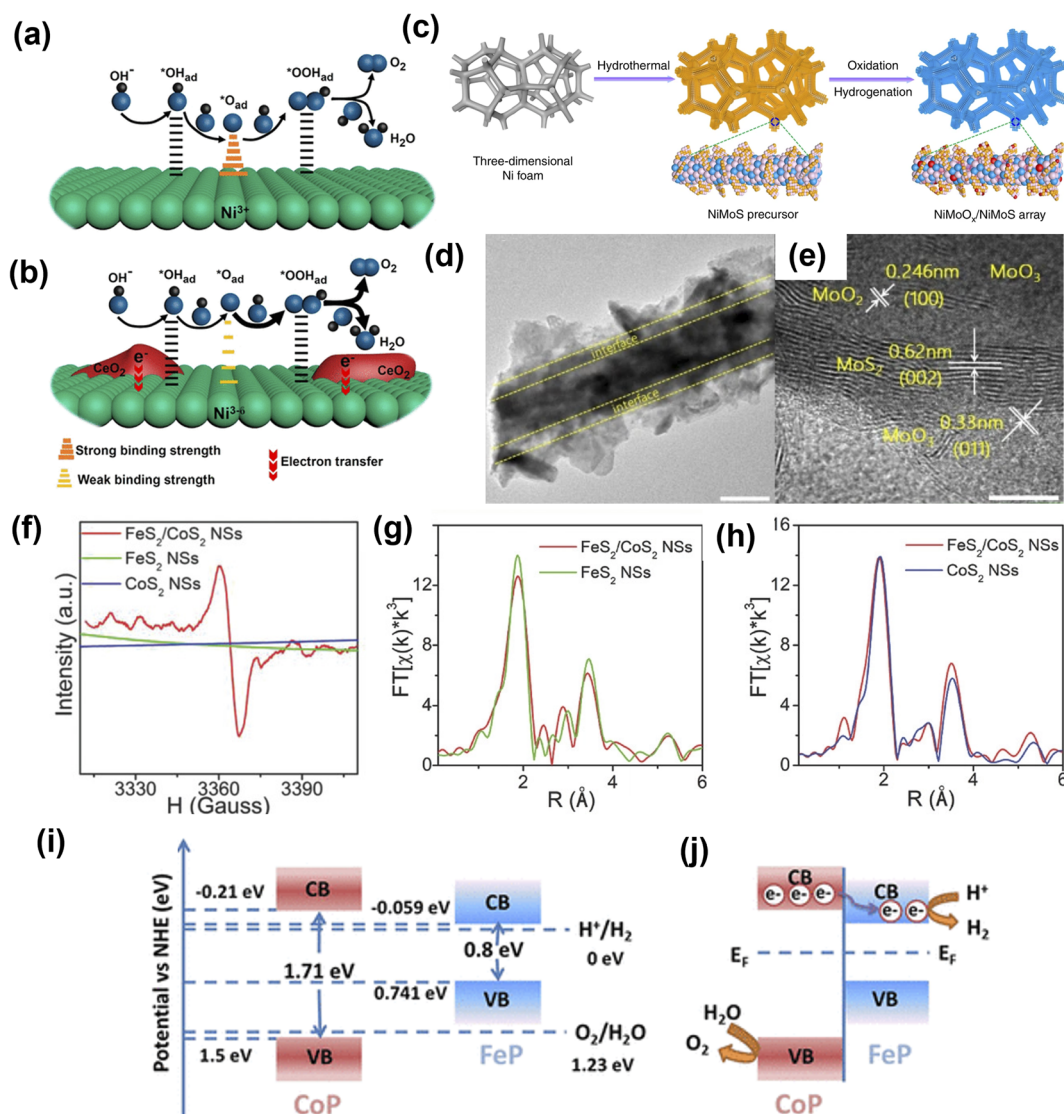


Fig. 12 Heterostructure engineering strategies for OER. (a) Ni@CP and (b) Ni<sub>4</sub>Ce<sub>1</sub>@CP with the Ni(OH)<sub>2</sub>-CeO<sub>2</sub> interfaces.<sup>143</sup> Copyright 2018, American Chemical Society. (c) Illustration of transition metal oxides/sulfides heterostructure array synthesis. (d and e) HRTEM images of NiMoO<sub>x</sub>/NiMoS.<sup>144</sup> Copyright 2020, Springer Nature. (f) EPR spectra of FeS<sub>2</sub>/CoS<sub>2</sub> NSs, FeS<sub>2</sub> NSs, and CoS<sub>2</sub> NSs. (g) FeS<sub>2</sub>/CoS<sub>2</sub> NSs and FeS<sub>2</sub> NSs in R-space at the Fe K-edge and (h) FeS<sub>2</sub>/CoS<sub>2</sub> NSs and CoS<sub>2</sub> NSs in R-space at the Co K-edge. Copyright 2018, Wiley-VCH. (i) Schematic illustrations of the band structure of FeP and CoP before contact. (j) Charge transfer at the interface between CoP and FeP in the CoP-FeP heterostructures.<sup>146</sup> Copyright 2019, American Chemical Society.





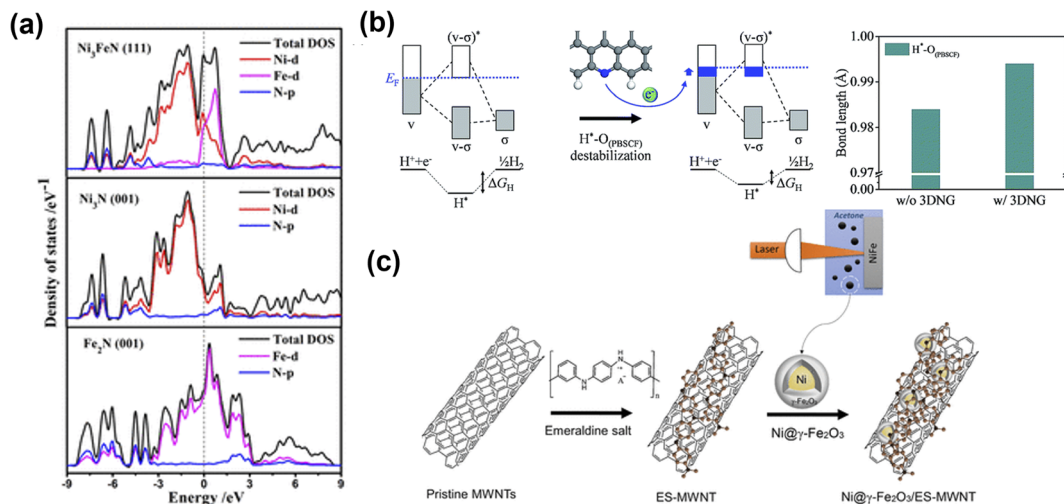


Fig. 13 Metal and carbonaceous materials interface for OER electrocatalysts. (a) Total and partial electronic density of states (TDOS and PDOS) calculated for  $\text{Ni}_3\text{FeN}$  (111),  $\text{Ni}_3\text{N}$  (001), and  $\text{Fe}_2\text{N}$  (001).<sup>149</sup> Copyright 2018. American Chemical Society. (b) Bonding scheme of  $\text{H}^*-\text{O}_{(\text{PBSCF})}$  and corresponding  $\Delta G_{\text{H}}$  (left), and the bond length of  $\text{H}^*-\text{O}_{(\text{PBSCF})}$  without and with 3DNG (right).<sup>150</sup> Copyright 2019. The Royal Society of Chemistry. (c) Schematic illustration for the synthesis of the  $\text{Ni}@-\gamma\text{-Fe}_2\text{O}_3$  NPs and the  $\text{Ni}@-\gamma\text{-Fe}_2\text{O}_3/\text{ES-MWNT}$  hybrid materials.<sup>153</sup> Copyright 2018. American Chemical Society.

derived from bimetallic zeolitic imidazolate frameworks (ZIFs). Owing to the alloying effect between Ni and Ir, increased surface area, and the generation of relatively more active sites, the  $\text{Ir}_3\text{Ni}_2/\text{BMC}$  exhibited excellent OER performance with a lower overpotential of 279 mV to deliver  $10 \text{ mA cm}^{-2}$ . Zhang *et al.*<sup>131</sup> reported Ir (4.9 wt%) incorporation into metallic Ni, significantly improving the electrochemical performance. The theoretical study indicated that the *in situ* generated amorphous Ir-Ni hydroxides around Ni-Ir core were the active species for the OER process, which enhanced the reaction kinetics for OER.

Huang and co-workers<sup>132</sup> prepared channel-rich RuCu snowflake-like nanosheets composed of crystalline Ru and amorphous Cu. The excellent performance of RuCu nanosheets was attributed to highly active electron transfer and optimized electronic structures. The amorphous Cu and localization of bonding and anti-bonding orbitals near Fermi level ( $E_{\text{F}}$ ) change from Ru sites towards even distribution of the whole surface, supporting the electronic activation near the channel region (Fig. 11d). As shown in Fig. 11e, notable downshifting of H 1s and  $\text{H}_2\text{O}$  2p bands suggests stronger adsorption to guide the OER process. Feng and colleagues<sup>133</sup> reported that graphitic

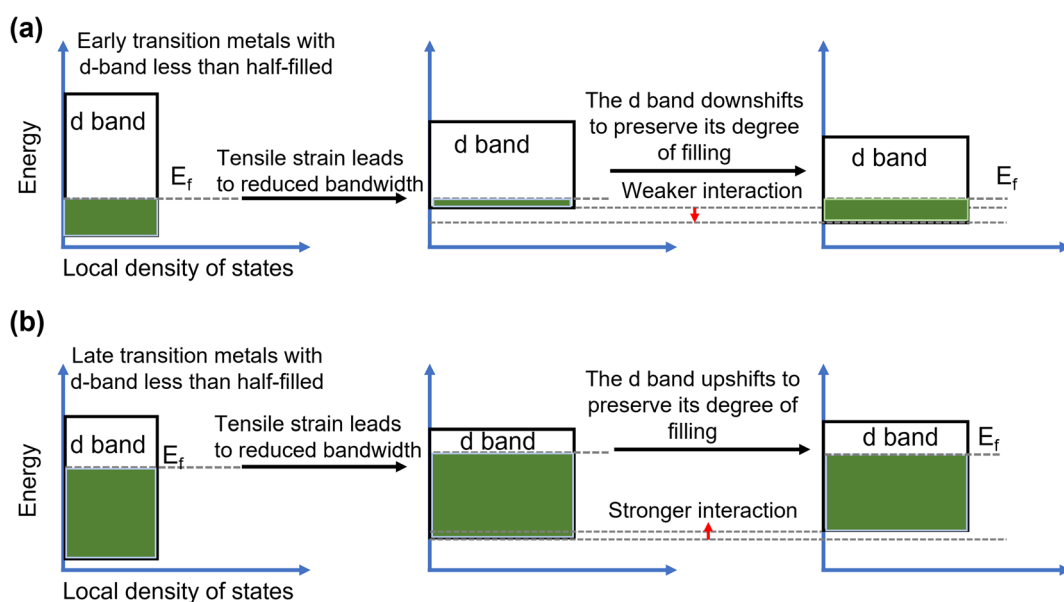


Fig. 14 Mechanism of strain enhanced OER. Energy diagrams showing the influence of (a) tensile and (b) compressive strain on the d band of early transition metals.<sup>163</sup> Copyright 2019. Springer Nature.



carbon encapsulated  $\text{Ni}_x\text{Ru}_y$  alloys ( $\text{Ni}_{0.6}\text{Ru}_{0.4}@\text{C}$ ) exhibited excellent performance for OER in 1 M KOH. The experimental and DFT calculation results revealed that minor Ru alloying enabled morphological changes and electronic modulation of the electrocatalysts.

Although Ir and Ru-based electrocatalysts show better electrocatalytic activity in OER, non-precious metal alloy-based electrocatalysts are also noteworthy for use as OER catalysts.

Hoang and Gerwirth<sup>134</sup> prepared a NiFe film using an electro-deposition method. The prepared NiFe film showed enhanced OER performance with a lower overpotential of 300 mV at 100  $\text{mA cm}^{-2}$  with excellent stability for 72 h. The improved performance of the NiFe film compared to pristine Ni indicates the alteration of electronic structure, which could be due to the interaction between Fe and Ni. Yin and co-workers<sup>135</sup> prepared bimetallic IrNi alloy nanoparticles supported on nickel foam

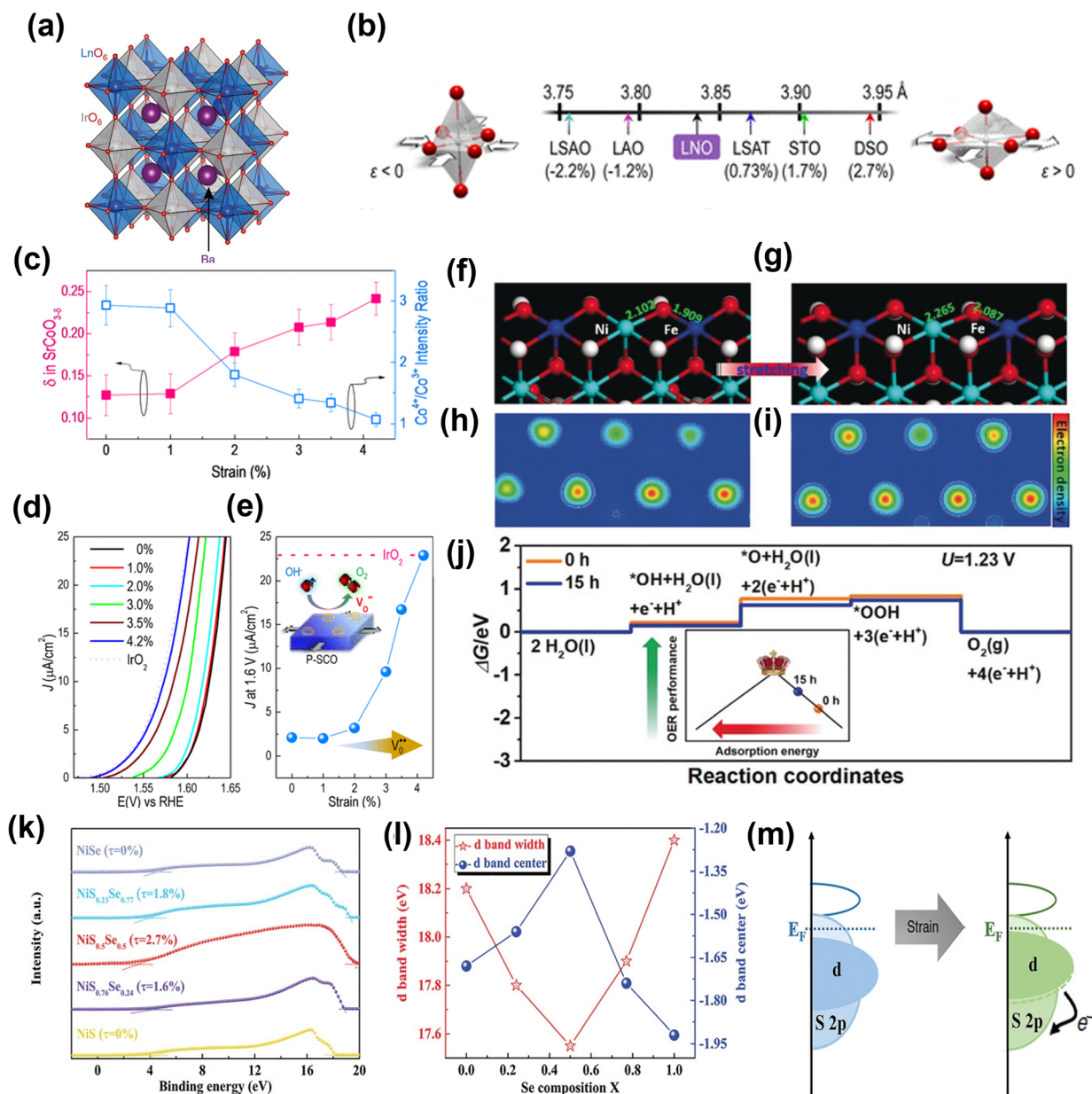


Fig. 15 Performance and computational studies of strain engineered OER electrocatalysts. (a) Crystal structure of a generic  $\text{Ba}_2\text{MlrO}_6$  DP.<sup>34</sup> Copyright 2016. Springer Nature. (b) Lattice parameters and associated biaxial strain for LNO on various substrates.<sup>162</sup> Copyright 2016. American Chemical Society. (c) Plot of the ratio of the intensities of the  $\text{Co}^{4+}$  and  $\text{Co}^{3+}$  peaks showing a clear trend toward decreasing Co valency with tensile strain, with a matching trend in oxygen non-stoichiometry ( $\delta$ ). A strain-relaxed P-SCO film on LSAO ( $\epsilon = 0\%$ ) was used. (d) Polarization curves for the OER reaction on P-SCO under increasing amounts of biaxial tensile strain. (e) Current densities at 1.6 V vs. RHE for all of the films are plotted as a function of strain. The activity for a textured (111)  $\text{IrO}_2$  film is included. Theoretical calculations of OER catalysis on NiFe-LDH with and without ball-milling treatment.<sup>167</sup> Copyright 2016. American Chemical Society. (f and g) Slab model. (h and i) Electron density distribution. (j) Free energy profile.<sup>168</sup> Copyright 2019. Wiley-VCH. (k) UPS of samples. (l) The d-band center and corresponding d-bandwidth of the  $\text{NiS}_x\text{Se}_{1-x}$  surface. (m) Schematic of the electron exchange for the lattice-strained surface.<sup>169</sup> Copyright 2020. Wiley-VCH.



substrate using a hydrothermal method. The bimetallic IrNi nanoparticles exhibit excellent catalytic performance with a low overpotential of 200 mV at 20 mA cm<sup>-2</sup> current density. Wang and colleagues prepared NiFe alloy nanoparticles encapsulated with N-doped carbon with hexagonal close-packed (hcp-NiFe@NC) and face-centered cubic (fcc-NiFe@NC) crystal structures.<sup>136</sup> The hcp-NiFe@NC catalyst exhibits superior OER performance with 226 mV overpotential at 10 mA cm<sup>-2</sup>, which is much lower than fcc-NiFe@NC (292 mV @ 10 mA cm<sup>-2</sup>). The excellent performance of hcp-NiFe@NC was claimed to be due to the hcp structure (space group: *P6<sub>3</sub>/mmc*) and favorable electronic properties to expedite the reaction on N-doped carbon. Liu *et al.* prepared a Fe-Co-P alloy sphere using Fe-Co metal-organic complex (MOC) as a precursor.<sup>137</sup> The results suggested that the electrochemically induced high-valent Fe stabilized Co in a low valence state, which enabled the enhancement of OER performance and stability of the Fe-Co-P alloy. Wang and co-workers fabricated nickel-iron diselenide hollow nano-chains (NFSHNCs) as an OER catalyst.<sup>138</sup> They found that O\* and OH\* adsorption was found to be closely related to the iron and nickel sites. This strategy was used by several researchers to prepare metal alloys and study them extensively as OER electrocatalysts such as Ni-Mo alloy microspheres,<sup>139</sup> Mo-Ni alloy nanoparticles,<sup>140</sup> Ru-Ni nanosheets,<sup>141</sup> and monolithic nanoporous Ni-Fe alloys.<sup>142</sup>

### 3.4. Heterostructure engineering

Heterostructures are conjoined electrocatalytic domains of independent nature and generally constructed by integrating different components such as metal-metal interfaces, metal-oxide interfaces, metal-non oxide (sulfide, nitride, selenide, and carbide) interfaces, and other interfaces (oxide-chalcogenide, oxide-oxide, phosphate-tungstate). Such interface engineering plays an essential role in improving the OER performance, which is due to: (a) modulating the electronic structure and therefore optimizing reaction intermediates, and (b) providing abundant catalytically active sites for electrolysis. It also helps to change the intrinsic catalytic activities of individual components, enabling catalysts with unique physicochemical properties towards electrochemical reactions. The electronic interactions among the different components create new active centers through the interface effect, enhancing the electrocatalytic performance. In short, interface engineering alters the electronic structure of an electrocatalyst, which could make it favorable for OER efficiency.

Huang and co-workers constructed a Ni(OH)<sub>2</sub>-CeO<sub>2</sub> heterostructure supported on carbon paper (Ni<sub>x</sub>Ce<sub>y</sub>@CP).<sup>143</sup> The interface induced by the strong electronic interaction between hydroxide (Ni(OH)<sub>2</sub>) and oxide (CeO<sub>2</sub>) favorably modulated the binding strength between reaction intermediates and the electrocatalyst, which enhanced the OER performance (Fig. 12a and b). Zhai *et al.* fabricated a transition metal-based hierarchical bimetal oxide/sulfide heterostructure array (NiMoO<sub>x</sub>/NiMoS) by an oxidation/hydrogenation-induced surface reconfiguration strategy (Fig. 12c-e).<sup>144</sup> The as-prepared NiMoO<sub>x</sub>/NiMoS heterostructure arrays exhibited excellent electrocatalytic

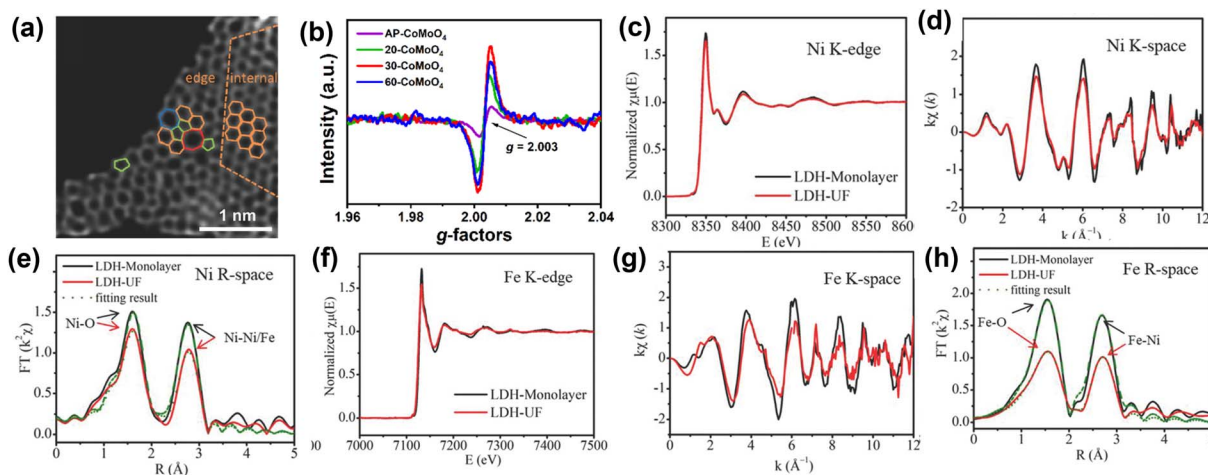
performance for OER with 186, 225, 278, and 334 mV to reach 10, 100, 500, and 1000 mA cm<sup>-2</sup>, respectively. The excellent performance of transition bimetal oxides/sulfides heterostructure arrays was attributed to simultaneous modulation of component and geometric structures, and systematic optimization of charge transfer, increased active sites, and synergy between heterostructure interfaces. Jiang and co-workers<sup>145</sup> designed metal alloys (Co<sub>3</sub>Mo) and metal oxide (CoMoO<sub>x</sub>) heterostructures (Co<sub>3</sub>Mo/CoMoO<sub>x</sub>) by a facile topological transformation through direct annealing of CoMoO<sub>4</sub> under H<sub>2</sub> atmosphere. The Co<sub>3</sub>Mo/CoMoO<sub>x</sub> heterostructure with abundant and strong coupling interfaces was shown to synergistically strengthen the conductivity of Co<sub>3</sub>Mo/CoMoO<sub>x</sub> and accelerate the charge transfer kinetics.

Li *et al.*<sup>146</sup> prepared defect-rich FeS<sub>2</sub>/CoS<sub>2</sub> interface nanosheets. The synthesized FeS<sub>2</sub>/CoS<sub>2</sub> showed remarkable OER activity with an overpotential of 302 mV at 100 mA cm<sup>-2</sup>, benefiting from the abundant defects present in the nanosheets interface structure. As shown in Fig. 12f, the electron paramagnetic resonance (EPR) spectra of FeS<sub>2</sub>/CoS<sub>2</sub> with stronger EPR signal suggested abundant S vacancies compared to FeS<sub>2</sub> and CoS<sub>2</sub>. Also, peak intensities of Fe-S and Fe-Fe pairs in the extended X-ray absorption fine structure (EXAFS) spectrum of FeS<sub>2</sub>/CoS<sub>2</sub> were lower than FeS<sub>2</sub>, further indicating disordered and defective interfaces (Fig. 12g and h). Feng *et al.* demonstrated that a MoS<sub>2</sub>/Ni<sub>3</sub>S<sub>2</sub> heterostructure exhibited excellent OER performance due to a precise interface that was synergistically favoring the chemisorption of oxygen-containing intermediates<sup>147</sup> on the catalyst surface. Ai and co-workers<sup>148</sup> showed non-precious metal phosphide heterostructures (CoP-FeP) as efficient electrocatalysts. A hierarchical branched CoP-FeP heterostructure is directly grown on a carbon cloth (CC). The n-type CoP semiconductor had a bandgap of 1.71 eV and conduction band position at -0.21 eV vs. normal hydrogen electrode (NHE), while FeP featured a bandgap of 0.8 eV with a conduction band position of -0.059 (Fig. 12i and j). The CoP displayed a lower work function than that of the FeP and due to intimate contact between CoP and FeP, the electrons flowed from CoP to FeP. This was mainly because of the built-in electric field at the interface until the work function equilibrium is reached. The optimized electron density and electronic potential distribution at the surfaces was thought to promote charge transfer to adsorbates and strengthen the chemical adsorption, thus enhancing electrocatalytic activity.

Another common strategy to improve electrochemical performance and stability is hybridizing electrocatalysts with carbonaceous materials. Yu *et al.*<sup>149</sup> prepared Ni<sub>3</sub>FeN nanoparticles on reduced graphene oxide (Ni<sub>3</sub>FeN/r-GO) as efficient electrocatalysts. The excellent performance of Ni<sub>3</sub>FeN/r-GO heterostructures was attributed to abundant active sites and high electrical conductivity of bimetallic nitrides, and efficient mass transport of the r-GO framework. The DOS calculations (Fig. 13a) indicated that the band structure of Ni<sub>3</sub>FeN was consecutive near the Fermi level, suggesting Ni<sub>3</sub>FeN was intrinsically metallic, suggesting a strong covalent interaction between Ni, Fe, and N. The Ni<sub>3</sub>N and Fe<sub>3</sub>N have only one metal track to contribute DOS value, which indicates that at the







**Fig. 16** Characterizations employed for the elucidation of structural imperfections and resultant alterations of the electronic structure (a) HAADF image of DG with an acceleration voltage of 80 kV. Hexagons, pentagons, heptagons, and octagons were labeled in orange, green, blue, and red, respectively.<sup>175</sup> Copyright 2016. Wiley-VCH (b) EPR of AP-CoMoO<sub>4</sub> and *n*-CoMoO<sub>4</sub>.<sup>176</sup> Copyright 2022. Wiley-VCH (c) (A) Ni K-edge XANES spectra, (d) Ni K-edge EXAFS oscillation functions  $k^2\chi(k)$ , and (e) magnitude of  $k^2$ -weighted FT of the Ni K-edge EXAFS spectra. (f) Fe K-edge XANES spectra, (g) Fe K-edge EXAFS oscillation functions  $k^2\chi(k)$ , and (h) magnitude of  $k^2$ -weighted FT of the Fe K-edge EXAFS spectra for LDH-Monolayer and LDH-UF, respectively.<sup>178</sup> Copyright 2018. Wiley-VCH.

Ni<sub>3</sub>FeN and r-GO interface, the number of electrons transferred from Ni<sub>3</sub>FeN to the r-GO layer was increased, which then regulated the charge redistribution at the interface between Ni<sub>3</sub>FeN and r-GO. Such electron transfer causes the hole accumulation on Ni<sub>3</sub>FeN, which promotes OER intermediate reaction with optimal binding energy values. The Ni<sub>3</sub>FeN exhibited excellent performance with low overpotential of 270 mV at 10 mA cm<sup>-2</sup>. Kim and co-workers prepared a composite electrocatalyst (P-3G) containing cation-ordered perovskite (PrBa<sub>0.5</sub>-Sr<sub>0.5</sub>)<sub>0.95</sub>Co<sub>1.5</sub>Fe<sub>0.5</sub>O<sub>5+δ</sub> (PBSCF) and 3D porous N-doped graphene (3DNG).<sup>150</sup> The DFT calculations revealed that the energy gap decreased because  $\epsilon_d$  came close to the Fermi level ( $E_F$ ). The reduced energy gap describes the relatively strong hybridization between the transition metal and lattice oxygen. The increased covalency between transition metals and lattice oxygen in PBSCF was triggered by the electron transfer of 3DNG, which boosted the OER performance (Fig. 13b). Also, for OER, the active sites were located at the surface of PBSCF and were mainly responsible for high OER performance. Tahir *et al.*<sup>151</sup> prepared hybrid Co<sub>3</sub>O<sub>4</sub> embedded in tubular nanostructures of graphitic carbon nitride (GCN). The strong synergy between Co<sub>3</sub>O<sub>4</sub> and GCN played an essential role in enhancing OER performance. The high surface area, unique tubular structure, and composition of hybrid electrocatalysts made almost all redox sites readily available for catalysis and provided faster ionic and electronic conduction. Liu's group prepared NiCo<sub>2</sub>P nanosheets on functionalized carbon nanotubes (NiCo<sub>2</sub>P<sub>x</sub>/CNTs) as cost-efficient, highly active electrocatalysts.<sup>152</sup> During the OER, the NiCo<sub>2</sub>P<sub>x</sub> was converted into NiCo-LDH, which acted as the active catalyst, while CNT remained as conductive support and improved the stability of the hybrid electrocatalyst. To boost the electrochemical performance of a Ni@ $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> core-shell, multiwalled carbon nanotube functionalized with nitrogen-rich emeraldine salt (ES-MWNT) was used as the

catalyst support (Fig. 13c).<sup>153</sup> The N enriched MWNT enhanced the interaction with the  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> shell, which modified the catalyst surface and created a highly durable catalyst. In addition to the above-mentioned heterostructures, other hybrid electrocatalysts with carbonaceous materials have been developed such as Fe<sub>1</sub>Co<sub>3</sub>O<sub>x</sub>@C-800,<sup>154</sup> MnO<sub>2</sub>/G/CNT,<sup>155</sup> CoP/VGNHs,<sup>156</sup> Co<sub>3</sub>O<sub>4</sub>/CNTs,<sup>157</sup> and Co<sub>9</sub>S<sub>8</sub>/N,S-rGO<sup>158</sup> with a unique heterostructure and good electrocatalytic OER activity.

In heterostructured electrocatalysts, the interface effect can efficiently optimize the electron transfer and enhance the electrochemical performance by (a) lowering the interfacial resistance and adsorption energy of oxygen, (b) improving the electron-withdrawing ability and creating the metal-oxygen interface, and (c) boosting synergy between compositions through unique reaction steps.

### 3.5. Strain engineering

In recent years, strain engineering for nanostructures has gained attention in electrochemical reactions including OER, HER, alcohol oxidation reactions, CO<sub>2</sub> reduction, and N<sub>2</sub> reduction reactions. Strain is the deformation of a solid caused by stress and is a dimensionless quantity. Experimental and theoretical studies have suggested that creating strain in materials can successfully modulate the electronic structure.<sup>159</sup> Thus, introducing strain is a viable technique for altering the electronic structure and boosting the electrocatalytic activity of nanomaterials. Since electronic structure modulation is closely tied to the d-band center of a material and thus the performance in electrocatalytic processes like OER, numerous reports exist with regard to how the d-band center position relative to the Fermi level is altered under applied strain, as shown in Fig. 14a and b.<sup>160</sup> When tensile or compressive strain is applied to transition metals (more than half-filled d-band), the d-orbital



Table 1 Representative research progress of OER electrocatalysts with modulated electronic structures

| Electrocatalyst   | Strategy           | Overpotential (mV) at 10 mA cm <sup>-2</sup> | Reference |
|---|--------------------|--|-----------|
| Mo-NiCo <sub>2</sub> O <sub>4</sub> /Co <sub>5.47</sub> N/NF  | Doping             | 370  | 184       |
| Ru-RuP <sub>x</sub> -Co <sub>x</sub> P  | Doping             | 291  | 185       |
| Ni <sub>3</sub> P:FeMo  | Doping             | 250  | 23        |
| NiVIr-LDH   | Doping             | 180  | 186       |
| N-CoFe LDHs   | Doping             | 281  | 65        |
| Co/Ce-Ni <sub>3</sub> S <sub>2</sub> /NF  | Doping             | 286 @ 20 mA cm <sup>-2</sup>                 | 77        |
| Ru/Ni-Co <sub>3</sub> O <sub>4</sub>  | Doping             | 290  | 78        |
| Co, Nb-MoS <sub>2</sub> /TiO <sub>2</sub> HSs   | Doping             | 260  | 80        |
| Defect-rich Co <sub>3</sub> O <sub>4</sub> nanosheets   | Oxygen vacancy     | 200  | 89        |
| Fe <sub>1</sub> Co <sub>1</sub> -ONS  | Oxygen vacancy     | 308  | 92        |
| PrBaCo <sub>2</sub> O <sub>5.75</sub>   | Oxygen vacancy     | 360  | 95        |
| CoFe LDHs nanosheets  | Oxygen vacancy     | 232  | 98        |
| NiFe-LDH  | Oxygen vacancy     | 310  | 99        |
| Co <sub>3</sub> O <sub>4-x</sub>  | Oxygen vacancy     | 330  | 100       |
| Plasma-engraved Co <sub>3</sub> O <sub>4</sub> nanosheets   | Oxygen vacancy     | 300  | 85        |
| Vo-MnCo <sub>2</sub> O <sub>4</sub>   | Oxygen vacancy     | 400  | 102       |
| La <sub>1-x</sub> CoO <sub>3-δ</sub> (x = 0.3)  | Oxygen vacancy     | 326  | 103       |
| NiFe-LDH  | Oxygen vacancy     | 250  | 117       |
| Co <sub>3</sub> O <sub>4</sub>  | Oxygen vacancy     | 298  | 119       |
| Ir <sub>3</sub> Ni <sub>2</sub> /BMNC   | Alloying           | 279  | 130       |
| Ni <sub>0.93</sub> Ir <sub>0.07</sub> /rGO  | Alloying           | 271.8  | 131       |
| RuCu NSs/C-350 °C   | Alloying           | 234  | 132       |
| e-Ni <sub>0.6</sub> Ru <sub>0.4</sub> @C  | Alloying           | 278 @ 100 mA cm <sup>-2</sup>                | 133       |
| FeIr/NF   | Alloying           | 200 @ 20 mA cm <sup>-2</sup>                 | 135       |
| Fe <sub>60</sub> (CoNi) <sub>30</sub> Cr <sub>10</sub>  | Alloying           | 187  | 187       |
| NiCoFeMoMn high-entropy alloy   | Alloying           | 350 @ 1000 mA cm <sup>-2</sup>               | 188       |
| NiMoO <sub>x</sub> /NiMoS   | Heterostructure    | 186  | 144       |
| Co <sub>3</sub> Mo/CoMoO <sub>x</sub>   | Heterostructure    | 256  | 145       |
| CoSe <sub>2</sub> @MoSe <sub>2</sub>  | Heterostructure    | 309  | 189       |
| Co <sub>3</sub> O <sub>4</sub> @Mo-Co <sub>3</sub> S <sub>4</sub> -Ni <sub>3</sub> S <sub>2</sub> /NF | Heterostructure    | 295 @ 50 mA cm <sup>-2</sup>                 | 190       |
| CoP-FeP   | Heterostructure    | 250  | 148       |
| Co@CoP <sub>2</sub> /CF   | Heterostructure    | 210  | 191       |
| WO <sub>2</sub> -Ni <sub>1.7</sub> W <sub>3</sub> /NiFe(OH) <sub>x</sub>                              | Heterostructure    | 240 @ 50 mA cm <sup>-2</sup>                 | 192       |
| NiSe@CoFe LDH   | Heterostructure    | 203  | 193       |
| NiCo <sub>2</sub> P <sub>x</sub> /CNTs  | Heterostructure    | 284  | 152       |
| CoFe-OH@FeOOH   | Heterostructure    | 200  | 194       |
| NiFe-LDH  | Strain engineering | 270  | 168       |
| NiS <sub>x</sub> Se <sub>1-x</sub> NNH  | Strain engineering | 257  | 169       |
| Na <sub>0.7</sub> CoO <sub>2</sub> -Ag  | Strain engineering | 236  | 195       |
| Ni <sub>3</sub> Al alloy  | Strain engineering | 280  | 196       |
| Co@Ni <sub>1</sub> -GY  | Strain engineering | 330  | 197       |
| Co <sub>2</sub> P/Ni <sub>2</sub> P-2% Mo   | Strain engineering | 319 @ 50 mA cm <sup>-2</sup>                 | 198       |
| Ni <sub>3</sub> FeN/Ni <sub>3</sub> Fe  | Strain engineering | 250  | 199       |
| Ru-ZnIn <sub>2</sub> S <sub>4</sub>   | Strain engineering | 276 @ 50 mA cm <sup>-2</sup>                 | 200       |
| NiFe-MOF  | Strain engineering | 300 mV                                       | 201       |

overlap changes accordingly, giving rise to a sharpening of the d-band and a shift in its d-band center to preserve the degree of d-band filling. As the electrochemical performance of a material directly correlates to its electronic structure, it can, therefore, be effectively tuned by strain engineering. Various methods have been used to generate strain in the catalysts including epitaxial growth of thin films,<sup>161,162</sup> formation of bimetallic nanoparticles<sup>163</sup> and crystal morphology engineering.<sup>164</sup>

Through first-principles calculations, Yildiz and co-workers<sup>165</sup> showed a planar strain effect on the oxygen-vacancy formation and oxygen adsorption in LaCo<sub>3</sub>. The adsorption of oxygen molecules transitioned from chemisorption to physisorption at high strain. Adjusting with charge-density profiles, the density of electronic states, and stress threshold, they

proposed the possibility of tuning strain-mediated reactivity in LaCo<sub>3</sub> and other related perovskites. Later, several studies explored the effect of strain on surface reactivity. Koper and co-workers<sup>34</sup> developed electrocatalysts based on iridium double perovskites (Ir DPs), which contain 32 wt% less iridium than IrO<sub>2</sub> and yet exhibit more than threefold higher activity in acid electrolyte. Double perovskites (DPs) have a general formula of A<sub>2</sub>BB'O<sub>6</sub>, where A denotes a large cation and B and B' smaller cations. Fig. 15a shows the crystal structure of an ideal DP. The high catalytic activity of Ir DPs is thought to be due to the crystal lattice strain caused by the small Lanthanide and Yttrium cations in the B' site of the DPs. As IrO<sub>2</sub> and oxygen bind strongly, the lattice strain caused by the substitution of smaller lanthanides or yttrium weakens the oxygen adsorption energy



and therefore improves the activity of the Ir DPs in comparison to IrO<sub>2</sub>.

In another example, an n-doped rutile TiO<sub>2</sub> film is directly grown on NiTi foil, and its catalytic performance was examined after applying tensile strain.<sup>166</sup> The tensile strain increased the density of surface accessible sites and lowered the activation barrier in the reaction pathway. Stoerzinger *et al.*<sup>161</sup> demonstrated that epitaxial strain *via* lattice mismatch could tune the activity of oxygen electrocatalysis of LaCoO<sub>3</sub> in alkaline solutions. The moderate tensile strain can further induce changes in the electronic structure leading to an enhanced electrocatalytic performance by LaCoO<sub>3</sub>. Lee and co-workers<sup>162</sup> systematically determined the effect of epitaxial strain on the conducting perovskite LaNiO<sub>3</sub>. They used epitaxially strained LaNiO<sub>3</sub> ranging from tensile (+) to compressive (−) to check its influence on OER over a range of lattice-mismatched substrates, which included (001) LaSrAlO<sub>4</sub> (LSAO), (001) LaAlO<sub>3</sub> (LAO), (001) (LaAlO<sub>3</sub>)<sub>0.3</sub>(SrAl<sub>0.5</sub>Ta<sub>0.5</sub>O<sub>3</sub>)<sub>0.7</sub> (LSAT), (001) SrTiO<sub>3</sub> (STO), and (001)pc DyScO<sub>3</sub> (DSO) (Fig. 15b). The results suggest that moderate tensile strain can induce change in electronic structure, leading to enhanced electrochemical OER performance. The same group also used a perovskite-based strontium cobaltite (P-SCO) thin film to show that epitaxial strain could tune the oxygen stoichiometry under conditions consistent with the OER.<sup>167</sup> The epitaxial strain regulates the oxygen vacancies and Co<sup>4+</sup>/Co<sup>3+</sup> ratio in P-SCO film (Fig. 15c). The P-SCO film with 4.2% strain matches electrocatalytic activity with noble-metal IrO<sub>2</sub> catalyst (Fig. 15d and e).

Liu and co-workers<sup>168</sup> used a facile ball-milling method to improve the binding strength of NiFe-LDH to oxygenated intermediates *via* generated tensile strain, which reduced the anti-bonding filling states in the d orbitals and thus facilitated the adsorption of oxygenated intermediates. DFT calculations gave further insight into the relation between lattice strain and OER kinetics. Fig. 15f and g shows the stretched Ni–O and Fe–O (stretched by 0.16 Å) to simulate the ball-milled NiFe-LDH. Fig. 15h and i compare the electron densities around the Ni and Fe sites in NiFe-LDH, which clearly show the electron-rich structure of NiFe-LDH with tensile strain. The calculated OER (Fig. 15j) overpotential decreases from 0.55 to 0.48 eV and Gibbs free energy for every elementary step is optimized after introducing tensile strain in NiFe-LDH. This is believed to be due to the enhanced adsorption for oxygenated intermediates, which moves NiFe-LDH towards the vertex of the activity volcano (inset in Fig. 15j).

Deng and co-workers<sup>169</sup> prepared NiS<sub>x</sub>Se<sub>1-x</sub> nanorods@nanosheets hybrids (NiS<sub>x</sub>Se<sub>1-x</sub> NNH) on Ni foam (NF) and induced the strain-effect both on the surface and in the interior of the materials. The results showed dramatic improvement in electrochemical performance. It was suggested that NiS<sub>0.5</sub>Se<sub>0.5</sub> electrocatalyst with ≈ 2.7% lattice strain exhibits the best oxygen evolution reaction (OER) performance with low overpotentials of 257 mV at 10 mA cm<sup>-2</sup>, with long-term stability for 300 h at 10 and even 100 mA cm<sup>-2</sup>. The NiS<sub>0.5</sub>Se<sub>0.5</sub> has a lower work function and high Fermi level compared to other samples, as shown in Fig. 15k, which verify that the NiS<sub>0.5</sub>Se<sub>0.5</sub> possesses the highest electrical conductivity.

Also, with an increase in lattice strain, the d-band width decreases, with the d-band of the composite shifting closer to the Fermi level (Fig. 15l). Evidently the generated strain narrowed the bandwidth and thus influences the electronic structure of the material. With an upshift of the d-band center, the adsorption interaction with the reaction intermediates is improved, thus fostering enhanced activity (Fig. 15m).

Recently, Yan and coworkers<sup>170</sup> proposed a facile strategy to introduce lattice strain in the metal–organic framework (MOF). They employed monocarboxylic acid as the linker scission to replace part of binary carboxylic acid in NiFe-MOF. The as-prepared lattice-strained NiFe-MOF exhibited superior OER performance with 230 mV overpotential to reach 10 mA cm<sup>-2</sup> in alkaline electrolyte. Using the XAS and synchrotron radiation Fourier transform infrared spectroscopy (SR-FTIR) studies, it was observed that during OER the key \*OOH intermediate was adsorbed on high-valence Ni<sup>3+/4+</sup> sites. This suggests Ni<sup>3+/4+</sup> sites were the most active sites for OER in which the rate-limiting step is the formation of \*OOH. First-principles study indicates that the electronic structure of Ni active sites in MOFs can be modulated by lattice strain, enhancing the OER performance of NiFe-MOFs.

## 4. Characterization of electrocatalysts

Understanding the structure of the electrocatalyst using characterization helps to elucidate the relationship between structure and the physical and electrochemical properties. Herein, we have summarized some quantitative and qualitative characterization techniques. Powder X-ray Diffraction (PXRD) and Electron Microscopy (EM) are the most commonly utilized tools to glean basic information about changes in a material when it undergoes electronic structure modulations. In particular, advanced aberration-corrected transmission electron microscopy (ACTEM) can be used to characterize defects such as oxygen and sulfur vacancies.<sup>171,172</sup> Yao and coworkers<sup>173</sup> used ACTEM analysis to visualize the defect regions of materials (Fig. 16a). XPS can be used to analyze surface chemical states and gather other electronic information. Recently, Gao *et al.* verified oxygen vacancies in NiFe LDH by analyzing the O1s XPS spectrum.<sup>174</sup> Electron paramagnetic resonance (EPR) spectroscopy is commonly used to elucidate oxygen vacancies in nanomaterials.<sup>175</sup> For example, Xiao *et al.*<sup>176</sup> used EPR to prove the existence of oxygen vacancies in electrocatalysts. As shown in Fig. 16b, the EPR signal peak at  $g = 2.003$  indicates the electrons have been captured by the defects, confirming the existence of free electrons trapped in oxygen vacancies. With the rapid development of synchrotron radiation sources, X-ray absorption spectroscopy (XAS) techniques including extended X-ray absorption fine structure (EXAFS) and X-ray absorption near edge structure (XANES) analysis have become an invaluable and effective characterization method to check the changes in the electronic structure around the active center.<sup>177</sup> Zhang *et al.*<sup>178</sup> used XANES and EXAFS to explore the defects in ultrafine monolayer NiFe LDH structure (LDH-UF). The EXAFS data





conclusively suggests the existence of multivacancies (oxygen, Ni, and Fe vacancies) in LDH-UF. The presence of these vacancies dramatically alters the LDH electronic structure and electrochemical properties (Fig. 16c–h).

## 5. Conclusion and outlook

The design and development of an efficient OER electrocatalyst for water splitting carries great significance. This article has outlined the recent research efforts on electronic structure modulation of electrocatalysts, including transition-metal-based nanomaterials, noble metals, and perovskite oxides for the OER. Key strategies for tuning the electronic structure of the electrocatalyst, such as alloying, doping, creating oxygen vacancies, heterostructure engineering, and strain engineering, are introduced, and their effect in enhancing the OER performance are discussed. Through these discussions, it is clear that electronic structure modification is the most effective method for improving electrochemical performance (Table 1). Although significant research progress has been made, many significant challenges continue to exist and require careful design and analysis. Going forward, here are a few, although not exhaustive, suggestions that researchers can keep in mind in the search for more efficient OER catalysts:

(a) While taking into account the most popular strategies that have been documented in this paper, new ground must be explored such as combinatorial versions of established methods. Using a combination of alloying and interface engineering, Liu *et al.*<sup>179</sup> demonstrated a NiFe(OH)<sub>x</sub>/(Ni,Fe)Se<sub>2</sub>/CC catalyst that showed far superior OER performance to typically reported NiFe(OH)<sub>x</sub> catalysts, whose OER performance is impeded by poor electrical conductivity. Without further doping, the Xie group demonstrated a dual modulation strategy wherein electrochemical reduction activation generated poorly crystalline CoO islands interfaced with highly crystalline Co<sub>3</sub>O<sub>4</sub> nanowires.<sup>180</sup> This led to the generation of the key active component oxygen-vacancy rich CoOOH layer responsible for a 10-fold OER performance enhanced compared to pristine Co<sub>3</sub>O<sub>4</sub>. Doping metal atoms into alloys to further enhance their OER performance has also been documented and is a domain that should be pursued by prospective researchers. Qiao *et al.*<sup>181</sup> recently reported Fe-doped intermetallic Co<sub>2</sub>B, which demonstrated OER activity surpassing that of IrO<sub>2</sub>.

(b) During electrochemical measurements, the vacancies, strain, and heterostructure interfaces can change over time. The *ex situ* or conventional characterizations may not reflect the active catalytic centers. Significant advances in *in situ* spectroscopic techniques (*e.g.*, *in situ* Fourier-transform infrared spectroscopy and *in situ* Raman spectroscopy, and *in situ* XAS) as well as time-resolved measurements have shown promise in providing in-depth insight into electrocatalytic behaviour. These *in situ* characterizations could provide real-time information on potential structural changes, chemical states, and reaction mechanisms under varying electrochemical measurement conditions. The results will be helpful to improve the performance and stability of electrocatalysts and binding trends along with parameters for databases. Ongoing efforts to gather

data from numerous experiments have since enhanced theoretical calculations too.<sup>182</sup>

(c) The experimental characterizations suggest that the electrocatalysts go through chemical and physical changes during OER. Hence, relying on theoretical models to predict the behavior of an electrocatalytic material is a path that more researchers should consider adopting.<sup>183</sup> Current advances in first principles-based studies can provide insight into morphological or structural changes a material will undergo in various electrochemical environments, even if these studies are not exhaustive. All the electronic structure modulation strategies covered in this review lend themselves to effective scrutiny *via* modern theoretical tools. Researchers can consider using these existing analyses to design their catalytic materials.

In summary, targeted analysis should focus on designing efficient, stable, and scalable electrocatalysts for OER. Such approaches will allow enhancements in the capabilities of new electrocatalysts, through continuous efforts on the electronic structure modulation-based material design. Improved synthetic strategies will enable the development of promising electrocatalysts to usher in a greener energy economy facilitated by efficient water splitting.

## Conflicts of interest

There are no conflicts to declare.

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