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Catalytic conversion of NO and CO into N₂ and CO₂ by rhodium–aluminum oxides in the gas phase†

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The increasingly stringent emission regulations make it urgent to get a fundamental understanding on the catalytic behaviors of rhodium, the most efficient component in three-way catalysts (TWCs) to convert NO_x into N₂. Herein, the catalytic conversion of NO and CO into N₂ and CO₂ by gas-phase rhodium-aluminum oxide species (RhAlO_{0–3} and RhAl₂O_{1–4}) was identified by mass spectrometry and quantum chemical calculations. This finding represents a significant step in the field of gas-phase catalysis, given that only elementary reactions for this conversion are commonly observed because it is extremely challenging to rupture the strong N–O bond and couple the N–N bond as well as drive the resulting product to oxidize CO. It emphasized that a polarized Rh–Al bond in gas-phase species is indispensable and the RhAlO_{0,1} and RhAl₂O_{1,2} species with direct Rh–Al bonding can be involved in catalytic NO reduction by CO.

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1. Introduction

The catalytic conversion of toxic NO and CO gases, produced during the processes of fossil-fuel combustion in vehicles, power plants, and chemical industries, into harmless N₂ and CO₂ (reaction (1)) has long been an important subject due to their negative impacts on human health and the environment.^{1,2} Automobile three-way catalysts (TWCs) represent a breakthrough in this field since their recognizable application in the 1970s for the clean-out of CO, NO_x, and hydrocarbons from exhaust gases.³ Commercial TWCs typically consist of noble metals (Rh, Pt, and Pd) highly dispersed on porous alumina.^{4,5} Among these noble metals, Rh is especially regarded as the most efficient and irreplaceable component to convert NO_x into N₂ (principal reaction described in eqn (1)).^{6,7} However, Rh is significantly scarce and expensive. Due to the increasingly high demand for Rh in automobile catalysis over the past few decades,⁸ maximizing its use becomes one of the most urgent global issues to satisfy the economic and environmental requirements. In this regard, fundamental understanding of Rh catalysis is paramount to guide the rational design of desired catalysts.



Catalytic bond activation and formation behaviors take place microscopically on active sites typically composed of a limited number of atoms.⁹ Confining individual active species on isolated gas-phase atomic clusters that can be studied under well-controlled and reproducible conditions is an important way to reveal the nature of active sites on related catalysts.^{10–14} In the field of gas phase studies, the elementary reactions of Rh_x^{0,±},^{15–17} Rh_xM⁺ (M = Ta, Al, V, and Co),^{18,19} Rh_xO_y,²⁰ and RhAl₂O₆⁺²¹ species with NO or CO have been extensively investigated, while the catalysis of NO reduction by CO mediated by Rh-containing species has not been established. Herein, benefiting from a homemade time-of-flight mass spectrometer (TOF-MS) coupled with a vacuum ultraviolet (VUV) laser system,²² the catalytic conversion of NO and CO into N₂ and CO₂ by neutral rhodium-aluminum oxides RhAlO_{0–3} and RhAl₂O_{1–4} has been identified for the first time in the gas phase. Note that it is experimentally challenging to study the reactivity of neutral heteronuclear metal oxides due to the difficulty of cluster ionization and detection without fragmentation.²³ The outstanding roles of Rh atoms in driving the catalysis are emphasized and the molecular-level mechanisms have been captured. The identification of reaction (1) makes big progress in the field of cluster science because it is substantially challenging to capture two NO molecules tightly and then induce the rupture of the strong N–O bond (bond enthalpy: N–O = 6.55 eV)²⁴ as well as the subsequent coupling of the N–N bond. In contrast, the closely related catalytic reactions (2) and (3) involving N₂O and O₂ as oxidants (bond enthalpies: N₂–O = 1.73 eV; O–O = 5.16 eV) have been extensively explored.^{25–27}

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2. Methods

2.1 Experimental methods

The gas-phase neutral $\text{Rh}_x\text{Al}_y^{16}\text{O}_z$ or $\text{Rh}_x\text{Al}_y^{18}\text{O}_z$ species were generated by pulsed laser ablation of a mixed-metal disk compressed with Rh and Al powders (molar ratio: Rh/Al = 1/1) in the presence of pure He, $^{16}\text{O}_2$ (1%) or $^{18}\text{O}_2$ (0.05%) seeded in a He carrier gas (99.999%) with a backing pressure of about 4.0 atm. The species generated in a gas channel were expanded to the fast flow reactor, where they reacted separately with each kind of reactant gas (Ar, NO, N_2O , CO, $^{16}\text{O}_2$, or $^{18}\text{O}_2$ diluted in the buffer gas He) that was controlled by the pulsed valve. An inert gas (He) was used to clean the gas handling system without any residues left before one kind of reactant gas was changed to another. The partial pressures of the reactants ranged from about 0.2 Pa to 3.0 Pa, depending on the relative reactivity of gas-phase species. After the reactions, the charged species were removed from the molecular beams with two deflection plates. The neutral reactants and products were skimmed into the vacuum system of the TOF-MS and ionized with four VUV laser beams (118 nm, 10.5 eV per photon) generated with an intense $\lambda = 355$ nm laser beam in a gas cell containing an Ar/Xe mixture. After single photon ionization, the charged species passed through the reflector and then were detected by a dual microchannel plate detector. The signals from the detector were recorded with a digital oscilloscope. Note that most of the metal oxides have ionization energies below 10.5 eV and the VUV photoionization is widely considered as a soft ionization process,²⁸ and thus, the neutral metal oxide species can be gently ionized and generally detected without fragmentation or isomerization.²⁹ The details of the experimental setup can be found in our previous work.²²

2.2 Theoretical methods

Density functional theory (DFT) calculations using the Gaussian 09 program³⁰ were carried out to investigate the structures of RhAlO_{0-3} and $\text{RhAl}_2\text{O}_{1-4}$ as well as the mechanisms of the reactions with NO, N_2O , CO, O_2 , and H_2O . TZVP basis sets³¹ for H, C, N, O, and Al atoms were used and D95V basis sets combined with Stuttgart/Dresden relativistic effective core potentials (denoted as SDD in Gaussian software)³² for Rh atoms were adopted. The M06L functional³³ had been tested to perform well for the Rh–Al–O system;²¹ thus, the results from M06L were given throughout this work. Note that the isomers of smaller species RhAlO_{0-2} and $\text{RhAl}_2\text{O}_{1,2}$ were obtained by intuitive guess of initial structures, while the isomers of larger clusters RhAlO_3 and $\text{RhAl}_2\text{O}_{3,4}$ were obtained from a Fortran code based on a genetic algorithm.³⁴ Small basis sets (LANL2DZ for all atoms)³⁵ and Coarse convergence thresholds were used to reduce the computational costs. More than 200 initial structures were generated by the genetic algorithm, among which

more than 20 low-lying isomers were re-optimized with larger basis sets (SDD for Rh and TZVP for O and Al). Mechanism calculations involved the geometry optimization of reaction intermediates (Is) and transition states (TSs) through which the Is transfer to each other. The TSs were optimized by using the Berny algorithm method.³⁶ The initial guess structures of TSs were obtained through relaxed potential energy surface scans using single or multiple internal coordinates. Intrinsic reaction coordinate calculations³⁷ were employed to check that each TS connects two appropriate local minima. Vibrational frequency calculations were performed to check that the Is and TSs have zero and only one imaginary frequency, respectively. The zero-point vibration corrected energies (ΔH_0 in eV) were reported in this work. Natural bond orbital analysis was performed with NBO 5.9.³⁸

3. Results

3.1 Experimental results

The selected mass spectra for the interactions of laser-ablation generated neutral RhAlO_z species with NO, N_2O , and CO in the fast flow reactor are presented in Fig. 1 and S1 in the ESI.† Fig. 1A and F show the reference spectra when the reactor was only filled with an inert bath gas (Ar). When the reactant gas (NO, N_2O , or CO) was injected separately into the reactor, the reactivity of the RhAlO_z species toward each kind of reactant gas can be identified by the intensity variation of mass peaks. Upon the interaction of RhAl with NO (Fig. 1B), new products RhAlNO (0,NO) and RhAlO (1) can be clearly observed, indicating that RhAl may pick up a NO molecule readily to generate RhAlNO (reaction (4a)), which then captures and reacts with a second NO molecule to produce RhAlO and N_2O (reaction (4b)). With the increase of NO partial pressure (Fig. 1C), the intensities of products RhAlO(NO) (1,NO) and $\text{RhAlO}(\text{NO})_2$ (1,(NO)₂) increase significantly while that of RhAlO does not change obviously, demonstrating that the product RhAlO from the reaction of RhAl with NO (reactions (4a) and (4b)) reacts easily with NO to generate adsorption products. It is relatively difficult for the adsorption product $\text{RhAlO}(\text{NO})_2$ to further transform because the signal intensities of products RhAlO_2 (2) and RhAlO_2NO (2,NO) that are amplified by a factor of 6 are still rather weak. When N_2O was injected into the reactor (Fig. 1D and E), new signals RhAlO_z ($z = 1-3$) appeared, indicating that N_2O can be reduced by RhAlO_{0-2} to produce N_2 (reaction (5)). Upon the interactions of RhAlO_z with CO (Fig. 1G and H), the signal intensities of RhAlO_5 and RhAlO_4 decreased significantly, while those of RhAlO_3 and RhAlO_2 increased (Fig. 1G) and then decreased (Fig. 1H) with the increase of CO pressure. Moreover, new signals of RhAlO and RhAl appeared simultaneously. These experiments evidenced that RhAlO_5 can oxidize five CO molecules consecutively to regenerate the product RhAl (reaction (6)). Note that the neutral product molecules N_2O , N_2 , and CO_2 that have ionization energies of 12.89, 15.58, and 13.78 eV,³⁹ respectively, cannot be detected by single photon ionization (118 nm, 10.5 eV) in our current experimental apparatus. Moreover, the number of generated product molecules is very small ($<10^5 \text{ s}^{-1}$) and they can diffuse out of the cluster beam, so



Fig. 2 DFT calculated lowest-lying isomers of RhAlO_{0-3} (A) and the potential energy profile for reaction $\text{RhAl} + 2\text{NO}$ (B). In panel A, the unpaired electron spin density distributions of RhAlO_{0-3} are shown in the parentheses. In panel B, bond lengths (in pm) and relative energies (in eV) for intermediates (I_x) and transition states (TS_x) are shown. The values in parentheses are calculated Gibbs free energies at $T = 298$ K. See details in Fig. S8b† for the pathway shown in the dotted line.

dissociation and the N–N coupling processes to take place. In the next step, the adjacent Al site in I2 is able to accept the O atom of NO to form I3, on which NO is apparently activated with the elongation of the N–O bond from 117 pm in I2 (115 pm in isolated NO) to 129 pm in I3. The complete cleavage of the N–O bond is the bottleneck of the whole reaction with an overall barrier of -2.75 eV (I3 → TS2 → I4). N–N recombination is another energetically demanding process (I6 → TS5 → I7) that needs to overcome an absolute barrier of 1.56 eV. The key step to determine the final products lies in the two competitive pathways after the formation of I8: the generation of N_2O and RhAlO or N_2 and RhAlO_2 . Note that the direct evaporation of gas-phase N_2O from I8 is an entropically more favorable process ($\Delta G_{298} = -3.63$ eV) with respect to N_2 release (TS7, $\Delta G_{298} = -3.39$ eV), which is in good agreement with the experimental result that only product RhAlO can be clearly observed at a lower pressure of NO (Fig. 1B). This is a similar case to NO reduction by RhAlO (Fig. 1C and S7†). Then N_2O can be easily trapped and reduced to N_2 by RhAlO_{0-2} (Fig. 1D, E and S8†). During the process of NO reduction by $\text{RhAlO}_{0,1}$ (Fig. 2B and S7†), a deliberate analysis discovers that the Rh site functions as an antenna to anchor NO while the adjacent Al site is vital to assist the dissociation of NO and store the accumulated oxygen atom, underlining the importance of direct Rh–Al bonding for effective NO reduction. The half-naked Rh in RhAlO_{3-1} can capture and deliver CO for oxidation by nearby oxygen atoms favorably to regenerate RhAl (Fig. S9†), the reaction behavior of which has been extensively reported in both of the condensed-phase^{40,41} and gas-phase studies,^{25,26} and then a catalytic cycle can be

established (Scheme 1). It also works when such catalysis is driven by the $\text{RhAl}_2\text{O}_{1-4}$ clusters (Fig. S10–S15†). Theoretical calculations well support and interpret the experimental observations shown in Fig. 1 and S1–S3.† Moreover, though the competing binding of environmental molecules (*e.g.*, O_2 and H_2O) with the Rh–Al–O species will not occur under our current conditions (see details in the Experimental methods), the possible presence of this competing binding in real-life catalysis has also been considered by calculating the reactions of RhAl with O_2 and H_2O as examples (Fig. S16†). The potential reactivity of RhAl toward O_2 and H_2O demonstrates that the influence coming from these environmental molecules should not be neglected under real-life conditions.

4. Discussion

The reduction of NO is sensitive to the chemical environment of an active site and it has been evidenced that metallic Rh is more attractive to the coming NO molecules than the oxidized one.⁴² The remarkable difference of electronegativity between Rh (2.28) and Al (1.61)⁴³ drives the accumulation of electrons on the Rh site of RhAl (Rh: -0.38 e, according to natural bond orbital analysis) and generates a polarized Rh–Al bond. Electron configuration analysis on RhAl further confirms the negatively charged Rh ($4d^{8.57}5s^{0.80}$ in RhAl versus $4d^85s^1$ in Rh), which functions as the preferred trapping site to capture two NO molecules tightly. Frontier orbital analysis on the interaction of RhAl with NO (Fig. 3 and S17†) evidences that the strong binding between Rh and NO can be traced back to the effective



Fig. 3 Molecular orbital interactions between the occupied orbitals (HOMO and HOMO–1) of RhAl with the lowest unoccupied molecular orbital (LUMO) of NO as well as the occupied orbitals of RhAlNO (I1) with the LUMO of NO. The corresponding occupied orbitals for I2 and I3 (Fig. 2B) are also presented. See details in Fig. S17.†

back-donation of 5s- and 4d-electrons of Rh in the occupied orbitals of RhAl to the π^* -orbital of NO. In the next step, effective overlap between the Al-3p and O-2p orbitals in I3 leads to the pronounced activation of NO, as reflected by the fact that a large amount of negative charge is accumulated on the bridged NO (-0.76 e) and the N–O bond order has been decreased to about 1.5. Then the N–O bond ruptures favorably, accompanied by the disruption of the Rh–Al bond (I3 \rightarrow I5, Fig. 2B). Note that two electrons ($2\text{NO} + 2e^- \rightarrow \text{N}_2\text{O} + \text{O}^{2-}$) are required to reduce two NO to one N_2O and the Rh atom in RhAl alone is not sufficient to complete this task. In this case, the Al atom in proximity to Rh is vital to relieve the burden of Rh for electron control, not only acting as a reservoir to store oxygen after NO dissociation. A deep exploration discovers that the Al site in RhAl has already taken part in the reaction during the capture of the second NO through electron transfer interaction (I1 \rightarrow I2, Fig. 4A). During the pathway of N–N coupling, the Rh–



Fig. 4 DFT-calculated natural charge difference (ΔQ (e)) on the Rh and Al atoms with respect to those in RhAl (A), RhAlO (B), RhAl₂O (C), and RhAl₂O₂ (D) along the reaction coordinates of NO reduction mediated by the four species (Fig. 2B and S7, S10, S11.†).

Al bond recovers to accumulate electrons and then drives this step to proceed (I6 \rightarrow I8, Fig. 4A). A global view shows that both of the Rh and Al atoms experience vigorous changes in charge states during NO reduction (Fig. 4A), emphasizing the synergy of direct Rh–Al bonding that Rh triggers the reaction in the first step and then the nearby Al site facilitates the adsorption of NO, dissociation of the N–O bond, and the coupling of the N–N bond. The importance of this polarized Rh–Al bond can be further confirmed by the results that both the RhAlO_{0,1} and RhAl₂O_{1,2} species (Fig. 2A and S5.†) with direct Rh–Al bonding can be involved in NO reduction (Fig. 1B, C and S1, S3.†). Moreover, severe fluctuation of charge states for both atoms can also be monitored obviously during NO reduction to dynamically adapt the structural changes of the reactive systems (Fig. 4B–D). It is previously believed that alumina appears to play an indirect role in influencing the reactivity of supported noble metal (NM) catalysts.^{44,45} Gas-phase studies on catalytic CO oxidation by O₂ mediated with NM-doped aluminum oxide clusters, such as AuAl₃O_{3–5}⁺ (ref. 46) and PtAl₃O_{5–7}[–],⁴⁷ also confirmed that only NM atoms are highlighted to buffer electrons. This study provides new insights into NO reduction that an exposed and polarized Rh–Al bond is required to provide a characteristic binding site and distinct electronic structure for NO adsorption, dissociation, and N–N coupling.

Common knowledge on catalytic reactions is that each elementary step in the catalysis should be exothermic, and thus, a catalyst can be regenerated. The higher oxygen affinity of a catalyst favors NO reduction,^{48,49} while the strongly bonded oxygen may conversely result in the low activity of the resulting product for CO oxidation.^{50,51} Thus, the subsequent supply of the accumulated oxygen atom in RhAlO to oxidize CO is a vital criterion to validate the ability of RhAl as a catalyst. As shown in Fig. 2A, the presence of a polarized Rh–Al bond gives rise to a triangular structure of RhAlO, on which the oxygen atom is relatively weakly bonded (5.03 eV) and can be released to oxidize CO. In contrast, the oxygen atom is sandwiched between two Al atoms in Al₂O (O-binding energy: 8.94 eV) with a linear structure, which is completely inert toward CO due to the thermodynamic impediment ($\text{Al}_2\text{O} + \text{CO} \rightarrow \text{Al}_2 + \text{CO}_2$, $\Delta H_0 = 3.16$ eV), though Al₂ is more energetic in reducing NO (Fig. S18,† $\text{Al}_2 + 2\text{NO} \rightarrow \text{Al}_2\text{O} + \text{N}_2\text{O}$, $\Delta H_0 = -7.93$ eV). Thus, this heterobimetallic Rh–Al bond is crucial to regulate the reaction enthalpy of catalysis ($2\text{NO} + \text{CO} \rightarrow \text{N}_2\text{O} + \text{CO}_2$, $\Delta H_0 = -4.77$ eV) to be distributed relatively evenly in each elementary step (NO reduction and CO oxidation) to guarantee that each step is exothermic, and then a catalytic reaction can be built. Complexes with heterometallic bonds are especially reactive toward the cleavage of conventionally inert bonds, such as O–H and N–H bonds, in organometallic chemistry.^{52,53} The importance of the polarized Rh–Al bond to rupture the thermodynamically strong C–F bond under mild conditions has been highlighted in homogeneous catalysis.⁵⁴ Herein, in the field of gas-phase catalysis, the importance of a polarized bimetallic bond (Rh–Al) is emphasized to regulate a catalytic reaction.

The preciousness and indispensability of Rh in NO removal make it urgent to maximize the usage of Rh without the loss of catalytic activity.^{55,56} Using single atom catalysts (SACs) with

isolated metal sites highly dispersed on oxide supports is the ultimate strategy to utilize NMs effectively.^{57–59} There have been several attempts to catalyze the conversion of NO and CO using oxide-supported single Rh atom catalysts,^{60–62} while these catalytic processes are subject to lower activity or selectivity at lower temperatures with respect to related clusters or nanoparticle counterparts. The key to activate Rh SACs lies in the fundamental understanding of related systems at a strictly molecular level. Though a gas-phase study can never account for the catalytic details that prevail in practical catalysis, the fundamental insights obtained at a strictly molecular level can be useful to guide the design of advanced catalysts. Benefiting from this gas-phase study, we expect that active sites with exposed and polarized Rh–M bonds (M = Al, Ce, Fe, and so on) on Rh-based catalysts can be essential in real-life catalysis. Thus, scientists should focus on the construction of energetic and flexible Rh–M bonds on the surface of catalysts to have effective conversion of NO under various conditions.

5. Conclusions

In conclusion, the catalytic conversion of NO and CO into N₂ and CO₂ mediated by gas-phase rhodium-aluminum oxide species (RhAlO_{0–3} and RhAl₂O_{1–4}) was identified by mass spectrometry and quantum chemical calculations. This finding is a sharp improvement in the field of gas-phase catalysis, given that only elementary steps for NO reduction or CO oxidation by Rh-doped species are commonly observed because it is dramatically challenging to rupture the strong N–O bond and couple the N–N bond. We demonstrated that N₂O can be generated prior to N₂ during NO reduction and then N₂O can be recaptured and reduced. A mechanistic study highlights that the existence of a polarized Rh–Al bond on these gas-phase species is required to catalyze NO reduction by CO. This study uncovers the molecular-level origins of the puzzling reactivity of Rh-based three-way catalysts in NO reduction and it can be an important step to understand Rh catalysis in many chemical processes.

Author contributions

X. N. L. and S. G. H. conceived this work. J. J. C. conducted the experiments and theoretical calculations. J. J. C. wrote the original manuscript and provided the ESI.† X. N. L. contributed to manuscript revision. L. H. M. and Q. Y. L. provided helpful discussions on experimental and theoretical data. All authors discussed the results and commented on the manuscript.

Conflicts of interest

There are no conflicts of interest to declare.

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