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## ARTICLE

## Dopamine sensing by fluorescent carbon nanoparticles synthesized by artichoke extract

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The practical and easy detection of Dopamine levels in human fluids, such as urine and saliva, is of great interest due to the correlation of Dopamine concentration with several diseases. In this work, the one-step synthesis of water-soluble carbon nanoparticles (CNPs), starting from an artichoke extract, containing catechol groups, for the fluorescent sensing of Dopamine is reported. Size, morphology, chemical composition and electronic structure of CNPs were elucidated by DLS, AFM, XPS, FT-IR, EDX and TEM microscopy. The optical properties were then explored by UV-Vis and fluorescence measurements in water. The dopamine recognition properties of these CNPs were investigated in water through fluorescence measurements and we observed the progressive enhancement of the CNPs emission intensity upon the progressive addition of dopamine, with a binding affinity value of  $\log K = 5.76$ , and a detection limit of 0.81 nM. Selectivity towards dopamine was tested over other interfering analytes commonly present in human saliva. Finally, in order to obtain a solid point of care test, CNPs were adsorbed on a solid support and exposed to different concentrations of dopamine, thus observing a pseudo linear response, using a smartphone as detector. Therefore, the detection of Dopamine in simulated human saliva was performed with excellent results, in terms of selectivity and a detection limit of 100 pM.

### Introduction

Dopamine (DA, Figure 1) is a catecholamine neurotransmitter playing a crucial role in nervous system, participating in many emotional and physical body functions, such as sleep-wake cycle, human feelings and behaviour, heart rate, kidney function, and many other physiological mechanisms.<sup>1,2</sup> Under physiological condition, the concentration of DA is around 20 ng/mL in plasma,<sup>3</sup> 18.9 pg/mL in saliva<sup>4</sup> and 0.2–1 mg/mL in human urine.<sup>5</sup> Alteration from these values is often related to neurological disfunctions or diseases.<sup>6,7</sup> For these reasons, the fast and precise detection of DA in biological fluids is of great importance to the early diagnosis of nervous system-related disorders, such as Parkinson's, Alzheimer's disease and schizophrenia.<sup>8–10</sup> Due to the relevance of DA in human body, several methods have been explored so far for its detection, including electrochemical detection,<sup>11</sup> liquid chromatography (LC),<sup>12</sup> capillary electrophoresis (CE),<sup>13</sup> microdialysis coupled

with high performance liquid chromatography (HPLC),<sup>14</sup> fast scan cyclic voltammetry (CV),<sup>15</sup> Mass Spectrometry (MS),<sup>16</sup> Raman Spectroscopy, etc.<sup>17,18</sup> Although the above listed techniques are all reliable, efficient, selective and sensitive, some of their limitations preclude the possibility to obtain a fast and easy detection of DA. In particular, the sophisticated equipment requires specialized personnel and time-consuming sample preparation precluding the direct point-of-care (POC) sensing of DA in human fluids. To overcome these drawbacks, fluorescence-based detection systems have become the most promising alternative, due to their cost effectiveness, real-time detection, ease of preparation, ease of application, especially in solid devices.<sup>19–32</sup> In this context, smartphone-based solid chemosensors have gained great interest as point-of-care and point-of-need platforms in healthcare. Smartphones are extremely easy-accessible tools, endowed with high-resolution digital cameras. When accompanied with an optical-responsive solid receptor, they become accessible, easy-to-use point-of-care devices, with a wide application range.<sup>33–40</sup>

Nanomaterials with optical properties have emerged in the recent years as innovative materials in sensing application.<sup>41,42</sup> The driving force of the nanosensors is mainly due to the unique optical properties, in terms of absorption and emission of light, and to the possibility to be functionalized with specific natural or synthetic receptors. Although the sensing of DA by nanomaterials can be performed by different methodologies, including electrochemical,<sup>43,44</sup> optical detection is preferred due to its easy and fast read-out.

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Among other fluorescent probes employed for the realization of solid sensors, carbon nanoparticles (CNPs) have emerged in the field of biomarkers detection, due to their ease of synthesis, good biocompatibility, low cost, good water-solubility, good photostability, remarkable optical properties.<sup>45</sup> To date, the detection of DA via fluorescent CNPs have mainly been performed by using N-doped CNPs, obtained by adding urea to the carbonaceous source, during a solvothermal synthesis process.<sup>46</sup> Another approach reported provides the post-functionalization of the CNPs after their synthesis, and this strategy affords new functional groups to the graphene-core of the NPs. However, these systems show main application in solution, with limited use in point of care testing. To the best of our knowledge, only one example in literature reports on CNPs-based sensor used as point-of-care device for DA detection in plasma is reported.<sup>47</sup> While, no example on the detection of dopamine in human saliva, which could be the fastest and easiest way of screening, has been reported. In this context, the development of a solid chemosensor containing fluorescent CNPs able to give a fluorescent response to the presence of dopamine in saliva, is highly desirable, due to the easier sampling method if compared to blood sample. Moreover, the further simplification of the testing method is achievable by the use of a smartphone as the detector.

Due to the low DA concentration in human fluids, a practical sensor must be able to detect nanomolar concentration of DA. Many DA optical nanosensors have been developed in the recent years,<sup>48</sup> however, only few of these have been realized in practical devices easy-to-use. In particular, gold nanoflowers containing the  $Tb^{3+}$  cation have been developed for fluorescent DA sensing, with a detection limit of 0.21 nM.<sup>49</sup> Smartphone has also been used as detector in combination with CuO nanoparticles, functionalized with maca extract, with detection limit of 16.9 nM.<sup>50</sup> Very recently, attomolar detection limit has been achieved by an aptamer-based sensor through the use of a microfiber as detector.<sup>51</sup> A bacterial cellulose-based nanosensor was recently developed for the DA detection by using a smartphone as detector, with a detection limit of 279 nM.<sup>52</sup> A remarkably low limit detection (13.5 pM) has been lately reported, using mesoporous silica nanostructures containing o-phthalaldehyde and 2-mercapto ethanol.<sup>53</sup> An impressive detection limit of  $10^{-18}$  M has been recently obtained with gold nanoparticles, functionalized with MOF structures.<sup>54</sup> However, these highly sensitive sensors show in some cases low portability or long synthetic steps, precluding the scalability and potential application in real field.

In this work we report the one-step synthesis of new CNPs, starting from an artichoke extract powder containing chlorogenic acid and its derivatives as the carbonaceous source for the formation of the graphitic core (Figure 1).<sup>55,56</sup>

Using the chlorogenic acid and its derivatives as starting material for the CNPs synthesis, we obtained the presence of functional catechol groups (polyphenol) covalently anchored to the CNPs core, exploited as active groups for the non-covalent recognition of DA (Figure 2), without any post-functionalization reaction after the synthesis of the nanoparticles. In particular, at the temperature values used during the synthesis (ca. 200

°C), sugar moieties should generate the CNPs core, leading to polyphenolic groups on the external shell.

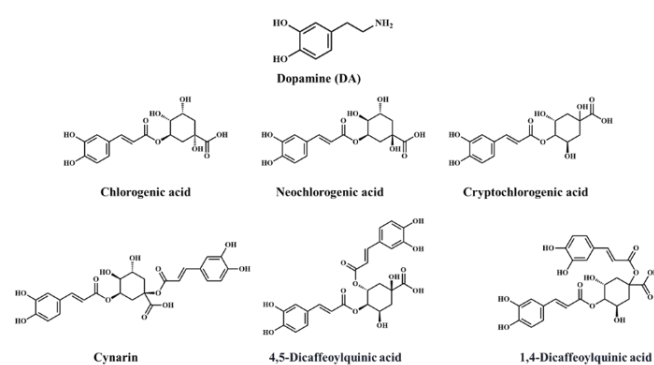
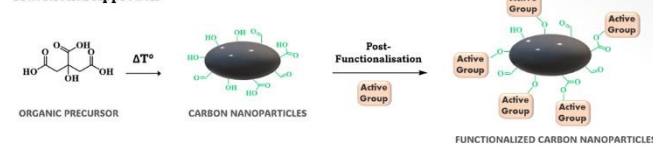


Figure 1. Chemical structure of dopamine (guest) and artichoke extract main components.

The new fluorescent carbon nanoparticles (CNPs<sub>ART</sub>) were obtained by a hydrothermal treatment of artichoke extract. CNPs<sub>ART</sub> have fully been characterized by DLS, AFM, XPS, IR EDX and TEM. DA sensing properties, including linearity, detection limits and selectivity, were studied by emission spectroscopy, both in solution and in solid state. A solid prototype was tested for the DA sensing in artificial saliva sample by using a smartphone as detector. The excellent limit of detection (nM concentration), the high selectivity towards DA if compared to other common analytes contained in saliva and the efficient detection properties with artificial saliva, pave the way for the realization of a real device for DA monitoring.

#### Traditional Approach



#### New Approach

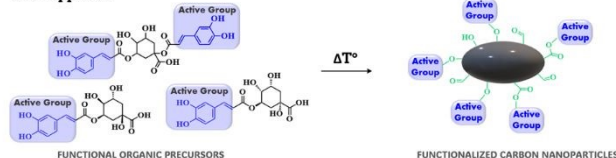


Figure 2. Schematic representation of the two different synthetic approaches.

## Materials and Methods

Dopamine, adrenaline, testosterone, uric acid, creatine, glucose, sodium hydroxide, 2,2'-azobis(2-amidinopropane) dihydrochloride (AAPH), fluorescein, potassium persulfate ( $K_2S_2O_8$ ), Gallic acid, 2,2-diphenyl-1-picrylhydrazyl (DPPH•), Quercetin, 2,2'-azino-di-(3-ethylbenzthiazoline sulfonic acid), ABTS•+, Trolox were purchased from MERK and used without any further purification.

**Synthesis of carbon nanoparticles.** The artichoke extract powder was obtained by means of the extraction procedure from fresh artichoke leaves in water (leaves/water 1:3 ratio) at 90° C, followed by resin purification. 7 g of the powder were heated up to 200° C for two hours. After cooling down the heated powder, 10 mL of a NaOH 0.2 M solution were added till



neutral pH (tested by means of litmus paper). The solution was filtered and then dialyzed (cutoff 11,000 DA). The solvent was removed under vacuum and brown solid (CNPs\_ART) was obtained.

**XPS-EDX measurements.** X-ray photoelectron spectra (XPS) were measured at 45° take-off angle relative to the surface sample holder, with a PHI 5000 Versa Probe II system (ULVAC-PHI, INC., base pressure of the main chamber  $1 \times 10^{-8}$  Pa).<sup>57,58</sup> Samples were excited with the monochromatized Al K $\alpha$  X-ray radiation using a pass energy of 5.85 eV. The instrumental energy resolution was  $\leq 0.5$  eV. The XPS peak intensities were obtained after Shirley background subtraction. Spectra calibration was achieved by fixing the Ag 3d5/2 peak of a clean sample at 368.3 eV;<sup>59</sup> this method turned the C 1s peak of the adventitious carbon contamination at 285.0 eV.<sup>56,57</sup> The atomic concentration analysis was performed by considering the relevant atomic sensitivity factors.<sup>60</sup>

**Morphological Characterization (DLSL, AFM, TEM).** Size and electrophoresis measurements were performed with a Zetasizer Nano ZS (Malvern Instrument, UK), equipped with a He-Ne laser at a wavelength of 632.8 nm. DLS measurements were run on disposable cuvettes by using optimal measurement times and laser attenuation settings, assuming a viscosity of 0.887 mPa s and a refractive index 1.33 for the solutions. All samples were measured at least 3-times at 25°C using non-invasive backscatter detection at a scattering angle of 173. CNPs\_ART solutions (1 mg/mL) were filtered through a 0.22  $\mu$ m filter before the beginning of each experiment. The electrophoretic mobility of every sample was measured three times and reported as mean  $\pm$  standard deviation. The z-potential ( $\zeta$ ) at pH 6.5 was determined using the electrophoretic mobility ( $\mu$ ) values according to the Helmholtz-Smoluchowski equation:  $\zeta = \eta \mu / \epsilon$ , where  $\eta$  is the viscosity of solution and  $\epsilon$  is the dielectric permittivity of water.

The morphological characterization was performed using a Nanoscope IIIA-MultiMode atomic force microscope (AFM) Digital Instruments (Santa Barbara, CA, USA) used in tapping mode. Images were recorded at a scan rate of 1 Hz and 512  $\times$  512 pixels per image using Tap 300 G silicon probes (Budget sensors) mounted on cantilevers with a nominal force constant of 40 Nm<sup>-1</sup> and a resonant frequency of 300 kHz. CNPs transmission electron microscopy (TEM) characterization was performed on a Jeol JEM-ARM200F at 200 kV in parallel beam mode (C-TEM). The microscope is equipped with a cold field emission gun (CFEG) which has a 0.27 eV energy spread and a CEOS Cescor probe aberration corrector. For C-TEM imaging, a Gatan Rio 2 CMOS camera with a large field of view of 10 megapixels (4k  $\times$  4k) was used. Specimen for TEM characterization was prepared by drop casting few microliters of aqueous solutions containing CNPs over a TEM grids supporting an ultra-thin carbon film (nominal thickness  $< 3$  nm).

**Determination of the total phenolic content (TPC).** The TPC of CNPs\_ART was spectrophotometrically determined.<sup>61</sup> The CNPs were suspended in water (CNPs\_ART 1.7 mg/mL) and sonicated for 5 min. Gallic acid was employed as a reference compound to obtain a calibration curve ( $R^2 = 0.9991$ ) with solutions ranging from 0.05 mg/mL to 0.40 mg/mL. The

resulting supernatant from CNPs and the solutions of gallic acid (25  $\mu$ L) were mixed in a 96-well microplate (using an Agilent BioTek Synergy H1 Multimode Reader and the Gen5 software) with Folin-Ciocalteu solution (previously diluted 1:10; 100  $\mu$ L). Then, a 1 M Na<sub>2</sub>CO<sub>3</sub> solution (100  $\mu$ L) was added, the plate was mechanically mixed for 1 min and then incubated in the dark for 1.5 h at room temperature. Optical density (OD) was read at 765 nm. Results were reported as mg of gallic acid equivalents per g of CNPs (mg GAE/g). All measurements were carried out in quintuplicate and reported as mean  $\pm$  SD.

**Antioxidant activity evaluation.** The CNPs\_ART were suspended in water (2.14 mg/mL) and sonicated for 5 min. The supernatant was employed in the antioxidant assays.

**DPPH radical scavenging activity.** The bleaching of the purple-coloured radical 2,2-diphenyl-1-picrylhydrazyl (DPPH<sup>\*</sup>) was employed to measure the radical-scavenging ability of CNPs.<sup>62</sup> Briefly, a freshly prepared DPPH<sup>\*</sup> solution (190  $\mu$ M; 200  $\mu$ L) was mixed with the samples (20  $\mu$ L). The mixtures were incubated at 25 °C for 1.5 h in the dark. Trolox (in the range 40 – 800  $\mu$ M) was employed as a reference standard to obtain a calibration curve for data elaboration ( $R^2 = 0.9992$ ). Trolox was assayed in the same conditions as samples. Quercetin was used as a positive control (0.05 mg/mL). A blank was obtained replacing the tested samples with the same amount of water. OD was acquired at 515 nm and the antiradical activity of the extracts was expressed as  $\mu$ mol of Trolox equivalents (TE) per gram of CNPs ( $\mu$ mol TE/g).

**ABTS radical scavenging activity.** The assay was performed as previously reported.<sup>63</sup> Briefly, the radical cation 2,2'-azino-di-(3-ethylbenzthiazoline sulfonic acid), ABTS<sup>•+</sup>, was generated by mixing 7 mM ABTS with 2.45 mM of potassium persulfate (K<sub>2</sub>S<sub>2</sub>O<sub>8</sub>); this solution was stored in the dark and at room temperature for 12 h prior utilization. ABTS<sup>•+</sup> was diluted in ethanol to a final concentration of 70  $\mu$ M and 200  $\mu$ L were mixed with the CNPs (20  $\mu$ L) or quercetin (0.04 mg/mL) which was employed as a positive control. Blank solutions were obtained by replacing the samples with the same volume of water. The mixtures were incubated for 6 min and the OD was measured at 734 nm. A calibration curve of Trolox was obtained by assaying different solutions (80-800  $\mu$ M) in the same conditions of samples. The results were elaborated by linear regression with the standard calibration curve ( $R^2 = 0.9998$ ) and expressed as  $\mu$ mol TE/ g of CPNs

**Oxygen radical absorbance capacity (ORAC).** The antioxidant activity toward peroxy radical (ROO<sup>\*</sup>) was determined by following the ORAC method previously described.<sup>61</sup> Briefly, a fluorescein solution freshly prepared in PBS (112 nM, pH 7.4, 150  $\mu$ L) was added to each well, then, 25  $\mu$ L of CNPs were added and finally 25  $\mu$ L of 2,2'-azobis(2-amidinopropane) dihydrochloride (AAPH) solution (153 mM) was added. Trolox was assayed (1.5 – 0.2  $\mu$ M) in the same conditions of samples and it has been employed as standard to build a calibration curve ( $R^2 = 0.9999$ ). Gallic acid (0.37 mg/mL) was employed as a positive control. Fluorescence was measured at 37 °C every minute for 120 min ( $\lambda_{\text{ex}}$ : 485 nm;  $\lambda_{\text{em}}$ : 528 nm). A blank assay using phosphate buffer instead of sample was performed. The



ORAC values were calculated using the area under the fluorescence decay curves and expressed as  $\mu\text{mol TE/g}$  of CNPs.

**UV-Vis Measurements.** UV-Vis absorption spectrum was acquired using a JASCO V-560 UV-Vis spectrophotometer equipped with a 1 cm path-length cell was used for the UV-Vis measurements (resolution 0.1 nm). A stock solution of CNPs\_ART (1 mg/mL) was prepared in MilliQ water (pH 7). The measurement was performed in a 1x1 cm quartz cuvette using a 0.05 mg/mL solution of the sample.

**Fluorescence measurements.** Fluorescence measurements were carried out using a Cary Eclipse Fluorescence spectrophotometer with resolution of 0.5 nm, at room temperature. The emission was recorded at  $90^\circ$  with respect to the exciting line beam using 5:5 slit-widths for all measurements. Two stock solutions of probe (CNPs\_ART 1.0 mg/mL) and dopamine (1.0 mM) in MilliQ water were prepared (pH 7). From these, different solutions with different receptor/guest ratios were prepared (in the cuvette for fluorescence analysis, the probe concentration was fixed at 0.01 mg/mL, while increased amounts of dopamine were added in the 0–12  $\mu\text{M}$  range). Emission spectra were recorded at  $25^\circ\text{C}$ . The apparent binding affinity values were estimated using HypSpec (version 1.1.33),<sup>64</sup> a software designed to extract equilibrium constants from potentiometric and/or spectrophotometric titration data. HypSpec starts with an assumed complex formation scheme and uses a least-squares approach to derive the spectra of the complexes and the stability constants.  $\chi^2$  test (chi-square) was applied, where the residuals follow a normal distribution (for a distribution approximately normal, the  $\chi^2$  test value is around 12 or less). In all cases,  $\chi^2$  values  $\leq 10$  were found, as obtained by 3 independent measurements sets. Limit of detection (LOD) was calculated using the calibration curve method by means of the equation  $3\sigma/K$ , (where  $\sigma$  is the standard deviation of the blank and  $k$  is the slope of the calibration curve).

**FT-IR analysis of the complex CNPs\_ART@DA.** FT-IR spectra were acquired by using FT-IR spectra were obtained by using a Perkin Elmer Spectrum One spectrophotometer. For the analysis of the complex, two stock solutions (1 mL), one containing DA ( $1 \times 10^{-2}$  M in water) and the other containing CNPs\_ART (2 mg/mL in water) were mixed up, in order to promote the formation of the host-guest complex. Afterwards, 100  $\mu\text{L}$  of this solution were dropped onto a transparent silica slide, the solvent was removed by nitrogen flux, and the FT-IR spectrum was recorded. The same procedure was previously performed with a solution containing DA only ( $1 \times 10^{-2}$  M in water).

**NMR experiments.** The NMR experiments were carried out at  $27^\circ\text{C}$  on a Varian UNITY Inova 500 MHz spectrometer ( $^1\text{H}$  at 499.88 MHz,  $^{13}\text{C}$  NMR at 125.7 MHz) equipped with pulse field gradient module (Z axis) and a tunable 5 mm Varian inverse detection probe (ID-PFG). Two stock solutions of the fluorescent probe (CNPs\_ART 1.75 mg/mL in  $\text{D}_2\text{O}$ , pD 7) and the analyte (DA 1 mM in  $\text{D}_2\text{O}$ , pD 7) were prepared. NMR spectra of the dopamine only (700  $\mu\text{L}$ , 1 mM,  $\text{D}_2\text{O}$ , pD 7), and the dopamine/CNPs\_ART (700  $\mu\text{L}$ ,  $\text{D}_2\text{O}$ ) at different ratios were recorded, as reported in the Supporting Information (a. DA 1

mM; b. DA 1 mM + CNPs\_ART 0.0625 mg/mL; c. DA 1 mM + CNPs\_ART 0.125 mg/mL; d. DA 1 mM + CNPs\_ART 0.187 mg/mL; e. DA 1 mM + CNPs\_ART 0.250 mg/mL).

**Test Strip.** In a 3 x 10 cm aluminum oxide foil, spots of the nanoparticle solutions (1.5  $\mu\text{L}$  in water, pH 7) were casted at three different concentrations 1; 0.5; 0.05 mg/mL. The so-obtained solid receptor system was exposed under UV light irradiation, by using a dark chamber and UV lamp working at 365 nm. The images of the spots at different concentrations were collected by using a smartphone camera (I-phone 9), before and after the exposure to DA solution (casted by a polycellulose filter) at different concentrations ( $1 \times 10^{-3}$  M;  $1 \times 10^{-7}$  M and  $1 \times 10^{-9}$  M). The pictures collected were then processed by the Fiji software,<sup>65</sup> and converted in RGB channel values, in order to provide the intensity value in Grey scale, following the equation:  $G = (R \text{ value} + G \text{ value} + B \text{ value})/3$ , thus obtaining a single value for each pixel. Normalized grey channel intensity values (considered as  $G-G_0$  where  $G$  is the measured intensity and  $G_0$  is the intensity of the blank) were employed to relate the solid array response to DA concentration (the results reported were obtained by three independent measurements). The same procedure was carried out for the evaluation of the linear response expressed in normalized grey channel intensity values of CNPs\_ART on solid support (0.5 mg/mL), exposed to DA solution in the  $1 \times 10^{-10}$  M to  $1 \times 10^{-3}$  M concentration range. After the evaporation of the water, the response of CNPs\_ART on solid support is instantaneous, according to fluorescence measurements.

**Computational Methods.** Ab initio and density functional calculations were performed using the Gauss09 program package. Optimization of all involved system was performed at B3LYP/6-31G(d,p) level of theory in gas phase. All structures were subjected to a full conformational search to ensure to report the absolute minimum. Frequencies were calculated and checked out to make sure that all of them were positive and no imaginary frequencies were present. Gaussview software has been used as graphic interface to visualize the optimized structures. Zero-point energy (ZPE) was included in each result.

## Results and discussion

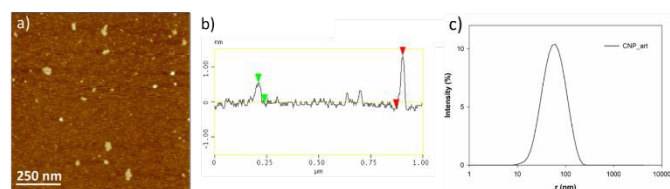
### CNPs synthesis and characterization

CNPs\_ART were obtained from a slightly modified hydrothermal decomposition procedure,<sup>66,67</sup> starting from freeze-dried extract of artichoke as the carbonaceous source. In particular, the powder was heated up to its melting point at  $200^\circ\text{C}$ . The subsequent treatment with NaOH solution, centrifugation and dialysis, the afforded CNPs as brown crystals. While traditional carbonization procedures often provide higher temperatures, our work demonstrates that a lower-temperature using artichoke extract is effective for synthesizing CNPs well-suited for dopamine sensing. This approach offers the potential benefits of preserving polyphenolic groups and controlling CNP properties for enhanced sensitivity.

The size and morphology of the CNPs\_ART were firstly explored by means of AFM imaging (Figure 3a) performed on dry sample

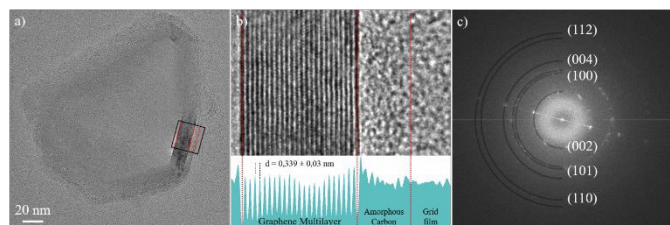


observing the presence of several flat irregularly shaped particles. These particles show a broad thickness distribution ( $1.1 \pm 0.8$  nm) as revealed by the section analysis (Figure 3b). Afterwards, the particle size, zeta potential, and polydispersity index (PDI) were analyzed as important parameters associated with the quality, stability, and other macroscopic properties of nanoparticles. The results of DLS of CNPs\_ART (Figure 3c) showed a single mode distribution with a z-average hydrodynamic radius and PDI of  $50 \pm 1$  nm and  $0.21 \pm 0.02$ , respectively. The PDI value of prepared nanoparticles was in the acceptable range for solid nanoparticulated systems, indicating a homogeneous suspension and well-controlled particle size with a narrow dispersity.<sup>68</sup> Zeta potential is generally used for predicting the stability and the possibility of aggregation for particles in suspension.<sup>69</sup> In particular, CNPs\_ART showed a zeta-potential value of  $-25 \pm 2$  mV. The negative zeta value might be related to the presence of acidic polyphenol groups and carboxylic acid groups, assuming that the predominant ions in the electric double layer up to the slipping plane are similar compared to the surface of the particle itself.



**Figure 3.** a)  $1 \times 1 \mu\text{m}^2$  AFM height image of CNPs\_ART, the height scale is 5 nm; b) exemplificative cross-sections, used for the determination of the nanoparticles height; c) particle size distribution of CNPs\_ART (1 mg/mL) in water at 25°C.

Figure 4a shows a C-TEM image of CNPs\_ART. Its structure consists of a crystalline scaffold formed by several graphene layers folded to form a closed shell. Externally, the CNP is coated by a layer of amorphous carbon. Figure 4b is a magnified C-TEM image of the area enclosed in the black box in Figure 4a; the lower panel reports the relative 2-D integrated intensity profile. Figure 4b shows in detail the CNP's multilayer structure, formed by more than 20 graphene sheets. The CNP's rim is covered by an amorphous carbon layer having thickness of about 4 nm. Figure 4c reports the Fast Fourier Transform (FFT) image of the C-TEM image shown in Figure 4a, showing several spots that originate from the atomic planes of the CNP, and highlighting its polycrystalline nature. The (002) planes, which lie along the stacking direction of graphene layers, have a measured distance of  $0.339 \pm 0.03$  nm.

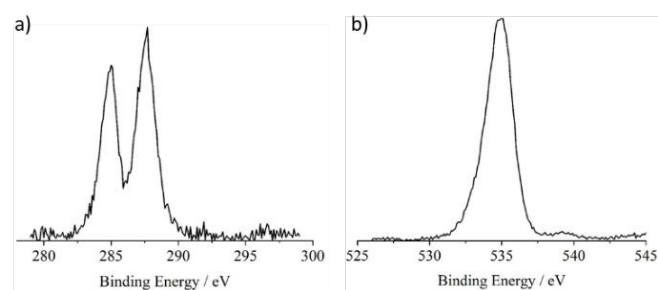


**Figure 4:** a) C-TEM image of a CNPs\_ART; b) C-TEM magnified image of the black box in a) with its relative intensity profile (lower panel), showing the multilayer structure of the CNPs\_ART with interplanar distance of  $0.339 \pm 0.03$  nm, and the amorphous carbon coating on its surface; c) FFT image of a) with indexed CNP's atomic planes.

Planes (100), (101), (110) and (112) show characteristic distances of 0.211 nm, 0.203 nm, 0.121 nm and 0.119 nm, respectively. The electronic structure of the CNPs\_ART was investigated by X-ray photoelectron spectroscopy. This technique gives information on the oxidation states and on the chemical environment of the studied elements, and allows estimation of the surface elemental composition, once the relevant atomic sensitivity factors have been considered.<sup>58</sup>

Figure 5a shows the high-resolution XP spectrum of the CNPs in the C 1s binding energy region. According to the related literature, the two signals at 285.0 and 287.6 eV are due to the aliphatic/aromatic carbon and to the  $-\text{C}=\text{O}$  states, respectively.<sup>58</sup> The overall carbon signal accounts for a 40.3 % atomic concentration.

Figure 5b shows the high-resolution XP spectrum of the CNPs in the O 1s binding energy region. The main peak at 534.9 eV agrees with the presence of abundant water on the CNPs surface.<sup>70,71</sup>



**Figure 5.** a) Al K $\alpha$  excited XPS of the CNPs in the C 1s binding energy region; b) Al K $\alpha$  excited XPS of the CNPs in the O 1s binding energy region.

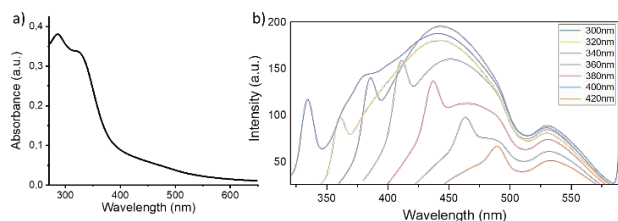
On the lower binding energy side of this main peak it is evident an asymmetry whose flex is at about 533 eV and is consistent with the  $-\text{C}=\text{O}$  group. The oxygen signal accounts for a 59.0 % atomic concentration.

Figure S1 shows the high-resolution XP spectrum of the CNPs in the N 1s binding energy region and shows the absence of any nitrogen signal.<sup>72</sup> Figures S2, S3 and S4 show the high-resolution XP spectra of the CNPs in the Mn, Fe and Co 2p binding energy regions. While no Mn 2p nor Co 2p signals were detected, a very low Fe 2p content (0.7% of the overall CNPs atomic concentration) was observed, probably due to the natural origin of these nanoparticles.

In order to investigate on the functional groups present in the natural-based carbon nanoparticles, FT-IR analysis was performed. The FT-IR spectrum of CNPs\_ART displays a broad intense band at  $\sim 3400$   $\text{cm}^{-1}$ , corresponding to free OH stretching vibration. The C-H vibration is observed at  $\sim 2920$   $\text{cm}^{-1}$ , and the C=C vibration, ascribed to the graphitic core (Figure S5), appears in the 1630-1600  $\text{cm}^{-1}$  range. The spectrum of CNPs\_ART also shows the typical pattern of carboxyl groups, as can be observed from the C=O vibration band at 1726  $\text{cm}^{-1}$ . Moreover, the intense band at 1270  $\text{cm}^{-1}$  (catecholic O-H bending vibration), clearly suggests the presence of catechol groups.



The optical properties of CNPs\_ART were investigated by recording their UV-Vis and fluorescence spectra in water at pH 7 (Figure 6 a and b, respectively). The absorption spectrum of CNPs\_ART displays an absorption band centered at 285 nm, ascribed to the  $\pi$ - $\pi^*$  electronic transition of the conjugated C=C domains of the aromatic carbon cores. The shoulder at 325 nm, is related to the n- $\pi^*$  transition of the carbonyl- and carboxyl-functional groups on the surface.<sup>73</sup>



**Figure 6.** a) UV-Vis spectrum of CNPs\_ART (0.05 mg/mL in MilliQ water); b) Fluorescence emission spectra of CNPs\_ART (0.05 mg/mL in MilliQ water, pH 7) at different excitation wavelengths (from 300 to 420 nm, see inset).

The emission spectra of a 0.05 mg/mL CNPs\_ART water solution (pH 7), recorded at different excitation wavelengths, show an emission band dependent from the excitation wavelength employed, and in particular it shifts from 430 nm to 470 nm by increasing the excitation wavelength from 300 to 420 nm. In addition, an emission band fixed at 530 nm can be found in all spectra. This peculiar photoluminescent properties suggest two sources of fluorescence emission within the same nanosystem. In particular, the excitation-dependent photoluminescence is due to the graphene core,<sup>74,75</sup> while the excitation-independent emission is more likely due to molecular species bound to the graphitic core. These data, supported by the above-mentioned FT-IR profile, and TEM morphology studies, suggest the presence of catechol groups, covalently linked to the graphitic carbon network.

To support this hypothesis, the total phenolic content (TPC) of the CNPs\_ART was determined as detailed in the experimental section and the data are reported in Table 1. Despite the treatment carried out on the extract, the resulting CNPs still show a good content in phenolic compounds (89.7 mg GAE/g of CNPs). The antioxidant activity was assessed with three methodologies where the involved reactions can occur by hydrogen atom transfer (HAT) processes as for ORAC, or single electron transfer (SET) processes for DPPH and ABTS. The data highlighted a good antioxidant activity of CNPs\_ART considering the quite similar results obtained for quercetin and gallic acid, naturally occurring polyphenols frequently employed as positive references. Moreover, the values obtained for the antioxidant activity and TPC indicated that the phenolic residues within the CNPs significantly contribute to their antioxidant activity.

**Table 1.** Total phenolic content and antioxidant activity of CNPs\_ART

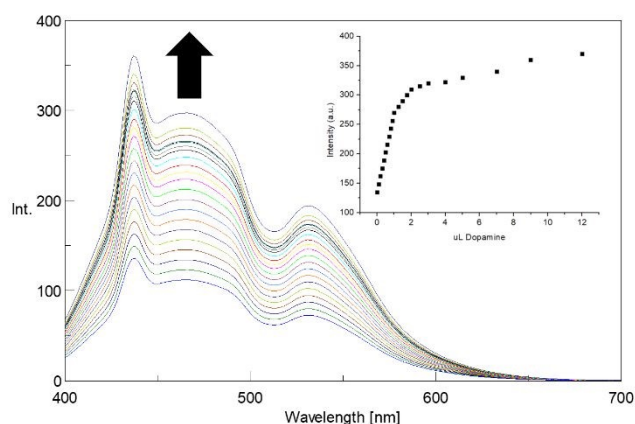
samples	TPC (GAE $\pm$ SD) <sup>a</sup>	Antioxidant activity		
		DPPH $\pm$ SD <sup>b</sup>	ABTS $\pm$ SD <sup>b</sup>	ORAC $\pm$ SD <sup>b</sup>
CNPs_ART	89.7 $\pm$ 4.2	13.5 $\pm$ 0.8	9.5 $\pm$ 1.9	1.9 $\pm$ 0.1
quercetin <sup>c</sup>	-	3.7 $\pm$ 0.8 <sup>c</sup>	2.7 $\pm$ 0.3 <sup>c</sup>	5.1 $\pm$ 0.7 <sup>c</sup>
gallic acid	-	1.85 $\pm$ 0.2 <sup>c</sup>	3.7 $\pm$ 0.1 <sup>c</sup>	7.4 $\pm$ 0.2 <sup>c</sup>

Results are average of 4 replicates. <sup>a</sup> GAE = gallic acid equivalents (expressed as mg/g of CNPs); <sup>b</sup> expressed as Trolox equivalent TE ( $\mu$ mol/g of CNPs). <sup>c</sup> quercetin and gallic acid have been assayed as positive references, expressed as Trolox equivalent TE ( $\mu$ M).

### Dopamine sensing

Due to the observed remarkable fluorescence, stable also after 4 hours (see Supporting Information, Figure S9), the recognition properties of CNPs\_ART towards DA in water were studied by fluorescence titrations, by observing the change in the fluorescence emission of CNPs\_ART 0.01 mg/mL solution in water (pH 7), upon the progressive addition of DA in the 0-12  $\mu$ M concentration range. Interestingly, the fluorescence spectrum of CNPs\_ART shows an increase of the emission intensity upon the addition of DA (Figure 7), displaying a binding constant value of  $\log K = 5.76 \pm 0.02$  (calculated using HypSpec software),<sup>40,64</sup> which is the highest reported in literature concerning the sensing of DA by carbon nanoparticles. Notably the limit of detection of 0.83 nM, calculated using the calibration curve method, is in the range of DA concentrations into human fluids (0.11  $\mu$ M in plasma,<sup>3</sup> 100 pM in saliva<sup>4</sup> and is 1 - 5.3 mM in human urine<sup>5</sup>).

Optical recognition studies highlighted a remarkable affinity of CNPs\_ART towards DA. To shed light on the recognition mechanism, <sup>1</sup>H NMR titration of a DA solution (1  $\times$  10<sup>-3</sup> M in D<sub>2</sub>O) was performed, upon the progressive addition of CNPs\_ART.



**Figure 7.** Fluorescence emission spectra of CNPs\_ART solution (0.01 mg/mL in MilliQ water,  $\lambda_{ex}$  = 380 nm) upon addition of DA (0-12  $\mu$ M). Inset shows the calibration curve.



The  $^1\text{H}$  NMR spectrum of DA shows the typical pattern of the aromatic protons in the region 6.75–7.95 ppm, and of the two aliphatic methylene protons as two triplets at 3.25 and 2.89 ppm, respectively. Interestingly, upon the progressive addition of CNPs\_ART, the aromatic signals undergo a slight downfield shift (see Figure S7, Supporting Information), thus suggesting that dopamine is placed at the rim of the graphene core of the nanoparticles, being subjected to the ring current effect. To further elucidate the recognition mechanism, FT-IR spectra of DA and DA@CNPs\_ART complex were measured (Figure 8).

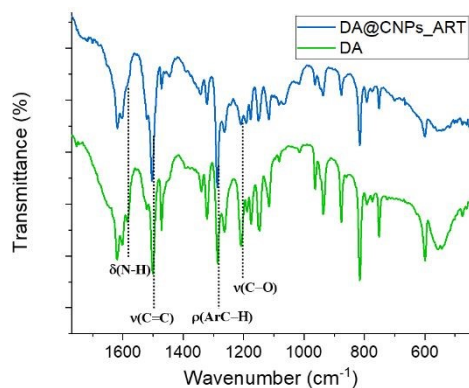


Figure 8. FT-IR spectra of DA and DA@CNPs\_ART complex.

The DA spectrum shows the diagnostic signals, including the stretching vibration peak of the catechol structure at wavenumber  $1287\text{ cm}^{-1}$ , the stretching vibration peak of the C=C bond in the dopamine benzene ring at around  $1616\text{ cm}^{-1}$  (Figure 8), and the N-H bond stretching vibration at  $3157\text{ cm}^{-1}$  and  $3332\text{ cm}^{-1}$  (see Figure S8).<sup>76</sup>

Comparing the FT-IR spectra of DA@CNPs\_ART and neat DA (Figure 8), except for the peaks at  $1616$ ,  $1502$  and  $1287\text{ cm}^{-1}$ , relative to the aromatic C=C/C-H bond stretching and C-H rocking vibrational modes, respectively, which do not show any change, almost all the signals are either absent or less intense. This may exclude, in accordance with NMR data, staking interaction involving the aromatic moiety of the guest and the graphene core of the nanoparticles. Moreover, the C-O stretching at  $1205\text{ cm}^{-1}$  in the spectrum of DA, disappears in the spectrum of the complex, thus indicating the involvement of at least one hydroxyl group in the interaction with the nanoparticles. In addition, the peak at  $1584\text{ cm}^{-1}$ , relative to the scissoring vibrational mode of the  $\text{NH}_2$  group of the DA, is no more visible in the spectrum of the complex. This last datum, together with the shift at lower frequency of the  $\text{NH}_2$  stretching peak at  $3250\text{ cm}^{-1}$  (see Figure S8, Supporting Information), is consistent with the formation of hydrogen bonds involving also the amino group of dopamine, meaning the formation of hydrogen bonds between either the hydroxyl or the amine group of dopamine with hydroxyl groups of the carbon nanoparticles.

A computational study has been performed to further highlight the interaction(s) between DA and CNPs\_ART at B3LYP/6-31G(d,p) level of theory in gas phase.<sup>77-79</sup> A graphene surface of  $1.1 \times 1.3\text{ nm}$  was functionalized with two catechol units, far from each other of  $0.3\text{ nm}$ , (see Figure S9). We want to underline that the proposed model system (host nanoparticles)

and the relative distances between the functional groups are in agreement with the XPS and TEM analyses related to the pristine CNPs\_ART sample. Multiple hydrogen bonds (HBs) have been found in the host-guest complex between DA and the functionalized CNPs\_ART. More in detail, the OH groups of the functionalized CNPs\_ART form three HBs with the DA's catechol unit while the terminal amino group of the DA is involved in two HBs as suggested by the NMR and IR analyses. Moreover, the entire host-guest complex is further stabilized by an intramolecular HB between the OH groups of the host's catechol unit, bringing the total number of HBs in the host-guest complex to six (Figure S10). The HBs formed with the DA's catechol unit are relatively shorter ( $1.87\text{--}1.99\text{ \AA}$ ) compared to the ones formed with the DA's terminal amino group ( $1.79\text{--}2.51\text{ \AA}$ ), highlighting stronger interactions with the hydroxyl groups compared to the amino group of the DA (Figure S10). A high complexation energy ( $E_{\text{complex}}$ ) for the DA@CNPs\_ART complex is hypothesized due to the high number of HBs involved in the complex formation. Indeed, a complexation energy of  $19.8\text{ kcal/mol}$  has been calculated for the DA@CNPs\_ART complex that is  $13.9\text{ kcal/mol}$  higher than the complexation energy between two water molecules, used as a reference model (Table S1). This value is in agreement with the experimental high binding constant found for the DA@CNPs\_ART complex.

Detection of DA for biomedical purpose requires a highly selective response, for this reason the selectivity of CNPs\_ART towards DA was investigated by observing the response in the fluorescence emission spectrum of a CNPs\_ART solution ( $0.05\text{ mg/mL}$  in water) upon addition of several interferent analytes commonly present in human saliva.<sup>4</sup> In particular, we measured the emission of CNPs\_ART after the selective addition of  $1\text{ }\mu\text{M}$  of adrenaline, testosterone, uric acid, creatine, glucose and  $0.1\text{ }\mu\text{M}$  of DA. The results reported in Figure 9 show a remarkable selectivity of the nanoparticles towards DA over the other analytes, also in large excess (10 times), in particular in terms of turn-on of the emission. Taking into account all these results obtained in solution, CNPs\_ART were tested as probe for DA sensing also on solid phase.

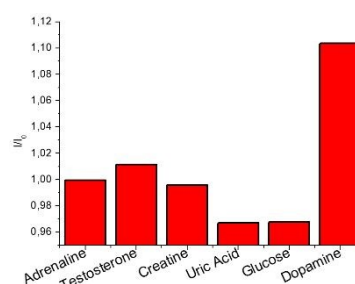


Figure 9. Selectivity test: normalized emission response ( $I/I_0$ , where  $I$  and  $I_0$  are the emission at  $437\text{ nm}$  of the nanoparticles,  $\lambda_{\text{exc}} 380\text{ nm}$ , after and before the addition of the analytes, respectively) of CNPs\_ART towards Dopamine ( $0.1\text{ }\mu\text{M}$  in water) over interferent analytes ( $1\text{ }\mu\text{M}$  in water, pH 7).

#### DA sensing by Strip Test

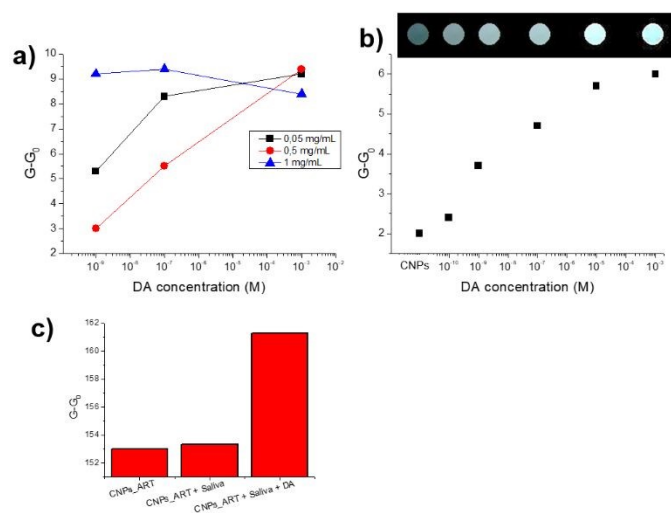
As mentioned, the fast detection of DA in human fluids would be helpful for the development of point-of-care devices for biomedical application. In addition, the possibility of an easy and fast read-out determination of DA level in saliva by using a





simple smartphone camera would represent a great advantage. Indeed smartphone, normally equipped with high-resolution digital camera and endowed with data processing software, is an extremely accessible tool with great potential use in biomedical field. To this aim, we selected a proper inert support (aluminium oxide layer) to perform Strip Test, by using a black chamber to acquire the image under UV excitation at 365 nm. In particular, smartphone is located on the top of black chamber in a fixed position. Images acquired are processed with Fiji software, thus obtaining RGB and Gray channel values (see Materials and Methods section).

Firstly, we optimized the CNPs\_ART concentration to drop onto the solid support, demonstrating also the absence of mechanochromism (see Supporting Information, Figure S10). In particular, Figure 10a shows the results obtained with three different concentrations of nanoparticles (1, 0.5 and 0.05 mg/mL in water, respectively) at different concentration of DA (from 1 nM to 1 mM) and indicates an optimal sensing response using a CNPs\_ART of 0.5 mg/mL. indeed, at this concentration, we observed a linear progressive increase of the response upon increasing amount of dopamine. Furthermore, Figure 10b shows a linear sensing response to DA in the  $10^{-10}$  M –  $10^{-3}$  M range, with an experimental detection limit of 0.1 nM, which is close to the physiological DA concentration in saliva.<sup>4</sup>



**Figure 10.** a) optical response expressed in normalised grey channel intensity values ( $G$  and  $G_0$  are the Gray channel values after and before the exposure to the analyte, respectively) of CNPs\_ART on solid support at three different concentrations (0.05, 0.5 and 1 mg/mL in water, respectively), exposed to three concentration of DA ( $1 \times 10^{-3}$  M,  $1 \times 10^{-7}$  M and  $1 \times 10^{-9}$  M in water, pH 7); b) optical response expressed in normalised grey channel intensity values of CNPs\_ART on solid support (0.5 mg/mL), exposed to DA solution in a concentration range of  $1 \times 10^{-10}$  M to  $1 \times 10^{-3}$  M; c) selectivity test: variation of grey channel intensity values of CNPs\_ART in the presence of water (control), artificial saliva containing uric acid (20  $\mu$ M), adrenaline (0.1 nM), testosterone (0.1 pM), glucose (72  $\mu$ M), creatinine (1  $\mu$ M) and some metal cations ( $Mg^{2+}$ ,  $Ca^{2+}$ ,  $Mn^{2+}$ ,  $Fe^{2+}$ ,  $Cu^{2+}$ ,  $Zn^{2+}$ )<sup>26</sup> and artificial saliva containing 0.1 nM of DA.

Strip test can be restored by simple washing with chloroform, in which DA (dropped onto the solid support) is soluble, while CNPs\_ART not (see Supporting Information, Figure S11). In particular, we demonstrated the possibility to reuse the device for 5 cycles, with a slight loss of efficiency.

In addition, DA sensing was performed in artificial saliva sample,<sup>25</sup> containing typical analytes present in real human

saliva at the physiological concentration, specifically: uric acid 20  $\mu$ M, adrenaline 0.1 nM, testosterone 0.1 pM, glucose 72  $\mu$ M, creatine 1  $\mu$ M and some metal cations. In particular, Figure 10c show the CNPs\_ART response to the as prepared artificial saliva and to the artificial saliva containing 0.1 nM of DA. We observed a slight increase of the Gray channel intensity in the presence of simple saliva, while a strong increase of the response can be detected in the presence of 0.1 nM of DA. This result suggests that CNPs\_ART can detect DA at physiological concentrations, also in the presence of the other analytes commonly present in saliva.

Table 2 reports the comparison of LOD, detection methods and matrixes data between our device and the other nanosystems used in the DA sensing. In particular, our sensoristic device detects DA at lower concentration values if compared to the other sensors able to detect DA in urine.<sup>50,52</sup> Sensors able to detect lower DA concentrations<sup>53,54</sup> have not been yet used with practical samples.

**Table 2.** Comparison between our work and other nanosystems used in the DA sensing.

Reference	LOD	Detection method	Matrix/Source
47	2.7 nM	Fluorescence	Human cervical carcinoma
49	0.21 nM	Fluorescence	Human serum samples
50	16.9 nM	Smartphone	Human urine
51	1 mM	Microfiber	Extracellular DA levels released by nervous
52	279 nM	Smartphone	Real human urine, blood and serum
53	13.5 pM	UV-Vis	Real time released DA from PC12 cells
54	$10^{-18}$ M	Optical fiber	/
This work	100 pM	Smartphone	Artificial Saliva

## Conclusions

Real time and easy monitoring of dopamine concentrations in human fluids is of pivotal importance, due to the correlation between dopamine and many important diseases. In this context, a new fluorescent nanosensor based on carbon nanoparticles obtained in a single synthetic step by artichoke extracted has been here reported.

Size and morphology of these nanoparticles have been fully characterized by DLS, AFM, XPS, FT-IR, EDX and TEM microscopy. Dopamine sensing properties were explored in water through fluorescence spectroscopy, obtaining a turn-on of the emission, with a binding affinity value of  $\log K = 5.76$  and a detection limit of 0.81 nM. We demonstrated selectivity of CNPs\_ART towards dopamine over other important interferents, commonly present in human fluids. Test strip experiments demonstrated the possibility to use this prototype in real life. In particular, we detected 0.1 nM – 1 mM concentrations of dopamine on a solid support by using a smartphone as detector. In addition, our device recognizes dopamine also in artificial saliva, in the presence of common



analytes contained in the human samples. This work paves the way to the realization of practical point-of-care devices able to self-monitor dopamine in human fluids, such as urine and saliva.

## Author Contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript. Conceptualization: R. Puglisi, N. Tuccitto, V. Zaccaria, A. Pappalardo, G. Trusso Sfrazzetto; Validation: A. Gulino, G. Li Destri, G. Nicotra, V. Muccilli; Formal analysis: G. Sfuncia, G. Nicotra, R. Puglisi, L. Mancuso, R. Santonocito, F. Pappalardo, R. Ruffino, N. Cardullo, M. Petroselli; Investigation: L. Mancuso, F. Pappalardo, R. Santonocito, V. Oliveri, R. Ruffino, N. Cardullo, G. Sfuncia, A. Pappalardo, M. Petroselli; Data Curation: A. Gulino, V. Oliveri, G. Li Destri, V. Muccilli, G. Nicotra, V. Zaccaria, M. Petroselli; Writing - Original Draft: R. Puglisi, G. Trusso Sfrazzetto; Supervision: R. Puglisi, G. Trusso Sfrazzetto.

## Conflicts of interest

There are no conflicts to declare.

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### Data availability statements

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Compounds and original data are available after request to the authors.

