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# Mechanistic insights into $Ag^+$ induced size-growth from $[Au_6(DPPP)_4]^{2+}$ to $[Au_7(DPPP)_4]^{2+}$ clusters†

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The size conversion of atomically precise metal nanoclusters lays the foundation to elucidate the inherent structure-activity correlations on the nanometer scale. Herein, the mechanism of the Ag<sup>+</sup>-induced size growth from  $[Au_6(dppp)_4]^{2+}$  to  $[Au_7(dppp)_4]^{3+}$  (dppp is short for 1,3-bis(diphenylphosphino)propane) is studied via density functional theory (DFT) calculations. In the absence of extra Au sources, the one "Au"" addition was found to be regulated by the Aq<sup>+</sup> doping induced Au-activation, i.e., the formation of formal Au(ı) blocks via the Ag<sup>+</sup> alloying processes. The Au(ı) blocks could be extruded from the core structure in the formed Au-Ag alloy clusters, triggering a facile Au<sup>+</sup> migration to the Au<sub>6</sub> precursor to form the Au<sub>7</sub> product. This study sheds light on the structural and stability changes of gold nanoclusters upon the addition of Ag<sup>+</sup> and will hopefully benefit the development of more metal ion-induced sizeconversion of metal nanoclusters.

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## Introduction

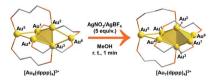
The stimuli response upon exposure to extra metal ions represents an attractive characteristic of metal nanoclusters. 1-8 The structural response may be reflected in the complexation of the metal ion(s) with the surface ligands, 9-11 the doping/exchange/ addition of the metal ion(s) into the metallic framework of the cluster, 12-15 the slightly changed structure with a different charge state16 or the re-arrangement of the entire cluster structure. 17-20 Moreover, enhanced physicochemical properties (such as thermostability) and novel applications<sup>21-23</sup> could be achieved due to the synergistic effect.

Associated with the experimental outcomes, the atomic precision, spectroscopic characterization, and theoretical simulations have greatly improved our mechanistic understanding on these reactions. For example, the  $[M^{+}]$  – Cl (M =Ag/Cu) interactions of the M<sup>+</sup> with the vertex AuCl moiety of the rod-shaped Au<sub>24</sub>(SR)<sub>5</sub>(PR<sub>3</sub>)<sub>10</sub>Cl<sub>2</sub> were found to be a dominating effect to initiate the single atom addition, and thus, the formation of the rod-shaped Au<sub>24</sub>M(SR)<sub>5</sub>(PR<sub>3</sub>)<sub>10</sub>Cl<sub>2</sub> clusters.<sup>24</sup> The Cs-S/O and cation- $\pi$  interactions are pivotal to the Cs<sup>+</sup> induced one-dimensional linear assembly of Ag<sub>29</sub>(SSR)<sub>12</sub>(-PPh<sub>3</sub>)<sub>4</sub>.<sup>25</sup> In addition, the anti-galvanic mechanism was

proposed to account for the cation-stimulated alloying.26-28 Nevertheless, the reported mechanistic study mainly focuses on the metal ion-induced alloying/assembly, while the mechanistic details on the ion "catalyzed" size conversion of nanoclusters  $(Ag^{+} induced [Au_{6}(dppp)_{4}]^{2+} to [Au_{7}(dppp)_{4}]^{3+} and Cu^{2+} induced$  $Au_{25}(PET)_{18} \rightarrow Au_{44}(PET)_{18})^{18,23}$  have been largely unknown.

Intrigued by the fantastic foreign metal effect, 29-31 we sought to perform a detailed mechanistic study on the Ag+ induced reaction of  $[Au_6(dppp)_4]^{2+} \rightarrow [Au_7(dppp)_4]^{3+}$  with density functional theory (DFT) calculations. According to Konishi's experiments,  ${}^{18}$  [Au<sub>6</sub>(dppp)<sub>4</sub>]<sup>2+</sup> transformed into [Au<sub>7</sub>(dppp)<sub>4</sub>]<sup>3+</sup> within one minute after 5 molars equivalent AgBF4 was added. According to single-crystal structure analysis,  $[Au_6(dppp)_4]^{2+}$  comprises a bi-capped tetrahedral skeleton. The tetrahedral Au atoms (Au<sup>1-</sup> 4) are each protected by one P hand of the dppp ligand, while the two-terminal Au atoms (Au<sup>5,6</sup>) are each protected by two P hands from two dppp ligands. The framework of [Au<sub>7</sub>(dppp)<sub>4</sub>]<sup>3+</sup> is similar to that of  $[Au_6(dppp)_4]^{2+}$ , except for the addition of one formal Au<sup>+</sup>, and the re-arrangement of one dppp ligand (one P coordination on the Au<sup>6</sup> atom migrates to coordinate to Au<sup>7</sup>).

According to the DFT calculation results, the addition of Ag on clusters favorably generates the alloy structure with higher



Scheme 1 The Ag<sup>+</sup> induced size-conversion of [Au<sub>6</sub>(dppp)<sub>4</sub>]<sup>2+</sup> to  $[Au_7(dppp)_4]^{3+}$ . The phenyl groups on the P atoms of dppp and the counter anion were omitted in both clusters. Color legends: Au, gold; P, orange; C, gray; H, omitted.

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nucleation (*i.e.* with more tetrahedral and triangular blocks in the core structure). The incorporated Ag<sup>+</sup> induced activation of the exterior Au atoms in the metal framework, resulting in an easy structural tautomerization therein. This proposal correlates with the heavily doping-induced size-rearrangement of metal nanoclusters in recent studies<sup>27,32</sup> and will be hopefully applicable to other alloying systems (Scheme 1).<sup>18</sup>

## Results and discussion

Throughout this study, the experimentally used dppp ligands (i.e. bis(diphenylphosphino)propane) were simplified with dmpp (i.e. bis(dimethylphosphino)propane ligands) to reduce computational costs. Similar structural simplification has also been used in recent studies.<sup>33,34</sup>

#### The doping of Ag<sup>+</sup> in Au<sub>6</sub>P<sub>8</sub> clusters

The formula of  $Au_6P_8$  is differentiated from  $Au_7P_8$  by only one  $Au^+$ , which is unlikely to be released directly from the  $Au_6P_8$  precursor. To this end, the dissociation of  $Au^+$  is anticipated to be induced by the  $Ag^+$  doping of  $Au_6P_8$ . Given the high activity of the mono-nuclear, cationic  $Au^+$  species, the generation of  $Au^+$  from the gold cluster precursors is designated as the activation of the Au atom. Of note, the  $Ag^+$  alloying-induced activation of the "Au" site(s) correlates with the general concept of antigalvanic reduction.  $^{26}$  Using  $Ag^+$  as a dopant, we considered the possible configurations and energy demands for successive doping procedures.

Considering the structural symmetry and the Fukui function analysis  $(f^-$ , Fig. 1)<sup>35</sup> of the  ${\bf Au_6P_8}$  precursor, the terminal  ${\bf Au}^{5/6}$  atom,  ${\bf Au}^{1/4}$ - ${\bf Au}^5$  bond, and  ${\bf Au}^{2/3}$ - ${\bf Au}^6$  bond are the most labile sites for the electrophilic attack of the first  ${\bf Ag}^+$ . In this study, the isodesmic reaction of  ${\bf NC}^{n+}$  +  ${\bf AgBF}_4$   $\rightarrow$  [ ${\bf NCAg]}^{(n+1)+}$  +  ${\bf BF}_4$  ] ( ${\bf NC}$  denotes the reaction precursor for the  ${\bf Ag}^+$  addition steps) was used to determine the reaction energy of the  ${\bf Ag}^+$  addition steps. As shown in Fig. 1, the addition of the first  ${\bf Ag}^+$  could possibly generate  ${\bf Au_6AgP_8}$ -1 and  ${\bf Au_6AgP_8}$ -2, and the suffix of -1/-2

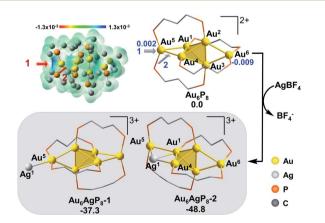


Fig. 1 The isosurface of the  $f^-$  for  $Au_6P_8$ , using the width of Gaussian function of 0.01 au, and the energy (in kcal mol<sup>-1</sup>) and structural change for the doping of first  $Ag^+$  into  $Au_6P_8$ . The Hirshfeld charge of  $Au^{5/6}$  in the starting structure is given in blue and bold.

denotes the isomeric products *via* adding Ag<sup>+</sup> on different sites. Both two doping processes are thermodynamically highly feasible. Nevertheless, adding an exterior Ag<sup>+</sup> makes little perturbation on the Au<sup>6</sup> skeleton in the former case, but results in a stretched core structure in the latter case (Fig. 1 and S1†). From Fig. 1, the Au<sup>4</sup>–Au<sup>5</sup> bond insertion is significantly more feasible than the Au<sup>5</sup>-binding mode (–48.8 *vs.* –37.3 kcal mol<sup>-1</sup>). Alternatively, Ag<sup>+</sup> is more likely to be reduced by Au<sub>6</sub>; that is, Ag<sup>+</sup> is incorporated into the core sites to form a highly coordinated structure (the coordination number<sup>36</sup> of Ag<sup>1</sup> in Au<sub>6</sub>AgP<sub>8</sub>-1 and Au<sub>6</sub>AgP<sub>8</sub>-2 is 1 and 3; please see ESI† for more details), and thus Au<sub>6</sub>AgP<sub>8</sub>-2 is preferentially formed.

According to the Hirshfeld charge analysis, the charge state of the terminal  $Au^{5/6}$  in  $Au_6P_8$  (0.002/-0.009, Table S1†) is slightly higher than that of the tetrahedral Au (avg. -0.030). By contrast, the charge of Au<sup>5</sup> in Au<sub>6</sub>AgP<sub>8</sub>-1 and Au<sub>6</sub>AgP<sub>8</sub>-2 (0.055 and 0.057) is significantly more positive than that of tetrahedral Au atoms (avg. -0.012) and becomes closer to the charge of Au in the Au<sub>2</sub>(dppp)<sub>2</sub>Cl<sub>2</sub><sup>37</sup> complex (0.082). The results unambiguously demonstrate the improved positive charge of the Au<sup>5</sup> atom after Ag<sup>+</sup> incorporation, and thus the higher reactivity for bond dissociation (due to the increased electrostatic repulsion between the cationic metal centers). In other words, the incorporation of Ag<sup>+</sup> activates the core Au atoms, particularly in the corner sites.38 Of note, compared to the aforementioned Ag+addition processes, the metal exchange of Ag with Au in  $Au_6P_8$  is thermodynamically much more disfavored (Scheme S1†). Therefore, the metal exchange pathways were not examined in the following section.

According to the Fukui function analysis, the most nucleophilic sites of  $Au_6AgP_8$ -2 are located in the region nearby  $Au^5$  and  $Au^6$  atoms (Fig. 2). Accordingly, we examined four possible binding modes of the second  $Ag^+$ .

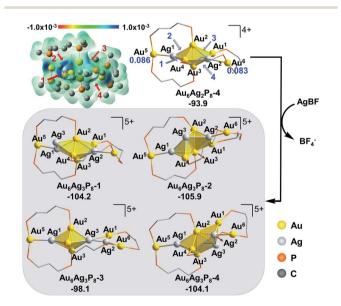


Fig. 2 The isosurface of the  $f^-$  for  $Au_6AgP_8-2$ , using the width of Gaussian function of 0.01 au and the energy (in kcal  $mol^{-1}$ ) and structural changes for the doping of second  $Ag^+$  into  $Au_6AgP_8-2$ . The Hirshfeld charge of  $Au^{5/6}$  in the starting structure is given in blue and bold.

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As shown in Fig. 2, the activation of the terminal  $Au^5$  could occur via the addition of  $Ag^+$  on the site 1 of  $Au^5$  or site 2 of attacking the  $Au^2$ – $Ag^1$  bond to form the isomeric  $Au_6Ag_2P_8$ -1 and  $Au_6Ag_2P_8$ -2, respectively. Similarly, the corner  $Au^6$  could be possibly activated via  $Ag^+$  insertion into the space behind (site 3) or in front (site 4) of the butterfly-like  $Au^{1/4}$ - $Au^2$ - $Au^3$ - $Au^6$  structure to form  $Au_6Ag_2P_8$ -3 or  $Au_6Ag_2P_8$ -4.

The results indicate that the binding of second Ag<sup>+</sup> (i.e. Ag<sup>2</sup>) directly on Au<sup>5</sup> makes little perturbation on the framework, correlating with the results of  $Au_6P_8 + AgBF_4 \rightarrow Au_6AgP_8-1 +$ BF<sub>4</sub> (Fig. 1). Nevertheless, the reaction energy is remarkably higher  $(-5.4 \text{ kcal mol}^{-1} \text{ in } \mathbf{Au_6AgP_8-2} + \mathbf{AgBF_4} \rightarrow \mathbf{Au_6Ag_2P_8-1} \text{ } \nu s.$  $-37.3 \text{ kcal mol}^{-1} \text{ in } \mathbf{Au_6P_8} + \mathbf{AgBF_4} \rightarrow \mathbf{Au_6AgP_8-1} + \mathbf{BF_4}^{-}$ , implying that the structure of the precursor is pivotal to the facilitation of alloying. Moreover, the attack of Ag<sup>2</sup> to Ag<sup>1</sup> resembles a synergistic bi-molecular electrophilic reaction mechanism as the Au<sup>1</sup> atom migrates from cis-to trans-configuration (refer to Au<sup>4</sup>) around the Au<sup>2</sup>-Au<sup>3</sup> bond spontaneously during the geometry optimization (see Fig. S2† for the details). The formation of Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-2 from Au<sub>6</sub>AgP<sub>8</sub>-2 is exothermic by 30.7 kcal mol<sup>-1</sup>. Besides, the approaching of Ag<sup>2</sup> to either Au<sup>1</sup>-Au<sup>2</sup>-Au<sup>3</sup>-Au<sup>6</sup> or Au<sup>4</sup>-Au<sup>2</sup>-Au<sup>3</sup>-Au<sup>6</sup> results in a core-structure reorganization, predominantly via incorporating Ag1 into the tetrahedral blocks and forming the face-sharing tri-tetrahedral structure. Due to the meta-orientation of the two Ag<sup>+</sup> and the symmetry of the tri-tetrahedral core structure, the relative energy and the M-P framework of the formed Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-3 are almost the same as those of Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-4. Both steps are highly exothermic (-44.8 and -45.1 kcal mol<sup>-1</sup>). Through the structural re-organization, the reduced coordination number of Au<sup>5</sup> and Au<sup>6</sup> (compared to the related one in the precursor) is overwhelmed by the extra stability associated with the formation of a larger metallic core structure. Therefore, the formation of Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-3/4 is favored over that of Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-1/2.

Comparing the optimized geometries of  $Au_6AgP_8-2$  and  $Au_6Ag_2P_8-3/4$ , we found that the bond distance between the  $Au^5/Au^6$  and the core metal atoms is significantly lengthened after incorporating the second  $Ag^+$ . Moreover, the charge on  $Au^5/Au^6$  atoms becomes more positive ( $Au_6AgP_8-2$ :  $0.057/0.035 \rightarrow Au_6Ag_2P_8-2$ : 0.086/0.083, Table S1†), and is comparable to that of the aforementioned Au(i) complex (0.082). The results indicate the formation of a formal Au(i) center on the cluster, and thus, the enhanced activity for the nucleophilic coordination and Au-Au dissociation (the charge of the other Au atoms also becomes more positive, Table S1†). Nevertheless, the alloying with  $Ag^+$  remains thermodynamically feasible, and therefore, we examined the energetics for further doping of  $Ag^+$  in the target system.

In view of the preferential core expansion *via* Ag<sup>+</sup> doping (over the Ag addition steps), we mainly examined the core-expansion pathways from Au<sub>6</sub>Ag<sub>2</sub>P<sub>8</sub>-4. As shown in Fig. 3, the third Ag-doping process leads to four possible Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub> isomers. The third Ag<sup>+</sup> (*i.e.* Ag<sup>3</sup>) could possibly attack site 1 of the Ag<sup>1</sup>-Au<sup>4</sup> bond, site 2 of the Au<sup>2</sup>-Ag<sup>1</sup> bond, site 3 of the Au<sup>2</sup>-Ag<sup>2</sup> bond, and site 4 of the Ag<sup>2</sup>-Au<sup>3</sup> bond, forming Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-1, Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-2, Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-3, and Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-4, respectively. The approaching of Ag<sup>3</sup> into the Ag<sup>1</sup>-Au<sup>4</sup> bond internalizes Ag<sup>3</sup> into

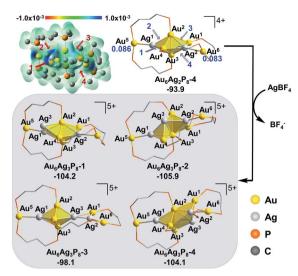


Fig. 3 The  $f^-$  isosurface of  $Au_6Ag_2P_8-4$ , using the width of Gaussian function of 0.01 au, and the energy (in kcal mol<sup>-1</sup>) and structure change after doping of the third  $Ag^+$  into  $Au_6Ag_2P_8-4$ . The Hirshfeld charge of  $Au^{5/6}$  in the starting structure is given in blue and bold.

the left tetrahedral Ag<sup>1</sup>Au<sup>2,3,4</sup> block, forming a square pyramid (Ag<sup>1,3</sup>Au<sup>2,3,4</sup>) therein. Moreover, the approaching of Ag<sup>3</sup> to the Au<sup>2</sup>-Ag<sup>1</sup> bond results in the enlargement of the Au<sup>2</sup>-Au<sup>3</sup>-Ag<sup>1</sup>/ Au<sup>4</sup> bond angle, and the incorporation of Ag<sup>3</sup> to form two vertexsharing tetrahedral blocks (see Fig. S3† for the partial optimization energy profile). The approaching of Ag<sup>3</sup> to the Au<sup>2</sup>-Ag<sup>2</sup> bond leads to the extrusion of the Au<sup>1</sup>-Au<sup>2</sup> bond from the metallic core structure, resulting in the formation of a facesharing bi-tetrahedral block with a vertex-sharing triangular Au<sup>1</sup>Ag<sup>2,3</sup> unit (Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-3). The attack of Ag<sup>3</sup> on the Ag<sup>2</sup>-Au<sup>3</sup> bond results in the formation of a distorted square-pyramid Au<sup>2,3</sup>Ag<sup>2,3,4</sup> block, and the cleavage of the Au<sup>1</sup>/Ag<sup>2</sup>–Au<sup>3</sup> bonds. In the formed Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-4, the new-formed square-pyramid shares one facet with the original left tetrahedron. According to the calculation results, the four types of Ag<sup>+</sup> insertion steps are exothermic by 10.3, 12.0, 4.2, and 10.2 kcal  $\text{mol}^{-1}$ , respectively.

The slightly higher energy of  $Au_6Ag_3P_8$ -3 than the other isomers is predominantly caused by the lower cohesive degree of the whole metal skeleton<sup>39</sup> (the average coordination number of the metal atoms is 3.4/3.4/3.1/3.3 for  $Au_6Ag_3P_8$ -1/2/3/4, see details in Table S2†).<sup>36</sup> In addition, the comparable energies of  $Au_6Ag_3P_8$ -1,  $Au_6Ag_3P_8$ -2, and  $Au_6Ag_3P_8$ -4 indicate the competitive  $Ag^+$  doping sites. For clarity reasons, the following analysis and discussions mainly refer to the most stable intermediate  $Au_6Ag_3P_8$ -2.

According to Hirshfeld charge analysis, the doping with the third  $Ag^+$  further enhances the electron deficiency of the  $Au^5/Au^6$  atoms (with the charge of 0.114/0.109, compared to the charge of 0.086/0.083 in  $Au_6Ag_2P_8$ -4, Table S1†). Moreover, the average charge of the  $Au_4Ag_n$  ( $Au_4$  denotes the tetrahedral  $Au_4$  block in the  $Au_6$  reactant, and n=1-4) blocks also significantly increases with the doping with the third  $Ag^+$  ( $Au_6P_8$ :  $-0.030 \rightarrow Au_6Ag_2P_8$ -2:  $-0.012 \rightarrow Au_6Ag_2P_8$ -4:  $0.019 \rightarrow Au_6Ag_3P_8$ -4: 0.070),

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resulting in critically increased electrostatic repulsion within the metal skeleton and the reduced stability. This is also the reason why the Ag+ doping becomes less feasible after each doping process (the first, second, and third Ag<sup>+</sup> doping steps are exothermic by -48.8, -45.1, and -12.0 kcal mol<sup>-1</sup>).

Starting from Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-2, the fourth Ag<sup>+</sup> (i.e. Ag<sup>4</sup>) could be capped on the  $\mathrm{Ag^{1,3}Au^4}$  facet as the maximum of  $f^-$  appears above the  $Ag^{1,3}Au^4$  facet, and then the four- $Ag^+$ -doped product Au<sub>6</sub>Ag<sub>4</sub>P<sub>8</sub>-1 was formed.

The incorporation of Ag4 also resembles a synergistic bimolecular electrophilic reaction mechanism, similar to the reaction of  $Au_6AgP_8-2 + AgBF_4 \rightarrow Au_6Ag_2P_8-2$ . When the  $Ag^4$ atom is capped on the bare Ag<sup>1,3</sup>Au<sup>4</sup> facet, the Au<sup>3</sup> atom leaves the back capping site (on the Ag<sup>1,3</sup>Au<sup>4</sup> facet) with the right part of the face-sharing bi-tetrahedron remaining. Distinct from the aforementioned exothermic doping processes in the 1-3 Ag<sup>+</sup> incorporation steps, adding the fourth Ag<sup>+</sup> via this pathway was endothermic by 3.8 kcal mol<sup>-1</sup> (Fig. 4). In other words, the incorporation of the fourth Ag<sup>+</sup> is thermodynamically disfavored. The reason is mainly ascribed to the significantly enhanced electrostatic repulsion among the metal atoms (the average Hirshfeld charge of the metal atoms in the Au<sub>4</sub>Ag<sub>n</sub> core is 0.112 for Au<sub>6</sub>Ag<sub>4</sub>P<sub>8</sub>-1). Throughout the Ag-doping pathway, Ag<sup>+</sup> favors the core site of Au<sub>6</sub>Ag<sub>n</sub> and leads to the oxidation of Au atoms (i.e. Au<sup>5,6</sup>). As shown in Scheme S2,† the contribution of  $Ag^+$  to the HOMO of  $Au_6Ag_n$  (n = 0, 1, 2, and 3) increased remarkably with the increased doping number of Ag<sup>+</sup> (Au<sub>6</sub>: 0.00%, Au<sub>6</sub>Ag: 21.74%, Au<sub>6</sub>Ag<sub>2</sub>: 41.27%, and Au<sub>6</sub>Ag<sub>3</sub>: 43.05%). In combination with the Fukui function analysis (Fig. 1-4), the Au sites adjacent to Ag atoms are the active sites for the electrophilic attack of the incoming-Ag<sup>+</sup>.

#### Size-growth by the dimerization of Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-2

According to the aforementioned results and discussion, the successive addition of Ag<sup>+</sup> on Au<sub>6</sub>P<sub>8</sub> favorably generates the alloy structure with a higher degree of nucleation (i.e. with more tetrahedral and triangular blocks in the core structure).40 Meanwhile, the most plausible doping number of Ag<sup>+</sup> is 3  $(Au_6P_8 + 3 \text{ AgBF}_4 \rightarrow Au_6Ag_3P_8-2 + 3 \text{ BF}_4^-)$ , wherein the metallic core has been largely activated due to the strong electrostatic repulsion therein (most Au atoms show Au(1) character, Table S1†). In this context, the terminal Au<sup>5</sup> and Au<sup>6</sup> atoms are the most labile sites for the subsequent reactions because of the relatively lower steric hindrance and the higher electron

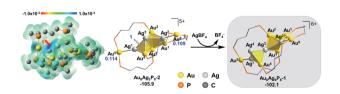


Fig. 4 The isosurface of the  $f^-$  for  $Au_6Aq_3P_8-2$ , using the width of Gaussian function of 0.01 au, and the energy (in kcal mol<sup>-1</sup>) and structure changes after doping of the fourth Ag<sup>+</sup> into Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-2. The Hirshfeld charge of Au<sup>5/6</sup> in the starting structure is given in blue and bold.

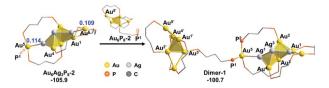


Fig. 5 The energy (in kcal mol<sup>-1</sup>) and structural changes after the reaction of  $Au_6P_8-2$  with  $Au_6Ag_3P_8-2$ . The labels  $Au^n$  and  $Au^{n'}$  denote the same Au sites from the two Au<sub>6</sub>P<sub>8</sub> reactants. The Hirshfeld charge of terminal Au is given in blue and bold.

deficiency (compared to all other metal sites). For this reason, the  $Au^5$  (or  $Au^6$ ) in  $Au_6Ag_3P_8-2$  is used as the  $[Au]^+$  source to react with the Au<sub>6</sub>P<sub>8</sub> cluster precursor to form Au<sub>7</sub>P<sub>8</sub>.

Of note, the direct approaching of Au<sub>6</sub>Ag<sub>3</sub>P<sub>8</sub>-2 to Au<sub>6</sub>P<sub>8</sub> is precluded due to the high inter-cluster steric hindrance (see Fig. S4;† note: the steric hindrance would be much higher for the experimental dppp protected system). In this context, a prior  $Au^5$ -P dissociation on  $Au_6P_8$  ( $Au_6P_8 \rightarrow Au_6P_8$ -2, see Fig. S5†) might occur first to release the steric hindrance.<sup>34</sup> After that, the nucleophilic attack of P<sup>1</sup> to Au<sup>5</sup> occurs with the formation of Dimer-1 (Fig. 5), and this step is slightly endothermic by 5.2 kcal mol $^{-1}$ . By contrast, the coordination of  $P^1$  to the Au<sup>6</sup> atom is thermodynamically slightly less disfavored (6.7 kcal mol<sup>-1</sup>, Scheme S3†); thus, its subsequent transformations were omitted for clarity reasons. The P-Au bonding makes no obvious influence on the framework of both cluster precursors. To this end, the endothermicity might be caused by the high steric hindrance around the over-protected Au<sup>5</sup> (coordination number: 4, with three P-coordination).

Given the high steric hindrance around Au<sup>5</sup>, the adjacent bare Ag<sup>1</sup> in **Dimer-1**, and the easiness for 1,2 P migration in cluster systems, 41-43 we examined the possibility of a 1,2-P<sup>2</sup> migration from Au<sup>5</sup> to Ag<sup>1</sup>. The kinetic analysis by partial optimization (via gradually shortening the P2-Ag1 bond distance; see Fig. S6† for details) demonstrates the gradual bond cleavage of Au5-Ag1 and Au3-Ag2 bonds. As a result, the triangular bi-pyramidal block of Au<sup>1,2,3</sup>Ag<sup>2,3</sup> (Fig. 6, inset) tautomerizes into a twisted square pyramid. The energy curve indicates a low energy barrier (12.4 kcal mol<sup>-1</sup>, Fig. S6†), which could be easily overcome under the experimental condition (i.e. room temperature).18 Meanwhile, due to the released steric

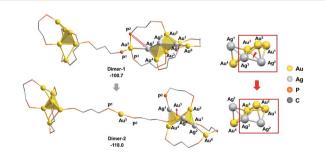


Fig. 6 The energy (in kcal mol<sup>-1</sup>) and structural changes after the P<sup>2</sup>migration of Dimer-1, and the details of the tautomerized core structure.

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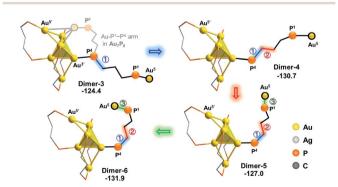
Fig. 7 The isomerization of the alloy core in Dimer-2. The relative energies are given in kcal mol<sup>-1</sup>. The methyl groups on the dmpp ligands and all H atoms are omitted for clarity reasons.

hindrance around the Au<sup>5</sup> atom, the concerned P<sup>2</sup>-migration from **Dimer-1** to **Dimer-2** is exothermic by 17.3 kcal mol<sup>-1</sup>.

As the square pyramid block has been found to be less stable than the triangular bipyramid block ( $Au_6Ag_3P_8-1$  vs.  $Au_6Ag_3P_8-2$ , Fig. 3), we further examined an isomerization of **Dimer-2** via changing the square pyramidal block into a triangular bipyramidal one (**Dimer-3** in Fig. 7).<sup>40</sup> This isomerization was found to occur easily via lengthening the  $Au^1-Au^3$  bond distance, with a barrier of 8.0 kcal  $mol^{-1}$  (Fig. S7†). The relative energy of **Dimer-3** is lower than that of **Dimer-2** by 6.4 kcal  $mol^{-1}$ , demonstrating the thermodynamic facility of this step.

From **Dimer-3**, the approaching of the  $Au^5$  atom to the  $Au_6$  block is requisite to forming the target  $Au_7$  structure, which could be regulated by a series of single bond rotation processes of the related dmpp ligand (**Dimer-3**  $\rightarrow$  **Dimer-6** in Scheme 2; see Fig. S8† for the details). Throughout the transformations, the structure of the alloy moiety is preserved, and the system energy is decreased by 7.5 kcal mol<sup>-1</sup>.

From **Dimer-6**, the target  $Au_7$  block of  $Au_7P_8$  could be formed *via* the binding of  $Au^5$  to the  $Au^{1',4',5'}$  facet of the  $Au_6$  block, and the dissociation of the  $Au^5-P^3$  bond (Fig. 8). According to the calculation results, the binding of  $Au^5$  is exothermic by 3.2 kcal  $mol^{-1}$  and occurs with a very low barrier of <5 kcal  $mol^{-1}$  (Fig. S9†). From **Dimer-7**, the  $Au^5-P^3$  cleavage



Scheme 2 Illustrative diagram for the structural tautomerization of the transformation of Dimer-3 to Dimer-6 (the relative energies are given in kcal mol<sup>-1</sup>). The alloy block away from Au<sup>5</sup> was omitted for clarity reasons.

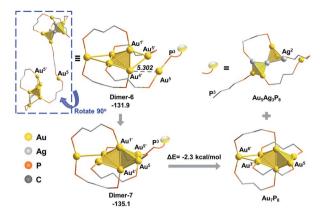


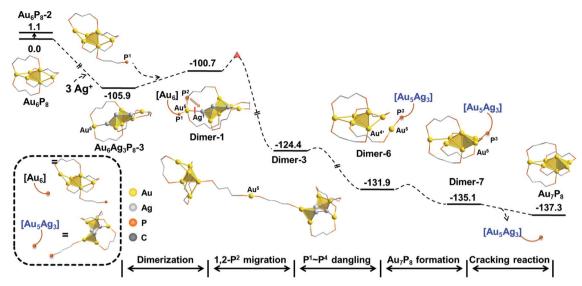
Fig. 8 The formation of the  $Au_7$  skeleton from Dimer-6 to Dimer-7 and the subsequent  $Au^5-P^3$  cleavage step. The relative energies are given in kcal mol<sup>-1</sup>.

occurs to generate  $Au_7P_8$  and a by-product  $Au_5Ag_3P_8$ . In contrast, the progress of moving an  $Ag^+$  from  $Au_6Ag_3P_8$ -3 to  $Au_6P_8$  to generate  $Au_6AgP_8$  is thermodynamically less feasible (-18.7 kcal mol<sup>-1</sup>, see exothermic by only 2.3 kcal mol<sup>-1</sup>. The total reaction energy of  $Au_6P_8 + Au_6Ag_3P_8$ -3  $\rightarrow Au_7P_8 + Au_5Ag_3P_8$  is -31.4 kcal mol<sup>-1</sup>. See Scheme S3† for more details), demonstrating that the alloy cluster after  $Ag^+$  doping is a good  $[Au]^+$  donor, but not a good  $[Ag]^+$  donor.

Due to the lack of experimental evidence and the complexity of the reaction system, we are not able to confirm the final state of Ag at this stage. However, in view of the bare  $Ag^2$  atom and the dangling  $P^3$  atom, we assumed that  $Au_5Ag_3P_8$  could possibly undergo the  $P^3$ –Ag $^2$  coordination to generate a more stable intermediate. The calculation results  $(Au_5Ag_3P_8 \rightarrow Au_5Ag_3P_8-2,$  Scheme S4 $^{\dagger}$ ) indicate this step to be highly feasible (exothermic by 26.8 kcal mol $^{-1}$ ). In particular, after the Au–P coordination, the  $Au_6$  atom was further extruded from the metallic core and could possibly act as the second "[Au] $^{\dagger}$ " source to regulate the second  $Au_6P_8 \rightarrow Au_7P_8$  conversion. The formed  $Au_4Ag_3P_8$  could undergo further Ag–P coordination (Scheme S4 $^{\dagger}$ ) or comprehensive nucleation/decomposition processes. Due to the complexity of the target reaction system, the details for the conversion of  $Au_5Ag_3P_8$  are still ambiguous and deserve more studies.

Experimentally,  $AgBF_4$  was not largely excessive, and thus, the transformation from  $Au_6P_8$  to  $Au_7P_8$  might deviate from a pseudo-first-order reaction. Hence, the reaction pathways using  $Au_6AgP_8$ -2 and  $Au_6Ag_2P_8$ -4 as  $[Au^+]$  sources were also examined. As shown in Scheme S5,† the  $Au^+$  migration from  $Au_6Ag^2P_8$ -2 or  $Au_6Ag_2P_8$ -3 to the  $Au_6$  skeleton was as feasible as that from  $Au_6Ag_3P_8$ -3 (energy demands are all lower than 15 kcal mol<sup>-1</sup>). According to the aforementioned results and discussion, the  $Ag^+$  induced size conversion from  $Au_6P_8$  to  $Au_7P_8$  could occur via a series of competitive pathways. For clarity, the most feasible pathway deduced in this study is shown in Scheme 3 (the relative energies are given with the reference states of  $Au_6P_8$  and  $AgBF_4$ ).

In this mechanism, the continuous doping of  $Au_6P_8$  clusters with  $Ag^+$  (dominant doping product:  $Au_6Ag_3P_8-2$ ) activates the terminal Au atoms and generates the  $[Au]^+$  source for



Scheme 3 The total energy profile of Ag<sup>+</sup> catalyzed size-growth of  $Au_6P_8$  to  $Au_7P_8$ . The relative energies are given in kcal mol<sup>-1</sup>.

subsequent size conversion. After that, Au–P coordination between the dangling phosphine ligand of the  $Au_6$  precursor and the formal  $[Au^+]$  occurs, followed by the intramolecular migration of  $[Au^+]$  through a series of isomerization steps (on the diphosphine ligand). Finally, the  $Au_7P_8$  product is formed via the Au–P dissociation and the release of the alloy cluster block. Albeit the comprehensive calculations, the experimental evidence for the proposed mechanism is still lacking, predominantly due to the rapid reaction rate and the lability of the formed  $[Au_7(dppp)_4](BF_4)_3$  to convert to  $[Au_8(dppp)_4Cl_2].^{33,34}$  Moreover, some other mechanistic possibilities, such as the formation of the target  $Au_7$  products via the reaction of one alloy intermediate with another one, could not be excluded.

## Computational details

The modeling clusters were constructed by simplifying the dppp ligands with dmpp ligands. Geometry optimization of all species was performed with Dmol3 software44 with the generalized gradient approximation45-47 Perdew-Burke-Ernzerhof functional.48-50 Effective core potentials and double numerical orbital basis group + orbital polarization function (DNP) base groups (Basic 4.4) were chosen with the values of  $2 \times 10^{-5}$  Ha for total energy, 0.004 Ha  $\mathring{A}^{-1}$  for force, 0.05  $\mathring{A}$  for displacement, and 1  $\times$ 10<sup>-5</sup> Ha for the self-consistent field (SCF) computation criterion. Following the recent studies,51-54 the constrained structural optimization strategy is used in evaluating the barrier of the elementary steps. Based on the optimized structures, the solutionphase single point energy calculations were conducted with the COSMO<sup>55,56</sup> model, using methanol as the solvent (corresponding to Konishi's experimental conditions<sup>18</sup>). Unless otherwise specified, the solution-phase binding energy (in kcal mol<sup>-1</sup>) was used to determine the energy changes of all steps. The Fukui function of the  $f^-$  evaluated using finite difference approximations and the orbital composition analysis of the metal skeleton was calculated with Multiwfn version 3.8.35,57,58

## Conclusions

Herein, a plausible mechanism for the Ag<sup>+</sup> induced conversion from  $[Au_6(dppp)_4]^{2+}$  to  $[Au_7(dppp)_4]^{3+}$  was proposed with DFT calculations. The size growth starts with the successive doping of Ag<sup>+</sup> (preferentially adopts high nucleation), associated with the gradual formation of formal Au(1) on terminal sites and the weakened metal-metal bonding interactions. In this context, the release of the terminal Au atom (i.e. the activated Au(1) atom) to the Au<sub>6</sub> precursor occurs easily to generate the Au<sub>7</sub> cluster product, while the alloy cluster could further function as a "Au(1)" donor to regulate another group of the  $Au_6P_8 \rightarrow Au_7P_8$  conversion. Due to the complexity of the target reaction system, the full details on the overall size growth remain to be established. Nevertheless, the mechanism insights, such as the preferential doping site of Ag<sup>+</sup> on the cluster precursor (on the most nucleophilic site, and favorably forms structures with a higher degree of nucleation), the activation of exterior Au atom(s) via the gradual incorporation of Ag<sup>+</sup> (i.e. formation of formal Au(1) and its subsequent dissociation, re-assembly, etc.), and the easy structure tautomerization in the metallic core and the diphosphine ligands, will be helpful for understanding the inherent correlation between different nanoclusters and their alloying reactivity in future studies.

#### Author contributions

H. Y. conceived and supervised the project. Y. L. carried out the experimental planning, simulations, and data analysis. X. W. and S. H. provided constructive suggestions.

## Conflicts of interest

There are no conflicts to declare.

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