



## **Modern materials provoke ancient behavior: Bacterial resistance to metal nanomaterials**





# **Environmental Significance Statement**:

As engineered nanomaterials become increasingly common in consumer and medical products, it is critical to proactively consider the potential long term environmental implications of their production, use, and disposal. Microbes are the foundation of healthy aquatic, terrestrial, and built environments, as well as being critical to human and animal health. However, these organisms have a famed ability to adapt and develop resistance to innumerable molecules and metals. Herein, a critical lens is applied to the current state of knowledge about engineered nanomaterials' impacts on bacterial resistance to antibiotic, the ability of bacteria to develop resistance to nanomaterials, and the challenges that lie ahead.

**Modern materials provoke ancient behavior: Bacterial resistance to metal nanomaterials** Stephanie L. Mitchell,<sup>a</sup> Natalie V. Hudson-Smith,<sup>a,b⊥</sup> Deepti Sharan,<sup>a,c⊥</sup> Christy L. Haynes,<sup>a</sup> Erin E. Carlsona,d,e,f\* † *<sup>a</sup>Department of Chemistry, University of Minnesota, 207 Pleasant Street SE, Minneapolis, Minnesota 55455, United States <sup>b</sup>Department of Chemistry, Stony Brook University, Stony Brook, New York 11794-3400, United States <sup>c</sup>Department of Microbiology, University of Chicago, Chicago, Illinois 60637, United States <sup>d</sup>Department of Medicinal Chemistry, University of Minnesota, 208 Harvard Street SE, Minneapolis, Minnesota 55454, United States <sup>e</sup>Department of Biochemistry, Molecular Biology, and Biophysics, University of Minnesota, 321 Church St SE, Minneapolis, Minnesota 55454, United States <sup>f</sup>Department of Pharmacology, University of Minnesota, 321 Church St SE, Minneapolis, Minnesota 55454, United States* <sup>⊥</sup>*Equal Contributions \*Corresponding Author*

†Email: [carlsone@umn.edu](mailto:carlsone@umn.edu)

**Abstract**: The use of engineered nanomaterials, defined as those smaller than 100 nm, in the health, energy, agricultural, and environmental sectors is expanding rapidly. As such, human and environmental exposure to these materials is increasing every day. For example, metal-based nanomaterials, such as nanosilver, have become ubiquitous in antibacterial applications ranging from socks and baby bottles to healthcare materials, such as oral fillings. Engineered nanomaterials are also used as antibacterial agents and adjuvants to improve antibiotic delivery or efficacy. However, even nanomaterials that were not designed to be antimicrobial can possess potent bactericidal activity. Alarmingly, there are clear connections between nanomaterial exposure, metal resistance, and antibiotic resistance and it is crucial that we dramatically improve our understanding of both the toxicity of these materials and their ability to permanently change the organisms that they encounter. Emerging research indicates that microbes are capable of adapting

to nanomaterial toxicity, often with the same generalizable mechanisms used to overcome antibiotic toxicity. In this perspective, we highlight existing knowledge about microbial response to engineered nanomaterials and the key outstanding questions that must be addressed.

## *Introduction*

Alexander Fleming's discovery of the antimicrobial properties of *Penicillium* mold and the subsequent "Age of Antibiotics" have revolutionized our ability to control and eliminate bacterial infections. While we have learned much about how to discover antibiotics from natural sources, it is only relatively recently that we have come to appreciate that bacteria can evade these treatments through their amazing ability to evolve or acquire new genetic information that encodes adaptation and resistance strategies.<sup>1</sup> Resistance is often due to alteration of the primary target of the antibiotic (e.g., mutation in penicillin-binding proteins to evade penicillin).<sup>2</sup> As such, it has long been postulated that treatment with agents that function through multiple mechanisms of action may elude resistance evolution and increase long-term antibiotic efficacy.3-5

One suggested answer to antibiotic resistance is a multi-mechanism arsenal such as engineered nanomaterials (ENMs).<sup>6-10</sup> ENMs have already been incorporated into nearly all sectors of modern technology and are the most common type of nanomaterial produced for commercial use.11, 12 Metal-based ENMs have been reported to kill bacteria by numerous mechanisms (**Figure 1**), which is no surprise considering that metal(loid)s have long been utilized as antimicrobial agents (e.g., silver and copper in water jugs to prevent fouling, arsenic and mercury to treat syphilis).<sup>13, 14</sup> Metal-based EMNs can affect cell envelope integrity through both physical and chemical disruption, including lipid destruction, membrane permeability changes, potential and fluidity alterations, adhesion to the cell surface, and/or cell wall depolarization.<sup>15-18</sup>

The surface ligands presented on ENMs can play a major role in these processes by initiating interactions with the cell.<sup>19</sup> ENMs can also act as a delivery mechanism for additional toxins or drugs through the dissolution to metal ions or release of surface ligands, which can be supplied to the bacteria at high local concentrations. Released metal ions are toxic in their own right, affecting homeostasis with essential metals or exhibiting affinity for biomolecules and displacing other cofactors. However, metal ions are not responsible for the entirety of ENM toxicity.<sup>20</sup>

ENM redox properties can cause an oxidative stress response, in addition to exogenous reactive oxygen species (ROS) generation by dissolution of the particles themselves (through Fenton, Fenton-like, Haber Weiss, or light-initiated processes).<sup>21-23</sup> ROS contributions to ENM toxicity are difficult to assess due to their apparent non-preferential targeting of biomolecules. In addition, oxidative damage is likely to disturb the function and expression of other systems, making it extremely difficult to map the initial targets of ENM action in comparison to the subsequent effects. For example, ENMs can cause oxidative damage to DNA, resulting in DNA adducts that can dramatically change gene expression.<sup>24</sup> Indeed, ENMs typically function through multiple mechanisms of action; however, a growing body of research has demonstrated that bacteria can still readily adapt and often rapidly evolve resistance through genome-level changes. Herein, we focus our discussion on adaptation and resistance to metal (oxide) ENMs.

#### *Bacterial Toxicity: Response to Metals*

Bacteria have an amazing ability to rapidly respond to their environment, whether it be changes in temperature, ion concentration, or antibiotic exposure. Indeed, bacteria are known for their ability to share genetic material, gene plasticity, and quick replication rates, which enable rapid adaptation. When exposed to antibiotics, resistance is typically evolved or acquired through

#### Page 5 of 24 Environmental Science: Nano

horizontal gene transfer that may result in an alteration of the antibiotic target or membrane permeability, mechanisms to inactivate the active molecule, and/or increased efflux of the toxicant from the cell.<sup>25</sup> While it is now commonly understood that bacteria can readily evolve and change in response to treatment with small molecule antibiotics, adaptation and resistance resulting from other toxicants is much less understood or appreciated. Of particular importance in the study of metal (oxide) ENMs is existing knowledge about the mechanisms of bacterial response to metal ions. Even outside of their application as nanomaterials, rare and precious metals are increasingly used in various technologies, are becoming more prevalent in waste streams, <sup>26</sup> and therefore may also impact metal regulation in microbes.

It is estimated that anywhere from a third to a half of all proteins require a metal ion for functionality, and metals like zinc, manganese, and iron are important for metabolic activity. While metals are essential in many key biological processes (e.g., respiration), when exposed to toxic levels of dissolved metals, most bacteria have mechanisms to readily respond. Metal ion levels are detected by systems of proteins and riboswitches that regulate metal uptake, storage, or efflux.<sup>27</sup> The first line of defense against metal toxicity is cytosolic components such as metal-binding proteins and small molecules like glutathione. If metal stress becomes too high, downregulation of import machinery, expression of additional metal sequestration proteins (e.g., ferritin, metallothionein, heme-containing proteins), and increased metal efflux (e.g., resistancenodulation-division, p-type ATPase efflux, cation diffusion transporters) mitigate metal toxicity. Specialized microbe classes (e.g., dissimilatory metal-reducing bacteria, sulfate-reducing bacteria) can use a variety of terminal electron acceptors or specialized systems to alter metal oxidation state and solubility, decreasing their bioavailability and toxicity (e.g., merA reduces mercury to volatile Hg<sup>0</sup>). Bacteria also use biofilms and extracellular polymeric substances as external protection from

 

> toxic metal concentrations. If these defense methods are overwhelmed, metal intoxication is particularly damaging to proteins. Metals have high affinity for thiol-containing biomolecules, can disrupt heme-dependent enzymes, and may cause mismetallation of proteins  $[e.g., Mn(II)]$ replacing Fe(II), Ni displacing  $Zn(II)$ ), which may inactivate or denature enzymes].<sup>28</sup> High metal concentrations may even affect microbial metabolism and viability before entering the cell by disrupting the electron transport chain. Due to the numerous negative impacts on bacteria that metals can have, it is not surprising that resistance to toxic metals is likely as ancient as antibiotic resistance.29, 30

> Importantly, there are many examples of co- and cross-resistance between metals and antibiotics in both clinical and environmental settings.31-34 Co-resistance occurs when antibiotic and metal resistance genes are located on the same mobile genetic element (i.e., plasmid, transposon, integron).35-42 When the cell experiences either antibiotic or metal selection pressure, this genetic material is passed on via horizontal gene transfer, giving the recipient organism the required machinery to cope with both stressors. Cross-resistance occurs when the same machinery enables the bacteria to cope with two different stressors, such as multidrug efflux pumps.<sup>32, 43</sup>

## *Resistance to ENMs*

### *Challenges*

Until recently, ENM resistance evolution investigations were a rarity perhaps due to the belief that ENM resistance was not possible. The vast majority of studies performed to date have been *acute* bacterial exposures to ENMs, often at very high concentrations. While it is challenging to identify relevant EMN doses, especially in environmental settings where concentrations may vary depending on location (*e.g.* proximity to a manufacturing site) and the biotransformations

#### Page 7 of 24 Environmental Science: Nano

that ENMs may undergo, evidence suggests that concentrations >mg/L range are beyond relevance.44-46 Understanding the extent of ENM toxicity by measurement of cell death and the minimum inhibitory concentration (MIC) of these materials is useful. However, it provides little mechanistic information, does not afford the opportunity to evaluate the potential for resistance evolution, and is not an accurate representation of real-world exposure. Mba and Nweze (2021) have generated a helpful table that lists key findings from a variety of recent microbial-nanoparticle studies.<sup>47</sup>

Mortimer *et al* (2021) conducted a valuable analysis on the application of -omics techniques to elucidate the mechanisms of action of ENM toxicity and included a comparison of the pathways dysregulated by lethal and sub-lethal concentrations of ENMs.<sup>48</sup> This analysis revealed that lethal doses of ENMs primarily trigger oxidative stress pathways in addition to major pathways in energy, carbohydrate, amino acid metabolism, translation, and membrane transport. Although there are *more* dysregulated genes at higher ENM concentrations, there are fewer pathways affected. Treatment with sub-inhibitory ENM concentrations showed *additional* affected pathways including dysregulation of Fe-S clusters, lipid metabolism, replication, cell motility, and community functions (i.e., quorum sensing and biofilm formation). Thus, reducing the concentration of ENMs to enable bacteria to mount a more targeted adaptation response could clarify the numerous, interconnected biomolecular targets of ENMs.

In addition to dosage, *chronic* exposures, which inherently require the use of sub-lethal ENM levels, are essential to fully understand the ability of bacteria to adapt and evolve.<sup>49-59</sup> While these experiments are conceptually straightforward, design of investigations that provide information about the pathways that are specifically affected by a given ENM is non-trivial. For example, results are often confounded by ENM dissolution as the generation of metal ions can

affect bacteria and spur the evolution of resistance (see above).<sup>27, 31</sup> In addition, most ENMs are likely to trigger general stress responses, such as the SOS pathway, masking nanomaterial-specific bacterial response. Thus, temporal evaluation of organismal response is essential to the discovery of other affected pathways, as is the identification of genetic mutations in resistant populations.

These challenges are further exacerbated by the range of possible variations in ENM properties that could be considered: composition (both of core materials and ligands), shape, size (surface-area-to-volume ratio), and surface charge state, as well as consistency of these properties within a given batch of ENMs.<sup>60</sup> Division of ENMs into more distinct classes or investigation of the role of specific properties (size, charge, shape) is likely the best way to gain mechanistic understanding of ENM toxicity.<sup>61</sup> While property-tunable ENMs would be ideal, it can be difficult or even impossible to change only a single property while holding all others constant as can readily be accomplished with a small molecule scaffold (e.g., changes in ENM shape alter surface area and thus, metal ion dissolution).<sup>62</sup> Finally, the environment of the microbial exposure can have drastic effects on the outcome, such as the presence of light, oxygen concentration, media content, and the presence or absence of other biomolecules or organisms. These complications are compounded by inherent differences in the susceptibility of each bacterial species.<sup>63</sup>

Trends in nanomaterial toxicity to microbes generally correlate with surface area as metal dissolution and oxidative stress are primary contributors in aqueous environments.<sup>64</sup> For example, we assessed three morphologies of lithiated nickel manganese cobalt oxide (NMC), a battery cathode nanomaterial, hypothesizing that the different crystal phases may alter dissolution due to the varied levels of transition metal coordination. This investigation demonstrated that NMC toxicity to the bacterium *Shewanella oneidensis* was governed by surface areas across all morphologies and particles sizes, not crystal face.<sup>62</sup> Tuning the stoichiometry of the transition

metal composition in the NMC nanomaterials was also found to mitigate toxicity. The functionality of these materials for energy storage has not been experimentally evaluated but has been the subject of computational studies.<sup>65, 66</sup> Crystal structures, shapes, and coatings of nanomaterials all affect material dissolution and reactivity in their own right and also affect the degree of aggregation and proclivity to acquire a corona. Furthermore, positively charged ions and materials are generally thought to be more toxic to microbes, perhaps because they are attracted to the negatively charged cell envelope.<sup>67</sup> For further detail the reader is directed to Yougbaré *et al* (2021)<sup>68</sup> and Vimbela *et al* (2017).<sup>69</sup>

## *Emerging Evidence*

There is now substantial evidence of bacterial adaptation to metal (oxide) ENMs, especially those used as antibacterial agents, such as silver nanoparticles  $(AgNPs)$ .<sup>70-73</sup> These investigations show that bacteria adapt to the toxicity of ENMs by reducing the bioavailability of the particle (e.g., producing molecules that promote settling of the ENM suspension more quickly), increasing efflux, and enhancing the activity of biomolecular repair mechanisms (**Figure 2**).55, 74 In addition, bacteria can modulate their envelope charge state by altering the amino acid composition on their surface, thereby minimizing interactions with ENMs.<sup>75</sup> ENM exposures also result in the production of ROS and activation of the SOS response.<sup>76</sup> Unchecked ROS can cause DNA damage, as well as activate DNA repair mechanisms that can result in mutation and fitness advantages in the face of selection pressure exerted by ENM toxicity.<sup>39</sup>

Microbes can adapt through tolerance, persistence, or resistance mechanisms. These terms have often been used synonymously in the nanotoxicology field, but should be more carefully delineated as they represent different biological states. An Opinion article by Brauner and colleagues (2016) beautifully discusses the differences between these definitions, as well as

outlines how one might distinguish between the three survival mechanisms.<sup>77</sup> Briefly, bacterial adaptation yields either tolerant or persistent cells. Tolerance indicates that the organism can temporarily withstand lethal concentrations of an antimicrobial agent (above the MIC), but they do not harbor permanent genetic changes and instead transiently alter their biological processes. Persister cells are a *subpopulation* of bacteria (~1% of the original population) that survive lethal exposure to an antimicrobial agent. If persister cells are subcultured, they generate a heterogenous population that will again be culled to  $\sim$ 1% if re-exposed. Persistence is not heritable. Finally, resistant bacteria are those that actively replicate in the presence of lethal concentrations of the antimicrobial agent due to a heritable, genetic change. These mutations are commonly found in genes that encode for the antimicrobial target (preventing binding) or regulator gene, which causes an observable increase of the MIC in the bacterial population. Although these definitions are important in the discussion of bacterial survival mechanisms, growing evidence suggests that antibiotic resistance can also emerge from the prolonged exposure of tolerant or persister populations to antibiotics, and likely by extension, metals and ENMs.78, 79 It has been suggested that during extended exposures, tolerant or persister cells can accumulate mutations that give rise to a resistant population. Indeed, all three types of bacterial adaptation–resistance, tolerance, and persistence–play important roles in microbial response and potentially to decreased susceptibility to antibiotics,77, 80 and likely, to many other environmental toxicants.

While most studies have focused on the characterization of cell adaptation mechanisms and/or ENM doses that result in death, there is increasing evidence that bacteria evolve resistance to metal (oxide) ENMs. For example, extended exposure of *Eschericia coli* and *Pseudomonas aeruginosa* to silver ENMs (for 25 successive cultivations) increased the MIC from 3.4 mg/L to >54 mg/L within 8 to 13 cultivation steps, which was not observed with bulk Ag. During

#### Page 11 of 24 **Environmental Science: Nano**

exposure to AgNPs, bacteria secreted the protein flagellin, which induced particle aggregation in the culture media, reducing their toxicity. However, this adaptation process did not result in genetic level changes.<sup>55</sup> In a separate study in which *E. coli* K12 was exposed to AgNPs for  $\sim$ 225 generations, mutations were reported in genes at 100 generations that were associated with copper efflux, nucleotide biosynthesis, and the RNA polymerase beta subunit.<sup>57</sup> Upregulation of efflux pumps for expulsion of other metals has also been observed (see above). Prolonged exposure of *E. coli* has also been highlighted in work focused on whether AgNPs accelerate genome-wide mutation rates.<sup>81</sup> Even after >1,000 division cycles in the presence of AgNPs, the authors noted no difference in the frequency of mutation compared to the passaged control. This was unexpected given that ROS typically activates mutational processes.<sup>39</sup> Finally, *S. aureus* has developed stable resistance to AgNPs and ionic silver over 30 to 50 days, which increased the MIC at least fourfold and resulted in two ENM-unique mutations for purine synthesis and cystine import.<sup>82</sup> Because AgNPs have already been extensively employed in consumer products and clinical settings, they are the subject of most studies aimed at understanding microbial resistance to nanomaterials. However, recent studies have revealed that other nanomaterials (*e.g.*, nano-alumina<sup>83</sup> and nanozinc oxide<sup>39</sup>) also increase mutagenesis and promote horizontal gene transfer.<sup>84, 85</sup>

We have shown that chronic exposure of *Shewanella oneidensis* MR-1 to NMC caused it to filament and enabled growth in concentrations over twenty times the wild-type MIC, even after a prolonged period of non-exposure, indicating a genome-level modification.<sup>86</sup> In a subsequent study, we found that the frequency of mutation within antibiotic resistance-conferring genes of *S. oneidensis* was substantially elevated from NMC exposure.<sup>23</sup> Increases in the mutation of resistance-associated genes has also been observed following chronic exposure to zinc oxide or aluminum oxide ENMs (see above).<sup>87</sup> Conversely, multiple studies have shown no resistance

evolution following prolonged ENM treatment, such as *E. coli* exposures to boron nitride, copper phosphide, and a variety of gold ENMs.<sup>72</sup> Clearly, we have much to learn about the ability of microbes to undergo genome-level changes upon exposure to various ENMs and the relationship of the resulting resistance mechanisms to known metal and antibiotic resistance pathways.<sup>88-91</sup>

## *Outstanding Challenges and Broader Implications*

What is needed now is an interdisciplinary effort to evaluate the effects of carefully-defined ENMs at the biochemical level. Until we better understand how the myriad of materials will enter the environment and alter microbial function, we are taking a huge gamble not only with the health of our environment, but ultimately, human lives.

Among the most critical questions and challenges to be addressed related to metal and metal oxide ENMs:

- What are the differences in microbial response to metals versus metal (oxide) ENMs?
	- o Should regulatory practices be guided by existing knowledge of metal toxicity or are additional considerations needed due to the unique properties of ENMs?
	- o How can ENMs be standardized or categorized given the enormous number of possible variables (e.g., size, shape, composition)?
- What are the broader environmental and medical implications of bacterial adaptation and resistance evolution to metal (oxide) ENMs?
	- o Can metal (oxide) ENMs be safely used as antibacterial agents or will this continue to accelerate bacterial resistance to both these materials and traditional antibiotics?



biochemical mechanisms will be distinct, based on how microbes interact with the elemental or molecular components of the ENMs or their transformation products.

Studies have conclusively demonstrated that bacteria readily evolve resistance to selected ENMs. It is well-established that high concentrations of metals, such as the pool of ions generated from ENM dissolution, can promote the rapid exchange of antibiotic-resistance genes (coresistance). Thus, we should have serious concerns that ENM exposure may also increase the mobility of genetic elements. In addition, cross-resistance mechanisms, in which a single gene can regulate or provide resistance to different toxicants,<sup>92-94</sup> are likely to play important roles in the relationship of ENM, metal, and antibiotic resistance. For example, the MdrL efflux pump

transports both heavy metals and antibiotics like erythromycin.<sup>95</sup> Finally, ROS generated during exposures has been identified to increase transformation and mutation frequency.<sup>23, 96</sup> Thus, the use of ENMs may promote the spread of antibiotic resistance in hospitals and contaminated environmental sites.<sup>97</sup>

ENMs are under consideration as emerging pollutants, so action must be taken to provide regulations and guidance for their appropriate disposal and recycling.98, 99 This is challenging as regulatory agencies face problems associated with variability in ENM syntheses and characterization and the lack of related suites of ENMs with carefully controlled differences,<sup>100, 101</sup> though there has been recent significant regulatory progress in this area with initiatives such as REACH in the European Union.<sup>102</sup> For these reasons, even meta-analysis and more intricate machine learning algorithms may be misleading.<sup>103</sup> As nanomaterials have diverse roles in various products, guidance for their use and safety would require a concerted effort across several regulatory agencies and could still lead to different regulations for the same material depending on application or analysis method. There is also variability in the perceived risk of the applied ENMs, so decisive action on ENMs has been slow and without clear recommendations.<sup>104</sup> While many of the original calls and concerns for large-scale and ENM-specific regulation have quieted, ENMinduced changes in bacterial resistance should remain an area of investigation and concern. As was the case with antibiotics, it is not trivial to determine an acceptable level of risk in comparison to the potential benefits of nanomaterials. For example, the application of nanoscale battery cathode materials used in electric vehicles would eliminate much of the need for petroleum products and reduce greenhouse gas emissions, but with still-developing regulation for application and recycling, a level of risk is assumed. Finally, human concern over the environmental impact of chemicals has largely been reserved for the endangerment of charismatic megafauna. The risk of ENMs to

bacterial species is less clear to the public and it will likely to be difficult to promote a better appreciation for the danger of altering environmental microbiomes, which can quickly upset the balance of nutrient availability or bacterial predation, as well as expedite the spread of antibacterial resistance genes.<sup>105</sup> Until the work is put in to truly *understand* the microbial response, we can only guess at the ramification of their continued, and likely increased, exposure to ENMs.

## **Acknowledgements**

This material is based upon work supported by the National Science Foundation under Grant No. CHE-2001611, the NSF Center for Sustainable Nanotechnology (CSN). The CSN is part of the Centers for Chemical Innovation Program. S. Mitchell acknowledges support through the University of Minnesota Doctoral Dissertation Fellowship. N. Hudson-Smith acknowledges support through the National Science Foundation Graduate Research Fellowship Program (00039202) and that research reported in this publication was supported by the National Institute of General Medical Sciences of the NIH under Award Number K12GM102778. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH.

## **Author Contributions**

E.E.C. and S.L.M. conceived of the idea for this manuscript. S.L.M., N.V.H-S., and D.S. provided the first draft and edits. E.E.C. and C.L.H. provided notable and valuable edits. All authors were involved in the final editing and approved the submitted manuscript.

# **References**

- 1. R. I. Aminov, A brief history of the antibiotic era: lessons learned and challenges for the future, *Front. Microbiol.*, 2010, **1**, 134.
- 2. E. M. Darby, E. Trampari, P. Siasat, M. Solsona Gaya, I. Alav, M. A. Webber and J. M. A. Blair, Molecular mechanisms of antibiotic resistsance revisited, *Nat. Rev. Micro.*, 2023, **21**, 280-295.
- 3. L. L. Silver, Challenges of antibacterial discovery, *Clin. Microbiol. Rev.*, 2011, **24**, 71– 109.
- 4. M. Baym, L. K. Stone and R. Kishony, Multidrug evolutionary strategies to reverse antibiotic resistance, *Science*, 2016, **351**, add3292.
- 5. E. Oldfield and X. Feng, Resistance-resistant antibiotics, *Trends Pharmacol. Sci.*, 2014, **35**, 664–674.
- 6. L. Wang, C. Hu and L. Shao, The antimicrobial activity of nanoparticles: present situation and prospects for the future, *Int. J. Nanomedicine*, 2017, **12**, 1227–1249.
- 7. A. Gupta, S. Mumtaz, C. H. Li, I. Hussain and V. M. Rotello, Combatting antibioticresistant bacteria using nanomaterials, *Chem. Soc. Rev.*, 2019, **48**, 415–427.
- 8. U. Shimanovich and A. Gedanken, Nanotechnology solutions to restore antibiotic activity, *J. Mater. Chem. B*, 2016, **4**, 824–833.
- 9. J. M. V. Makabenta, A. Nabawy, C. H. Li, S. Schmidt-Malan, R. Patel and V. M. Rotello, Nanomaterial-based therapeutics for antibiotic-resistant bacterial infections, *Nat. Rev. Microbiol.*, 2021, **19**, 23–36.
- 10. P. V. Baptista, M. P. McCusker, A. Carvalho, D. A. Ferreira, N. M. Mohan, M. Martins and A. R. Fernandes, Nano-Strategies to Fight Multidrug Resistant Bacteria-"A Battle of the Titans", *Front. Microbiol.*, 2018, **9**, 1441.
- 11. E. R. Bandala and M. Berli, Engineered nanomaterials (ENMs) and their role at the nexus of Food, Energy, and Water, *Mater. Sci. Energy Technol.*, 2019, **2**, 29–40.
- 12. A. B. Sengul and E. Asmatulu, Toxicity of metal and metal oxide nanoparticles: a review, *Environ. Chem. Lett.*, 2020, **18**, 1659–1683.
- 13. Y. N. Slavin, J. Asnis, U. O. Hafeli and H. Bach, Metal nanoparticles: understanding the mechanisms behind antibacterial activity, *J. Nanobiotechnology*, 2017, **15**, 65.
- 14. M. J. Hajipour, K. M. Fromm, A. A. Ashkarran, D. Jimenez de Aberasturi, I. R. de Larramendi, T. Rojo, V. Serpooshan, W. J. Parak and M. Mahmoudi, Antibacterial properties of nanoparticles, *Trends Biotechnol.*, 2012, **30**, 499–511.
- 15. C. Gunawan, M. B. Faiz, R. Mann, S. R. S. Ting, G. A. Sotiriou, C. P. Marquis and R. Amal, Nanosilver Targets the Bacterial Cell Envelope: The Link with Generation of Reactive Oxygen Radicals, *ACS Appl. Mater. Interfaces*, 2020, **12**, 5557–5568.
- 16. A. Erdem, D. Metzler, D. K. Cha and C. P. Huang, The short-term toxic effects of  $TiO<sub>2</sub>$ nanoparticles toward bacteria through viability, cellular respiration, and lipid peroxidation, *Environ. Sci. Pollut. Res. Int.*, 2015, **22**, 17917–17924.
- 17. A. Thill, O. Zeyons, O. Spalla, F. Chauvat, J. Rose, M. Auffan and A. M. Flank, Cytotoxicity of CeO2 Nanoparticles for *Escherichia coli*. Physico-chemical Insight of the Cytotoxicity Mechanisms, *Environ. Sci. Technol.*, 2006, **40**, 6151.
- 18. R. Vazquez-Munoz, A. Meza-Villezcas, P. G. J. Fournier, E. Soria-Castro, K. Juarez-Moreno, A. L. Gallego-Hernandez, N. Bogdanchikova, R. Vazquez-Duhalt and A. Huerta-
- 54 55 56
- 57
- 58 59
- 60



o, Enhancement of antibiotics antimicrobial activity due to the silver nanoparticles on the cell membrane, *PLoS One*, 2019, 14, e0224904.

- eng, I. L. Gunsolus, T. A. Qiu, K. R. Hurley, L. H. Nyberg, H. Frew, K. P. Johnson, Vartanian, L. M. Jacob, S. E. Lohse, M. D. Torelli, R. J. Hamers, C. J. Murphy and laynes, Impacts of gold nanoparticle charge and ligand type on surface binding and to Gram-negative and Gram-positive bacteria, *Chem. Sci.*, 2015, **6**, 5186–5196.
- tabryla, K. A. Johnston, J. E. Millstone and L. M. Gilbertson, Emerging investigator it's not all about the ion: support for particle-specific contributions to silver rticle antimicrobial activity, *Environ. Sci. Nano*, 2018, **5**, 2047–2068.
- 21. F. Barras and M. Fontecave, Cobalt stress in *Escherichia coli* and *Salmonella enterica*: ndexteeduary bases for toxicity and resistance, *Metallomics*, 2011, 3, 1130–1134.
- ng, L. Zhao, H. Ma, H. Zhang and L. H. Guo, Quantitative Analysis of Reactive n Species Photogenerated on Metal Oxide Nanoparticles and Their Bacteria y: The Role of Superoxide Radicals, *Environ. Sci. Technol.*, 2017, 51, 10137–
- ran, D. Wolfson, A. G. Gavin, C. Green, P. Lembke, R. J. Hamers, Z. V. Feng and arlson, Chronic exposure to complex metal oxide nanomaterials induces production active oxygen species in bacteria, *Environ. Sci.: Nano*, 2023, **DOI: 10.1039/d2en01144a**.
- 24. T. A. Qiu, V. Guidolin, K. N. L. Hoang, T. Pho, A. Carra, P. W. Villalta, J. He, X. Yao, R. ers, S. Balbo, Z. V. Feng and C. L. Haynes, Nanoscale battery cathode materials DNA damage in bacteria, *Chem. Sci.*, 2020, 11, 11244–11258.
- 25. E. Christaki, M. Marcou and A. Tofarides, Antimicrobial Resistance in Bacteria: nisms, Evolution, and Persistence, *J. Mol. Evol.*, 2020, 88, 26–40.
- 26. A. Charpentier Poncelet, C. Helbig, P. Loubet, A. Beylot, S. Muller, J. Villeneuve, B. , A. Thorenz, A. Tuma and G. Sonnemann, Losses and lifetimes of metals in the economy, *Nature Sus.*, 2022, **5**, 717-726.
- 27. P. Chandrangsu, C. Rensing and J. D. Helmann, Metal homeostasis and resistance in bacteria, *Nat. Rev. Microbiol.*, 2017, **15**, 338–350.
- 28. L. S. Waters, Bacterial manganese sensing and homeostasis, *Curr. Opin. Chem. Biol.*, 2020, **55**, 96–102.
- Staehlin, J. G. Gibbons, A. Rokas, T. V. O'Halloran and J. C. Slot, Evolution of a Metal Homeostasis/Resistance Island Reflects Increasing Copper Stress in bacteria, *Genome Biol. Evol.*, 2016, 8, 811–826.
- nkins and D. J. Stekel, De novo evolution of complex, global and hierarchical gene ory mechanisms, *J. Mol. Evol.*, 2010, **71**, 128–140.
- K. Asiani, S. Arya, C. Rensing, D. J. Stekel, D. G. J. Larsson and J. L. Hobman, Resistance and Its Association With Antibiotic Resistance, *Adv. Microb. Physiol.*, 2017, **70**, 261–313.
- 32. P. Vats, U. J. Kaur and P. Rishi, Heavy metal-induced selection and proliferation of tic resistance: A review, *J. Appl. Microbiol.*, 2021, 132, 4058-4076.
- hir Khaira, M. Bilal Yusuf and F. Khan, Insights to antimicrobial resistance: heavy metals can inhibit antibiotic resistance in bacteria isolated from wastewater, *Environ Monit Assess*, 2022, **194**, 252.
- 56

- 59
- 60
- 34. Y. Xu, L. Tan, Q. Li, X. Zheng and W. Liu, Sublethal concentrations of heavy metals Cu2+ and Zn2+ can induce the emergence of bacterial multidrug resistance, *Environ Tech Innov*, 2022, **27**, 102379.
	- 35. X. Li, A. Z. Gu, Y. Zhang, B. Xie, D. Li and J. Chen, Sub-lethal concentrations of heavy metals induce antibiotic resistance via mutagenesis, *J. Hazard. Mater.*, 2019, **369**, 9–16.
	- 36. H. Huang, Y. Chen, S. Yang and X. Zheng, CuO and ZnO nanoparticles drive the propagation of antibiotic resistance genes during sludge anaerobic digestion: possible role of stimulated signal transduction, *Environ. Sci. Nano*, 2019, **6**, 528–539.
	- 37. J. Lu, Y. Wang, M. Jin, Z. Yuan, P. Bond and J. Guo, Both silver ions and silver nanoparticles facilitate the horizontal transfer of plasmid-mediated antibiotic resistance genes, *Water Res.*, 2020, **169**, 115229.
	- 38. Y. Zhang, A. Z. Gu, T. Cen, X. Li, M. He, D. Li and J. Chen, Sub-inhibitory concentrations of heavy metals facilitate the horizontal transfer of plasmid-mediated antibiotic resistance genes in water environment, *Environ. Pollut.*, 2018, **237**, 74–82.
- 39. Y. Zhang, A. Z. Gu, S. Xie, X. Li, T. Cen, D. Li and J. Chen, Nano-metal oxides induce antimicrobial resistance via radical-mediated mutagenesis, *Environ. Int.*, 2018, **121**, 1162– 1171.
- 40. Q. L. Chen, D. Zhu, X. L. An, J. Ding, Y. G. Zhu and L. Cui, Does nano silver promote the selection of antibiotic resistance genes in soil and plant?, *Environ. Int.*, 2019, **128**, 399– 406.
- 41. C. Pal, J. Bengtsson-Palme, E. Kristiansson and D. G. Larsson, Co-occurrence of resistance genes to antibiotics, biocides and metals reveals novel insights into their co-selection potential, *BMC Genomics*, 2015, **16**, 964.
- 42. C. Baker-Austin, M. S. Wright, R. Stepanauskas and J. V. McArthur, Co-selection of antibiotic and metal resistance, *Trends Microbiol.*, 2006, **14**, 176–182.
- 43. J. L. Martinez, The role of natural environments in the evolution of resistance traits in pathogenic bacteria, *Proc. Biol. Sci.*, 2009, **276**, 2521-2530.
- 44. B. Giese, F. Klaessig, B. Park, R. Kaegi, M. Steinfeldt, H. Wigger, A. von Gleich and F. Gottschalk, Risks, Release and Concentrations of Engineered Nanomaterial in the Environment, *Sci. Rep.*, 2018, **8**, 1565.
- 45. J. Zhao, M. Lin, Z. Wang, X. Cao and B. Xing, Engineered nanomaterials in the environment: Are they safe?, *Crit. Rev. Environ. Sci. Technol.*, 2020, **51**, 1443–1478.
- 46. N. Musee, M. Thwala and N. Nota, The antibacterial effects of engineered nanomaterials: implications for wastewater treatment plants, *J. Environ. Monit.*, 2011, **13**, 1164–1183.
- 47. I. E. Mba and E. I. Nweze, Nanoparticles as therapeutic options for treating multidrugresistant bacteria: research progress, challenges, and prospects, *World J Microbiol Biotechnol*, 2021, **37**, 108.
- 48. M. Mortimer, Y. Wang and P. A. Holden, Molecular Mechanisms of Nanomaterial-Bacterial Interactions Revealed by Omics-The Role of Nanomaterial Effect Level, *Front. Bioeng. Biotechnol.*, 2021, **9**, 683520.
- 49. A. D. Ostrowski, T. Martin, J. Conti, I. Hurt and B. H. Harthorn, Nanotoxicology: characterizing the scientific literature, 2000-2007, *J. Nanopart. Res.*, 2009, **11**, 251–257.
- 50. Y. Yang, J. M. Mathieu, S. Chattopadhyay, J. T. Miller, T. Wu, T. Shibata, W. Guo and P. J. J. Alvarez, Defense Mechanisms of *Pseudomonas aeruginosa* PAO1 against Quantum Dots and Their Released Heavy Metals, *ACS Nano*, 2012, **6**, 6091–6098.
- 54 55 56

- 57
- 58 59
- 60

J. Collins, Sublethal antibiotic treatment leads to mutagenesis, *Mol. Cell*, 2010, 37, 311–320. S. Koskiniemi, O. G. Berg and D. I. Andersson,

Clement, Y. Zhang, C. Wang, C. L. Haynes and

oxidative stress properties, *ACS Nano*, 2008, 2,

 $1<sup>8</sup>$ 



oxide nanoparticle composition on toxicity toward *Shewanella oneidensis* MR-1: redesigning for reduced biological impact, *Environ. Sci.: Nano*, 2017, **4**, 636–646.

- 66. J. Bennett, D. Jones, X. Huang, R. J. Hamers and S. E. Mason, Dissolution of complex metal oxides from first-principles and thermodynamics: Cation removal from the (001) surface of Li(Ni1/3Mn1/3Co1/3)O2, *Environ. Sci. Technol.*, 2018, **52**, 5792-5802.
- 67. B. Pucelik, A. Sulek, M. Borkowski, A. Barzowska, M. Kobielusz and J. M. Dabrowski, Synthesis and Characterization of Size- and Charge-Tunable Silver Nanoparticles for Selective Anticancer and Antibacterial Treatment, *ACS Appl. Mater. Interfaces*, 2022, **14**, 14981–14996.
- 68. S. Yougbare, C. Mutalik, G. Okoro, I. H. Lin, D. I. Krisnawati, A. Jazidie, M. Nuh, C. C. Chang and T. R. Kuo, Emerging Trends in Nanomaterials for Antibacterial Applications, *Int. J. Nanomedicine*, 2021, **16**, 5831–5867.
- 69. G. V. Vimbela, S. M. Ngo, C. Fraze, L. Yang and D. A. Stout, Antibacterial properties and toxicity from metallic nanomaterials, *Int. J. Nanomedicine*, 2017, **12**, 3941–3965.
- 70. C. Zhang, R. Sun and T. Xia, Adaption/resistance to antimicrobial nanoparticles: Will it be a problem?, *Nano Today*, 2020, **34**, 100909.
- 71. C. Gunawan, C. P. Marquis, R. Amal, G. A. Sotiriou, S. A. Rice and E. J. Harry, Widespread and Indiscriminate Nanosilver Use: Genuine Potential for Microbial Resistance, *ACS Nano*, 2017, **11**, 3438–3445.
- 72. M. Xie, M. Gao, Y. Yun, M. Malmsten, R. V. M., R. Zboril, O. Akhavan, A. Kraskouski, J. Amalraj, X. Cai, J. Lu, H. Zheng and R. Li, Antibacterial nanomaterials: Mechanisms, impacts on antimicrobial resistance and design principles, *Angew. Chem. Int. Ed.*, 2023, **62**, e202217345.
- 73. Q. Zhang, H. Zhou, P. Jiang and X. Xiao, Metal-based nanomaterials as antimicrobial agents: A novel driveway to accelerate the aggrevation of antibiotic resistance, *J. Hazard Mater.*, 2023, **455**, 131658.
- 74. J. Guo, S. H. Gao, J. Lu, P. L. Bond, W. Verstraete and Z. Yuan, Copper Oxide Nanoparticles Induce Lysogenic Bacteriophage and Metal-Resistance Genes in *Pseudomonas aeruginosa* PAO1, *ACS Appl. Mater. Interfaces*, 2017, **9**, 22298–22307.
- 75. N. Nino-Martinez, M. F. Salas Orozco, G. A. Martinez-Castanon, F. Torres Mendez and F. Ruiz, Molecular Mechanisms of Bacterial Resistance to Metal and Metal Oxide Nanoparticles, *Int. J. Mol. Sci.*, 2019, **20**, 2808.
- 76. M. A. Kohanski, D. J. Dwyer, B. Hayete, C. A. Lawrence and J. J. Collins, A common mechanism of cellular death induced by bactericidal antibiotics, *Cell*, 2007, **130**, 797–810.
- 77. A. Brauner, O. Fridman, O. Gefen and N. Q. Balaban, Distinguishing between resistance, tolerance and persistence to antibiotic treatment, *Nat. Rev. Microbiol.*, 2016, **14**, 320–330.
- 78. E. M. Windels, B. Van den Bergh and J. Michiels, Bacteria under antibiotic attack: Different strategies for evolutionary adaptation, *PLoS Pathog.*, 2020, **16**, e1008431.
- 79. A. Ghosh, S. N and S. Saha, Survey of drug resistance associated gene mutations in *Mycobacterium tuberculosis*, ESKAPE and other bacterial species, *Sci. Rep.*, 2020, **10**, 8957.
- 80. E. M. Windels, J. E. Michiels, B. Van den Bergh, M. Fauvart and J. Michiels, Antibiotics: Combatting Tolerance To Stop Resistance, *mBio*, 2019, **13**, e02095–02019.
- 81. K. Wu, H. Li, X. Cui, R. Feng, W. Chen, Y. Jiang, C. Tang, Y. Wang, Y. Wang, X. Shen, Y. Liu, M. Lynch and H. Long, Mutagenesis and resistance development of bacteria challenged with silver nanoparticles, *Antimicrob Agents Chemother*, 2022, **66**, 1-17.

 $1<sup>9</sup>$ 

Behavior, fate,



- 97. Y. Zhang, A. Z. Gu, M. He, D. Li and J. Chen, Subinhibitory Concentrations of Disinfectants Promote the Horizontal Transfer of Multidrug Resistance Genes within and across Genera, *Environ. Sci. Technol.*, 2017, **51**, 570–580.
	- 98. C. J. Murphy, A. M. Vartanian, F. M. Geiger, R. J. Hamers, J. Pedersen, Q. Cui, C. L. Haynes, E. E. Carlson, R. Hernandez, R. D. Klaper, G. Orr and Z. Rosenzweig, Biological Responses to Engineered Nanomaterials: Needs for the Next Decade, *ACS Cent. Sci.*, 2015, , 117–123.
	- 99. M. F. Hochella, Jr., D. W. Mogk, J. Ranville, I. C. Allen, G. W. Luther, L. C. Marr, B. P. McGrail, M. Murayama, N. P. Qafoku, K. M. Rosso, N. Sahai, P. A. Schroeder, P. Vikesland, P. Westerhoff and Y. Yang, Natural, incidental, and engineered nanomaterials and their impacts on the Earth system, *Science*, 2019, **363**, 1414.
	- 100. M. Miernicki, T. Hofmann, I. Eisenberger, F. von der Kammer and A. Praetorius, Legal and practical challenges in classifying nanomaterials according to regulatory definitions, *Nat. Nanotechnol.*, 2019, **14**, 208–216.
- 101. N. Mitter and K. Hussey, Moving policy and regulation forward for nanotechnology applications in agriculture, *Nature Nanotechnology*, 2019, **14**, 508–510.
- 102. Overview of REACH information requirements and available methods, [https://euon.echa.europa.eu/reach-test-methods-for-nanomaterials,](https://euon.echa.europa.eu/reach-test-methods-for-nanomaterials) (accessed June 19, 2023, 2023).
- 103. D. A. Winkler, Role of Artificial Intelligence and Machine Learning in Nanosafety, *Small*, 2020, **16**, e2001883.
- 104. S. Larsson, M. Jansson and Å. Boholm, Expert stakeholders' perception of nanotechnology: risk, benefit, knowledge, and regulation, *J. Nanopart. Res.*, 2019, **21**, 57.
- 105. J. W. Metch, N. D. Burrows, C. J. Murphy, A. Pruden and P. J. Vikesland, Metagenomic analysis of microbial communities yields insight into impacts of nanoparticle design, *Nat. Nanotechnol.*, 2018, **13**, 253–259.
- 106. D. J. Dwyer, J. J. Collins and G. C. Walker, Unraveling the physiological complexities of antibiotic lethality, *Annu. Rev. Pharmacol. Toxicol.*, 2015, **55**, 313–332.
- 107. J. T. Jo, F. S. Brinkman and R. E. Hancock, Aminoglycoside efflux in *Pseudomonas aeruginosa*: involvement of novel outer membrane proteins, *Antimicrob. Agents Chemother.*, 2003, **47**, 1101–1111.
- 108. A. J. Park, J. R. Krieger and C. M. Khursigara, Survival proteomes: the emerging proteotype of antimicrobial resistance, *FEMS Microbiol Rev*, 2016, **40**, 323–342.
- 109. J. Tang, Y. Wu, S. Esquivel-Elizondo, S. J. Sorensen and B. E. Rittmann, How Microbial Aggregates Protect against Nanoparticle Toxicity, *Trends Biotechnol.*, 2018, **36**, 1171– 1182.



**Figure 1**. **Mechanisms of metal (oxide) ENM toxicity**. Engineered nanomaterials have unique effects on microbes. Much of this toxicity is the result of the metal ions dissolved from the material.20 Metals can be bactericidal through mechanisms such as disruption of native metal cofactors in Fe-S clusters, metalloproteins, and the catalytic sites of enzymes.<sup>27</sup> Another main mechanism of nanomaterial toxicity is ROS generation, which causes a cascade of damage and stress.<sup>22</sup> ROS can damage a variety of macromolecules such as DNA and lipids, as well as oxidize sulfur-containing amino acids.<sup>106</sup> Figure generated in BioRender.



**Figure 2**. **Mechanisms of bacterial adaptation and resistance to ENMs**. Known nanomaterial resistance mechanisms are largely connected to metal resistance, such as efflux pump expression (e.g., RND protein family, heavy metal efflux family, ATP binding cassettes), which can reduce the toxic load of metals within an organism.<sup>40, 74, 107</sup> The damage and toxicity caused by

nanomaterials and subsequent ROS generation results in a cascade of expression and regulation changes (e.g., heat shock, envelope stress, general stress). Damage from ROS, whether intrinsically or extrinsically generated, can be repaired by reductases that reduce Cys and Met residues, SOS response, and mutS systems. Biofilm generation protects microbes by sequestering materials, preventing them from interacting with the microbes.108, 109 Morphology changes such as filamentation result in the sequesteration of damaged DNA to prevent transmission to progeny. Figure generated in BioRender.