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# A Bayesian approach providing design choices and chemical insight for solution-processed thermoelectric polymers

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The advent of machine learning approaches to materials design is poised to lead experimental validation by selecting a much smaller, more promising, and sometimes unexpected, set of new materials candidates. This work describes one such investigation to characterize novel, hypothetical, and promising polymer candidates based on their calculated molecular-scale electronic and chemical parameters. Subsequently, we optimize them for a chosen chemical property using a chemically informed Bayesian surrogate model. As a test case, over 7300 combinations of novel, hypothetical semiconducting diketopyrrolopyrrole-based (DPP) polymers and commonly used solvents, generated by density functional theory, were screened based on their free energies of solvation,  $G_{\text{solv}}$ , as a guide to selecting optimal processing conditions. From this synthetic data set, we trained a physics-informed Gaussian process model that linked molecular-scale electronic structure properties to  $G_{\text{solv}}$ , and then used Bayesian optimization (BO) to identify key descriptors for predicting optimal enthalpic, single-chain polymer solvation in the infinitely dilute limit. As a result, we predicted an “optimal” solvent dielectric constant value around 10 for the DPP-based polymer class. To validate this result, we showed that the predicted polymer design associated with the minimum  $\Delta G_{\text{solv}}$  value corresponded to the polymer with the highest experimentally measured conductivity in the literature. The importance of these observations is that our BO approach provided the chemical insight necessary to quickly screen solvents for potential DPP-based polymers based on the polymer repeat unit’s quadrupole moments and to identify preferred compatible solvents corresponding to a minimized  $\Delta G_{\text{solv}}$ . This study also highlights the effectiveness of our BO algorithm: The optimal polymer design was found using just 6% of the parameter space and in a very short execution time (on the order of minutes), a feat which cannot be duplicated experimentally. Finally, to show the extensibility of this machine learning approach, we repeated this exercise with a second class of semiconducting polymers in which the DPP base group is replaced by an indacenodithiophene (IDT) group. We again successfully validated our machine learning predictions of the most promising polymer designs against experimental results.

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## 1 Introduction

Organic semiconducting polymers constitute a large class of materials with a wide range of electronic applications. They can be used in field-effect transistors (FETs), organic solar cells, light-emitting diodes (LEDs) and thermoelectrics, among many others.<sup>1–4</sup> These materials have the advantages of being lightweight, solution-processable,<sup>5</sup> and exhibiting highly tunable band gaps.<sup>6,7</sup> The band gap is a consequence of the theoretically infinite chemical and configurational space

within which a polymer can be designed. Rational design within this space is an active area of research.<sup>8–10</sup> For example, the highest power conversion efficiency (PCE) of an organic solar cell is now 19.2%, a metric which has seen ~50% improvement over the past decade.<sup>11</sup>

Polymer design approaches that appear in the literature include functional group engineering,<sup>9,10</sup> single-atom substitution,<sup>12</sup> solvent engineering,<sup>4,13,14</sup> and doping.<sup>15–17</sup> More recently, machine learning (ML)-based approaches have accelerated the rate at which we can screen the otherwise daunting numbers of potential organic semiconductor candidates. This can be done *in silico* with tools like density functional theory (DFT), for example, to subsequently inform experimental design.<sup>18–22</sup> Indeed, Gao *et al.* identified AI-driven approaches as a fourth research paradigm and describe a molecular finger-

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print informed by topological descriptors.<sup>23</sup> Older large-scale databases like the Harvard Clean Energy Project<sup>24</sup> and the Cambridge Structural Database<sup>25</sup> serve as useful archives for a portion of the infinite chemical design space spanned by conjugated organic molecules. Despite efforts to tabulate the properties of millions of materials, there are many more candidate materials that remain undiscovered.

Semiconducting polymers can be constructed from numerous building blocks (*e.g.*, functional groups) linked together *via* a variety of accessible bond-forming reactions so that the building blocks become electronically conjugated, either randomly or in short, repetitive sequences. For example, a repetitive sequence can be an alternation of a donor and an acceptor building block. Yan *et al.* characterized the efficacy of a diketopyrrolopyrrole (DPP)-derivative building block in an n-doped organic semiconductor, employing a donor-acceptor motif.<sup>3</sup> In a similar vein, Ren *et al.* characterized a DPP-based thin-film transistor (TFT), also employing a donor-acceptor motif.<sup>9</sup> The repetitive sequence gives the resulting polymer its tailorable electronic properties: the chosen donor and acceptor units determine the polymer's highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO).

Mukhopadhyaya *et al.* also utilized a common DPP building block and varied the nature of the functional groups surrounding it to produce a set of p-doped thermoelectric polymers. They chose this DPP-containing class of polymers to optimize chemical stability, molecular ordering, and electronic energy levels for thermoelectric applications. They identified polymer-dopant combinations with high-performing conductivities ( $\sigma$ ) of up to 700 S cm<sup>-1</sup>.<sup>26,27</sup> Inspired by that approach, we take advantage of the known capability to link and conjugate building blocks to propose numerous permutations, with the confidence that synthetic methods to prepare most of the permutations would be available.

There is a well-known relationship between the behavior of a polymer in solution and the thin-film morphology of the resultant device.<sup>5,28–30</sup> Thus, it is important to quantify the complex relationship between the structure of a polymer and its solution (and ultimately, morphological) behavior. Identifying an “optimal” solvent for a class of polymers is a useful construct because it creates a high probability of successful processing from the start of work on that class. For the present case of the commonly used donor-acceptor polymer architecture, there is a characteristic subunit that determines a significant portion of the electronic properties of that polymer system (*i.e.* DPP *versus* IDT). Therefore, the addition of subunits peripheral to this characteristic subunit would only cause relatively small modulations of the electronic properties, so an “optimal” solvent would correspond to both this characteristic subunit while still maintaining the desired property objectives.

Dhabal *et al.* made a step toward this goal using a so-called “united-atom” (or coarse-grained) approach to describe polymer conformation upon desolvation.<sup>31</sup> But this relies on the fact that this simplified approximation is a good represen-

tation of the complex potential energy landscape that arises due to quantum mechanical interactions. Coarse-graining can also suffer from removing the *cis/trans*-ordering that defines the morphology, planarity, and hence charge transport that are a key target for this class of semiconducting polymers.<sup>32</sup>

This work uses a “building block” polymer design approach to computationally screen a combination of 324 hypothetical DPP-based donor-acceptor copolymers in the presence of 26 solvents. We generated density functional theory (DFT) data characterizing the molecular electronic properties of all 8424 combinations of possible copolymer-solvent pairs as our synthetic database. Then we used a BO algorithm, the Physical Analytics pipeLine (PAL) 2.0, that we have developed as a means to identify the optimal design of polymeric building blocks.<sup>33</sup> We can vary the combination of polymer building blocks in order to make a set of unique synthetic polymer candidates, each of which might lead to a minimized free energy of solvation, ( $\Delta G_{\text{solv}}$ ). We have found the PAL 2.0 code base to be successful in materials discovery scenarios for a range of applications.<sup>34</sup>

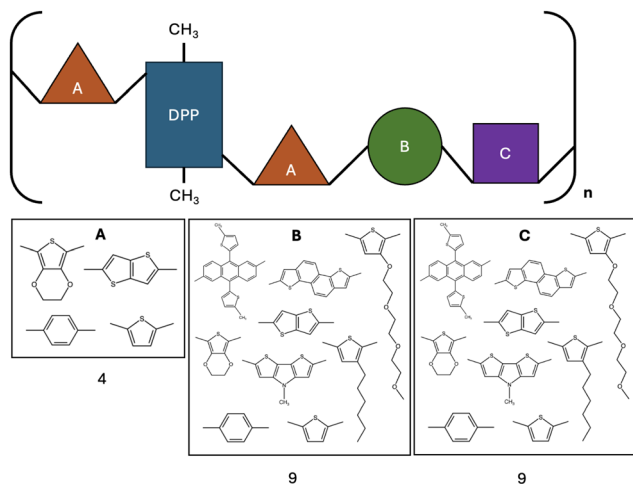
We discovered that one of the parameters, the repeat unit's quadrupole moment, was highly correlated to  $\Delta G_{\text{solv}}$ . This parameter, in turn, was retrospectively found to be associated with the highest conductivity composition found in the study by Mukhopadhyaya *et al.*, validating our machine learning predictions.<sup>27</sup> We tested the extensibility of our model to polymers with a different basic core group, here, based on the indacenodithiophene (IDT) unit, which is actively being studied for semiconducting applications.<sup>35,36</sup> Experimental observations on the solubility of indacenodithiophene-*co*-benzothiadiazole (IDT-BT) in various solvents with dielectric constants ranging from 2–80 allowed us to validate our findings that a solvation “minimum” exists for a given design at a resulting dielectric constant.

## 2 Methods

In this study, we defined a set of diketopyrrolopyrrole (DPP)-based p-type semiconducting polymers from commonly used functional group sub-units according to the backbone-ordering motif shown in Fig. 1.<sup>27,28</sup> The polymers were segmented into discrete sites, the second of which was always occupied by methyl-terminated DPP in all cases. The remaining four backbone sites (labeled A, B, and C) can be occupied by any of the functional groups shown in the boxes below the polymer motif in Fig. 1. The “A” site is situated on either side of DPP to account for synthesizability considerations, and the “A” functional groups are fewer than “B” or “C” so that the larger functional groups would not sterically interact with the DPP. The IUPAC names of the available functional groups and their associated abbreviations used throughout the text are shown in Fig. S1.

Four functional group candidate choices for the A sites and nine functional group choices for both B and C create a combinatorial space of 324 unique polymer candidates. Note that





**Fig. 1** Curated design space of the hypothetical DPP-based polymers studied in this work. The meaning of A, B, C is as given in the text. We used four choices of A, and nine each of B and C as denoted beneath the boxes. Note that  $n = 1$  in the simulations performed here, due to computational resource constraints.

any number of potential motifs can, in principle, be used to construct these hypothetical polymers; we chose to design our polymer using four different motifs arbitrarily. Each of the 324 possibilities we considered has different molecular electronic parameters, which we characterized by performing DFT calculations. For the DFT calculations, we elected to use the B97-D3 functional, as recommended by Goerigk *et al.* for main-group non-covalent interactions at the GGA level of theory with dispersion corrections. We combined this functional with the accurate def2-TZVP basis set that Oliveira *et al.* found to effectively balance accuracy and computational cost.<sup>37,38</sup>

A given polymer repeat unit constructed in this way is theoretically capable of being polymerized, processed, and cast into a thin-film device in conjunction with any number of potential solvents. But some solvents are known to solvate a polymer more effectively than others due to the complex relationship between the chemical properties of solute and solvent. It is this processing aspect of materials design that we wanted to explore. Due to the resources required for modeling the electronic structures of up to hundreds of atoms simultaneously, we represented each polymer as its repeat unit, *i.e.*,  $n = 1$  in Fig. 1. We thus calculated the solvation free energy change ( $\Delta G_{\text{solv}}$ ) for all 324 polymer repeat units immersed in 26 different implicitly modeled solvents using DFT. This yielded a final design space of 8424 possible polymer-solvent interactions. We acknowledge that it is a limitation of our work to have studied single-chain, infinitely-dilute, enthalpic solvation of these polymers. Moreover, due to the structural simplifications necessary for high-fidelity electronic structure modeling within a reasonable time and computational budget, our calculations do not include inter- or intra-chain interaction effects, entanglement molecular weight effects, free-volume contributions, or kinetic constraints to solvation, all of which affect the dissolution process, but at a much larger scale than is feasible

to model with DFT. We envision these effects could be the subject of future studies that utilize coarse-grained or classical molecular dynamics (MD) with a suitable interatomic potential. However, the focus of the present study and its general value is on the electrostatic contributions of functional groups within polymer repeat units, and which are the starting point of polymer design. The insight gained herein should therefore be used as a single tool in an arsenal of multi-scale tools for computational polymer design, which can save experimental time and cost with high-throughput screening *a priori*.

There are many different ways to represent a chemical structure in model space. Commonly used descriptor libraries include Dragon, Mordred, and RDKit.<sup>39–41</sup> However, the descriptors from these libraries are primarily topology-based: they only include the connectivity and some basic composition data. Pereira *et al.* found that including DFT-calculated orbital energy data *in addition* to connectivity descriptors improved their model's accuracy of predicting HOMO and LUMO energies.<sup>42</sup> Understanding the value of electronic structure data, we identified some features characterizing the design space that effectively encode expert chemical knowledge into the training set. A common heuristic for solvation says that “like dissolves like”. Even though this is a simplification of a very complex process, the essence of this dictum holds. The electrostatic properties (governed by the electron density) of the polymer repeat unit and solvent pair must be similar in order to optimize the free energy of solvation which, in turn, will speak to its thermodynamic stability. To this end, we elected to represent our polymers and solvents with the descriptors shown in Table 1.

These descriptors relate to the distribution of charge around the respective species and are readily calculable with the ORCA DFT software package,<sup>43,44</sup> or using a post-processing software recommended in ORCA's documentation called Multiwfn.<sup>45</sup> In Table 1, “ESP” stands for the “electrostatic potential”, and the molecular polarity index (MPI) is a normalization across the area of the autocorrelation of ESP charge over the van der Waals (vdW) surface at a constant potential value, in this case the default value  $\rho = 0.001$  a.u.<sup>46</sup> The equation for calculating MPI is:

$$\text{MPI} = \frac{1}{A} \iint_S V(\mathbf{r}) |\mathrm{d}S| \quad (1)$$

where  $A$  is vdW surface area,  $V(\mathbf{r})$  is the value of the ESP at a point  $\mathbf{r}$  in space, and  $S$  is the molecular vdW surface.

**Table 1** Model descriptors (properties) used in this work for both polymer repeat unit (left column) and solvent (right column)

| Polymer repeat unit      | Solvent                  |
|--------------------------|--------------------------|
| Isotropic quadrupole     | Isotropic quadrupole     |
| Isotropic polarizability | Isotropic polarizability |
| ESP <sub>min</sub>       | ESP <sub>min</sub>       |
| ESP <sub>max</sub>       | ESP <sub>max</sub>       |
| Polarity index           | Polarity index           |
| % polar surface area     | % polar surface area     |
| HOMO–LUMO gap            | Dielectric constant      |



While we did not know, *a priori*, if the properties listed in Table 1 would correlate with  $\Delta G_{\text{solv}}$ , it was reasonable to assume that these properties, which relate to electron distribution, might be correlated to solvation, which is governed by polarizable molecular interactions. Test models that included purely topological descriptors, such as those available in the cheminformatics packages mentioned above, did not yield significant correlation to  $\Delta G_{\text{solv}}$ . In this way, encoding electronic structure data in the descriptors improves model prediction.

The universal solvation model (SMD) is available within ORCA and was employed to calculate the free energy of solvation. According to the SMD model, the solvation free energy can be decomposed into a bulk electrostatic contribution (ENP) and a cavitation dispersion energy (CDS), according to eqn (2).<sup>47</sup>

$$\Delta G_{\text{solv}} = \Delta G_{\text{ENP}} + \Delta G_{\text{CDS}} \quad (2)$$

The SMD model calculates these values by assigning a uniform dielectric constant across the simulation medium that is commensurate with the solvent of interest. The ENP and CDS terms calculated are therefore dependent on the dielectric constant of the solvent and the electron distribution within the polymer.

As a basis of comparison, we also performed implicit solvation calculations of the polymer repeat units according to the conductor-like polarizable continuum model (CPCM), which is available in the ORCA DFT code and which closely follows the methodology described in the seminal CPCM paper by Barone and Cossi.<sup>48</sup> In short, the molecular Hamiltonian of the isolated molecular system is perturbed by the solvent according to eqn (3),

$$\hat{H} = \hat{H}^0 + \hat{V} \quad (3)$$

where  $\hat{V}$  represents the solute-solvent interaction term and is incorporated into the self-consistent field (SCF) procedure to variationally minimize the free energy of the solute.

### 3 Results and discussion

Of the 8424 possible combinations of polymer and implicit solvent, 7300 of the 8424 DFT relaxations converged readily and comprised the primary data set. The largest polymer repeat unit contained 136 atoms, so it is possible that the non-converged simulations required more computational resources, especially memory, than were available. Nonetheless, the data we acquired were sufficient to allow us to sample the objective function space and identify relevant variable correlations. The resulting distributions of  $\Delta G_{\text{solv}}$  for different solvent choices were arranged in ascending values of the dielectric constant for these 7300 data points, as shown in Fig. 2. To properly present this huge amount of data, we chose the box plot. However, remember that, for each solvent, we analyzed the  $\Delta G_{\text{solv}}$  of hundreds of polymer structures. Thus, the bars on each solvent do not represent error bars and indi-

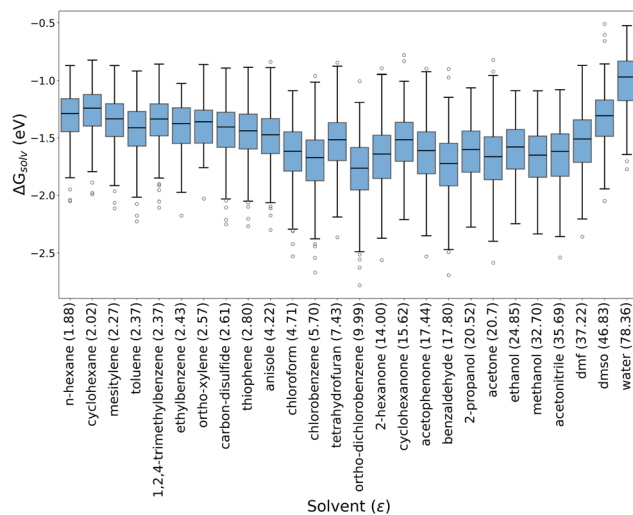


Fig. 2 Box-plot distributions of DFT-calculated values of the Gibbs free energy of solvation for 324 DPP-based polymers in 26 implicitly modeled solvents.

cate the difference in free energies represented by the polymer choice having the highest and lowest  $\Delta G_{\text{solv}}$ . For each solvent, the blue box represents the distribution of  $\Delta G_{\text{solv}}$  of different polymer structures. Consequently, this figure suggests that there exists a minimum  $\Delta G_{\text{solv}}$  and, therefore, an “optimal” solvent for a given polymer class, in this case DPP-based.

$\Delta G_{\text{solv}}$  is a function of the polymer's composition, and each functional group sub-unit of the polymer contributes differently to its overall electronic density distribution. Accordingly, we sorted the 324 polymer candidates into top, middle, and bottom quantiles (thirds) according to two metrics: polarity index and isotropic quadrupole moment. These were chosen for comparison because they both describe a single value that distills the electronic distribution around the polymer repeat unit (on a per-area or per-direction basis). Then we evaluated their relative correlation to the target value. Fig. 3 compares the average value of  $\Delta G_{\text{solv}}$  calculated by DFT simulations of

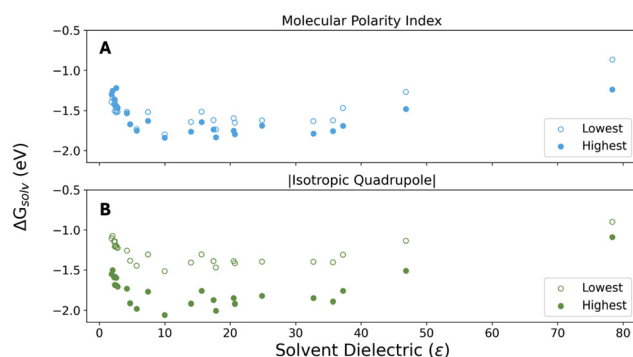


Fig. 3 Trend shown by  $\Delta G_{\text{solv}}$  as a function of the solvent dielectric constant for the highest and lowest tertiles of key polymer descriptors: (A) molecular polarity index and (B) isotropic quadrupole. Both curves show a minimum at a dielectric constant around a value of around 10.





the most polar (filled circles) and least polar (empty circles) polymers, as sorted by the two indicated properties. The results show that there is a relatively large, 0.5 eV, difference in the magnitude of  $\Delta G_{\text{solv}}$  between the solvation of polymers with the highest and lowest quadrupole moments (B). As expected, polymers with higher quadrupole moments are better solvated *in general*, even by ostensibly “low” dielectric solvents. In contrast, the polarity index (A) does not demonstrate a significant variation in  $\Delta G_{\text{solv}}$ , suggesting that it may not be an important property, at least in this class of DPP-based polymers. The quadrupole moment is traditionally thought to be structure-directing, as seems to be borne out here. The identification of quadrupole moment as a modulating factor for  $\Delta G_{\text{solv}}$  is reasonable, given that multipoles serve as an approximation for charge density distribution in density-based solvation models like SMD.<sup>47</sup> It is likely that the spatial information, encoded in the quadrupole matrix, leads to its utility over a non-spatial descriptor property like the polarity index, even though it is made to be isotropic to enter the dataset as a single value. The isotropic quadrupole was used instead of the largest eigenvalue of the quadrupole matrix because we assumed that, in a liquid system, the directional dependence of the largest eigenvalue would average out over time such that the isotropic value was more intrinsic to the system at hand. Moreover, this orientation-independent parameter provided a singular basis on which to compare the different polymer repeat units, which varied significantly in their structure, without needing to consider the direction of the largest quadrupole eigenvalue.

A frequency analysis of the sorted quantiles revealed further insight into the structure–property relationships exhibited by the polymer candidates. Fig. 4 shows a comparison of the most frequently encountered functional group subunits for the most (A) and least (B) polar polymers according to their isotropic quadrupole moment. The most frequently appearing functional groups in high-polarity polymers are TT, EDOT, and B3TA, the structures of which are shown in Fig. S1. The presence and configuration of polar atoms, like S and O, in these groups contributes to the overall polarity of the polymer. On the other hand, the most frequently appearing functional groups in the least polar polymers are benzene and thiophene, which are known for their non-polar character.

A component analysis of these data revealed that the isotropic quadrupole of the overall polymer can be described as a

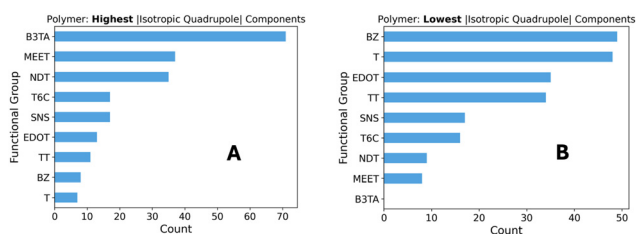


Fig. 4 Frequency analysis of functional unit subgroups of the highest (A) and lowest (B) quantiles as predicted by PAL.

linear combination of the isotropic quadrupoles of its individual components to within an error of <7%, under-predicting in all cases. This suggests that there exists a small quadrupole interaction effect as more functional group subunits are added along the conjugated axis. This analysis has implications for polymer design: we found that modeling only the electronic properties of functional group subunits provides a reasonably accurate way to model the composite polymer's electronic properties, at least for the isotropic quadrupole moment. This can cut down immensely on the computational resources required for *in silico* property prediction.

To validate the results shown in Fig. 2 for *implicit* solvents that assume a “mean field”-like representation, we used a more accurate *explicit* representation of the solvent molecule in which every atom in the functional group was modeled. For these *explicitly* modeled solvents, we chose to study the binding enthalpy of just four of the original 26 solvents to interact with all 324 polymer repeat units to act as representative cases and to keep computational resource-use within reason. We placed a single, atomically explicit solvent molecule 3 Å away from the plane spanned by each of the polymer choices. The system was allowed to relax within the DFT calculation of the polymer–solvent pair. The solvents chosen were *n*-hexane, *ortho*-dichlorobenzene, acetonitrile, and water, to cover a broad range of dielectric constants, ( $\epsilon$ ), with values 1.88, 9.99, 35.69 and 78.36, respectively.<sup>47</sup>

The results of the relaxed interaction enthalpies are shown in Fig. 5. Each row (A–D) in this Figure shows the distribution of binding enthalpies for all 324 polymers with a single molecule of each respective solvent. The binding enthalpy was calculated with respect to the individual component species, where a negative value for the binding enthalpy indicates

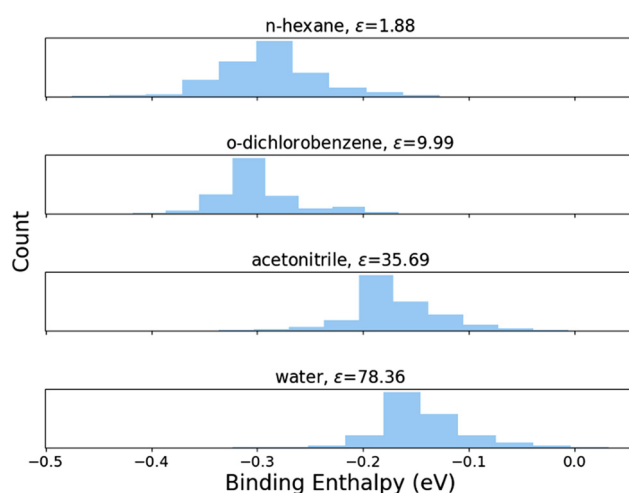


Fig. 5 Distributions of explicitly modeled polymer–single solvent interaction enthalpies for 324 DPP-based polymers for four representative solvents covering a broad range of dielectric constants (~2–80) for non-polar to polar molecules. The farther to the left the average of the enthalpy distribution, the more favorable it is.



greater enthalpic preference for the bound state over the isolated states.

The polymers we tested exhibit a greater “stability” in the presence of solvents with a lower dielectric constant. The average binding enthalpies, in order of increasing solvent dielectric constant, of the four explicitly modeled solvents are:  $-0.29$  eV,  $-0.29$  eV,  $-0.16$  eV, and  $-0.14$  eV. Less polar solvents are predicted to solvate the 324 polymer candidates better than more polar ones. There is a correlation with the results in Fig. 2 in that *ortho*-dichlorobenzene, with  $\epsilon \sim 9.99$ , exhibits both the highest (negative) magnitude  $\Delta G_{\text{solv}}$  and the greatest binding enthalpy. A direct comparison of this correlation is displayed in Fig. S9. This suggests that this is an “optimal” solvent range for this set of polymers.

An outcome of this validation is the similarity of results using “implicit solvent” models, like the conductor-like polarizable continuum model (CPCM) or the universal solvation model (SMD), with those of the explicitly modeled solvents. This confirms that accurate and valuable insight into solvation can be obtained using implicit solvent modeling at a fraction of the cost of explicit solvation modeling. It is possible that, with greater sampling of the polymer–explicit solvent interaction space than the four test cases explicitly modeled here, there would be an even stronger correlation. We note that the lower magnitude binding enthalpy between the highly polar solvents (water and acetonitrile) could arise because the most favorable binding sites are highly localized, whereas the polar binding sites available on the polymer are more diffuse. There are other experimental considerations at play, such as dopant miscibility, which affect solvent choice and were not considered in this study.

### 3.1 Machine-learned feature engineering

A more systematic approach to elucidate the quantitative nature of structure–property relationships in these polymers was conducted on the implicit solvation data using the “feature engineering” capability of PAL 2.0, a physics-informed Bayesian framework for materials discovery developed by the Clancy group.<sup>33</sup> Using XGBoost, a gradient-based machine learning algorithm for feature selection,<sup>49</sup> and the Least Absolute Shrinkage and Selection Operator (LASSO), a method for estimation of linear models,<sup>50</sup> we obtained a ranked list of the most important descriptors (features), as shown in Table 1, for quantifying a target material property, in this case,  $\Delta G_{\text{solv}}$ .

Feature correlation is addressed through the feature selection step, using the well-known XGBoost technique. During tree construction, correlated features compete to reduce the loss, and the algorithm typically selects one representative feature from a correlated set for early ‘splits’. Once such a feature is selected, additional correlated features tend to provide diminished marginal gain and consequently receive lower importance scores. As a result, highly correlated features are often down-weighted or excluded during importance-based ranking. This results in one of the highly correlated features being selected as important and the others being pruned from

the model. In this case, this method results in one of the correlated features being arbitrarily selected over the others, but that this does not affect interpretability at all as we know how these features are correlated and can still properly attribute physical meaning to the values selected.

Fig. 6 shows the relative importance of each model descriptor as determined by the labeled algorithms. Both LASSO and XGBoost models identify the polymer’s isotropic quadrupole moment as a descriptor with a high relative importance. This supports the observation of a different trend in  $\Delta G_{\text{solv}}$  for low-quadrupole *versus* high-quadrupole polymers exhibited in Fig. 3. Both models also identify the relative importance of the explicit polymer–solvent interaction, providing further evidence for the behavior observed in Fig. 5 and the ensuing commentary on the value of implicit *versus* explicit models of solvent interactions. A key difference between LASSO and XGBoost is that the former employs a linear model while the latter is better suited for non-linear modeling. This could explain the disparity in features identified in Fig. 6. LASSO identifies numerous descriptors with comparable importance, whereas XGBoost clearly identifies the solvent dielectric ( $\epsilon$ ) as the most important feature. It could be that LASSO simply needs more terms to be able to describe the input–output relationship; it cannot penalize too many terms in order to maintain accurate predictions. XGBoost, on the other hand, may be identifying the same non-linear dependence on  $\epsilon$  that is shown in Fig. 3. Within the vast polymer chemical space that exists, feature engineering has identified useful metrics to help uncover the complex relationship between polymer solutes and solvents.

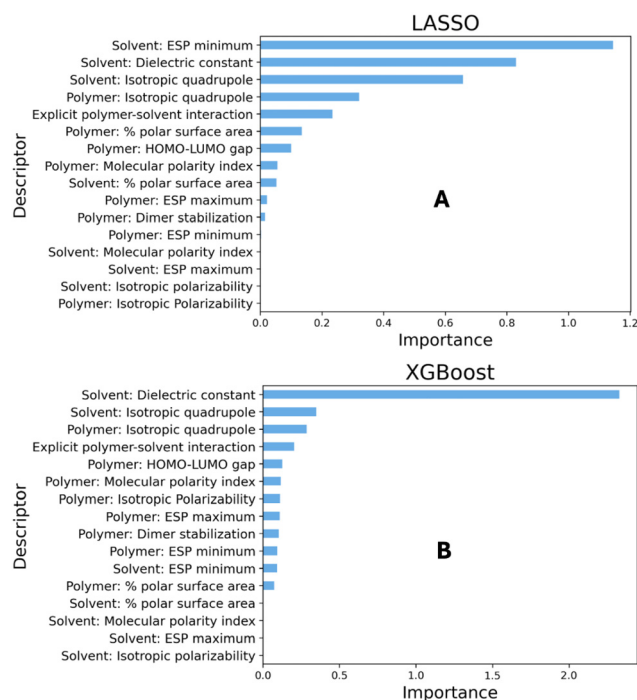


Fig. 6 Relative descriptor importance as quantified by two different machine learning techniques, LASSO (A) and XGBoost (B).



An “expert” surrogate Gaussian process (GP) model using the features identified by XGBoost was created from a 80/20 train/test split within the PAL 2.0 framework. The Matérn 5/2 kernel was used in the Gaussian Process surrogate model. The features are concatenated as an input vector, and there exists a single length scale for the kernel as it operates on the input vectors. With each BO iteration, this length scale hyperparameter is optimized. Fig. 7 shows that BO using the Expected Improvement (EI) acquisition function converged to the surrogate model's optimal value (minimum  $\Delta G_{\text{solv}}$ ) within 25 evaluations, on average, of the objective function for a Gaussian Process (GP) model with a linear mean prior, and within 50 evaluations for the GP with a zero mean prior.<sup>51</sup> The difference in the number of function evaluations needed here shows that a GP with a linear mean prior is a better description of the functional behavior than one with a zero mean prior. In the distribution of GP models trained for this task, the linear mean prior GP model with the slowest optimization time (in terms of objective function evaluations) needed to explore only 6% of the compositional space, whereas the slowest zero mean prior GP model required more than 12% (See Fig. S3).

These results further support the hypothesis that the dielectric constant of the solvent is a sufficient description of the variation observed in  $\Delta G_{\text{solv}}$ . In this way, the Gaussian Process surrogate model acts as reinforcement for the hypothesis that a complex process, like polymer solvation, is, in fact, dependent on very few physically realizable variables.

### 3.2 Validation from experimental results

To validate the worth of the Bayesian-derived suggestions of potentially high-performing semiconducting doped polymers, we looked to the work of Mukhopadhyaya *et al.*, who studied the properties of four different DPP-based semiconducting polymers. Their DPP-based polymers were doped with one of three dopants: F<sub>4</sub>TCNQ, a [3]-radialene-based dopant Cp(CN)<sub>3</sub><sup>−</sup>(COOMe)<sub>3</sub>, and trifluoromethanesulfonic acid CF<sub>3</sub>SO<sub>3</sub>H.<sup>27</sup> They found that a polymer containing a DPP group, two EDOT groups, and two MEET groups exhibited the highest conduc-

tivity of the polymers that they studied when doped with a sulfonic acid dopant. Although it is thought that long-range crystallinity is a property critical to higher electrical conductivity,<sup>52</sup> the XRD data showed that this polymer-dopant combination exhibited “virtually no long-range crystallinity”.<sup>27</sup> We hypothesize that the high relative conductivity of this polymer-dopant thin film could be due to more favorable solvation leading to a degree of, at the very least, short-range order. Insight into any potential short-range order, in line with our suggestion, would require higher resolution characterization techniques.

In Mukhopadhyaya's paper, solution-doping was achieved by combining a polymer, dissolved in chlorobenzene ( $\epsilon = 5.7$ ), with a dopant, dissolved in a different solvent, acetonitrile ( $\epsilon = 35.7$ ). During mixing, the dielectric constant of the solution naturally changed, based on the desired dopant concentration, and in the range between 5 and 50 dopant mol%. At 5 mol% dopant concentration, the dielectric constant of the solvent was 6.3; at 50 mol%, it was 8.4. Referring back to the information obtained from Fig. 2 (implicit solvent calculations) and 5 (explicit solvent calculations) that suggested a solvation free energy minimum will occur at a dielectric constant value,  $\epsilon$ , around 10, the experiments are clearly operating at a close-to-optimal dielectric constant that would be recommended by theoretical calculations. *Post facto*, we learned that Imbrogno *et al.*'s experiments found an ionic conductivity maximum at a polymer dielectric constant  $\epsilon \sim 9$  for various poly(vinyl ethers) (PVE) and they observed a plateau in ionic conductivity at  $\epsilon$  above 9.<sup>53</sup> Both of these experimental observations offer very similar outcomes to those we observed *via* computational studies in this work.

It is possible that the increase in conductivity with dopant mol% observed in experiments could be due to the augmented presence of acetonitrile, which drives the solution's overall dielectric constant closer to the minimum. Moreover, comparison of the relative magnitudes of  $\Delta G_{\text{solv}}$  among the four polymers studied by Mukhopadhyaya *et al.* shows that the doped polymer with the highest conductivity also exhibited the highest magnitude of solvation free energy (see Fig. S2). It is possible that these factors contributed to favorable solvation behavior, and therefore some degree of short-range order in the polymer thin film.

### 3.3 Extensibility to other polymer classes: IDT

To determine the extensibility of our approach, we conducted an identical computational analysis for IDT-based polymers. For this extension, and to keep the system size within the bounds of reasonable resource-use, we deployed a slightly simpler motif due to the relatively large number of atoms present in the IDT unit as compared to DPP. The polymer repeat unit motif and functional group subunits are shown in Fig. S4 and S5. The  $\Delta G_{\text{solv}}$  distributions of all IDT polymer combinations in 25 implicit solvents ( $1.88 \leq \epsilon \leq 78.36$ ), analogous to Fig. 2, are shown in Fig. 8. We emphasize that these calculations were performed prior to any experimental validation.

As was the case for the DPP-based systems, we observed a minimum in  $\Delta G_{\text{solv}}$  at a value around  $\epsilon = 7$ , corresponding to

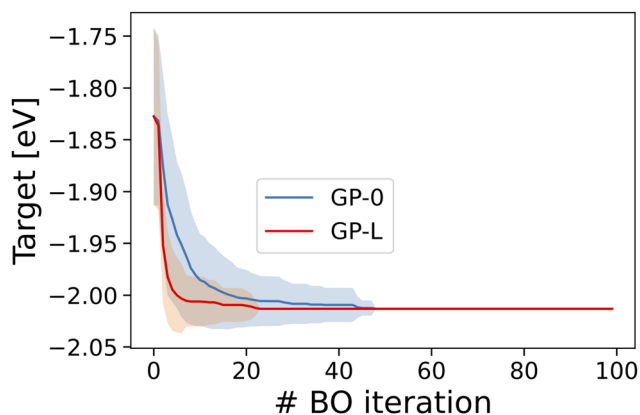
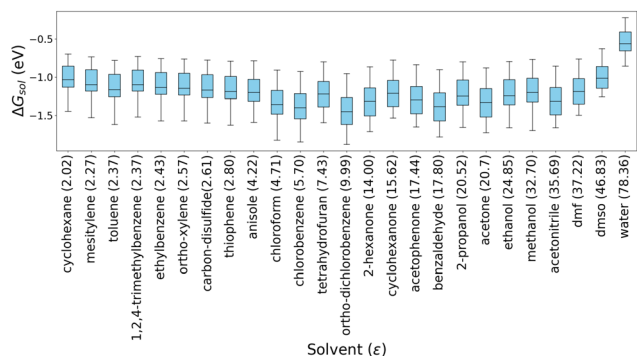


Fig. 7 Function evaluations of GP models with a zero mean prior (blue) and a linear mean prior (red). Shaded regions indicate model uncertainty.

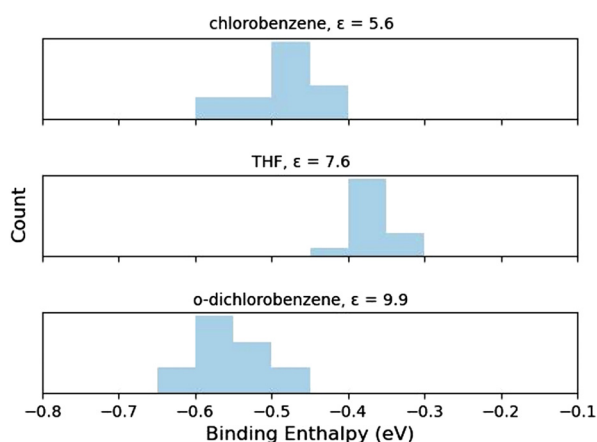




**Fig. 8** Box-plot distributions of DFT-calculated values of the Gibbs free energy of solvation for 14 IDT-based polymers in 25 implicitly modeled solvents.

solvation in chlorobenzene and *ortho*-dichlorobenzene. However, we note that the DFT-calculated  $\Delta G_{\text{sol}}$  in tetrahydrofuran (THF) is consistently higher in magnitude (less solvated) than either of its dielectric neighbors, chlorobenzene and *ortho*-dichlorobenzene. A follow-up study wherein we conduct an explicit solvation study on these three solvents, analogous to Fig. 5, was conducted for all the A-IDT combinations present in Fig. S4. These results, shown in Fig. 9, demonstrate the same trend as seen in the implicit calculations: THF has a less negative (unfavorable) binding enthalpy with these polymers than its dielectric neighbors. We posit that this may be due to the more localized dipole moment of THF as compared to chlorobenzene and *ortho*-dichlorobenzene. The larger aromatic rings present in these two dielectric neighbors also allow for greater charge stabilization, which enhances binding enthalpy.

Experimental solvation observations on an indacenodithiophene-benzothiadiazole (IDTBT) polymer revealed insolubility in a dielectric as low as acetone ( $\epsilon = 20.7$ ) while noting sol-



**Fig. 9** Distributions of explicitly modeled polymer–single solvent interaction enthalpies for 14 IDT-based polymers for THF and its dielectric neighbors. The farther to the left the average of the enthalpy distribution, the more favorable it is.

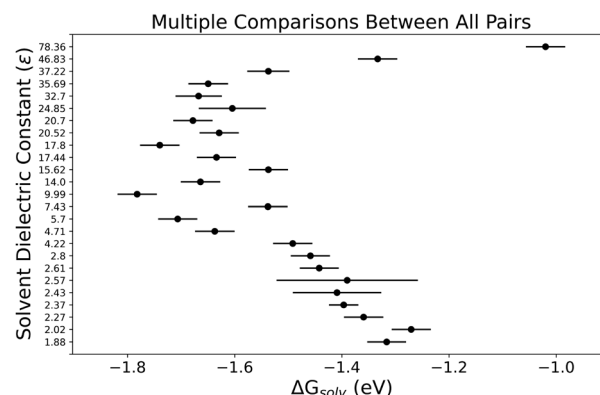
vation in THF and its neighbors. We observed a maximum  $\epsilon$  value at which a polymer is soluble; regardless of this value, solvents with a dielectric lower than this critical point exhibit solubility. An analysis of variance (ANOVA) test was conducted on the solvation data, grouped by solvent, and found a rejection of the null hypothesis: that all mean  $\Delta G_{\text{sol}}$  values among solvent groups were equal. Consequently, we used Tukey's range test to quantify the confidence intervals of the data within each group. The results of this test are shown in Fig. 10.

There may be minimal overlap in the confidence intervals of the chlorobenzene and *ortho*-dichlorobenzene  $\Delta G_{\text{sol}}$  population means but, importantly, they both exhibit a statistical difference (*i.e.*, no overlap) from THF. This conclusion is reinforced with evidence from k-means clustering analysis on the DPP data. Fig. S6 and S7 demonstrate that four clusters is optimal for converging a within-class sum of squared distances to an asymptote, when grouping solvation data by solvent, and that those four clusters occupy discrete ranges along Principal Component (PC) 1. These four clusters have been color-coded and applied as a filter to Fig. 11, whose underlying data is the same as Fig. 2.

THF is clustered separately from its dielectric neighbors, as are cyclohexanone and ethanol, hinting at the highly nonlinear behavior of  $\Delta G_{\text{sol}}$  as a function of  $\epsilon$ . It is possible that the two clusters with the highest magnitude  $\Delta G_{\text{sol}}$  (green and blue in Fig. 11) define a range of “optimal” solvents for this application. However, further investigation into the entropic effects of solvation is needed in order to assert the existence of a single “optimal” solvent and to better match experimental observations.

### 3.4 Strengths and limitations of the present approach

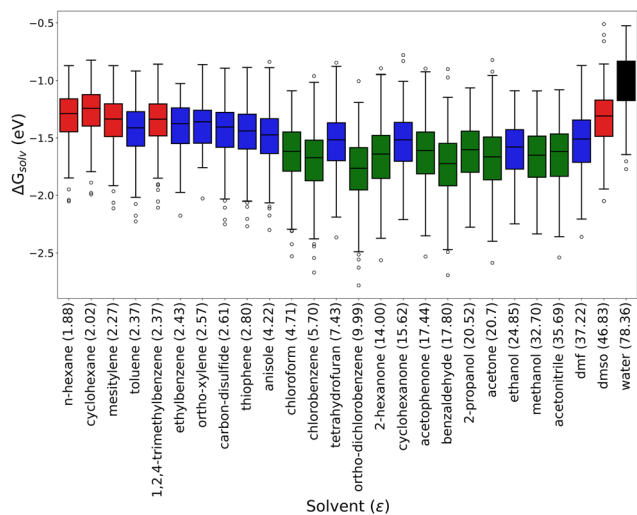
Ultimately, the design framework described herein possesses several key strengths which underlie its utility for materials design. The physics-informed Gaussian Process surrogate model, trained on an exhaustive computational survey of the



**Fig. 10** Results of a *post hoc* Tukey's Honestly Significant Difference test. The bars represent the 95% confidence interval of the  $\Delta G_{\text{sol}}$  of 324 DPP-based polymers in the 26 solvents, arranged by dielectric constant on the y-axis. In a pairwise comparison, a lack of overlap indicates significant difference in  $\Delta G_{\text{sol}}$ .







**Fig. 11** Clustered box-plot distributions of DFT-calculated values of the Gibbs free energy of solvation for 324 DPP-based polymers in 26 implicitly modeled solvents. The boxes are colored according to their cluster as identified by k-means clustering.

curated design space, demonstrated an accurate learning of the search space. Such an exhaustive survey of a design space is not always necessary, as evident in the rapid convergence of the Bayesian optimization algorithm after examining less than 20% of the search space. PAL 2.0 is agnostic regarding the origin of the data: it can be used with computational or experimental data training sets, or a combination of both. This is consistent with our experimental observations of the solubility of IDT-based polymers. Our framework demonstrated generality across both DPP- and IDT-based polymer systems, suggesting applicability across planar, conjugated systems. From an algorithm performance standpoint, PAL 2.0 required sparse training data and very few surrogate function evaluations when compared to neural network-based methods, which often require thousands of data points to be effective.

In addition, experimentalists can find value in the chemical conclusions of the present work due to the physical interpretability of the design framework. Advanced feature selection methods identified an electrostatic descriptor, the quadrupole moment, as a key property that affects the free energy of solvation. When designing polymer repeat units, references on quadrupole moments of functional group subunits can be consulted to gain a deeper understanding of the expected solubility of a given candidate *before* ever synthesizing it. Moreover, to prepare coating formulations that include two or more conjugated molecules or polymers, such as bulk heterojunction solar cells, it should be possible to identify *a priori* a common solvent system that optimizes the combined solubility of the multiple components.

The present approach is not without its limits. Although the data and analysis to date suggest generality across planar, conjugated systems, we have only tested the framework's efficacy on two classes of materials systems. Until other, different systems are tried, the limit of PAL's efficacy remains

unknown. The ultimate breadth and limitations of its efficacy will be revealed only after we and others apply the method to other systems. With that said, PAL 2.0 has demonstrated its use in multiple application areas from high entropy alloys to Metal Organic Frameworks (MOFs) to solar cell materials.<sup>33,34,54</sup> Returning to polymer systems, it could also be that the method might struggle with handling side-chains whose interactions with solvents are more complex than the simplified interactions studied here, *e.g.*, side-chains with larger free volume quantities than the ones described here. Similar approaches to optimize solvents for those side-chains could be taken wherein the side-chain interactions might dominate over the main-chain conjugated subunits of this manuscript. To see how much of an issue this is likely to be, we investigated the free volumes of functional group subunits explored in this work. As provided in Fig. S8 of the SI, our per-subunit free volumes range widely, from 31.1 Å<sup>3</sup> to 1739.9 Å<sup>3</sup>. These quantities were calculated by subtracting the isosurface volume from the DFT calculations described above from the volume of the smallest rectangular prism that enclosed the functional group subunit. Thus, the range of free volumes exhibited by polymer repeat unit candidates as linear combinations of their functional group subunits ranged from 254.9 Å<sup>3</sup> to 7090.1 Å<sup>3</sup>. This goes a long way to mitigating any concerns about the volume issues that might arise.

Future work involving the design approach described here could take two distinct directions. The first is utilizing larger-scale computational methods, like classical MD, to quantify the polymer-solvent interactions of multiple repeat units ( $n > 1$ ) as described above. The second involves broadening the search space of polymers by removing the “class” restraint imposed in the present work. Such an approach would allow all the discrete sites in a polymer repeat unit to be occupied by *any* functional group subunit, rather than requiring one site always be occupied by, *e.g.* DPP or IDT. This would move the approach of this framework away from an “exploitative” approach of searching near known high-performing candidates to a more “exploratory” approach that searches far from currently known structures.

## 4 Conclusions

In this work, we created a curated database consisting of 324 DPP-based semiconducting polymers with a systematic variation of functional groups commonly found in thermoelectric polymers. Using DFT and the universal solvation model, we calculated the free energies of solvation ( $\Delta G_{\text{solv}}$ ) of all 324 polymers we considered in 26 choices of solvent, a total of over 8000 combinations (of which over 7000 converged energetically), far exceeding experimental consideration of all of these candidates. Validation of our method with a second, distinctly separate class of polymers, those based on IDT, suggests that it is, at the very least, applicable to rigid, planar donor-acceptor conjugated backbones.



Using DFT-calculated properties that quantify charge density distribution as descriptors, we trained a GP model and demonstrated the usefulness of the PAL 2.0 Bayesian optimization algorithm to predict  $\Delta G_{\text{solv}}$ . The PAL 2.0 codebase found the optimum result, in its best replicate performance, within two iterations and identified, using XGBoost and LASSO methods for “feature engineering”, important descriptors needed to predict  $\Delta G_{\text{solv}}$ , our metric of success for insight into the solution behavior of the system. Explicit all-atom modeling of the polymer–solvent interactions revealed a similar trend to the behavior exhibited by  $\Delta G_{\text{solv}}$  when an implicit solvent model is used, in which the solvent is represented by a mean field of a given dielectric constant ( $\epsilon$ ).

We identified a molecular electronic parameter that demonstrates near-linear additivity when constructing an aggregate polymer from functional group sub-units, namely the isotropic quadrupole moment. The importance of this observation is that we have identified a fast route to determining better polymer candidates, without needing to resort to machine learning, as used in this PAL-generated study. It suggests that future studies of different semiconducting polymers, beyond simply DPP-based ones, could conceivably only need to model the isotropic quadrupole moment of the various functional group sub-units in the posited polymer in order to be able to predict the extent of solvation of this novel polymer. Thus, this work lays the groundwork for a unique closed-loop, hybrid computational–experimental study wherein polymers could be designed *in silico* for a target property and then synthesized and characterized with experimental techniques. Ameliorating computational property predictions with experimentally observable properties will be important for model validation and investigation design.

## Author contributions

C. G.: conceptualization, methodology, data curation, visualization, and writing. Y. F.: data curation, visualization, and writing. H. S. K.: experimental data curation and writing. H. E. K.: conceptualization, supervision, and writing. P. C.: conceptualization, funding acquisition, project administration, resources, supervision, and writing.

## Conflicts of interest

The authors declare no conflict of interest.

## Data availability

The DPP-based polymer electronic structure calculations dataset generated during this study is available on Github at <https://github.com/pclancy-lab/polymer-builder>.

Supplementary information (SI) is available. See DOI: <https://doi.org/10.1039/d5py01172h>.

Code availability: the PAL 2.0 software is available on Github at <https://github.com/ClancyLab/PAL2>.

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