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# Biochemical and artificial pathways for the reduction of carbon dioxide, nitrite and the competing proton reduction: effect of 2<sup>nd</sup> sphere interactions in catalysis

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Reduction of oxides and oxoanions of carbon and nitrogen are of great contemporary importance as they are crucial for a sustainable environment. Substantial research has been dedicated to these areas in the last few decades. These reductions require both electrons and protons and their thermodynamic potentials often make them compete with hydrogen evolution reaction *i.e.*, the reaction of protons and electrons to generate H<sub>2</sub>. These reactions are abundant in the environment in microorganisms and are facilitated by naturally occurring enzymes. This review brings together the state-of-the-art knowledge in the area of enzymatic reduction of CO<sub>2</sub>, NO<sub>2</sub><sup>−</sup> and H<sup>+</sup> with those of artificial molecular electrocatalysis. A simple ligand field theory-based design principle for electrocatalysts is first described. The electronic structure considerations developed automatically yield the basic geometry required and the 2<sup>nd</sup> sphere interactions which can potentially aid the activation and the further reduction of these small molecules. A systematic review of the enzymatic reaction followed by those reported in artificial molecular electrocatalysts is presented for the reduction of CO<sub>2</sub>, NO<sub>2</sub><sup>−</sup> and H<sup>+</sup>. The review is focused on mechanism of action of these metalloenzymes and artificial electrocatalysts and discusses general principles that guide the rates and product selectivity of these reactions. The importance of the 2<sup>nd</sup> sphere interactions in both enzymatic and artificial molecular catalysis is discussed in detail.

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## Introduction

Human activity over the last century has resulted in an increased distribution of oxidized forms of carbon, nitrogen and sulfur in

the environment.<sup>1</sup> Occam's razor would suggest that much of this is derived from the use of fossil fuel for energy to drive industrial and technological developments.<sup>2</sup> Obtention of the energy stored in the C–H and C–C bonds of carbon-based fuels by oxidizing them with O<sub>2</sub> has, expectedly, released large amounts of CO<sub>2</sub> in the atmosphere; much beyond the capacity

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of the natural carbon fixation mechanism.<sup>3</sup> This has led to rising concerns regarding the sustainability of our current lifestyles and industrial activities. Logically, this has spurred research activities to find new methods of fixing these oxides and oxoanions of carbon, nitrogen and sulfur. These include both chemical and electrochemical methods. And inevitably a switch to non-fossil energy sources, such as wind, solar, nuclear, geothermal, etc is being advocated.<sup>4</sup>

Atmospheric CO<sub>2</sub> could be captured and converted into value added chemicals such as formic acid, carbon monoxide, methanol, ethylene, *etc.*<sup>5–9</sup> Over the last few decades, extensive research has been conducted resulting in a number of homogeneous<sup>10,11</sup> and heterogeneous<sup>12–14</sup> electrochemical and photochemical<sup>15,16</sup> pathways to achieve the same. Alternatively, the reduction of CO<sub>2</sub> to various C-based products is a part of the geochemical Carbon cycle which fixes 250 gigatonnes of CO<sub>2</sub> every year. Several earth abundant metal-based metalloenzymes are involved in this process which occurs under ambient conditions. The reduction of CO<sub>2</sub> follows fundamentally different mechanisms in these enzymes. In photosynthetic carboxydioxide reduction, the CO<sub>2</sub>, post capture, is reduced by hydride, more specifically *via* NADPH, by two electrons.<sup>17</sup> In the reductive acetyl

CoA pathway, CO<sub>2</sub> is reduced to CO using H<sup>+</sup> and e<sup>–</sup> derived from H<sub>2</sub>. In methanogens, however, the pathway to produce CH<sub>4</sub> from CO<sub>2</sub> involves reduction with hydride, molecular H<sub>2</sub> as well as H<sup>+</sup> and e<sup>–</sup> derived from H<sub>2</sub> *via* hydrogenases.<sup>18</sup>

Similarly, reduction of oxides and oxoanions of nitrogen poses challenges and heralds promises. Emission of NO<sub>x</sub> and SO<sub>x</sub> have same sources as CO<sub>x</sub> *i.e.*, fossil fuels. The atmospheric concentration of these oxides has been steadily increasing over the last decade and their detrimental effects is not limited to acid rain anymore but include long term damage to human health as well as the ozone layer.<sup>19</sup> Like CO<sub>2</sub>, there are different routes of biochemical nitrogen cycle involve interconversion of various oxides of nitrogen (N<sub>x</sub>O<sub>y</sub>) each of which has prominent roles in biology. Nitrite, in particular, is a pivotal entity in the nitrogen cycle, mediating conversion of inorganic nitrates to ammonia or nitric oxide (NO). The NO generated is eventually recycled back to biological nitrogen pool. Nitrite reduction may be an assimilatory, respiratory or dissimilatory process. Assimilatory nitrite reduction by siroheme containing nitrite reductase produces ammonia which participates in biosynthetic pathways utilised for cell growth in bacteria. Some bacteria also exploit respiratory nitrite ammonification, involving cytochrome *c* containing nitrite reductase, as a process where nitrite acts as the terminal electron acceptor of the respiratory chain. This is a dissimilatory mode of energy conservation in lower living organisms. A contrasting route of nitrite reduction is denitrification. Denitrification involves the anaerobic nitrate reduction to molecular dinitrogen. Dissimilatory nitrite reductases, a heme or a Cu-containing enzyme, in denitrifiers converts nitrite to nitric oxide.

Diminishing fossil fuel and increasing global energy demand has encouraged the investigation into means of storing energy in the form of value added chemicals which can be obtained by the reduction of CO<sub>2</sub> as well as oxoanions of nitrogen like NO<sub>2</sub><sup>–</sup>.<sup>20,21</sup> In nature, the reduction of CO<sub>2</sub> and NO<sub>2</sub><sup>–</sup> proceeds through electron transfer from proteins like ferredoxin which are also involved in the reduction of proton from H<sub>2</sub>O to H<sub>2</sub> *i.e.*, the reduction potentials for CO<sub>2</sub>, NO<sub>2</sub><sup>–</sup> and H<sup>+</sup> reduction



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are similar. Hence, while protons,  $\text{H}^+$ , are necessary to reduce both  $\text{CO}_2$  and  $\text{NO}_2^-$ , its reduction to  $\text{H}_2$  competes with reduction of  $\text{CO}_2$  and  $\text{NO}_2^-$  itself. At the same time  $\text{H}_2$  itself is a convenient carrier of energy and this energy can be extracted from it either by combustion or electrochemically. This, along with the drive to generate fuel from  $\text{CO}_2$ ,  $\text{NO}_2^-$  etc., has automatically brought to focus the storage of energy in the form of hydrogen itself. Hydrogen can be generated *via* reduction of  $\text{H}^+$  by  $\text{e}^-$  and these, including  $\text{H}_2$ , are components essential to reduction of  $\text{CO}_2$  and  $\text{NO}_2^-$  discussed above.

In this review, we focus on the reduction of  $\text{CO}_2$ ,  $\text{NO}_2^-$  and  $\text{H}^+$ . We present an electronic structure-based approach for the activation and reduction of these species using mononuclear 1<sup>st</sup> row transition metal species. Thereon an overview of the natural enzymes involved in these reductions will be used followed by a review of artificial catalysts reported in the literature. In particular, the mechanism of action of these enzymes and complexes and the roles played by 2<sup>nd</sup> sphere residues will be stressed upon to understand the structure function correlations necessary for the design of efficient and selective catalysts.

## Electronic structure and catalyst design

Molecular orbital (MO) theory considerations can be crucial when designing catalysts for the activation of small molecules. An analysis of the MO of the small molecule and possible ligand fields around a metal can narrow down the search for a metal-based catalyst and its ligand.  $\text{CO}_2$ , for example, is a linear triatomic molecule. While its HOMO is localized on the more electronegative oxygen atoms, the LUMO is a C–O  $\sigma^*$  (Scheme 1) orbital. The LUMO+1 is the degenerate set of in-plane and out-of-plane C–O  $\pi^*$  orbitals (Scheme 1). Reduction of  $\text{CO}_2$  will entail population of these orbitals and the electrons required must be obtained from the metal center(s) of the catalyst used; a mononuclear complex having axial symmetry ( $x = y$ ) is considered here. For efficient activation, it is desirable that the metal donor orbitals are poised to overlap with the  $\text{CO}_2$  acceptor orbitals. The contours of the orbitals involved suggest that a  $d_{z^2}$  orbital is suitable for generous overlap with the C–O  $\sigma^*$  and the  $d_{xz/yz}$  orbitals are suitable to overlap with the C–O  $\pi^*$  orbitals. Thus, it would seem that a metal center with occupied  $d_{z^2}$  and  $d_{xz/yz}$  would provide ideal configurational interaction for the initial activation and eventual final reduction of  $\text{CO}_2$ .

Considering the relative orbital energies of the d-orbitals in different trigonal and tetragonal ligand fields, two geometric dispositions of the metal catalyst appear attractive for  $\text{CO}_2$  activation *i.e.*, occupied  $d_{z^2}$  and  $d_{xz/yz}$  orbitals (Scheme 2). A trigonal ligand field with no or weak ligand along the Z-axis can achieve the desired occupation of the  $d_{z^2}$  and the  $d_{xz/yz}$  orbitals with a  $d^4$ ,  $d^5$  and  $d^6$  (as demonstrated below) occupation to activate a  $\text{CO}_2$  molecule approaching along the Z-axis. For maximum activation of  $\text{CO}_2$ , a low spin  $d^6$  configuration is required at least and further occupation of the higher energy  $d_{x^2-y^2}$  and  $d_{xy}$  orbitals will not offer further advantage. This electronic structure automatically requires strong field ligands or



**Scheme 1** An electronic structure consideration of  $\text{CO}_2$  activation and reduction using a 3d metal active site.

2<sup>nd</sup> or 3<sup>rd</sup> row transition metals to ensure low spin state at the metal as high spin configuration will lead to depopulation of the active  $d_{z^2}$  and  $d_{xz/yz}$  orbital and population of the inactive  $d_{x^2-y^2}/d_{xy}$  orbital. Similarly, a low spin  $d^8$  tetragonal ligand field is well equipped to activate a  $\text{CO}_2$  approaching along the Z-axis. Since the inactive  $d_{xy}$  orbital is lower in energy in this ligand field than the active orbitals, additional two electrons will be required in this catalyst architecture than the trigonal architecture described earlier.

The electronic structure considerations allow narrowing down the choices for metals and ligands required for  $\text{CO}_2$  activation as well as the oxidation states required which are eventually important for lowering the overpotential for the electrochemical reduction of  $\text{CO}_2$ . For example, the analysis above suggests that for 2<sup>nd</sup> and 3<sup>rd</sup> row transition metal complexes, which tend to result in low spin



**Scheme 2** An electronic structure consideration of  $\text{CO}_2$  activation and reduction using a 3d metal active site having trigonal (left) and tetragonal (right) ligand field.



states, the trigonal geometry can result in efficient CO<sub>2</sub> activation and reduction with 6 d-electrons. Attempting to install low spin in a first-row metal in a trigonal geometry will require the use of  $\pi$ -acid ligands which would drain out the electron density from these metal donor orbitals compromising the activation of CO<sub>2</sub>. Obtention of stable three co-ordinate metal complexes (or with weak axial ligands) may be a synthetic challenge depending on the metal chosen. The second tetragonal option is more feasible with abundant examples in reported literature which inherently employed this design. A tetragonal ligand field will optimally require a low-spin d<sup>8</sup> configuration. A reasonably strong  $\sigma$  donor ligand in the XY-plane will elevate the energy of the d<sub>x<sup>2</sup>-y<sup>2</sup></sub> orbital ensuring the occupation of the active d<sub>z<sup>2</sup></sub> orbital. As a result, metal centers like Fe<sup>0</sup>, Co<sup>I</sup> and Ni<sup>II</sup> in tetragonal ligand field can, in principle, be able to activate CO<sub>2</sub> efficiently. Of course, effective nuclear charge of Ni(II) may be prohibitive of the back donation from its occupied orbital and its further reduction to Ni(I) or Ni(0) states may be necessary. It is likely that CO<sub>2</sub> activation can be achieved by a half-filled d<sub>z<sup>2</sup></sub> (e.g. Fe<sup>I</sup>, Co<sup>II</sup>) in the tetragonal geometry but it should be less effective than a filled d<sub>z<sup>2</sup></sub> orbital. Complexes of the 2<sup>nd</sup> and 3<sup>rd</sup> row transition metal neighbours of Fe and Co, having tetragonal ligand field, should be active as well.

Finally, the activation of CO<sub>2</sub> results in shift of electron density from the metal center to the bound CO<sub>2</sub>. The shift of electron density to the bound CO<sub>2</sub> can be stabilized by suitably placed non-covalent 2<sup>nd</sup> sphere interactions. These may include secondary Lewis acidic metal center, hydrogen bonding and electrostatic interactions. Of these hydrogen bonding is particularly attractive as apart from stabilizing the charge transfer, it can facilitate proton transfer to the bound CO<sub>2</sub> as well which is required for the reaction to proceed. Thus, the insight obtained from ligand field theory and MO theory (an electronic retrosynthesis of catalyst) suggests that Fe(0) and Co(I) square planar or square pyramidal complexes with weak axial ligands and 2<sup>nd</sup> sphere hydrogen bonding residues (which can also act as proton transfer residues) should be ideal for the activation and the ensuing reduction of CO<sub>2</sub>. The same approach can be used to design catalysts for NO<sub>x</sub> and SO<sub>x</sub> reduction as well.

In the case of NO<sub>2</sub><sup>-</sup> the HOMO is in-plane and localized substantially on the nitrogen while the LUMO is a N-O  $\pi^*$  (Scheme 3). To cleave the N-O bond one needs to bind the NO<sub>2</sub><sup>-</sup> and populate the anti-bonding N-O  $\pi^*$  orbital. The HOMO being delocalized over both N and O centers of NO<sub>2</sub><sup>-</sup> can bind a metal center both  $\eta$ -N as well as  $\eta$ -O. An empty d<sub>z<sup>2</sup></sub> orbital can form a  $\sigma$  bond with the HOMO of the NO<sub>2</sub><sup>-</sup> while a populated d<sub>xz/yz</sub> can assist in cleaving the N-O bond by back bonding into the N-O  $\pi^*$ . Considering the MO of the HOMO and LUMO of a free NO<sub>2</sub><sup>-</sup> it appears reasonable to assume that a  $\eta$ -N co-ordination to a metal center would lend it to better backbonding, essential for N-O bond cleavage, from the metal center as well. The electronic structure configurations that allow such bonding possibilities in trigonal and tetragonal ligand fields are d<sup>4</sup> (Scheme 3) and d<sup>6</sup> (Scheme 3), respectively. Considering the motivation of using a first-row transition metal complex with optimal Z<sub>eff</sub> to actuate the balance between forming a  $\sigma$  bond with the NO<sub>2</sub><sup>-</sup> and backbonding to it, a d<sup>6</sup> low spin configuration



**Scheme 3** An electronic structure consideration of NO<sub>2</sub><sup>-</sup> activation and reduction using a 3d metal active site having trigonal (left) and tetragonal (right) ligand field.

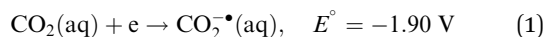
would be suitable for the purpose *i.e.*, Fe<sup>II</sup>, Co<sup>I</sup> *etc.* Similar to the case of CO<sub>2</sub> the electron density shift of the metal center to the bound NO<sub>2</sub><sup>-</sup> needs stabilization and the reaction forward requires protons. Thus, a hydrogen bonding from a group capable of proton transfer in the 2<sup>nd</sup> sphere would assist the reaction. Additionally, H-bonding interaction with the O atoms will also aid binding the NO<sub>2</sub><sup>-</sup> *via* the N atom by restricting the electron density on the O atoms from participating in bonding.

Having considered a straight-forward and intuitive MO theory-based expectations for a monometallic molecular complex likely to be suitable for the reduction of CO<sub>2</sub> and NO<sub>2</sub><sup>-</sup>, the natural metalloenzymes doing the same is now discussed.

## CO<sub>2</sub> reduction

### Introduction

CO<sub>2</sub> is a linear molecule with a high C-O bond enthalpy of ~191 kcal mol<sup>-1</sup>. The one electron reduction of CO<sub>2</sub> to its corresponding anion radical (CO<sub>2</sub><sup>•-</sup>) is associated with a large re-organization energy due to a change in geometry from linear to bent. This is reflected in the requirement of highly negative potential to reduce CO<sub>2</sub> by one electron (-1.97 V and -1.90 V vs. NHE in an aprotic solvent, *N,N*-dimethylformamide (DMF)<sup>22</sup> and in water,<sup>23</sup> respectively). However, it is desirable to conduct these reactions involving multiple protons and electrons at relatively modest potentials (eqn (2)–(5)). Hence, catalytic strategies have been developed to avoid the high energy steps.<sup>3,24</sup>



In this section, we have attempted to summarize the conceptual development in the design of catalysts for electrocatalytic CO<sub>2</sub> reduction. Several strategies have been devised considering



the primary as well as the secondary coordination sphere and a wide variety of metal–ligand combinations. However, the central theme of this review is the contribution of the second sphere to catalysis. A bio-inspired approach is a good way of designing catalysts as metallo-enzymes have evolved over billions of years optimizing the rates and selectivity of specific reactions they catalyze using elegant 2<sup>nd</sup> sphere interactions. Enzymes have evolved to use amino acid residues, additional metal centres and well-defined substrate/product channels to regulate and optimize their reactivity. This allows catalyzing reactions with precise thermodynamic and kinetic control. Therefore, prior understanding about the enzymatic machinery is very important before designing molecular catalysts for the same purpose.

### Lessons from nature

Six pathways are known for the fixation of inorganic carbon into organic materials to be incorporated into biomass: (i) reductive pentose phosphate (Calvin–Benson–Bassham cycle)<sup>25</sup> (ii) reductive acetyl-CoA pathway (Wood–Ljungdahl pathway)<sup>26</sup> (iii) reductive citric acid cycle (TCA and Arnon–Buchanan cycle)<sup>27,28</sup> (iv) decarboxylate/4-hydroxybutyrate cycle (v) 3-hydroxypropionate/4-hydroxybutyrate cycle and (vi) 3-hydroxypropionate cycle. These processes have been discussed in detail in the previous reviews.<sup>3,29</sup> In this review we will discuss about the second inorganic pathway which contains two CO<sub>2</sub> reduction steps: (a) carbon monoxide dehydrogenase (CODHs) catalysed CO<sub>2</sub> to CO interconversion and (b) formate dehydrogenase catalysed reduction of CO<sub>2</sub> to formic acid.

### CO dehydrogenases (CODHs)

There are two types of CODHs participating in CO<sub>2</sub> to CO interconversion. Oxygen-sensitive enzyme from obligate anaerobes like *Moorella thermoacetica*, *Carboxythermus hydrogenoformas* and *Methanosarcina bakerii* use the [NiFe]CODH active site for reversible conversion of CO<sub>2</sub> to CO. These enzymes have turnover frequency (TOF) of  $\sim 40\,000\text{ s}^{-1}$  for CO oxidation (at 70 °C using methyl viologen) and  $45\text{ s}^{-1}$  for CO<sub>2</sub> reduction and operate with almost zero over-potential, *i.e.*, close to the thermodynamic potential of the CO<sub>2</sub>/CO couple, at  $-0.52\text{ V vs. SHE}$  at pH 7.<sup>30</sup> The second class of CODHs are air-stable [MoSCu]-containing enzymes which are found in aerobes such as *Oligotropha carboxidovorans*. These enzymes show lower CO oxidation activity (TOF  $\sim 100\text{ s}^{-1}$ ) and their NiFe analogues and do not show any CO<sub>2</sub> reduction activity. We will not discuss about this class of CODHs in this review which has been reviewed elsewhere.<sup>31,32</sup>

### [NiFe]CO dehydrogenases

**Enzyme architecture.** These are dimeric enzyme, contain a distinctive Ni–Fe–S centre termed the C-cluster. Early spectroscopic studies prior to the crystal structure had suggested that the C-cluster is composed of a [4Fe–4S] cubane with a unique Ni site nearby.<sup>33–35</sup> CODH structures revealed an unprecedented metallocluster consists of [Ni–3Fe–4S] containing distorted cubane which is coordinated with a unique Fe site, also called ferrous component II, FCII (Fig. 1A).<sup>36</sup> The Ni-centre is



Fig. 1 The active site structure of the [NiFe]CODHs at resting state; (A) from *Moorella thermoacetica* (pdb: 1MJG);<sup>36</sup> (B) from *Carboxythermus hydrogenoformas* (pdb: 1SU8);<sup>37</sup> (C) from *Moorella thermoacetica* (pdb: 3I01).<sup>38</sup> The figures are redrawn using the software package Chimera 1.12rc.

coordinatively unsaturated as it is bound to three sulfur ligands and the unique Fe centre is coordinated by a His, a Cys, and a H<sub>2</sub>O/OH<sup>−</sup> ligand. In some cases, such as in *Carboxythermus hydrogenoformas* CODHs (*Ch*CODH), C-cluster contains an additional sulfide ligand ( $\mu_2$ -sulfido) bridging Ni of the distorted cubane and the unique Fe (Fig. 1B).<sup>37</sup> The role of this bridging sulfido-ligand in catalysis is still unknown. However, many crystal structures have been solved where a water molecule is bound to the unique Fe site in an identical fashion, completing a distorted tetrahedral geometry (Fig. 1C).<sup>38,39</sup> None of these structures contain the  $\mu_2$ -sulfido bridge, because the sulphide coordination site of the Fe version is occupied by the water molecule. These crystallographic data were consistent with the previous spectroscopic analyses suggesting a water molecule bound to the Fe.<sup>40</sup> It is still unknown how the protons exchange with the bulk solvent during catalysis. It has been suggested that a network of histidine residues which link the buried C-cluster with the solvent exterior could be a possible route for proton transfer.<sup>41,42</sup> Additional [4Fe–4S] clusters are present in all CODH structures, which are believed to be the electron transfer centers.<sup>35</sup>

**General mechanism.** X-ray structures of the enzymes with different substrates and inhibitors and extensive spectroscopic investigations have provided the key mechanistic insights into the CO<sub>2</sub> reduction by [NiFe]CODHs. Treating the enzyme crystals with bicarbonate in the presence of appropriate reducing agents, the CO<sub>2</sub> adduct of the enzyme has been trapped and structurally characterized (Fig. 2).<sup>39</sup> The CO<sub>2</sub> binds with the Ni<sup>II</sup>-centre (with a Ni–C distance of 1.96 Å) completing square planar coordination geometry of Ni<sup>II</sup>-centre. Upon CO<sub>2</sub> binding, the Fe-centre releases



Fig. 2 The structure of ChCODH with CO<sub>2</sub> (−600 mV + CO<sub>2</sub> state; pdb: 3B52).<sup>39</sup>

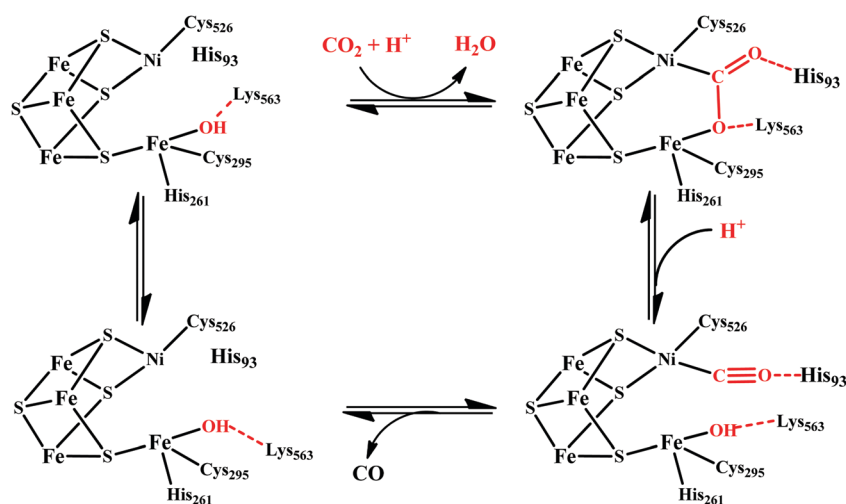
the H<sub>2</sub>O/OH<sup>−</sup> ligand and coordinates with one of “O” atom of CO<sub>2</sub> (O1, Fe–O1 distance = ~2.04 Å). The same “O” atom (O1) of CO<sub>2</sub> is also H-bonded to a Lys residue (Lys563) and the other (O2) is within the H-bonding distance to a protonated His (His93). The CO<sub>2</sub> ligand is sufficiently bent ( $\angle \text{C–O–C} = \sim 132.5^\circ$ ) and C–O bonds are substantially elongated to ~1.26 Å, suggesting substantial charge transfer to the CO<sub>2</sub> antibonding orbitals *via* back-bonding and hence the activated CO<sub>2</sub> may be viewed as a CO<sub>2</sub><sup>−•</sup> which is obtained here at a much higher potential (−0.6 V *vs.* NHE) than the thermodynamic potential of free CO<sub>2</sub>.<sup>43</sup> Overall, the reaction is proposed to be initiated by electron transfer to the C-cluster generating a low-valent Ni-center (Ni<sup>I</sup>/Ni<sup>0</sup>), followed by

CO<sub>2</sub> binding (Scheme 4). After that proton-assisted C–O bond cleavage yields an oxidized Ni<sup>II</sup>–CO intermediate and finally CO release completes the catalytic cycle. Therefore, the overall process involves a “push–pull” activation of CO<sub>2</sub>,<sup>43</sup> where the Ni<sup>0</sup> centre acts as a Lewis base to “push” the electron density to the Lewis acid, CO<sub>2</sub>, to form the CO<sub>2</sub>-adduct while the optimally oriented Fe-centre acts as a Lewis acid which should aid the charge transfer from the Ni to CO<sub>2</sub>. Therefore, the iron-centre acts a “metal activator” during the CO<sub>2</sub> binding to the Ni-centre. The protonated peptide residues stabilize the negatively charged bound CO<sub>2</sub><sup>−•</sup> species by coulombic and H-bonding interaction. This “2<sup>nd</sup> sphere interaction” ultimately allows access to high-energy intermediate exerting the “pull”-effect to facilitate the electron transfer from Ni to CO<sub>2</sub> and as well as C–O bond cleavage.

### Formate dehydrogenases (FDHs)

There are two types of formate dehydrogenases, metal independent NAD<sup>+</sup> dependent and Mo/W containing formate dehydrogenases. The most abundant class of formate dehydrogenases are NAD(P)<sup>+</sup> dependent. They are the major source of energy conversion in methylotrophic aerobic bacteria, fungi and plants.<sup>44,45</sup> This class of enzymes have a characteristic property of transferring both proton and electron together in the form of a hydride, from one site to another. More specifically, from the formate to the C<sub>4</sub>-atom of the pyridine ring of NAD(P)<sup>+</sup> for the oxidation of formate to CO<sub>2</sub>. It is difficult to drive the reaction in reverse direction, *i.e.*, to reduce CO<sub>2</sub> to formate, because the reduction potential of NAD(P)<sup>+</sup> is more positive than that of CO<sub>2</sub>.<sup>46</sup> Hence, this has not been included in this review. The Mo/W-containing formate dehydrogenases on the other hand, can catalyze the reduction of CO<sub>2</sub> to formate (with rate ~280 s<sup>−1</sup>) as well as formate oxidation (with rate ~3400 s<sup>−1</sup>).<sup>47</sup>

**Mo and W-containing formate dehydrogenase.** This second class of formate dehydrogenases are extremely oxygen-sensitive and use Mo or W-atoms in their active site.<sup>48,50</sup> The crystal structures of several enzymes of this class have been reported.<sup>48,51</sup> In the oxidized form, the W<sup>VI</sup> or Mo<sup>VI</sup> ions possess a distorted trigonal prismatic geometry with four “S” atoms from

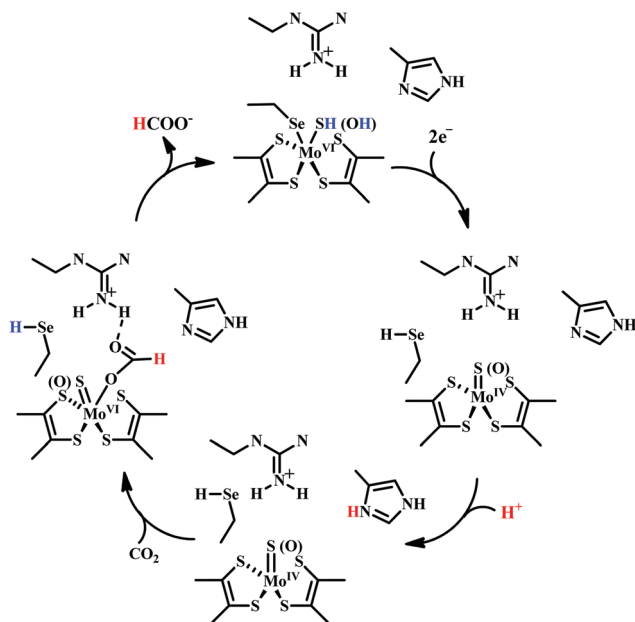


Scheme 4 Proposed mechanistic cycle of CO<sub>2</sub> reduction to CO by [NiFe]CODHs.



Fig. 3 The active site structure of the formate dehydrogenase H from *E. coli*; (A) oxidized state (pdb: 1FDO);<sup>48</sup> (B) reduced state (pdb: 2IV2).<sup>49</sup> The figures are redrawn using the software package Chimera 1.12rc.

two pyranopterin moiety (Fig. 3A). A sulphur-atom from a cysteine or a selenium-atom from a selenocysteine residue is attached with the metal centre. Either a sulphur or an oxygen (water) is also proposed to bind the metal centre. Two conserved arginine and histidine residues are present in the close vicinity of the metal centre and are anticipated to influence the course of the reaction. In the reduced state the central Mo or W atom possess a square pyramidal geometry with four sulphur from the two pyranopterin ligands in the equatorial plane (Fig. 3B). In the axial position, a sulphur atom is thought to be present. However, in the reduced structure, the selenocysteine ligand is no longer bound to the metal-centre and is located  $\sim 12.2$  Å away from the central metal centre.<sup>49</sup> Based on the structural, spectroscopic and kinetic data a plausible mechanism has been proposed where the hydride transfer for the formation of C–H bond was proposed to be the rate-determining step (Scheme 5).<sup>52–55</sup>



Scheme 5 Proposed mechanistic cycle of CO<sub>2</sub> reduction to formate by selenium and molybdenum dependent formate dehydrogenase.

## Challenges and general outlook

Efficient carbon dioxide reduction to useful molecules such as carbon monoxide, formic acid, methanol, methane *etc.*, is an important challenge and provides a great opportunity to convert waste to valuable commodities. Although, the field of transition metal catalyzed CO<sub>2</sub> reduction is relatively new, it has expanded substantially in the recent years. However, the major challenges associated with CO<sub>2</sub> economy are: (i) capturing the pure CO<sub>2</sub> from the atmosphere, which is quite difficult because the scarcity of CO<sub>2</sub> in the atmosphere and (ii) reducing CO<sub>2</sub> selectively as separation of mixture of products is undesirable. The reduction of CO<sub>2</sub> competes with the reduction of H<sup>+</sup> which is another reactant in electrochemical CO<sub>2</sub> reduction and strategies are needed to avoid competing H<sup>+</sup> reduction. Similarly, practicable CO<sub>2</sub> reduction systems need to be O<sub>2</sub> tolerant or selective towards CO<sub>2</sub> even in the presence of a strong oxidant as O<sub>2</sub>.

In this section a review of the molecular electrocatalysts is presented with a focus on the effects of 2<sup>nd</sup> sphere interactions on the efficiency of catalysis. These interactions include the effect of hydrogen bonding, redox-active metal or ligands, additional metals, *etc.* A combination of suitable design or the primary coordination sphere and inclusion of 2<sup>nd</sup> sphere residues have been found to have a profound impact on CO<sub>2</sub> reduction helping address some of the challenges discussed above.

## Molecular catalysts

The idea that transition metals can activate CO<sub>2</sub> dates back to the work by Aresta and Nobile, where a bent CO<sub>2</sub> was attached in a  $\eta^2$ -mode (through C and O atoms) with a Ni-centre.<sup>56</sup> Meshitsuka reported electrochemical CO<sub>2</sub> reduction with Co and Ni-phthalocyanines, but lacked product characterization, TON, *etc.*<sup>57</sup> Later in the 1980s, Eisenberg and co-workers reported electrochemical reduction of CO<sub>2</sub> to CO (along with H<sub>2</sub> production) with tetraaza-macrocycles of Co and Ni.<sup>58</sup> It was likely one of the first report of electrochemical CO<sub>2</sub> reduction with high current density and turn-over numbers (TON). In the following years, a number of electrocatalysts have been developed with high TONs and selectivity. We have divided this section in two major categories depending on the ligand type: (i) non-heme catalysts and (ii) heme or other macrocycle catalysts. It should be noted that in this review, we have discussed electrocatalytic reduction of carbon dioxide under homogeneous conditions only.

### Non-heme system

**Metal–bipyridine complexes.** In 1984, Lehn and co-workers reported electrocatalytic CO<sub>2</sub> reduction in the presence of a Re(bpy)(CO)<sub>3</sub>Cl (bpy = 2,2'-bipyridine) catalyst.<sup>59</sup> They found selective reduction of CO<sub>2</sub> to CO at  $-1.25$  V vs. NHE in a 9 : 1 DMF : water solution. It was later proposed that CO<sub>2</sub> binds to the Re<sup>0</sup> centre and after reductive decarbonylation generating a carboxylato-rhenium intermediate.<sup>60</sup> Upon protonation it eliminates a molecule of water and generates [Re<sup>II</sup>(bpy)(CO)<sub>3</sub>Cl]<sup>+</sup> complex which upon further reduction



regenerates the starting molecule. Tanaka and co-workers did a remarkable study in electrocatalytic CO<sub>2</sub> reduction with similar Ru-based catalysts ([Ru(bpy)<sub>2</sub>(CO)<sub>2</sub>]<sup>2+</sup> or [Ru(bpy)<sub>2</sub>(CO)Cl]<sup>+</sup>).<sup>61</sup> They showed a pH dependent product selectivity. Controlled potential electrolysis (at −1.25 V vs. NHE) at pH 6 generated a mixture of CO and H<sub>2</sub>. Alternatively, at pH 9 it generated CO and H<sub>2</sub> along with HCOO<sup>−</sup>. The reaction was proposed to be initiated by the generation of an unstable penta-coordinated Ru<sup>0</sup> intermediate ([Ru(bpy)<sub>2</sub>(CO)<sub>2</sub>]<sup>2+</sup> or [Ru(bpy)<sub>2</sub>(CO)Cl]<sup>+</sup>). In the presence of CO<sub>2</sub>, both likely generated [Ru(bpy)<sub>2</sub>(CO)(COO<sup>−</sup>)]<sup>+</sup> intermediate, which upon protonation should generate [Ru(bpy)<sub>2</sub>(CO)(COOH)]<sup>+</sup> intermediate. Under acidic condition (pH 6), further protonation releases a molecule of water to regenerate the catalyst. Under weakly alkaline condition (pH 9), it may undergo a 2e<sup>−</sup>/1H<sup>+</sup> process to regenerate the penta-coordinated Ru<sup>0</sup> intermediate releasing HCOO<sup>−</sup>.

Meyer and co-workers reported electrocatalytic CO<sub>2</sub> reduction by similar 2,2'-bipyridyl-complex of Rh and Ir.<sup>62</sup> They reported selective reduction of CO<sub>2</sub> to formate (without formation any CO during electrocatalytic condition) by *cis*-[Rh(bpy)<sub>2</sub>X<sub>2</sub>]<sup>+</sup> (where, X is Cl<sup>−</sup> or OTf<sup>−</sup>) at −1.30 V vs. NHE. They also found [M(bpy)<sub>2</sub>(CO)H]<sup>+</sup> (where M = Os, Ru) can reduce CO<sub>2</sub> to CO as major product in anhydrous acetonitrile medium.<sup>63</sup> However, up to 25% formate was observed upon addition of water into the solution. Later, Kubiak and co-workers developed a series of 2,2'-bipyridyl-complex of Re, Re(bpy-R)(CO)<sub>3</sub>Cl (where R = H/4,4'-dicarboxyl/4,4'-dimethyl/4,4'-di-*tert*-butyl/4,4'-dimethoxy) for electrocatalytic CO<sub>2</sub> reduction (Fig. 4a).<sup>64</sup> The Re(bpy-*t*Bu)(CO)<sub>3</sub>Cl was found to be most effective to selectively reduce CO<sub>2</sub> to CO at ~100% faradaic efficiencies at −1.60 V vs. NHE. The observed high selectivity over competitive proton reduction was explained using extensive kinetic investigations, which suggests that under same

condition, the reaction with CO<sub>2</sub> is ~25 times faster than that of water or methanol.<sup>65</sup>

Bourrez *et al.* reported earth abundant Mn-based catalysts, [Mn(L)(CO)<sub>3</sub>Br] complexes (where, L = 2,2'-bipyridine or 4,4'-dimethyl-2,2'-bipyridine, Fig. 4a), which can catalyze the electrochemical reduction of CO<sub>2</sub> to CO at relatively lower overpotential (at −1.10 V vs. NHE).<sup>66</sup> However, in comparison with the Re catalysts, there was one distinct difference in the corresponding Mn-catalysts, which is the tendency for dimerization after the first reduction. The irreversible loss of X (where, Cl<sup>−</sup>, Br<sup>−</sup> or OTf<sup>−</sup>) is responsible for such dimer formation (eqn (6)). This is in contrast to the Re counterparts, where the first reduction is reversible (no loss of X). Such a behaviour explains lower activity by Mn-bpy based electrocatalysts.<sup>67</sup>



To eliminate this dimerization pathway, Kubiak and co-workers developed a strategy using a bulky bipyridyl ligand, 6,6'-dimesityl-2,2'-bipyridine (mesbpy), instead of 2,2'-bipyridine (Fig. 4b). The Mn(mesbpy)(CO)<sub>3</sub>Br and [Mn(mesbpy)(CO)<sub>3</sub>(MeCN)](OTf) were shown to generate single 2e reduction wave without formation of any dimeric species. However, spectroelectrochemistry coupled with IR (IR-SEC) data suggested the formation of a Mn<sup>I</sup>-CO<sub>2</sub>H catalytic intermediate during reduction of the catalyst in presence of CO<sub>2</sub> and a weak Brønsted acid source. This intermediate requires unusual ~400 mV higher overpotential to initiate the reaction.<sup>68</sup> However, in the presence of Lewis acidic cations such as Mg<sup>2+</sup>, not only the over-potential of the reaction could be reduced to as less as 300–450 mV but the rate of the reaction can also be increased by ~10 fold.<sup>69</sup> With Mg<sup>2+</sup>, the reaction proceeds *via* the reductive disproportionation of CO<sub>2</sub> to CO and



Fig. 4 Representative molecules for bipyridine-based metal-complexes for CO<sub>2</sub> reduction.

$\text{CO}_3^{2-}$ . The catalytic activity was further improved by using the catalyst as a soluble Lewis acid adduct of  $\text{Zn}^{\text{II}}(\text{cyclam})$ , as a co-catalyst coupled with  $\text{Mn}(\text{Mesbpy})(\text{CO})_3\text{Br}$ . With this approach the usage of sacrificial additives can be avoided and the formation of insoluble products such as  $\text{MgCO}_3$  or  $\text{ZnCO}_3$  (which can change the thermodynamics of  $\text{CO}_2$  reduction) can be prevented.<sup>70</sup>

Tetracarbonyl Mo and W complex of bpy or bpy-*t*Bu,  $\text{M}(\text{bpy-R})(\text{CO})_4$  (where, M = Mo/W; R = H/*t*Bu in 4,4'-positions) were developed which has been shown to undergo electrocatalytic  $\text{CO}_2$  reduction.<sup>71</sup> N-Heterocyclic carbene based Mn-complexes,  $\text{MnBr}(\text{N-methyl-N'-2-pyridylbenzimidazol-2-ylidene})(\text{CO})_3$  and  $\text{MnBr}(\text{N-methyl-N'-2-pyridylimidazol-2-ylidene})(\text{CO})_3$  were reported to catalyze electrochemical conversion of  $\text{CO}_2$  to CO.<sup>72</sup>

Gobetto and co-workers introduced a pendant 2,6-dihydroxyphenyl group in a  $[\text{fac-Mn}(\text{dhbpy})(\text{CO})_3\text{Br}]$  (where, dhbpy = 4-phenyl-6-(1,3-dihydroxybenzen-2-yl)2,2'-bipyridine, Fig. 4c).<sup>73</sup> The catalyst can undergo electrochemical  $\text{CO}_2$  reduction upon controlled potential electrolysis in anhydrous acetonitrile to CO (70%) and formic acid (22%), even in the absence of any external proton source. Later, Bocarsly and co-workers studied the effect of pendant phenol group in a similar model,  $\text{Mn}(\text{hbpy})(\text{CO})_3\text{Br}$  (where, hbpy = 6-(2-hydroxyphenyl)-2,2'-bipyridine, Fig. 4d).<sup>74</sup> The presence of phenol group in the second coordination sphere, the catalyst showed more than seven times higher current density with 86% faradaic efficiency for CO evolution in a 5% water-acetonitrile solution relative to  $\text{Mn}(\text{bpy})(\text{CO})_3\text{Br}$  (Fig. 4b) at similar over-potential. An intramolecular proton-assisted dehydration of  $\text{Mn}(\text{hbpy})(\text{CO})_3\text{COOH}$  with lower entropy of activation was proposed to be the reason for the enhanced catalytic activity. The effect of a H-bond acceptor anisole group instead of a phenolic -OH group was investigated by Rochford and co-workers. They synthesized  $[\text{fac-Mn}^{\text{I}}\{[(\text{MeO})_2\text{Ph}]_2\text{bpy}\}(\text{CO})_3(\text{CH}_3\text{CN})](\text{OTf})$  (where,  $[(\text{MeO})_2\text{Ph}]_2\text{bpy}$  = 6,6'-bis(2,6-dimethoxyphenyl)-2,2'-bipyridine), which contained four pendant methoxy groups attached with the benzene (Fig. 4e).<sup>75</sup> In the presence of external proton source, the catalyst was shown to reduce  $\text{CO}_2$  to CO at remarkably lower over-potential. The  $[(\text{MeO})_2\text{Ph}]_2\text{bpy}$  ligand exerts an additional electronic influence along with a weak allosteric hydrogen-bonding interaction which was proposed to be responsible for lowering down the activation barrier for C-OH bond cleavage from the metallocarboxylic acid intermediate. A schematic diagram depicting the influence of the second sphere residues with the bound  $\text{CO}_2$ -adduct is shown in the inset in Fig. 4.

Nippe and co-workers have introduced a series of Lehn-type Re and Mn-complexes with pendant imidazolium moiety attached to the bipyridyl-ligand (Fig. 4f and g).<sup>76,77</sup> The results obtained with Re complexes,  $\{\text{Re}[\text{bpyMe}(\text{ImMe})](\text{CO})_3\text{Cl}\}\text{PF}_6$  and  $\{\text{Re}[\text{bpyMe}(\text{ImMe}_2)](\text{CO})_3\text{Cl}\}\text{PF}_6$  suggested that the positively charged imidazolium group lowers the over-potential of  $\text{CO}_2$  reduction (Fig. 4f). The C<sub>2</sub>-H group from the imidazolium moiety facilitates the release of  $\text{Cl}^-$  during reduction. Similarly, an extensive study was conducted on a series of Mn-complexes:  $\{\text{Mn}[\text{bpyMe}(\text{ImMe})](\text{CO})_3\text{Br}\}\text{PF}_6$ ;  $\{\text{Mn}[\text{bpyMe}(\text{ImMe}_2)](\text{CO})_3\text{Br}\}\text{PF}_6$ ;  $\{\text{Mn}[\text{bpyMe}(\text{ImMe}_4)](\text{CO})_3\text{Br}\}\text{PF}_6$  and  $\{\text{Mn}[\text{bpyMe}(\text{Im}^t\text{Bu})](\text{CO})_3\text{Br}\}\text{PF}_6$  (Fig. 4g).<sup>77</sup> All of them could catalyze the electrochemical reduction of

$\text{CO}_2$  to CO in presence of water at much lower over-potential, suggesting a strong synergistic interaction between the imidazolium groups and the water molecules. This interaction resulted in local hydration and facilitate the  $\text{CO}_2$  reduction. Like the previous Re-system, here also the 2<sup>nd</sup> sphere C<sub>2</sub>-H of the imidazolium moiety was proposed to play a crucial role.

Neumann and co-workers modified the *fac*- $[\text{Re}(\text{bpy})(\text{CO})_3\text{Cl}]$  complex and tethered a thiourea-moiety in the second coordination sphere (Fig. 4h).<sup>78</sup> The thiourea moiety may act both as hydrogen bond promoter and a proton donor. Hence, it not only stabilized the carboxylic acid intermediate but also act as local proton source to accelerate the C-O bond cleavage step of the catalytic cycle. This resulted the catalyst to undergo selective reduction of  $\text{CO}_2$  to CO at a TOF as high as  $3040 \text{ h}^{-1}$ . Machan and co-workers have reported an iron(III) chloride (Fig. 4i),  $[\text{Fe}^{\text{III}}(\text{tbudhbpy})]\text{Cl}$  (where, tbudhbpy = 6,6'-di(3,5-di-*tert*-butyl-2-hydroxybenzene)-2,2'-bipyridine) which can catalyze the disproportionation of  $\text{CO}_2$  to CO and carbonate in anhydrous DMF.<sup>79</sup> However, in the presence of an external acid source like phenol it was shown to generated formate as major product (FE ~ 68%) with  $\text{H}_2$  (FE ~ 30%) and CO (FE ~ 1%) as minor products. Recently, Marinescu and co-workers have modified rhenium tricarbonyl bipyridine moiety with pendant secondary and tertiary amines in the 6- and 6'-positions of the bipyridine group.<sup>80</sup> These catalysts have shown to reduce  $\text{CO}_2$  to CO with moderate faradaic efficiencies (51–73%).

Despite tremendous development in the  $\text{CO}_2$  reduction electrocatalysts with bipyridine-backbone, fewer studies have been carried out using first-row transition metals, except Mn. For example, no such report was present with iron, until recently, Long and co-workers have introduced a series of iron-bipyridine complexes with general formula,  $[(\text{bpy}^{\text{R}}\text{PY2Me})\text{Fe}^{\text{II}}]^+$  (where,  $\text{bpy}^{\text{R}}\text{PY2Me}$  = 6-(1,1-bis(pyridin-2-yl)ethyl)-2,2'-bipyridine) and R = H, OH, OMe, NH<sub>2</sub>, NEt<sub>2</sub>, Fig. 4j).<sup>81</sup> These complexes can undergo electrocatalytic  $\text{CO}_2$  reduction with different product selectivity depending upon the pendant protic functional groups of different acid strengths in the second coordination sphere.  $[(\text{bpy}^{\text{NHET}}\text{PY2Me})\text{Fe}^{\text{II}}]^{2+}$  appeared to be the most efficient catalyst amongst them reducing  $\text{CO}_2$  to CO. The pendant NH group was proposed to facilitate the C-O bond cleavage by acting as a local proton source. In contrast, the more acidic OH group favors the generation of  $\text{H}_2$  over CO.

**Metal-phosphine complexes.** Phosphine based electrocatalytic  $\text{CO}_2$  reduction was reported by Wagenknecht and co-worker in 1984.<sup>82</sup> They proposed a  $\text{Rh}(\text{dppe})_2\text{Cl}$  catalyst (where dppe = 1,2-bis(diphenylphosphino)ethane) catalyze the reduction of  $\text{CO}_2$  to formate with trace amount of cyanoacetate at  $-1.30 \text{ V}$  vs. NHE. Although mechanistic analysis was not carried out, it was postulated that a proton abstraction from the solvent acetonitrile was involved. Szymaszek *et al.* reported an  $\text{IrCl}(\text{CO})(\text{PPh}_3)_2$  catalyst, which can efficiently reduce  $\text{CO}_2$  to a mixture of CO and formic acid.<sup>83</sup> They proposed the formation of Ir<sup>I</sup>-hydride and hydroxo complexes during the reduction of  $\text{IrCl}(\text{CO})(\text{PPh}_3)_2$ . The hydroxo complex coordinates with  $\text{CO}_2$  while the hydrido complex assist the insertion of  $\text{CO}_2$  into the Ir-H bond to generate formic acid. In the subsequent years,



Fig. 5 Representative molecules for phosphine-based metal-complexes for CO<sub>2</sub> reduction.

Dubois and co-workers have made remarkable advancements in phosphine based CO<sub>2</sub> reduction catalysis.<sup>11,84</sup> They introduced a series of triphosphine based metal complexes with a general formula [M(triphos)(solvent)](BF<sub>4</sub>)<sub>n</sub>. Fe, Co, and Ni were selected for initial screening for electrocatalytic CO<sub>2</sub> reduction anticipating that they are not very oxyphilic in their usual oxidation states.<sup>84</sup> However, they showed poor catalytic activity in terms of over-potential and catalytic turnover. Nonetheless the redox properties of the Ni complexes were quite fascinating, which drove them to study the corresponding Pd-complexes. The Pd-complexes with general formula [Pd(triphos)PR<sub>3</sub>](BF<sub>4</sub>)<sub>2</sub> (where R = Et, Ph, OMe, CH<sub>2</sub>OH, Fig. 5a) had shown electrochemical conversion of CO<sub>2</sub> to CO in acidic acetonitrile solution. Further studies suggested dissociation of the monodentate PR<sub>3</sub> ligand with concomitant binding of solvent (acetonitrile). This labile ligand or solvent is required for the catalysis. For example, [Pd(etpC)(CH<sub>3</sub>CN)](BF<sub>4</sub>)<sub>2</sub> (where, etpC = bis(2-dicyclohexylphosphinoethyl)phenylphosphine) was shown to catalyze CO<sub>2</sub> reduction, while [Pd(dppe)<sub>2</sub>](BF<sub>4</sub>)<sub>2</sub> does not because the dissociation of the phosphine is not facile. Therefore, the active catalyst was proposed to be [Pd(triphos)(solvent)](BF<sub>4</sub>)<sub>2</sub>, where CO<sub>2</sub> replaces the labile solvent molecule.<sup>85</sup> These series of [LPd(S)]<sup>2+</sup> catalysts (where L = triphosphine ligands; S = solvent, Fig. 5a–e) were proposed to follow a general mechanistic pathway (Scheme 6). Initial reduction to Pd<sup>I</sup> is followed by the reaction with CO<sub>2</sub> to generate [LPd(S)(CO<sub>2</sub>)]<sup>+</sup> intermediate. Based on the 1<sup>st</sup> order dependence on the concentration of CO<sub>2</sub> as well as the catalyst under high acid concentrations, it was proposed that the reaction of Pd<sup>I</sup> with CO<sub>2</sub> is the rate determining step at high acid concentrations. Next, protonation, followed by second electron transfer and solvent dissociation leading to the formation of a [LPd(COOH)]<sup>+</sup> intermediate. Further protonation at one of O-atoms of CO<sub>2</sub> generates a “dihydroxy carbene” intermediate. A C–O bond cleavage, releasing a molecule of water, generates the CO-bound intermediate, [LPd(CO)]<sup>2+</sup>. In solutions with low acid

concentration, this C–O bond cleavage is proposed to be the rate limiting step. Rapid CO dissociation from the Pd<sup>II</sup>-species and solvent association completes the catalytic cycle.

To understand the effect of ligand donor strength on Pd, a series of square-planar palladium complexes containing tridentate ligands of type PXP (where X = C, N, O, S, and As) were synthesized. None of them were as effective as the triphosphine complex. During electrocatalysis, they were found to generate H<sub>2</sub> instead of CO. The selectivity for producing H<sub>2</sub> or CO appears to depend on the basicity or the redox potential of the complexes. Complexes with more negative redox potential, increases the basicity of the Pd-atom favouring the formation of Pd-hydride,



Scheme 6 General mechanistic scheme for the electrocatalytic CO<sub>2</sub> reduction by [Pd(triphosphine)(S)]<sup>2+</sup> catalysts.



which eventually generates  $\text{H}_2$ . While, with less negative redox potentials or a less basic Pd-atom favours protonation of the "O"-atom of the coordinated  $\text{CO}_2$  to generate  $\text{CO}$ .<sup>86</sup> An Ir-pincer complex,  $\text{Ir}(\text{PCP})\text{-H}_2(\text{MeCN})$ , was developed (Fig. 5f) which catalyzed electrochemical  $\text{CO}_2$  reduction to generate formate, selectively.<sup>87</sup> Only a small amount of  $\text{H}_2$  and  $\text{CO}$  was detected.

A unique dendritic complex was synthesized (Fig. 5g) where the central-Pd is surrounded by four Pd-atoms linked to the terminal phosphorus *via* an ethylene linkage.<sup>88</sup> The idea was to enhance the rate and/or decreasing the overpotential of  $\text{CO}_2$  reduction by co-operative binding of  $\text{CO}_2$ . But the complex failed to show greater catalytic activity, instead it appeared to be a poor catalyst relative to its monomeric analogue. Later, a bimetallic complex was synthesized (Fig. 5h) which can be viewed as two  $[\text{Pd}(\text{triphos})(\text{solvent})]^{2+}$  units linked through a methylene bridge.<sup>89</sup> This complex could reduce  $\text{CO}_2$  to  $\text{CO}$  at relatively lower potential ( $-1.05 \text{ V}$  vs. NHE) with  $\sim 1000$  times enhancement in rate relative to the monomer. At higher acid concentration, the reaction was 1<sup>st</sup> order with respect to  $[\text{catalyst}]$  and 1<sup>st</sup> order in  $[\text{CO}_2]$ , indicating involvement of two Pd-atoms per one molecule of  $\text{CO}_2$ . In contrast with the monomer (*vide supra*), at lower acid concentration, the reaction is 1<sup>st</sup> order with respect to  $[\text{acid}]$  instead of a 2<sup>nd</sup> order dependence. This clearly indicates the second Pd-atom binds with the "O-atom" of the bound  $\text{CO}_2$  at the transition state instead of a proton. However, formation of Pd-Pd bond deactivated the catalyst and only a TON of 10 could be obtained. As an extension of the logic flow, a pendant phosphonium ion was introduced (Fig. 5i) which can stabilize the negatively charged "O<sup>-</sup>"-atom of  $\text{CO}_2$  at the transition state *via* coulombic interaction.<sup>90</sup> Although, the rate of  $\text{CO}_2$  binding was doubled, no catalytic rate enhancement was observed.

Previously, Kubiak and co-workers reported a binuclear "cradle"-type complex,  $[\text{Ni}_2(\mu\text{-CNMe})(\text{CNMe})_2(\text{dppm})_2](\text{PF}_6)_2$ , (where  $\text{dppm}$  = bis(diphenylphosphino)methane, Fig. 6a) to catalyze the reduction of  $\text{CO}_2$  with quite low overpotential,

$-0.67 \text{ V}$  vs. NHE. But, with time it ultimately undergoes complete carbonylation to afford  $\text{Ni}_2(\text{CO})_3(\text{dppm})_2$ .<sup>91</sup> A series of alkyl isocyanide bridged binuclear  $\text{Ni}^0$ -clusters with the general formula  $[\text{Ni}_2(\mu\text{-dppa})_2(\mu\text{-CNR})(\text{CNR})_2]$  (where,  $\text{dppa}$  = bis(diphenylphosphine)amine;  $\text{R} = \text{Me}, n\text{-Bu}, 2,6\text{-Me}_2\text{C}_6\text{H}_3$ , Fig. 6b) were reported to undergo reductive disproportionation of  $\text{CO}_2$  to  $\text{CO}$  and carbonate ion.<sup>92</sup> Formate was produced in the presence of proton (from residual water). During catalysis the generated  $\text{CO}$  was found to be trapped by the catalyst. An interesting binuclear copper catalyst was developed (Fig. 6a),  $[\text{Cu}_2(\mu\text{-PPh}_2\text{bpy})_2(\text{MeCN})_2][\text{PF}_6]_2$  (where  $\text{PPh}_2\text{bpy}$  = 6-(diphenylphosphino)-2,2'-bipyridyl, Fig. 6c).<sup>93</sup> It was shown to undergo electrochemical  $\text{CO}_2$  reduction to  $\text{CO}$  and  $\text{CO}_3^{2-}$  at  $-1.35 \text{ V}$  vs. NHE. The unique bipyridine based ligand scaffold acts as an electron reservoir for these systems, where the electrons are localized in the  $\pi^*$ -orbitals of the bipyridine-fragment. A turn-over frequency (TOF) of  $> 2 \text{ h}^{-1}$  was maintained throughout an experiment for 24 h and recovered quantitatively in its original form after the electrolysis.

As an extension of the concept of co-operative  $\text{CO}_2$  binding and reduction, a series of isocyanide and  $\text{CO}$  bound and halide capped trinuclear-nickel clusters were developed (Fig. 6d) with the general formula,  $[\text{Ni}_3(\mu_3\text{-L})(\mu_3\text{-X})(\mu_2\text{-dppm})_3]^{n+}$  (where  $\text{L} = \text{I}^-, \text{Br}^-, \text{CO}, \text{CNR}$ ;  $\text{X} = \text{I}^-, \text{Br}^-$ ;  $n = 0, 1$ ).<sup>94</sup> They were reported to undergo reductive disproportionation of  $\text{CO}_2$  to  $\text{CO}$  and  $\text{CO}_3^{2-}$  at relatively lower overpotential indicating co-operativity due to the presence of a trinuclear Nickel cluster. Although the reduction potentials of these molecules fall into a relatively narrow range of  $-0.84 \text{ V}$  to  $-0.94 \text{ V}$  vs. NHE, the slight difference in redox potentials affect the rate of  $\text{CO}_2$  reduction dramatically. This clearly invokes the influence of the substituents of the capping ligand.

Artero and co-workers reported a series of Co-diphosphine electrocatalysts (Fig. 6e-h) to convert selectively  $\text{CO}_2$  to formate in DMF-water system with high faradaic efficiency ( $> 90\%$ ) and at moderate overpotential (500–700 mV in DMF).<sup>95</sup> The catalysts



Fig. 6 Representative non-heme molecules for electrocatalytic  $\text{CO}_2$  reduction with influence from second coordination sphere.

had a general formula,  $[\text{CpCo}(\text{P}^{\text{R}}_2\text{N}^{\text{R}'}_2)\text{I}]\text{I}$  (where,  $\text{P}^{\text{R}}_2\text{N}^{\text{R}'}_2 = 1,5\text{-diaz-3,7-diphosphacyclooctane}$ ). Cyclohexyl or phenyl substituents on P and benzyl or phenyl substituents on N were employed, to tune the donor ability of P and basicity of the N. Similar Ni-complexes were known for efficient formate oxidation.<sup>96–98</sup> The high selectivity for formate (instead of CO or  $\text{H}_2$  formation) was postulated to be due to higher hydricity of the  $\text{CpCo}^{\text{II}}$ (diphosphine)–H intermediate, they undergo hydride transfer to  $\text{CO}_2$ . However, the pendant amine groups are not directly involved in proton transfer, but they stabilize the intermediates through H-bonding with the water molecules during hydride transfer. With the most electron-donating phosphine ligand and the most basic amine group, the catalyst could show an excellent TOF of  $>1000 \text{ s}^{-1}$  which is much higher than other formate reducing catalysts.<sup>87,99–101</sup>

### Heme and other macrocyclic system

**Metalloporphyrin complexes.** Initially  $\text{Fe}^0$ -porphyrins were anticipated to participate in outer-sphere electron transfer due to its fast conversion to the corresponding  $\text{Fe}^{\text{I}}$ -porphyrins and the redox process is reversible. But it reacted with the aliphatic halides or vicinal dibromides at much faster rate than that expected for undergoing an outer-sphere electron transfer process. Hence, an inner-sphere process was anticipated through the bond formation between iron and electrophilic centre, such as  $\text{CO}_2$ .<sup>102–106</sup> In the presence of  $\text{CO}_2$ , an increment of electrocatalytic current at the  $\text{Fe}^{1/0}$  potential signalled electrocatalytic  $\text{CO}_2$  reduction by iron porphyrins.<sup>107</sup>  $\text{Fe}^0$ -Porphyrins have shown selective reduction of  $\text{CO}_2$  to CO at  $-1.55 \text{ V}$  vs. NHE. However, in the presence of tetraalkylammonium salts as supporting electrolytes in DMF, the porphyrin undergoes carboxylation and/or hydrogenation after a few cycles. Savéant and co-workers introduced a hard electrophile such as  $\text{Mg}^{2+}$  ion, which not only improved stability of the catalyst, but also improved the rate of CO production.<sup>108</sup> The reaction was believed to proceed with the binding of one  $\text{CO}_2$  molecule to the  $\text{Fe}^0$ -porphyrin. Another molecule of  $\text{CO}_2$ , being a Lewis acid assisted the cleavage of one of the C–O bond generating  $\text{Fe}^{\text{II}}$ –CO and  $\text{MgCO}_3$ . The order of reactivity is proportional to the ionic potential of the metal, *i.e.*,  $\text{Mg}^{2+} \cong \text{Ca}^{2+} > \text{Ba}^{2+} > \text{Li}^+ > \text{Na}^+$ .<sup>109</sup> Now, the mechanism of the synergistic effect should be of the same nature as with weak Brønsted acids, where ion-pairing will be replaced by hydrogen bonding.<sup>110</sup> It had been demonstrated using weak acids, such as 1-propanol, 2-pyrrolidone, and  $\text{CF}_3\text{CH}_2\text{OH}$  could improve both efficiency and life time of the catalyst without significant  $\text{H}_2$  generation.<sup>109</sup> A  $350 \text{ h}^{-1}$  TON could be achieved. The major canonical form of the initial  $\text{CO}_2$ -adduct was proposed to be  $\text{Fe}^{\text{II}}$ – $\text{CO}_2^{2-}$ , stabilized by H-bonding with two acid synergist molecules. Stronger acids (such as  $\text{CF}_3\text{CH}_2\text{OH}$ ) could catalyze the transformation selectively to CO, while weaker acids (like 1-propanol) generates formic acid to some extent. A minor resonant structure of the initial  $\text{CO}_2$ -adduct,  $\text{Fe}^{\text{I}}$ – $\text{CO}_2^-$  was proposed to be responsible for the release of formic acid in presence of weaker acids.

The mode of activation relies on the ion-pairing with the negatively charged “O”-atoms of the Fe bound- $\text{CO}_2$ . This invokes a “push-pull” mechanism, *i.e.*, an electron pair is pushed from the  $\text{Fe}^0$  into the  $\text{CO}_2$  molecule. This process is temperature dependent and at  $-40^\circ\text{C}$ , the reaction proceeds with the involvement of two  $\text{CO}_2$  molecules, while at room temperature only one  $\text{CO}_2$  was proposed to be present in the iron-coordination sphere. It required either one divalent cation or two mono-valent cations for the activation. This is an example of a bimetallic catalysis, where an electron-rich  $\text{Fe}^0$ -center initiates the reaction with  $\text{CO}_2$  and an electron-deficient metal-centre assists the C–O bond cleavage to accelerate the reaction, mimicking the natural process catalyzed by enzyme,  $[\text{NiFe}]$ -CODH. Naruta and co-workers reported a series of bio-inspired cofacial iron-porphyrin dimer (*o*- $\text{Fe}_2\text{DTPP}$ , Fig. 7g and h) with the  $\text{Fe} \cdots \text{Fe}$  separation of  $3.4\text{--}4 \text{ \AA}$ , anticipating easy access and co-operative binding of the linear  $\text{CO}_2$  molecule ( $2.32 \text{ \AA}$ ) in between.<sup>111</sup> The high selectivity for CO (with 95% faradaic efficiency) at a considerably higher rate (TOF  $\sim 4300 \text{ s}^{-1}$ ) was attributed to the influence of second iron, which is absent in  $\text{FeTPP}$  or *m*- $\text{Fe}_2\text{DTPP}$  (Fig. 7h).

The reaction of  $\text{CO}_2$  with  $\text{Fe}^0$ -porphyrin was proposed to be proceed through the formation of  $\text{CO}_2$  adduct (Scheme 7). The adduct is stabilized in presence of external acid source (AH). A second molecule of AH is involved in generation of a precursor complex, where a H-bond is being formed between one of the “O” of  $\text{CO}_2$  and the external proton source. Heterolysis of the C–O bond followed by reductive decarbonylation regenerates the catalyst. The rate-determining step is proposed to be the cleavage of the C–O bond, where an electron transfer (ET) from the central iron-atom is concerted with proton transfer (PT). Therefore, invoking an intramolecular concerted proton electron transfer with bond cleavage mechanism operated in the rate-determining step.<sup>112</sup>

Based on the observed role of proton donors, it was anticipated that tethering acid functionality with the catalyst should accelerate the reduction process by increasing the local proton concentration, which is otherwise impossible to attain in such high amount considering bimolecular reaction condition. A series of *meso*-phenyl substituted iron-porphyrins were synthesized with varying tethered phenol groups (Fig. 7a–c).<sup>113</sup> The eight phenolic protons in CAT (Fig. 7a) was estimated to operate with phenol concentration as high as  $150 \text{ M}$  and it could efficiently converted  $\text{CO}_2$  to CO with high rate and faradaic efficiency. On the contrary, the octa-methoxy analogue,  $\text{Fe}^0\text{TDMPP}$  (Fig. 7b) was a poor catalyst with large over-potential and low TOF, invoking the importance of the intramolecular proton source in catalysis.<sup>114</sup> On an effort to lowering down the over-potential, a fluorinated analogue of CAT was considered, FCAT (Fig. 7c), anticipating the electron withdrawing inductive effect of the F-atoms. Despite lowering down the electron density over iron-centre, this catalyst was found to be one of the best electrocatalysts to convert  $\text{CO}_2$  to CO, selectively.<sup>115</sup> The effect of solvent in electrocatalytic  $\text{CO}_2$  reduction was reported by Warren and co-workers using a 2-hydroxyphenyl substituted TPP (TPOH, simpler version of CAT,

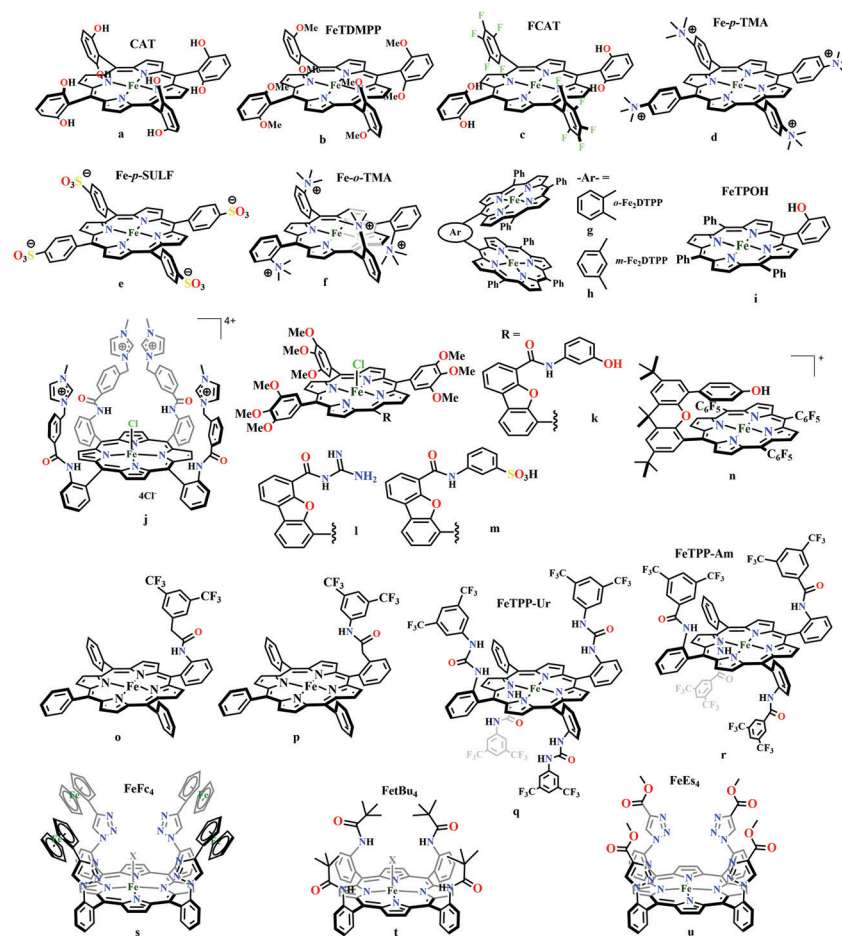
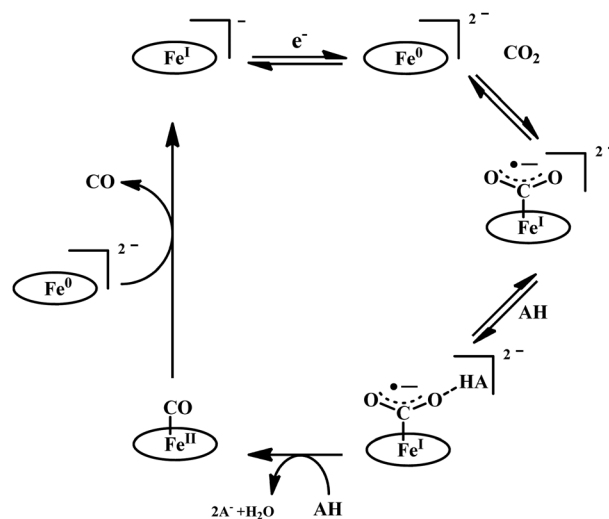


Fig. 7i).<sup>116</sup> The Fe-TPOH complex was shown to reduce CO<sub>2</sub> to CO (96% FE) at TOF ~ 4500 s<sup>-1</sup> in acetonitrile in presence of weak Brønsted acids (1 M water or 8 mM phenol). In contrast, the catalyst was found to be very poor in DMF. Based of Abraham's H-bond acidity<sup>117</sup> and basicity<sup>118</sup> as metrics, it has been suggested that DMF being a strong H-bond acceptor, plays a detrimental role in catalysis, where H-bonding is very crucial in accelerating the rate. Substituting the four *meso-p*-hydrogens of FeTPP by trimethylammonium groups (WSCAT) could afford formation of a water-soluble porphyrin (Fig. 7d). Under neutral pH, it catalyzes the reduction of CO<sub>2</sub> to CO, selectively.<sup>119</sup>

The enhanced catalytic activity in CAT and FCAT was a direct proof of participation of pendant OH-functionalities installed in the catalysts. Appearance of a distinct pre-wave in front of the catalytic wave was suggestive of protonation of the  $\text{Fe}^0\text{-CO}_2$  adduct prior to further reduction.<sup>120</sup> Therefore, the stabilization of the  $\text{Fe}^0\text{-CO}_2$  adduct through H-bonding with the pendant OH-groups is likely the main reason for such efficient catalysis (Scheme 8). The protonation is conducted from the pendant phenol groups, while the re-protonation of the phenoxide occurs from the externally added phenol. Hence, the role of the pre-positioned phenol groups was proposed to be as H-bond stabilizers and maintaining high concentration proton donor. The next step is concerted electron transfer and proton transfer with



**Scheme 7** Proposed mechanistic pathway for the reduction of CO<sub>2</sub> to CO by Fe-porphyrin electrocatalysts.

the cleavage of one of the two C–O bonds (CPETBC) of the bound CO<sub>2</sub>. However, the intermediates proposed were based on simulation of electrochemical parameters, no such intermediate was isolated or spectroscopically characterized.





Scheme 8 Proposed mechanistic pathway for the reduction of  $\text{CO}_2$  to CO by Fe-porphyrin electrocatalysts with pendant acid functionality,  $(\text{OH})_4$ .

The reaction intermediate was probed by trapping reaction intermediates taking advantage of porphyrins bearing hydrogen bonding residues.<sup>121–123</sup> At least two intermediates were observed using resonance Raman spectroscopy in an iron-porphyrin complex bearing a distal H-bonding pocket (Fig. 7u) involved in the homogeneous chemical reduction of  $\text{CO}_2$  (Scheme 9).<sup>124</sup> The first intermediate (intermediate I), which could only be stabilized

at  $-95^\circ\text{C}$ , was found to be a  $\text{Fe}^{\text{II}}\text{-CO}_2^{2-}$  adduct. Warming up the solution to  $-80^\circ\text{C}$  lead to the protonation in presence of weak acid (methanol) to give rise to another intermediate; intermediate II. The intermediate II was established to be a  $\text{Fe}^{\text{II}}\text{-COOH}$  species (using labelled  $\text{CO}_2$  and proton). In the presence of phenol as acid source, only intermediate II could be observed even at  $-95^\circ\text{C}$  and no intermediate I could be isolated. On the contrary, in presence of strong acid like *p*-toluenesulfonic acid the product,  $\text{Fe}^{\text{II}}\text{-CO}$ , species was generated rapidly at  $-95^\circ\text{C}$ . Intermediate I being very basic can abstract proton rapidly even from MeOH while intermediate II requires a strong acid to cleave the C–O bond to eliminate water. In the absence of strong acid, intermediate II gradually released formic acid. This investigation realized the definitive role of the M–COOH species in determining the selectivity of  $\text{CO}_2$  reduction; a C-protonation leads to  $\text{HCOOH}$  while an –OH protonation releases CO.

The pendant OH-groups can stabilize the negatively charged  $[\text{porphyrin-Fe}^0\text{-CO}_2]^{2-}$  adduct through the formation of H-bond. However, this intermediate may also be stabilized by coulombic interactions with positively charged groups attached to the porphyrin. For example, the WSCAT (or Fe-*p*-TMA, Fig. 7d) can boost the catalysis, while replacing trimethylammonium with sulfonate (Fe-*p*-SULF, Fig. 7e) shows reduced catalytic effect. However, replacing four-*ortho* hydrogens by trimethylammonium group (Fe-*o*-TMA, Fig. 7f), can catalyze the reduction of  $\text{CO}_2$  to CO almost exclusively and that too with a TOF of  $10^6\text{ s}^{-1}$  and very low over-potential ( $\sim 200\text{ mV}$ ).<sup>125</sup>



Scheme 9 Proposed mechanistic cycle for the reduction of  $\text{CO}_2$  by a distal-triazole containing Fe-porphyrin. The vibrations (in  $\text{cm}^{-1}$ ) are given in the boxes.

Aukauloo and co-workers have attached methylimidazolium fragments as ionic liquid units, modifying the  $\alpha_4$ -tetra-aminophenylporphyrin (Fig. 7j).<sup>126</sup> The cationic pendant methylimidazolium was introduced to stabilize the negatively charged Fe-CO<sub>2</sub> adduct. The catalyst was shown to be very active electrocatalyst to reduce CO<sub>2</sub> to CO at only 418 mV over-potential in water with 91% faradaic efficiency and higher TOF, TON values of 14 986 s<sup>-1</sup> and 1.08 × 10<sup>8</sup>, respectively. Nocera and co-workers have shown the proton-relay in iron hangman porphyrins with phenol (HPDFe-PhOH, Fig. 7k), guanidinium (HPDFe-GND, Fig. 7l), and sulfonic acid (HPDFe-3SA, Fig. 7m) proton donor groups.<sup>127</sup> Selective reduction of CO<sub>2</sub> to CO with >93% faradaic efficiencies were described where the CO<sub>2</sub> binding within the hangman-cleft was proposed to determine the rate of the reaction. Deprotonation of the hanging sulfonate group was responsible for exhibiting lower TOF due to unfavourable electrostatic interaction with the negatively charged CO<sub>2</sub>-adduct. Despite tremendous rate acceleration by the hanging phenolic group, often improper alignment of the activating group may exert ill-defined results. For example, in the case of complex **7n** (Fig. 7n), the axial Cl<sup>-</sup>, forming a H-bond with the phenolic-OH group renders an inefficient CO<sub>2</sub> reduction, compared to the same complex with triflate ion.<sup>128</sup> Therefore, distal H-bonding interaction plays a key role in determining the stability of the intermediates affecting the product selectivity as well as the rate of the reaction as suggested by the solution mechanistic investigation. Hence, a proper estimation about the role of distal residues was warranted.

Recalling the role of His and Lys in the activation of CO<sub>2</sub> in [NiFe]CODHs, Chang and co-workers have synthesized a series of *ortho*- and *para*-substituted amide pendants at different positions from the porphyrin-plane (e.g., complex **7o-p** in Fig. 7).<sup>129</sup> The *ortho*-functionalized positional isomers (unlike *para*-functionalized) were found to engage in through-space interactions to enhance the rate of the electrochemical conversion of CO<sub>2</sub> to CO. The complex with a distal amide, **7p** was involved in greater enhancement over **7o**, demonstrating the importance of precise geometric orientation of 2<sup>nd</sup> sphere pendants for designing effective catalyst. A series of iron-porphyrins with different distal environment spanning from amide (Fe picket-fence porphyrin) to triazole-with different substituents (complex **7t** and **7u** in Fig. 7).<sup>130</sup> They exhibit TOFs ranging from 1–1000 s<sup>-1</sup> without changing the pK<sub>a</sub> of the external acid source used (phenol). Theoretical calculations (DFT) suggested the intermediate-I (Fe-CO<sub>2</sub><sup>-</sup>) is better stabilized by coulombic interactions, while the C-OH bond cleavage from the intermediate-II (Fe-COOH) is greatly influenced by the distal hydrogen bonding interactions. H-bonding alone could tune the rate of the CO<sub>2</sub> reduction by as much as 1000-fold without change of overpotential or proton source. On a similar note, Aukauloo and co-workers pointed out the influence of multi-point H-bonding in the super-structured iron-porphyrin bearing urea (FeTPP-Ur, **7q**) and amide (FeTPP-Am, **7r**) functionalities in comparison with FeTPP.<sup>131</sup> In comparison with FeTPP and FeTPP-Am (can make single-point H-bond), the pendant urea-functionalized FeTPP-Ur can reduce the over-potential of CO<sub>2</sub> to CO electroreduction by ~300 mV while retaining high TOFs.

Also, the entrapped water molecules within the molecular clefts were found to be sufficient as a proton source.

Therefore, the Fe<sup>0</sup>-porphyrins are generally found to be biased for catalysing the reduction of CO<sub>2</sub> to CO, where “pull-effect” from the secondary coordination sphere boosts up the rate of C-O bond cleavage up to 10<sup>6</sup> s<sup>-1</sup>. In absence of activators (such as external/internal acid source), the rate is relatively slow and formate is produced as a by-product likely *via* C-protonation of the Fe<sup>II</sup>-COOH intermediate as demonstrated by Mondal *et al.*<sup>124</sup> However, the reduction can be directed for the production of formate by the introduction of tertiary amines.<sup>132</sup> Greater catalytic activity is attributed with the higher basicity of the tertiary amine. The axial amine appears to push the electron density over the bound “C”-atom (recalling *trans*-effect), thereby increasing the basicity on the C-atom of the Fe-COOH intermediate to allow the protonation and facilitate dissociation of formate (Scheme 10). The use of a weaker acid is warranted to avoid the formation of metal-hydride to undergo HER.

Conceptual development of a suitable electrocatalysts for efficient CO<sub>2</sub> reduction (in terms of over-potential, rate, stability, *etc.*) is quite fascinating for academic interests but its practical implementation is seriously deterred by the requirement of pure CO<sub>2</sub>.<sup>133</sup> Considering the abundance of O<sub>2</sub> over CO<sub>2</sub> in the atmosphere and in flue gases (8–15% CO<sub>2</sub>), one of the major challenges in practical CO<sub>2</sub> reduction is the O<sub>2</sub>-sensitivity of the low-valent metals. Note, O<sub>2</sub> (0.83 V vs. NHE at pH 7)<sup>134</sup> has much higher reduction potential in comparison with CO<sub>2</sub>. However, due to kinetic limitations, reduction of O<sub>2</sub> to water is also very challenging, leading to the generation of partially reduced oxygenated species (PROS) such as superoxide, peroxide, *etc.*, which are very reactive often responsible for irreversible catalyst degradation.<sup>135–137</sup> Hence, an O<sub>2</sub>-tolerant catalyst scheme is warranted. Successful technologies from hydrogen-evolving catalysts suggests three ways this can be achieved:<sup>138–145</sup> (a) using a co-catalyst, which can scavenge any PROS; (b) the catalyst by itself can reduce O<sub>2</sub> to water without releasing PROS and (c) choosing appropriate catalyst which can react selectively with CO<sub>2</sub> over O<sub>2</sub>. The FeFc<sub>4</sub> (Fig. 7s) complex has been known to catalyze the 4e/4H<sup>+</sup> reduction of O<sub>2</sub> to water over a wide range of pH.<sup>146</sup> The three of the four appended ferrocene-moieties and the central Fe<sup>II</sup>-atom give the required four electrons, while protons are derived from water.<sup>123</sup> Hence this bi-functional catalyst was chosen to device an O<sub>2</sub>-tolerant CO<sub>2</sub> catalyst, where the Fe<sup>0</sup>-state was activated for selective CO<sub>2</sub> reduction (kinetic advantage) to CO, while Fe<sup>II</sup>-state was activated for fast and selective O<sub>2</sub> reduction to water (Scheme 11).<sup>147</sup> Furthermore the Fe<sup>0</sup>-porphyrins was found to react with CO<sub>2</sub> with ~500 times faster rate relative to O<sub>2</sub> offering selective CO<sub>2</sub> reduction even in



Scheme 10 Contrasting pathways in CO<sub>2</sub> reduction.



Scheme 11 General reaction scheme of  $\text{O}_2$ -tolerant electrocatalytic  $\text{CO}_2$  reduction by  $\text{FeFc}_4$ .

3 : 1 mixture of  $\text{O}_2$  :  $\text{CO}_2$ . This was the first, and till date the only, report of any  $\text{O}_2$ -tolerant low-valent transition metal-based  $\text{CO}_2$  reduction catalyst.

**Macrocyclic complexes other than porphyrin.** Mehitsuka and co-workers identified for the first time that the transition metals can catalyze electrochemical  $\text{CO}_2$  reduction using Co and Ni-phthalocyanine complexes.<sup>148</sup> Eisenberg and co-workers reported electrocatalytic  $\text{CO}_2$  reduction to CO or a mixture of CO and  $\text{H}_2$  at a potential range of (1.05–1.35 V) vs. NHE employing a series of Co and Ni-bound tetra-aza macrocyclic ligands.<sup>58</sup> Sauvage and co-workers have studied the electrochemical  $\text{CO}_2$  reduction in  $[\text{Ni}^{\text{II}}(\text{cyclam})]$  (where, cyclam = 1,4,8,11-tetraazacyclotetradecane) complexes (Fig. 8a and b).<sup>149–151</sup> The complexes appeared to be extremely stable, highly selective (with faradaic efficiency up to 96% for CO production) and operated at very low over-potential (−0.62 V vs. NHE) even under aqueous conditions. In dry DMF, the catalyst was biased to the formation of formate. The

intermolecular H-bonding with the solvent molecules along with the intramolecular H-bonding with the cyclam-NH was proposed to be key reason for such high reactivity and selectivity.<sup>150</sup> Similar moieties with unsaturated or open-chain forms were shown to have poor catalytic response relative to the cyclam complexes. However, these macrocycles were found to be very pH sensitive and also required mercury electrodes for turnover. Later, Anson and co-workers suggested the high electrocatalytic activity originated from the  $[\text{Ni}^{\text{I}}(\text{cyclam})]$  complex adsorbed on the mercury surface.<sup>152</sup> Also, during long term electrolysis without stirring the catalyst was found to react with CO to form a CO-bound insoluble complex,  $[\text{Ni}^0(\text{cyclam})(\text{CO})]$ .<sup>153</sup> The N–H groups attached to the cyclam were found to be essential for their stability over mercury-surface. Replacing N–H with *N*-methyl not only enhanced the tendency to adsorb over mercury-surface but also destabilized the corresponding  $\text{Ni}^{\text{I}}$ -complexes.<sup>154</sup> Fujita and co-workers reported similar cyclam-like 14 membered  $\text{N}_4$ -macrocycles,  $[\text{Ni}^{\text{II}}(\text{HTIM})]$  (where, HTIM = C-RRSS-2,3,9,10-tetramethyl-1,4,8,11-tetraazacyclotetradecane, Fig. 8c and d) and  $[\text{Ni}^{\text{II}}(\text{DMC})]$  (where, DMC = C-meso-5,12-dimethyl-1,4,8,11-tetraazacyclotetradecane), which were shown to be excellent catalysts for selective reduction of  $\text{CO}_2$  to CO.<sup>155</sup> With time several other catalysts have been developed whereby by simply changing the pH of the solution the product selectivity could be manipulated. A series of other Ni-macrocycles were developed,  $[\text{Ni}^{\text{II}}(\text{MTC})]$  (where, MTC = 2,3-trans-cyclohexano-1,4,8,11-tetraazacyclotetradecane,

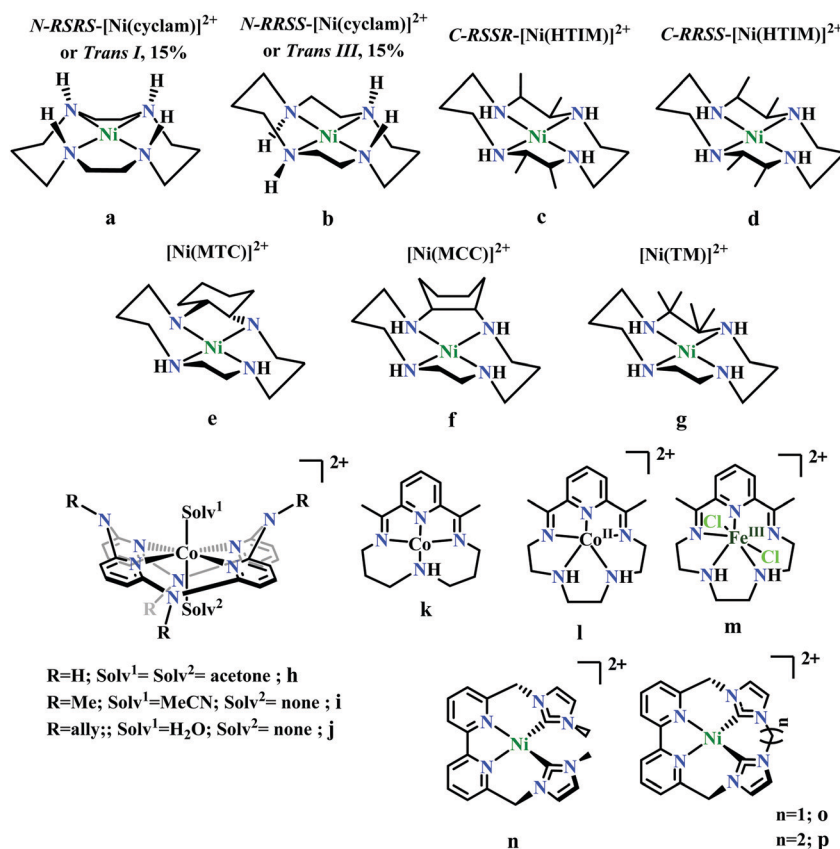


Fig. 8 Representative macrocyclic complexes for electrocatalytic  $\text{CO}_2$  reduction.

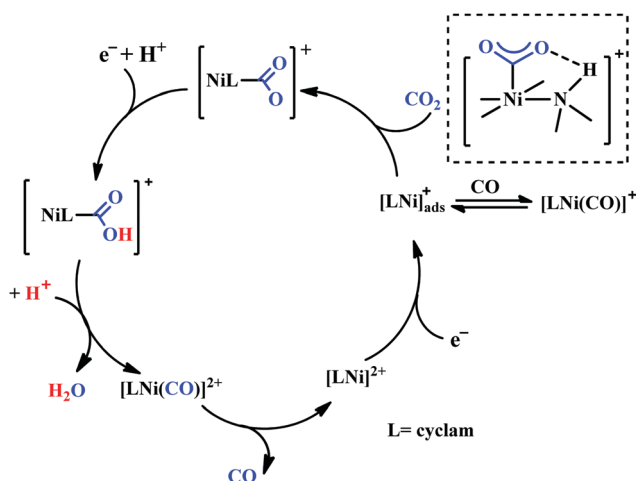
Fig. 8e),  $[\text{Ni}^{\text{II}}(\text{MCC})]$  (where, MCC = 2,3-cis-cyclohexano-1,4,8,11-tetraazacyclotetradecane, Fig. 8f) and  $[\text{Ni}^{\text{II}}(\text{TM})]$  (where, TM = 2,3-tetramethyl-1,4,8,11-tetraazacyclotetradecane, Fig. 8g).<sup>156</sup>  $[\text{Ni}^{\text{II}}(\text{HTIM})]$  and  $[\text{Ni}^{\text{II}}(\text{MTC})]$  were shown to be more efficient over  $[\text{Ni}^{\text{II}}(\text{cyclam})]$  and at pH 5, they were found to reduce  $\text{CO}_2$  to CO selectively with  $88 \pm 7\%$  faradaic efficiency and with  $\sim 550$  mV over-potential. When the pH was lowered to 2, the competitive HER was started to occur at 750 mV over-potential and at 870 mV over-potential, the catalysts was completely biased for  $\text{H}_2$  evolution. It is well established that among the five possible planar conformational isomers, in aqueous solution,  $[\text{Ni}^{\text{II}}(\text{cyclam})]$  predominantly exists in the *trans*-III ( $\sim 85\%$ ) and *trans*-I ( $\sim 15\%$ ) configuration (Fig. 8a and b).<sup>157</sup> However, the  $\text{CO}_2$  binding with the *trans*-I was proposed to be more favourable in comparison with *trans*-III.<sup>156,158</sup>

Based on the initial work from Sauvage followed by Anson, Kubiak and Ye, a plausible mechanistic cycle has been proposed (Scheme 12).<sup>150,152,153,159–161</sup> Initial electron-transfer to  $[\text{Ni}^{\text{II}}(\text{cyclam})]$  generates the one electron reduced species,  $[\text{Ni}^{\text{I}}(\text{cyclam})]$ , which gets adsorbed on the electrode surface. In the presence of  $\text{CO}_2$ , the adsorbed  $[\text{Ni}^{\text{I}}(\text{cyclam})]$  likely forms an adduct with  $\text{CO}_2$ , which gets stabilized by H-bonding to the adjacent N–H groups. Further, reduction and protonation lead to the formation of  $[\text{Ni}^{\text{I}}(\text{cyclam})(\text{COOH})]$  intermediate, which upon protonation generates  $[\text{Ni}^{\text{II}}(\text{cyclam})(\text{CO})]$  releasing a molecule of water. Reductive decarbonylation regenerates the active-catalyst,  $[\text{Ni}^{\text{I}}(\text{cyclam})]$ . The facile and faster formation of  $[\text{Ni}^{\text{I}}(\text{cyclam})(\text{CO})]$  limits the rate of the process. Kubiak and co-workers have used an efficient CO-scavenger,  $[\text{Ni}^{\text{II}}(\text{TMC})]$  (where, TMC = 1,4,8,11-tetramethyl-1,4,8,11-tetraazacyclotetradecane) to prevent from the catalyst deactivation.<sup>160</sup> Shafaat and co-workers used a semi-enzymatic model by introducing  $[\text{Ni}^{\text{II}}(\text{cyclam})]$  into a Cu-containing biological scaffold, azurin, which can provide a well-defined secondary coordination sphere to modulate catalytic efficiency and selectivity.<sup>161</sup> Chang and co-workers have realized the effect of additives which can stabilize the  $\text{CO}_2$ -adduct in  $[\text{Ni}^{\text{I}}(\text{cyclam})]$  through H-bonding of different strength.<sup>162</sup> A bis(aryl)urea additive could afford an enhanced current increment relative

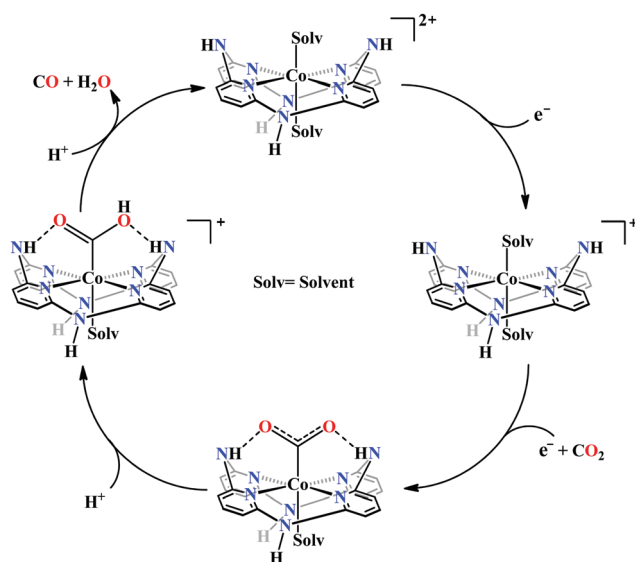
to isostructural amide additive. A co-operative multi-point H-bonding in urea-additive was proposed for such augmented catalytic activity.

Marinescu and co-workers have introduced cobalt-bound azacalix[4](2,6)-pyridine framework with different substitutions on the pendant secondary and tertiary (methyl and allyl) amines (Fig. 8h–j).<sup>163</sup> In the presence of weak Brønsted acid, the complex with N–H pendant can catalyze the reduction of  $\text{CO}_2$  to CO with  $\sim 98\%$  faradaic efficiency. While the other pendant *N*-alkyl variants were found to have poor catalytic rates which were lower by at least two order of magnitude. The pendant N–H groups were proposed to stabilize the  $\text{Co}^0\text{--CO}_2$  adduct (through intramolecular H-bonding) as well as promote the C–OH bond cleavage to release CO (Scheme 13). Also, the N–H groups were proposed to decrease the over-potential by increasing the  $\text{Co}^{\text{I}/0}$  reduction potential. Unlike cyclam, this macrocycle is unique because here the pendant amines lie completely outside the primary coordination sphere of the metal-centre which allows discrete control over the number and configuration of the pendant proton donors in the outer sphere of the metal-centre without interfering in its primary coordination sphere.<sup>164</sup> To discern the roles of the first and second coordination spheres in  $\text{CO}_2$  reduction catalysis, a series of cobalt complexes were synthesized with varying pendant secondary and tertiary amines. The theoretical calculations suggested that the N–H group was not transferring the proton directly to the bound  $\text{CO}_2$ , in contrast, they bind acid molecules from the solution. Hence, in the rate-determining step each pendant amine was proposed to bind an acid molecule non-cooperatively which eventually activate and enhance the local concentration of proton donors around the COOH adduct.

Peters and co-workers made a macrocyclic-cobalt complex with redox non-innocent ligand,  $[\text{Co}^{\text{III}}\text{N}_4\text{H}(\text{Br})_2]^+$  (where,  $\text{N}_4\text{H}$  = 2,12-dimethyl-3,7,11,17-tetraazabicyclo-[11.3.1]-heptadeca1(7),2,11,13,15-pentaene) (Fig. 8k).<sup>165</sup> This complex was shown to undergo



Scheme 12 Proposed mechanistic pathway of electrocatalytic  $\text{CO}_2$  reduction to CO by  $[\text{Ni}^{\text{II}}(\text{cyclam})]$ -based macrocycles.



Scheme 13 Proposed mechanistic pathway for electrocatalytic  $\text{CO}_2$  reduction to CO by azacalix-pyridine based macrocycles.



electrocatalytic CO<sub>2</sub> reduction to CO (FE ~ 45%) with concomitant generation of H<sub>2</sub> (~30%) in wet acetonitrile medium. In the same ligand framework, just by changing the metal, the CO<sub>2</sub> reduction can be biased for either CO or formate. This phenomenon was shown by Robert and co-workers in a unique pentadentate ligand system, 2,13-dimethyl-3,6,9,12,18-pentaazabicyclo-[12.3.1]octadeca-1(18),2,12,14,16-pentaene (Fig. 8m).<sup>99</sup> The Co<sup>II</sup>-complex is biased to generate CO with faradaic efficiency as high as 82%. In contrast, the Fe<sup>III</sup>-complex was shown to generate formate (FE ~ 75–80%, with no detectable H<sub>2</sub> or CO). This unusual change in product selectivity was explained considering the electronic structure of the M-COOH intermediate. In the case of Co-complex, there is greater  $\pi$ -back-bonding to the  $\pi^*$ -orbitals of CO<sub>2</sub> from the formal Co<sup>II</sup>-centre rendering the C–O bond weak to facilitate CO release upon C–O bond cleavage. On the contrary, the Fe-complex passes through a formal Fe<sup>III</sup>-COOH intermediate. Poor  $\pi$ -back-bonding from Fe<sup>III</sup> renders lower charge density over “O”, favouring the isomerization to Fe-OCOH intermediate, which leads to the generation of formate (Scheme 14). Jurss and co-workers have developed a series of nickel complexes with tetradentate chelating ligands comprised of a redox-active 2,2'-bipyridyl core and electron-rich N-heterocyclic carbene (NHC) donors (Fig. 8n–p).<sup>166</sup> During electrocatalytic CO<sub>2</sub> reduction, the complexes showed a drastic change in product selectivity from H<sub>2</sub> to CO on transitioning from an open-chain to macrocyclic conformation with increasing linker size.

Over the years, several bio-inspired model of [NiFe]-CODHs have been developed involving several different ligand-framework, such as phosphine, bipyridine, porphyrin, cyclam, *etc.* It has been observed that second coordination sphere greatly influence the product selectivity as well as the tuning the rate of the reaction. Irrespective of the ligand frameworks, the initial CO<sub>2</sub> activation was shown to be happen through the nucleophilic attack from the electron-rich metal centre. This implies, an electron-rich late transition metal should be more useful. The negatively charged metal-CO<sub>2</sub> complex is stabilized either by protonated basic residues or any Lewis acidic metal. The C–O bond cleavage is proposed to be the rate-determining step, where an intramolecular basic residue (such as amines) are shown to play a pivotal role in shuttling the protons to the

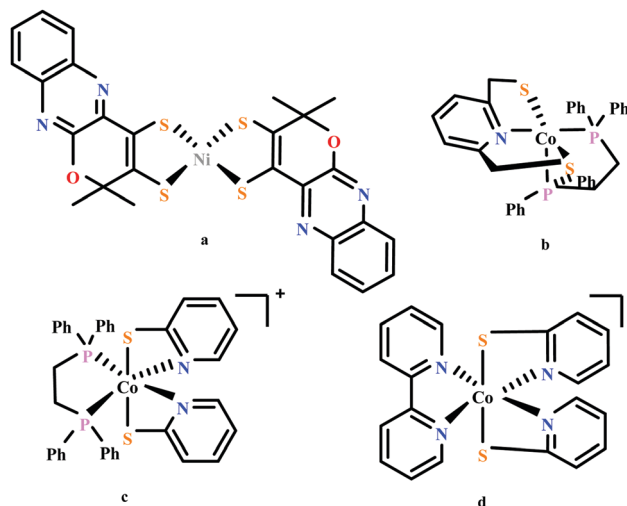
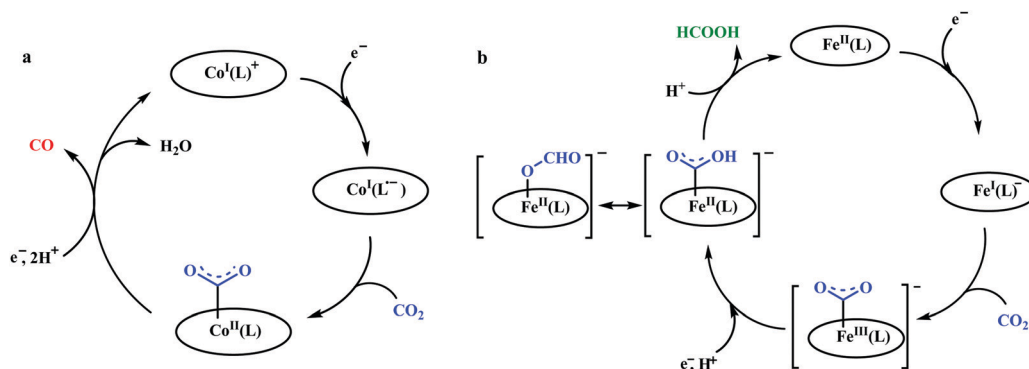
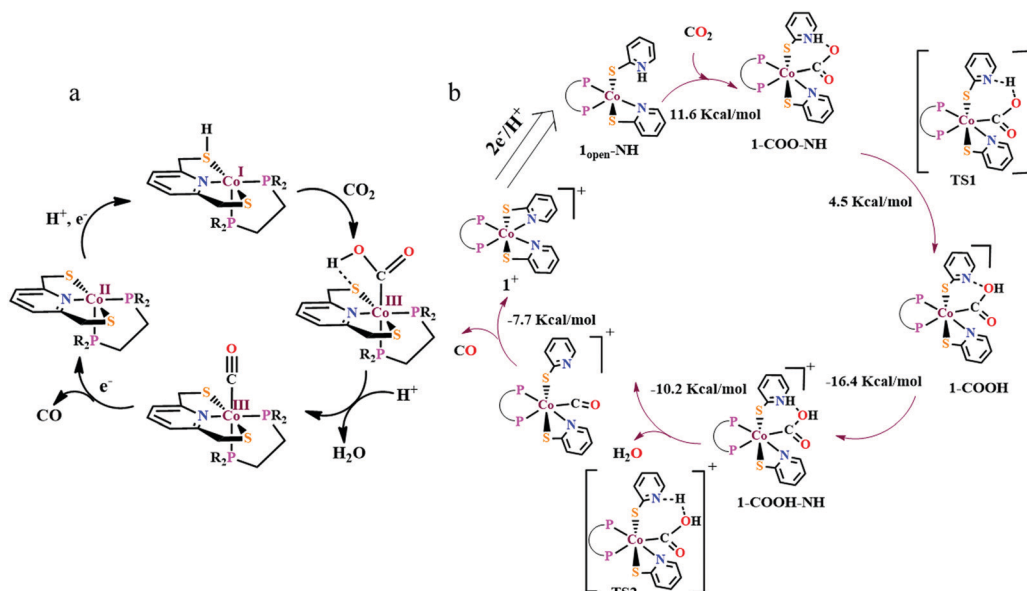


Fig. 9 Representative metal-thiolate based electrocatalysts for CO<sub>2</sub> reduction.

metal-COOH species. However, the role of thiols is not well explored. A nickel-tetrathiolate complex, mimicking molybdopterin (Fig. 9a), was reported to reduce CO<sub>2</sub> to formate (with minor amounts of CO and H<sub>2</sub>) with 340 mV over-potential.<sup>167</sup> To understand the effect of metal-thiolates in CO<sub>2</sub> reduction, electron-rich late transition metal (cobalt) bound pyridine-dithiolate complex, 2,6-dithiomethylpyridinecobalt(dppe) (Fig. 9b) was synthesized.<sup>168</sup> The catalyst was shown to reduce CO<sub>2</sub> to CO (>95% faradaic efficiency) with an unprecedented <100 mV over-potential and that too with a TOF of  $1559 \pm 8 \text{ s}^{-1}$ . The electron-rich thiolates play a dual role. Firstly, on getting protonated under the experimental conditions (H<sub>2</sub>O + CO<sub>2</sub>), increases the formal potential of the Co<sup>III/I</sup> process, which in turn lowers down over-potential of CO<sub>2</sub> reduction, and secondly, the other thiolate increases the electron density over Co<sup>I</sup>-state (through back-bonding) activating it to bind CO<sub>2</sub> (Scheme 15A). The strong covalent bonding in a Co<sup>III</sup>-COOH intermediate develops large negative charge-density over the “O”-atoms, preventing “C-protonation” and the generation formate. On the contrary, the thiolate mediates the proton relay to the “OH” group of



Scheme 14 Proposed mechanistic pathway for electrocatalytic CO<sub>2</sub> reduction to CO vs. formic acid; catalyzed by same ligand framework but with different metals: (a) cobalt and (b) iron.



**Scheme 15** Proposed mechanistic cycle for the selective reduction of  $\text{CO}_2$  to  $\text{CO}$  through the influence of (a) a thiol-protonation; (b) a hemilabile-pyridine protonation.

$\text{Co}^{\text{III}}\text{-COOH}$  intermediate in the rate-determining process of C–O bond cleavage leading to selective generation of  $\text{CO}$ . Hence, a dithiolate ligand framework can lead to the development of efficient  $\text{CO}_2$  reducing catalyst operative at low over-potential with high turnover rate. As an extension of the concept, a thio-pyridinato complex, cobalt<sup>III</sup>-bis(2-thiopyridinato)-diphenylphosphinoethane chloride (Fig. 9c) was synthesized. This complex can also catalyze the reduction of  $\text{CO}_2$  to  $\text{CO}$  from the  $\text{Co}^{\text{I}}$ -state.<sup>169</sup> Unlike thiol-protonation, the hemilability of the pyridine arm on protonation mediates the proton-relay (Scheme 15B). Whereas, both thiolates being bound to the cobalt-centre, stabilizes the  $\text{Co}^{\text{I}}$ -state for  $\text{CO}_2$  binding. Less energy demanding proton-transfer from the pyridine moiety selectively to the “OH” results in facile C–OH bond cleavage to form  $\text{CO}$ . Recently, Mougél and co-workers have reported a pyridinethiolate bound cobalt complex, bipyridine-bis-(2-pyridinethiolato)-cobalt(III)-hexafluorophosphate (**9d**).<sup>170</sup> The catalyst can convert  $\text{CO}_2$  to formate selectively with a very low overpotential of 110 mV and a TOF of  $10 \text{ s}^{-1}$ .

To provide a comprehensive overview, the catalysts which were found to convert  $\text{CO}_2$  to  $\text{CO}$  or formate with >90% product selectivity are summarized in Table 1. The TOF numbers were not included because they were determined under a variety of different experimental conditions using different mathematic equations. Each mathematical equation is derived considering different boundary conditions, hence benchmarking the catalysts in terms of TOF does not really help their comparability.

## Mechanistic consideration of $\text{CO}_2$ reduction and rational design

A major challenge in  $\text{CO}_2$  reduction is control of competitive proton reduction process (hydrogen evolution reaction, HER,

Scheme 16c), which is more favourable than  $\text{CO}_2$  reduction both thermodynamically and kinetically. Similarly, selectivity over the C-based product is desirable *i.e.*  $\text{CO}$ ,  $\text{HCOOH}$  or  $\text{CH}_4$ . The initial step of  $\text{CO}_2$  activation is the nucleophilic attack of the electron-rich metal centre on the electrophilic C-atom of  $\text{CO}_2$  (Scheme 16a). The  $\text{CO}_2$  reduction will result in a  $\text{M}^{n+2}\text{-CO}_2^{2-}$  species which is very basic and will pick a proton easily to  $\text{M}^{n+2}\text{-COOH}$  species. Irrespective of the ligand framework used, the reaction generally proceeds *via* this metal-COOH species. The bound –COOH species bears a formal charge of –1. A C-protonation (g) will lead to the release of  $\text{HCOOH}$  while an O-protonation (f) will lead to  $\text{CO}$  (Scheme 16). The competing reaction involves the protonation of the metal to form the corresponding hydride (Scheme 16b). The resulting  $\text{M}^{n+2}\text{-H}$  species can either be protonated again (c) to release  $\text{H}_2$  or attack the  $\text{CO}_2$  as a nucleophile to produce formate (d).

Production of  $\text{CO}$  from  $\text{CO}_2$  requires the reaction to move along a  $\rightarrow \text{f}$ . Two key factors need to be controlled to achieve selectivity i. avoiding protonation of the metal centre (b) and ii. protonation of the O-centre of the  $\text{M}^{n+2}\text{-COOH}$  species (g).

i. Avoiding protonation of the metal centre:

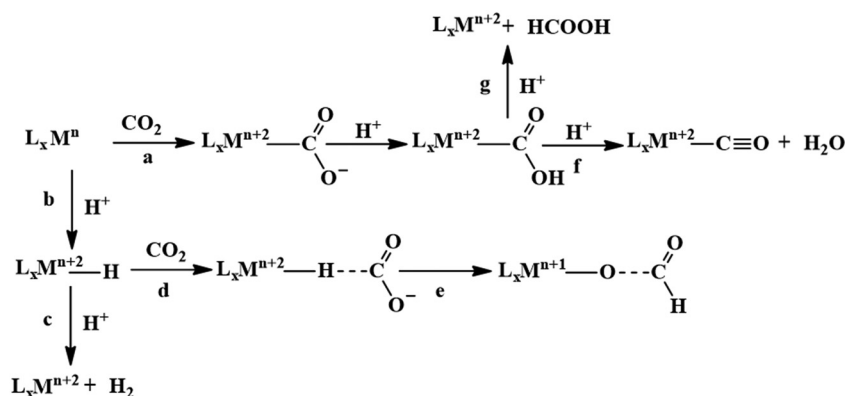
The electronic structure of the metal centre may be tuned by judicious choice of ligands to restrain its basicity and avoid protonation. A good example of this is demonstrated in the Ni-cyclams. The  $\text{CO}_2$  binds the Ni-centre at its formal +1 state displacing a bound  $\text{CO}$ , the second electron required to reduce the  $\text{CO}_2$  further is provided to a  $[\text{Ni-CO}_2]^+$  species.<sup>158,160,174</sup> This avoids starting the reaction at a formal Ni(0) state which, apart from concerns of dissociation from the ligand, would be more prone to protonation and thereby loose selectivity.

Another approach to avoid metal protonation is the use of reductive disproportionation of  $\text{CO}_2$  to  $\text{CO}$  and  $\text{CO}_3^{2-}$  which rescinds the requirement of proton all together and is inherently

Table 1 Homogeneous electrocatalysts for the reduction of CO<sub>2</sub> to CO or formate

Complex	Conditions	Overpotential	Product	TON	Ref.
Re(bpy)(CO) <sub>3</sub> Cl	DMF (+ H <sub>2</sub> O), 0.1 M NEt <sub>4</sub> Cl, GC electrode	0.56 V	90% CO		59
Re(bpy- <i>t</i> Bu)(CO) <sub>3</sub> Cl	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.74 V	100% CO		64
Mn(bpy)(CO) <sub>3</sub> Br ( <b>4a</b> )	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> ClO <sub>4</sub> , GC electrode	0.24 V	90% CO		66
Re(bpy-thiourea)(CO) <sub>3</sub> Cl ( <b>4h</b> )	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	~0.47 V <sup>a</sup>	89% CO		78
[(bpy <sup>NHET</sup> Py2Me)Fe <sup>II</sup> ] <sup>2+</sup>	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	~0.36 V <sup>a</sup>	81 ± 11% CO		81
<i>o</i> -Fe <sub>2</sub> DTPP ( <b>7g</b> )	DMF (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.66 V	95% CO	1.58 × 10 <sup>8</sup>	111
CAT ( <b>7a</b> )	DMF (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.46 V	>90% CO	5 × 10 <sup>7</sup>	113
FCAT ( <b>7c</b> )	DMF (+ PhOH), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.39 V	~100% CO		115
Fe- <i>o</i> -TMA ( <b>7f</b> )	DMF (+ H <sub>2</sub> O + PhOH), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC/Hg electrode	0.22 V	~100% CO		125
FeTPOH ( <b>7i</b> )	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , BPG electrode	0.36 V	96% CO	4000	116
( <b>7j</b> )	DMF (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.42 V	91% CO	1.08 × 10 <sup>8</sup>	126
Fe- <i>ortho</i> -2-amide ( <b>7p</b> )	DMF (+ PhOH), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	~0.54 V <sup>b</sup>	92% CO		129
FeTPP-Ur ( <b>7q</b> )	DMF (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.43 V	91% CO	3.28 × 10 <sup>6</sup>	131
<b>6c-h</b>	DMF (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.50–0.70 V	>90% formate	15–23	95
[Pt(dmpe) <sub>2</sub> ](PF <sub>6</sub> ) <sub>2</sub>	CH <sub>3</sub> CN (+ PhOH), 0.1 M NEt <sub>4</sub> PF <sub>6</sub> , GC electrode	<0.10 V	>90% formate		171
(Ph <sub>4</sub> P)[Ni <sup>III</sup> (qpdt) <sub>2</sub> ] ( <b>6m</b> )	CH <sub>3</sub> CN (+ CF <sub>3</sub> CH <sub>2</sub> OH), 0.1 M NBu <sub>4</sub> ClO <sub>4</sub> , Hg/Au amalgam electrode	0.34 V	~90% formate (trace CO, H <sub>2</sub> )		167
Nickel cyclam ( <b>8a-b</b> )	H <sub>2</sub> O (pH 4.1), 0.1 KNO <sub>3</sub> , electrode	~0.2 V	Up to 96% CO	~10 <sup>2</sup>	149–151
[Ni <sup>II</sup> (HTIM)] and [Ni <sup>II</sup> (MTC)]	H <sub>2</sub> O (pH 5), 0.1 NaClO <sub>4</sub> , Hg electrode	~0.55 V	88 ± 7% CO		156
Cobalt aminopyridines ( <b>8h-j</b> )	DMF (+ MeOH/CF <sub>3</sub> CH <sub>2</sub> OH), 0.1 M NBu <sub>4</sub> PF <sub>6</sub> , GC electrode	0.35–0.68 V	~98% CO	1.22 × 10 <sup>6</sup>	163
2,6-Dithiolatomethylpyridine-dppe cobalt(II) ( <b>9b</b> )	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> ClO <sub>4</sub> , GC electrode	0.05–0.07 V	95% CO	1 × 10 <sup>6</sup>	168
[Co(dppe)(2-PyS) <sub>2</sub> ]Cl ( <b>9c</b> )	CH <sub>3</sub> CN (+ H <sub>2</sub> O), 0.1 M NBu <sub>4</sub> ClO <sub>4</sub> , GC electrode	0.18 V	92% CO	1 × 10 <sup>5</sup>	169

<sup>a</sup> Calculated from the equation:  $E = E^\circ - 0.059\text{p}K_a$ ; assuming  $E^\circ_{\text{CO}_2/\text{CO}, 1\text{ M H}_2\text{O}} = -1.54\text{ V}^{172}$  vs.  $\text{Fc}^{+/0}$  in CH<sub>3</sub>CN. <sup>b</sup> Assuming  $E^\circ_{\text{CO}_2/\text{CO}, \text{PhOH}} = -1.64\text{ V}$  vs.  $\text{Fc}^{+/0}$  in DMF,  $\text{p}K_a$  of PhOH was considered to be 15.4.<sup>173</sup>

Scheme 16 Controlling the competitive pathways in CO<sub>2</sub> reduction.

selective for CO by design. This is demonstrated in a series of copper and nickel-phosphine as well as manganese-bipyridine complexes.<sup>69,92–94</sup>

Finally, a bio-inspired approach is to use bound thiolate ligand or 2<sup>nd</sup> sphere pendant amine groups to act as the site of protonation keeping the metal centre free for CO<sub>2</sub> reduction. This has been demonstrated in the cobalt thiolate complexes where the thiolate ligand is protonated.<sup>168</sup> This protonation helps shift the reduction potential of the metal centre more positive reducing the over potential of the process and the protonated thiol ligand is later utilized to stabilize the  $\text{M}^{n+2}\text{-CO}_2^{2-}$  intermediate and protonate it to  $\text{M}^{n+2}\text{-COOH}$  species.

ii. Protonation of the O-centre in the  $\text{M}^{n+2}\text{-COOH}$  species.

To selectively protonate the O-centre, several complexes have been developed with pendant groups which can help the proton

transfer to this intermediate. Hydrogen bonding from these pendant groups helps in both facilitating the reduction of CO<sub>2</sub> as well as the following proton transfer to the  $\text{M}^{n+2}\text{-COO}^{2-}$  and  $\text{M}^{n+2}\text{-COOH}$  intermediate species. These include cyclam-NH,<sup>150,154,158</sup> phenols,<sup>114</sup> water,<sup>124,147</sup> amide,<sup>129,130</sup> thiols,<sup>168</sup> azacalix-pyridine<sup>163</sup> and pyridinium.<sup>169</sup> The stabilization of the  $\text{M}^{n+2}\text{-CO}_2^{2-}$  can also be attained by electrostatic field.<sup>125</sup>

Although the focus has been installing proton transfer and hydrogen bonding groups, an often-unappreciated fact is the possibility to control the charge density at the C-center by tuning the covalency of the metal carbon bond of the  $\text{M}^{n+2}\text{-COOH}$  species.<sup>169</sup> This was suggested when analysing the selectivity for CO observed for a Cobalt dithiolate complex. The  $\text{Co}^{\text{III}}\text{-COOH}$  intermediate had a fairly covalent Co–C bond resulting in depletion of the negative charge on the C-centre of

the  $\text{-COOH}^-$  species and the negative charge was localized on the O-atom. This automatically biased the system for O protonation to release CO and no HCOOH could be thus be formed.

## Nitrite reduction

### Introduction

This section is focussed primarily on the heme-containing nitrite reductases. An attempt has been made to explicitly discuss different structural and functional aspects, focussing on enzymatic architecture and detailed mechanism emphasizing the roles of the basic residues within the active site of different enzymes involved in nitrite reduction. The later part of the review focusses on the recent investigations with 'model systems', directed towards understanding the mechanisms of these enzymes and the discussions concentrates on the factors which distinguishes the three non-identical nitrite reduction pathways. This review mainly concentrates on heme based enzymes and hence discussions on model systems will also be limited to heme related porphyrinoid complexes.

## Lesson from Nature

### Nitrite reduction to ammonium

The conversion of nitrite to ammonium ion is accomplished in two different pathways, one involves assimilation of nitrite and the other is a dissimilatory process (Scheme 17). In each of the pathways, nitrite is reduced by six electrons to ammonium and the process is catalyzed using enzymes containing iron-based porphyrinoid cofactors, skipping the release of any detectable intermediates.<sup>175,176</sup> The metal involved relates to the prebiotic ammonia formation from nitrite by reduced iron in the early earth.<sup>177</sup> However, these two routes of nitrite reduction involve discrete cellular mechanism and occur in different cellular compartments: assimilation in cytoplasm/chloroplasts and

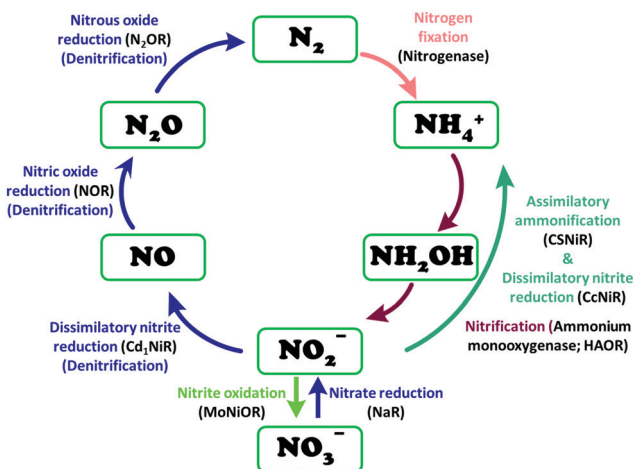
dissimilation in periplasm. The enzymes involved in catalysis are structurally distinct with different physiological redox partners.<sup>176</sup>

**Dissimilatory nitrite reduction to ammonium.** This process is better known as respiratory nitrite ammonification and is catalysed by heme c of multiheme cytochrome c nitrite reductase (CcNiR) (EC 1.7.2.2). CcNiR plays a crucial role catalysing the final step of dissimilatory nitrate reduction to ammonia. This process is utilised by several bacteria where nitrate is used as terminal electron acceptor of anaerobic respiratory chain for energy conservation. Initial step involves reduction of nitrate to nitrite by molybdenum-containing nitrate reductases (NaR). In the final step CcNiR efficiently reduces the nitrite to ammonia (6 electron-7 proton reduction) bypassing release of any intermediates.<sup>178</sup>

**Electron source.** Inside the periplasm CcNiR (also known as NrFA: after the name of the encoding gene *nrf*, nitrite reduction with formate) forms stable membrane associated complex with multiheme quinol oxidase which provides electron from membrane quinone pool to the electron chain.<sup>175,179,180</sup>

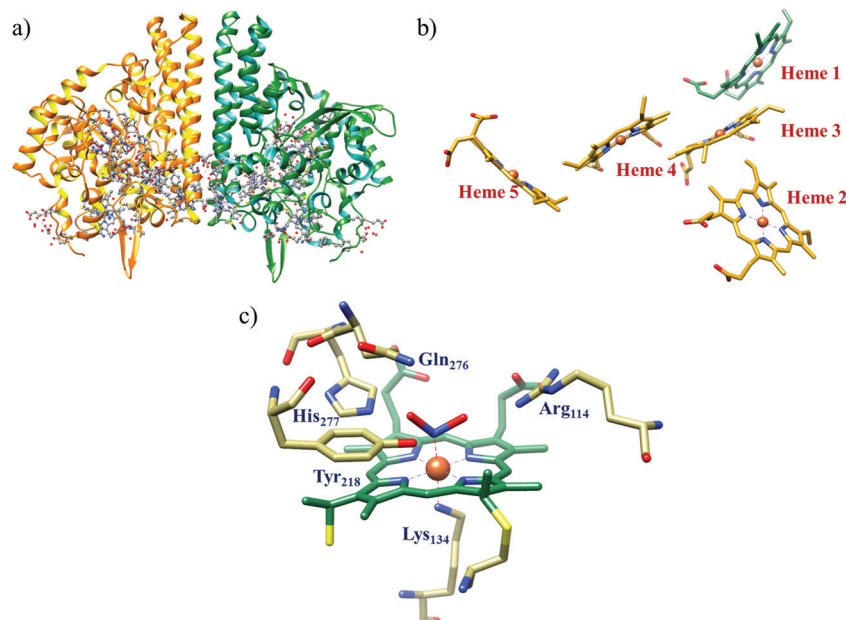
**Enzyme architecture.** CcNiR from several bacteria *viz.* *Escherichia coli*,<sup>181</sup> *Wolinella succinogenes*,<sup>182,183</sup> *Sulfurospirillum deleyianum*,<sup>184</sup> *Desuldomonas desulfuricans*,<sup>185,186</sup> *Desulfovibrio vulgaris*,<sup>187</sup> *Shewanella oneidensis*<sup>188,189</sup> and *Thioalkalivibrio nitratireducens*<sup>190</sup> were structurally characterised using X-ray crystallography.

All crystallographically characterised enzymes (except *T. nitratireducens*) have homodimers of penta heme (labelled heme 1–5, Fig. 10b) subunits with extensive interaction between the monomers.<sup>189</sup> Each monomer contains five heme c and arranged in near-parallel and near-perpendicular heme pairs (Fig. 10b). Hemes 2–4 are axially bis-histidine ligated with a CysXXCysHis binding motif and heme 1 constitute the enzyme active site coordinated by water/hydroxyl group in the distal position and lysine (Lys<sub>134</sub>) in the proximal position (belonging to a CysXXCysLys<sub>134</sub> binding motif).<sup>178,191</sup> The bis-histidine ligated hemes participate in electron relay during the catalysis.<sup>192,193</sup> The active site is surrounded by conserved histidine (His<sub>277</sub>), tyrosine (Tyr<sub>218</sub>), arginine (Arg<sub>114</sub>) and glutamine (Gln<sub>276</sub>) residues (Fig. 10c, *Wolinella succinogenes* CcNiR sequence numbering). It also hosts two hexa-coordinated calcium ions which have substantial structural and functional roles.<sup>178,194</sup> Mutation studies revealed that Gln<sub>276</sub> calcium ion pair stabilises the distal ligand binding to iron centre through a network of hydrogen bonding and in turn regulated the substrate affinity of the active site.<sup>195,196</sup> The His<sub>277</sub>, Tyr<sub>218</sub> and Arg<sub>114</sub> residues play central roles in nitrite reduction through extensive hydrogen bonding to the substrate.<sup>175,197,198</sup> Electron transfer from physiological redox partner to the active site is facilitated by adjacent hemes which is also evident from the redox potentials of the hemes which are compatible to the potential of  $\text{NO}_2^-$  reduction.<sup>192,198</sup> In addition, an inlet channel with positive electrostatic surface potential assist approach of nitrite to the active site (also helps in proton supply) and an outlet channel with negative electrostatic surface potential facilitating ammonia release has also been identified.<sup>182,184,185</sup> A novel octaheme cytochrome c nitrite reductase (TvNiR) from *Thioalkalivibrio nitratireducens* has also been structurally characterised. It exists as a homo-hexamer with eight hemes per monomer. Each monomer consists of two domains:



**Scheme 17** Biochemical nitrogen cycle. Different pathways of interconversion of various oxides of nitrogen, dinitrogen and ammonium are highlighted. The enzymes catalysing the conversions are written in black inside parenthesis.





**Fig. 10** (a) Three-dimensional structure of the *Wolinella succinogenes* CcNiR homodimer, the monomers are shown in different colours (with yellow and green ribbon colour), (b) arrangement of hemes (1–5) in the monomer with green ribbons; the five heme c are numbered according to their attachment to the protein chain. Heme 1 constitutes the enzyme active site. (c) Nitrite bound active site structure. (a) and (b) are based on PDB file 1FS7<sup>182</sup> and (c) is based on PDB file 2E80.<sup>175</sup>

in the N-terminal domain resides three hemes with a unique fold and C-terminal domain has five hemes which structurally and functionally conform with CcNiR.<sup>190</sup>

**Mechanism of nitrite reduction.** CcNiR catalyses six-electron, seven-proton nitrite reduction to ammonia avoiding the release of any intermediates. The mechanism of this reaction has been under investigation using structural,<sup>181,182,184,186,187,190</sup> electrochemical,<sup>189,192,199,200</sup> theoretical<sup>195,201–203</sup> and spectroscopic<sup>181,194,204</sup> tools for nearly two decades.<sup>175,202</sup> A summary of the findings is presented with particular stress on the role of 2<sup>nd</sup> sphere residues.

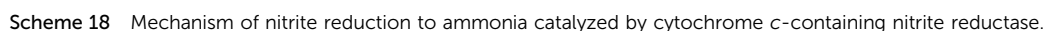
The overall reaction can be split up into five episodes:

(a) The first episode deals with substrate binding to the active site and subsequent heterolytic N–O bond cleavage. The enzyme resting state is a high spin Fe<sup>III</sup>–OH<sub>2</sub> (Scheme 18a), confirmed on the basis of Fe–O bond length of 2.1 Å.<sup>175</sup> It undergoes reduction to Fe<sup>II</sup>–OH<sub>2</sub> which results in the weakening of Fe–O bond (2.39 Å) (Scheme 18b). The substrate nitrite then displaces the water molecule to bind to Fe<sup>II</sup> in ‘nitro’ mode (through N-atom)<sup>175,197</sup> and a low spin nitrite bound Fe<sup>II</sup> is formed (Scheme 18c).<sup>205</sup> The extensive back-bonding from Fe<sup>II</sup> d<sub>xz</sub> orbital (HOMO) to nitrite π\*-orbital (LUMO), results in strong Fe–N bond (1.9 Å) and a simultaneous weakening of N–O bond.<sup>175</sup> Additionally, formation of hydrogen bonds from the protein residues to the oxygen atoms of the bound nitrite molecule contributes to N–O bond heterolysis.<sup>193,197</sup> The structure of the nitrite bound states reveal that the two oxygen atoms of nitrite are at hydrogen bonding distances to the protonated His<sub>277</sub> (2.6 Å) and positively charged Arg<sub>114</sub> (2.8 Å).<sup>175</sup> The N–O bond is cleaved through double protonation on one of the O-atom (Scheme 18d and e). At pH < 7, His<sub>277</sub> is protonated and is found to facilitate initial protonation and the second protonation (after endothermic

reprotonation). But at higher pH, the first proton transfer (PT) occurs from Tyr<sub>218</sub> and the second PT is also mediated by the same residue after exothermic reprotonation.<sup>178,194</sup> Hence, these two consecutive PT steps results in heterolytic N–O bond cleavage<sup>204</sup> to form {FeNO}<sup>6</sup> intermediate (Scheme 18f) and the first water molecule is released.<sup>197,206</sup>

All iron-nitrosyl intermediates in this review will be represented by Enemark–Feltham notation. In the Enemark–Feltham notation, {M(NO)<sub>x</sub>}<sup>n</sup>, the index *x* indicates the number of coordinated NO molecules to metal M, and the exponent *n* counts the number of valence electrons, which is the summation of the number of electrons in the metal(d) and NO(π\*) orbitals.<sup>207</sup>

(b) The second episode of the mechanism comprises two proton-coupled electron-transfer (PCET) steps (PCET1 and PCET2), leading to an Fe–HNO intermediate. The electronic structure of {FeNO}<sup>6</sup> is best described as a Fe<sup>II</sup> metal centre bound to a NO<sup>+</sup> ligand.<sup>208</sup> {FeNO}<sup>6</sup> are strong electrophiles<sup>209</sup> while {FeNO}<sup>7</sup> intermediate (Scheme 18g) is highly stable and represent a thermodynamic sink.<sup>210–212</sup> So, to escape the formation of this intermediate two rapid consecutive PCET steps occur to the {FeNO}<sup>6</sup> species to form an {Fe(HNO)}<sup>8</sup> intermediate (Scheme 18h). Also, the reduction of {FeNO}<sup>6</sup> to {FeNO}<sup>7</sup> involves linear to bent transition of Fe–NO unit. The two consecutive electron transfer can be rationalised by the fact that {FeNO}<sup>8</sup> is formed before this reorganisation of Fe–NO bond takes place.<sup>175</sup> Conformational and electron structure studies reveal both the reductions to be ligand centred. Proton from PCET1 (f → g, Scheme 18) recharges the enzyme active site, with protonation of Arg<sub>114</sub> and that from PCET2 (g → h, Scheme 18) does an electrophilic attack at the N-centre of NO forming {Fe(HNO)}<sup>8</sup> (as shown in Scheme 18f–h).<sup>206</sup>



**Assimilatory nitrite reduction.** Oxygenic phototrophs assimilate nitrogen by capturing nitrate ions from the soil. Nitrate is then reduced to ammonia, a more assimilable form of nitrogen.

This results in the conversion of inorganic nitrogen to organic nitrogen. Nitrate is reduced to ammonia in two steps, with sequential utilisation of two enzymes. The first step involves reduction of nitrate to nitrite, catalysed by molybdenum containing assimilatory nitrate reductases (aNR). The second step deals with reduction of nitrite to ammonia by assimilatory nitrite reductases (aNiR).<sup>213</sup> The later step involves nitrite reduction using six electrons and eight protons and none of the intermediates are released during the total catalytic cycle. aNiR are siroheme containing nitrite reductase (CSNiR).

**Electron source.** There are two types of assimilatory nitrite reductase depending upon the source of the electrons used in reduction process: (a) in photosynthetic organisms, photo-synthetically reduced ferredoxin (cyanobacteria and chloroplasts of photosynthetic eukaryotes) are the electron source. These are ferredoxin:nitrite oxidoreductase (EC 1.7.7.1), commonly known as ferredoxin dependent nitrite reductase;<sup>214–216</sup> and (b) in most heterotrophs, source of electrons is reduced pyridine nucleotide. These are NAD(P)H dependent nitrite reductase.<sup>217</sup> In this review we will mainly focus on ferredoxin dependent nitrite reductase.

**Enzyme architecture.** Structure of CSNiR have been studied from various phototrophs such as higher plants, alga<sup>218</sup> and cyanobacteria.<sup>214</sup> Of all these spinach chloroplast enzyme (Fig. 11a)<sup>214,219</sup> has been most extensively studied one. CSNiR is a product of *nasB*, *nirB* and *Nii* genes in *Paracoccus denitrificans*,<sup>220</sup> *Escherichia coli*<sup>221</sup> and tobacco<sup>222,223</sup> respectively. CSNiR is composed of a single polypeptide chain<sup>219</sup> with three domains folded around the prosthetic groups. All classes of assimilatory nitrite reductases contain two prosthetic groups, a siroheme (Fe isobacteriochlorin unit)<sup>224</sup> and an iron-sulphur cluster (Fe<sub>4</sub>S<sub>4</sub> cluster).<sup>222</sup>

From crystallographic structure analysis (spinach chloroplast enzyme), it is quite evident that siroheme and Fe<sub>4</sub>S<sub>4</sub> cluster are in very close proximity to one another and are directly linked through a bridging sulphur atom from the Cys<sub>486</sub> residue. The cluster is coordinated to four Cys-S atoms (Cys<sub>441</sub>, Cys<sub>447</sub>, Cys<sub>482</sub> and Cys<sub>486</sub>) which are essential for cofactor binding<sup>219</sup> and also facilitates efficient electron transfer to the siroheme centre from

reduced ferredoxin. The active site also contains conserved Arg<sub>109</sub>, Arg<sub>179</sub> and Lys<sub>224</sub> which forms a large pocket suitable for nitrite binding and reduction (Fig. 11b).<sup>219,222,225</sup> There are several other Arg and Lys residues surrounding the active site which aids in the overall reduction process. These positively charged residues are also essential for stabilising the eight carboxylate groups present in the siroheme backbone. Electrostatic potential energy surface of NiR reveal the presence of a tapered tunnel (approx. diameter 8 Å) from the protein surface to the active site. Several positively charged basic residues surround the active site resulting in a positively charged electrostatic surface which promotes nitrite binding/stabilisation and induces expulsion of ammonium formed as the product (Fig. 12).<sup>219</sup>

NiR has only one ferredoxin (Fd) binding site.<sup>215</sup> Modelling studies and site directed mutagenesis studies suggests electrostatically stabilised 1 : 1 complex formation between NiR and Fd wherein the acidic residues are supplied by Fd and NiR contributes the basic residues.<sup>225</sup> From crystallographic data it was also revealed that the distance of Fe<sub>2</sub>S<sub>2</sub> cluster of Fd to Fe<sub>4</sub>S<sub>4</sub> cluster is shorter than distance between Fe<sub>2</sub>S<sub>2</sub> cluster and siroheme. The shorter distance suggests that electron transfer (ET) should be significantly faster from Fd to Fe<sub>4</sub>S<sub>4</sub> cluster than to siroheme. Using different electrochemical techniques, mid-point redox potential ( $E_m$ ) of the cofactors were determined.  $E_m$  for siroheme (−290 mV) was found to be 75 mV more positive than  $E_m$  Fe<sub>4</sub>S<sub>4</sub> cluster (−370 mV).<sup>226</sup> This result also agrees with the previous observation that Fe<sub>4</sub>S<sub>4</sub> cluster is reduced prior to siroheme Fe-center.<sup>226</sup>

**Mechanism of nitrite reduction.** Theoretical modelling of NiR revealed that the enzyme catalyses nitrite reduction to ammonia coupling the transfer of eight protons and two water molecules along with six electrons for the overall process. All the residues present near the active site are unlikely to be protonated at the same time due to electrostatic repulsive

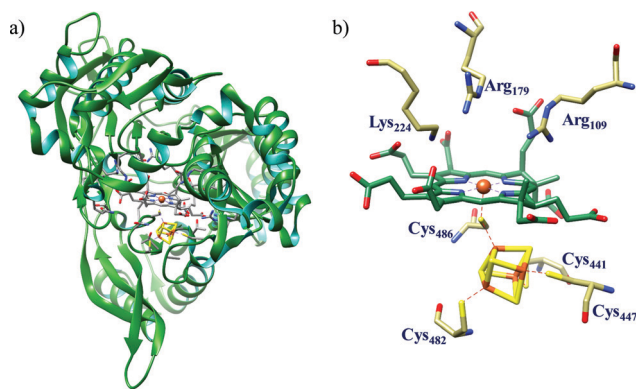


Fig. 11 (a) Three dimensional structure of spinach nitrite reductase (ferredoxin dependent nitrite reductase) and (b) active site structure of CSNiR. Both structures are based on PDB 2AKJ.<sup>219</sup>

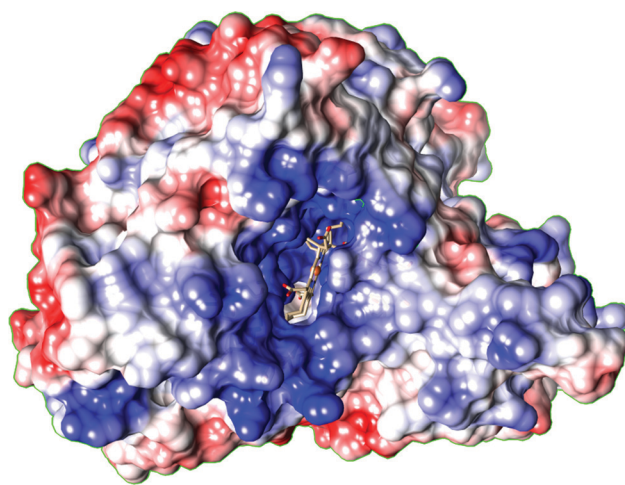


Fig. 12 Electrostatic surface of CSNiR showing the presence of an open tunnel from the surface of the protein to the siroheme and iron-sulfur cluster. Positive regions are shown as blue, and negative regions are shown as red. Many Lys and Arg are found in this region as shown by blue colouration (based on PDB 2AKJ).

interactions between the closely placed residues. In addition, ferredoxin binding to the active site closes the channel connecting protein surface to the active site, thus solvent access to the active site is lost. This is assumed to decrease the  $pK_a$  values of the nearby residues. Thus, electron transfer from Fd is coupled with proton transfer from the protonated residues. On completion of one reduction step, oxidised Fd is released,  $pK_a$  of the residues are restored causing protonation from the bulk solvent to which the channel gets exposed again.<sup>219</sup>

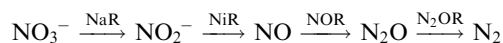
The enzyme nitrite reductase in its resting state is fully oxidised with the cluster in  $(Fe_4S_4)^{2+}$  state and siroheme iron in high spin  $Fe^{III}$  state (Scheme 19a). Conversion of nitrite to ammonia by aNiR also involves five steps and the mechanism is almost same as that of CcNiR. The exact role of each of the active site residues are not clear yet and require more extensive investigations. Till date the proposed reaction mechanism involves assumption of the proton donating sites inferred from ligand bound crystal structural data. The enzyme initially undergoes one electron reduction, and upon substrate binding, resulting in nitrite bound low spin  $Fe^{II}$  coordinated siroheme.<sup>176,219</sup> Alternatively, several spectroscopic and kinetic data revealed that nitrite binds to  $Fe^{III}$ -siroheme forming a low spin  $Fe^{III}$ -siroheme-nitrite complex (Scheme 19b). Several Nitrite bound crystal structure of the active site reveals that nitrite is bound in 'nitro' mode and the oxygen atoms are at hydrogen bond forming distances to Lys<sub>224</sub>, Arg<sub>179</sub> and Arg<sub>109</sub>.<sup>219,223</sup> The shortest possible hydrogen bond around  $NO_2$  was with Lys<sub>224</sub> and thus  $O(NO_2)$  may be protonated. The Arg<sub>179</sub> is assumed to be the second proton donor from structural data. The first N–O bond is cleaved with proton from Lys<sub>224</sub> side conforming a similar S–O bond cleavage in siroheme containing dissimilatory sulphite reductases.<sup>227</sup> After the N–O bond cleavage, a second electron is transferred and a NO-bound ferrosiroheme

species with  $(Fe_4S_4)^{2+}$  state (Scheme 19c). The candidates for proton donor to this intermediate were Arg<sub>179</sub> and Arg<sub>109</sub> as elucidated from structural data.<sup>223</sup> Addition of two more electrons coupled with the proton transfer results in reduction of NO to result in a hydroxylamine-bound siroheme (Scheme 19d). Crystal structures of Nii3–NO and Nii3– $NH_2OH$  were solved.<sup>223</sup> Finally, two more electrons donated resulted in an ammonia bound siroheme (Scheme 19e), from where the ammonium ion was readily released out through the positively charged substrate channel. The protonations were assisted by the active site residues, the ultimate sources of these protons being the solvent within the channel. The enzyme receives the six electrons required in single steps from the reduced ferredoxin, which is quite challenging. Although recent efforts attempted to understand the complex mechanism of nitrite reduction by aNiR,<sup>225,228</sup> there remains considerable uncertainty about exact pathways of reaction where an enzyme capable of storing two electrons at a time is involved. It is quite likely that the six-electron reduction proceeds by a series of three 2-electron steps.<sup>218</sup>

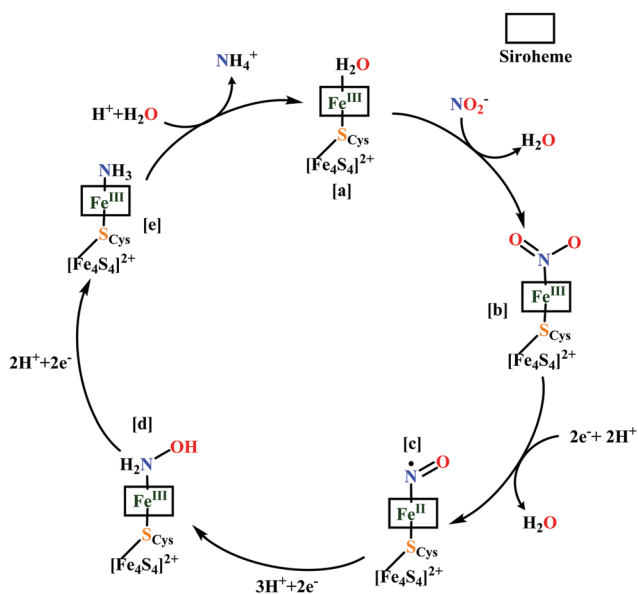
After elucidation of reaction mechanism in both these type of nitrite reductases, an obvious question that comes to mind is that why nature implemented two very different heme cofactors varying widely in structure for catalysing the same reaction. A very recent theoretical investigation on electron transfer pathway of siroheme *vs.* heme in sulphite reductases suggested that siroheme prefers electron transfer *via* a bridging cysteine–S atom from the proximal  $Fe_4S_4$  cluster more than heme. In contrast, heme prefers direct mode of electron transfer *via* porphyrin ring. This may lead to unwanted side reactions involving attack of radicals on heme. In siroheme, there is an interrupted  $\pi$ -conjugated system due to presence of  $sp^3$ -carbons direct mode of electron transfer is disfavoured. Hence, nature might have implemented such a unique macrocycle for this reaction to protect the cofactor from undesired radical attack.<sup>229</sup>

### Nitrite reduction to nitric oxide

**Dissimilatory denitrification.** In nature, denitrification is the part of biological nitrogen cycle which involves transformation of nitrate to nitrogen gas. Denitrification is defined as the loss of fixed nitrogen (product of nitrification process by nitrifying bacteria) to maintain biological N balance, *i.e.*, respiratory reduction of nitrate to dinitrogen contributing to biological  $N_2$  formation. Reduction of nitrate occurs in four stages, each catalysed by specific metalloenzyme according to the scheme shown below.<sup>180,230,231</sup>



Denitrification is the major metabolic process in facultative anaerobes under low oxygen tension where N-oxides serves as terminal electron acceptor. Molybdenum containing nitrate reductase (NaR) catalyses the first step, *i.e.*, nitrate reduction to nitrite. Nitrite reductase (NiR) catalyse the reduction of nitrite to gaseous product nitric oxide (NO). This is thus considered as a major source of NO in bacteria. Purification and characterisation of NiR from several bacterial sources



**Scheme 19** Proposed reaction mechanism of aNiR. Since the basic residues involved in the protonation steps are not extensively proved yet, informations about the residues are not included.



reveal that there are two distinct classes dissimilatory nitrite reductase which yield NO as the sole product. These could be either have copper (CuNiR) or heme (Cd<sub>1</sub>NiR) as cofactor; later being more abundant<sup>232</sup> will be discussed here in details.

**Dissimilatory nitrite reduction to nitric oxide (by heme cofactor).** The heme containing dissimilatory nitrite reductase, Cd<sub>1</sub>NiR, as the name suggests, is composed of a heme-c and a heme d<sub>1</sub> cofactor (EC 1.7.2.1).

**Enzyme architecture.** Cd<sub>1</sub>NiR (expressed from *nirS* genes)<sup>176</sup> is a homodimeric enzyme of the bacterial periplasm with each monomer being folded into two domains: (a) one  $\alpha$ -helical N-terminal domain with a covalently attached heme c, and (b) one eight bladed  $\beta$ -propeller C-terminal domain containing heme d<sub>1</sub> in its core. Structures of Cd<sub>1</sub>NiR from *Pseudomonas aeruginosa* (Fig. 13a)<sup>233–235</sup> and *Paracoccus pantotrophus*<sup>236–239</sup> have been extensively studied and their catalytic mechanism have also been probed using different theoretical and spectroscopic techniques. The heme-c moiety functions as electron acceptor from soluble electron carriers such as c-type cytochromes (cyt *c*<sub>550</sub>, cyt *c*<sub>551</sub> and cyt *c*<sub>554</sub>) or copper proteins such as azurin or pseudoazurin<sup>240–243</sup> and transfers the electron to the heme d<sub>1</sub> which constitutes the enzyme active site (site of nitrite binding and reduction).<sup>233,239</sup> Heme d<sub>1</sub> (3,8-dioxo-17-acrylateporphyrindione) is unique to this class of enzyme distinguished from usual heme moieties by the presence of a partially saturated macrocycle and a set of oxo and acrylate substituents.<sup>244</sup>

*P. aeruginosa* Cd<sub>1</sub>NiR (Pa-Cd<sub>1</sub>NiR) in oxidised form (resting state) has the heme-c axially coordinated by His<sub>51</sub>/Met<sub>88</sub>, whereas heme d<sub>1</sub> is coordinated by His<sub>182</sub> in the proximal position and by a hydroxyl group in the distal position. Distal site of the active site pocket constitutes residues such as Tyr<sub>10</sub> (from the N-terminal arm of the adjacent partner monomer), two conserved histidines-His<sub>327</sub> and His<sub>369</sub>. Crystal structure of the oxidised form revealed that Tyr<sub>10</sub> remains hydrogen bonded to the distal hydroxyl ligand of heme d<sub>1</sub>. These distal residues presumably are involved in substrate binding and/or protonation.<sup>234,246</sup> Reduction of heme d<sub>1</sub> iron centre stimulates hydroxyl ligand release<sup>245</sup> which further induces a series of conformational changes. Displacement of a loop in heme c domain is followed by rotation of Tyr<sub>10</sub> away from heme d<sub>1</sub> distal site and hydrogen bond formation between Thr<sub>59</sub> and

Gln<sub>11</sub>.<sup>233</sup> All these changes in heme c domain of adjacent partner monomer exposes the heme d<sub>1</sub> active site for nitrite binding.<sup>233,246</sup>

In *P. pantotrophus* Cd<sub>1</sub>NiR (formerly known as *Thisphaera pantotropha*) (Pp-Cd<sub>1</sub>NiR), the oxidised state (as isolated form) has bis-histidine coordinated heme c (His<sub>17</sub>/His<sub>69</sub>) and heme d<sub>1</sub> is coordinated by His<sub>200</sub> in the proximal position and by Tyr<sub>25</sub> (from the same domain) at the distal site. Thus, the active site has closed coordination which implies that this as-isolated form is the 'inactive form' of the enzyme and is catalytically inert. Reduction of this enzyme results in movement of the N-domain loop causing structural rearrangement at both the heme centres. At heme c, His<sub>17</sub> ligand is replaced by Met<sub>106</sub> and the Tyr<sub>25</sub> dissociates from the heme d<sub>1</sub> thus leaving the active site open for nitrite binding. Conserved His<sub>345</sub> and His<sub>388</sub> completes the active site.<sup>236,239,247,248</sup> Hence, redox energy driven conformational changes regulates the catalytic process. These conformational changes are very essential for the enzyme to transform into its 'active form' to perform its reductase activity. Thus, there is an 'on/off' mechanism for the regulation of the enzyme activity. Pa-Cd<sub>1</sub>NiR Tyr<sub>10</sub>Phe mutant is spectroscopically and functionally indistinguishable from the wild type enzyme.<sup>249,250</sup> The Pp-Cd<sub>1</sub>NiR Tyr<sub>25</sub>Ser mutant, has a coordination environment of the active site similar to that in steady-state catalytic condition, *i.e.*, its 'active form'.<sup>240,251</sup> These experimental findings suggest that the tyrosine residue, though do not have any role in catalysis may have been an intentional inclusion to regulate the 'on/off' mechanism.<sup>176</sup> The structural changes were also governed by the protonation states of the conserved histidine residues in the enzyme active site. It was found that the structure of reduced Pp-Cd<sub>1</sub>NiR grown at pH 9.0<sup>251</sup> where the histidines are likely to be deprotonated were electrostatically analogous to the structure of oxidised Pa-Cd<sub>1</sub>NiR with His-Ala mutants at pH 5.5–6.5.<sup>234</sup> This gave rise to another hypothesis that the structural conformation of the enzyme is guided by the overall charge in the active site pocket rather than the oxidation states of the heme centres only.<sup>251</sup>

**Mechanism of nitrite reduction.** The catalytic cycle of nitrite reduction by Cd<sub>1</sub>NiR initiates with the reductive activation of the 'inactive form' (Scheme 20a and b). Initially, heme c of cFe<sup>III</sup>-d<sub>1</sub>Fe<sup>III</sup> form (Scheme 20a) is rapidly reduced by external electron donors (such as cyt *c*<sub>551</sub> or azurin) to cFe<sup>II</sup>-d<sub>1</sub>Fe<sup>III</sup> form (Scheme 20b). In Pp-Cd<sub>1</sub>NiR potentiometric titration potential

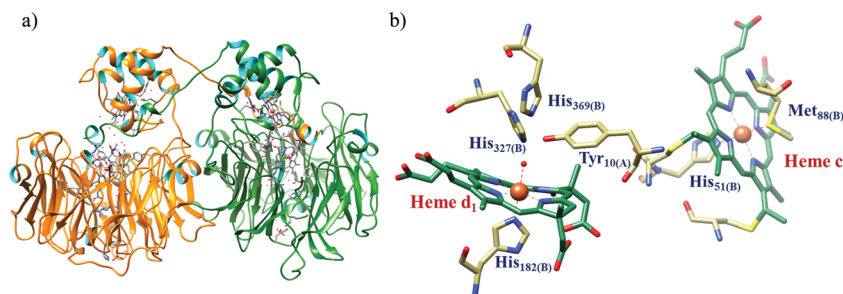
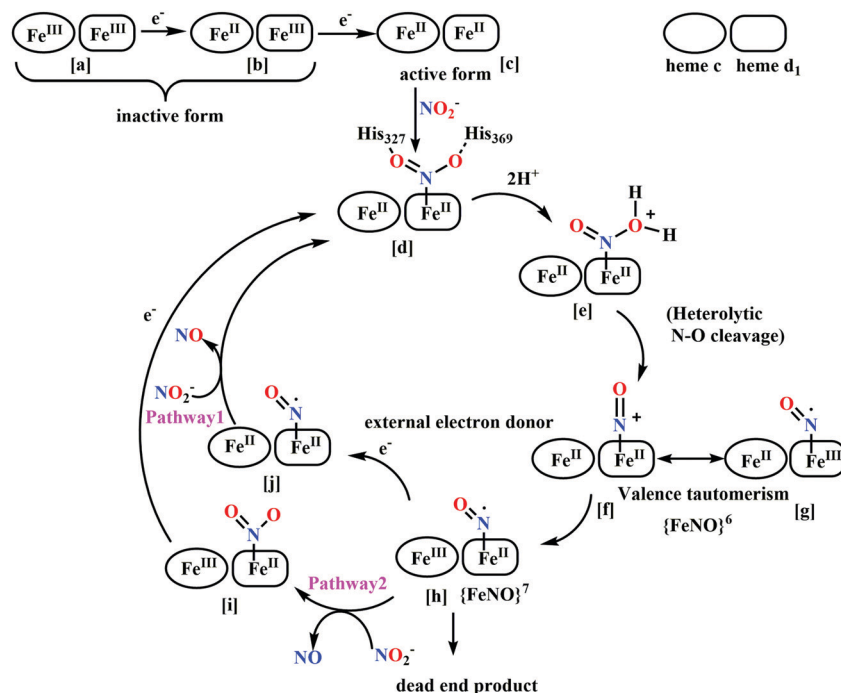


Fig. 13 (a) 3D ribbon representation of Cd<sub>1</sub>NiR from *Pseudomonas aeruginosa* showing the homodimers and (b) active site structure of *P. aeruginosa* Cd<sub>1</sub>NiR in oxidised form, heme c is also included participating in electron transfer to the active site. Both (a) and (b) are based on PDB 1NIR.<sup>245</sup>

Scheme 20 Proposed reaction mechanism of Cd<sub>1</sub>NiR.

in the reductive as well as oxidative directions (+60 mV and +210 mV, respectively) revealed hysteresis and cooperativity between the two heme centres.<sup>248</sup> The external electron donors have redox titration potential in the range of +250 mV,<sup>252</sup> hence electron transfer process *in vivo* is not clear. It was proposed that there may be some activation factor in bacterial periplasm or alternatively, the enzyme may function only when the ratio of reduced to oxidized electron donor proteins is very high.<sup>253</sup> Electron transfer from heme c to heme d<sub>1</sub> is coupled with conformational changes, generating the 'active form' of the enzyme. Heme c is replenished with electron from external electron donors resulting in fully reduced form: cFe<sup>II</sup>-d<sub>1</sub>Fe<sup>II</sup> (Scheme 20c) which binds to the substrate.<sup>254</sup>

In Cd<sub>1</sub>NiR, nitrite binds to the d<sub>1</sub>Fe<sup>II</sup> with high affinity.<sup>234</sup> Although nitrite shows 'nitrito' mode of binding in haemoglobin and myoglobin,<sup>255–257</sup> it exhibits a 'nitro' mode of binding in heme d<sub>1</sub> (Scheme 20d).<sup>239</sup> This mode of binding is also supported by proposed mechanism responsible for NO released. High affinity for nitrite can be explained by two factors (a) presence of two electron withdrawing oxo substituent in heme d<sub>1</sub> ring moiety and (b) electrostatic interactions with two protonated conserved histidine residues in the active site pocket.<sup>246</sup> Cyanide (an inhibitor ion) binding has been useful as a probe of the affinity of ferrous heme d<sub>1</sub> for anions and role of the protein residues in controlling ligand binding. It has been observed that in Pa-Cd<sub>1</sub>NiR His<sub>369</sub>Ala mutant, affinity for cyanide binding is 10-fold reduced. Due to reduced steric hindrance in the active site, CO binds to the mutant much faster than the wild type (WT) enzyme than the His<sub>369</sub>Ala mutant.<sup>235</sup> Thus, overall positive electrostatic potential of the distal site is the dominant factor affecting the affinity of anionic ligand (CN<sup>-</sup>, NO<sub>2</sub><sup>-</sup>) which

compensates for relatively weak affinity of ferrous heme iron towards anionic ligands.

The next step involves protonation at the oxygen atom and heterolytic N-O bond cleavage, resulting in release of a water molecule and d<sub>1</sub>{FeNO}<sup>6</sup> formation (Scheme 20f and g). The two protonated histidine residues at the active site are well positioned to serve as the proton donors during catalysis<sup>233–239,258,259</sup> and their role has been verified by site directed mutational studies<sup>234</sup> and theoretical calculations.<sup>260</sup> It has been observed that both Pa-Cd<sub>1</sub>NiR His<sub>369</sub>Ala mutant and His<sub>327</sub>Ala mutant lost nitrite reductase activity. However, effect of two residues are not equivalent. The His<sub>369</sub> being at a shorter distance to both the oxygen atoms, play a more important role in stabilising the nitrite bound complex through hydrogen bonding.<sup>234,235,260</sup>

The last step of the catalytic cycle is NO dissociation which has been the subject of much debate over several decades. Earlier, the d<sub>1</sub>{FeNO}<sup>6</sup> complex was considered to be a 'dead end' product supporting the fact that NO has very high association constants for binding to ferrous hemoproteins such as myoglobin and haemoglobin ( $K_a \sim 10^{11}$ – $10^{12}$  M<sup>-1</sup>)<sup>261</sup> and cyt aa<sub>3</sub> oxidase ( $K_a \sim 10^{10}$  M<sup>-1</sup>).<sup>262</sup> So, NO was thought to be released from d<sub>1</sub>Fe<sup>III</sup>-NO complex formed by intramolecular electron transfer from Fe<sup>II</sup> to  $\pi^*$ -orbital of NO (valence tautomerism) (Scheme 20f and g).<sup>233,234,263</sup> However, this hypothesis was never proven. Indeed, spectroscopic evidence of a long lived Pp-Cd<sub>1</sub>NiR d<sub>1</sub>Fe<sup>III</sup>-NO exists in absence of excess reducing equivalents. Also, this NO dissociation from this adduct is not observed.<sup>263,264</sup> In addition, it was shown that NO is dissociated rapidly from reduced Pa-Cd<sub>1</sub>NiR ( $k_{off} = 71$  s<sup>-1</sup>),<sup>259,265</sup> thus suggesting a reduced affinity of the ferrous heme d<sub>1</sub> towards NO ( $K_a \sim 10^7$  M<sup>-1</sup>) in contrary to other hemoproteins. These

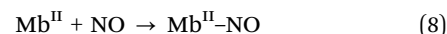
conclusions on Pa-Cd<sub>1</sub>NiR are also supported by the results of ultra-fast kinetic studies on Pp-Cd<sub>1</sub>NiR which showed that intramolecular electron transfer from heme c to heme d<sub>1</sub> triggers NO release (Scheme 20, pathway 1).<sup>254</sup> Investigation of the reduction process of Pa-Cd<sub>1</sub>NiR by pulse radiolysis technique provided kinetic evidence for negative cooperativity between two d<sub>1</sub>heme sites of the dimeric enzyme and also suggested that intramolecular electron transfer from heme c to heme d<sub>1</sub> is highly regulated by an allosteric mechanism.<sup>258,266,267</sup> This can explain the requirement for two redox centres for Cd<sub>1</sub>NiR functioning.<sup>268</sup> Hence, NO most likely is released from d<sub>1</sub>{FeNO}<sup>7</sup>. It is also established experimentally that nitrite reacts with reduced NO-bound Pa-Cd<sub>1</sub>NiR and has NO dissociation at rates much faster than the catalytic turnover (Scheme 20, pathway 2).<sup>265</sup> Hence it can be concluded that Cd<sub>1</sub>NiR efficiently catalyses nitrite reduction and NO release only in presence of substrate and electron donors on the vicinity of the active site. In absence of reductants, NO release is sluggish.<sup>248,269–272</sup>

A combination of very high field electron-nuclear double resonance (ENDOR) techniques and theoretical calculations on Pa-Cd<sub>1</sub>NiR suggested that a dynamic and co-operative network of hydrogen bonds in the active site is also responsible for NO release. The structure obtained theoretically in best agreement with experimental findings reveal that the conserved His<sub>327</sub> has no hydrogen bonds to the NO. Thus, the role of this residue is limited to maintaining positive electrostatic potential in the distal side of the active site. The d<sub>1</sub>Fe–NO complex is hydrogen bonded to OH from Tyr<sub>10</sub> and the protonated His<sub>369</sub> residues. In Tyr<sub>10</sub>Phe mutant-NO complex, the His<sub>369</sub> residue moves closer to the NO, whereas mutation of both distal histidine residues with alanine displaces Tyr<sub>10</sub> preventing hydrogen bond formation. This suggests cooperative behaviour for Tyr<sub>10</sub> and His<sub>369</sub>. Hence, these observations suggest that hydrogen bonding network in the distal active site is dynamic and the position and strength of the hydrogen bonds are closely dependent on each other.<sup>273</sup> All these factors act cooperatively along with the crucial contribution from unique electronic properties of heme d<sub>1</sub> cofactor.<sup>237,274</sup> Sperm whale apomyoglobin reconstituted with heme d<sub>1</sub> also releases NO at 4 orders of magnitude greater rate than common heme b myoglobin (4 s<sup>−1</sup> vs. 2 × 10<sup>−4</sup> s<sup>−1</sup>).<sup>268</sup> Hence, the fast NO release is likely controlled by properties of heme d<sub>1</sub>. Two spectroscopic evidences point to this. The magnetic data revealed that ferric heme d<sub>1</sub> has unusual low spin (d<sub>xz</sub>,d<sub>yz</sub>)<sup>4</sup>(d<sub>xy</sub>)<sup>1</sup> electronic ground state, completely different from the usual (d<sub>xy</sub>)<sup>2</sup>(d<sub>xz</sub>,d<sub>yz</sub>)<sup>3</sup> protoheme ground state. This peculiar ground state results in the unpaired electron (HOMO) residing in the heme plane, whereas the filled orbitals (d<sub>xy</sub> and d<sub>yz</sub>) residing at the axial planes resulting in very weak  $\pi$ -interaction with NO  $\pi^*$ -orbital forming weak Fe–NO bond as compared to NO bond with heme b.<sup>237</sup> Secondly, presence of the two electron withdrawing keto substituent and saturation at two pyrrolic centres, contributes to NO dissociation and tunes the reduction potential of iron in heme d<sub>1</sub> such that NO formed is not reduced further differentiating it from CcNiR. An important insight of the catalytic mechanism is the assignment of the rate determining step. In steady state turnover of nitrite, catalytic rate constant

(*k*<sub>cat</sub>) for Pp-Cd<sub>1</sub>NiR is 72 s<sup>−1</sup>,<sup>269</sup> which shows a higher value compared to 6 s<sup>−1</sup> in Pa-Cd<sub>1</sub>NiR<sup>259</sup> at pH 7.0. Therate of intramolecular electron transfer, which triggers NO dissociation from heme c to heme d<sub>1</sub>, is ~1000 s<sup>−1</sup> in Pp-Cd<sub>1</sub>NiR<sup>263,275</sup> and 3–6 s<sup>−1</sup> in Pa-Cd<sub>1</sub>NiR.<sup>266</sup> Dissociation rate constant of NO (*k*<sub>off</sub>) in Pp-Cd<sub>1</sub>NiR is 65–200 s<sup>−1</sup> (ref. 268) and 6–35 s<sup>−1</sup> in Pa-Cd<sub>1</sub>NiR.<sup>259</sup> Analysis of these kinetic parameters clearly indicate that in Pp-Cd<sub>1</sub>NiR, *k*<sub>cat</sub> is comparable to *k*<sub>off</sub> of {[FeNO]}<sup>7</sup>, hence probably NO dissociation is rate limiting step, whereas in Pa-Cd<sub>1</sub>NiR *k*<sub>cat</sub> corresponds to intramolecular electron transfer rate. Thus, in Pa-Cd<sub>1</sub>NiR, d<sub>1</sub>Fe(II) formation step is rate limiting followed by fast NO release.

**Nitrite reductase activity of other metalloproteins.** Several studies revealed that both haemoglobin (Hb) and myoglobin (Mb) have nitrite reductase activity with the capability to generate NO.<sup>276–278</sup> Such heme based nitrite reduction generally proceeds through N-bound nitrite complex as the starting point, similar to that observed for bacterial NiRs. Mechanistic studies revealed that such reduction pathway proceeds to the formation of {FeNO}<sup>7</sup> complexes of Hb or Mb (eqn (7) and (8)).<sup>277</sup>

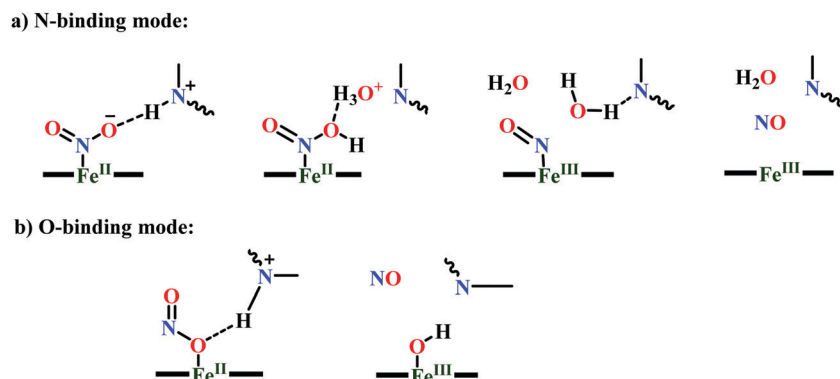
An alternative possible reduction pathway through O-bound complex was first explored by Radu Silaghi-Dumitrescu in Cd<sub>1</sub>NiR.<sup>279</sup> Theoretical calculations suggested that this reaction proceeded through a protonation from distal histidine residue leading to formation of hydroxo–Fe(III) complex and NO. Similar mechanism is also suggested for nitrite reduction by Mb<sup>II</sup> and Hb<sup>II</sup> (Scheme 21).<sup>276</sup> Several other instances of metalloprotein-based nitrite reduction to NO have been reported in recent years.<sup>278,280–287</sup>



**Challenges and general outlook.** A single reaction (nitrite reduction) has been handled in nature by three different pathways utilising three completely different heme architectures. The chemistry behind the functioning of any enzyme active site is governed by both the primary coordination environment of the cofactors as well as the protein amino acid residues constituting the secondary environment. This chemistry is difficult to mimic in model complexes as it is difficult to design the exact protein ambience outside a protein matrix. While selective roles of some of the residues can be probed, recreating the overall catalytically relevant protein architecture in a synthetic construct is a daunting task. Detailed investigation of the synthetic analogues mimicking the cofactor structure, can however be equally important in predicting and/or reproducing the fundamental aspects of structure, spectroscopy, magnetic and electronic structure and chemical reactivity of the biological systems. These are discussed in the following sections.

## Nitrite reductase model complexes

First generation models comprise iron-porphyrin complexes which could rationally explain oxidation states, coordination

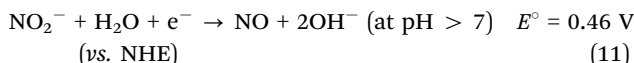
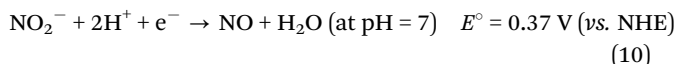
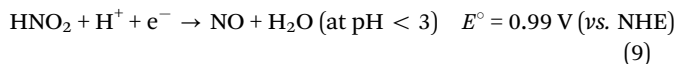


Scheme 21 Proposed NO generation mechanisms catalysed by Hb.

nature, identity of donor ligands, the ligation alternatives at the active site. Structural, spectroscopic and electrochemical characterisation of these led to logical designing of second-generation models to incorporate some feature of the enzyme, as has been understood from enzymatic studies. Blueprint of a third-generation model systems must include the consideration of the roles of basic residues residing in the enzyme active site.

### Solution chemistry of nitrite

Nitrite is the conjugate base of nitrous acid having  $pK_a$  of 3.16 at 25 °C.<sup>288</sup> This implies that at physiological pH, nitrite exists completely in its ionic form. The aqueous chemistry of nitrite is highly pH dependent, same has been observed in enzyme systems. One electron reduction of nitrite to NO requires two equivalents of acid, hence nitrous acid is oxidising in nature at very low pH, whereas reduction potential of nitrite to NO drops to 0.37 V at pH 7.0 and becomes energetically uphill at higher pH.<sup>289</sup>



### NiR model complexes

Several metalloporphyrin complexes having coordinated nitrite ion have been characterised. We addressed the model complexes developed and characterised in a chronological order and based on their relevance in the catalytic cycle of nitrite reduction reaction.

**Nitrite binding.** Three linkage isomers are possible for nitrite binding to mononuclear metal complexes (Fig. 14). When  $\text{NO}_2^-$  coordinates *via* N-atom,  $\text{M}-\text{NO}_2^-$  is called 'nitro' mode of binding while coordination through a single O-atom,  $\text{M}-\text{ONO}^-$  is termed as 'nitrito' complex. Alternatively,  $\text{NO}_2^-$  can be coordinated in a bidentate fashion *via* both the oxygens.<sup>290</sup>

The structures reported for heme containing nitrite reductases have been limited to 'nitro' binding mode despite the differences in proximal ligation to heme (*e.g.*, Lys for CcNiR,

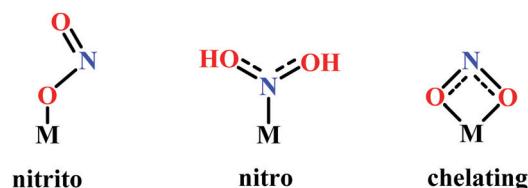
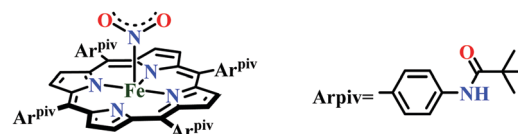


Fig. 14 Nitrite ligand binding modes in monomeric complexes.

$\mu$ -SCys for CSNiR and His for cyt cd<sub>1</sub>NiR), nature of macrocycle (heme c *vs.* siroheme *vs.* heme d<sub>1</sub>) and different basic residues in distal heme pocket.<sup>175,239,291</sup> It is also interesting to find from the crystal structures of nitrite ligated synthetic iron porphyrin complexes that both Fe<sup>II</sup> (ref. 292) and Fe<sup>III</sup> (ref. 293–295) binds *via* N-atom (Fig. 15).<sup>209,296</sup>  $[(\text{TpivPP})\text{Fe}(\text{NO}_2)(\text{NO})]^-$  has been an exceptional complex where both nitro and nitrito mode of binding have been observed in same crystal due to disorder in nitrito group.<sup>297</sup>

Photoirradiation of  $(\text{TPP})\text{Fe}(\text{NO})(\text{NO}_2)$  resulted in linkage isomerism of nitrite to its nitrito form.<sup>298,299</sup> Such nitrito binding has also been observed in crystal structures of other metalloporphyrin nitrite complexes of Mn,<sup>300</sup> Ru<sup>301,302</sup> and Os.<sup>303,304</sup> First instance of nitrito mode of binding to a heme protein was for horse heart Fe<sup>III</sup>-Mb (Mb<sup>III</sup>) and its derivatives<sup>256,257,305</sup> and Fe<sup>III</sup>-Hb or deoxy-Hb(Hb<sup>III</sup>).<sup>255</sup> Once bound to nitrite, all these complexes form low spin iron centres. When a crystal structure is not available, differentiation of the binding modes can be easily done by infrared vibrational spectroscopy. For nitro complexes, the antisymmetric and symmetric stretching modes are  $\nu_a(\text{NO}_2) = 1470\text{--}1370 \text{ cm}^{-1}$  and  $\nu_s(\text{NO}_2) = 1340\text{--}1290 \text{ cm}^{-1}$ , respectively and for nitrito mode,  $\nu_a(\text{NO}_2) = 1510\text{--}1400 \text{ cm}^{-1}$  and  $\nu_s(\text{NO}_2) = 1100\text{--}900 \text{ cm}^{-1}$ .<sup>290,306</sup>

**Reductions in the presence of protons and electrons.** If we consider nitrite reduction in biology, both CcNiR and CSNiR

Fig. 15 Molecular structure  $[(\text{TpivPP})\text{Fe}(\text{NO}_2)]^-$ .



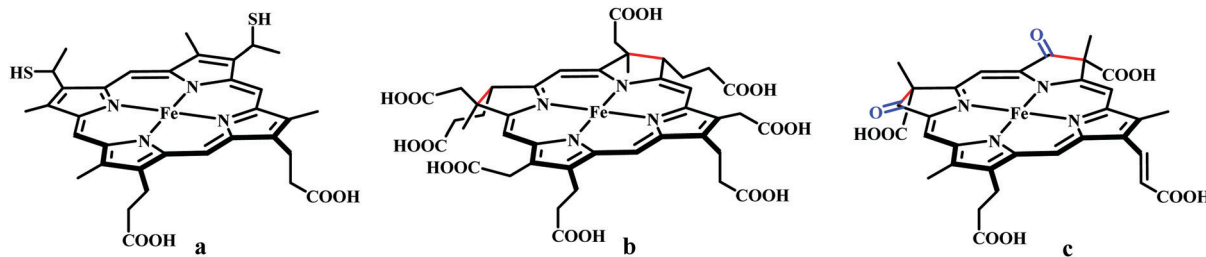


Fig. 16 Structure of (a) heme c in CcNiR, (b) siroheme in CSNiR and (c) heme d<sub>1</sub> in Cd<sub>1</sub>NiR. The saturated pyrrolic centres and electron withdrawing groups are highlighted in red and blue respectively.

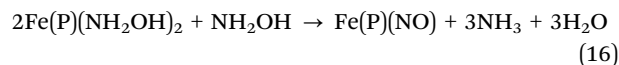
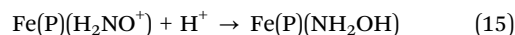
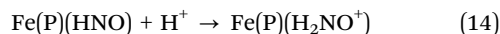
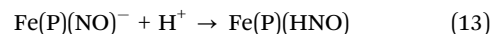
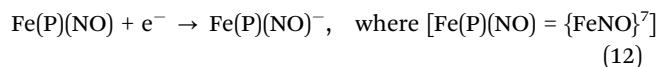
reduces nitrite to ammonium ion (NH<sub>4</sub><sup>+</sup>) directly without involving the release of any intermediate in both dissimilatory and assimilatory pathways. However, Cd<sub>1</sub>NiR reduces nitrite to NO, which is then released as a part of dissimilatory denitrification reaction. In all these enzymes, the substrate is reduced after binding to the iron centres of different heme cofactors (heme c in CcNiR (Fig. 16a), siroheme in CSNiR (Fig. 16b) and heme d<sub>1</sub> in Cd<sub>1</sub>NiR (Fig. 16c)) in their active site, all of them involving heme c (except Fe<sub>4</sub>S<sub>4</sub> in CSNiR) as their electron transfer partners. The peptide residues in the distal site has a steering role in directing the substrate to the active site, stabilising it by hydrogen bonding interactions and then assist in protonation of the substrate and subsequent release of a molecule of water. This is common to all the three enzymes, resulting in {FeNO}<sup>6</sup> intermediate. From this point on the further reaction both in CSNiR and CcNiR takes up electrons and protons to result in ammonium ion formation whereas Cd<sub>1</sub>NiR forms {FeNO}<sup>7</sup> through an electron transfer from heme c, resulting in NO release with concomitant nitrite binding thus proceeding to another cycle of nitrite reduction. While detailed protonation and electron transfer steps of CSNiR {FeNO}<sup>6</sup> have not yet been explored, for CcNiR, {FeNO}<sup>7</sup> formation is avoided through two consecutive PCET steps generating {FeHNO}<sup>8</sup>.

Several iron porphyrin-based nitrite reductase model complexes have been synthesised and their structural, spectroscopic, magnetic and electronic properties have been explored. Electrochemical investigations also gave some interesting results. The first area of focus was the differences in the heme backbone structure (Fig. 16) *i.e.*, (a) heme c in CcNiR which is a substituted porphyrin macrocycle (Fig. 16a), (b) siroheme in CSNiR which has isobacteriochlorin unit with two saturated β-pyrroles (four sp<sup>3</sup> hybridized peripheral carbons)<sup>224</sup> (Fig. 16b) and (c) heme d<sub>1</sub> in Cd<sub>1</sub>NiR which has an isobacteriochlorin macrocycle with two electron withdrawing oxo substituent and two saturated β-pyrroles (two sp<sup>3</sup> hybridized peripheral carbons)<sup>307</sup> (Fig. 16b). Hence, the divergent reactivity may stem from differences in structures of Fe-porphyrinoid macrocycles. To explore this possibility, several model complexes were synthesized (Fig. 17), characterized and electrochemistry of those complexes were investigated by several groups.<sup>296,308–316</sup>

It was observed that nitrite bound complexes of these models were unstable to examine its transformation to {FeNO}<sup>6</sup>.<sup>292,294</sup> But ferrous-nitrosyl adducts {FeNO}<sup>7</sup> of these complexes were stable and thus {FeNO}<sup>6</sup> could be prepared by one electron oxidation

of {FeNO}<sup>7</sup>. Hence, the electrochemistry of nitrosyl adducts have also been explored as well (Table 2).<sup>210,211,317–320</sup>

Under coulometric conditions, reduction of nitrosyl adducts of most iron porphyrins proceeded exclusively to ammonia, mediated by hydroxylamine bound species.<sup>212,321–327</sup> This was verified from reduction reaction of bis(hydroxylamine) complexes which yield ammonia in presence of excess hydroxylamine (eqn (16)). The rate of hydroxylamine formation was related directly to the basicity of {FeNO}<sup>8</sup> complexes (eqn (12) and (13)). Changes in macrocycle moiety had a vast influence on the rate and was accelerated in hydroporphyrins and were slower in oxoporphyrins. Fe(P)(NH<sub>2</sub>O<sup>+</sup>) (eqn (14)) was readily formed in siroheme model Fe(2,4 DMOEiBC) (Fig. 17k) whereas its formation was least favourable in heme d<sub>1</sub> model Fe(2,4-dioxoOEiBC) (Fig. 17l). It produces NO/N<sub>2</sub>O rather than ammonia.<sup>327</sup>



Fe(OEP)(HNO) complex can be chemically generated by protonation of {FeNO}<sup>8</sup> with weak acids such as chloro-substituted phenol which is stable over few hours.<sup>326</sup> Since {FeHNO}<sup>8</sup> is highly reactive, earlier it could only be transiently observed in protected environments as in proteins,<sup>323,324</sup> bis-picket fence porphyrins.<sup>325</sup> Thus {FeHNO}<sup>8</sup> intermediates could also be stabilised in electron rich porphyrin-nitrosyl complexes.

Reaction pathways of {FeHNO}<sup>8</sup> hence formed may also include disproportionation to {FeNO}<sup>7</sup> and H<sub>2</sub> (eqn (17)) observed for FeTPP<sup>212</sup> (Fig. 17a) or a cross reaction involving reduction of {FeHNO}<sup>8</sup> (eqn (18)). Reduction of Fe<sup>II</sup>(TFPPBr<sub>8</sub>)NO (TFPPBr<sub>8</sub> = 2,3,7,8,12,13,17,18-octabromo-5,10,15,2-tetrakis-(pentafluorophenyl)porphyrin, Fig. 18) with cobaltocene yields a stable {FeNO}<sup>8</sup> complex [Co(C<sub>5</sub>H<sub>5</sub>)<sub>2</sub>]<sup>+</sup>[Fe(TFPPBr<sub>8</sub>)NO]<sup>-</sup>. This complex was isolated and characterised, giving an exclusive example of stabilised {FeNO}<sup>8</sup> intermediate, which is otherwise elusive. In the presence of strong acid such as triflic acid, it disproportionated to {FeNO}<sup>7</sup> and H<sub>2</sub>, in a pathway observed earlier, without any direct evidence of mediation by a {FeHNO}<sup>8</sup>

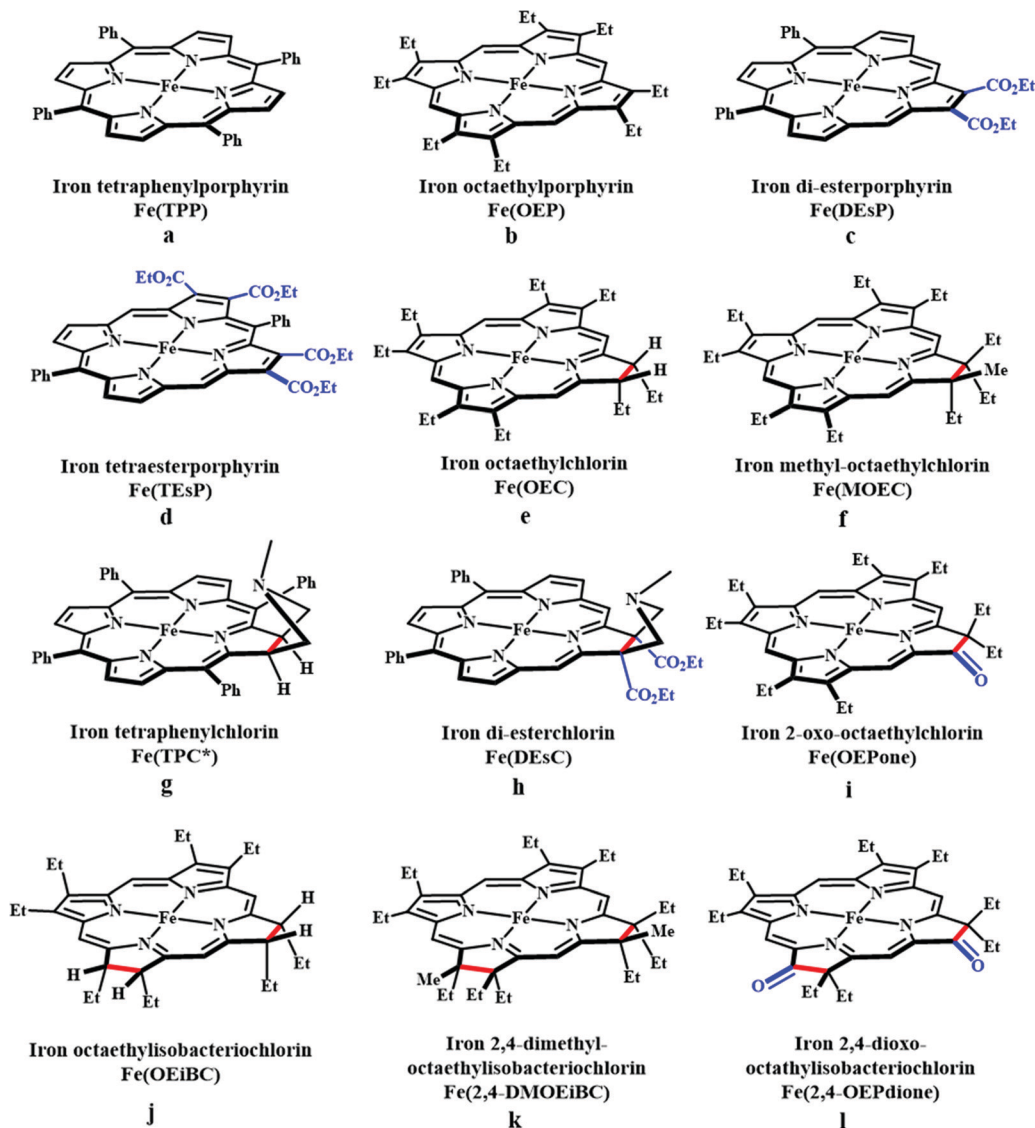
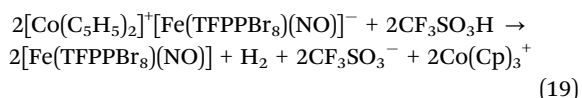
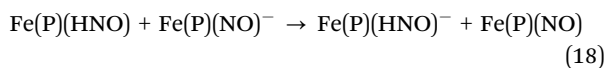
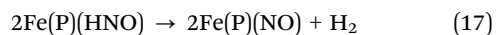


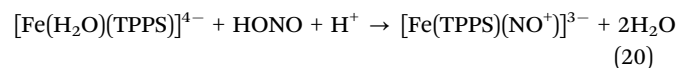
Fig. 17 Molecular structures of heme based nitrite reductase model complexes. The saturated pyrrolic centres and electron withdrawing groups are highlighted in red and blue respectively. (j) and (k) Closely resembles siroheme structure and (l) resembles heme  $d_1$  structure.

species (eqn (19)).<sup>328</sup> Electrochemistry of the reported heme-nitrosyl adducts also helped in understanding the electronic structure of the various heme model complexes.



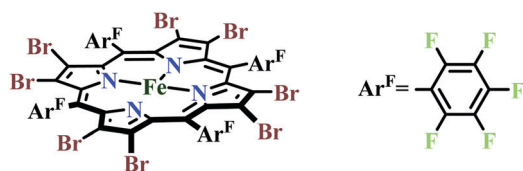
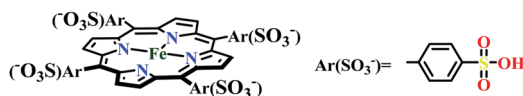
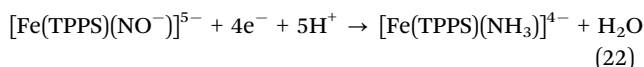
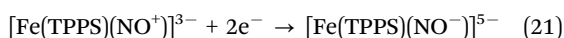
A closer look at Table 2 reveal a clear trend observed in reduction potential of both the oxidation process ( $\{\text{FeNO}\}^{6/7}$  couple) and reduction process ( $\{\text{FeNO}\}^{7/8}$  couple). Insertion of EWGs to the  $\beta$ -pyrrolic position shifted the redox couples to higher potential (for Fig. 17c and d). Conversely, saturating the

pyrroles, *i.e.*, making  $\beta$ -pyrrolic carbons  $\text{sp}^3$ -hybridised had a reverse effect, shifting the redox couples to lower potentials (for Fig. 17e–h, j and k). Oxidation of  $\{\text{FeNO}\}^7$  complexes of oxoOEC and dioxoOEiBC results in a porphyrin  $\pi$ -cation radical generation whereas in OEP, the oxidation is metal centered.<sup>319</sup> A very interesting work was done by Meyer and co-workers, who employed a water soluble porphyrin  $[\text{Fe}(\text{III})(\text{H}_2\text{O})(\text{TPPS})]^{3-}$  (TPPS = *meso*-tetrakis(*p*-sulfonatophenyl)porphyrin), Fig. 19 in mildly aqueous acidic solution to electro-reduce nitrite to ammonia (eqn (20)–(22)), with  $\text{N}_2\text{O}$  and hydroxylamine as side product. This reaction was highly pH dependent. Although, comprehensive mechanism of the reaction is not understood clearly, this may be considered as a structural and functional model of CcNiR.<sup>321,330</sup>



**Table 2** Reduction potentials of reported heme-nitrosyl adducts in various solvents

Complex	Solvent	$E\{\text{FeNO}\}^{6/7}$ (V) vs. SCE	$E\{\text{FeNO}\}^{7/8}$ (V) vs. SCE	Ref.
Fe(TPP)(NO)	DCM	0.74	−0.93	211
Fe(OEP)(NO)	DCM	0.71	−1.02	320
	THF	0.66	−1.07	320
	Butyronitrile	0.63	−1.08	317
Fe(DES)(NO)	DCM	0.83	−0.75	329
Fe(TES)(NO)	DCM	0.87	−0.65	329
Fe(TPC*)(NO)	DCM	0.45	−1.02	329
Fe(OEC)(NO)	Butyronitrile	0.48	−1.08	317
Fe(MOEC)(NO)	DCM	0.59	−1.02	320
	THF	0.64	−1.06	
Fe(OEPone)(NO)	DCM	0.76	−0.81	319 and 320
	THF	0.76	−0.86	320
Fe(DESC)(NO)	DCM	0.59	−0.93	329
Fe(OEIBC)(NO)	Butyronitrile	0.22	−1.11	317
Fe(2,4-DMOEIBC)(NO)	DCM	0.34	−1.17	320
Fe(2,4-OEPdione)(NO)	THF	0.38	−1.16	
	DCM	0.74	−0.69	319 and 320
	THF	0.80	−0.80	

**Fig. 18** Molecular structure of Fe(TFPBr<sub>8</sub>).**Fig. 19** Molecular structure of [Fe(III)(TPPS)]<sup>3−</sup>.

In another related investigation the binding constants for NO to pyridine bound ferrous porphyrins (Table 3) to form {FeNO}<sup>7</sup> for porphyrins and hydroporphyrins was substantially small compared to very high values for oxoporphinone and porphine-dione. Hence, enhanced histidine coordination to heme d<sub>1</sub> may play a role in NO release.<sup>320</sup>

Fe(III)-porphyrin complexes with NH<sub>3</sub> as a ligand has been reported but not structurally characterised, magnetic studies reveal Fe<sup>III</sup> low spin state. Ammonia as is weakly bound and

**Table 3** Formation constants for pyridine coordination to {FeNO}<sup>7</sup>

Complex	Solvent	$K_{(\text{complex})(\text{py})}$	Ref.
Fe(TPP)(NO)	Ethylene chloride	0.7	331
Fe(OEP)(NO)	THF	~0.3	320
Fe(MOEC)(NO)	THF	1.1–0.1	320
Fe(OEPone)(NO)	THF	4.8 ± 0.2	320
Fe(2,4-DMOEIBC)(NO)	THF	~0.3	320
Fe(2,4-OEPdione)	THF	88 ± 3.0	320

have a strong tendency to hydrolyse. This observation is relevant with release of NH<sub>3</sub> during the turnover of nitrite reductase catalytic cycle.<sup>332</sup>

It has been recently reported that presence of EWGs on the periphery of porphyrin macrocycle (Fig. 17c, d and h) lowers the energy of porphyrin π\*-orbitals. This results in competitive back-bonding from filled dπ-orbitals of iron between porphyrin π\*-orbitals and NO π\*-orbitals, weakening the Fe–NO adduct formation.<sup>333</sup> This observation is evident from the analyses of the NO stretching frequencies (Table 4) (ν<sub>NO</sub>) reported for {FeNO}<sup>7</sup> complexes reported till date.

Complexes having higher ν<sub>NO</sub> for {FeNO}<sup>7</sup> systems tend to release NO easily owing to weaker Fe–N bond (Fig. 20 and 21). This can be rationalised by the fact that stronger backbonding with porphyrin π\* will reduce backbonding to NO π\*. This effect also tunes the pK<sub>a</sub> and coherently affect the thermodynamics of the next reaction; NO release or a PCET step to {FeHNO}<sup>8</sup>. In heme c, ν<sub>NO</sub> for {FeNO}<sup>7</sup> is lower (1651–1671 cm<sup>−1</sup>), suggestive of disfavoured NO release, as has been the case in CcNiR.<sup>339</sup> Aspects of facile PCET at this step has been recently reported for a series of model complexes where the effect of saturating the pyrroles and role of EGW were systematically investigated.<sup>329</sup> It has been discussed that for PCET to be facile, the bond dissociation free energy (BDFE) of the N–H bond on {FeHNO}<sup>8</sup> should be high. This report was first to evaluate the N–H BDFE involved in the PCET to {FeNO}<sup>7</sup> species.<sup>340</sup> The BDFE of N–H bond in {FeHNO}<sup>8</sup> can be calculated using the following equation:<sup>341</sup>

$$\text{BDFE}_{\text{NH}} = 1.38\text{pK}_a + 23.06E^\circ + C \quad (23)$$

where the pK<sub>a</sub> was that of {FeNO}<sup>8</sup> species, which was calculated from the change in Gibb's free energy, ΔG° of the protonation equilibrium step. E° represents the one-electron reduction potential of the {FeNO}<sup>7/8</sup> redox process, which was directly obtained from the cyclic voltammogram. C is a constant which depends on the solvent.<sup>341</sup>

The ΔG° of protonation was computed using DFT calculations. The calculated BDFE<sub>NH</sub> values revealed that the protonation of {FeNO}<sup>8</sup> species gradually became less favorable from FeOEP to FeOEPone to Fe(2,4-OEPdione). This was consistent with the previously reported trend for nitrite reduction to ammonia, using moderately strong acids like phenols, under controlled potential electrolysis, i.e., the rate of the reaction:

**Table 4** N–O bond stretching frequency (ν<sub>NO</sub>) in cm<sup>−1</sup>

Complex	{FeNO} <sup>6</sup> (6C)	{FeNO} <sup>7</sup> (6C)	{FeNO} <sup>8</sup> Ref.	Ref.
Fe(TPP)(NO)	1844 (1914)	1676 (1626)	1496	329 and 338
Fe(OEP)(NO)	1862	1670	1441	338
Fe(DES)(NO)	(1923)	1686 (1641)	—	329
Fe(TES)(NO)	(1927)	1688 (1646)	1550	329
Fe(TPC*)(NO)	—	1680 (1635)	—	329
Fe(OEC)(NO)	—	1670	—	317
Fe(OEPone)(NO)	1720	1662 (1916)	1442	318, 319 and 338
Fe(DESC)(NO)	—	1691 (1633)	1537	329
Fe(OEIBC)(NO)	—	1670	—	317
Fe(2,4-DMOEIBC)(NO)	1700	1665 (1916)	1442	318, 319 and 338
OEPdione)	—	—	—	—
Fe(TFPBr <sub>8</sub> )(NO)	—	1726	1547	328

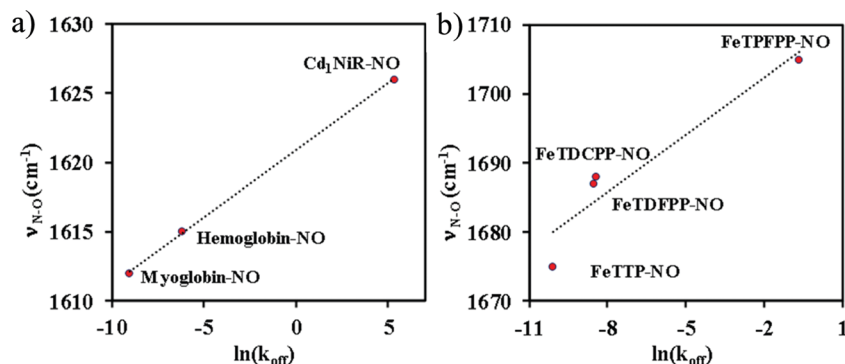


Fig. 20 Correlation between N–O stretching frequency and rate of NO dissociation: (a) in six-coordinate heme nitrosyls in enzyme systems;<sup>334–336</sup> (b) in synthetic Fe-porphyrin nitrosyl complexes, TTP (*p*-tolyl), TDFPP (2,6-difluorophenyl), IDCPP (2,6-dichlorophenyl), TPFP (pentafluorophenyl).<sup>337</sup>

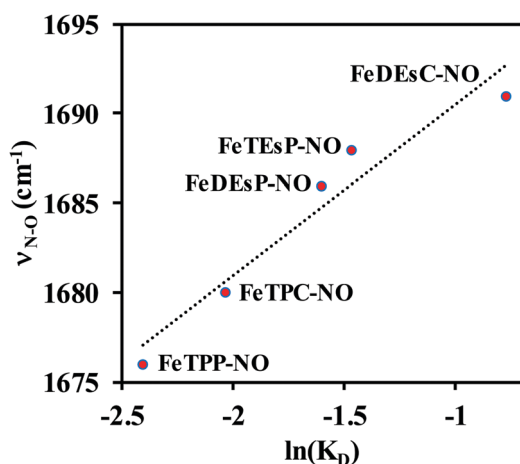


Fig. 21 Correlation between experimentally measured N–O stretching frequency and NO dissociation constant in model complexes.

FeOEP > FeOEPone > Fe(2,4-OEPdione), where the protonation of {FeNO}<sup>8</sup> species was proposed to be the rate-limiting step.<sup>327</sup>

Hence, it can be concluded that the  $E^\circ$  and  $pK_a$  of the {FeNO}<sup>7</sup> species determine the thermodynamics of the PCET step or those of the competitive NO dissociation step. The presence of EWGs along with saturated pyrrolic centres result in weak BDFE<sub>NH</sub>; probably explaining the role of heme d<sub>1</sub> in Cd<sub>1</sub>NiR. On the contrary, higher values of BDFE<sub>NH</sub> resulting from balance between  $E^\circ$  and  $pK_a$  is responsible for facile PCET to {FeNO}<sup>7</sup> producing {FeHNO}<sup>8</sup> which eventually proceeds to NH<sub>4</sub><sup>+</sup> formation and release relevant to CcNiR mechanism.

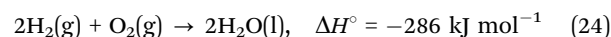
In this section, heme containing nitrite reductase enzymes and their structural and mechanistic aspects were discussed. The role of basic residues was highlighted, which has already been explored in details in the enzymes. Next, the different results based on synthetic model complexes were discussed. It is observed from the literature that main focus till now has been on the understanding of the role played by the different macrocycles employed by nature for nitrite reduction reaction. In the future more studies with reconstituted enzyme active site needs to be done. This may give a holistic view of the observed differences in the mechanistic pathways. Also, synthesis of tailor-made model complexes with a secondary structure is

necessary for a deeper understanding of the roles played by proton transfer and electron transfer residues in nitrite reduction reaction to get more control of the fate of this reaction in an artificial setting. While the large structural changes responsible for the on-off switch may be difficult (or impossible) to mimic in artificial systems, the roles played by tyrosine, arginine, lysine and histidines can definitely be understood better.

## H<sub>2</sub> and H<sup>+</sup> interconversion

### Introduction

Hydrogen is the most abundant element in the Universe but in Earth it is in the tenth position, as molecular H<sub>2</sub> being a light gas escapes easily into space.<sup>342</sup> Most of the hydrogen is fixed in the form of strong bonds with other elements like C, N and O. For example, the reaction of H<sub>2</sub> with O<sub>2</sub> releases substantial energy.

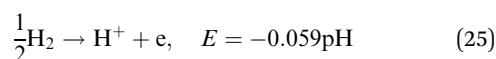


Hydrogen can be used as a fuel, due to its high enthalpy of combustion (−143 kJ mol<sup>−1</sup>) or as an energy vector in a fuel cell and heralds a potential and much sought-after eco-friendly alternative to the conventional fuels.<sup>343,344</sup> Furthermore, if H<sub>2</sub> can be obtained *via* electrochemical splitting of H<sub>2</sub>O the resulting renewable energy cycle can be sustainable. But H<sub>2</sub> also has some notable disadvantages when practical implementation is considered, such as (i) low solubility in water limits its availability as an energy source in an aqueous medium, (ii) H<sub>2</sub> gas being very light is difficult to store.<sup>345</sup> The reverse of the above reaction (eqn (24)) *i.e.*, water splitting, occurs extremely rapid through a radical chain mechanism but the reaction is energetically uphill with a high  $\Delta G^\circ$  value of 237 kJ mol<sup>−1</sup> and also associated with a large kinetic barrier.<sup>346,347</sup> Thus, it is not easy to reduce H<sub>2</sub>O to form H<sub>2</sub> without a catalyst. A potential hydrogen fuel economy is suffering from the availability of efficient and robust catalysts that can generate hydrogen from easily available water source.<sup>348–350</sup> Metallic platinum and its alloys can efficiently produce H<sub>2</sub> from the water with very low overpotential,<sup>351</sup> but those are rarely available<sup>352</sup> and very expensive. The scarcity and cost of the platinum, has attracted immense interest to search for cheap alternative catalysts for hydrogen evolution.<sup>142,352,353</sup>



## Lessons from Nature

In nature, many lower microorganisms *e.g.* algae and bacteria<sup>354–357</sup> have the capability either to pull out electrons from hydrogen molecules to carry out their metabolic processes or to reduce protons to hydrogen by removing electrons. In these microorganisms, the inter-conversion between hydrogen uptake and release is efficiently mediated by a class of enzymes, known as hydrogenases. Hydrogenases are class of a metallo-enzyme<sup>354,355,358</sup> that can efficiently convert proton to hydrogen reversibly with minimum overpotential with a turnover rates of  $10^2$ – $10^4$  mol  $s^{-1}$  for per mole of the enzyme.<sup>345,359–362</sup> Hydrogenases are widespread in nature and they occur in bacteria, archaea, and some eukarya.<sup>354–357</sup> These enzymes catalyse the interconversion of  $H_2$  to  $H^+$  and participate in various physiological processes *e.g.* sulfate reduction, nitrate reduction, carbon dioxide reduction, *etc.*<sup>363</sup>



It is believed that initially the atmosphere of Earth was hydrogen rich. So, it was expected that hydrogenases were matured to produce energy as a form of molecular  $H_2$ . Thus, hydrogenases can either assist the lower microorganisms to survive under such conditions or to organise the ecosystem where energy transfer was mediated by  $H_2$ .<sup>355</sup> These molecular  $H_2$  driven microorganisms have been found mainly in deep-sea levels where the other sources of energy (*e.g.* photosynthesis) are unavailable. Based on these the main role of hydrogenases is thought to be energy production, and this can be enough to sustain an entire ecosystem. In  $O_2$ -poor wetland soil and submerged sediments,  $H^+$  ions are important electron acceptors and  $H_2$  is produced by hydrogenase action in fermentative bacteria.<sup>364</sup> Hydrogen is also produced by algae and cyanobacteria that under certain conditions use hydrogenases to consume electrons produced by photosynthesis.<sup>365,366</sup>

## Types of hydrogenases

Based on their metal content, hydrogenases are classified into three classes namely [FeFe]-hydrogenase (Fig. 22), [NiFe]-hydrogenase (Fig. 23), and [Fe]-hydrogenase (Fig. 24).<sup>345,367–369</sup> Among them [NiFe]-hydrogenases are the most abundant in nature and biased towards hydrogen oxidation whereas [FeFe]-hydrogenases are most selective towards proton reduction.<sup>355,363,368</sup> The third class, [Fe]-hydrogenase or 5,10-methenyltetrahydromethanopterin

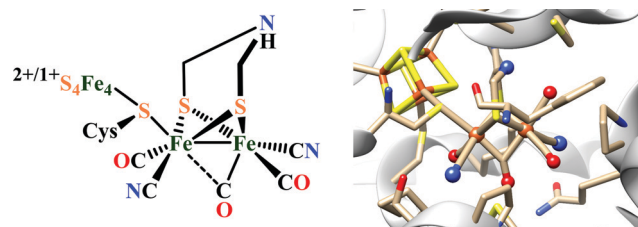


Fig. 22 Schematic representation (left) and X-ray crystal structure (right) of the 'H-cluster' in the  $H_{ox}$  state, found in [FeFe]-hydrogenase (PDB ID: 3C8Y<sup>386</sup> with modification of the bridgehead atom from oxygen to nitrogen).

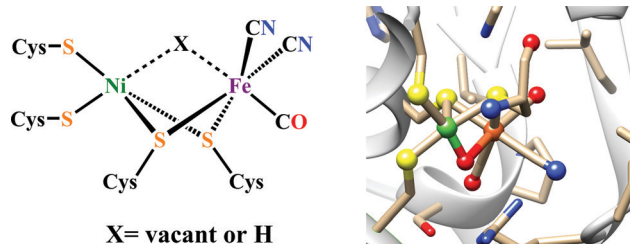


Fig. 23 Schematic representation (left) and X-ray crystal structure (right) of the [NiFe]-hydrogenase (PDB ID: 1WUJ<sup>402</sup>) active site.

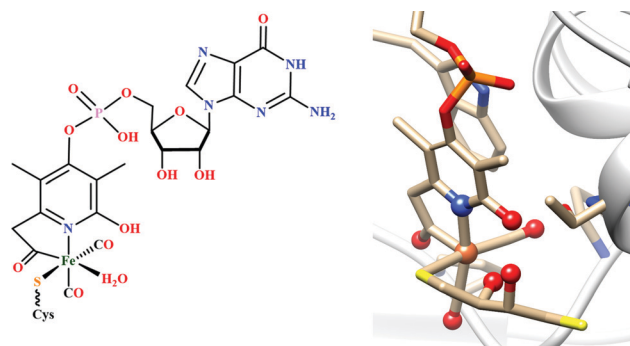
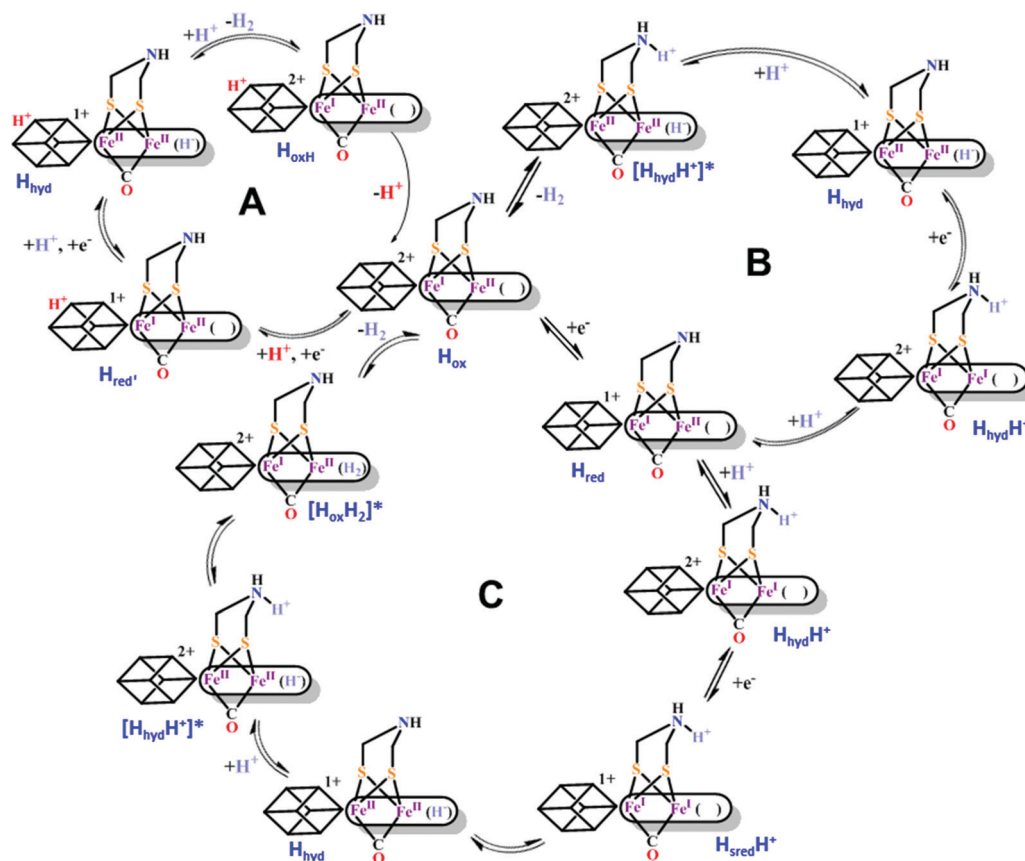


Fig. 24 Schematic representation (left) of the [Fe]-hydrogenase active site with the Hmd cofactor, and the X-ray crystal structure (right) of the mono-iron complex obtained from the cysteine 176 mutant (PDB ID: 3H65<sup>406</sup>).

dehydrogenase (Hmd) is mainly found in methanogens. Only in the presence of a hydride acceptor/donor substrate it reacts with  $H_2$  or produce  $H_2$ .<sup>367,370,371</sup>

## Structure and activity of [FeFe]-Hydrogenases

The X-ray crystal structures of the [FeFe]-hydrogenases, particularly from *Clostridium pasteurianum* and *Desulfovibrio desulfuricans*, reveal the structure of this class of enzymes.<sup>372,373</sup> The active site of [FeFe]-hydrogenase contains a unique dinuclear [2Fe] sub-cluster which is covalently linked by a cysteine sulphur to a [4Fe–4S]-cluster, thus forming the H-cluster. The  $Fe_4S_4$  cluster acts as a source of electrons required for  $H^+$  reduction. Both X-ray crystallographic<sup>372,373</sup> and infrared spectroscopic<sup>374,375</sup> investigations confirmed that each of the two Fe centre contains one  $CN^-$  and one CO ligand, both in a terminal binding mode. Whereas, an additional CO ligand is found to be bridging between both Fe atoms, in the oxidised  $H_{ox}$  state of the H-cluster. The  $H_{ox}$  state can be described as low spin  $Fe(II)Fe(I)$  state with spin state  $S = 1/2$ <sup>376,377</sup> and a  $Fe_4S_4$  cluster in the oxidized and diamagnetic  $[4Fe-4S]^{2+}$  state.<sup>378</sup> The strong  $\pi$  acidic CO and  $CN^-$  ligand stabilizes the low oxidation states of Fe by metal to ligand backbonding. The two diiron cores bridged by a dithiolate ligand, whose composition is matter of debate. From X-ray crystallographic data it is proposed to be  $-SCH_2XCH_2S-$ , where X can be nitrogen ( $-NH$ , adt), oxygen (O, odt), carbon (C, pdt) and a azadithiolate bridge is considered as the most likely.<sup>379</sup> Furthermore,<sup>14</sup>N quadrupole analysis and EPR spectroscopy support this hypothesis.<sup>380–382</sup> The proximal



Scheme 22 Proposed catalytic cycles for reversible  $\text{H}^+$  reduction by  $[\text{FeFe}]$ -hydrogenases.<sup>387–390</sup>

iron ( $\text{Fe}_p$ ) is directly bound to the  $[\text{4Fe-4S}]$  cluster and is coordinatively saturated. Whereas the distal iron ( $\text{Fe}_d$ ) has a vacant coordination site where the substrate ( $\text{H}_2$  or  $\text{H}^+$ ) may bind as well as inhibitors like  $\text{O}_2$  and  $\text{CO}$ . Recent spectroelectrochemical investigations reveal that the  $\text{Fe(I)Fe(I)}$  state of the enzyme binds the first proton at the bridgehead nitrogen atom.<sup>383,384</sup> Then successive reduction and proton rearrangement results in the formation of  $\text{Fe(II)Fe(II)-H}^-$  species.<sup>385</sup> At the bridgehead nitrogen atom, the second protonation occurs, which is well oriented to couple with the hydride, to release  $\text{H}_2$  (Scheme 22).

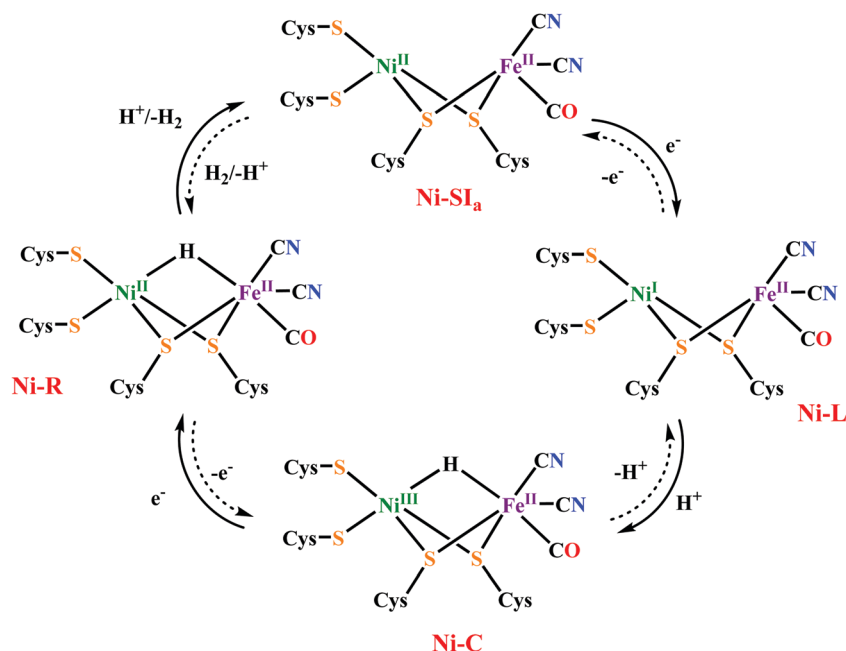
### Structure and activity of $[\text{NiFe}]$ -Hydrogenases

Structural characterization of the  $[\text{NiFe}]$ -hydrogenases disclosed that these enzymes consist of a large subunit containing the  $[\text{NiFe}]$  active site and a small subunit containing three  $\text{FeS}$  clusters,<sup>391,392</sup> which connects the active site of the enzyme to the surface of the protein and acts as an electron transport chain from the  $[\text{NiFe}]$  site to the electron acceptor cytochrome  $c_3$ .<sup>393</sup> Crystal structures of the hydrogenase show a  $[\text{3Fe-4S}]$  cluster in the centre of the chain, a  $[\text{4Fe-4S}]$  cluster close to the surface of the protein and a  $[\text{4Fe-4S}]$  cluster located approximately 13 Å away from the active site.<sup>394</sup> The dinuclear active site contains two metal ions, Ni and Fe, bridged by two cysteine thiolates. Ni has two additional thiolates as terminal ligands and Fe is coordinated by one CO and two  $\text{CN}^-$  ligands.<sup>391,392,395</sup> Electron paramagnetic resonance spectroscopy and infrared

spectroscopic techniques has revealed different possible redox states of the active site.<sup>396</sup> Previously it was believed that only three intermediate species are involved in the catalytic cycle. The resting diamagnetic Ni-SI<sub>a</sub> species ( $\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}$ ) takes up one electron and one proton to give the paramagnetic Ni-C state ( $\text{Ni}^{\text{III}}\mu(\text{H})\text{Fe}^{\text{II}}$ ).<sup>397,398</sup> The diamagnetic Ni-R state ( $\text{Ni}^{\text{III}}\mu(\text{H})\text{Fe}^{\text{II}}$ )<sup>399,400</sup> is generated from the Ni-C state upon one electron reduction. Ni-R undergoes protonation at the hydride to produce  $\text{H}_2$ , which eliminates to regenerate the resting Ni-SI<sub>a</sub> state. But recent studies established that Ni-C state upon low temperature photolysis produces new EPR active states, jointly called as Ni-L state, that are assigned to migration of the bridging hydride as proton.<sup>367,401</sup> In both Ni-C state and Ni-R state the hydride bridges the two metallic centres (Scheme 23). Throughout this whole catalytic cycle, the Fe centre remains in the low spin  $\text{Fe}^{\text{II}}$  state, a configuration favoured by the two strong field CO and  $\text{CN}^-$  ligands, whereas the Ni centre changes its oxidation states between the  $\text{Ni}^{\text{III}}$  (in Ni-C) and  $\text{Ni}^{\text{II}}$  (in Ni-R and Ni-SI<sub>a</sub>).

### Structure and activity of $[\text{Fe}]$ -Hydrogenases

In some methanogenic archaea, there is a third type of hydrogenase, which had long been believed to be purely bio-organic and they were initially called metal free hydrogenases.<sup>403</sup> But it is now a well-known fact that these enzymes contain an iron in their active site and do not contain any nickel or iron-sulfur



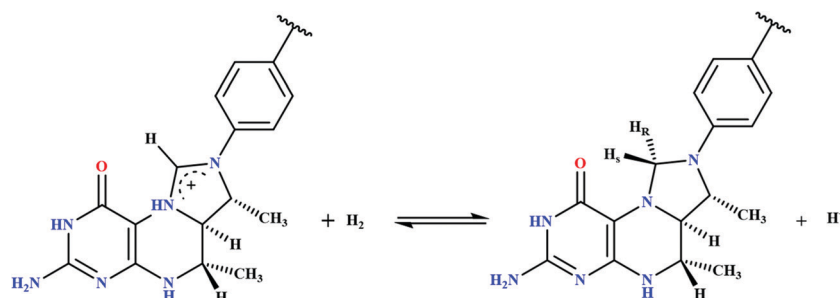
**Scheme 23** Proposed catalytic mechanism for reversible  $\text{H}_2$  oxidation by [NiFe]-hydrogenases.<sup>367,400</sup>

clusters. So, they are now referred as iron–sulfur cluster free hydrogenase and abbreviated as [Fe]-hydrogenase or Hmd. [Fe]-hydrogenases heterolytically split hydrogen into a proton ( $\text{H}^+$ ) and a hydride ( $\text{H}^-$ ), where the  $\text{H}^-$  transferred to the substrate containing the carbocation, methenyl-tetrahydromethanopterin (methenyl- $\text{H}_4\text{MPT}^+$ ). Thus, it catalyzes the reversible reduction of methenyl- $\text{H}_4\text{MPT}^+$  with  $\text{H}_2$  to methylene- $\text{H}_4\text{MPT}$  and a  $\text{H}^+$  (Scheme 24).<sup>404,405</sup> This reduction is a key intermediary step in the biological conversion of carbon dioxide to methane.

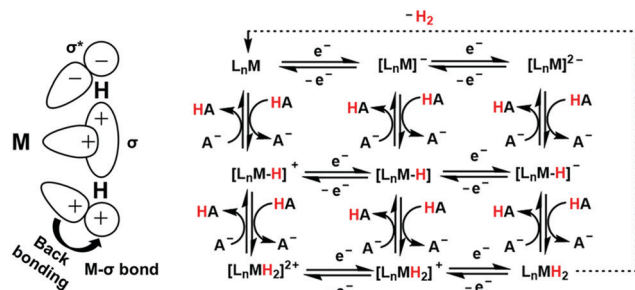
**Role of cyanide and carbon monoxide as ligands in hydrogenase active site.** The CO and  $\text{CN}^-$  ligands that are bound to the active site metal centres of the hydrogenases are important in tuning chemical reactivity of the coordinated iron or nickel. Both the ligands are strong  $\pi$ -acidic with vacant  $\pi^*$  orbitals that allow for substantial back-bonding with low-valent metal centres such as the Fe in hydrogenase active sites. When bound to a low-valent metal, these ligands accept electron density from a filled metal d orbital into an empty antibonding orbital of the C–N or C–O bond. While the negative charge of the  $\text{CN}^-$  ion decreases its  $\pi$ -bonding ability relative to CO, hydrogen bonding mitigates

the negative charge effect of  $\text{CN}^-$  and increases its  $\pi$ -bonding. Thus, the  $\pi$ -acceptor CO and  $\text{CN}^-$  ligands in hydrogenases serve to increase the electrophilicity (Lewis acidity) of the metal. The elevated Lewis acidity of the active site also supports heterolytic cleavage of  $\text{H}_2$ .<sup>407</sup> The CO-containing ligand set on hydrogenases may tune the acidity of bound  $\text{H}_2$  (possibly to more physiological pH values) to facilitate proton transfer between bound  $\text{H}_2$  to a basic site *i.e.*  $\text{adt}(-\text{NH})$ .

**Dihydrogen coordination chemistry and hydrogenases.** Dihydrogen is the simplest molecule, but it has limited metal coordination complexes.  $\text{H}_2$  molecule has a very strong  $\sigma$  bond and without having any nonbonded electrons to hold onto metals its difficult for  $\text{H}_2$  to form stable complexes. But the unexpected discovery of  $\text{H}_2$  coordination to zero-valent tungsten supported by three CO and two organophosphine groups<sup>408–410</sup> produced a new type of complexes termed as  $\sigma$  complexes.<sup>408,411–413</sup> In the  $\sigma$ -bond coordinated  $\text{H}_2$  complexes  $\text{M}_{\text{d}\pi} \rightarrow \text{H}_2$  back-bonding from filled metal d orbitals to the  $\text{H}_2$   $\sigma^*$  orbital is present that greatly strengthens the coordination (Scheme 25). The back-donation component is quite analogous to



**Scheme 24** Scheme of the reversible heterolytic splitting of  $\text{H}_2$  by Hmd, showing a hydride stereospecifically transferred into the pro-R site of methenyl- $\text{H}_4\text{MPT}^+$  to yield methylene- $\text{H}_4\text{MPT}$  and a proton.



Scheme 25 Schematic presentation of the formation of M-H<sub>2</sub> σ bond and M<sub>dπ</sub> → H<sub>2</sub> backdonation (left). Possible mechanistic pathways for catalytic reduction of proton to hydrogen in the natural hydrogenases or synthetic catalysts (right).

that in metal-olefin π coordination in the Classic Dewar-Chatt-Duncanson model.<sup>414,415</sup> H<sub>2</sub> does not stably bind to highly Lewis acidic d<sup>6</sup> metal centers (e.g., cationic systems with electron-withdrawing ligands) or to d<sup>9</sup> or d<sup>10</sup> Lewis acids because the backdonation is much weaker (electron-poor metal centers) than in electron-rich metal centers. Substantial backdonation can lead to H-H bond splitting and oxidative addition to form a dihydride complex. The nature of the L<sub>5</sub>M ligand set is important, electron-donating L ligands increase the back-bonding, which eventually ruptures the H-H bond as a result of overpopulation of the H<sub>2</sub> σ\* orbitals. Alternatively, strongly electron withdrawing CO ligands compete for back-bonding, especially when trans to the ligand of interest (*i.e.*, H<sub>2</sub>). This limits M<sub>dπ</sub> → H<sub>2</sub> back-bonding and favours molecular H<sub>2</sub> coordination over cleavage to dihydride moieties. Strongly Lewis acidic cationic complexes disfavour homolytic H<sub>2</sub> splitting but favour heterolytic splitting. However, if all ligands are π acidic like CO, the complexes are thermally unstable. For such electron-poor centers, loss in backdonation offsets H<sub>2</sub> binding to some extent by increased donation from H<sub>2</sub> to the electron-poor center. The back-bonding stabilizes the H<sub>2</sub> binding, yet it is not quite strong enough to cause H-H bond scission. In bioactivation of H<sub>2</sub> by hydrogenases, the finely tuned stabilization of molecular H<sub>2</sub> coordination rather than dihydride formation is crucial to the function of the enzyme. Here, the unprecedented biological presence of CO ligands in the active site of hydrogenases is a key feature utilized by nature to ensure that the activation of H<sub>2</sub> involves reversible molecular bonding instead of irreversible oxidative addition to form a dihydride. Molecular binding of H<sub>2</sub> is beneficial in hydrogenases for several reasons. It is a surprisingly versatile ligand because it is effectively amphoteric like CO, binding to virtually every unsaturated metal fragment. The balance between its good donor/acceptor abilities and natural stability of CO is considered as an excellent, ubiquitous ligand. H<sub>2</sub> also can have steric (small size) and its low solubility in water offers solvation and entropic advantages over other ligands. H<sub>2</sub> binding can be completely reversible, and H<sub>2</sub> ligands formed by protonation steps can readily eliminate as free H<sub>2</sub>. All are important for the understanding of the activation of H<sub>2</sub> in metalloenzymes and are among the many organometallic principles relevant to the structure and function of hydrogenases. An heterolytic cleavage of H<sub>2</sub> is more relevant to

biological activation of H<sub>2</sub> than the above described homolytic splitting. The H-H bond can be effectively split into H<sup>+</sup> and H<sup>-</sup> fragments. Here neither the metal oxidation state nor the coordination number changes, whereas in homolytic splitting, the oxidation state of the metal increases by 2 and the coordination number also increases.

As pointed out by DuBois, the heterolytic cleavage of H<sub>2</sub> should be at or near equilibrium to avoid high energy intermediates.<sup>416–420</sup> This implies the hydride (H<sup>-</sup>) acceptor ability of the metal and the proton (H<sup>+</sup>) acceptor ability of the base (either external or internal) must be energetically matched to provide enough energy to drive the heterolysis of H<sub>2</sub>, but this reaction should not be strongly exergonic to offer reversibility in catalysis.

**Challenges and general outlook.** Hydrogen is heralded by many as a “fuel of the future”, but more accurately, it is a form of stored energy and a transportable fuel. Three major challenges in H<sub>2</sub> energy economy are, (a) how to obtain H<sub>2</sub> in large amounts, (b) how to store it and (c) how to release its energy. The latter is the best developed because of the availability of fuel cells, albeit expensive, and is slowly becoming an accepted technology. At present H<sub>2</sub> is stored by compression or liquefaction, although there is an intense interest in finding low-cost lightweight materials that, like Pd, can reversibly absorb high volumes of H<sub>2</sub> but without the disadvantages of cost and prohibitive weight (for transport). Hydrogen can be produced by electrolysis of water using these enzymes as cathodes by protein thin film voltammetry. Using the enzymes directly<sup>421</sup> to produce H<sub>2</sub> is, no doubt, a big jump towards green fuel but the rate of hydrogen production is very slow and obtained current densities are very small (typically 2–4 mA/1 mM hydrogenase).<sup>345</sup> On the other hand, protein film voltammetry<sup>422</sup> is a powerful tool for understanding the mechanism of hydrogen production by these enzymes and provides valuable insights to design/tune artificial catalysts. The purification cost of enzymes is high, in particular, considering the fact that hydrogenases require strictly anaerobic conditions. Thus, commercial H<sub>2</sub> production by this route is challenging. Decades of effort by the synthetic inorganic and organometallic chemistry community has resulted in several artificial small molecules resembling the active site of [FeFe]- and [NiFe]-hydrogenases. But the natural enzymes as well as the model complexes are known to operate under strictly anaerobic conditions because it will be difficult to substitute the “supramolecular” protection that is provided by the protein environment to filter or neutralize attacks by this aggressor. If hydrogen has to be familiarized as an alternative energy source, then the cost of production should be cheap and the hydrogen producing catalyst must function under aerobic conditions also in the aqueous medium using abundant water sources without purification.

## Synthetic model systems for HER

### [FeFe]-Hydrogenase mimics

The number of structural and functional models of diiron dithiolate and related systems of the active site of the [FeFe]-hydrogenase has grown steadily since the first structures of the



enzyme were reported some 20 years ago. Substantial progress has been made in producing stable mixed-valent species with bridging CO. Nonetheless many of the model systems that are similar to the enzyme in their oxidation state and valency of the iron and these consists of abiological phosphine or carbene ligands. On the functional side, mostly diiron hexacarbonyls have been explored. These systems generally reduce proton with large overpotentials and the catalytic cycle passes through the Fe(I)–Fe(I)/Fe(0)–Fe(0) levels as opposed to that of the natural Fe<sup>II</sup>–Fe<sup>I</sup> states in the enzymatic systems.

### Proton reduction by [FeFe]-Hydrogenase mimics in organic medium

In 1929, synthesis of [Fe<sub>2</sub>(CO)<sub>6</sub>(μ-Set)<sub>2</sub>] was reported by Reihlen *et al.*<sup>423</sup> When the protein crystal structure of [FeFe]-hydrogenase was available in 1998–1999,<sup>372,373</sup> the striking resemblance of the diiron subsite of the H-cluster to the [(μ-pdt)Fe<sub>2</sub>(CO)<sub>6</sub>] (pdt = propanedithiolate), formerly described by Seyferth,<sup>424</sup> became evident. The electrochemical reduction of proton to hydrogen has been investigated in substantial details using this diiron dithiolate bridged complexes by several groups previously.<sup>425–428</sup> These complexes were classified based on the nature of the bridge, terminal ligands. In most cases the evolution of hydrogen has been confirmed by the use of gas chromatography (GC) and the faradaic yields are generally above 80%.

In their resting state diiron model complexes are mainly in their Fe<sup>I</sup>–Fe<sup>I</sup> form and undergoes stepwise reversible or quasi-reversible reductions to produce Fe<sup>0</sup>–Fe<sup>0</sup> state. In this section the focus is on the inclusion of 2<sup>nd</sup> here residues and their effect on proton reduction activity of diiron model complexes. Substituting the dithiolate bridge has little impact on the redox potentials of the model complexes. Replacing the CO ligands by electron donating ligands shifts the reduction towards more negative value. However, when the terminal –CO ligands are replaced by electron donating ligands (*e.g.* phosphines, carbenes, CN<sup>–</sup>), the basicity of the iron centre increases and subsequently they are protonated by the proton source directly<sup>429–432</sup> to produce the hydride intermediate. But when the diiron is not basic enough to undergo direct protonation they undergo one- or two-electron reduction to produce the Fe<sup>I</sup>Fe<sup>0</sup> or the Fe<sup>0</sup>Fe<sup>0</sup> state, which are enough basic to undergo protonation even in the presence of a weak proton source to produce the hydride intermediates.<sup>430,433–437</sup> A second protonation of the hydride intermediates then produces the dihydride intermediates and which then releases H<sub>2</sub> regenerating the starting species.

Iron hexacarbonyl complexes, in presence of weak acids undergo reduction before protonation, due to their lower basicity. When a basic residue is present in the model complex, in presence of a suitable proton source, the electrocatalytic cycle is initiated by the protonation of the basic residue. Introduction of a redox active ligand in the model complex results a double reduction, one at the iron centre and other at the ligand. When a bidentate ligand is attached at the Fe centre, the chelating isomer is reported to be more efficient for H<sub>2</sub> production than the symmetrically substituted bridging isomer both in terms of *i*<sub>cat</sub>/*i*<sub>p</sub> value and turn over number (TON). Based electrochemical analysis various mechanisms (*e.g.*, EECC, ECEC, ECCE, CECE, CEEC; E means an electron transfer step and C means a chemical step here protonation) are reported for the proton reduction activity in acidic medium.

**Diiron model complexes with monothiolate bridge.** Sun and coworkers reported the electrocatalytic proton reduction by a diiron complex with a nonbridging aromatic group; [(μ-S-2-RCONHC<sub>6</sub>H<sub>4</sub>)<sub>2</sub>Fe<sub>2</sub>(CO)<sub>6</sub>] [R = 4-FC<sub>6</sub>H<sub>4</sub>] (Fig. 25a).<sup>438</sup> They showed that complex **a** (Fig. 25) is electrocatalytic active at the second reduction potential (–1.7 V vs. Fc<sup>+</sup>/Fc) for reduction of protons from Acetic acid (AcOH) in Acetonitrile (CH<sub>3</sub>CN) medium with an overpotential of –0.2 V.

Diiron complexes of the type Fe<sub>2</sub>(CO)<sub>6</sub>(μ-R)<sub>2</sub> were developed by Si *et al.*, where [R = C<sub>6</sub>H<sub>4</sub>-*o*-OMe (Fig. 25b); C<sub>6</sub>H<sub>4</sub>-*p*-OMe (Fig. 25c); C<sub>6</sub>H<sub>4</sub>-*p*-Cl (Fig. 25d)].<sup>439</sup> They showed that all these complexes reduces proton at their second reduction event in presence of AcOH. The complex **b** (Fig. 25) in presence of excess PMe<sub>3</sub> was transformed to Fe<sub>2</sub>(CO)<sub>4</sub>(μ-SC<sub>6</sub>H<sub>4</sub>-*o*-CH<sub>3</sub>O)<sub>2</sub>(PMe<sub>3</sub>)<sub>2</sub> (Fig. 25e), which was catalytically active at –2.08 V in CH<sub>3</sub>CN medium in presence of AcOH.

**Diiron model complexes with substituted dithiolato bridge.** Various diiron model complexes with different –R groups in SCH<sub>2</sub>CH(R)CH<sub>2</sub>S bridge has been synthesized and their electrocatalytic proton reduction activity has been studied by different groups. Due to the π acidity of the carbonyl ligands in the Fe<sub>2</sub>S<sub>2</sub>(CO)<sub>6</sub> derivatives the formation of hydride species *via* protonation requires either the use of very strong acids or electrochemical reduction earlier to protonation. The second process needs high driving force which increases the overpotential for HER. However, substitution of CO ligands by strong σ donor CN<sup>–</sup> ligands increases the charge density of the cluster but the use of cyanide ligands outside the protective protein environment creates inherent stability issues under acidic conditions. To avoid this thioether groups, isocyanides,

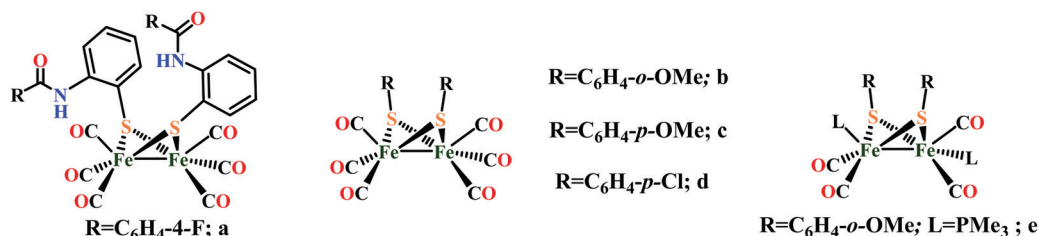


Fig. 25 Diiron model complexes with nonbridging group with all carbon atoms.

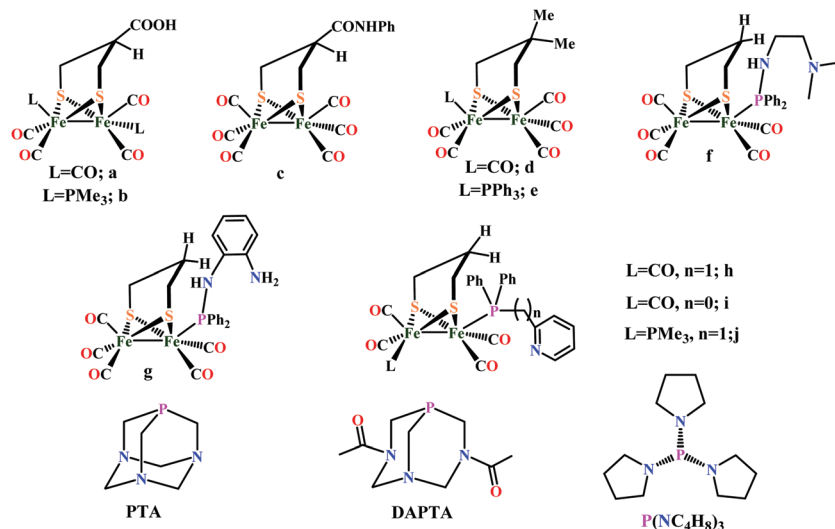


Fig. 26 Diiron model complexes with various –R groups in  $SCH_2CH(R)CH_2S$  bridge.

carbenes, isonitriles, amines and phosphines have been used widely. By replacing –CO with phosphine or phosphites causes a 0.2–0.3 V lowering of reduction potential of the model complexes and replacement of a second –CO by phosphine causes nearly an identical additional shift revealing the additivity of the enhanced  $\sigma$  donation on increasing the potential and further substitution of the phosphines only lowers the potential further more. Thus, electron deficient phosphine like PTA (1,3,5-triaza-7-phosphaadamantane, Fig. 26) and its acetylated derivative DAPTA (3,7-diacetyl-1,3,7-triaza-5-phosphabicyclo[3.3.1]nonane, Fig. 26) has been used. Similarly, tris(*N*-pyrrolyl)phosphine,  $P(NC_4H_8)_3$  (Fig. 26) causes a small shift, 30 mV per phosphine ligand reflecting the fact that it is an exceptionally weak donor ligand.<sup>440</sup>

Darensbourg and coworkers developed a series of diiron model complexes bearing carboxylic acid functionalities [( $R_1$  = COOH,  $R_2$  = H; Fig. 26a and  $R_1$  = CONHPh,  $R_2$  = H Fig. 26c)].<sup>441</sup> They reported that complex  $(\mu\text{-}(SCH_2)_2CHCOOH)[Fe(CO)_3]_2$  (Fig. 26a) and  $(\mu\text{-}(SCH_2)_2CHCONHPh)[Fe(CO)_3]_2$  (Fig. 26c) reduces proton in presence of AcOH in  $CH_3CN$  at their  $Fe(I)\text{-}Fe(I)/Fe(I)\text{-}Fe(0)$  redox levels at –2.51, and –2.43 V vs.  $Fe^+/Fe$ , respectively whereas the  $-PMe_3$  substituted complex  $(\mu\text{-}(SCH_2)_2CHCOOH)[Fe(CO)_2(PMe_3)]_2$  (Fig. 26b) catalyzes  $H_2$  production from AcOH at its formal  $Fe^I\text{-}Fe^0$  state. Singleton *et al.* showed that when both  $R_1 = R_2 = Me$  and  $L = PPh_3$  (Fig. 26e) the  $Fe^0\text{-}Fe^0$  species of the complex was active towards electrocatalytic  $H_2$  production, whereas the  $Fe^0\text{-}Fe^I$  species is not.<sup>442</sup> Apparently the weak donor ability of triphenylphosphine renders iron insufficiently electron rich to accommodate the oxidative addition of a proton.

Wang *et al.* reported electrocatalytic proton reduction by similar diiron model complexes (Here  $R_1 = R_2 = H$ ) containing pendant nitrogen bases in phosphine ligands ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5PPh_2NH(CH_2)_2N(CH_3)_2]$  (Fig. 26f) and ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5PPh_2NH(2-NH_2C_6H_4)]$  (Fig. 26g) in the presence of trifluoromethanesulfonic acid ( $CF_3SO_3H$ ) in  $CH_3CN$  medium.<sup>443</sup>

They reported that the secondary amine in complex ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5PPh_2NH(2-NH_2C_6H_4)]$  (Fig. 26g) has a weak intramolecular hydrogen bonding with both the terminal nitrogen and sulfur atom. They suggested that a proton transfer pathway from amine in phosphine ligand to the sulfur atom of active site. Protonation of complexes ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5PPh_2NH(CH_2)_2N(CH_3)_2]$  (Fig. 26f) and ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5PPh_2NH(2-NH_2C_6H_4)]$  (Fig. 26g) only occurred at the terminal nitrogen atom. Similarly Li *et al.* introduced pyridyl groups to the phosphine ligands of the diiron complex as a pendant basic site.<sup>444</sup> The electrocatalytic proton reduction activity of ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PCH_2Py)]$  (Fig. 26h), ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PPy)]$  (Fig. 26i) and ( $\mu\text{-pdt}$ )- $[Fe(CO)_2(PMe_3)][Fe(CO)_2(Ph_2PCH_2Py)]$  (Fig. 26j) was investigated in the presence of AcOH in  $CH_3CN$  medium. The catalytic efficiency of complex ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PCH_2Py)]$  (Fig. 26h) and ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PPy)]$  (Fig. 26i) was found to be relatively low. The overpotentials for the electrocatalytic reduction of protons from AcOH are 400 mV vs.  $Fe^+/Fe$  for ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PCH_2Py)]$  (Fig. 26h) and 370 mV vs.  $Fe^+/Fe$  for ( $\mu\text{-pdt}$ )- $[Fe_2(CO)_5(Ph_2PPy)]$  (Fig. 26i). The effect of water on the proton reduction activity of ( $\mu\text{-pdt}$ )- $[Fe(CO)_2(PMe_3)][Fe(CO)_2(Ph_2PCH_2Py)]$  (Fig. 26j) was probed in  $CH_3CN$  and in  $CH_3CN\text{-}H_2O$  (50 : 1, v/v). The electrocatalytic current for proton reduction was considerably enhanced in  $CH_3CN\text{-}H_2O$  (50 : 1, v/v). The electrocatalytic efficiency for proton reduction by ( $\mu\text{-pdt}$ )- $[Fe(CO)_2(PMe_3)][Fe(CO)_2(Ph_2PCH_2Py)]$  (Fig. 26j) in  $CH_3CN\text{-}H_2O$  (50 : 1, v/v) increases 5 times than that of in pure  $CH_3CN$ . Just like the secondary amine in the azadithiolate bridged diiron complexes, here the pyridyl group in the phosphine ligand of diiron complexes can serve as a proton relay in the presence of strong acid, resulting to the decrease of the overpotential for electrocatalytic proton reduction by 360–490 mV.<sup>444</sup> Also, the hydrophilicity of the pyridyl group in these diiron complexes renders the electrocatalytic efficiency more susceptible to water.

**Diiron model complexes with  $\mu$ -areneedithiolato bridges.** One strategy for designing diiron model complexes with redox

properties closer to the thermodynamic potential for proton reduction is inclusion of a suitably substituted aromatic dithiolato bridgehead, as showed by Felton and co-workers.<sup>445</sup> The benzene dithiolate ( $\text{bdt}^{2-}$ ) ligand assists to adjust the redox reactions of the catalyst by lowering the potential difference between the successive reduction states. This has been described by an interaction of the metal d-orbitals with a combination of the filled sulfur  $p\pi$  orbitals and the arene  $p\pi$  orbitals, which shields the change in electron density at the iron center as the oxidation state is changed, thus minimizing the changes in electron energies upon reduction.

On substituting the benzene ring with increasing number of electron withdrawing  $-\text{Cl}$  groups leads to an anodic shift in reduction potential and a associated lowering of the overpotential of hydrogen evolution was observed.<sup>445</sup> A same ECEC mechanism (E corresponds to an electron transfer step, and C, to a chemical reaction, here protonation) is also suggested for the catalytic proton reduction from weak acids by compound **b**, **c** and **d** (Fig. 27).<sup>445</sup> As the number of electrons withdrawing groups is increased in the complexes **b** to **d** (Fig. 27) 250 mV improvement of the overpotential of AcOH reduction was observed but compromises the catalytic efficiency in terms of TOF.<sup>445</sup> The analogous methyl substituted complex  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SC}_6\text{H}_3(\text{CH}_3)\text{S}\}]$  (Fig. 27e) produces  $\text{H}_2$  at  $-2.10$  V in presence of AcOH with a overpotential of 0.64.<sup>445</sup>

Chen *et al.* reported a series of  $\text{Fe}_2\text{S}_2$  complexes containing phenolic  $-\text{OH}$  groups and  $-\text{OMe}$  groups adjacent to the sulfur centers.<sup>446</sup> They showed that phenolic  $-\text{OH}$  groups adjacent to the sulfur centers in complexes **f**, **h**, **i**, **j** and **k** (Fig. 27) modestly render their reduction potentials less negative and hence lowered the catalytic overpotential compared to the unsubstituted complex  $[(\mu\text{-bdt})\text{Fe}_2(\text{CO})_6]$  (Fig. 27a) due to internal hydrogen bonding. Spectroscopic investigations suggested the presence of intramolecular hydrogen bonding between the phenolic  $-\text{OH}$  groups and the adjacent sulfur atoms. However these hydroquinone complexes **f**, **h**, **i**, **j** and **k** (Fig. 27) is less effective catalyst for proton production from weak acids compared to  $[(\mu\text{-bdt})\text{Fe}_2(\text{CO})_6]$  (Fig. 27a) because of the internal hydrogen bonding. The internal hydrogen bonding in these complexes lowered the basicity of the dianionic  $[\text{Catalyst}]^{2-}$  species

of the rate-determining step. Whereas the dimethoxy derivative **g** (Fig. 27), in which hydrogen bonding is absent, is a better catalyst compared to  $[(\mu\text{-bdt})\text{Fe}_2(\text{CO})_6]$  (Fig. 27a) due to the increased basicity of the corresponding dianion. These results further indicate that the  $\text{pK}_a$  value of the  $[\text{CatalystH}]^-$  species is the most important factor for catalytic activity for this family of catalysts.<sup>446</sup>

Lin and coworkers reported phosphine derivatives (Fig. 27l–o) of  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SC}_6\text{H}_3(\text{CH}_3)\text{S}\}]$  (Fig. 27e) complex and examined their proton reduction activities in  $\text{CH}_3\text{CN}$  medium in presence of AcOH.<sup>447</sup> The reduction potential of the parent complex  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SC}_6\text{H}_3(\text{CH}_3)\text{S}\}]$  (Fig. 27e) is more positive than those of complexes **l–o** (Fig. 27) due to the fact that phosphine ligands are more electron-donating than CO.

Durgaprasad *et al.* reported a series of diiron model complexes by incorporating 1,2-ethylene-dithiolate on the benzenedithiolato bridgehead.<sup>448</sup> They showed that compounds  $[\text{Fe}_2\{\mu\text{-diph-6,7-qdt}\}(\text{CO})_6]$  (Fig. 27p) and  $[\text{Fe}_2\{\mu\text{-btdt}\}(\text{CO})_6]$  (Fig. 27q) exhibit electrocatalytic proton reduction in presence of a strong acid TsOH. For the electrocatalytic proton reduction catalysed by compound  $[\text{Fe}_2\{\mu\text{-diph-6,7-qdt}\}(\text{CO})_6]$  (Fig. 27p), they proposed a CEEC mechanism. Whereas, the hexa carbonyl compound  $[\text{Fe}_2\{\mu\text{-btdt}\}(\text{CO})_6]$  (Fig. 27q) did not undergo protonation in presence of *p*-toluenesulfonic acid in its  $\text{Fe}^{\text{I}}\text{-Fe}^{\text{I}}$  oxidation state, may be due to the involvement or delocalization of lone pair of electrons of ring nitrogen with ring sulfur pi-electrons. Accordingly an EC electrocatalytic reduction mechanism is proposed for compound  $[\text{Fe}_2\{\mu\text{-btdt}\}(\text{CO})_6]$  (Fig. 27q).

**Diiron model complexes with azadithiolato bridges**  $[\text{SCH}_2\text{N(R)CH}_2\text{S}]$ . In  $[\text{FeFe}]$ -hydrogenase the  $\text{Fe}^{\text{I}}\text{Fe}^{\text{I}}$  form of the enzyme is suggested to undergo protonation or tautomerization to provide a terminal hydride. However, comparing the HER mechanism of enzyme system and the hexacarbonyl synthetic models it can be speculated that electron poor models like complexes containing six CO ligands first undergoes reduction below  $\text{Fe}^{\text{I}}\text{-Fe}^{\text{I}}$  state before the protonation. However, a model complex bearing Brønsted basic sites in the second coordination sphere, can have a strong impact on the electrocatalytic HER mechanism and potential where catalysis occurs.<sup>449</sup> In acidic media the basic residues are generally protonated and reduce the electron density on the catalytic

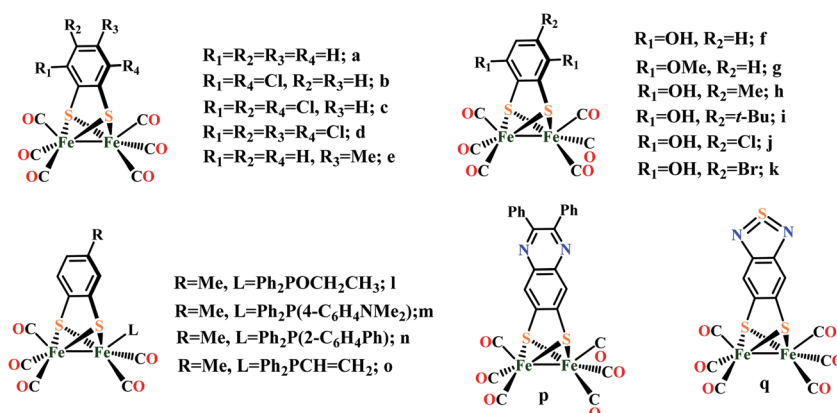


Fig. 27 Diiron model complexes containing  $\mu$ -arene dithiolato bridges.

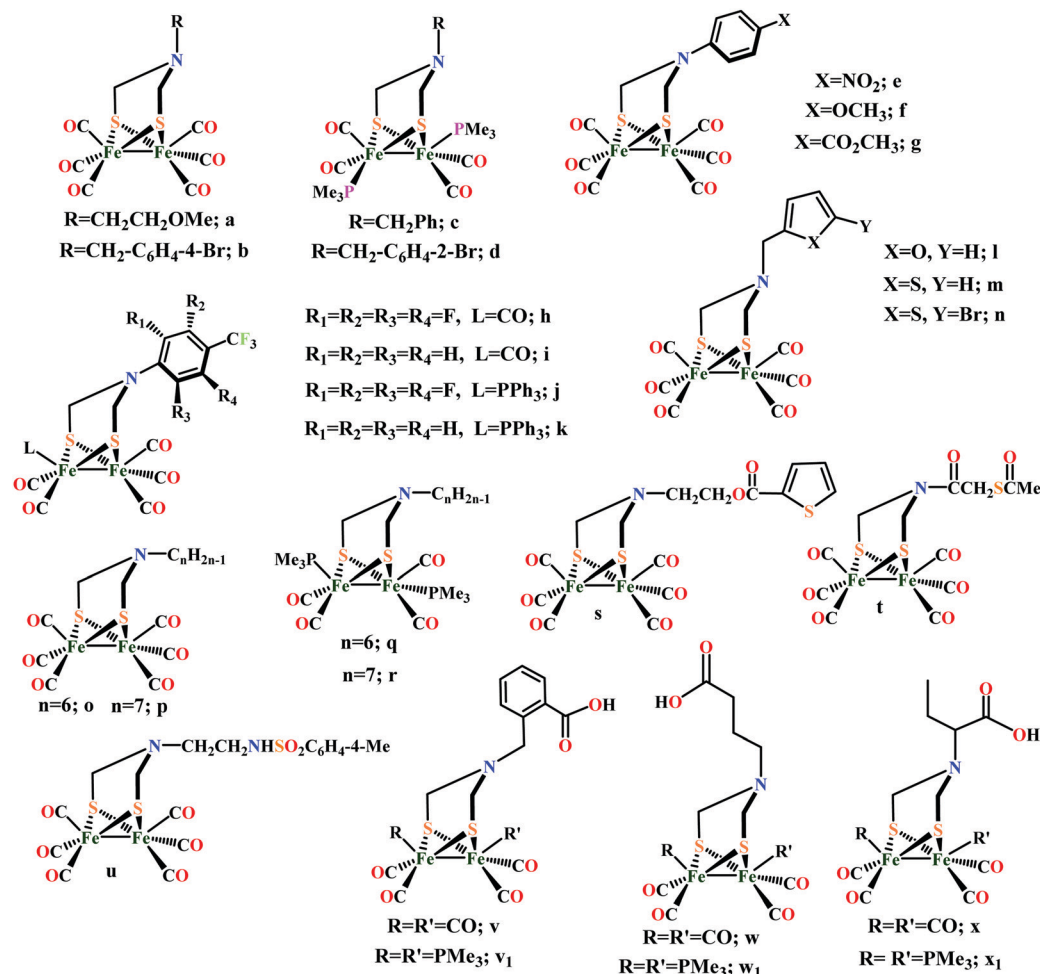
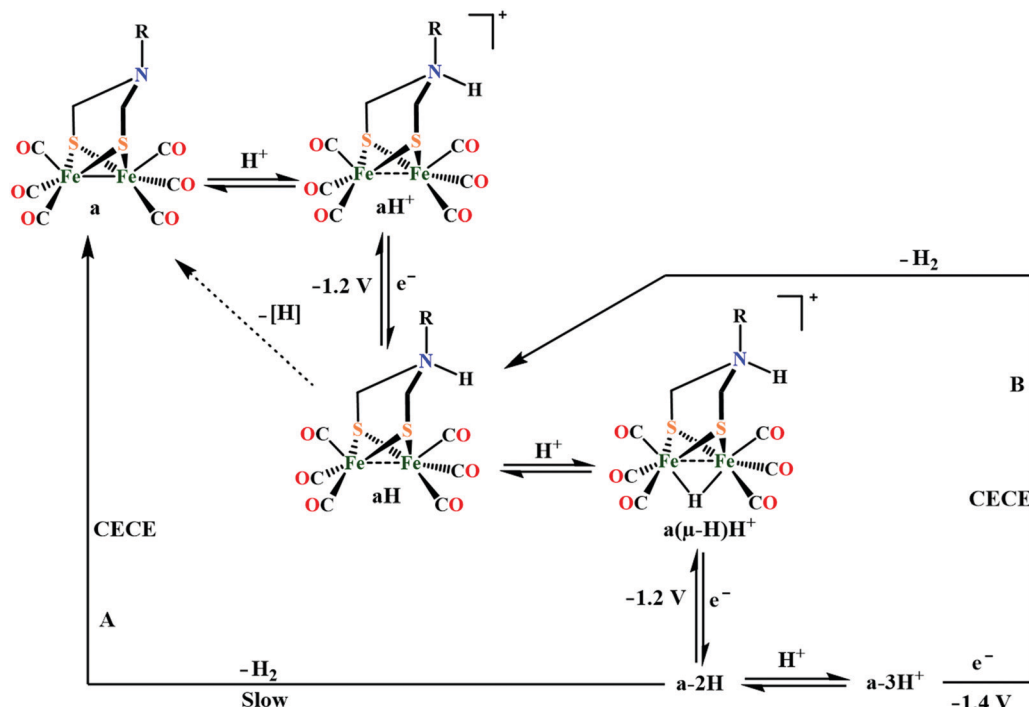


Fig. 28 Diiron model complexes with azadithiolato bridges  $[\text{SCH}_2\text{N(R)CH}_2\text{S}]$ .

center causing milder reductions compared to those of more anionic species.<sup>428</sup> For example, proton reduction catalyzed by  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SCH}_2\text{NH(R)CH}_2\text{S}\}]$  derivatives having different R substituent [ $R = \text{CH}_2\text{CH}_2\text{OMe}$  (Fig. 28a),<sup>426,450</sup>  $R = \text{CH}_2\text{C}_6\text{H}_4\text{-4-Br}$  (Fig. 28b)<sup>451</sup>] was investigated.<sup>426</sup> For the  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SCH}_2\text{NH(R)CH}_2\text{S}\}]$  ( $R = \text{CH}_2\text{CH}_2\text{OMe}$ ) (Fig. 28a) complex, in the presence of a strong acid like TsOH in  $\text{CH}_3\text{CN}$ , the protonation of the amino group takes place first to produce  $\text{aH}^+$  (Scheme 26) then the first protonation occurs at  $-1.2$  V vs.  $\text{Fc}^+/\text{Fc}$  to produce  $\text{aH}$  (Scheme 26) which then undergoes protonation to produce a mixed valent ammonium hydride- $[\text{a}(\mu\text{-H})\text{H}^+]$  (Scheme 26). Then second reduction of this hydride below  $-1.2$  V vs.  $\text{Fc}^+/\text{Fc}$  takes place to generate  $\text{a-2H}$  (Scheme 26), which then slowly release  $\text{H}_2$  (Scheme 26, pathway A). When a stronger acid  $\text{HBF}_4 \cdot \text{Et}_2\text{O}$  is used, it protonates  $\text{a-2H}$  to generate  $\text{a-3H}^+$  which then reduces by one electron at  $-1.4$  V vs.  $\text{Fc}^+/\text{Fc}$  to produce  $\text{H}_2$  at a faster rate (Scheme 26, pathway B). In  $\text{CH}_3\text{CN}$  solvent  $[\text{Fe}_2(\text{CO})_6\{\mu\text{-SCH}_2\text{NH(R)CH}_2\text{S}\}]$  ( $R = \text{CH}_2\text{C}_6\text{H}_4\text{-4-Br}$ , Fig. 28b) complex follows a similar type of mechanism.<sup>426,451</sup> In presence of weak acid like AcOH neither protonation of the bridged-N atom nor formation of bridged hydride at Fe-Fe bond takes place in  $\text{CH}_3\text{CN}$ .

Proton reduction by  $[\{\text{Fe}(\text{CO})_2(\text{PMe}_3)\}_2\{\mu\text{-SCH}_2\text{N(R)CH}_2\text{S}\}]$  (Fig. 28c and d) was also monitored in the presence of a weak and of a strong acid.<sup>430,432,452</sup> Weak acid like AcOH neither protonate the bridged-N atom nor the Fe-Fe site.<sup>432,452</sup> In presence of a stronger acid (TfOH,  $\text{pK}_a = 2.6$  in  $\text{CH}_3\text{CN}$ ), catalysis takes place at around  $-1.5$  V vs.  $\text{Fc}^+/\text{Fc}$ , which is a potential assigned to the reduction of the protonated amino species (Fig. 28c,  $R = \text{CH}_2\text{Ph}$ <sup>430</sup>) or of the bridging hydride (Fig. 28d,  $R = \text{CH}_2\text{C}_6\text{H}_4\text{-2-Br}$ <sup>432</sup>). When  $R = \text{CH}_2\text{Ph}$  (Fig. 28c), no catalysis was observed at  $-1.1$  V vs.  $\text{Fc}^+/\text{Fc}$ , at which the reduction of  $\text{Fe}(\mu\text{-H})\text{Fe}$  isomer takes place or at  $-1.0$  V vs.  $\text{Fc}^+/\text{Fc}$  at which the doubly protonated species get reduced.<sup>430</sup> However on prolonged controlled potential electrolysis, slow catalysis is observed at these potentials.<sup>430</sup> For the  $[\{(\mu\text{-SCH}_2)_2\text{-N(4-NO}_2\text{C}_6\text{H}_4)\}\text{Fe}_2(\text{CO})_6]$  (Fig. 28e) complex a CECE mechanism was suggested in presence of AcOH (0–10 mM) in  $\text{CH}_3\text{CN}$  by Liu *et al.*<sup>453</sup> But in case of the  $-\text{OMe}$  substituted complex f (Fig. 28) an EECC mechanism was proposed in presence of AcOH (0–10 mM) in  $\text{CH}_3\text{CN}$  medium.<sup>454</sup> Here the bridged N atom of f (Fig. 28) was not protonated by weak acid AcOH. From the Bulk electrolysis of a  $\text{CH}_3\text{CN}$  solution of complex f (0.33 mM) with excess AcOH (6.6 mM) at  $-2.18$  V showed hydrogen formation with





**Scheme 26** Proposed mechanisms of proton reduction catalysed by  $[\text{Fe}_2(\text{CO})_6(\mu\text{-SCH}_2\text{NH}(\text{R})\text{CH}_2\text{S})]$  complexes ( $\text{R} = \text{CH}_2\text{CH}_2\text{OMe}$ , Fig. 28a) in MeCN.<sup>426</sup>

~5 turnovers. Similarly for the complex **g** (Fig. 28) in presence of weak AcOH a EECC mechanism was also proposed.<sup>455</sup> Bulk electrolysis experiment of the  $\text{CH}_3\text{CN}$  solution of complex **g** (Fig. 28) (0.33 mM) with excess AcOH (6.6 mM) revealed that the initial rate of electrolysis was five times greater than that of in absence of the catalyst. In the presence of a strong acid like  $\text{CF}_3\text{CO}_2\text{H}$  an ECCE mechanism was proposed for the electrocatalytic proton reduction catalysed by complex **g** (Fig. 28).<sup>455</sup>

Wang *et al.* reported a set of adt-bridged fluorophenyl-substituted diiron active site models of  $[\text{Fe}-\text{Fe}]$  hydrogenase,  $[(\mu\text{-adt})\text{C}_6\text{F}_4\text{CF}_3\text{-p}]\text{Fe}_2(\text{CO})_6$  (Fig. 28h),  $[(\mu\text{-adt})\text{C}_6\text{H}_4\text{CF}_3\text{-p}]\text{Fe}_2(\text{CO})_6$  (Fig. 28i),  $[(\mu\text{-adt})\text{C}_6\text{F}_4\text{CF}_3\text{-p}]\text{Fe}_2(\text{CO})_5\text{PPh}_3$  (Fig. 28j),  $[(\mu\text{-adt})\text{C}_6\text{H}_4\text{CF}_3\text{-p}]\text{Fe}_2(\text{CO})_5\text{PPh}_3$  (Fig. 28k).<sup>456</sup> They demonstrated that the electrocatalytic proton reduction by these complexes is mostly dependent on the strength of the proton source used. When weak AcOH is used as the proton source, the electrocatalytic proton reduction process starts off with two consecutive one-electron reduction processes to produce  $\text{H}_2$  via the  $\text{Fe}^0\text{-Fe}^0$  state whereas in the presence of strong acid,  $\text{HBF}_4\cdot\text{Et}_2\text{O}$ , the catalytic process commenced by the protonation of the bridgehead N atom followed by reduction of the bridgehead protonated N atom around  $-1.29\text{ V}$  vs.  $\text{Fc}^+/\text{Fc}$ , to generate  $\text{H}_2$  via the  $\text{Fe}^0\text{-Fe}^1$  state. Thus, by altering the strength of the acid triggers the initial electron-transfer step from the reduction of the bridgehead protonated N atom to the active site of  $[\text{Fe}^1\text{-Fe}^1]$ .

Sun and coworkers prepared three heterocycle-containing diiron azadithiolate hexacarbonyl model complexes,  $[(\mu\text{-SCH}_2)_2\text{NCH}_2(2\text{-C}_4\text{H}_3\text{O})]\text{Fe}_2(\text{CO})_6$  (Fig. 28l),  $[(\mu\text{-SCH}_2)_2\text{NCH}_2(2\text{-C}_4\text{H}_3\text{S})]\text{Fe}_2(\text{CO})_6$  (Fig. 28m) and  $[(\mu\text{-SCH}_2)_2\text{NCH}_2(5\text{-Br-2-C}_4\text{H}_2\text{S})]\text{Fe}_2(\text{CO})_6$  (Fig. 28n).<sup>457</sup> They proposed that the methyl group near the

heterocycle might increase the electron density in the  $\pi$  system by donating electrons by hyperconjugation ( $\sigma$  conjugation), and slightly decrease the nuclear electron density of the Fe atom through the three atoms (N, C, S). Such an effect can be increased by the oxygen atom in furan and bromine atom on the thiophene, resulting in less negative reduction potentials. The catalytic proton reduction for these complexes were studied in  $\text{CH}_3\text{CN}$  in presence of 0–8 mM  $\text{HClO}_4$  under CO atmosphere. They showed that the furan-containing model complex  $[(\mu\text{-SCH}_2)_2\text{NCH}_2(2\text{-C}_4\text{H}_3\text{O})]\text{Fe}_2(\text{CO})_6$  (Fig. 28l), displayed electrocatalytic proton reduction at  $-1.13\text{ V}$  vs.  $\text{Fc}^+/\text{Fc}$  whereas the thiophene-containing analogues **m** and **n** (Fig. 28) exhibit catalytic proton reduction at  $-1.20$  and  $-1.09\text{ V}$  vs.  $\text{Fc}^+/\text{Fc}$ , respectively in presence of  $\text{HClO}_4$ . Along the same lines Si *et al.* reported a series of a novel class of  $(\text{N-}C_n\text{H}_{2n-1})$ -1,3-azapropandithiolate-bridged  $[\text{Fe}-\text{Fe}]$  hydrogenase model complexes  $[\text{Fe}_2(\text{CO})_6(\text{CH}_2\text{S})_2\text{N-}C_n\text{H}_{2n-1}]$  ( $n = 6, 7$ ) and their  $\text{PMe}_3$ -disubstituted complexes  $[\text{Fe}_2(\text{CO})_4(\text{PMe}_3)_2(\text{CH}_2\text{S})_2\text{N-}C_n\text{H}_{2n-1}]$  ( $n = 6, 7$ ) and investigated their electrochemical behaviour in presence of AcOH.<sup>452</sup> They proposed an ECEC mechanism for electrocatalytic proton reduction of the complexes **o-r** (Fig. 28) in presence of AcOH. From the bulk electrolysis experiment of 1 mM solution of complex  $[\text{Fe}_2(\text{CO})_4(\text{PMe}_3)_2(\text{CH}_2\text{S})_2\text{N-}C_n\text{H}_{2n-1}]$  ( $n = 6$ ) (Fig. 28q) (5 ml, 5 mM) with excess AcOH (20 mM) at  $-2.3\text{ V}$  vs.  $\text{Fc}^+/\text{Fc}$  it was observed that the initial rate of electrolysis was approximately 1.8 times that without the catalyst. 12 F charge per mole of **q** (Fig. 28) was passed over the course of 20 min, which corresponds to 6 turnovers.

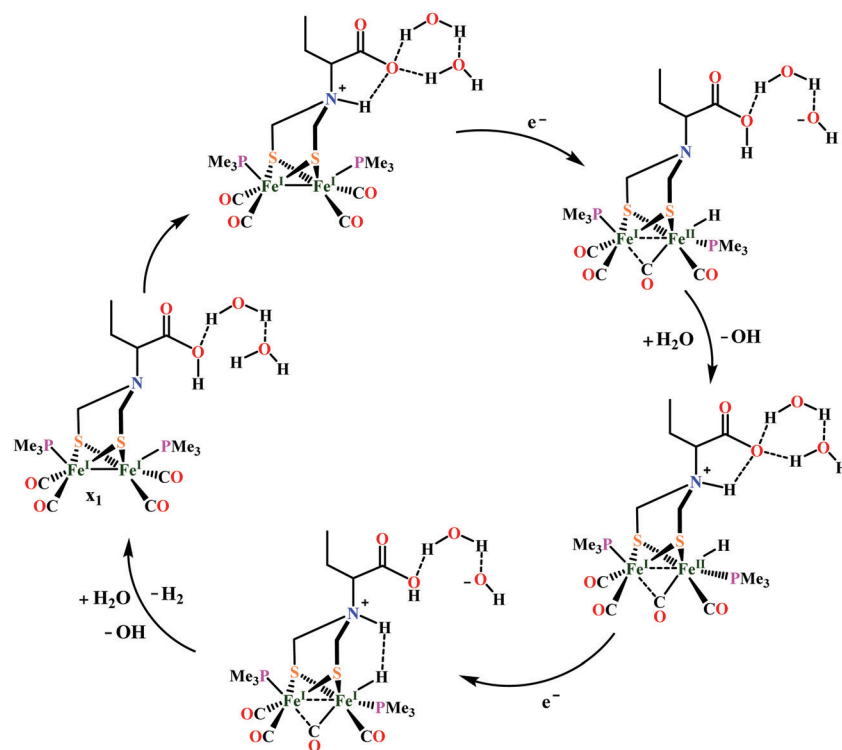
Electrocatalytic proton reduction by the  $[(\mu\text{-SCH}_2)_2\text{NCH}_2\text{CH}_2\text{-O}_2\text{CC}_4\text{H}_3\text{S-2}]\text{Fe}_2(\text{CO})_6$  complex (Fig. 28s) in presence of AcOH in  $\text{CH}_3\text{CN}$  medium was proposed to proceed via EECC mechanism.<sup>458</sup>

Similarly, Song *et al.* reported a *N*-acylated diiron azadithiolate complex  $[(\mu\text{-SCH}_2)_2\text{NC(O)CH}_2\text{SC(O)Me}]_2\text{Fe}_2(\text{CO})_6$  (Fig. 28t) which had the ability to undergo proton reduction to generate hydrogen gas in the presence of weak acid AcOH *via* an EECC mechanism.<sup>459</sup> The bulk electrolysis of AcOH (25 mM) catalyzed by **t** (Fig. 28t) (0.50 mM) in  $\text{CH}_3\text{CN}$  medium at  $-2.34$  V vs.  $\text{Fc}^+/\text{Fc}$  indicated a total of 12.3 F per mol of **t** (Fig. 28) to be passed during half an hour. This corresponds to 6.1 turnovers. Complex **u** (Fig. 28) was reported to display proton reduction activity in presence of a strong acid  $\text{CF}_3\text{SO}_3\text{H}$  in  $\text{CH}_3\text{CN}$  medium.<sup>460</sup> Based on the electrochemical measurement a CECE mechanism was proposed for the proton reduction by **u** (Fig. 28).

A series of model complexes bearing aryl and alkyl carboxylic acid groups to the dithiolate bridge of diiron unit,  $[\text{Fe}_2(\text{CO})_6\{(\mu\text{-SCH}_2)_2\text{NCH}_2\text{C}_6\text{H}_4\text{-2-COOH}\}]$  (Fig. 28v),  $[\text{Fe}_2(\text{CO})_6\{(\mu\text{-SCH}_2)_2\text{NCH}_2\text{CH}_2\text{-CH}_2\text{COOH}\}]$  (Fig. 28w) and  $[\text{Fe}_2(\text{CO})_6\{(\mu\text{-SCH}_2)_2\text{NCH}(\text{CH}_2\text{CH}_3)\text{COOH}\}]$  (Fig. 28x) have been synthesized with the aim of decreasing the potential for reduction of protons to hydrogen and their proton reduction activity in presence of TFOH is studied by Gao *et al.*<sup>461</sup> They proposed that in the case of complex  $[\text{Fe}_2(\text{CO})_6\{(\mu\text{-SCH}_2)_2\text{NCH}_2\text{-CH}_2\text{CH}_2\text{COOH}\}]$  (Fig. 28w) the carbon chain is long enough to allow interaction between the carboxyl group and the diiron cluster. A CECE mechanism is suggested for the complex **v**, **w** and **x** (Fig. 28) for electrocatalytic proton reduction in accordance with a previous report.<sup>462</sup> It was observed that the increase of catalytic current against amount of added acid (TFOH) for  $\text{PMe}_3$  substituted complex **w**<sub>1</sub> and **x**<sub>1</sub> is greater (200  $\mu\text{A}$  for adding of 4 eq. of TFOH) than that for **v**<sub>1</sub> (50  $\mu\text{A}$  for adding of 4 eq. of TFOH). A CECE

mechanism was proposed for the formation of hydrogen with **v**<sub>1</sub>, **w**<sub>1</sub> and **x**<sub>1</sub> (Fig. 28). In complex **v**<sub>1</sub> (Fig. 28) the first protonation occurs at the bridging N atom whereas the in complex **w**<sub>1</sub> and **x**<sub>1</sub> (Fig. 28) the first protonation occurs at Fe–Fe bond. However, complexes **w**<sub>1</sub> and **x**<sub>1</sub> (Fig. 28) proved to be electrochemically active upon the addition of water alone, suggesting that the carboxyl group can provide  $\text{H}_3\text{O}^+$  protons to the amino bridge thus catalyse proton reduction under neutral conditions (Scheme 27).

Most of the above complexes have been investigated to test their ability to catalyze the reduction of acids to form hydrogen and the results are summarized in Table 5. The solvent used (mostly acetonitrile), the acid source, the peak potentials for the catalytic process, the standard potential for reduction of the acid in the used solvent, the overpotential and catalytic efficiency (C.E.) were tabulated. In a few instances, the overpotential is not reported either because the standard potential is not known in the solvent used (THF or  $\text{CH}_2\text{Cl}_2$ ) or because the catalytic current may in fact not be due to reduction of the acid but to further reduction of the catalyst in the presence of acid. Potentials are referenced with respect to  $\text{Fc}^+/\text{Fc}$  in acetonitrile and correspond to the peak of the catalytic process. Standard potentials are for the couple in acetonitrile. These were calculated as shown in previously reported literature.<sup>463</sup> The  $\text{pK}_\text{a}$ -values were used from the literature. Overpotentials are the catalytic peak potential minus the standard reduction potential of the acid used. The ratio of the catalytic current and the current for reduction of the catalyst in the absence of acid is examined. This ratio is divided by the ratio of the acid concentration, to the catalyst concentration to give catalytic efficiency (C.E.).



**Scheme 27** Proposed mechanism for the electrocatalytic proton reduction mediated by  $[\text{Fe}_2(\text{CO})_4(\text{PMe}_3)_2\{(\mu\text{-SCH}_2)_2\text{NCH}(\text{CH}_2\text{CH}_3)\text{COOH}\}]$  (Fig. 28x<sub>1</sub>) in aqueous medium, explaining the role of the  $-\text{COOH}$  group as a proton transfer relay.<sup>461</sup>

Table 5 Summary of electrochemical proton reduction data in organic medium for [FeFe]-hydrogenase model complexes

Complex	Solvent used	Acid used	Catalytic peak potential (V) vs. $\text{Fc}^+/\text{Fc}$	Standard reduction potential of the acid used (V) vs. $\text{Fc}^+/\text{Fc}$	Overpotential (V)	Catalytic efficiency (CE)	Ref.
25d	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−1.7	−1.46	−0.2	0.09	438
25i	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.08	−1.46	−0.62	—	439
26a	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.5	−1.46	−1.0	0.27	441
26b	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.3	−1.46	−0.8	0.28	441
26b	$\text{CH}_3\text{CN}$	$\text{HCl}$	−1.45	−0.67	−0.78	0.06	441
26c	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.4	−1.46	−0.9	0.19	441
26e	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.3	−1.46	−0.8	0.40	442
26f	$\text{CH}_3\text{CN}$	$\text{CF}_3\text{SO}_3\text{H}$	−1.75	−0.29	−1.46	1.0	443
26g	$\text{CH}_3\text{CN}$	$\text{CF}_3\text{SO}_3\text{H}$	−1.7	−0.29	−1.4	0.88	443
27a	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.06	−1.46	−0.60	0.31	446
27a	$\text{CH}_2\text{Cl}_2$	$\text{HBF}_4$	−1.4	−0.28	−1.1	0.38	464
27a	$\text{CH}_3\text{CN}$	$\text{TsOH}$	−1.25	−0.65	−0.60	0.23	465
27b	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−1.97	−1.46	−0.51	0.32	445
27c	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−1.88	−1.46	−0.42	0.31	445
27d	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−1.85	−1.46	−0.39	0.23	445
27e	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.10	−1.46	−0.64	0.44	445
27f	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.05	−1.46	−0.59	0.12	446
27g	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.10	−1.46	−0.64	0.38	446
27h	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.05	−1.46	−0.59	0.12	446
27i	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.05	−1.46	−0.59	0.12	446
27j	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.10	−1.46	−0.6	0.09	446
27k	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.10	−1.46	−0.6	0.09	446
28a	$\text{CH}_3\text{CN}$	$\text{HBF}_4$	−1.3	−0.28	−1.0	0.52	450
28a	$\text{CH}_3\text{CN}$	$\text{TsOH}$	−1.3	−0.65	−0.6	0.29	450
28e	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−1.73	−1.46	−0.27	0.16	453
28f	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.20	−1.46	−0.7	0.19	454
28g	$\text{CH}_3\text{CN}$	$\text{CF}_3\text{CO}_2\text{H}$	−1.60	−0.89	−0.7	0.34	455
28h	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.2	−1.46	−0.7	0.36	456
28h	$\text{CH}_3\text{CN}$	$\text{HBF}_4$	−1.5	−0.28	−1.2	0.37	456
28i	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.1	−1.46	−0.6	0.23	456
28i	$\text{CH}_3\text{CN}$	$\text{HBF}_4$	−1.5	−0.28	−1.2	0.22	456
28j	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.2	−1.46	−0.7	0.31	456
28j	$\text{CH}_3\text{CN}$	$\text{HBF}_4$	−1.6	−0.28	−1.3	0.18	456
28k	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.3	−1.46	−0.8	0.60	456
28k	$\text{CH}_3\text{CN}$	$\text{HBF}_4$	−1.6	−0.28	−1.3	0.80	456
28l	$\text{CH}_3\text{CN}$	$\text{HClO}_4$	−1.2	−0.26	−0.9	0.32	466
28m	$\text{CH}_3\text{CN}$	$\text{HClO}_4$	−1.45	−0.26	−1.19	0.50	457
28n	$\text{CH}_3\text{CN}$	$\text{HClO}_4$	−1.25	−0.26	−0.99	0.43	457
28o	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.1	−1.46	−0.60	—	452
28p	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.2	−1.46	−0.70	—	452
28q	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.15	−1.46	−0.69	—	452
28r	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.1	−1.46	−0.60	—	452
28s	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.2	−1.46	−0.7	0.22	458
28t	$\text{CH}_3\text{CN}$	$\text{AcOH}$	−2.2	−1.46	−0.7	0.50	459

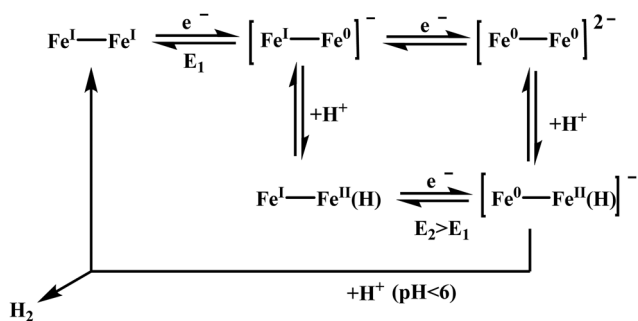
### Proton reduction by [FeFe]-mimics in aqueous medium.

Numerous synthetic structural and functional hydrogenases model systems<sup>345,467,468</sup> and their electrocatalytic proton reduction activity in organic medium has been investigated<sup>426,428,469</sup> and some of them are reported above. Lately electrocatalytic and photocatalytic of some [Fe–Fe] hydrogenase model systems have been reported in aqueous medium.<sup>469–472</sup> Since these complexes are generally insoluble in water, their reactivity in aqueous medium has been investigated either under heterogeneous conditions or *via* miscelle formation.

The  $[(\mu\text{-bdt})\text{Fe}_2(\text{CO})_6]$  (Fig. 27a) complex displays electrocatalytic proton reduction at  $-0.66$  V vs. SHE in an aqueous sodium dodecyl sulphate (SDS) solution at pH 3.<sup>473</sup> Bulk electrolysis experiment at  $-0.66$  V vs. SHE of the complex at pH 3 confirms the production of  $\text{H}_2$  with faradaic efficiency close to 100%. In its catalytic cycle the complex first undergoes  $2e^-$  reduction to produce the active  $\text{Fe}^0\text{--Fe}^0$  state followed by a PCET step to produce a

hydride intermediate  $[\text{Fe}^0\text{--Fe}^{\text{II}}(\text{H})]^-$  (Scheme 28), which then reacts with protons to produce  $\text{H}_2$  and regenerate the  $\text{Fe}^{\text{I}}\text{--Fe}^{\text{I}}$  form. However, in organic solvents, this  $[\text{Fe}^0\text{--Fe}^{\text{II}}(\text{H})]^-$  (Scheme 28), intermediate is very hard to protonate by strong acids, which explains the poor activity of this for  $\text{H}_2$  production in acetonitrile.

Several azadithiolate (ADT) bridged [FeFe]-hydrogenase model complexes are reported to produce  $\text{H}_2$  in aqueous medium.<sup>474,475</sup> Complex  $\text{BrC}_6\text{H}_4\text{N}(\text{CH}_2\text{S})_2\text{Fe}_2(\text{CO})_6$  (Fig. 29a) when physisorbed on edge plane graphite surface, has been demonstrated to display electrocatalytic proton reduction at  $\text{pH} < 3$ .<sup>474</sup> While these catalysts are found to reduce proton at very negative potentials in organic medium, in aqueous medium the potential of proton reduction is shifted towards more positive value due to enhanced solvation of the anionic reduced states. The catalyst shows a TOF of  $6400\text{ s}^{-1}$  at a potential of  $-0.5$  V vs. SHE in  $0.5\text{ N H}_2\text{SO}_4$ . Long term controlled potential electrolysis of the complex at  $-0.5$  V indicates the TON of the catalyst is



Scheme 28 Proposed mechanism for the catalysis of electrochemical  $\text{H}_2$  production mediated by  $[(\mu\text{-bdt})\text{Fe}_2(\text{CO})_6]$  (Fig. 27a).

$\gg 10^8$  and the faradaic efficiency  $> 95\%$ . The HER proceeds *via* the  $\text{Fe}(0)\text{Fe}(0)$  state under weakly acidic conditions and *via* the  $\text{Fe}(\text{I})\text{Fe}(0)$  state in strongly acidic conditions. Complex  $\text{BrC}_6\text{H}_4\text{N}(\text{CH}_2\text{S})_2\text{Fe}_2(\text{CO})_6$  (Fig. 29a) and some other molecular catalysts which efficiently produces  $\text{H}_2$  in water, are directly adsorbed or deposited on various electrode surface. However, a very few electrocatalysts are reported which are covalently attached onto the electrode surfaces in a site-specific manner.<sup>441,476–479</sup> Covalent attachment of the catalyst solves the problem which appears in physisorption like excess catalyst loading, slower electron transfer between catalyst and the electrode, leaching of the catalyst.<sup>480</sup> An azadithiolate bridged  $[\text{Fe}-\text{Fe}]$  hydrogenase mimic containing terminal alkyne group (Fig. 29b) is developed to covalently attach the complex on the modified electrode surface using click chemistry.<sup>475</sup> The complex reduces proton electrocatalytically in presence of dil.  $\text{H}_2\text{SO}_4$  medium with a high TON and TOF. The covalent attachment offers two orders of magnitude greater catalyst coverage without compromising the inherent catalytic properties of the complex. However, these catalysts need strongly acidic medium and substantial overpotential to be operative. Recently a series of *N*-aryl substituted azadithiolate (ADT) bridged  $[\text{FeFe}]$ -hydrogenase model complexes (Fig. 29c–e) have been reported in which the bridgehead N lone pair is fixed

towards the one of the metal centres by *ortho* substitution of the aryl groups.<sup>145</sup> Heterogeneous electrochemistry of these complexes physisorbed on edge plane graphite electrode reveals that the overpotential of proton reduction can be reduced to 160–180 mV in pH 5.5 water (Table 6).

**Hydrogen activation by  $[\text{FeFe}]$ -mimics.** Mimicking the catalytic activity of  $[\text{FeFe}]$ -hydrogenases demands a synthetic diiron complex to catalyse not only the evolution of  $\text{H}_2$ , but also its oxidation. Such a conversion is of great interest particularly as cheaper alternatives to Pt electrodes in fuel cells. However  $\text{H}_2$  heterolysis is very much challenging because free  $\text{H}_2$  is weakly acidic ( $\text{p}K_{\text{a}}(\text{THF})^{481} \approx \text{p}K_{\text{a}}(\text{MeCN}) \approx 50^{482}$ ). This problem can be solved by its binding to a cationic metal like  $\text{Fe}(\text{II})$ . Many  $[\text{Fe}-\text{Fe}]$  hydrogenase models including the above-described ones, can catalyse the hydrogen evolution reaction, but very few of them can mediate or catalyse the reverse reaction, the hydrogen activation. An ideal model system should mimic the  $\text{H}_{\text{ox}} (\text{Fe}^{\text{I}}-\text{Fe}^{\text{II}})$  state of the enzyme where  $\text{H}_2$  binds and cleaved.

Complex  $(\mu\text{-pdt})[\text{Fe}(\text{CO})_2(\text{PMe}_3)_2]_2$  (Scheme 29a) on treatment with concentrated HCl produces a stable bridging hydride complex **b**<sup>483</sup> (Scheme 29). Complex **b** (Scheme 29) mediates H/D exchange from  $\text{H}_2/\text{D}_2$  and from  $\text{H}_2/\text{D}_2\text{O}$ . This reaction is inhibited by CO but promoted by sunlight suggesting a vacant coordination site for  $\text{D}_2$  binding. In the catalytic cycle  $\text{D}_2$  binding takes place prior to D–D cleavage. A series of similar complexes,  $(\mu\text{-L})_2[\text{Fe}(\text{CO})_2(\text{PMe}_3)_2]_2$ , ( $\text{L} = \text{SEt}, \text{SCH}_2\text{CH}_2\text{S}, \text{SCH}_2\text{C}_6\text{H}_4\text{CH}_2\text{S}$ ) and their protonated derivatives was also evaluated.<sup>484</sup> The different thiolate ligands however has no effect on H/D exchange. Therefore, the sulphur ligands are unlikely proton acceptors in  $\text{H}_2$  splitting. Two mechanisms were proposed for the H/D exchange by **b** (Scheme 29).<sup>484</sup> The  $(\mu\text{-pdt})[\text{Fe}(\text{CO})_2(\text{PMe}_3)_2]_2$  (Scheme 29a) complex also reacts with  $\text{SMe}^+$  to form **c**,<sup>485</sup> which can take up  $\text{H}_2$  and catalyses the photolytic H/D exchange in  $\text{D}_2/\text{H}_2\text{O}$ . Complex (Scheme 29) **c** does not catalyse  $\text{H}_2/\text{D}_2$  exchange under anhydrous conditions. Here the  $\text{H}_2/\text{D}_2$  binding site is trans to the  $(\mu\text{-SMe})$  and H/D exchange is facilitated by  $\text{H}_2\text{O}$ , the external base. So there is a need of a pentacoordinate  $\text{Fe}(\text{II})$  Lewis acidic centre for  $(\text{H}/\text{D})_2$  binding and an Brønsted base for deprotonation of the

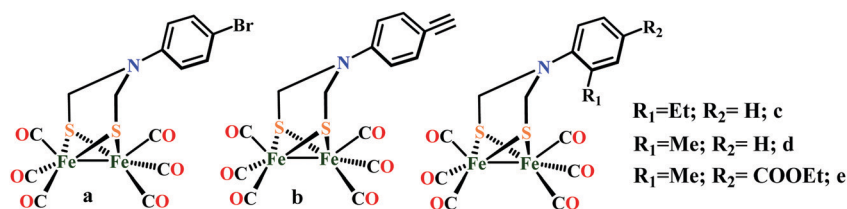
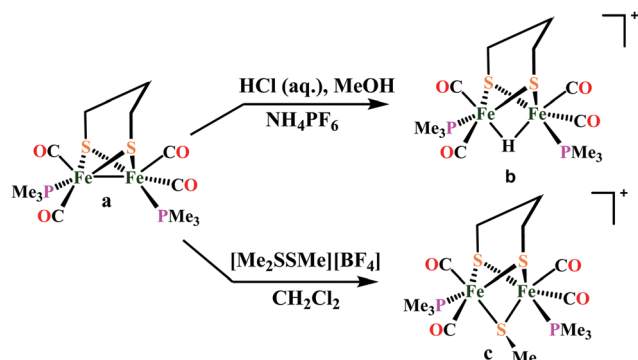


Fig. 29 Proton reducing  $[\text{FeFe}]$ -hydrogenase model complexes in aqueous medium.

Table 6 Summary of electrochemical proton reduction data for complexes **a–e** (Fig. 29)

Complex	Medium	$E$ (V)	TON	TOF ( $\text{s}^{-1}$ )	Overpotential (V)
<b>a</b>	Aqueous medium (pH < 3)	−0.5 V vs. SHE	$10^8$	6400	0.18
<b>c–e</b>	Aqueous medium (pH 5.5)	−0.6 to −0.8 V vs. SHE	—	11–50	0.16–0.18
<b>c</b>	Organic medium (proton source TFA)	−1.8 V vs. $\text{Fc}^+/\text{Fc}$	$0.15 \pm 0.01$	65	1.27
<b>d</b>	Organic medium (proton source TFA)	−1.8 V vs. $\text{Fc}^+/\text{Fc}$	$0.32 \pm 0.05$	32	1.31
<b>e</b>	Organic medium (proton source TFA)	−1.8 V vs. $\text{Fc}^+/\text{Fc}$	$0.35 \pm 0.05$	79	1.06



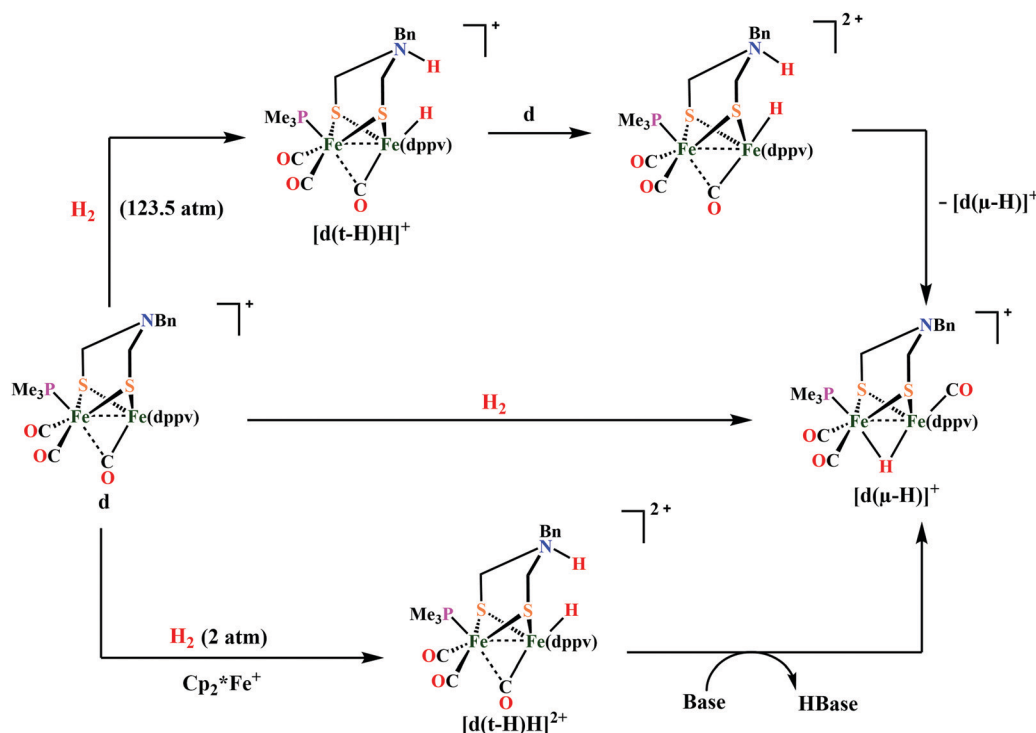
Scheme 29 Preparation of complex **b** and **c**.

coordinated (H/D)<sub>2</sub>. Complexes **b** and **c** (Scheme 29) can catalytically cleavage H<sub>2</sub> but cannot oxidize H<sub>2</sub> into protons.

Initial attempts at activating and oxidizing H<sub>2</sub> with synthetic [FeFe]-hydrogenase models utilized compounds in their Fe(I)Fe(I) or Fe(II)Fe(II) forms, neither of which is relevant to the biological mechanism, which proceeds through an Fe(I)Fe(II) state. The mixed-valent core of H<sub>ox</sub> exhibits a rotated Fe<sub>d</sub> coordination geometry exposing a site on an electrophilic Fe center to bind H<sub>2</sub> (or the inhibitor CO). Model complexes mimicking the H<sub>ox</sub> model with an azadithiolate ligand [Fe<sub>2</sub>(((SCH<sub>2</sub>)<sub>2</sub>N)(CO)<sub>3</sub>(PMe)<sub>3</sub>(dppv))]<sup>+</sup> can react with H<sub>2</sub>, in a stoichiometric manner, to produce corresponding hydride complexes.<sup>486</sup> But the related propanedithiolate complex [Fe<sub>2</sub>(((SCH<sub>2</sub>)<sub>2</sub>CH<sub>2</sub>)(CO)<sub>3</sub>(PMe)<sub>3</sub>(dppv))]<sup>+</sup> and oxadithiolate complex [Fe<sub>2</sub>(((SCH<sub>2</sub>)<sub>2</sub>O)(CO)<sub>3</sub>(PMe)<sub>3</sub>(dppv))]<sup>+</sup> analogues are inactive.<sup>487,488</sup> These results suggest that heterolytic hydrogen activation is assisted by the azadithiolate ligand.

Complex [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)] (Fig. 30d) is the first model which slowly (> 26 h, 25 °C) activates H<sub>2</sub> and yields a bridging hydride at very high pressure (Scheme 30). But in presence of 1 eq. of a mild oxidant, [Fe(C<sub>5</sub>Me<sub>5</sub>)<sub>2</sub>][BAR<sup>F</sup><sub>4</sub>] [Ar<sup>F</sup> = 3,5-C<sub>6</sub>H<sub>3</sub>(CF<sub>3</sub>)<sub>2</sub>][[Fc]BAR<sup>F</sup><sub>4</sub>], and a weak base at 25 °C it reacts faster under 2 atm of H<sub>2</sub> to produce [d(μ-H)]<sup>+</sup> species (Scheme 30).<sup>489</sup> However the more electrophilic model [Fe<sub>2</sub>(((SCH<sub>2</sub>)<sub>2</sub>N<sup>Bn</sup>)(CO)<sub>4</sub>(dppn))]<sup>+</sup> [dppn = 1,8-bis(diphenylphosphino)naphthalene] (Fig. 30e), in presence of 1 eq. of [Fe(C<sub>5</sub>Me<sub>5</sub>)<sub>2</sub>][BAR<sup>F</sup><sub>4</sub>] reacts even more rapidly (*t*<sub>1/2</sub> < 13 min at 20 °C) with 1 atm of H<sub>2</sub> compared to [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)] (Fig. 30d). [Fe<sub>2</sub>(((SCH<sub>2</sub>)<sub>2</sub>N<sup>Bn</sup>)(CO)<sub>4</sub>(dppn))]<sup>+</sup> (Fig. 30e) heterolyzes {in presence of [Fe(C<sub>5</sub>Me<sub>5</sub>)<sub>2</sub>][BAR<sup>F</sup><sub>4</sub>]} H<sub>2</sub> which is 20 times faster than [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)] (Fig. 30d) {in presence of [Fe(C<sub>5</sub>Me<sub>5</sub>)<sub>2</sub>][BAR<sup>F</sup><sub>4</sub>]} and 10<sup>4</sup> times faster than that by complex [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)] (Fig. 30d) in the absence of an oxidant. The kinetic isotopic measurement studies indicate that H<sub>2</sub> binding is the rate-determining step in these reactions.

Thus, for H<sub>2</sub> activation the systems require a Lewis acidic Fe center, a basic azadithiolate ligand and an electron sink. In the enzyme system these roles are beautifully served by the distal Fe atom, the azadithiolate group and the Fe<sub>4</sub>S<sub>4</sub> cluster. To mimic the Fe<sub>4</sub>S<sub>4</sub> cluster a redox active FcP\* ligand is introduced to the complex [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)]<sup>+</sup> (Fig. 30d) by Rauchfuss and co-workers.<sup>490</sup> The dicationic form of complex **f** (Fig. 30) (F<sup>2+</sup>) reacts with H<sub>2</sub> in a PCET pathway to produce the bridging hydride complex. The FcP\* ligand is reduced by one electron in a heterolytic H<sub>2</sub> cleavage pathway. Only a 2-fold increase is observed here compared to **d** (Fig. 30) in presence of external oxidant. Complex **d** (Fig. 30) can only stoichiometrically activate H<sub>2</sub>, while the dication F<sup>2+</sup> oxidises H<sub>2</sub> in the presence of excess

Scheme 30 Stoichiometric activation of H<sub>2</sub> with [Me<sub>3</sub>P(CO)<sub>2</sub>Fe(N<sup>Bn</sup>)Fe(CO)(dppv)] (Fig. 30d) in the presence<sup>489</sup> and absence of an external oxidant.<sup>487</sup>

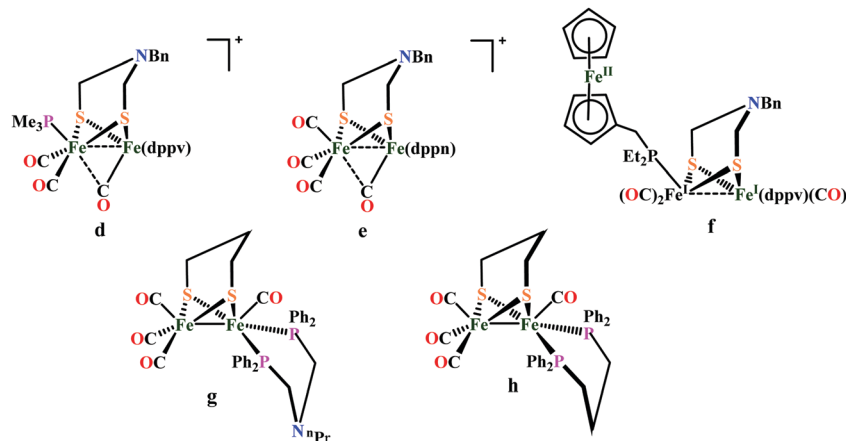


Fig. 30 Hydrogen activating [FeFe]-hydrogenase model complexes **d**, **e**, **f**, **g** and the catalytically inactive complex **h**.

oxidant and excess base, but the rate is extremely slow ( $\text{TOF} = 0.4 \text{ h}^{-1}$ ). Complex  $[(\text{OC})_3\text{Fe}(\text{pdt})(\mu\text{-dppf})\text{Fe}(\text{CO})]$  [ $\text{dppf} = 1,1$ -bis(diphenylphosphino)ferrocene]<sup>491,492</sup> (Fig. 30g) catalyses  $\text{H}_2$  oxidation in presence pyridine at the surface of an electrode.<sup>493</sup> It catalytically oxidises  $\text{H}_2$  in presence of excess oxidant  $\text{FcBar}^{\text{F}_4}$  and base  $\text{P}(o\text{-tolyl})_3$ ,<sup>494</sup> demonstrating that the redox active group need not to be directly attached to the diiron core to attain the catalytic turnover.<sup>494</sup> Analogous complex  $[(\text{CO})_3\text{Fe}(\text{pdt})(\mu\text{-dppp})\text{Fe}(\text{CO})]$  { $\text{dppp} = 1,2$ -bis(diphenylphosphanyl)propane} (Fig. 30h) lacking an internal base moiety on the phosphine ligand does not react with 1 atm  $\text{H}_2$  under similar conditions, illustrating the necessity of proton relay.

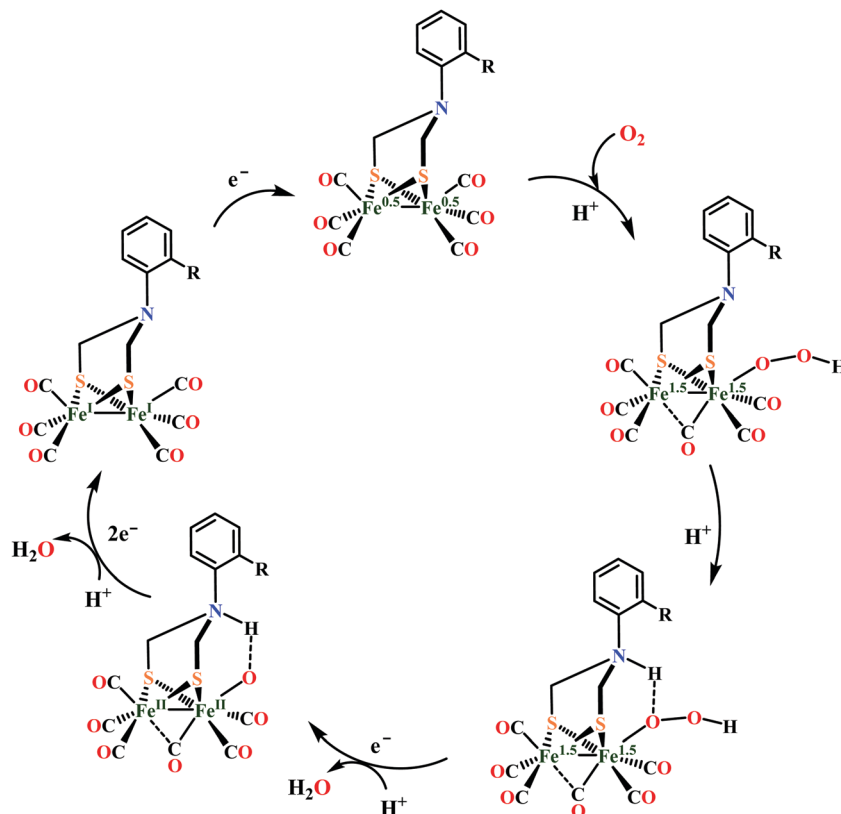
**$\text{O}_2$ -Tolerant proton reduction by [FeFe]-mimics.** Oxygen sensitivity arises from the generation of reactive oxygen species due to partial reduction of molecular oxygen by the reduced metal clusters involved in proton reduction.<sup>495</sup> Oxygen contamination is unavoidable for proton reducing systems in spite of the fact that the cathode is generally in a strictly anaerobic environment due to fact that the diffusion of the gaseous oxygen produced at the anode cannot be avoided during prolonged electrolysis.<sup>142</sup> Again the standard reduction potential of oxygen is higher than that of proton. So, a catalyst which can reduce protons can always reduce oxygen. However, the active site of a proton reducing catalyst is designed in such a way that it can perform  $2\text{H}^+/2\text{e}^-$  transformation. Whereas four protons and four electrons are required to completely reduce oxygen to water. Logically the proton reducing catalysts may lack of the electrons required to reduce oxygen to water. The partially reduced oxygenated species (PROS) thus generated (*e.g.*  $\text{O}_2^-$  and  $\text{H}_2\text{O}_2$ )<sup>496,497</sup> can rupture the nearby Fe-S clusters involved in transporting the electrons necessary for proton reduction and/or remain bound to the cluster after electron transfer from the  $2\text{Fe}2\text{S}$  cluster.<sup>498</sup> Computational studies have proposed that the thermodynamics of  $\text{O}_2$  binding to the cluster is in fact favourable, and gas channels are available for  $\text{O}_2$  to enter into the active site.<sup>499–501</sup> Since the [FeFe]-hydrogenase and its synthetic analogues are intolerant towards oxygen during catalysis, their use as a practical water splitting catalyst is greatly compromised.<sup>502,503</sup> An investigation of oxygen reduction by azadithiolate bridged diiron complexes

(Fig. 29) shows that these complexes irrespective of the nature of the bridging atom, reduce  $\text{O}_2$  in their single reduced state  $[\text{Fe}(0.5)\text{Fe}(0.5)]$  producing considerable amount of  $\text{H}_2\text{O}_2$  ( $>50\%$ ) above pH 5 leading to catalyst decay during proton reduction under aerobic conditions.<sup>497</sup> However, at acidic pH the extent of  $\text{H}_2\text{O}_2$  production is significantly lowered and is reduced by the nature of the bridging ligand (*N*-alkyl adt bridged complex produces the lowest amount of PROS).<sup>497</sup> A hydrogen bonding interaction from the protonated bridgehead N atom stabilises a metal-bound hydroperoxo intermediate formed during  $\text{O}_2$  reduction and reduces  $\text{H}_2\text{O}_2$  production at lower pH (Scheme 31).

To enhance this hydrogen bonding stability of the peroxide intermediate, to stall its hydrolysis, a series of [FeFe]-hydrogenase mimics with *ortho* substituted arenes attached to bridgehead N atoms is reported (Fig. 29c–e) where the phenyl ring is rotated off the nitrogen lone pair. These complexes reduce proton at very low overpotential in the presence of  $\text{O}_2$  near neutral pH (pH 5.5).<sup>145</sup> This class of 2Fe subsite mimics produces almost no  $\text{H}_2\text{O}_2$  during competitive  $\text{O}_2$  reduction; rather they reduce  $\text{O}_2$  to  $\text{H}_2\text{O}$ . These complexes are the first examples of synthetic models of hydrogenases that can operate unabated in the presence of  $\text{O}_2$ .

### [NiFe]-Hydrogenase mimics

In the past two and half decades, after the first crystallographic structure of a [NiFe]-hydrogenase was reported in 1995, there has been attempts to structurally and functionally mimic the active site structures of [NiFe]-hydrogenases.<sup>392</sup> For many years it has been a key challenge for synthetic chemists to reproducing the structure and function of the active site of [NiFe]-hydrogenase in artificial mimics motivated by its original dissymmetric structure and its atypical reactivity. Though there are several NiFe model complexes reported in the literature,<sup>394,468,469,504–507</sup> only a few could accurately mimics the structure, function and reactivity of the active site of [NiFe]-hydrogenase.<sup>508</sup> The major limitation of most active site mimics is, unlike enzyme, their chemistry is mainly centred on the Fe site<sup>505</sup> or on M ( $\text{M} = \text{Mn}, \text{Ru}$ ) in heterodinuclear NiM models.<sup>509–513</sup> The NiFe model complexes reported so far show the hydride ligand is either bridging<sup>511,513–518</sup>



Scheme 31 Proposed mechanism for  $\text{O}_2$  reduction by *ortho* substituted ADT bridged Fe–Fe mimics (Fig. 29c–e).

but displaced toward the Fe site, or terminally bound to the Fe centre.<sup>519–521</sup> Rauchfuss and co-workers have reported a NiFe mimic displaying redox activity at the Ni site with the formation of a  $\text{Ni}^{\text{I}}\text{Fe}^{\text{II}}$  species. However, DFT calculations showed that in the corresponding protonated species the hydride ligand is bound to iron.<sup>522</sup> Recently, Artero, Duboc and co-workers reported a heterodinuclear NiFe complex,  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}$  which shows nickel-centred proton reduction. They were also able to isolate two derivatives that are involved as intermediates in the catalytic cycle that reproduce the structure and spectroscopic signatures of the Ni–R and Ni–L states.<sup>508</sup>

**Proton reduction by [NiFe]-mimics in organic medium.** After successful modelling of the [NiFe]- $\text{H}_2$ ase active site, focus shifted to develop functional models of the same. Rauchfuss and co-workers synthesized a dinuclear NiFe-bridging hydride complex  $[(\text{dppe})\text{Ni}(\mu\text{-H})(\mu\text{-pdt})\text{Fe}(\text{CO})_3]^+$  (Fig. 31a), through the apparently facile protonation of  $[(\text{dppe})\text{Ni}(\mu\text{-pdt})\text{Fe}(\text{CO})_3]$ , initially reported by Schröder,<sup>419</sup> with  $\text{HBF}_4$ .<sup>515</sup> Complex **31a** produces  $\text{H}_2$  from trifluoroacetic acid (TFA) in  $\text{CH}_2\text{Cl}_2$ . Substitution of two CO ligands through phosphites (Fig. 31b), phosphines (Fig. 31c and d)<sup>514</sup> or diphosphines,<sup>515</sup> led to a series of derivatives. All these dinuclear bridging hydride derivatives are also active for proton reduction in organic medium in presence of TFA. Comparing the  $\text{H}_2$  evolution in  $\text{CH}_2\text{Cl}_2$  and DMF at a platinum electrode,<sup>523</sup> the overpotential of  $\text{H}_2$  evolution from TFA catalyzed by **31a** could be obtained 1 V. Despite of low stability of the phosphine derivatives (Fig. 31b–d) in  $\text{CH}_3\text{CN}$ , proton

reduction were further performed which yielded lower overpotential values in the range of 260–430 mV.<sup>514</sup>

In 2010, Fontecave, Artero and co-workers reported another functional model of [NiFe]- $\text{H}_2$ ase, with a  $\text{S}_4$  ligands framework around the nickel, like the enzyme and a cyclopentadienyl ligand on the iron center. The reaction of  $[\text{Ni}(\text{xbsms})]$  with  $[\text{CpFe}(\text{CO})_2(\text{thf})](\text{BF}_4)$  in  $\text{CH}_2\text{Cl}_2$  cleanly and rapidly yields  $[(\text{xbsms})\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}(\text{CO})_2\text{Cp}]^+$ . Upon exposure to light  $[(\text{xbsms})\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}(\text{CO})_2\text{Cp}]^+$  loses one CO to form monocarbonyl species  $[(\text{xbsms})\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}(\text{CO})\text{Cp}]^+$  (Fig. 31e). Complex **31e** was shown to catalyze  $\text{H}_2$  evolution from TFA in DMF with a 730 mV overpotential requirement. DFT calculations proposed that possible formation of a bridging hydride could occur through protonation at the CO ligand in the one-electron reduced state, followed by rearrangement and elimination of the CO ligand.<sup>523</sup> A year later, the same group synthesized a dinuclear nickel–manganese complex  $[(\text{xbsms})\text{Ni}(\text{CO})_3(\text{H}_2\text{O})]^+$  (Fig. 31f) with the same ligand backbone around the nickel centre.<sup>513</sup> **31f** catalysed hydrogen evolution from TFA in DMF with an overpotential requirement of 860 mV, similar to complex **31e**. Song *et al.* reported another series of Ni–Mn complexes, two of them (Fig. 31g) are active for  $\text{H}_2$  evolution from acetic acid in  $\text{CH}_3\text{CN}$ <sup>512</sup> with low catalytic current enhancement but reduced overpotential requirement ( $\sim 480$  mV).

Weber *et al.* reported a structural and functional mimic of [NiFe]- $\text{H}_2$ ase with an unusual  $\{\text{S}_2\text{Ni}(\mu\text{-S})(\mu\text{-CO})\text{Fe}(\text{CO})_2\text{S}\}$ -coordination environment around the metals (Fig. 31h).

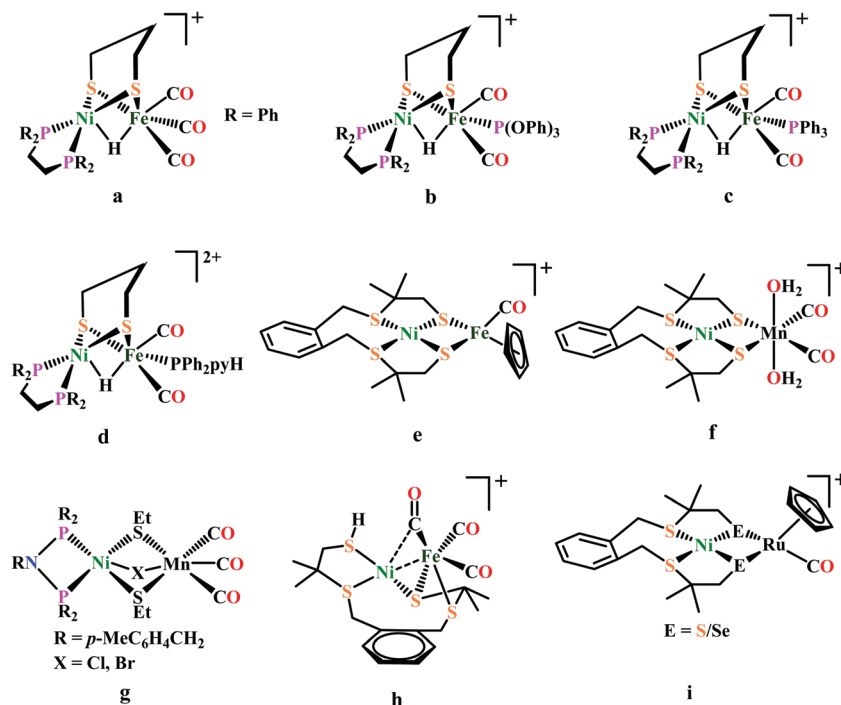


Fig. 31 Structurally relevant, selected [NiFe]-hydrogenase mimics, active for electrocatalytic proton reduction. The only known [NiMn] systems (f and g) shows electrocatalytic proton reduction.

Interestingly, upon addition of 1 equivalent  $\text{HBF}_4$  to **31h**, protonation took place at the terminal thiolate sulfur atom, that is coordinated to nickel, in a reversible way to produce protonated species  $[\mathbf{31H}][\text{BF}_4]$ .<sup>523</sup> This was the first experimental evidence of thiolate protonation, mimicking the postulated catalytic intermediates of [NiFe]-hydrogenases with a Cys-SH protonation.<sup>524–526</sup> Both protonated and un-protonated species does proton reduction reaction from TFA in  $\text{CH}_3\text{CN}$  at 540–570 mV overpotential.<sup>527</sup> Using the analogous strategy, recently, Bouwman and co-workers reported two heterodinuclear NiRu complexes (Fig. 31i) one with bridging sulfur and another with bridging selenium. This complexes catalyze hydrogen evolution in the presence of acetic acid in acetonitrile solution with a overpotentials requirement of 810–830 mV.<sup>528</sup> The same group also reported a NiFeSe complex with same ligand framework but here CO is ligated instead of  $\text{PPh}_3$ . This complex was found to be active for hydrogen evolution from acetic acid in DMF solution.<sup>529</sup> Ogo and co-workers reported a NiFe-mimic which was able to mediate both hydrogen evolution as well as oxidation in organic medium ( $\text{CH}_3\text{CN}/\text{CH}_3\text{OH}$ ). This complex heterolytically activated  $\text{H}_2$  at atmospheric pressure and room temperature in presence of strong base, sodium methoxide, to form a hydride-bearing complex  $[\text{Ni}^{\text{II}}(\text{X}')(\mu\text{-H})\text{Fe}^{\text{II}}\{\text{P}(\text{OEt})_3\}_3]^+$  (Fig. 32a). The new hydride species thus formed is active for hydrogen evolution in presence of strong acid. So, this system shows promise as a catalyst for hydrogen evolution with a quite large overpotentials requirement.<sup>521</sup>

Recently, Artero, Duboc and co-workers described a heterodinuclear NiFe complex,  $[\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}\text{cp}(\text{CO})]^+$  (Fig. 32b) that shows 'Ni'-centred proton reduction from  $[\text{Et}_3\text{NH}][\text{BF}_4]$  in  $\text{CH}_3\text{CN}$ .<sup>508</sup> In contrast to the NiFe complex containing terminal

$\text{Fe}(\text{II})$ -hydride, reduction of **32b**, with  $\text{NaBH}_4$  afforded a hydride complex,  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}(\text{H})\text{Fe}^{\text{II}}$ . Based on experimental evidences and theoretical calculations, it was proposed that  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}$  first undergoes a one-electron reduction to generate  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{I}}\text{Fe}^{\text{II}}$ . This active species is further reduced to afford a bipyridine based radical anion,  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{I}}\text{Fe}^{\text{II}}$ , which reacts with protons to yield a metal hydride intermediate akin to the metalloenzyme,  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}(\text{H})\text{Fe}^{\text{II}}$ . This metal hydride species further reacts with another equivalent of proton to produce  $\text{H}_2$  and regenerate the initial  $\text{L}^{\text{N}2\text{S}2}\text{Ni}^{\text{II}}\text{Fe}^{\text{II}}$  state (Scheme 32).<sup>400</sup> **32b** displays significant catalytic activity at a 500 mV overpotential from  $\text{Et}_3\text{NHBF}_4$  in  $\text{CH}_3\text{CN}$  solution. The second-order rate constant of proton reduction by this mimic is  $2.5 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ , which translates into a TOF of  $250 \text{ s}^{-1}$  at a concentration of  $10 \text{ mM H}^+$ .<sup>508</sup> Based on extensive DFT calculations, a year later, Tang *et al.* proposed that **32b** does proton reduction following a much lower energy route *via* an [ECEC] mechanism through an Fe-centred hydride intermediate.<sup>530</sup> The same group in collaboration with others, synthesized a hybrid material NiFe@PCN-777 by incorporating the complex **32b** into the Zr-based MOF PCN-777. FTO supported thin films of the NiFe@PCN-777 composite is active for proton reduction from  $\text{Et}_3\text{NHBF}_4$  in  $\text{CH}_3\text{CN}$  medium, inside the MOF cavities.<sup>531</sup> The doubly reduced species of complex **32b** is active for proton reduction in presence of weak acid like  $[\text{Et}_3\text{NH}][\text{BF}_4]$  while Ahmed *et al.* shown that in presence of strong acid like  $\text{HBF}_4$  singly reduced species is the active form for proton reduction in  $\text{CH}_3\text{CN}$  medium.<sup>531</sup>

To finely tune the reactivity of the [NiFe]-hydrogenase mimics Brazzolotto *et al.* modified the ligand environment, exclusively at the Fe site of complex **32b**. Substitution of the



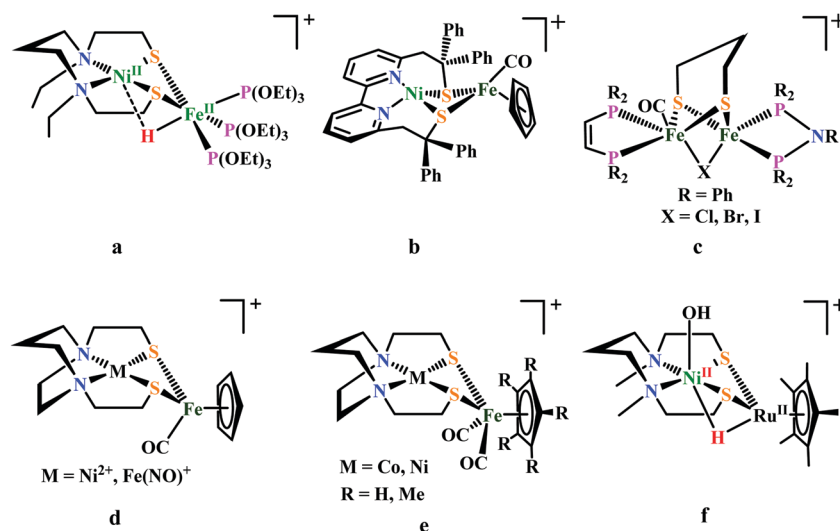
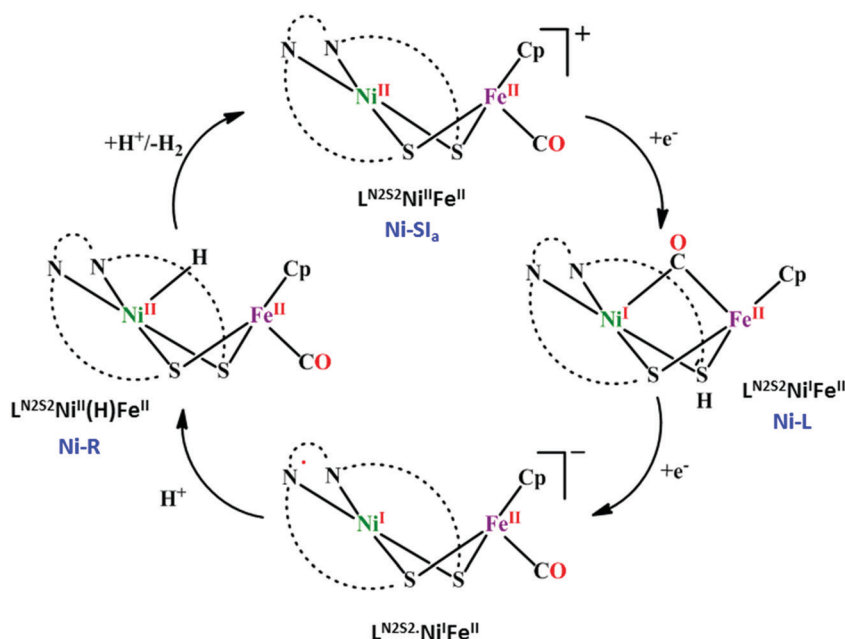


Fig. 32 Selected NiFe-complexes as functional models of [NiFe]-hydrogenases (b–f); a is the NiFe-mimic active for the bidirectional conversion of protons and electrons into dihydrogen.



Scheme 32 Proposed mechanistic cycle of proton reduction in complex  $[L^{N2S2}Ni^{II}Fe^{II}cp(CO)]^+$  (Fig. 32b).

$Cp^-$  (cyclopentadienyl) ligand by  $Cp^{*-}$  (pentamethylcyclopentadienyl) to synthesize  $[L^{N2S2}Ni^{II}Fe^{II}cp^*(CO)]^+$  leads to remarkable modifications in its structural properties. Especially for the  $\{Ni(\mu-S)_2Fe\}$  core with a butterfly structure in  $[L^{N2S2}Ni^{II}Fe^{II}cp(CO)]^+$  changes to a quasi-diamond structure in  $[L^{N2S2}Ni^{II}Fe^{II}cp^*(CO)]^+$ . This new complex thus formed is also active for proton reduction in  $CH_3CN$  medium in presence of  $[Et_3NH][BF_4]$  as a proton source. In the presence of CO, the electrocatalytic proton reduction activity of  $cp^*$  species inhibits up to 90% while in case of complex **32b** the inhibition is only 30%.<sup>532</sup> A new series of the structural and functional models,  $[RN(PPh_2)_2Ni(\mu-pdt)(\mu-X)Fe(CO)(dppv)](BF_4)$  (Fig. 32c) ( $R = p\text{-MeC}_6\text{H}_4\text{CH}_2$ ,  $\text{EtO}_2\text{CCH}_2$  and  $X = \text{Cl, Br, I}$ ) for the active site of [NiFe]-hydrogenases has

been reported by Song and co-workers. These halogenido-bridged model complexes are active for  $H_2$  evolution from  $\text{Cl}_2\text{CHCO}_2\text{H}$  in  $CH_3CN$  with an overpotential requirement of 530–570 mV. It was seen that the analogous chloro-bridged complexes are catalytically more active than bromo- or iodo-bridged.<sup>533</sup>

Recent electrochemical as well as theoretical studies by Hall, Darensbourg and co-workers of  $MN_2S_2$  ( $M = Ni^{2+}$ ,  $Fe(NO)^{2+}$ ) bound to  $[(\eta^5\text{-C}_5\text{H}_5)Fe(CO)]^+$  as electrocatalyst (Fig. 32d) demonstrated that in the electrochemical proton reduction process, reduction-induced hemi-lability of the bridging *cis*-dithiolates is a key step.<sup>534,535</sup> For tuning the electronic character of the  $M(\mu-S)_2Fe$  core, the  $MN_2S_2\text{-}Fe(\eta^5\text{-C}_5\text{R}_5)(CO)$  platform offers numerous possibilities. Modifying  $M$  within

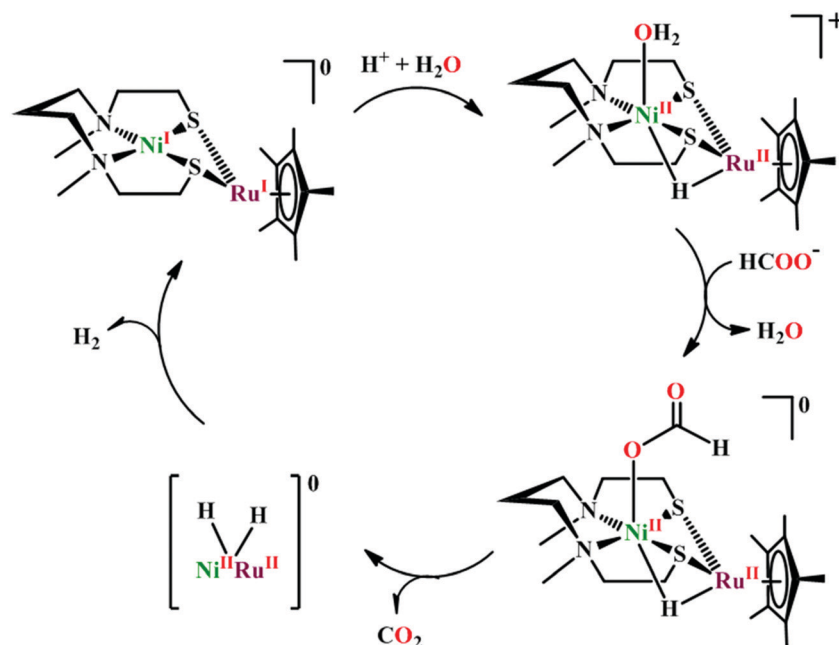
the metallodithiolate ligand, as well as replacing H by CH<sub>3</sub> at the  $\eta^5$ -C<sub>5</sub>R<sub>5</sub> moiety increases the electron density at the Fe center, which might facilitate the reductive Fe–S bond cleavage. Although release of a free thiolate in these hemi-labile ligands creates a needed internal pendant base, this benefit might be countered by the increase in over-potential for addition of the first electron. Analogous study on  $\text{MN}_2\text{S}_2[\text{Fe}(\eta^5\text{-C}_5\text{R}_5)(\text{CO})]^+$  ( $\text{M} = \text{Ni}^{2+}$ ,  $\text{Co}^{2+}$  and  $\text{R} = \text{H}$ ,  $\text{CH}_3$ ) (Fig. 32e) complexes by Ghosh *et al.* shows that these catalysts are active for proton reduction in CH<sub>3</sub>CN medium in presence of TFA acid source. The over-potentials for the HER by these complexes are in the range of 1–1.3 V. The theoretical modelling suggests that the bridging thiol acts as a proton shuttle during catalysis.<sup>536</sup> Different nitrosyl derivatives of NiFe complexes have also been synthesized to finely tune their catalytic hydrogen evolution activity in terms of TOF and overpotential.<sup>537</sup>

#### Proton reduction by [NiFe]-mimics in aqueous medium.

Most of the [NiFe]-hydrogenase model complexes have been studied in non-aqueous medium while a very few have been studied in aqueous medium under heterogeneous conditions. Recently, Ahmed *et al.* reported that complex **32b** is active for proton reduction in aqueous acidic medium under heterogeneous conditions. This complex shows 'Ni'-centred proton reduction as observed in organic medium.<sup>508</sup> The one electron reduced species,  $\text{Ni}^{\text{I}}\text{Fe}^{\text{II}}$  directly reacts with protons to form a metal-hydride, which parallels the mechanism at play at the [NiFe]-hydrogenase active site. Controlled potential electrolysis performed at  $-0.85$  V vs. NHE in pH 3 phosphate buffer resulted in electrocatalytic production of H<sub>2</sub> with faradaic yields of 83%. Turnover number and turnover frequency was determined from the long-term (10 h) controlled potential electrolysis data to be  $7.2 \times 10^6$ , and  $200 \text{ s}^{-1}$ , respectively.<sup>538</sup> Ogo and co-workers reported a  $\mu$ -hydrido  $\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex,  $[\text{Ni}^{\text{II}}\text{L}(\text{H}_2\text{O})(\mu\text{-H})\text{Ru}^{\text{II}}(\eta^6\text{-C}_6\text{Me}_6)]^+$

(Fig. 32f) as a [NiFe]-hydrogenase mimics, reacts with one equivalent of HCOONa in water to produce a  $(\mu\text{-hydrido})(\text{formato})\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex. The  $(\mu\text{-hydrido})(\text{formato})\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex acts as an effective catalyst for hydrogen evolution from HCOOH and the maximum TON obtained in one hour at pH 3.5 at  $60^\circ\text{C}$  was 857.<sup>539</sup> The catalytic cycle is shown in Scheme 33 where the  $\mu$ -hydrido  $\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex reacts with  $\text{HCOO}^-$  to form a  $(\mu\text{-hydrido})(\text{formato})\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex, and after CO<sub>2</sub> liberation a dihydrido intermediate is produced. The reductive elimination of H<sub>2</sub> from the dihydrido intermediate proceeds, leading to the formation of the  $\text{Ni}^{\text{I}}\text{Ru}^{\text{I}}$  complex, which reacts with proton to regenerate the  $\mu$ -hydrido  $\text{Ni}^{\text{II}}\text{Ru}^{\text{II}}$  complex.<sup>539</sup>

**Hydrogen activation by [NiFe]-mimics.** Although there are many structural and functional mimics of [NiFe]-hydrogenases reported in the literature a few can activate H<sub>2</sub> homoleptically or heterolytically.<sup>486,511,520,521,540,541</sup> It should be noted that among all the NiFe-mimics, most of them only activate H<sub>2</sub> in a stoichiometric or sub-stoichiometric manner. Only a few complexes can activate H<sub>2</sub> catalytically.<sup>511,540,542</sup> Ogo and co-workers synthesized and isolated a paramagnetic  $\text{Ni}(\mu\text{-H})\text{Ru}$  complex  $[(\text{Ni}^{\text{II}}\text{L})(\text{H}_2\text{O})(\mu\text{-H})\text{Ru}^{\text{II}}(\eta^6\text{-C}_6\text{Me}_6)]^+$  (Fig. 32f). A diamagnetic dinuclear NiRu aqua complex  $[(\text{Ni}^{\text{II}}\text{L})\text{Ru}^{\text{II}}(\text{H}_2\text{O})(\eta^6\text{-C}_6\text{Me}_6)](\text{NO}_3)_2$  reacts with H<sub>2</sub> at ambient conditions in water to form the intermediated species **32f**. The introduction of an aqua ligand to the  $\text{Ni}(\mu\text{-S})_2\text{Ru}$  unit was not only to increase water solubility but also to act as a base to form the  $\text{Ni}(\mu\text{-S})_2(\mu\text{-H})\text{Ru}$  species in water. Because in the hydrogenases the X ligand ( $\text{H}_2\text{O}$ ,  $\text{OH}^-$ , or  $\text{O}^{2-}$ ) present in the resting state is act as a base to activate H<sub>2</sub> in aqueous media as shown this study.<sup>511</sup> A breakthrough was made by the same group when they reported a NiFe-mimic which was able to mediate both hydrogen evolution and oxidation, thus reproducing the bidirectional activity of the [NiFe]-hydrogenases for the first time at a binuclear core.

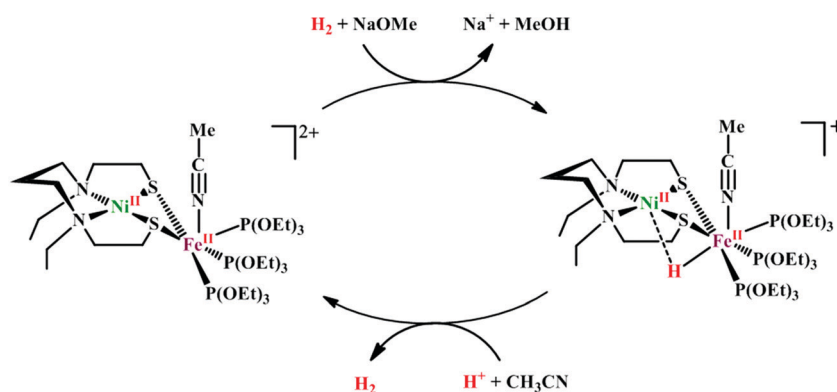


**Scheme 33** Proposed mechanism for proton reduction from formic acid catalyzed by NiRu complexes in acidic aqueous media.

The main strategy of the synthesis was using three triethylphosphite ( $\text{P}(\text{OEt})_3$ ) ligands to modulate the electronic properties of the iron centre to promote coordination of  $\text{H}_2$  as a first step towards its activation. Heterolytic splitting of  $\text{H}_2$  is promoted in presence of a strong base, sodium methoxide which capture the proton, while the hydride ligand is still coordinated to a Fe-centre in a terminal way (Fig. 32a). This hydride species can be oxidized using mild oxidant like methylviologen ( $\text{MV}^{2+}$ ). Release of a proton from this hydride species regenerates the starting complex and thus completing the catalytic cycle (Scheme 34).<sup>521</sup> Compared to **32f**, **32a** is more similar to the active site of [NiFe]-hydrogenase because Ru is replaced by Fe. Recently, Isegawa, Ogo and co-workers performed a detailed mechanistic study by DFT calculation to see the electron/hydride transfer from a NiFe-complex and the corresponding changes in the redox states.<sup>543</sup> Rauchfuss and co-workers synthesized two NiFe-model complexes  $(\text{CO})_2(\text{CNBAR}^{\text{F}_3})_2\text{Fe}(\mu\text{-pdt})\text{Ni}(\text{dxpe})$  ( $\text{dxpe} = \text{dppe}$ , **33a**;

$\text{dxpe} = \text{dcpe}$ , **33b**), each with two CO and two  $\text{BAR}^{\text{F}_3}$  protected cyanide ligands on Fe site.<sup>543</sup> To make the iron-centre more electrophilic, introduction of  $\text{BAR}^{\text{F}_3}$  ligands is essential. In presence of  $\text{H}_2$  both complexes form terminally bound metal-hydride at the Fe-centre. When a weak acid was added to these terminal-hydride species, dihydrogen-bridging products were formed. Catalytic extraction of electrons from  $\text{H}_2$  was achieved by use of the low-valent  $\text{Ni}^{\text{I}}\text{Ru}^{\text{I}}$  complex **33c** with  $\text{Cu}^{2+}$  as oxidant at pH 4–6.<sup>540</sup>  $[(\text{cymene})\text{Ru}(\mu\text{-pdt})\text{Ni}(\text{dppe})](\text{BAR}^{\text{F}_4})$  (Fig. 33d) reacted with 1 atm of  $\text{H}_2$  to give  $[(\text{cymene})\text{Ru}(\text{-pdt})(\mu\text{-H})\text{-Ni}(\text{dppe})](\text{BAR}^{\text{F}_4})$ .<sup>541</sup> In this case,  $\text{H}_2$  was reduced to two  $\text{H}^-$ , while the metal ion was oxidized.

**Oxygen-tolerant [NiFe]-mimics.** Most of the hydrogenases and their synthetic mimics are either inhibited or even irreversibly damaged by molecular oxygen.<sup>142,368,544</sup> The first S-oxygenated NiFe-complex (Fig. 34a) was reported by Driess's lab as a model for sulfonate intermediates in  $\text{O}_2$ -tolerant hydrogenase; it was



Scheme 34 Proposed mechanism of heterolytic  $\text{H}_2$  activation by complex **31a**.

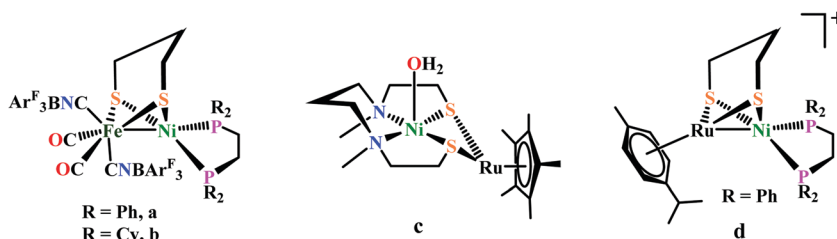


Fig. 33 Selected examples of [NiFe]-hydrogenase mimics active for  $\text{H}_2$  activation.

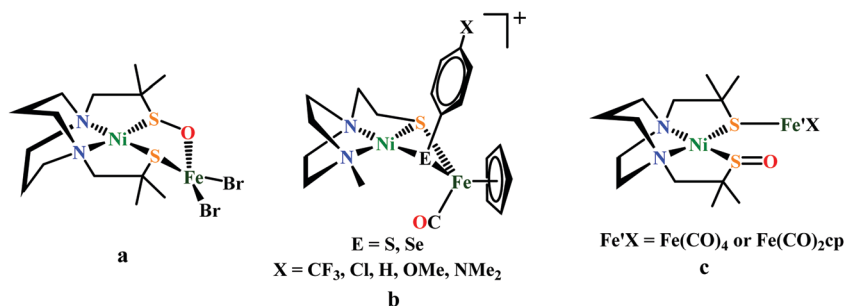


Fig. 34 Only known NiFe-complexes studied as a  $\text{O}_2$ -tolerant [NiFe]-hydrogenase mimics.

synthesized from FeBr<sub>2</sub> and the pre-formed sulfenato nickel complex rather than direct oxygenation.<sup>545</sup>

Under aerobic conditions, the affinity of oxygen for sulfur and selenium, in [NiFeS]- and [NiFeSe]-hydrogenase, yields oxygenated chalcogens, and delays an inevitable and irreversible oxygen damage at the metals by maintaining the NiFe core structures. To identify the controlling features of S-site oxygen uptake, recently Darensbourg *et al.* synthesized a series of Ni( $\mu$ -EPhX)( $\mu$ -S'<sub>2</sub>N<sub>2</sub>)Fe (E = S or Se, Fe = ( $\eta^5$ -C<sub>5</sub>H<sub>5</sub>)Fe<sup>II</sup>(CO)) (Fig. 34b) complexes and tuned them electronically by changing the *para*-substituent on  $\mu$ -EPhX (X = CF<sub>3</sub>, Cl, H, OMe, NMe<sub>2</sub>). DFT analysis suggests that the more electron-rich sulfurs are more O<sub>2</sub> responsive in the SPhX series; the selenium analogues were even more reactive with O<sub>2</sub>.<sup>545</sup> In another study the same group described a biomimetic study for S/Se oxygenation in Ni( $\mu$ -EPh)( $\mu$ -SN<sub>2</sub>)Fe, (E = S or Se); Fe = ( $\eta^5$ -C<sub>5</sub>H<sub>5</sub>)Fe<sup>II</sup>(CO) complexes related to the oxygen-damaged active sites of [NiFeS]/[NiFeSe]-hydrogenases. Upon O<sub>2</sub> exposure, it forms monooxygenated and dioxygenated species, and treatment with O-abstraction agents such as P(*o*-tolyl)<sub>3</sub> or PMe<sub>3</sub> reversed the oxygenation of the S/Se atoms. Although, NiEPhFe<sup>+</sup> complex differs from the [NiFeSe]-hydrogenase active site as the selenium in the model is in a bridging position, rather than terminal as nature has adopted, the relative reactivities are consistent with what is found in nature.<sup>546</sup>

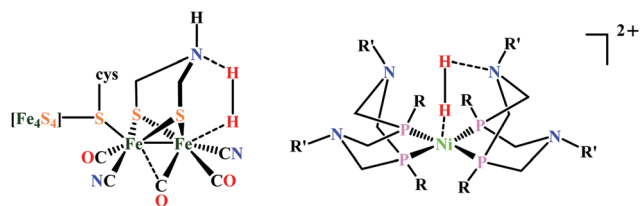


Fig. 35 [FeFe]-hydrogenase active site (left) and [Ni(P<sup>R</sup><sub>2</sub>N<sup>R'</sup><sub>2</sub>)<sub>2</sub>]<sup>2+</sup> molecular catalyst (right), H<sub>2</sub> bound in each case in the active site.

Very recently, Song and co-workers reported two S-oxygenated intermediate [(mmp-sulfeno-dach)Ni][Fe'X] (Fe'X = Fe(CO)<sub>4</sub>, Fe(CO)<sub>2</sub>cp) (Fig. 34c) of an O<sub>2</sub>-tolerant [NiFe]-hydrogenase mimics analogues to Driess's system. Reactivity study on both complexes indicates that they undergo the ligand dissociation reactions in the presence of Me<sub>3</sub>NO to give their precursor complex, while complex with cp ligand undergoes a complicated sequential reaction in the presence of organometallic oxidant FcBF<sub>4</sub> to afford the trinuclear Ni(II) complex, unexpectedly.<sup>546</sup>

#### Other functional models

**Ni(II) complexes.** Inspired by the pendant azadithiolate ligand in the active site of [FeFe]-hydrogenase, a series of functional models of mononuclear nickel complexes based on bis diphosphine ligands with pendent amines [Ni(P<sup>R</sup><sub>2</sub>N<sup>R'</sup><sub>2</sub>)<sub>2</sub>]<sup>2+</sup> have been synthesized.<sup>547–549</sup> This mononuclear Ni complexes having beneficial acid–base and redox qualities permits mediation of both H<sub>2</sub> oxidation and production (Fig. 35).<sup>547–550</sup>

**Catalytic oxidation of H<sub>2</sub> by Ni complexes.** A Ni complex without proton relays, [Ni(depp)<sub>2</sub>]<sup>2+</sup> {depp = 1,2-bis(diethylphosphanyl) propane} (Fig. 36a) slowly catalyses the oxidation of H<sub>2</sub> in the presence of Et<sub>3</sub>N.<sup>417</sup> The Ni<sup>II</sup> hydride complex [HNi(depp)<sub>2</sub>]<sup>2+</sup>, without proton relay, shows an irreversible oxidation peak at 0.0 V. But introducing a proton relay into the ligand by the form of a PNP (PNP = Et<sub>2</sub>PCH<sub>2</sub>NMeCH<sub>2</sub>PEt<sub>2</sub>) ligand [Ni(PNP)<sub>2</sub>]<sup>2+</sup> (Fig. 36b) is obtained. The oxidation peak of [HNi(PNP)<sub>2</sub>]<sup>2+</sup> is shifted to negative potential (−0.62 V). So, by introducing a PNP ligand as a proton relay leads to a much lower energy pathway for oxidation of H<sub>2</sub>. The [Ni(PNP)<sub>2</sub>]<sup>2+</sup> complex has an overpotential of about 100 mV, that is 600 mV less than that observed with [Ni(depp)<sub>2</sub>]<sup>2+</sup> for electrocatalytic H<sub>2</sub> oxidation. But rate of catalysis was still slow. The incorporation of a pendant amine group into the PNP ligand triggers a notable decrease in the overpotential for the electrocatalytic H<sub>2</sub> oxidation. Like the cyclohexane here the six-

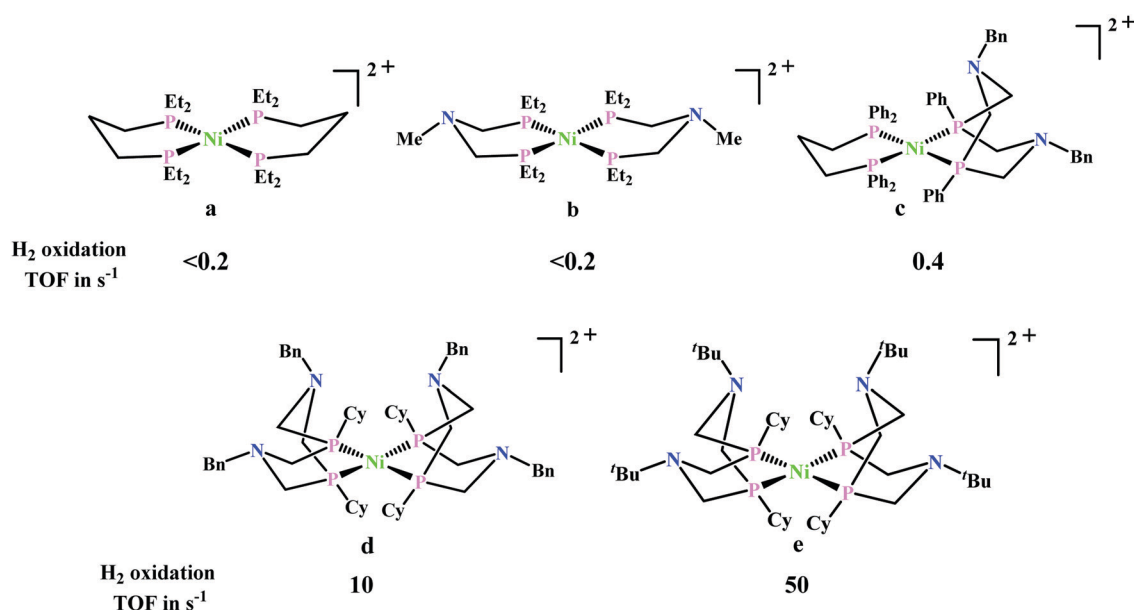


Fig. 36 Ni(II) molecular complexes catalysing H<sub>2</sub> oxidation.



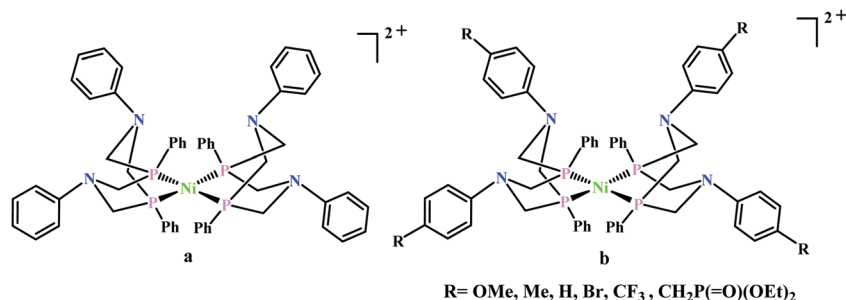
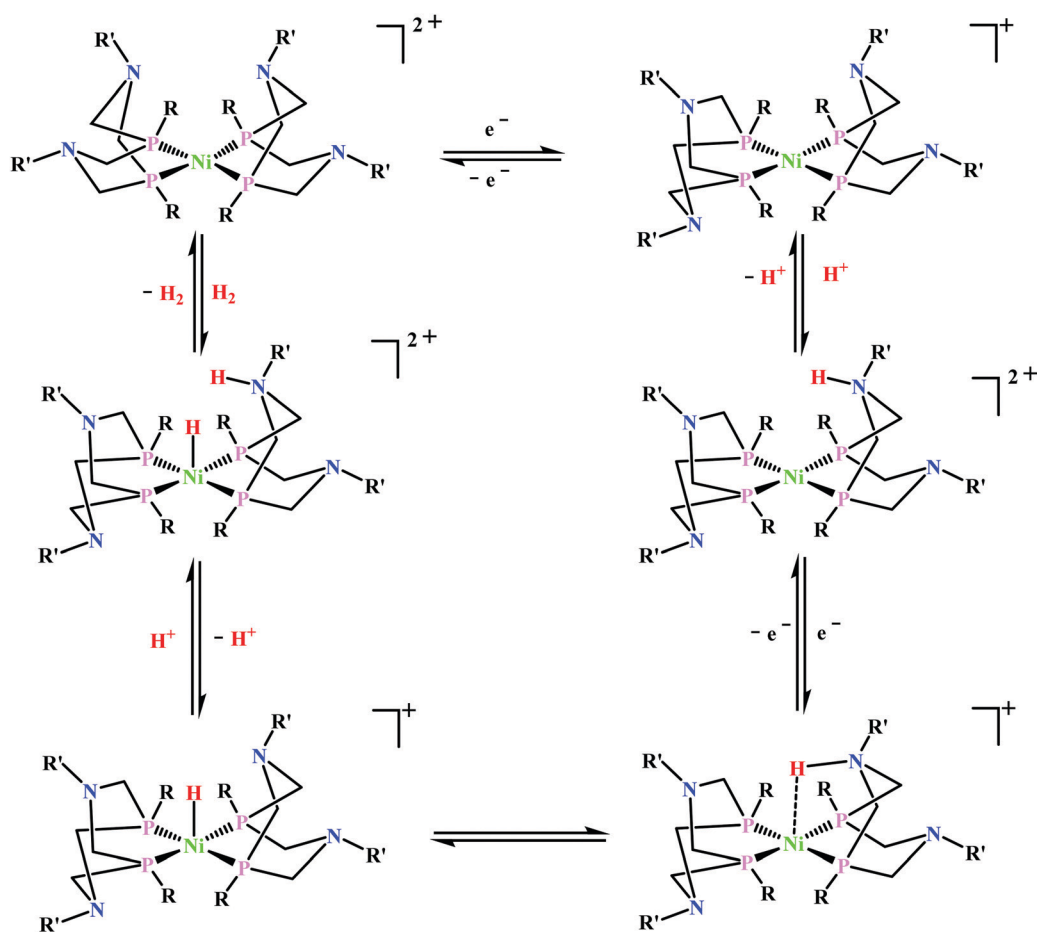


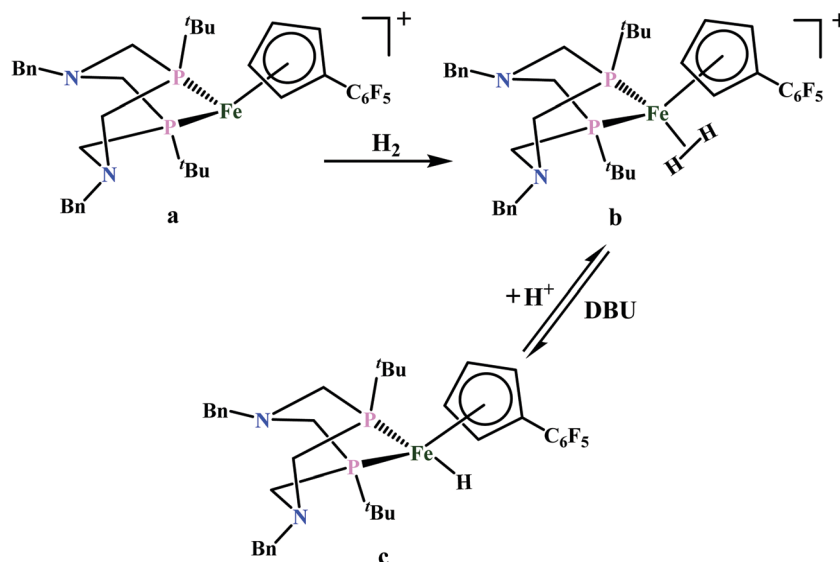
Fig. 37 Proton reducing Ni(II) catalysts.

membered ring Ni-PNP go through chair/boat conformational transitions. But only in the boat conformation the pendant amine is well positioned to interact with a Ni-H bond. But from a crystal structure of  $[\text{Ni}(\text{PNP})_2]^{2+}$  derivative (with an  $n\text{Bu}$  group on each N, Fig. 36c) it is seen that the both six-membered rings are in the chair form. So the energy of conversion of the chair form to the boat form contributes to the kinetic barrier for oxidation of  $\text{H}_2$  by  $[\text{Ni}(\text{PNP})_2]^{2+}$ . At least one of the pendant amines adopts boat conformation when a second six-membered ring into the cyclic “ $\text{P}_2\text{N}_2$ ” ligand structure of  $\text{Ni}(\text{P}_2\text{N}_2)$  complexes is added. By virtue

of this the pendent amine becomes properly positioned to interact with a  $\text{H}^-$  or  $\text{H}_2$  ligand on the metal.<sup>417</sup> By varying the alkyl or aryl group bound to P or N atoms in  $\text{Ni}(\text{P}_2\text{N}_2)$  the steric and electronic properties can be tuned so that certain reactions are favoured. The calculated TOF of  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{Bn}}_2)]^{2+}$  (Cy = cyclohexyl; Bn = benzyl) (Fig. 36d) complex for electrocatalytic  $\text{H}_2$  (1 atm) oxidation is about  $10 \text{ s}^{-1}$ , which is much higher than that observed with  $[\text{Ni}(\text{PNP})_2]^{2+}$ . A similar complex containing one  $\text{P}_2\text{N}_2$  ligand,  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{Bn}}_2)(\text{dppp})]^{2+}$  {dppp = 1,2-bis(diphenylphosphanyl)propane} catalyses the  $\text{H}_2$  oxidation of with a TOF of  $0.4 \text{ s}^{-1}$  which much



**Scheme 35**  $\text{H}_2$  oxidation (counter-clockwise) and evolution (clockwise) cycle mediated by  $[\text{Ni}(\text{P}_2\text{N}_2)]^{2+}$  molecular catalysts.<sup>548</sup> This cycle is a simplification that neglects certain side reaction pathways, and the order of the steps depends on the identities of substituents R and R'.<sup>428</sup>



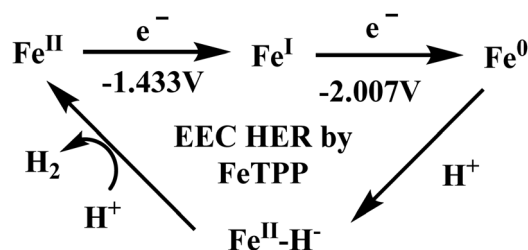
Scheme 36 Reaction of  $[(\text{CpC}_6\text{F}_5)\text{Fe}(\text{P}^{\text{tBu}}_2\text{N}^{\text{Bn}}_2)]^+$  with  $\text{H}_2$ .

less than that compared to the  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{Bn}}_2)]^{2+}$  due to with two well positioned pendant amines in later complex.<sup>417</sup> The TOF increases with the number of well positioned pendant amines, indicating that the pendant amines facilitate the binding of  $\text{H}_2$ . However theoretical studies on  $[\text{Ni}(\text{P}^{\text{Me}}_2\text{N}^{\text{Me}}_2)]^{2+}$ , reveals that only one pendant amine is directly associated in the heterolytic binding or cleavage of  $\text{H}_2$ .<sup>417</sup> The calculated TOF for the Oxidation of  $\text{H}_2$  (1 atm) by  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{tBu}}_2)]^{2+}$  ( $\text{tBu}$  = *tert*-butyl) (Fig. 36e) Complex is  $50 \text{ s}^{-1}$  at  $-0.77 \text{ V}$  vs.  $\text{Fc}^+/\text{Fc}$  in presence of  $\text{Et}_3\text{N}$ .<sup>551,552</sup> The higher TOF value for this complex compared to that for  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{Bn}}_2)]^{2+}$  is because of the higher basicity of nitrogen atoms attached with *tert*-butyl groups than those of benzyl groups.

**Ni-Catalysts for production of  $\text{H}_2$  by proton reduction.** A series of  $[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{R}}_2)]^{2+}$  complexes containing aryl groups on both the P and N atoms are reported to electrochemically reduce protons.<sup>552,553</sup> When  $\text{R} = \text{Ph}$ , the  $[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{Ph}}_2)]^{2+}$  complex (Fig. 37a) electrochemically reduces buffered solution of protonated dimethylformamide ( $\text{H-DMF}^+$ ) in  $\text{CH}_3\text{CN}$  medium with a TOF of  $350 \text{ s}^{-1}$  at  $22^\circ\text{C}$  and with a overpotential of approximately  $0.3 \text{ V}$ .<sup>416,420</sup> A series of related complexes  $[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{C}_6\text{H}_4\text{R}}_2)]^{2+}$  (Fig. 37b) are reported by varying the substituent R group on the *para* position of the aromatic ring of the pendant amine.<sup>554</sup> The substituent-R group is changed from electron-withdrawing groups ( $-\text{CF}_3$  and  $-\text{Br}$ ) to  $-\text{H}$  to electron-donating groups ( $-\text{Me}$  and  $-\text{OMe}$ ) to examine the effect of basicity of pendant amine group. The  $E_{1/2}$  values for the  $\text{Ni}(\text{II/I})$  couple varies from  $-0.74 \text{ V}$  for  $\text{R} = -\text{CF}_3$  to  $-0.88 \text{ V}$  for  $\text{R} = \text{OMe}$  and for the  $\text{Ni}(\text{I/0})$  couple the  $E_{1/2}$  values varies from  $-1.07 \text{ V}$  (for  $\text{R} = \text{OMe}$ ) to  $-0.89 \text{ V}$  (for  $\text{R} = \text{CF}_3$ ). The TOF values calculated for the electrochemical proton reduction from protonated DMF ( $\text{H-DMF}^+$ ) in presence of  $\text{CH}_3\text{CN}$  is  $740 \text{ s}^{-1}$  (for  $\text{R} = \text{Br}$ ),  $590 \text{ s}^{-1}$  (for  $\text{R} = \text{H}$ ),  $310 \text{ s}^{-1}$  (for  $\text{R} = \text{OMe}$ ) and  $95 \text{ s}^{-1}$  (for  $\text{R} = -\text{CF}_3$ ). The most electron donating  $-\text{OMe}$  substituent makes the pendant amine most basic among these, so making the elimination

of  $\text{H}_2$  difficult. But the strong electron donating group  $-\text{CF}_3$  withdraws too much electron density from the pendant amine thus lowering its basicity too much so that it is protonated easily. For this series of Ni complexes the addition of  $\text{H}_2\text{O}$  permits higher TOF. Addition of  $0.1\text{--}0.3 \text{ (M)}$   $\text{H}_2\text{O}$  in presence of  $\text{H-DMF}^+$  the catalytic rates become typically  $20\text{--}50\%$  faster compared to that in absence of  $\text{H}_2\text{O}$ .<sup>554</sup> The added water facilitates the conversion of a catalytically inferior *exo* isomer to catalytically superior *endo* isomer. For the  $[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{C}_6\text{H}_4\text{R}}_2)]^{2+}$  complex when  $[\text{R} = \text{CH}_2\text{P}(=\text{O})(\text{OEt})_2]$ , phosphonate the fastest rates are observed in presence of water. The rate of  $\text{H}_2$  production from  $\text{H-DMF}^+$  for this complex is  $500 \text{ s}^{-1}$  without added water. But in presence of  $\text{H}_2\text{O}$  it displays a much higher rate of  $1850 \text{ s}^{-1}$ . Due to the presence of four phosphonate groups, this complex encounter additional H-bonding interactions with  $\text{H}_2\text{O}$ .<sup>553</sup>

**A bi-directional catalyst for production and oxidation of  $\text{H}_2$ .** The  $\text{Ni}(\text{II})$  complex  $[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{CH}_2\text{CH}_2\text{OMe}}_2)]^{2+}$  containing  $\text{CH}_2\text{CH}_2\text{OMe}$  substituents on the pendant amine, reacts reversibly with 1 atm  $\text{H}_2$  to give a to give a mixture of the three isomers.<sup>428</sup> Catalytic production of  $\text{H}_2$  is observed at  $-0.55 \text{ V}$  when *p*-cyanoanilinium is used as a proton source. A PCET pathway is expected in this case.<sup>555,556</sup> The TOF calculated for the catalytic  $\text{H}_2$  production is very low  $<0.5 \text{ s}^{-1}$ . The complex



Scheme 37 Probable mechanism of the HER catalysed by FeTPP.

$[\text{Ni}(\text{P}^{\text{Ph}}_2\text{N}^{\text{CH}_2\text{CH}_2\text{OMe}}_2)_2]^{2+}$  is found to be a bidirectional catalyst, indicating that it also slowly catalyses the  $\text{H}_2$  oxidation with a low overpotential.<sup>548</sup> A similar type of complex  $[\text{Ni}(\text{P}^{\text{Cy}}_2\text{N}^{\text{Gly}}_2)]$  exhibits similar bidirectional functions also in water (Scheme 35).<sup>557</sup>

**Ni-Complexes containing  $\text{P}_2\text{N}$  ligand.** It is observed that by using a seven membered  $(7\text{P}^{\text{Ph}}_2\text{N}^{\text{Ph}})(7\text{P}^{\text{Ph}}_2\text{N}^{\text{Ph}} = 1,3,6\text{-triphenyl-1-aza-3,6-diphosphacycloheptane})$  ligand instead of  $(\text{P}^{\text{R}}_2\text{N}^{\text{R}'}_2)$  ligands the HER activity of these Ni(II) complexes can be greatly enhanced. Complex  $[\text{Ni}(7\text{P}^{\text{Ph}}_2\text{N}^{\text{Ph}})]^{2+}$  electrocatalytically reduces  $\text{H-DMF}^+$  at  $-1.13$  V vs.  $\text{Fc}^+/\text{Fc}$  to produce  $\text{H}_2$ . In presence of  $0.2(\text{M})$  acid concentration the calculated overpotential for the catalytic proton reduction of complex **9** is  $625$  mV.<sup>557</sup> However in presence of  $0.43(\text{M})$   $\text{H-DMF}^+$  the calculated TOF is  $33\,000\text{ s}^{-1}$  for complex **9**. With the addition of water the catalytic current increases. In presence of  $1.2$  M water the TOF for the catalytic proton reduction catalysed by complex **9** is found to be

$106\,000\text{ s}^{-1}$ , which exceeds the value those reported for  $[\text{Fe-Fe}]$  hydrogenase enzyme ( $\text{TOF} = 9000\text{ s}^{-1}$  at  $30^\circ\text{C}$ ).<sup>557</sup>

**Fe-Based complexes containing  $\text{P}_2\text{N}_2$  ligand.** Iron based diphosphine containing pendent amines are also reported.<sup>558–560</sup> The complex at room temperature  $[(\text{CpC}_6\text{F}_5)\text{Fe}(\text{P}^{\text{tBu}}_2\text{N}^{\text{Bn}}_2)]^+$  (Scheme 36a) reacts with  $1\text{ atm H}_2$  to generate complex  $[(\text{CpC}_6\text{F}_5)\text{Fe}(\text{P}^{\text{tBu}}_2\text{N}^{\text{Bn}}_2)(\text{H}_2)]^+$  (Scheme 36b) which then reacts with DBU to form complex  $[(\text{CpC}_6\text{F}_5)\text{Fe}(\text{P}^{\text{tBu}}_2\text{N}^{\text{Bn}}_2)(\text{H})]$  (Scheme 36c).<sup>559</sup>  $[(\text{CpC}_6\text{F}_5)\text{Fe}(\text{P}^{\text{tBu}}_2\text{N}^{\text{Bn}}_2)(\text{H})]$  (Scheme 36c) catalyses  $\text{H}_2$  oxidation with TOF of  $0.66\text{--}2\text{ s}^{-1}$  and a overpotential of  $160\text{--}220$  mV.

**Fe-Porphyrins.** The importance of the pendant base in hydrogen production is a well-established fact not only in hydrogenases but also in its molecular complexes.<sup>547,560–570</sup> The same is also true in case Iron porphyrins. Iron porphyrin complexes containing second-sphere distal triazole residues in both organic and aqueous medium display a hydrogen evolu-

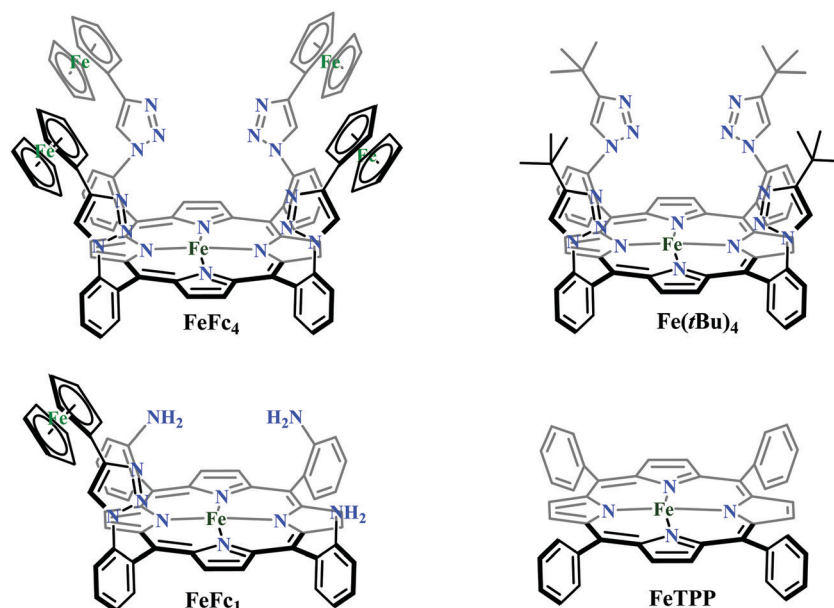
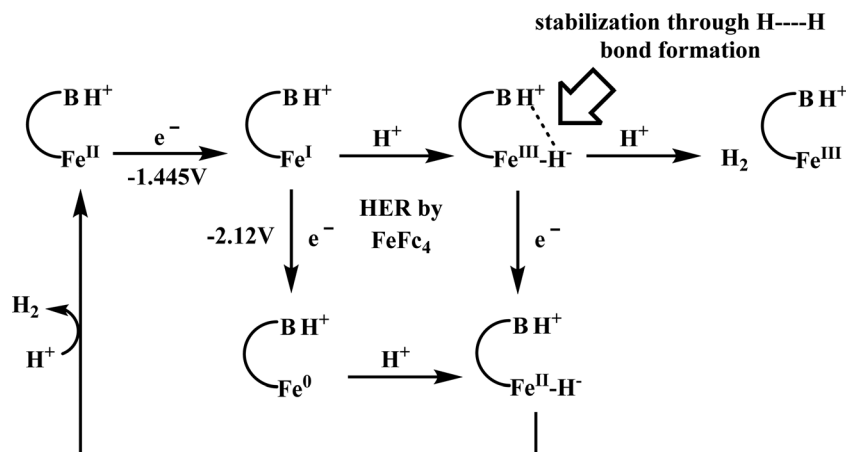


Fig. 38 Structures of Fe porphyrins complexes showing HER.



Scheme 38 Probable mechanism of the HER catalysed by Fe porphyrins containing distal triazole basic residues.

tion reaction (HER) catalyzed by the Fe(I) state whereas an analogous iron porphyrin complex without the distal residues catalyzes the HER in the formal Fe(0) state (Scheme 37).<sup>571</sup>

Three iron porphyrins namely FeFc<sub>4</sub>, FeFc<sub>1</sub>, and Fe(*t*Bu)<sub>4</sub> (where Fc and *t*Bu represent bulky and hydrophobic ferrocene and *tert*-butyl substituents on the triazole ring, respectively, Fig. 38) with second sphere distal triazole residues are reported to show hydrogen evolution reaction in their Fe(I) state in both CH<sub>3</sub>CN medium in presence of TsOH (proton source) and in aqueous buffer solution when the catalyst is physisorbed on EPG and the pH of the buffer solution is below the pK<sub>a</sub> of triazole with the increase in the acid concentration the catalytic current at −1.44 V saturates.<sup>560</sup> While Iron porphyrins without any such secondary structure (FeTPP) can catalyse HER only in their Fe(0) state in both organic and aqueous medium. But with increasing acid concentration the catalytic current increases instead of saturation. The Fe(I) state of FeTPP can catalyse HER under homogeneous condition only in presence of PPh<sub>3</sub>. The distal triazole residues of FeFc<sub>4</sub>, FeFc<sub>1</sub>, and Fe(*t*Bu)<sub>4</sub> complexes gets protonated in presence of TsOH. DFT calculations suggests that upon protonation the Fe(I) center produces an Fe<sup>III</sup>–H<sup>−</sup> species which gets stabilized by these protonated triazoles through dihydrogen bond formation. Thus, only after the protonation of the distal triazole(s) HER can be catalysed by the Fe(I) state. Based on these results it can be concluded that having a pendant base in the second sphere in iron porphyrins provide a thermodynamic advantage by increasing the pK<sub>a</sub> of the metal by enhancing the proton affinity by forming dihydrogen bonding (Scheme 38).<sup>560</sup>

## General conclusion and outlook

The natural systems have evolved to maximize efficiency for a given catalytic transformation and they exhibit high rates without compromising the selectivity. One needs to look deeper than the immediate structural disposition of a naturally occurring enzymatic active site, keeping in mind the function its primed for, to identify additional attributes that allow these metallo enzymes to access the efficiency they display. Often, for the small molecule reductions discussed here, this includes spatial and temporal control of delivery of protons and electrons to the active site. The metalloenzymes discussed here provide abundant examples of how the control over proton and electron transfer is crucial to maintain product selectivity in these transformations. The prevalent approach in developing small molecule catalysts for the activation of CO<sub>2</sub> relies heavily on past accomplishments in organometallic chemistry.<sup>572</sup> While this has resulted in several 2<sup>nd</sup> and 3<sup>rd</sup> row transition metal-based systems with encouraging rates and selectivity, recent developments have highlighted how high rates and selectivities can be accessed by being bio-inspired. Although there are several examples of establishing well-designed proton conduits in the catalysts, electron transfer rates are yet to be controlled to yield tangible advantages to the overall catalysis process.

A thorough understanding of the mechanism of the metalloenzymes along with electronic structure function correlations can

lead to the development of efficient 1<sup>st</sup> row transition metal catalysts for these tasks of contemporary importance. This requires detailed understanding and integration of the 2<sup>nd</sup> sphere effects in the design of the artificial catalysts. This is beautifully demonstrated in hydrogen evolution catalyzed by synthetic mimics and bio-inspired models of hydrogenases where bi-directional catalysis with practicable rates have been demonstrated.<sup>425</sup> Gradually such approaches are increasingly being deployed to handle CO<sub>2</sub> and NO<sub>2</sub><sup>−</sup> reduction. The inclusion of hydrogen bonding residues in CO<sub>2</sub> reduction has resulted not only in facile catalysis but also in much desired selectivity. This is demonstrated in the work by Saveant, Dey, Marinescu, Machan and others. Similarly, while there have been remarkable developments in the understanding of 2<sup>nd</sup> sphere residues in O<sub>2</sub> reduction by iron porphyrins, these effects are yet to be realized in NO<sub>2</sub><sup>−</sup> reduction.<sup>136,329</sup> However, progress has been slow primarily because of the difficulty involved in designing the ligand. The electronic retrosynthesis approach discussed in this review may aid such design.

Selectivity is crucial for controlling the desired product from the reduction of these species. For example, CO<sub>2</sub> can be reduced to CO, HCOOH, H<sub>2</sub>CO, CH<sub>3</sub>OH or CH<sub>4</sub>. Similarly, NO<sub>2</sub><sup>−</sup> can be reduced to NO, HNO, N<sub>2</sub>, N<sub>2</sub>H<sub>4</sub> and N<sub>2</sub>. Logically, selectivity over these processes are desirable. Here too, understanding the mechanism of the metalloenzymes can provide solutions as discussed in this review. Going forward one needs to address means to handle competing reactions. For example, the reduction of proton to hydrogen competes with the reduction of CO<sub>2</sub>. Similarly, the reduction of O<sub>2</sub> competes with the reduction of protons, CO<sub>2</sub> as well as NO<sub>2</sub><sup>−</sup> as the reduction of O<sub>2</sub> is thermodynamically more favourable than the reduction of the rest. While the competition between CO<sub>2</sub> reduction and proton reduction has received some attention, the literature is scanty in the area of O<sub>2</sub> tolerance, with a few rare instances of such selectivity. Yet, practical application of any of these technologies will require maintaining catalytic performance in the presence of O<sub>2</sub> which is abundant in the atmosphere and its removal will only increase the cost of any catalytic process. For instance, direct reduction of CO<sub>2</sub> is severely limited by the cost of capturing CO<sub>2</sub> from atmosphere. Alternatively, if CO<sub>2</sub> present in the flue gas (8–15%) can be reduced, the cost of CO<sub>2</sub> capture can be subverted. This will require the catalyst to be tolerant to O<sub>2</sub>. Unfortunately, the sensitivity to O<sub>2</sub> is a limitation of the natural enzymes as well as many of them are inhibited by O<sub>2</sub> albeit reversibly in some handful cases. Thus, this is an area that will require creative solutions above and beyond what exists and can be learnt from naturally occurring enzymes. The Dey group has established a few examples of O<sub>2</sub> tolerant H<sup>+</sup> and CO<sub>2</sub> reduction catalysts.<sup>145,147,573</sup> Finally, it is fair enough to assume that robust catalysts for these important multi-proton and multi-electron reactions are likely to be based on heterogeneous materials. Thus, a transition of the building knowledge in the area of bio-inspired molecular catalysts to smart materials is warranted.

## Conflicts of interest

There are no conflicts to declare.



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