

## PAPER

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Equivalent circuit models for predicting electrical  
and gas output characteristics of CO<sub>2</sub> electrolysis  
cells†

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This paper proposes an equivalent circuit model that simulates the current–voltage characteristics, faradaic efficiency, and gas flow rates output from the cathode and anode channels for a CO<sub>2</sub> to CO producing electrolysis cell. Considering that the CO<sub>2</sub> flow rate introduced into the cathode channel limits the CO partial current density, this model can predict the output of CO, H<sub>2</sub>, and CO<sub>2</sub> flow rates from the cathode channel. Furthermore, the model also enables the prediction of CO<sub>2</sub> flow rate output from the anode channel by assuming that both the carbonate and hydrogen carbonate ions transfer from the cathode side to the anode side. These simulations are validated using experimental results of the dependence of the electrical and gas output characteristics on the CO<sub>2</sub> flow rate. Our results show that the proposed model based on electrochemical kinetics and ion transfer phenomena can contribute to efficient power supply controllers and auxiliary equipment designs for CO<sub>2</sub> electrolysis systems.

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## Introduction

Global warming has become an urgent issue, and the development of technologies for recycling carbon dioxide (CO<sub>2</sub>), which is a greenhouse gas, has become increasingly important.<sup>1</sup> CO<sub>2</sub> electrolysis technology, which electrochemically converts CO<sub>2</sub> into raw materials for fuels and chemical products using renewable energy sources such as wind and solar power, is a promising technology for promoting the spread of renewable energy and reducing CO<sub>2</sub>.<sup>2–4</sup> Since various chemical compounds including carbon monoxide (CO), formic acid (HCOOH), methane (CH<sub>4</sub>), and ethylene (C<sub>2</sub>H<sub>4</sub>) have been obtained through improved catalyst materials, there has been research into new catalysts from the viewpoint of improving catalytic activity and selectivity.<sup>5–7</sup> In particular, CO has been a major focus of research as it can be used as a chemical raw material and reducing agent, and a selectivity of more than 95% has been achieved.<sup>8–14</sup> In contrast, the low solubility and diffusivity of CO<sub>2</sub> in liquid electrolytes limit the current density of CO<sub>2</sub> electrolysis to a few tens of milliamperes per square centimeter, which is challenging from an economic point of view. By employing a gas diffusion electrode (GDE) that can supply CO<sub>2</sub> close to the catalyst, the current density can be increased to a practical value of more than 1 A cm<sup>−2</sup>.<sup>15–18</sup> Such technological developments for high current density have enabled high-throughput CO<sub>2</sub> processing in smaller CO<sub>2</sub> electrolysis cells (ECs). In addition,

membrane electrode assemblies (MEAs), which are zero gap-type cells with a short distance between electrodes, are being developed to reduce ohmic loss.<sup>19–24</sup>

In order to further improve the performance of CO<sub>2</sub> ECs, it is crucial to optimize the structure of the GDE and cell operating conditions. Since various physical phenomena such as electrochemical reactions, chemical equilibrium reactions, mass transport, and heat transport co-occur in CO<sub>2</sub> ECs, several researchers have been studying models based on multiphysics simulations that take into account multiple physical phenomena and their interactions, and guidelines for designing good CO<sub>2</sub> ECs have been proposed.<sup>25–28</sup> In multiphysics simulations, it is vital that the many parameters are set correctly. However, it is difficult to individually determine with high accuracy the physical properties and nanostructural parameters of each component of the CO<sub>2</sub> EC as well as the behaviors of chemical species such as gases and ions inside the cell to translate these into the many parameters. In addition, the longer time required for precise calculation by multiphysics models may be unsuitable for predicting the performance of scaled-up cells and designing control and operating systems for CO<sub>2</sub> ECs. Therefore, for the design of a pilot-scale CO<sub>2</sub> electrolyzer, Edwards *et al.* proposed a semi-empirical model and demonstrated its ability to accurately predict the performance of the electrolyzer.<sup>29</sup>

In electrochemical devices such as lithium-ion batteries, fuel cells, and water ECs, equivalent circuit models that represent functional descriptions by circuit elements have been constructed and are used to predict device performance.<sup>30–37</sup> This paper proposes a simple equivalent circuit model representing a CO<sub>2</sub> EC producing CO as circuit elements based on

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mathematical equations derived from electrochemical kinetics and ion transfer phenomena as a simulation technique that can predict cell performance with fewer parameters in a shorter calculation time. Furthermore, providing electrical and gas input/output terminals on the circuit elements is expected to enable the prediction of the electrical and gas output characteristics of the cell in response to electric power and CO<sub>2</sub> flow input.

## Equivalent circuit model for CO<sub>2</sub> EC producing CO

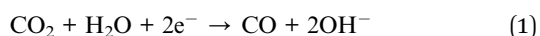
In this section, we describe the operating principles, a mass balance model, and an electrical characteristics model of a CO<sub>2</sub> EC that produces mainly CO along with a small amount of hydrogen (H<sub>2</sub>), and then propose an equivalent circuit model.

### Principle of operation

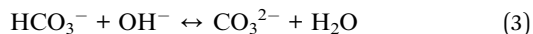
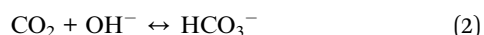
As shown in Fig. 1a, in a zero-gap type CO<sub>2</sub> EC, electrolysis reactions occur at both electrodes when a voltage is applied between the cathode and anode (*i.e.*, when an electric current flows). At the cathode, the primary and side electrolysis reactions produce CO from CO<sub>2</sub> and H<sub>2</sub> from H<sub>2</sub>O, while at the anode, oxygen (O<sub>2</sub>) is produced. In addition, as described below, carbonate ions (CO<sub>3</sub><sup>2-</sup>) and hydrogen carbonate ions (HCO<sub>3</sub><sup>-</sup>) move from the cathode to the anode and react with H<sup>+</sup> to produce CO<sub>2</sub> at the anode.

Fig. 1b schematically illustrates the steps of the CO<sub>2</sub> electrolytic reaction. First, CO<sub>2</sub> and H<sub>2</sub>O introduced into the cathode receive electrons and are decomposed into CO and OH<sup>-</sup>.

Cathode:



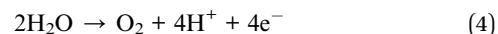
OH<sup>-</sup> reacts with CO<sub>2</sub> to produce a hydrogen carbonate ion, which further reacts with OH<sup>-</sup> to produce carbonate ions. The details of the chemical equilibrium reactions are described in the literature.<sup>25,26</sup>



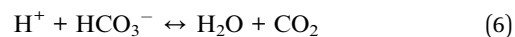
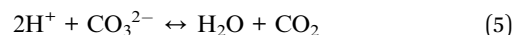
CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> move to the anode through the membrane by drift and diffusion. The solid gray line in Fig. 1b shows an example of the case in which many CO<sub>3</sub><sup>2-</sup> move.

At the anode, H<sub>2</sub>O is decomposed into O<sub>2</sub>, H<sup>+</sup>, and electrons (for acidic oxygen evolution reactions).

Anode:



H<sup>+</sup> reacts with CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> transferred from the cathode and is converted to H<sub>2</sub>O and CO<sub>2</sub>.



Summarizing eqn (1) through (6), the overall reaction of the CO<sub>2</sub> EC is the CO evolution reaction (COER) and O<sub>2</sub> evolution reaction (OER).

Overall reaction:



In a CO<sub>2</sub> EC using an anion exchange membrane, COER proceeds by transferring anions such as CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup> from the cathode to the anode. In this kind of anion transfer type cell, some of the CO<sub>2</sub> input into the cathode is output from the anode (as shown in eqn (5) and (6)).<sup>27,38</sup>

When the CO<sub>2</sub> input flow rate is sufficiently high, the CO<sub>2</sub> reduction reaction shown in eqn (1) is the main reaction. However, when the CO<sub>2</sub> input flow rate is low or when little CO<sub>2</sub> reaches the catalyst because flooding (in which the pores of the gas diffusion layer and catalyst layer become filled with water), the H<sub>2</sub> evolution reaction (HER) can occur as a side reaction.

### Mass balance model

We describe a model for estimating the gas output from the cathode and anode based on the operating principles of the CO<sub>2</sub> EC.

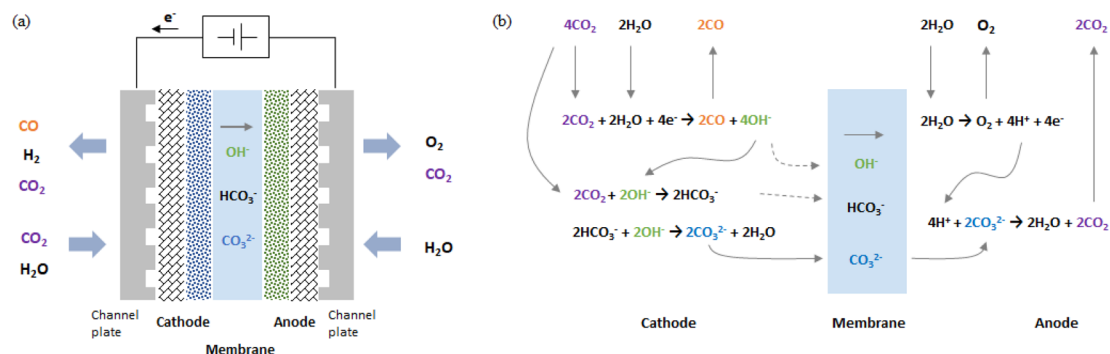


Fig. 1 Schematic drawing of (a) configuration of zero-gap type CO<sub>2</sub> EC producing CO and (b) electrochemical and chemical equilibrium reaction steps.



The CO and H<sub>2</sub> molar flow rates output from the cathode are expressed in terms of the partial current density used for COER and HER.

$$M_{g,\text{cathode,out}} = \frac{J_g}{n_g F} \quad (8)$$

Here  $M$  is the molar flow rate,  $J$  is the current density,  $n$  is the number of reacting electrons,  $F$  is Faraday's constant, and the subscript  $g$  indicates the product gas species CO and H<sub>2</sub>.

In anion transfer type cells, some CO<sub>2</sub> input to the cathode becomes CO<sub>3</sub><sup>2-</sup> or HCO<sub>3</sub><sup>-</sup>, which moves to the anode by drift and diffusion and is converted to CO<sub>2</sub> again. Therefore, the unreacted CO<sub>2</sub> output from the cathode is the CO<sub>2</sub> input to the cathode minus the CO<sub>2</sub> used for COER and the CO<sub>2</sub> output from the anode.

$$M_{\text{CO}_2,\text{cathode,out}} = M_{\text{CO}_2,\text{cathode,in}} - M_{\text{CO}_2,\text{cathode,out}} - M_{\text{CO}_2,\text{anode,out}} \quad (9)$$

In this model, we assume that unreacted CO<sub>2</sub> is quickly discharged. Because CO<sub>2</sub> and CO are equimolar reactions, as shown in eqn (1), the second term on the right side of eqn (9) is expressed using the CO flow rate produced by CO<sub>2</sub> reduction. Since the charges of CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> differ by a factor of two, as shown in eqn (5) and (6), the CO<sub>2</sub> (per reaction electron number) output from the anode depends on the ion species. Several papers have reported that the anions migrating across the membrane in CO<sub>2</sub> ECs with AEM are CO<sub>3</sub><sup>2-</sup>.<sup>21,38,39</sup> However, as discussed later in the Results section, our measured CO<sub>2</sub> EC's characteristics can be explained by considering both CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> migration. Therefore, we propose a model that considers CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> migration by expressing the fraction of CO<sub>3</sub><sup>2-</sup> that transfers from the cathode to the anode as  $G$  (the fraction of HCO<sub>3</sub><sup>-</sup> = 1 -  $G$ ). Using  $G$ , the CO<sub>2</sub> output from the anode can be expressed as

$$M_{\text{CO}_2,\text{anode,out}} = \frac{J_{\text{CO}}}{2F} (2 - G) \quad (10)$$

As in eqn (8), the O<sub>2</sub> output from the anode can be expressed using the current  $J_{\text{O}_2}$  produced by OER. Moreover, if only OER occurs in the anode,  $J_{\text{O}_2}$  is equal to the total current density ( $J_{\text{total}}$ ) and thus can also be expressed as

$$M_{\text{O}_2,\text{anode,out}} = \frac{J_{\text{O}_2 \text{ or total}}}{n_{\text{O}_2} F} \quad (11)$$

A more generalized mass balance model for the case in which the CO<sub>2</sub> reduction reaction produces C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> is described in the ESI.†

### Electrical characteristic model and equivalent circuit model

We consider a model that represents the steady-state current-voltage characteristics of the CO<sub>2</sub> EC using the fewest possible parameters. Combined with the mass balance model above, we then propose a simple equivalent circuit model that can predict electrical and gas output characteristics.

First, the Tafel equation can describe the electrode kinetics equation because the error between the Butler-Volmer equation as the general formula of the electrode kinetics and the Tafel equation is small in the region of high overpotentials (approximately 0.2 V or more). The current due to OER at the anode and the current due to HER in the side reaction at the cathode can be expressed as follows.

OER at anode:

$$J_{\text{O}_2} = J_{0,\text{O}_2} \exp\left(\frac{\ln(10)}{B_{\text{O}_2}} \eta_{\text{O}_2}\right) \quad (12)$$

HER at cathode:

$$J_{\text{H}_2} = J_{0,\text{H}_2} \exp\left(\frac{\ln(10)}{B_{\text{H}_2}} \eta_{\text{H}_2}\right) \quad (13)$$

Here  $J_0$  is the exchange current density,  $B$  is the Tafel slope, and  $\eta$  is the overpotential. We assume that the gas diffusion limit is negligible in the OER and HER.

However, since COER does not occur if the CO<sub>2</sub> input flow rate is zero, the effect of the CO<sub>2</sub> input flow rate needs to be considered for COER such that COER depends on the amount of CO<sub>2</sub> reaching the catalyst, and an equation incorporating the diffusion limit of CO<sub>2</sub> is applied to the Tafel equation.<sup>40</sup> Using the CO limiting current density ( $J_{\text{CO,L}}$ ), which is the maximum current density where COER occurs, the partial current density of COER ( $J_{\text{CO}}$ ) can be expressed as follows.

COER at cathode:

$$J_{\text{CO}} = \frac{J_{0,\text{CO}} \exp\left(\frac{\ln(10)}{B_{\text{CO}}} \eta_{\text{CO}}\right)}{1 + \frac{J_{0,\text{CO}}}{J_{\text{CO,L}}} \exp\left(\frac{\ln(10)}{B_{\text{CO}}} \eta_{\text{CO}}\right)} \quad (14)$$

The maximum flow rate of CO<sub>2</sub> available for COER is the amount of CO<sub>2</sub> input from the cathode minus the CO<sub>2</sub> that moves to the anode. In other words,  $J_{\text{CO,L}}$  is limited by the maximum flow rate of CO<sub>2</sub> available for COER. Using  $G$ , the fraction of CO<sub>3</sub><sup>2-</sup> that transfers from the cathode to the anode, the relationship between  $J_{\text{CO,L}}$  and the CO<sub>2</sub> flow rate input to the cathode can be expressed as

$$J_{\text{CO,L}} = \left(\frac{G+2}{6}\right) n_{\text{CO}} F M_{\text{CO}_2,\text{cathode,in}} \quad (15)$$

In the case of  $J_{\text{CO,L}}$ , the CO<sub>2</sub> flow rate output from the anode can be expressed as

$$M_{\text{CO}_2,\text{anode,out,L}} = \left(\frac{4-G}{6}\right) M_{\text{CO}_2,\text{cathode,in}} \quad (16)$$

A more generalized equation for the case in which the CO<sub>2</sub> reduction reaction produces C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> is described in the ESI.†

Based on COER of the main reaction, the cell voltage ( $V_{\text{cell}}$ ) can be expressed as the sum of the theoretical voltage (the standard electrode potential of OER minus that of COER), the



cathode overpotential  $\eta_{\text{CO}}$  for COER, the anode overpotential  $\eta_{\text{O}_2}$  for OER, and ohmic drops (IR drops).

$$V_{\text{cell}} = U_{\text{O}_2} - U_{\text{CO}} + \eta_{\text{CO}} + \eta_{\text{O}_2} + J_{\text{total}}A(R_{\text{s,cathode}} + R_{\text{s,anode}} + R_{\text{s,membrane}}) \quad (17)$$

Here  $U$  is the standard electrode potential,  $R_{\text{s,cathode}}$ ,  $R_{\text{s,anode}}$ , and  $R_{\text{s,membrane}}$  are the series resistance at the cathode, anode, and membrane, respectively, and  $A$  is the electrode area. These series resistances were assumed to be constant values independent of current density and  $\text{CO}_2$  flow rate. In addition, although it is possible that a potential difference may occur due to a difference in pH between the cathode and anode, the pH was approximated as a constant value in our model. The influence of this approximation is discussed again later in the Results section. Furthermore, a parallel circuit approximation represents the COER for the main reaction and the HER for the side reaction in our equivalent circuit model, as described later. Therefore,  $V_{\text{cell}}$  can also be expressed as follows based on HER.

$$V_{\text{cell}} = U_{\text{O}_2} - U_{\text{H}_2} + \eta_{\text{H}_2} + \eta_{\text{O}_2} + J_{\text{total}}A(R_{\text{s,cathode}} + R_{\text{s,anode}} + R_{\text{s,membrane}}) \quad (18)$$

Here,  $\eta_{\text{H}_2}$  is the cathode overpotential for HER.

Based on the mass balance and electrical characteristics models described above, the equivalent circuit models are shown in Fig. 2. Since eqn (12)–(14) include an exp function and have characteristics similar to those of a diode, these are represented by the symbol for a diode with gas inputs and outputs. The DC power supply imitates the potential shift due to standard electrode potential and pH. In the cathode, when COER (the main reaction) and HER (the side reaction) co-occur, the potentials of COER and HER for the reference electrode are equal. Therefore, they can be represented by a parallel circuit of COER and HER elements, as shown in Fig. 2a. As described later in the Results section, HERs in the low and high current density regions behave differently in the measured  $\text{CO}_2$  EC characteristics. In such cases, we propose a model with two HER elements with different characteristics connected in parallel, as shown in Fig. 2b.

## Experimental

We measured the electrical and gas output characteristics *versus*  $\text{CO}_2$  flow rate in the  $\text{CO}_2$  EC to estimate the equivalent circuit parameters and for model validation.

A schematic drawing of the experimental setup is shown in Fig. 3. An MEA composed of cathode/membrane/anode is sandwiched between titanium flow channel plates. The potentials were measured by touching a Pt pseudo-reference electrode to the membrane on the cathode side. The cell temperature was set to 50 °C, and  $\text{CO}_2$  was supplied to the cathode inlet at flow rates of 2.5, 5, 7.5, and 10 sccm  $\text{cm}^{-2}$  per electrode area.  $\text{CO}_2$  was humidified to suppress precipitation of the anode electrolyte as salts at the cathode. The anode flow channel was fed with 0.1 M  $\text{KHCO}_3$  at a flow rate of 10  $\text{ml min}^{-1}$ . The electrode area (cathode and anode) was 16  $\text{cm}^2$  ( $=4 \text{ cm} \times 4 \text{ cm}$ ), which was a medium-sized area that could be evaluated on a laboratory scale. Au/C was used as the cathode catalyst.<sup>24</sup> The gas diffusion layer (GDL) was commercially available carbon paper with a microporous layer. The electrode area, thickness, and  $\text{CO}_2$  flow rate, which are important parameters affecting cell performance, are described in detail in the ESI.†

After diluting the gas output from the cathode and anode sides with Ar, the flow rate was measured using a volumetric flow meter. Since the mixed gas is output from the cell, highly accurate flow measurement using a volumetric flowmeter is essential. The gas concentration output from the cell was analyzed by gas chromatography.

The cathode potential and anode potential relative to the Pt pseudo-reference electrode ( $V_{\text{c}}$  and  $V_{\text{a}}$ ) and the cell voltage ( $V_{\text{cell}}$ ) were measured for a constant current flow between the cathode and anode. Note that  $V_{\text{a}}$  here includes the IR drop at the membrane. The IR drops were removed from the measured potentials, and the overpotentials  $\eta_{\text{CO}}$ ,  $\eta_{\text{H}_2}$ , and  $\eta_{\text{O}_2}$  were calculated. The output gas flow rate, the partial current densities, and the faradaic efficiencies of each gas were also calculated. Tafel plots were performed in COER, HER, and OER to estimate the Tafel slopes and the exchange current densities. Details of the experimental methods and calculation formulae are described in the ESI.†

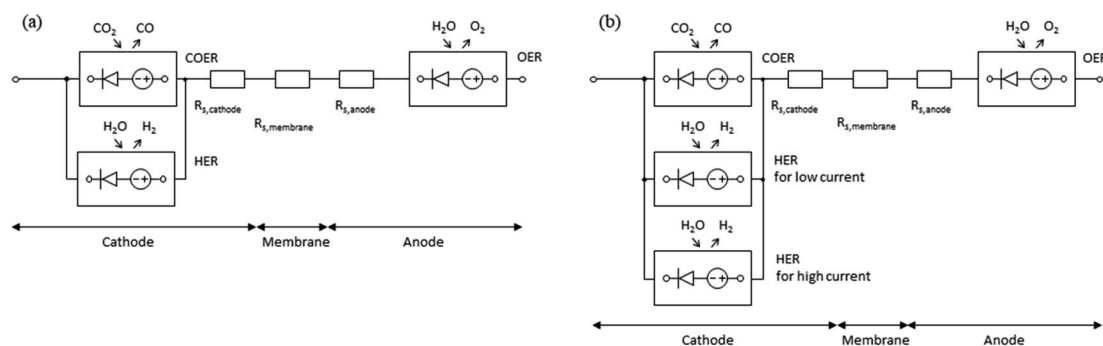


Fig. 2 Equivalent circuit models for  $\text{CO}_2$  EC. (a) Equivalent circuit of the cathode with a parallel connection between a COER element and one HER element. (b) Equivalent circuit of the cathode with a parallel connection between a COER element and two HER elements (low current density and high current density).





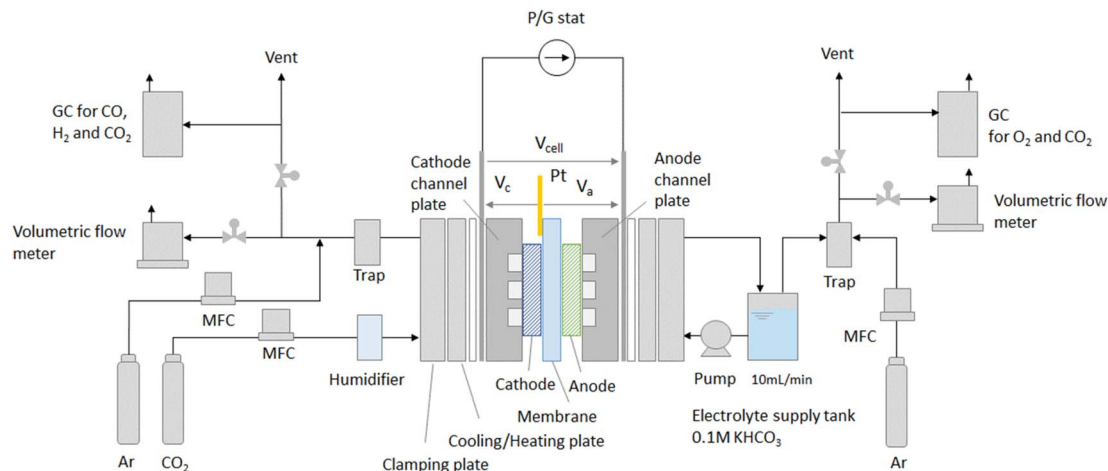


Fig. 3 Schematic drawing of experimental setup for the zero-gap type CO<sub>2</sub> EC performance. The MEA (cathode/membrane/anode) is stacked and clamped with flow channel plates, current collecting plates, insulating plates, cooling/heating plates, and clamping plates.  $V_{\text{cell}}$  is the voltage between the cathode and the anode,  $V_c$  and  $V_a$  are the cathode and anode potentials relative to the Pt pseudo-reference electrode at the cathode side, respectively, and  $V_a$  includes the IR drop of the membrane.

## Results, simulation, and discussion

First, we estimated equivalent circuit parameters, and then examined the model validity by comparing the experimental and simulated data.

### Estimation of equivalent circuit parameters

The following procedure was used to estimate the equivalent circuit parameters.

Step 1. Estimate the CO<sub>3</sub><sup>2-</sup> transfer fraction  $G$ , a key parameter in our model.

Step 2. Estimate the parameters of the Tafel equation from the measured overpotentials.

Step 3. Using the parameters estimated in Step 2 as initial values, re-estimate the parameters by fitting an equivalent circuit model considering the effect of CO<sub>2</sub> flow rate.

Step 1.

As shown in eqn (10) and (15), the originality of our model is that the fraction of CO<sub>3</sub><sup>2-</sup> moving from the cathode to the anode is used to represent the CO<sub>2</sub> flow rate output from the anode and the CO limiting current density  $J_{\text{CO},L}$ . Fig. 4 shows  $J_{\text{CO},L}$  versus CO<sub>2</sub> flow rate. Note that  $J_{\text{CO},L}$  was obtained from the total current density dependence ( $J_{\text{total}}$ ) of  $J_{\text{CO}}$  at varying CO<sub>2</sub> flow rates (see Fig. S3 in the ESI†). In Fig. 4, the theoretical values of  $J_{\text{CO},L}$  for CO<sub>3</sub><sup>2-</sup> ( $G = 1$ ) and HCO<sub>3</sub><sup>-</sup> ( $G = 0$ ) using eqn (15) are shown as dotted and dotted-dashed lines, respectively. The measured  $J_{\text{CO},L}$  is slightly lower than the theoretical value of CO<sub>3</sub><sup>2-</sup>, suggesting that CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> have moved. In addition,  $J_{\text{CO},L}$  exhibited a nonlinear change with respect to the CO<sub>2</sub> flow rate. Several researchers reported a phenomenon in which salts of electrolyte precipitate inside the cathode in the high current density region and inhibit CO<sub>2</sub> diffusion to the catalyst.<sup>41–43</sup> Since this salt precipitation phenomenon accelerates with increasing current density, CO<sub>2</sub> diffusion may be inhibited in the high current density region, resulting in lower

$J_{\text{CO},L}$ . In our model, nonlinear dependence in  $J_{\text{CO},L}$  is disregarded, and  $G$  is obtained by the least-squares method using data from 2.5 to 7.5 sccm cm<sup>-2</sup>.  $G$  was estimated to be 0.74, making the percentages of CO<sub>3</sub><sup>2-</sup> and HCO<sub>3</sub><sup>-</sup> migration about 74% and 26%, respectively. The blue line in Fig. 4, shows the calculated value of  $J_{\text{CO},L}$  using the estimated  $G$ . Note the difference between the model and the actual measurement in the high current density region. In the future, we intend to investigate a model of salt precipitation for the non-linearity of  $J_{\text{CO},L}$ .

Step 2.

Fig. 5 shows Tafel plots (calculated using eqn (S1)–(S6)† with IR correction from  $J_{\text{CO}}$  and  $J_{\text{H}_2}$  versus the cathode potential and  $J_{\text{O}_2}$  versus the anode potential at a CO<sub>2</sub> flow rate of 5 sccm cm<sup>-2</sup> and temperature of 50 °C). In Fig. 5a, the slope of  $\eta_{\text{CO}}$  gradually

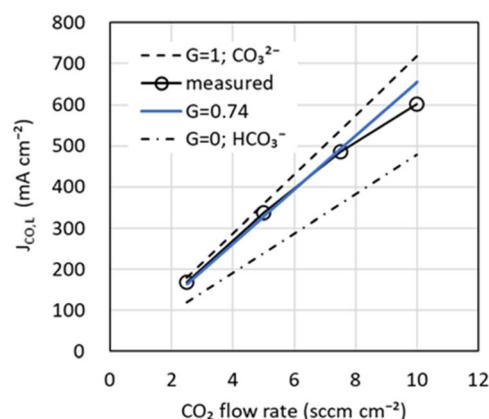


Fig. 4 CO limiting current density versus CO<sub>2</sub> flow rate input from the cathode channel. Markers are experimental values. Dotted, dotted-dashed, and solid blue lines are calculated values for  $G = 1$  (CO<sub>3</sub><sup>2-</sup>),  $G = 0$  (HCO<sub>3</sub><sup>-</sup>), and  $G = 0.74$  (CO<sub>3</sub><sup>2-</sup>; 74%, HCO<sub>3</sub><sup>-</sup>; 26%), respectively.  $G$  is the fraction of CO<sub>3</sub><sup>2-</sup> that transfers from the cathode to the anode.



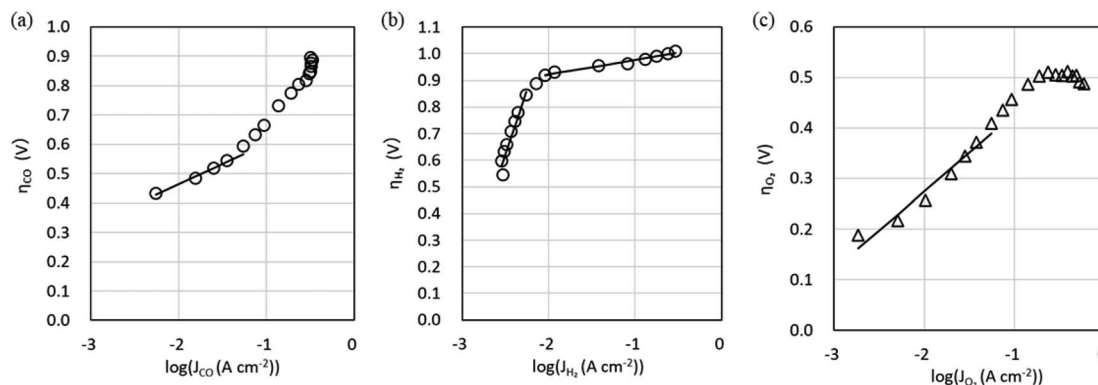


Fig. 5 Tafel plots at a CO<sub>2</sub> flow rate of 5 sccm cm<sup>-2</sup> and cell temperature of 50 °C. (a) CO evolution reaction (COER). (b) H<sub>2</sub> evolution reaction (HER). (c) O<sub>2</sub> evolution reaction (OER).

Table 1 Tafel parameters for CO<sub>2</sub> EC (estimated from Tafel plots)

| Parameter                          | Value                  | Unit                    |
|------------------------------------|------------------------|-------------------------|
| $B_{CO}$                           | 136                    | mV decade <sup>-1</sup> |
| $J_{0,CO}$                         | $3.81 \times 10^{-3}$  | mA cm <sup>-2</sup>     |
| $B_{H_2}$ (high current density)   | 54.4                   | mV decade <sup>-1</sup> |
| $J_{0,H_2}$ (high current density) | $1.16 \times 10^{-16}$ | mA cm <sup>-2</sup>     |
| $B_{H_2}$ (low current density)    | 977                    | mV decade <sup>-1</sup> |
| $J_{0,H_2}$ (low current density)  | $7.34 \times 10^{-1}$  | mA cm <sup>-2</sup>     |
| $B_{O_2}$                          | 154                    | mV decade <sup>-1</sup> |
| $J_{0,O_2}$                        | $1.66 \times 10^{-1}$  | mA cm <sup>-2</sup>     |

increases as  $\log(J_{CO})$  increases. This suggests that the increase in  $J_{CO}$ , or the increase in CO<sub>2</sub> consumption, decreases the CO<sub>2</sub> available for COER, thereby increasing  $\eta_{CO}$ . Therefore, the Tafel slope ( $B$ ) and the exchange current density ( $J_0$ ) were estimated using the data in the low current density region, where CO<sub>2</sub> consumption is small (Table 1). The calculated values are shown by solid lines in Fig. 5a, where the Tafel slope for COER ( $B_{CO}$ ) is 136 mV decade<sup>-1</sup>, which is close to the reported case of COER with a Au catalyst<sup>5,8,44,45</sup>, suggesting that the COER reported here has a similar reaction mechanism in which the CO<sub>2</sub> absorption process is the rate-limiting step.

The overpotential of HER shown in Fig. 5b shows two regions with different slopes in the low and high current density regions. This indicates that two reaction mechanisms exist in the HER, and the Tafel parameters were therefore estimated separately for the two regions (Table 1). The Tafel slope ( $B_{H_2}$ ) in the high current density region was 54 mV decade<sup>-1</sup>, which is close to the Tafel slope of 60 mV decade<sup>-1</sup> of polycrystalline gold.<sup>46</sup> However, the Tafel slope in the low-current region was 977 mV decade<sup>-1</sup>, which is much larger compared with the 60–120 mV decade<sup>-1</sup> reported for single or polycrystalline gold.<sup>46–48</sup> This reaction mechanism is unknown and will be the subject of future work. To describe the behavior of these two HERs, the equivalent circuit model for the simulation described below employs two HER elements with different characteristics (low current density region and high current density region) connected in parallel, as shown in Fig. 2b.

At the OER overpotential  $\eta_{O_2}$  shown in Fig. 5c, the Tafel slope ( $B_{O_2}$ ) estimated using data in the low current density region is 154 mV decade<sup>-1</sup>, which is larger than the value of 40–70 mV decade<sup>-1</sup> reported for Ir-based catalysts.<sup>49–52</sup> Nonlinear behavior is also exhibited where the slope increases with increasing current density, indicating that modeling by the Tafel equation is somewhat inappropriate. This behavior can be attributed to the difference in OH<sup>-</sup> concentration between the cathode and anode sides, that is, the effect of the potential difference due to the pH difference. Furthermore, the Pt pseudo-reference electrode is in tight contact with the cathode side of the membrane because the emphasis is on measuring the electrical properties of the cathode. Therefore, the measured anode potential includes the OER overpotential and potential change due to the pH difference between the cathode and anode side. If the pH difference increases with increasing current density, resulting in an increased potential difference between the cathode and anode, the  $B_{O_2}$  may be slightly overestimated. Because it is not easy to measure the pH inside the cell, we have adopted an approximate model where pH is a constant value. It should be noted that the OER parameters in our equivalent circuit model include the effect of the pH difference and the activity of the anode catalyst.

### Step 3.

The characteristics of the COER element are described by eqn (14) and (15), which take into account the effect of CO<sub>2</sub> flow rate, and the Tafel parameters were re-estimated by fitting using the equivalent circuit model in Fig. 2b. Simscape Electrical and Simulink (MathWorks®) were used as the circuit simulator and parameter estimator. The values from Step 2 (Table 1) were used as the initial values for the fitting. Fig. 6 shows the relationship between the cathode potential  $V_c$  and  $J_{CO}$  and  $J_{H_2}$  and the relationship between the anode potential  $V_a$  and  $J_{O_2}$ . Both  $V_c$  and  $V_a$  include the IR drops. Theoretical values such as the standard electrode potential of COER and the reference electrode potentials for the standard hydrogen electrode and pH approximated by constant values were excluded from the fitting parameters as fixed values (Table 2). Parameters were estimated by the least-squares method so that the difference between the experimental data indicated by the markers and the simulated data, indicated by the dotted lines in Fig. 6, would be small (Table 2).



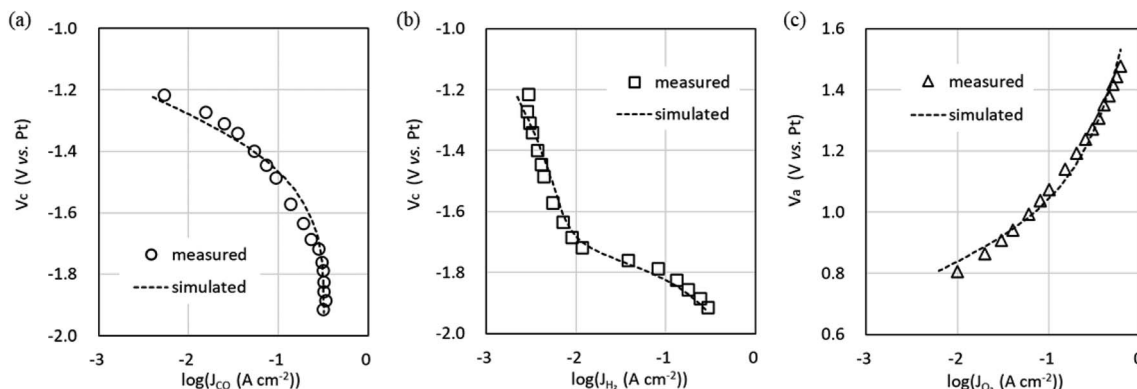


Fig. 6 Measured cathode potential  $V_c$  and anode potential  $V_a$  relative to the Pt pseudo-reference electrode and simulation using the equivalent circuit model in Fig. 2b. (a) CO evolution reaction (COER). (b) H<sub>2</sub> evolution reaction (HER). (c) O<sub>2</sub> evolution reaction (OER).

Table 2 Fixed parameters (cell temperature, 50 °C)

| Parameter                          | Value  | Unit      |
|------------------------------------|--------|-----------|
| $U_{CO}$                           | -0.114 | V vs. SHE |
| $U_{H_2}$                          | 0      | V vs. SHE |
| $U_{O_2}$                          | 1.20   | V vs. SHE |
| pH                                 | 7.8    | —         |
| $V_{0,Pt}^a$                       | 0.167  | V         |
| $R_{s, cathode}^b$                 | 25     | mΩ        |
| $R_{s, anode} + R_{s, membrane}^b$ | 47     | mΩ        |

<sup>a</sup> Details are shown in the ESI. <sup>b</sup> Measured by current interrupt method.

Fig. 7 shows the experimental data (markers) for the  $J_{total}$  dependence of faradaic efficiency (FE) and  $V_{cell}$ ,  $V_c$ , and  $V_a$  and the simulation data (dotted lines) using the parameters in Tables 2 and 3. It can be seen that the simulation reproduces well the shape of the  $J_{total}$  dependence of FE in the experiment. However, there is a slight difference between the experimental and simulated data in  $V_{cell}$ , which may be because constant values approximate pH and series resistances ( $R_s$ s) in our

model. Since measuring the current dependence of pH and each  $R_s$  separately is difficult, we improve the equivalent circuit model based on multiphysics analysis.

### Simulation using equivalent circuit model

The CO output from the CO<sub>2</sub> EC is intended to be used as feedstock for the chemical synthesis process at the latter stage of the CO<sub>2</sub> EC. Since the composition of the feedstock gases is helpful information in the chemical synthesis process, predicting the gas composition output from the CO<sub>2</sub> EC, that is, the flow rate of each gas, is vital in the system design. The equivalent circuit simulations were performed by varying the CO<sub>2</sub> flow rate to predict the flow rate of each gas output from the CO<sub>2</sub> EC.

Fig. 8 shows the  $J_{total}$  dependence of gas flow rate output from the cathode for four CO<sub>2</sub> input flow conditions. The values listed in Tables 2 and 3 (determined from the experimental data at a CO<sub>2</sub> flow rate of 5 sccm cm<sup>-2</sup>) and  $G = 0.74$  were used for the simulation. It can be seen that the CO<sub>2</sub> output flow rate decreases (Fig. 8a) and the CO flow rate increases with increasing  $J_{total}$  (Fig. 8b). It can also be seen that the CO flow rate saturates and the H<sub>2</sub> flow rate of the sub-reaction starts (Fig. 8c)

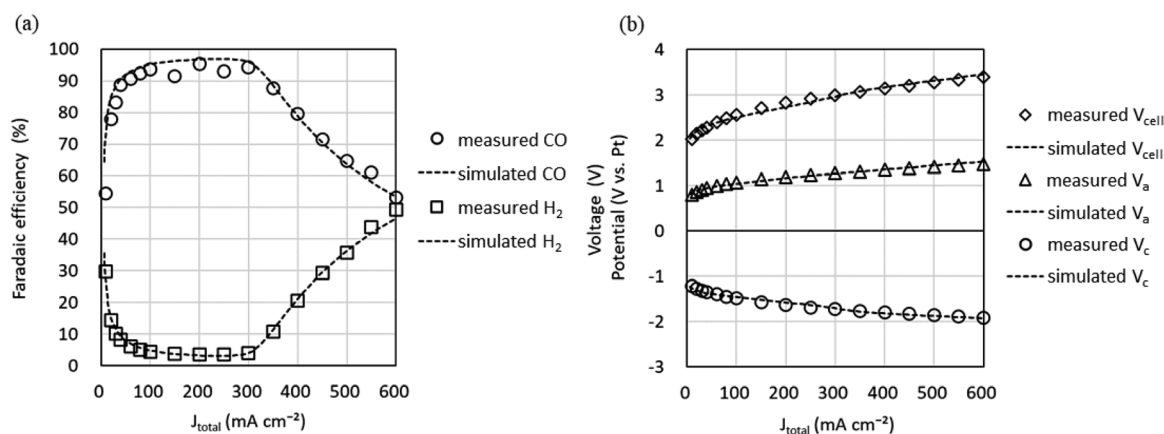


Fig. 7 CO<sub>2</sub> EC performance versus total current density ( $J_{total}$ ). (a) Faradaic efficiencies of CO and H<sub>2</sub>. (b) Cell voltage ( $V_{cell}$ ), anode potential ( $V_a$ ), and cathode potential ( $V_c$ ) (CO<sub>2</sub> flow rate, 5 sccm cm<sup>-2</sup>; cell temperature, 50 °C). Markers show measured values and dotted lines show simulated values.

**Table 3** Tafel parameters for CO<sub>2</sub> EC (estimated by fitting using the equivalent circuit model. The cell temperature of 50 °C)

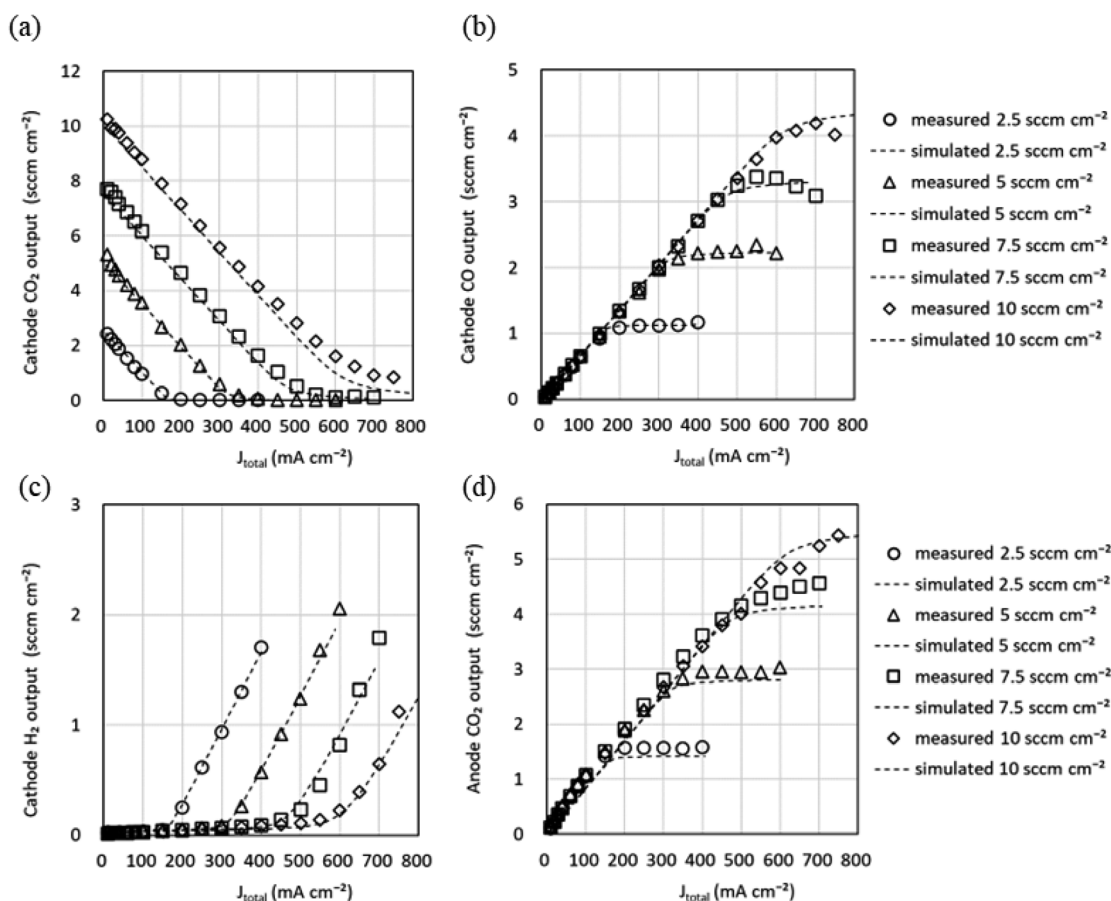
| Parameter                          | Value                  | Unit                    |
|------------------------------------|------------------------|-------------------------|
| $B_{CO}$                           | 132                    | mV decade <sup>-1</sup> |
| $J_{0,CO}$                         | $1.84 \times 10^{-3}$  | mA cm <sup>-2</sup>     |
| $B_{H_2}$ (high current density)   | 51.2                   | mV decade <sup>-1</sup> |
| $J_{0,H_2}$ (high current density) | $6.13 \times 10^{-19}$ | mA cm <sup>-2</sup>     |
| $B_{H_2}$ (low current density)    | 587                    | mV decade <sup>-1</sup> |
| $J_{0,H_2}$ (low current density)  | $2.16 \times 10^{-1}$  | mA cm <sup>-2</sup>     |
| $B_{O_2}$                          | 138                    | mV decade <sup>-1</sup> |
| $J_{0,O_2}$                        | $8.06 \times 10^{-2}$  | mA cm <sup>-2</sup>     |

when the CO<sub>2</sub> is used up. In addition, the simulation reproduces well this behavior of the experiment. The simulation of the CO<sub>2</sub> flow rate output from the anode reproduces the shape of the experiment well, as shown in Fig. 8d. The simulations of faradaic efficiency and cell voltage at 2.5, 7.5, and 10 sccm cm<sup>-2</sup> using parameter values determined at a CO<sub>2</sub> flow rate of 5 sccm cm<sup>-2</sup> also reproduced the shape of the experimental data well (Fig. S5 in the ESI†). The computation time for these equivalent circuit simulations was short, requiring only a few minutes. Thus, the equivalent circuit model can be used to predict each

gas flow rate output from the cathode and anode and the electrical characteristics of the CO<sub>2</sub> EC in a short time when the CO<sub>2</sub> flow rate input to the cathode is varied. Therefore, the equivalent circuit model can be used to predict the output characteristics of the CO<sub>2</sub> EC.

## Conclusions

We proposed an equivalent circuit model for predicting the electrical and gas output characteristics of CO<sub>2</sub> electrolysis cells (ECs). In this model, an element representing CO evolution reaction (COER) that takes into account the CO limiting current density (the maximum CO partial current density where COER occurs) and an element representing H<sub>2</sub> evolution reaction (HER) are connected in parallel based on formulae derived from the electrode kinetics and ion transfer phenomenon, and this parallel circuit is connected in series with an element representing O<sub>2</sub> evolution reaction (OER) and the series resistances of the cathode, anode, and membrane. We confirmed that simulations using our equivalent circuit model reproduce well the experimental results. Auxiliary equipment such as an electric power supply system, gas and liquid supply system, chemical product storage system, and cooling system are needed to



**Fig. 8** Gas flow rate output from the cathode and anode channels versus total current density ( $J_{total}$ ) for four CO<sub>2</sub> input flow conditions. (a) CO<sub>2</sub>, (b) CO, and (c) H<sub>2</sub> are output from the cathode channel. (d) O<sub>2</sub> is output from the anode channel. Experimental data are shown as markers and simulation data using the values listed in Tables 2 and 3 and  $G = 0.74$  as dotted lines.





operate the CO<sub>2</sub> EC. The proposed model is highly compatible with the equivalent circuit models of the electrical, mass transport, and thermal systems of auxiliary equipment, and is useful for designing the auxiliary equipment.

However, in our model, the pH difference between the cathode and anode side and the phenomenon whereby the membrane resistance depends on current density are imposed on the OER characteristics of the anode, which simplifies the behavior inside the cell. A multiphysics simulation is suitable for predicting this kind of cell internal behavior. It is desirable to improve the accuracy of the formulae of the equivalent circuit model based on the multiphysics model and build a model that more correctly represents cell behavior. The development of components such as electrocatalysts, electrodes, and membranes, understanding of cell behavior by the multiphysics model, and rapid system design by the equivalent circuit model are expected to contribute to faster practical application of CO<sub>2</sub> electrolysis systems.

## Author contributions

Y. Kudo conducted the modeling and performed the experiments. A. Ono assisted in the design of the automatic measuring equipment and the flow channel. S. Mikoshiba provided input on chemical equilibrium reactions. Y. Kudo wrote the manuscript with contributions and revisions from R. Kitagawa. All authors have read and agreed to the manuscript.

## Conflicts of interest

There are no conflicts to declare.

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