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Phase-shift nanodroplets as an emerging sonoresponsive nanomaterial for imaging and drug delivery applications

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Nanodroplets – emerging phase-changing sonoresponsive materials – have attracted substantial attention in biomedical applications for both tumour imaging and therapeutic purposes due to their unique response to ultrasound. As ultrasound is applied at different frequencies and powers, nanodroplets have been shown to cavitate by the process of acoustic droplet vapourisation (ADV), causing the development of mechanical forces which promote sonoporation through cellular membranes. This allows drugs to be delivered efficiently into deeper tissues where tumours are located. Recent reviews on nanodroplets are mostly focused on the mechanism of cavitation and their applications in biomedical fields. However, the chemistry of the nanodroplet components has not been discussed or reviewed yet. In this review, the commonly used materials and preparation methods of nanodroplets are summarised. More importantly, this review provides examples of variable chemistry components in nanodroplets which link them to their efficiency as ultrasound-multimodal imaging agents to image and monitor drug delivery. Finally, the drawbacks of current research, future development, and future direction of nanodroplets are discussed.

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1. Introduction

During the last few years, ultrasound technologies have evolved in biomedical applications through imaging and therapeutic areas. These technologies are non-invasive and free of ionising radiation, and can be operated as theranostics. Ultrasound is used in both preclinical and clinical research for anatomical imaging, as well as exerting mechanical forces that lead to either the increase of temperature or cavitation that results in changes in cell membranes while allowing penetration into deep tissue regions. The recent combination of MRI and high intensity focused ultrasound (HIFU) has led to specific site cell ablation in tumour tissues from numerous studies.¹ However, reports of toxicities related to high temperature exposures have hindered the clinical transition. HIFU can induce local hyperthermia that can help drugs to reach tumour regions.² However, remarkably, amplified by ultrasound contrast agents (UCAs), also known as microbubbles and recently phase change nanodroplets (PCNDs), ultrasound-mediated drug delivery through cavitation has drawn substantial attention for clinical applications, where physiological and

structural tissue barriers significantly limit the delivery of therapeutic agents to disease sites.

Ultrasound has been a valuable tool for diagnostic and therapeutic applications for more than 60 years.³ As a mechanical wave, ultrasound will generate cycles of alternating acoustic pressure when it propagates through body tissues and leads to the change of pressure *in situ*.⁴ In order to meet clinical requirements, ultrasound contrast agents (UCAs) were developed to enhance ultrasound signals and diagnostics. UCAs possess great clinical values, as they can produce various distinctive imaging and drug delivery characteristics. UCAs can oscillate under ultrasound with low amplitude and produce an acoustic signal, whereas strong oscillation creates shear forces that may lead to poration of nearby cell membranes, *i.e.*, sonoporation. Apart from diagnostics, ultrasound also shows promising potential in therapeutic applications, *e.g.* sonodynamic treatment (SDT). SDT requires two essential components: ultrasound and sonosensitiser molecules. The mechanism of SDT will be introduced later in this review. Sonosensitising nanoparticles could act as cavitation nuclei, including liposomes, micro-nanobubbles, nanodroplets, and metal and metal oxide nanoparticles.⁵ Metallic and inorganic nanoconstructs such as gold,⁶ titanium dioxide (TiO₂),⁷ magnetite (Fe₃O₄)⁸ and porous silicon nanoparticles⁹ are believed to be promising sonosensitisers for future anticancer therapy. Their enormous surface area can be modified with functional

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groups for various therapeutic applications and their submicrometer size allows them to penetrate deeply into tissues and be taken up efficiently by cells.⁶ SDT might be useful for nanodroplet applications. However, this review will focus on the development of phase changing nanodroplets. Other nanoparticles used in SDT can be further referred from a review published by Canavese *et al.*⁵

Traditional UCAs are gas-filled microparticles with acoustic properties, *i.e.*, the ability to produce echogenicity from acoustic exposures, widely known as microbubbles.¹⁰ The first generation of microbubbles was Alunex®, an echo-enhancer with an air core and a shell constructed with protein albumin. Highly echogenic contrast agents can majorly amplify ultrasound signals even in a low contrast medium, such as blood.¹¹ However, microbubbles have several disadvantages which restrict their application in the clinic. The size of microbubbles is around 1–10 μm , which confines their distribution in the vascular space, and limits the *in vivo* circulation time to a few minutes as they are rapidly cleared *via* the liver.¹² To overcome these problems, nanodroplets have been developed in the last two decades.¹² When compared to microbubbles, nanodroplets have advantages which make them more desirable for clinical application.¹³

Nanodroplets are composed of a stabilising shell and a perfluorocarbon core. The core remains liquid at body temperature but vaporises into microbubbles under ultrasound. PCNDs can enhance the extravasation of therapeutic agents into a target tissue site under ultrasound-induced ADV and subsequent acoustic cavitation.¹⁴ To further understand the mechanism of ADV, the vapour pressure equilibrium between the liquid and gas phases in the core region of PCNDs is an aspect to be observed and characterised. Vapourisation takes place when the vapour pressure in the liquid phase of volatile liquids such as perfluorocarbons is increased above the surrounding gas phase pressure. What ultrasound does is that it can reduce the pressure surrounding PCNDs below the vapour pressure of the liquid perfluorocarbons encapsulated in the core region of the PCNDs. This results in liquid perfluorocarbon vapourisation, leading to the generation of microbubbles.¹⁵ Nanodroplets are 10-fold smaller than microbubbles and able to pass through endothelial gaps and accumulate in the tumour site or lesions. The *in vivo* dwell time of nanodroplets is also prolonged for up to 4–5 hours, which offers potential to better target cancers.⁷ Besides, the selection of the perfluorocarbon core offers nanodroplets ultrasound-responsive tuneable properties and high precision.^{13,16,17}



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Dr Thanou has achieved research funding over £2.5 M during the last few years. She is the author of 80 peer reviewed papers and chapters. She is the editor of the RSC book Theranostics and Image Guided Drug Delivery. Her work has been selected by King's Commercialisation Institute as one of the best 6 projects in the institute. She is the key inventor of 9 patents, all in the area of formulation and drug delivery. She is the co-founder of AJMMed-i-caps, a start-up developing combination technologies (electronics and nanoparticles) for early colorectal cancer detection, and Apeikon Therapeutics, which develops image guided thermo-responsive nanoparticles for cancer drug delivery. She chairs the BBSRC LIDo Impact Industry Internships 3I committee. She is the science communicator of the Microwave Hyperthermia (MyWave) European Network. In 2019 she became Industry Trustee and a management committee member for the British Society for Nanomedicine.

The pioneering work on nanodroplets was initiated by Apfel through the design of perfluorocarbon droplets that can vaporise into microbubbles under ultrasound irradiation.¹⁸ Nanodroplets started to gain more attention and the number of publications has been increasing each year (Fig. 1). PCNDs can be combined with ultrasound technologies to produce local cavitation that can be used for contrast enhancement, tumour ablation, antivasular therapy and release of therapeutic agents loaded in nanodroplets.¹⁹ Different applications of PCNDs are achieved by adjusting the ultrasound parameters.²⁰ Stable or inertial cavitation can be achieved depending on the intensity, amplitude and frequency of the ultrasound wave.³ At low frequencies and low intensities, stable cavitation could produce strong echoes for imaging, but PCNDs need different ultrasound frequencies for vaporisation and imaging.²¹ Microstreaming produced during stable cavitation could also temporarily enhance the permeability of physiological barriers such as BBB (blood-brain barrier) and endothelium.²² Higher amplitude and lower frequency can be used for therapy application encompassing sonoporation and sonodynamic therapy.^{22,23} At high intensity, including HIFU (high intensity focused ultrasound), PCNDs can also be used for histotripsy and tumour ablation.²¹ The choice of ultrasound frequency and intensity settings used in previous studies for both diagnosis and therapy is summarised in Loskutova's review.²¹

Although there are no clinically approved nanodroplets in the market, there are several clinically approved microbubbles used in a wide variety of biomedical applications.²⁵ PCNDs' clinical prospect looks promising as they have a similar ability of contrast enhancement to that of microbubbles but they are superior to microbubbles. However, the drawback of nanodroplets is that they cannot be imaged before being acoustically activated by ultrasound.²⁶ To solve this problem, multimodal imaging nanodroplets can be developed by incorporating different imaging probes, for example, fluorescence imaging, magnetic resonance imaging (MRI) and positron emission tomography (PET).¹³ In summary, these formulations are gaining attention as the amount of research increases which will push the clinical and commercial translation of this novel transformable nanoparticle.

This review aims to present the development of PCNDs for imaging and therapy purposes, focusing on chemical compositions and characteristics. Although an important topic, the chemistry of the components of these nanodroplets has not been discussed or reviewed yet. Therefore, we have provided examples of various chemical characteristics of nanodroplets while linking them with their efficiency as ultrasound/multimodal imaging agents as well as cavitation mediators. Cavitation can promote drug delivery through sonoporation (stable cavitation) or through jetting (inertial cavitation). The nanodroplet composition could be a strong attribute to this effect.

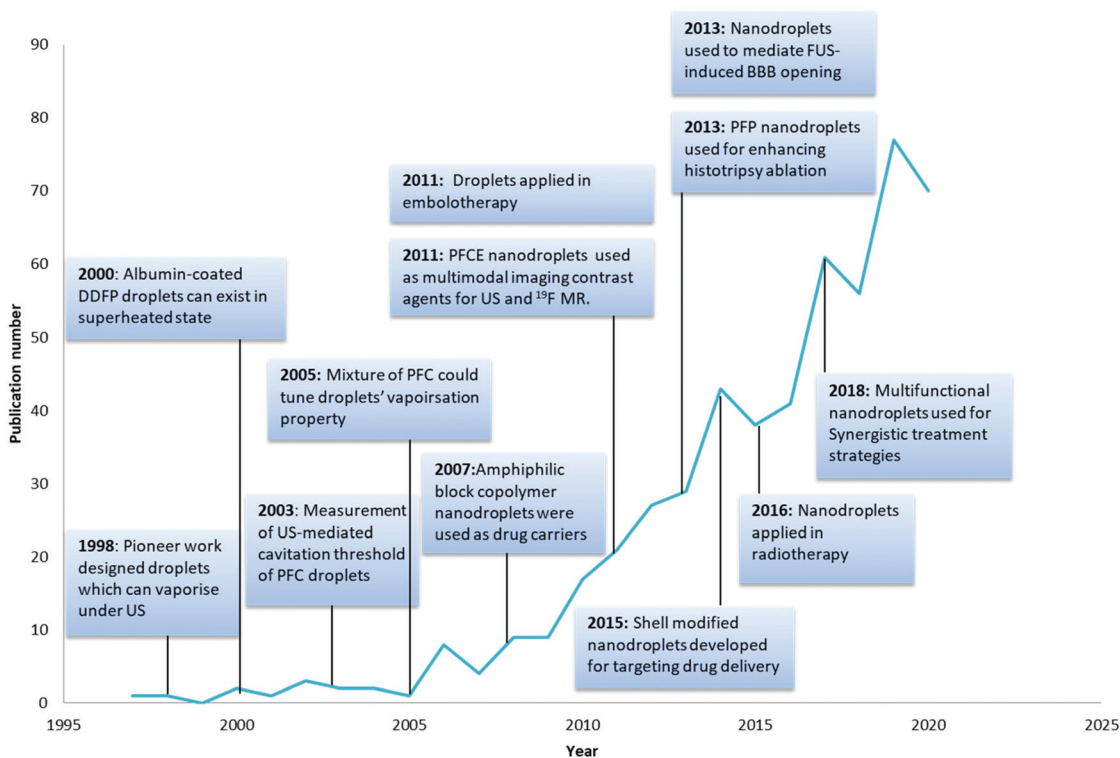


Fig. 1 Milestones in the development history of nanodroplets^{18,27–35} and number of publications related per year (search from the web of science Clarivate Analytics using keywords: perfluorocarbon nanodroplets, perfluorocarbon droplets, phase change nanodroplets and phase-change contrast agents).

2. Chemical composition of nanodroplets

Nanodroplets are composed of two parts: an encapsulation shell and core filled with liquid perfluorocarbon (PFC). The formulation is critical as it will influence the properties of the nanodroplets. The shell must be designed to maintain droplets' shape and original diameter after intravenous injections, as well as being able to expand into bubbles upon ADV.¹⁰ The actual surface tension of nanodroplets is largely dependent on the state of the shell. The low-boiling point perfluorocarbons can remain liquid as a superheated state at physiological temperatures due to Laplace pressure provided by the shell.³ Laplace pressure is a force generated by the surface tension at the interface of the shell and PFC core. Therefore, nanodroplets remain stable *in vivo* until sufficient acoustic energy is induced to promote vaporisation through ADV¹³ (Fig. 2).

Different type of perfluorocarbons could also influence the vapourisation property and thermal stability of droplets.³⁶ Optimising the perfluorocarbon core could develop more precisely tuneable droplets with maximum performance in each application.²⁸ The property of the shell and core which influence the nanodroplet characteristics will be discussed later. Apart from the shell and core, drug encapsulation or/and droplet decoration (*e.g.*, imaging probe, targeting ligand) might be performed inside the liquid perfluorocarbon core, embedded in the shell or attached to the shell surface depend-

ing on the molecular weight and lipophilicity. These substances could also influence the acoustic property of nanodroplets.

2.1 Phase change nanodroplet shell composition

The choice of nanodroplets' shell is based on the criterion to find a balance between mechanical resistance to provide enough Laplace pressure and compliance to enable large deformation during ADV (Fig. 2).¹³ Lacour *et al.* built a mathematical model to study the influence of hyperelastic shells on droplets' acoustic properties.³⁸ They concluded that the most favourable droplets' shell elastic properties correspond to soft materials, with a low value of shear modulus (G) and high non-linearity (β). G is the rheological property related to a material's response to shear stress; nonlinearity is significant as it corresponds to the large deformation of the shell.³⁸ Currently, commonly used shell materials include surfactants, albumin, lipids, and synthetic polymers¹³ (Table 1). Among all the studies, lipids and polymers are the most popular material to form the droplets' shell. Lipids and fluorinated surfactants are considered as soft-shell materials whereas polymers and proteins are considered as hard-shell materials.³⁹ The advantages and disadvantages of each material are listed in Table 2.

Albumin is a pioneer shell used in both microbubble and nanodroplet formulation. It has been used extensively in fabricating droplets due to its ability to stabilise the surface of the droplets.²⁷ This material could thicken at the droplet state and thin to form ideal bubble shells upon vaporisation.⁴⁰ Most albumin-coated nanodroplets were prepared using sonication.²⁵ Among these studies, the albumin commonly used is bovine serum albumin (BSA)^{41,42} and denatured BSA.²⁴ The albumin shell can also be modified. For example, Chang *et al.* loaded sonosensitizer IR780 iodine through hydrophobic interactions with albumin;²⁴ the surface of the shell could also be functionalised for the molecular targeting of specific biological targets.⁴³

Surfactants have been explored to form stable microbubbles, but for nanodroplets, fluorosurfactants appear to be more favourable.^{13,39} Although perfluorocarbon and alkane emulsifiers are both hydrophobic, surfactants still exhibit a very low affinity for perfluorocarbons.⁴⁴ Therefore, droplets fabricated with normal surfactants are not very stable.⁴⁵ To solve this stability issue, the approach is to replace the lipophilic hydrocarbon part of the emulsifier with a fluoro-philic perfluorocarbon part to make a fluorinated surfactant.⁴⁴ For example, a commercially available fluorosurfactant-Zonyl® (surface tension at 20 °C 16–23 mN m⁻¹) has been used in a few studies.^{46,47} A low surface tension fluorosurfactant could provide appropriate stabilisation for droplets against coalescence phenomena.³⁹

Lipids are frequently used in biodegradable and biocompatible nanoparticle formulations. Lipid-based nanoparticles such as liposomes are one of the most useful carriers used for biomedical imaging and drug delivery because different mixtures can be easily formulated and modified in lipid-coated particles.^{25,48} Lipids are successfully adopted in the fabrication

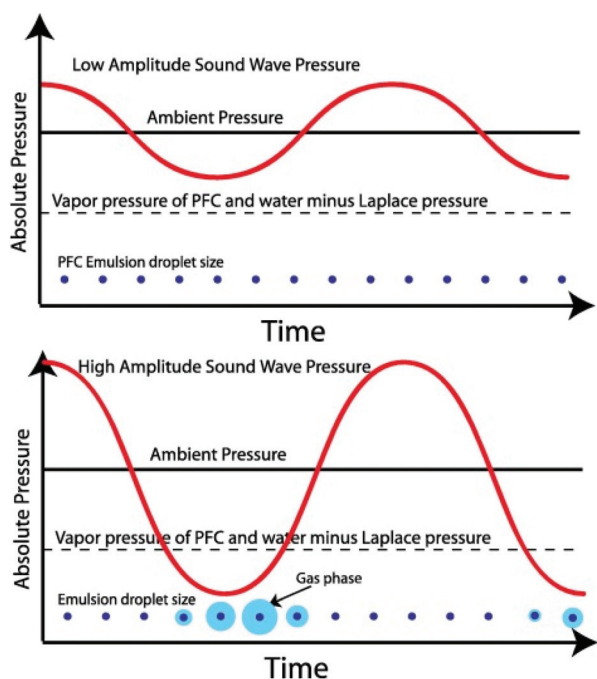


Fig. 2 Schematic of an ultrasonic acoustic wave in a perfluorocarbon emulsion. The graphs show emulsion droplets (not to scale) that only vaporise into the gas phase when the acoustic pressure is below the Laplace pressure³⁷ (this figure has been reproduced from ref. 37 with permission from Elsevier, copyright 2014).

Table 1 Commonly used shell materials in nanodroplets

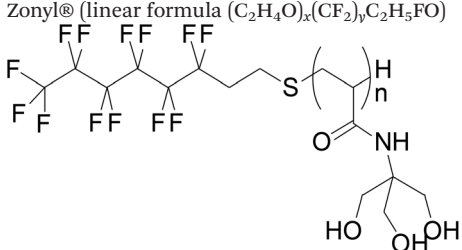
| Shell type | Material | References |
|------------|---|---|
| Protein | Albumin | Zhang <i>et al.</i> ⁶⁴ Kripfgans <i>et al.</i> ²⁷ Giesecke <i>et al.</i> ⁴⁰ |
| Polymer | PLGA: poly (lactic-co-glycolic acid) PCL: polycaprolactone Chitosan PLA: poly (lactic acid) PDA: polydopamine | Pisani <i>et al.</i> ⁶⁵ Astafyeva <i>et al.</i> ⁶⁶ Rapoport <i>et al.</i> ⁶⁷ Ji <i>et al.</i> ⁶⁸ Magnetto <i>et al.</i> ⁶⁹ Wei <i>et al.</i> ⁷⁰ Mannaris <i>et al.</i> ⁵⁸ |
| Lipids | DPPC (1,2-dipalmitoyl- <i>sn</i> -glycero-3-phosphocholine) DPPA (1,2-dipalmitoyl- <i>sn</i> -glycero-3-phosphate monosodium salt) DSPC (1,2-distearoyl- <i>sn</i> -glycero-3-phosphocholine) DSPE-PEG ²⁰⁰⁰ (1,2-distearoyl- <i>sn</i> -glycero-3-phosphoethanolamine- <i>N</i> -methoxy(polyethyleneglycol)-2000) Cholesterol Lecithin | Zhang <i>et al.</i> ⁶⁴ Zhang <i>et al.</i> ⁶⁴ Zhang <i>et al.</i> ⁶⁴ Sheeran <i>et al.</i> ⁷¹ Yarmoska <i>et al.</i> ⁵⁴ Sheeran <i>et al.</i> ⁷¹ Yarmoska <i>et al.</i> ⁵⁴ Schad <i>et al.</i> ⁴⁹ Schad <i>et al.</i> ⁴⁹ |
| Surfactant | Zonyl® (linear formula (C ₂ H ₄ O) _x (CF ₂) _y C ₂ H ₅ FO)  | Williams <i>et al.</i> ⁴⁷ Astafyeva <i>et al.</i> ⁴⁴ |

Table 2 Advantages and disadvantages of different shell materials^{50,57}

| Material | Advantages | Disadvantages |
|-------------|--|--|
| Albumin | Easy preparation method | More rigid than other materials |
| Surfactants | Fluoro-surfactants could provide appropriate stabilisation for droplets against coalescence phenomena | Alkane surfactants show a low affinity for perfluorocarbons May require considerable peak negative pressures to induce vaporisation |
| Lipids | Different mixtures can be easily formulated and modified in lipid shells | A hydrophobic mismatch between the lipids may inevitably lead to lateral phase separation |
| Polymers | The polymer could facilitate the loading of drugs with high loading efficiency in the shell Polymer nanodroplets possess a high surface to volume ratio so the rate of adsorption (on what?) is enhanced, and the kinetics of the reaction is accelerated Polymer shell could act as an effective tool for targeting infections and wounds | Upon vaporisation, bubbles formed are highly unstable due to the presence of gas molecules within the polymer shell Estimation of long-term shelf-life is difficult. Defects in the outer shell may result in the leaking of perfluorocarbon before reaching the target site Acoustic threshold is higher than lipids or surfactants formulated nanodroplets |

of ultrasound-responsive droplets due to their elasticity.^{28,49} One major advantage of the lipid shell is it has good mechanical flexibility which contributes to its ability to expand and collapse repeatedly.⁵⁰ Such properties ensure that the PCND is stabilised against dissolution and coalescence.¹³ Most of the studies considered lipids to form a monolayer shell on nanodroplets. Chattaraj *et al.* used Transmission Electron Microscopy (TEM) to examine the shell property of nanodroplets, and the image shows a uniform texture of lipid monolayer (Fig. 3a).⁵¹ However, Mountford *et al.* showed microscopy evidence that the nanodroplets prepared using microbubble condensation may have one or more bilayer lamella structures

(Fig. 3b).⁵² They propose that the lipid monolayer could fold into bilayer folds upon compression or self-healing, and bilayer folds can then deform into the monolayer upon expansion (Fig. 3c).⁵³ The state of lipids on nanodroplets still needs more studies to explore.

The lipid composition could play a tuning role in the acoustic property of nanodroplets. Although numerous studies used lipid formulated nanodroplets, only a few studies have investigated the effect of the lipid shell composition on nanodroplets' size distribution and acoustic property.^{51,54} Mountford *et al.* used a series of lipids with acyl chain lengths ranging from C14 to C20 to form nanodroplets. The results showed

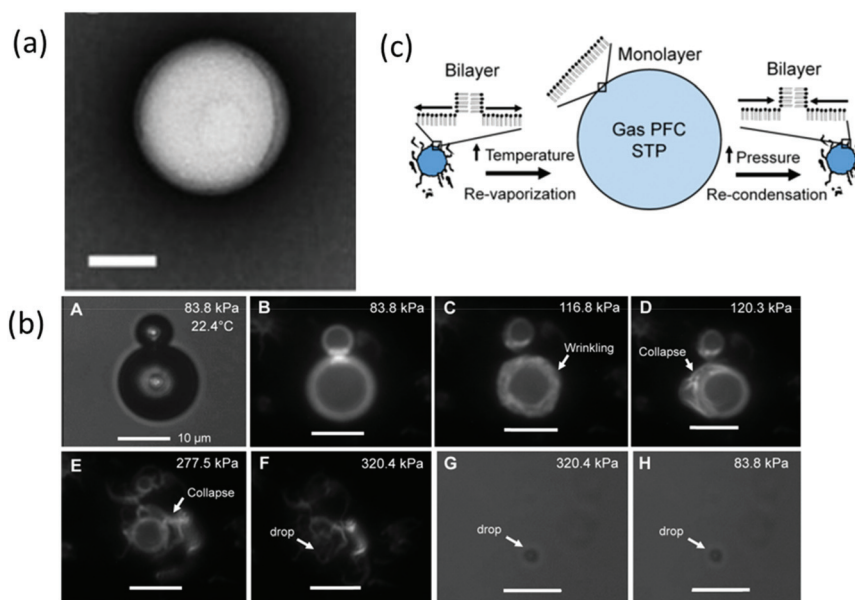


Fig. 3 (a) TEM images of 4 v/v% PFH droplets, scale bar = 100 nm (shell: DPPC/PEG 1.3 mM/40 mM in TBS)⁵¹ (this figure has been reproduced from ref. 51 with permission from Royal Society of Chemistry, copyright 2011); (b) microscopy images of Dil, DSPC : DSPE-PEG2000 (9 : 1)-coated microbubbles undergoing condensation, the image shows sinuous lipid collapse structures⁵² (this figure has been reproduced from ref. 52 with permission from American Chemical Society, copyright 2014); (c) schematic of microbubble-condensed nanodroplets with the lipid shell during vaporisation and condensation⁵³ (this figure has been reproduced from ref. 53 with permission from American Chemical Society, copyright 2015).

that energy needed to reach the vaporisation threshold increases linearly with the acyl chain length of lipids, which indicated that the lipid intermolecular cohesion force plays an important role for slowing the vaporisation process of nanodroplets.⁵³ A recent study by Chattaraj *et al.* suggested nanodroplets with a mixture of 40% DOPC, 40% DPPC with 20% cholesterol as the shell have ten times higher ultrasound contrast to DPPC-only formulation.⁵¹ Besides, lipid-shelled nanodroplets are commonly coated with hydrophilic block polymers, usually poly (ethylene oxide) (PEG) chains. PEGylation is a typical approach to reduce non-specific cellular uptake and prolong blood half-life for nanocarriers.⁵⁵ For nanodroplets, PEGylation can not only influence biological behaviour, but also size and acoustic response. Increasing the molar percentage of PEGylated lipid reduces the average size and size variation of nanodroplets, and facilitates ultrasound imaging contrast in a murine model of breast cancer.⁵⁴ The influence of the PEG chain length was also addressed by Melich *et al.* They prepared 90% DPPC nanodroplets with 10% DSPE-PEG5000 or DSPE-PEG2000 using the microfluidics method. They concluded that there was no significant impact on the nanodroplet formulation quality under their operating parameters.³⁹

Amphiphilic block copolymers as the shell material for nanodroplets could facilitate the loading of lipophilic drugs with high loading efficiency,¹³ combining tumour-targeting, enhancing intracellular drug delivery as well as enhancing the ultrasound contrast properties.⁵⁶ Different types of polymers are used in the formulation of nanodroplets, including PLGA (poly (lactic-*co*-glycolic acid), PCL (polycaprolactone), chitosan, PLA (poly (lactic acid) and PDA (polydopamine).^{57,58} PEG is

mostly used in combination with other polymers for size reduction and targeted drug delivery. Gao *et al.* had shown that the count rate value (corresponding to the concentration) of nanodroplets without PEG loads decreases significantly in solution containing serum due to protein aggregation with nanodroplets. However, nanodroplets that are modified with PEG could remain stable under the same conditions.⁵⁹ PLGA is a widely used biocompatible polymeric carrier with extended release property for loaded drug.⁵⁷ Cao *et al.* has loaded doxorubicin (DOX) into PLGA-coated nanodroplets and the drug-releasing profile shows that the drug was continuously released from nanodroplets without LIFU (low-intensity focused ultrasound) but burst drug release was observed after LIFU exposure.⁶⁰ Chitosan is a cationic linear polysaccharide extracted from marine animals. Its physicochemical properties such as nontoxicity, hydrophilicity, biocompatibility, biodegradability and high resistance to heat make it suitable for biomedical application.⁵⁷ Baghbani *et al.* prepared curcumin-loaded chitosan-stabilised nanodroplets for curcumin smart delivery and this formulation shows good curcumin entrapment efficiency (77.8%) due to high affinity between chitosan and curcumin.⁶¹

Most polymer-shelled nanodroplets load drugs in the polymer shell and the drug loading capacity is dependent on the concentration of the polymer.⁵⁷ The perfluorocarbon/copolymer ratio is also important for nanodroplet formulation. As, when the perfluorocarbon/copolymer is low, perfluorocarbon dissolved in the core of the micelle and no nanodroplets exist; with the increasing ratio of perfluorocarbon to polymer more nanodroplets are formed leading to droplet stabilisation and

micelles disappearing.⁶² For microbubbles, decreasing the initial thickness of the copolymer shell could facilitate encapsulated drug transferring from the bubble to the neighbouring cells.⁶³ The influence of the polymer shell thickness of nanodroplets has not been investigated but we could hypothesize that this will influence the drug releasing profile of nanodroplets. And it was hypothesised that gaps between polymer molecules become larger on the nanodroplet shell after they change phase and this could facilitate drug release from the polymer shell.⁶⁰

Several studies have reported that polymer-coated nanodroplets have higher stability and vaporisation threshold compared with lipid-coated nanodroplets. Melich *et al.* prepared nanodroplets with different shell materials, and the polymer shell (PLGA) showed better stability than lipid shell (DPPC: DSPE-PEG²⁰⁰⁰) nanodroplets. The results indicated PLGA coated nanodroplets exhibit good storage stability in the fridge (5 °C) over 1 month without any impact on the size and polydispersity, whereas lipid shell nanodroplets lack stability after storage probably due to vesicle aggregation.³⁹ The study by Cao *et al.* indicated that polymer-based (PLGA shell) nanodroplets need higher ultrasound energy to be activated into microbubble compared with lipid-based (DPPC, DPPG, DPPE, and cholesterol) nanodroplet formulation due to the stiffness of the polymer material.⁶⁰

2.2 The core composition

The liquid core chosen for nanodroplets is preferably hydrophobic, bioinert and able to circulate safely in the body before being vaporised into a gas, *i.e.*, have an appropriate boiling point. Thus, unlike microbubbles which are normally formed using air, nitrogen or sulphur hexafluoride as the core, nanodroplets use perfluorocarbon to meet these criterias.³ The perfluorocarbon family differs in chain length, giving rise to unique boiling points (Table 3).¹³ After injection, perfluorocarbon released in blood fluid would be expected to be eliminated through the lungs. Perfluorocarbons have low partition coefficients in blood, so perfluorocarbons binding to blood proteins would be expected to be minimal.⁶⁷ The physicochemical properties of perfluorocarbons make them an attractive candidate for ADV, and Laplace pressure provided by the shell could allow them to remain stable within nanodroplets at body temperature until vaporised by sufficient acoustic energy.³⁸ The PFC concentration could also influence the size of nanodroplets. Ferri *et al.* indicated increasing the volumetric concentration of PFP from 5% to 15% v/v will lead to double size larger nanodroplets.⁷²

The dodecafluoropentane DDFP (C₅F₁₂) was the first-ever studied droplet core from the early 2000s.²⁷ Even though the boiling point of DDFP (C₅F₁₂) is 29 °C, which is lower than body temperature, the superheated DDFP droplets could remain stable and circulate freely *in vivo* until activated by ultrasound due to the existence of Laplace pressure.³⁰ However, studies also showed that decreasing the size could increase vaporisation thresholds of perfluorocarbon droplets,⁷³ so nanodroplets with highly volatile perfluorocarbon,

e.g., decafluorobutane DFB (C₄F₁₀) and octafluoropropane OFP (C₃F₈), were developed. This allows droplets with a size below 200 nm to be formed. These small size PCND can passively accumulate in the targeted tumour tissue.⁷⁴ In addition, nanodroplets with volatile perfluorocarbons play an important role in the diagnostic applications (ultrasound imaging) because a low boiling point core induces the droplets to have an earlier vaporisation.²¹ Other perfluorocarbons also offer different advantages. For example, the boiling temperature of perfluorocarbon ether (PFCE) (C₁₀F₂₀O₅) is 146 °C. Compared with DDFP, PFCE has a higher boiling point, therefore, has greater storage ability than DDFP. At the same time, activating the phase transition in PFCE nanodroplets requires only a slightly higher acoustic energy than those for DDFP confirmed in the experiment.¹⁶ PFCE is also a fluorine-19 MR (magnetic resonance) imaging contrast agent with high sensitivity which could be used in image tracking⁷⁵ because PFCE contains 20 equivalent ¹⁹F nuclei that generate a single resonance peak in ¹⁹F MRI.¹⁶

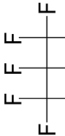
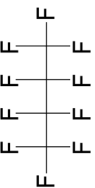
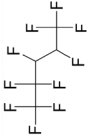
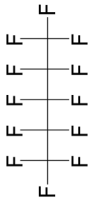
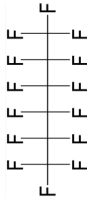
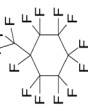
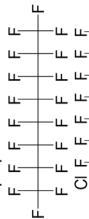
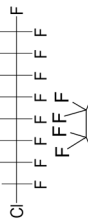
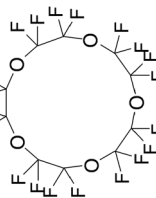
Early studies focused on developing nanodroplets used single perfluorocarbons as the core. Kawabata *et al.* first used a mixture of DDFP and DFP as the droplet core to reduce the vaporisation threshold.²⁸ A perfluorocarbon mixing is a valuable tool to manipulate the thermal stability and the vaporisation threshold of droplets simultaneously to maximise the performance for specific applications.³⁶ Melich *et al.* then discovered that the ADV threshold of nanodroplets elevated with the increase of the PFH percentage in a liquid core made up of the PFH and PFP mixture.³⁹ Perfluorocarbon mixing is not limited to perfluorocarbons that exist in the same state (gas or liquid), but on the feasibility to mix across different states to produce tuneable droplets which are “flexible” for clinical applications.²⁸

Apart from perfluorocarbons, adding other particles into nanodroplets could also influence vaporisation properties. For example, quantum dots are used as cavitation seeds in nanodroplets by mixing them into the perfluorocarbon solution.⁷⁶ Quantum dots could be visualised in fluorescence imaging and lower the vaporisation threshold.⁷⁷ Loading iron oxide nanoparticles within nanodroplets' inner surface of the shell could also reduce the vaporisation threshold.⁷⁸

Currently, most of the studies have only fabricated phase-shift nanodroplets with a core composed of a single kind of perfluorocarbon and the number of studies using the mixture of perfluorocarbon as the core is limited. However, the perfluorocarbon mixture tends to offer more ideal properties to phase-shift nanodroplets such as a reduced vaporisation threshold and changeable composition for clinical use. Hence, in the future, the perfluorocarbon mixture is supposed to be developed as an essential part of phase-shift nanodroplets.

There are numerous studies indicating that the nanodroplet composition exhibits effects on their behaviour, but most of the studies focused on evaluating nanodroplets' properties in aqueous buffer or water settings. It is essential to highlight that nanodroplets possess different characteristics either the *in vitro* or *in vivo* ambiances. To date, only several studies have

Table 3 Summary of perfluorocarbons used in various phase change nanodroplets

| Compound name | Molecular formula* | IUPAC name* | Chemical structure* | Molecular weight* (g mol ⁻¹) | Boiling point* (°C) | References |
|---|--|--|---|--|---------------------|--|
| Octafluoropropane (OFP) | C ₃ F ₈ | 1,1,1,2,2,3,3,3-Octafluoropropane |  | 188.02 | -39 | Sheeran <i>et al.</i> ⁵⁰ Doinikov <i>et al.</i> ⁸² |
| Perfluorobutane (FPB)/ decafluorobutane (DFB) | C ₄ F ₁₀ | 1,1,1,2,2,3,3,3,4,4,4-Decafluorobutane |  | 238.03 | -36.7 | Chen <i>et al.</i> ³² Matsunaga <i>et al.</i> ⁷⁴ Doinikov <i>et al.</i> ⁸² Kawabata <i>et al.</i> ²⁸ |
| 2 <i>H</i> ,3 <i>H</i> -Decafluoropentane (DFP) | C ₅ H ₂ F ₁₀ | 1,1,1,2,2,3,3,4,5,5,5-Decafluoropentane |  | 252.05 | 55 | |
| Perfluoropentane (FPF)/ dodecafluoropentane (DDFP) | C ₅ F ₁₂ | 1,1,1,2,2,3,3,4,4,5,5,5-Dodecafluoropentane |  | 288.03 | 29 | Vlaisavljevich <i>et al.</i> ⁸³ Li <i>et al.</i> ⁸⁴ Miles <i>et al.</i> ⁸⁵ Kripfgans <i>et al.</i> ²⁷ Giesecke <i>et al.</i> ⁴⁰ Vlaisavljevich <i>et al.</i> ⁸³ Strohm <i>et al.</i> ⁸⁶ Giesecke <i>et al.</i> ⁴⁰ |
| Perfluorohexane (PFH) | C ₆ F ₁₄ | 1,1,1,2,2,3,3,4,4,5,5,6,6-Tetradecafluorohexane |  | 338.04 | 58 | |
| Perfluoromethylcyclohexane (PFM) | C ₇ F ₁₄ | 1,1,2,2,3,3,4,4,5,5,6,6-Undecafluoro-6-(trifluoromethyl)cyclohexane |  | 350.05 | 76 | |
| Perfluorooctane (PFO) | C ₈ F ₁₈ | 1,1,1,2,2,3,3,4,4,5,5,6,6,7,7,8,8-Octadecafluorooctane |  | 438.06 | 105.9 | Fabilli <i>et al.</i> ⁴² |
| Perfluorodichlorooctane (PFD) | C ₈ Cl ₂ F ₁₆ | 1,1-Dichloro-1,2,2,3,3,4,4,5,5,6,6,7,7,8,8,8-hexadecafluorooctane |  | 470.97 | 176 | Lanza <i>et al.</i> ⁸⁷ |
| Perfluoro-15-crown-5-ether (perfluorocarbonE) | C ₁₀ F ₂₀ O ₅ | 2,2,3,3,5,5,6,6,8,8,9,9,11,11,12,12,14,14,15,15-Icosafluoro-1,4,7,10,13-pentaoxacyclopentadecane |  | 580.07 | 146 | Rapoport <i>et al.</i> ⁵⁶ Rapoport <i>et al.</i> ⁷⁵ |

*Values taken from Pubchem.

evaluated nanodroplets' characteristics in serum/blood-mimicking fluid. Serum could slightly influence the stability of nanodroplets. Meng *et al.* has incubated polymer shell nanodroplets in buffer solution containing 10% fetal bovine serum (FBS) at 37 °C where the particle size only increased slightly within 24 h.⁷⁹ Other studies showed that the nanodroplet size remains stable in serum, which indicates that nanodroplets could possess good stability under physiological conditions, even for nanodroplets with a low-boiling point PFC such as PFP.^{58–60} Besides, fluid viscosity and environmental parameters also affect nanodroplets' acoustic property. Rojas *et al.* has compared the vaporisation threshold of nanodroplets in phosphate-buffered saline (PBS, viscosity = 1 cP) and a blood-mimicking fluid (viscosity = 5.4 cP). The result indicated that increasing the viscosity has a significant effect on nanodroplets' vaporisation threshold in a 30 mm tube.⁸⁰ They also suggested that the increase of the vaporisation threshold in *in vivo* rather than *in vitro* experiments was due to boundary constraints and hydrostatic pressure derived from the tissue and capillary walls, as well as the high blood fluid viscosity.⁸⁰ Helfield *et al.* has shown that fluid viscosity may influence the fragmentation and acoustic emission of lipid-shell microbubbles although not a similar research has been conducted on nanodroplets.⁸¹ Unfortunately, with limited numbers of studies relating to nanodroplet characterisation in biological fluids, existing studies have suggested that the *in vitro* studies should evaluate nanodroplets in different media before transitioning into *in vivo* studies.

3. Phase change nanodroplet preparation techniques

A variety of techniques has been developed to manufacture nanodroplets, including sonication, homogenisation, extrusion, microfluidics, and microbubble condensation. Different preparation methods could influence the property of nanodroplets, especially their size and size uniformity. As mentioned earlier, nanodroplets have a smaller size than conventional microbubbles, which allows them have advantages like prolonged *in vivo* circulation and deep penetration into the tissues *via* the extravascular space. Their nano-scale size also allows them to passively accumulate in tumour tissue due to the EPR (Enhanced Permeability and Retention) effect. The nanodroplet size also influences their acoustic properties. For micron-sized droplets, the acoustic activation threshold depends on the initial diameter (Fig. 4).⁷³ It was reported that vaporisation thresholds are reduced with increasing droplet size.⁸⁸ Although data for nanosized droplets do not exist yet, it appears that the size is a critical parameter for the activation pressure threshold. The size distribution also influences the acoustic performance of nanodroplets.⁵⁰ Polydisperse droplets will not respond the same under ultrasound energy.⁸⁹ To improve the uniformity of activation, the most important thing is to use techniques that can create nanodroplets with low polydispersity.³⁶ It is important to choose an appropriate



Fig. 4 Relationship between the pressure threshold for ADV and diameter of nanodroplets. Decreasing the droplet diameter will increase pressures for vaporisation⁷³ (this figure has been reproduced from ref. 73 with permission from Royal Society of Chemistry, copyright 2004).

method for preparing nanodroplets according to their desired properties for future application, either for imaging or drug delivery.

3.1 Agitation/homogenisation

Some of the earliest reports related to PCND mention production by agitation. The methods vary from simply shaking by hand to commercial homogenisation systems,^{18,90} with protocols varying significantly. In general, these methods produce droplets first by mixing the shell components with an aqueous solution and then adding perfluorocarbon and homogenising into emulsions. Since the entire droplet solution is within a single container, agitation techniques could avoid material losses. However, these methods often produce droplets with a wide size distribution and low reproducibility.¹³

3.2 Sonication

Sonication is a common and simple method to produce nanoparticles including nanodroplets. In previous studies, both a sonication bath⁶² and probe sonicator^{47,77,91} were used. In this method, the component of droplets (shell material and perfluorocarbon) is emulsified by ultrasound in a continuous aqueous phase/buffer solution (Fig. 5). Usually, the vial must be kept in an ice bath during sonication to prevent excess heating.⁵⁰ The parameters of sonication also influence the property of nanodroplets. Ferri *et al.* have studied the influence of the power and duration of sonication on nanodroplets. The result shows that a longer sonication time and sonication intensity leads to a lower size and size dispersity.⁷²

The main advantage of this method is the ease of use and low cost. Besides, this method could avoid material loss compared with some flow techniques because the emulsion system is closed. This technique is also applicable to incorporate other agents (*e.g.*, solid nanoparticles) into nanodroplets. However, the disadvantage of this method is the relatively low nanodroplet uniformity. Gao *et al.* used a sonication bath, and



Fig. 5 Schematic representation of the preparation of PCND and their phase change with focused ultrasound.

the final product is a mix of nanodroplets with micelles.⁶² Sheeran *et al.* also showed an example of the increased poly-disperse size distribution of probe-sonicated nanodroplets.⁵⁰ In addition, erosion of the probe tip also has the potential to contaminate the nanodroplet solution with metals during preparation. That's why it is very important to inspect the tip to avoid any defect on its surface.⁵⁰

3.3 Extrusion

Extrusion was used for the preparation of liposomes and may now also be adopted to fabricate nanodroplets.^{86,89} Extrusion is commonly used in combination with other approaches (*e.g.*, sonication, condensation) instead of using alone. Sheeran *et al.* fabricated nanodroplets using a 1 μm porous membrane filter. The shell material was first dried in the vial to form a thin film and hydrated with a buffer solution. DFB (C_4F_{10}) was added to the lipid suspension mixed with glycerol in a cold room. Then the solution was extruded at $-5\text{ }^\circ\text{C}$ to avoid freezing of the aqueous solution and maintain the liquid state of the DFB.⁸⁹

Compared with sonication, extrusion has higher monodispersity. However, extrusion did not appear to be capable of manufacturing submicron droplets regardless of the membrane size used, maybe due to the low perfluorocarbon surface tension and the very high viscosity of the phospholipid solution at $-5\text{ }^\circ\text{C}$.¹⁷ Besides, extrusion is more complex than sonication. For phospholipid formulated nanodroplets, extrusion may preferentially form liposomes instead of droplets.⁵⁰

3.4 Microbubble condensation

Preparing nanodroplets by microbubble condensation first appeared in the literature due to the challenge of producing liquid nanoscale droplets from highly volatile perfluorocarbon (*e.g.*, DFB, OFP) which exist as a gas at room temperature.⁸⁸ In the method, microbubbles with a volatile perfluorocarbon core

ideal for ultrasound interaction are generated and then the gaseous precursors are condensed into liquid state droplets by cooling and applying pressure.⁹² Once the liquid core is formed, the reduction in size results in a submicron distribution of droplets, and the Laplace pressure could stabilise the droplets against re-expansion at room temperature until the nanodroplets are activated by ultrasound or increased temperature.³⁶ Microbubble condensation will generate liquid core nanodroplets instead of gas core nanobubbles.

This method has several advantages.^{36,50} First, it offers a method to generate a high concentration of nanodroplets of volatile compounds simply.⁵⁰ Second, microbubbles' condensation could manipulate functionalised droplets at the micro-scale before microbubbles were condensed. It is relatively simple to incorporate particles, dyes and targeting ligands into the droplet shell.^{71,93} Third, since this method begins from a population of microbubbles ideal for imaging, if condensation and vaporisation proceed optimally, after vaporisation, nanodroplets could become bubbles with an ideal size.³⁶ Finally, this technique provides an opportunity to produce nanodroplets directly from well-developed microbubbles. Research related to microbubbles is earlier than nanodroplets. There are many publications related to novel microbubbles applied in molecular imaging and drug/gene delivery, and these modifications can be applied directly into droplets with microbubble condensation.⁵⁰

However, there are some drawbacks. First, as most microbubbles tend to be polydisperse,⁹⁴ preparing droplets with a narrow size distribution is difficult.⁵⁰ Second, although it is simple to incorporate components into the droplet shell, it is difficult to encapsulate components into the perfluorocarbon core due to phospholipid shedding during condensation and the condensation of microbubbles can be impeded by the low purity of perfluorocarbon.⁵²

3.5 Microfluidics

Microfluidic technologies offer a promising route to produce uniform emulsions. Microfluidics for forming droplets can be either passive or active (Fig. 6). In a passive microfluidic device, an aqueous phase (continuous phase) was injected into the first inlet cartridge, whereas the organic phase (usually ethanol or acetonitrile solvent) containing dissolved perfluorocarbon and a coating material (dispersed phase) is injected into the second inlet *via* a pressure-driven flow.⁹⁵ The two phases meet at a junction, at which the perfluorocarbon liquid extends to form a 'figure' or 'jet' and eventually pinched off to form a droplet.⁹⁶ The speed of the organic phase and aqueous phase pumped through the two separate microfluidic cartridges are different.³⁹ The particles size can be controlled by altering the flow rate ratio of the two phases.³⁶ In most studies, nanodroplets are manufactured using the passive method. Compared with passive techniques, active techniques modulate droplet formation with the aid of additional energy input. Droplet generation can be manipulated by two strategies: first by introducing additional forces from electrical, magnetic, and centrifugal controls; second by modifying viscous, inertial, and capillary force by varying intrinsic parameters like flow velocity and material properties.⁹⁵

Microfluidics presents a powerful approach to optimising current formulations of nanodroplets. The advantage of this method is it allows for monodisperse size distributions; therefore, activation thresholds are highly uniform and the vaporisation efficiency is increased.⁵⁰ It is also worth mentioning that this advantage also offers an opportunity to characterise the physical aspects of emulsions. An experimental relation-

ship between the particle size and vaporisation temperature could be tested to estimate the Laplace pressure as well as surface tension.³⁶ However, there are some disadvantages. The ease and speed of manufacturing droplets are limited.¹³ Microfluidics requires specialised equipment which is relatively expensive and not easy for novice users. It is even more challenging to produce nanoscale droplets. It either needs nanofluidic devices,⁹⁷ or combines microfluidics with condensation.⁹⁸

3.6 Spontaneous nucleation

A novel method for producing nanodroplets using spontaneous nucleation, also called the OUZO method, was demonstrated by Li *et al.*¹⁵² Lipid surfactants, or any other stabiliser, are first dissolved in an organic solvent. They first prepared an initial lipid-ethanol stock solution. Perfluorocarbons were dissolved in the stock solution until it was fully saturated and adjusted with a stock solution to achieve the desired percentage. Finally, the aqueous solvent was added to the solution to reduce the solubility of lipid and perfluorocarbon, forcing droplets to spontaneously nucleate.¹⁵² A stabiliser can be added to increase the stability of nanodroplets. This method is easy to operate but not commonly used in the literature.

4. Phase change nanodroplets for bioimaging

Nanodroplets allow simultaneously therapeutic and diagnostic application. Unlike microbubbles, which are unable to enhance image contrast outside blood vessels, nanodroplets can migrate through hyperpermeable vessel walls in tumours and accumulate in the interstitial tissue.⁹⁹ Another advantage of droplets is that they can retain their nano-scale size in the bloodstream, enabling them to circulate longer. Nanodroplets with liquid cores can be converted to gas bubbles, which make them good contrast agents for ultrasound imaging.²¹

Ultrasound imaging is a broadly used imaging technique for real-time, non-ionising, high frame-rate imaging with low cost.¹⁰⁰ Ultrasound contrast agents are a good tool to investigate sites of inflammation and solid tumour due to the highly permeable vascular networks in these tissues⁷⁴ (Fig. 7). The vaporisation of nanodroplets results in acoustic emissions, which are usually observed by B-mode (Brightness) ultrasound probe.^{27,61,62} However, in the beginning, nanodroplets that use perfluorocarbon compounds with a boiling point above room temperature⁶¹ (*e.g.*, DDFP, PFH) need a significant amount of acoustic energy to vaporise, making diagnosis and molecular imaging with nanodroplets especially difficult.⁷⁴ Therefore, nanodroplets using highly volatile perfluorocarbons were developed later, which are inherently more sensitive to acoustic energy.⁸⁸ Apart from this, acoustic imaging could also monitor the size of bubbles through harmonic emissions produced by vaporised nanodroplets.⁹⁹

However, unlike microbubbles, nanodroplets remain inert and virtually undetectable by conventional ultrasound imaging



Fig. 6 Schematic of droplet generation in passive and active methods⁹⁵ (this figure has been reproduced from ref. 95 with permission from Royal Society of Chemistry, copyright 2001).

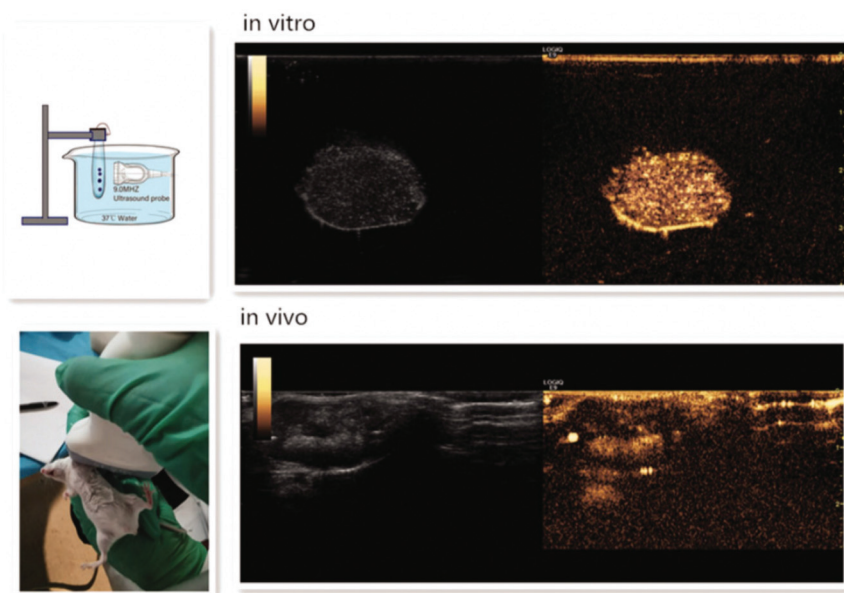


Fig. 7 Ultrasound enhancement images of nanodroplets *in vitro* and *in vivo*¹⁰¹ (this figure has been reproduced from ref. 101 with permission from Taylor & Francis, copyright 2020).

before vaporisation.¹² Besides, the ultrasound as an imaging tool has poor tissue discrimination ability compared with MRI and largely depends on the analysis of the operator.¹⁰² Thus, another imaging system can be used to assist in guiding the focused ultrasound.¹³ Different imaging probes are added to nanodroplets to make them into multimodal imaging contrast agents. Multimodal imaging nanodroplets will become a future developing direction because other imaging tools could offset the weakness of nanodroplets and work in correlation with ultrasound. The core and shell of nanodroplets can be adopted to make particles detectable under other kinds of imaging *e.g.*, fluorescence/MRI/PET/X-ray.^{77,103} Since each type of imaging has its advantages and disadvantages, different imaging modalities are generally considered complementary rather than competitive.

Photoacoustic (PA)/ultrasound (US) imaging is a hybrid biomedical imaging technique. It combines the contrast superiority of optical imaging with the resolution superiority and deep tissue penetration of ultrasound imaging.¹⁰⁴ Nanodroplets used for PA/US imaging are prepared by adding a photo-absorber in the nanodroplet formulation.¹⁰⁵ This dual-modality agent can undergo vaporisation induced by ultrasound energy or optical energy by laser activation¹⁰⁶ and produce high US/PA contrast on demand. After absorbing optical energy, the photo-absorber in nanodroplets produces heat and photoacoustic pressures, which lead to the liquid-to-gas phase transition of perfluorocarbon core. The activation process of nanodroplets by optical energy is called ODV (optical droplet vaporisation) instead of ADV.¹⁰⁴

One unique property of laser-activated nanodroplets is they can vaporise and recondense with different perfluorocarbon core compositions. When nanodroplets are formed with a per-

fluorocarbon core with a low-boiling point which is lower than body temperature (37 °C), they remain gas phase after vaporisation and not able to condense back to liquid droplets. However, if nanodroplets are formulated with a high-boiling point perfluorocarbon like perfluorohexane, they can recondense back to liquid droplets from gaseous bubbles, which allows repeat activation and deactivation (Fig. 8).¹⁰⁷ Worth mentioning is that the repeat activation and deactivation of nanodroplets is not only controlled by the perfluorocarbon core, but a combination of several parameters including particle size, laser fluence, amount of dye and imaging conditions.¹⁰⁶ Therefore, a thoughtful choice of parameters is necessary to design the recondensation of nanodroplets.

Apart from the perfluorocarbon core, the photoabsorber is also an important parameter for a PA contrast agent. The laser activation and photoabsorber vary based on clinical needs. Laser activation in the near-infrared region was used in several studies by adding ICG (indocyanine green) and plasmonic nanoparticles in nanodroplets, because in this region the optical penetration is effective.¹⁰⁵ ICG is an FDA approved commonly used intravenous dye to measure cardiac output, hepatic function and for ophthalmic angiography in clinics with rare side effects. The study of Hannah *et al.* reported PA/US nanodroplets by loading ICG in an albumin shell and the sample can be irradiated with a 780 nm wavelength laser pulse.¹⁰⁸ Hannah *et al.* encapsulated gold nanorods in nanodroplets consisting of a BSA shell and PFP core. Upon pulsed laser irradiation at 780 nm, liquid perfluorocarbon undergoes phase transition yielding giant photoacoustic transients and the gaseous phase provides ultrasound contrast enhancement. After vaporisation, the PA signal decayed but still existed. At this stage, the PA signal originates from expelled nanorods

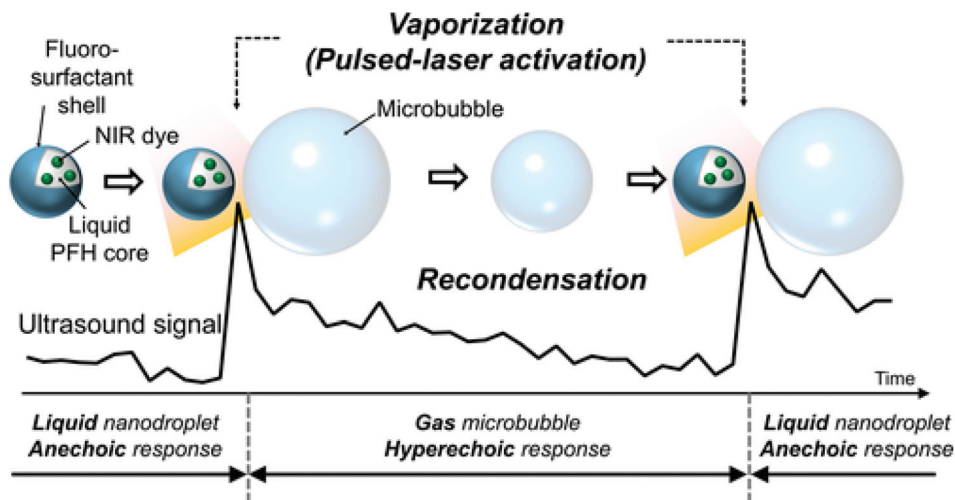


Fig. 8 Vaporisation and recondensation of laser-activated nanodroplets. When activated, nanodroplets immediately produce strong ultrasound signals¹⁰⁷ (this figure has been reproduced from ref. 107 with permission from John Wiley and Sons, copyright 2017).

and endogenous thermal expansion.⁴³ The laser pulse with 1064 nm wavelength is also a commonly used optical source for PA/US dual-modality imaging. The advantages of using 1064 nm light for biomedical imaging are it can improve contrast due to minimal absorption by blood-perfused tissue, and this laser source is inexpensive.¹⁰⁵ Santiesteban *et al.* loaded coated copper sulfide nanoparticles (CuS NPs) in a PFP core and the nanodroplets have good PA/US contrast as well as good biocompatibility over other metallic particles.¹⁰⁹ Photoabsorbers can also be encapsulated on nanodroplet shells. Santiesteban *et al.* prepared two nanodroplets with different photoabsorber dyes on a lipid shell, and these nanodroplets can be activated by 680 nm and 1064 nm laser pulse separately.¹¹⁰

Fluorescence imaging is imaging with high sensitivity but is only semi-quantitative and has poor tissue penetrating ability. Currently, most fluorescence labelled droplets are used for *in vitro* and preclinical studies, especially for optimising droplets for further human injection.⁷⁷ Gorelikov *et al.* suspended CdSe/ZnS core/shell quantum dots (QDs) in the perfluorocarbon core of droplets for rapid, preclinical optical assessment.⁷⁷ To understand the condensation process of microbubble to nanodroplets, Mountford *et al.* added fluorescent lipid DiI into the membrane of microbubbles to visualise their deformation during pressurisation under fluorescence microscopy.⁵²

MRI is an accurate imaging tool for soft tissue anatomy with high spatial resolution but low sensitivity. Conversely, ultrasound is an imaging technique with high sensitivity but low spatial resolution. Besides, unlike ultrasound which can provide real-time monitoring, MRI needs a relatively long imaging time for high-resolution imaging.¹⁰³ Therefore, ultrasound and MRI are combined as a compliment in many clinical applications.¹⁰² Previous studies adopted nanodroplets into *T2*-weighted contrast agents by loading superpara-

magnetic iron oxide nanoparticles (SPIO NPs) or Fe₃O₄.^{111–113} SPIO NPs have been loaded into the shell of nanodroplets by the interaction between aliphatic terminated SPIO NPs and lipid to form a stable monolayer shell.¹¹² SPIO could greatly improve nanodroplets' liquid-to-gas phase change efficiency upon ultrasound exposure and make the nanodroplets magnetically responsive. SPIO loaded droplets have the potential to be manipulated *via* an external magnetic field which is highly advantageous for drug delivery in the previous work.⁷⁸ Recently, some studies focus on adopting nanodroplets into *T1*-weighted contrast agents.¹¹⁴ Other nanoparticles, like liposomes and micelles, have been adopted into MRI contrast agents by incorporating ligand onto the macromolecular membrane to increase the gadolinium ion payload. The same method can be used in nanodroplets. Maghsoudinia *et al.* has embedded a small molecular contrast agent Gadovist into the alginate polymer shell of nanodroplets. Nanodroplets show a higher *T1*-weighted MRI signal than free molecule Gadovist.¹¹⁴

As fluorinated compounds can be monitored by ¹⁹F (fluorine) MR spectroscopy, nanodroplets loaded with PFCE or PFOB (perfluorooctyl bromide) in the core were used as multi-modal contrast agents directly for ultrasound and ¹⁹F MRI.⁷⁵ Lanza and Wickline's team has conducted a series of studies on PFCE and PFOB nanoemulsions as ¹⁹F MR contrast agents.^{115–117} Apart from adding an MRI probe into nanodroplets, changes in proton resonance frequency could be used to monitor temperature changes under MR thermometry. Crake *et al.* used MR thermometry to measure the thermal effects induced by vaporising DDFP lipid-coated nanodroplets.¹¹⁸

PET is one of the most effective techniques to quantify the agents in preclinical models and patients.¹¹⁹ Adapting nanodroplets to be detectable by PET could allow understanding of the pharmacokinetics and biodistribution of nanodroplets, which is very important for developing a safe and efficient drug carrier.¹²⁰ Amir *et al.* prepared PET contrast nanodroplets

by dissolving [^{18}F]CF₃(CF₂)₇(CH₂)₃F into a PFOB core.¹²¹ Contrast-enhanced digital mammography (CEDM), as one of the techniques of X-ray mammography, can provide good sensitivity and specificity in breast cancer detection and characterisation. Hill *et al.* used PFOB nanodroplets as CEDM contrast agents because the bromine atom in the molecule has good X-ray attenuation characterisation.¹²²

Imaging of nanodroplets is important not only because it can be used as imaging contrast agents, but also enable us to understand the *in vivo* stability, biodistribution and pharmacokinetics of nanodroplets. However, few studies have investigated these aspects of nanodroplets. Rapoport *et al.* have tested the pharmacokinetics of polymer-coated nanodroplets by measuring the PFCE core using ^{19}F MRI. The result indicated that 40 to 50% were still circulating 2 h after the injection and after 24 h most signals were found from the liver.²⁹ Pre-clinical biodistribution and pharmacokinetics are essential for the future development of nanodroplet. PET, MR and fluorescence imaging have great potential to be used in these studies. Fluorescence imaging can be used for short-term real-time biodistribution imaging in small animals¹²³ whereas PET imaging has clinical translatability for large animals and human. Besides, PET allows treatment monitoring and planning.¹²¹

5. Development of sonoresponsive nanodroplets for drug delivery

The combination of therapeutic ultrasound and microbubbles is broadly used in the medical area while the large size has become an inevitable restriction for microbubbles to extravasate beyond the blood vessels and significantly constrained their therapeutic efficacy.¹²⁴ Compared to microbubbles, nano-sized droplets with a superior *in vivo* stability tend to have a broader therapeutic application such as in ablation, embolotherapy and drug delivery which are listed in Table 4.^{64,83,125}

Histotripsy, a novel ablation method, can fractionate the tumour tissues in a non-invasive manner by taking advantage of the cavitation generated by the high-pressure ultrasound.³¹ The ultrasound frequencies used in currently approved clinical application are normally below 1 MHz.²¹ However, this approach is unable to handle tumours with micrometastases and small nodules as they are challenging to be visualized before operation.⁸³ Nanodroplets as cavitation nuclei can reduce the threshold of cavitation and the introduction of nanodroplets into histotripsy can realize the selective and targeted tumor ablation as the nanodroplets are capable of penetrating tumor vasculature and accumulating into tumors.¹²⁶ Embolotherapy is another method, suppressing the tumor outgrowth through ischaemic damage.⁶⁴ The microbubbles converted from nanodroplets under the stimulation of ultrasound have a diameter larger than blood vessels, resulting in the occlusion of blood vessels and blocking the blood flow in the tumor site to induce the shrink of tumors.³⁰ Currently, the development of this therapeutic approach is still in the pre-

clinical stage, and in order to get into clinical use, controlling the migration of these gas emboli to avoid arterial occlusion in healthy tissues and optimizing the ultrasound parameter to trigger the ADV efficiently are two challenges standing ahead.

To date, the preclinical research using sonoresponsive nanodroplets in drug delivery has been comparatively more diverse, covering chemotherapy, gene therapy, sonodynamic therapy, photo-thermal/dynamic therapy and the combination of them. The sonoresponsive nanodroplets will turn into microbubbles through the ADV process and the cavitation of these microbubbles in the blood can generate mechanical force such as shock waves and microfluids, causing the disruption of biological barriers through the perforation on cell membranes, thus enhancing the drug delivery where the mechanism is described in Fig. 9.³

For chemotherapy, most the recent studies loaded chemotherapy drugs on the shell of nanodroplets, and ultrasound could facilitate the phase change of nanodroplets as well as drug release. Baghbani *et al.*,¹²⁷ fabricated DOX-loaded nanodroplets using alginates as the outer shell and perfluorohexane (PFH) as the liquid core. Surfactant Tween 20 in this formulation could prevent the recognition of nanodroplets from the reticulo-endothelial system and prolong their half-life.¹²⁷ An increased biodistribution of DOX was discovered, from approximately 2 $\mu\text{g g}^{-1}$ in its free state to around 12 $\mu\text{g g}^{-1}$ in nanodroplets under sonication. Using a biocompatible material, for example, chitosan can ensure the safety of DOX-loaded nanodroplets.¹⁰¹ No significant cellular structure impairment was found in functional organs, indicating that loading DOX inside this drug carrier could considerably mitigate its cardiotoxicity and nephrotoxicity, while the anti-tumour rate increases from 8.35% in the DOX control group to 39.50% in the DOX-loaded nanodroplet group, which revealed that DOX-loaded nanodroplets could offer a great potent in suppressing the tumour outgrowth.¹⁰¹ Cao *et al.* discovered that nanodroplets composed of different outer shells required different ultrasound intensities to activate acoustic cavitation.⁶⁰ Hence, it is estimated that the co-delivery of nanodroplets composed of a lipid and polymer could enhance the delivery of chemotherapeutic agents to a large extent as the cavitation of lipid nanodroplets could facilitate the accumulation of polymer nanodroplets in tumors.⁶⁰

The outer shell of nanodroplets can be modified by attaching various ligands to optimise their therapeutic performance. Zhao *et al.* managed to use this property to prepare a drug-loading nanodroplet with cell-penetrating and targeting capability.¹²⁸ The primary outer shell of this nanodroplet was composed of DPPC, DSPE-CPP and cholesterol, while the cargo loaded inside the nanodroplet was another anti-cancer drug named 10-hydroxycamptothecin (HCPT). Modifying the surface of the nanodroplets with transactivating transcriptional activator (TAT) protein, which facilitates the translocation of large molecules across the cellular membrane, could exploit the cell-penetrating capability of HCPT into the cytoplasm or nuclei.¹²⁹ And the addition of hyaluronic acid (HA) in this formulation could enhance the targeting capability of

Table 4 Recent preclinical studies using nanodroplets to deliver therapeutic agents

| Therapy | Therapeutic agent | Outer shell | Liquid core | Delivery mechanism | References |
|---------------------|---|---|--|--|---------------------------------------|
| Chemotherapy | DOX | Alginate, Tween 20 | PFH | Nanodroplets can form microbubbles through the ADV process, and encapsulated DOX can be released through the cavitation triggered by ultrasound. The addition of Tween 20 prolongs the circulation time of nanodroplets <i>via</i> the avoidance of RES | Baghbani <i>et al.</i> ¹²⁷ |
| | DOX | DPPC, DPPG, DPPE and cholesterol | PFH | Both lipid and polymer nanodroplets can turn into microbubbles under the sonication of ultrasound, and the DOX can be released locally through the collapse of microbubbles. However, the polymer nanodroplets with a hard shell require a higher intensity of ultrasound to stimulate the ADV process | Cao <i>et al.</i> ⁶⁰ |
| | DOX | mPEG-PLGA Chitosan | PFH | The chitosan nanodroplets can develop into microbubbles through the ADV process and release the DOX after the disruption of microbubbles. The use of biocompatible chitosan can improve the biosafety of this drug delivery system | Zhou <i>et al.</i> ¹⁰¹ |
| | HCPT | DPPC, DSPE-CPPs, cholesterol, HA | PFH | The nanodroplets modified with CPP can actively deliver the HCPT across the cellular membrane. The addition of HA can increase the targeting capability of nanodroplets through the binding of overexpressed CD44 in human hepatoma | Zhao <i>et al.</i> ¹²⁸ |
| | HCPT | DPPC, DSPE-PEG ₃₄₀₀ -tLyP-1, DPPG, cholesterol | PFH | tLyP-1 is a homing-penetrating peptide binding to the neuropilin-1 receptor overexpressed in human tumour cells. The addition of tLyP-1 to the nanodroplets could enhance their penetration and accumulation into tumours | Zhu <i>et al.</i> ¹³¹ |
| | HCPT | DSPE-PEG ₂₀₀₀ -FA, DPPG cholesterol | PFH, Fe ₃ O ₄ | The addition of FA binding to the overexpressed FA receptor in SKOV3 ovarian cancer cells can increase the targeting capability of nanodroplets. The Fe ₃ O ₄ acting as a contrast agent can improve the PAI of nanodroplets and realize the theranostic of cancers | Liu <i>et al.</i> ¹¹³ |
| Gene therapy | Luciferase gene | PGA-g-PEG-AHNP | PFH, C ₁₁ F ₁₇ -PAsp-DET | The use of AHNP on the nanodroplets binding to the overexpressed Her2/ <i>neu</i> receptor in breast cancer can improve the targeting capability of nanodroplets, and the peptide itself can have an anti-tumour efficacy. The amphiphilic core can help to condense the negatively charged genes into the nanodroplet efficiently | Gao <i>et al.</i> ⁵⁹ |
| | miRNA-139, miRNA-203a, miRNA-378a, miRNA-422a | DPPC, DSPE-PEG ₂₀₀₀ -NH ₂ | PFH | These nanodroplets loaded with four different genes were intratumorally administered. The released gene <i>via</i> the ADV and cavitation process can have anti-tumour efficacy by inhibiting the PIK3 CA mutation in hepatoma cells | Dong <i>et al.</i> ¹³³ |
| Sonodynamic therapy | IR780 | DPPC, DSPE-mPEG ₂₀₀₀ , cholesterol | PFH | The ADV process involved in the release of sonosensitizer IR780 could facilitate the leakage and accumulation of nanodroplets into tumours. Moreover, the loaded IR780 itself displayed a significant tumour penetration and mitochondria-targeting ability | Zhang <i>et al.</i> ¹⁴⁴ |
| | HMME | DPPC, DSPE-mPEG ₂₀₀₀ -FA, cholesterol | PFH | The sonosensitizer HMME was released through the ADV and cavitation process, which could disrupt the vasculature and enhance the penetration of HMME deep into the tumour. The addition of FA binding to the overexpressed FA receptor in the ovarian cells could enhance the targeting ability of nanodroplets | Yang <i>et al.</i> ¹⁴⁶ |

Table 4 (Contd.)

| Therapy | Therapeutic agent | Outer shell | Liquid core | Delivery mechanism | References |
|-----------------------------------|--------------------------------|---|-------------|--|-----------------------------------|
| Photothermal therapy | AuNP | Human serum albumin | DDFC | The sonoporation caused by the cavitation of nanodroplets could enhance the delivery of AuNP into the tumour cells. The interaction between light and AuNP could increase the temperature of tumours and induce the apoptosis of tumour cells | Liu <i>et al.</i> ¹³⁸ |
| Photodynamic therapy | IR780 | Lecithin, cholesterol, DSPE-PEG ₂₀₀₀ | PFH | The dissolved O ₂ in the liquid core (PFH) could ameliorate the hypoxia tumour environment, ensure the generation of cytotoxic ROS and enhance the therapeutic efficacy of photodynamic therapy | Tang <i>et al.</i> ¹³⁹ |
| Chemo-radiotherapy | Cisplatin prodrug | DPPC, DSPE-PEG ₅₀₀₀ , cholesterol | DFCE | The therapeutic outcome was caused by chemotherapy and radiotherapy. The use of nanodroplets in this study enhanced the delivery of chemotherapeutic agents, reduced its systematic toxicity, and the O ₂ dissolved in the DFCE could help tackle the hypoxia in the tumours, thus amplify the impact of radiotherapy | Yao <i>et al.</i> ¹⁴⁷ |
| Chemo-antivascular therapy | DOX | DPPC, DSPG, DSPE-PEG ₅₀₀₀ | PFH | The mechanical waves generated through the ADV of nanodroplets could disrupt the tumour vasculature and inhibit cell proliferation. The vascular disruption could also facilitate the diffusion of DOX into tumours and obtain an enhanced anti-tumour effect | Ho <i>et al.</i> ¹⁴⁹ |
| Chemo-photothermal therapy | Melanin, DOX | PVA | PFH | The loaded melanin was a photosensitizer which could trigger the vaporisation of PFH under laser irradiation and generate a photothermal therapeutic effect. The cavitation of nanodroplets could facilitate the penetration of DOX in tumours to have an enhanced chemotherapeutic effect | Hu <i>et al.</i> ¹⁵⁰ |
| Photothermal/photodynamic therapy | ZnF ₁₆ Pc molecules | PEG-based perylene diimide (PDI) | PFH | The outer shell of the nanodroplets was a photoabsorber which could increase the temperature of tumours and trigger the vaporisation of PFH under laser irradiation, while the O ₂ dissolved in the liquid core could improve the photodynamic therapeutic outcome of a ZnF ₁₆ Pc molecule which was a photosensitizer in this study | Tang <i>et al.</i> ³⁴ |
| Radiotherapy/photodynamic therapy | TaOx nanoparticles | C18PMH-PEG | PFH | The TaOx nanoparticles decorated on the nanodroplets could enhance the therapeutic outcomes of radiotherapy and lead to the damage of DNA. The O ₂ dissolved in the PFH was beneficial for radiotherapy and photodynamic therapy to generate abundant cytotoxic ROS and kill cancers | Song <i>et al.</i> ¹⁵¹ |

nanodroplets by binding to the overexpressed cluster of differentiation (CD-44) in human hepatoma.¹³⁰ These elaborate nanodroplets under the irradiation of low-intensity focused ultrasound showed a three-fold increase in the mean tumour suppression rate compared to the free drug-treated group, from 29.17% to 94.97%, and displayed great potential in treating hepatoma. Following the same design route, a novel tumour homing-penetrating peptide tLyP-1 as an alternative to TAT was attached to the same lipid-based nanodroplets for deeper tumour penetration, and the enhanced accumulation of HCPT-loaded nanodroplets was discovered as well.¹³¹ In another study, folic acid (FA) was attached to the surface of HCPT-loaded nanodroplets made up of DSPE-PEG2000, DPPG

and cholesterol.¹¹⁴ FA could bind to the overexpressed FA receptor in SKOV3 tumour cells and is broadly used as a ligand to enhance the targeting capability of nanocarriers, increase the accumulation of therapeutic agents in tumours and mitigate their off-target possibility.¹³² A significant increase in nanodroplet distribution and HCPT concentration was observed in the tumour. The adequate accumulation of therapeutic agents in tumours remarkably improved the tumour inhibitory rate to 73.6% compared to the control group.¹¹³

The cargo loaded in nanodroplets can not only be chemotherapeutic agents but also be therapeutic genes. Gao *et al.* prepared gene loaded nanodroplets to improve the gene transfection rate through the cavitation and sonoporation triggered

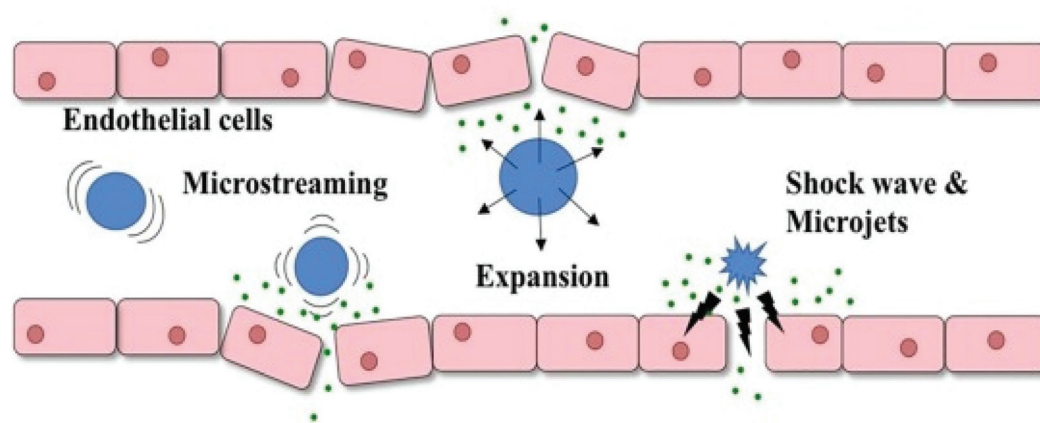


Fig. 9 Schematic illustration of how UCAs respond to ultrasound which results in the increase of endothelial cell permeability. UCAs will oscillate and collapse under ultrasonic exposure, generating mechanical forces such as shock waves, microjets and microstreaming which temporarily disrupt endothelial cells and cause sonoporation³ (this figure has been reproduced from ref. 3 with permission from Elsevier, copyright 2019).

by ultrasound.⁵⁹ The outer shell of the nanodroplets was fabricated by PGA-g-PEG-AHNP synthesised from γ -glutamic acid (γ -PGA) and PEG-AHNP. The core of this nanodroplet was made up of PFP and C₁₁F₁₇-PAsp-DET, (synthesized from C₁₁F₁₇, aspartate and diethylenetriamine). To optimise the targeting capability of this gene-loaded nanodroplet to breast cancer, anti-Her2/*neu* peptide (AHNP), which could bind to the overexpressed Her2/*neu* receptors in breast cancer, was modified to the surface of the nanodroplets. A dramatically improved gene expression was discovered in the mice intravenously and intratumoral administered with gene-loaded nanodroplets. Recently, four pre-microRNA plasmids (miR139, miR203a, miR378a and miR422a) downregulating the PIK3 CA mutation in hepatocellular carcinoma were loaded onto the positively charged surface of nanodroplets to investigate their anti-tumour potential.¹³³ The nanodroplets in this study were constructed using DPPC and DSPE-PEG2000-NH₂. After the direct intratumoral injection of these four plasmid-loaded nanodroplets, inhibited tumour growth rate and prolonged survival time were discovered in these four groups after sonication, among which the pre-microRNA-139 group displayed the most outstanding therapeutic efficacy, with a four-time increase in the anti-tumour rate compared to the group treated with bare nanodroplets.

The mechanism involved in cancer treatment varies with the therapeutic agents. Aside from chemotherapy, photothermal or photodynamic therapy (PDT) can also treat a wide range of tumours. The mechanism of photothermal therapy is to induce the apoptosis of tumour cells *via* temperature elevation, while for PDT, the death of tumour cells attributes to the generation of reactive oxygen species (ROS) *via* the interaction between the photosensitizer and laser light.^{134,135} Photosensitizers irradiated by light can transfer energy to oxygen, which can overcome tumor hypoxia and cause cell death.¹³⁶ Moreover, oxygen (O₂) has superior solubility in liquid perfluorocarbon, turning the nanodroplet into an O₂ reservoir and enhancing the generation of cytotoxic ROS.¹³⁷

Liu *et al.*, prepared Au nanoparticle (AuNP) loaded nanodroplets to amplify the anti-tumour efficacy of photothermal therapy.¹³⁸ The outer shell of the nanodroplets was made up of human serum albumin (HSA), and dodecafluorocarbon (DDFC) was used as the liquid core. When treated with AuNP loaded nanodroplets, sonication, and laser radiation, the tumour temperature could reach 50 °C, considerably higher than the other control groups. In terms of PDT, the therapeutic efficacy is hampered by hypoxia in tumours. As previously stated, nanodroplets can ameliorate this condition through the production of ROS.¹³⁷ A study compared the anti-tumour efficacy of IR780 loaded lipid nanodroplets with or without PFH, and a remarkably inhibited tumour outgrowth was discovered in the mice treated with IR780 and PFH encapsulated nanodroplets which indicated that the hypoxia of the tumour microenvironment could be adjusted by using the appropriate drug delivery system.¹³⁹

Sonodynamic therapy (SDT) was developed recently as a novel treatment method for tumour. It damages cancer cells by ultrasound stimulation of a sonosensitizer.¹⁴⁰ The mechanism underlying the effects of SDT is not fully understood, but it is thought that acoustic cavitation induced by the interaction between ultrasound waves and the aqueous environment can activate sensitizers to transfer energy to nearby oxygen molecules, subsequently resulting in the formation of ROS. Compared with photodynamic therapy (PDT), SDT has higher tissue penetration because the sonosensitizer can be activated by low-intensity ultrasound whereas PDT uses light as a stimulator.⁵ IR780 iodide has been used as a sonosensitizing agent to be encapsulated in ultrasound-responsive nanodroplets for SDT. This is a lipophilic, near-infrared fluorescence (NIRF) dye that does not only perform NIRF imaging but can effectively target organic-anion transporting polypeptides (OATPs) – commonly overexpressed in cancer cells.^{141–143} Zhang *et al.* have fabricated this IR780-loaded nanodroplet.¹⁴⁴ The outcome of the *in vivo* biodistribution test revealed that encapsulating IR780 into the nanodroplet shell could enhance the accumu-

lation of IR780 to the tumours because of the EPR effect of nanodroplets and the mitochondria-targeting capability of IR780. When the mice were treated with pristine nanodroplets, a comparatively large amount of nanodroplets was discovered in the liver and spleen rather than the tumour. Moreover, the administration of IR780-loaded nanodroplets with ultrasound could significantly slow down the growth of tumours, indicating a promising potential of this treatment strategy. Another agent that has been evaluated is hematoporphyrin monomethyl ether (HMME) – an effective sonosensitizer with lower toxicity and higher singlet oxygen yield to cause cellular apoptosis through the mitochondrial apoptotic pathway.¹⁴⁵ In order to treat ovarian cancer, Yang *et al.* encapsulated HMME into the lipid shell of nanodroplets with perfluoropentane as core.¹⁴⁶ FA was conjugated to the surface of nanodroplets, targeting the overexpressed FA receptor in 90% of ovarian cancers.¹³² The nanodroplets together with ultrasound also induced ROS formation, resulting in tumour necrosis and apoptosis. The tumour inhibitory rate was 87.68% higher than the control group, which firmly supports the potential utilisation of lipid-based nanodroplets to improve SDT efficacy in clinical studies.¹⁴⁶

Synergistic treatment strategies have been introduced to strengthen the therapeutic outcomes by combining two or more single treatment strategies where nanodroplets can also display their ability to enhance therapeutic agents' delivery. For instance, liposomes with cisplatin prodrug encapsulated (cisPT-Lip) in chemoradiotherapy could further use PFCE as a liquid core to inhibit tumour outgrowth and significantly prolong the median survival time of treated mice by 6–8 days compared to the other control groups.¹⁴⁷ The PFCE with great O₂ loading capacity could increase the oxygenation in tumours, and this sufficient O₂ accumulation was essential for radiotherapy to cause DNA damage of tumour cells.¹⁴⁸ Chemotherapy has been integrated with anti-vascular therapy to inhibit tumour growth, where the ADV of DOX-loaded nanodroplets can disrupt the tumour vasculature, reduce cell proliferation, increase the distribution of DOX, and restrain the growth of tumours eventually.¹⁴⁹ Apart from these treatment strategies, many other preclinical studies have also taken advantage of nanodroplets in chemo/photothermal therapy, photo-dynamic/thermal therapy, and radio/photodynamic therapy to optimise drug distribution for a better anti-tumour efficacy.^{34,150,151}

The application of sonoresponsive nanodroplets in drug delivery is in its developing state, and there is still a long way to go before getting into clinical use. Firstly, standard ultrasound protocols have not been set to effectively enhance drug delivery and cause minimal damage to peripheral tissues according to different diseases in patients. Secondly, immunotherapy as a rising star in the medical field has attracted great attention. Using sonoresponsive nanodroplets to deliver therapeutic agents and trigger immune response can be a new trend. Apart from these, exploring a new administration route for sonoresponsive nanodroplets, for example, intranasal delivery, may widen their application in the future.

6. Conclusion

Nanodroplets are novel nanoparticles for both diagnostic and therapeutic applications. In this review, the chemical composition and preparation methods were introduced and compared. Then applications of imaging and therapeutic applications of nanodroplets were summarised and how they were chemically adopted for different applications was highlighted. This review gives an overview of how to design nanodroplets according to their desired application.

Nanodroplets have great potential for future development. Although there have been no commercially available nanodroplets to date, recent research and the fact that nanodroplets are composed of safe and previously tested materials indicate that they have a great opportunity for application in the clinic. The therapeutic potential of nanodroplets is currently being explored and is demonstrating the extraordinary ability of tumour drug penetration and improved treatments in mouse models. However, the biodistribution and pharmacokinetic studies related to nanodroplets are limited and need more investigation. Besides, more preclinical studies are needed before nanodroplets are clinically approved. From a translation point of view, nanodroplets with good size uniformity and stability are needed.

Conflicts of interest

There are no conflicts to declare.

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